

# Polycymaker List 2012

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Office of the Actuary	1
Department for the Aging	2
Borough President: Bronx	3
Borough President: Brooklyn	4
Borough President: Manhattan	5
Borough President: Queens	6
Borough President: Staten Island	7
Department of Buildings	8
Business Integrity Commission	9
Campaign Finance Board	10
Administration for Children's Services	11
Office of the City Clerk	13
City Council	14
Department of City Planning	15
Department of Citywide Administrative Services	17
Civil Service Commission	20
Civilian Complaint Review Board	21
Office of Collective Bargaining	22
Office of the Comptroller	23
Conflicts of Interest Board	25
Department of Consumer Affairs	26
Department of Correction	27
Department of Cultural Affairs	28

Department of Design and Construction	29
District Attorney: Bronx	30
District Attorney: Brooklyn	31
District Attorney: Manhattan	32
District Attorney: Queens	33
District Attorney: Staten Island	34
Economic Development Corporation	35
Department of Education	36
Office of Emergency Management	40
Employee Retirement System (NYCERS)	42
Department of Environmental Protection	44
Equal Employment Practices Commission	48
Department of Finance	49
Financial Information Services Agency	51
Fire Department	52
Department of Health and Mental Hygiene	54
Health and Hospitals Corporation	60
Housing Authority (NYCHA)	61
Housing Development Corporation	68
Department of Housing Preservation and Development	69
Commission on Human Rights	70
Human Resources Administration	69
Independent Budget Office	74

Department of Information Technology and Telecommunications	75
Department of Investigation	77
Office of Labor Relations	78
Landmarks Preservation Commission	79
Law Department	81
Office of Management and Budget	82
Office of the Chief Medical Examiner	83
Parks Department	84
Police Department	85
Police Pension Fund	87
Department of Probation	88
Public Advocate	90
Rent Guidelines Board	91
Sanitation Department	92
School Construction Authority	94
Small Business Services	95
Board of Standards and Appeals	96
Office of Administrative Tax Appeals	97
Taxi and Limousine Commission	98
Teachers' Retirement System	99
Department of Transportation	101
Office of Administrative Trials and Hearings	105

# Office of the Actuary

## MEMORANDUM

TO: Mark Davies  
Executive Director  
NYC Conflicts of Interest Board

FROM: Robert C. North, Jr.  
Chief Actuary

SUBJ: Staff Having Substantial Policy  
Discretion

DATE: May 25, 2012

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Pursuant to Chapter 68, §2604(b)(12) and §2604(b)(15) of the New York City Charter, the following is the list of employees in the Office of the Actuary who are charged with substantial policy discretion in determining important agency matters:

Susan M. Flaschenberg	Director of Administration
John R. Gibney, Jr.	Assistant Deputy Chief Actuary
Robert C. North, Jr.	Chief Actuary
Sam Rumley	Assistant Deputy Chief Actuary

These employees have been notified in writing of the restrictions on political activity, as specified in the New York City Charter.

cc: S.M. Flaschenberg  
ADM/sf: 2236M

# Department for the Aging

<b>NYC DEPARTMENT FOR THE AGING (DFTA)</b>		
<b>EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION -- FEBRUARY 2012</b>		
<b>NAME</b>	<b>POSITION</b>	<b>CIVIL SERVICE TITLE</b>
Lilliam Barrios-Paoli	Commissioner	Commissioner (M-VII)
Steven Foo	General Counsel	Counsel (M-IV)
Tzylai (Bill) Chong	Deputy Commissioner, Program Operatons	Administrative Staff Analyst (M-V)
Caryn Resnick	Deputy Commissioner, External Affairs	Administrative Director of Social Services (M-IV)
Gabriel Oberfied	Chief of Staff	Administrative Staff Analyst (M-II)
Michael Bosnick	Assistant Commissioner, Planning Operations	Administrative Staff Analyst (M-IV)
Betty Lee	Agency Chief Contracting Officer	Agency Chief Contracting Officer (M-III)
Marah Rhoades	Assistant Commissioner, Bureau of Community Services	Administrative Program Officer (M-IV)
Digna Sanchez	Assistant Commissioner, Equal Employment Opportunity/Active Aging	Administrative Staff Analyst (M-IV)
Linda Whitaker	Assistant Commissione, Emergency Preparedness	Administative Program Officer (M-IV)
Joy Wang	Assistant Commissioner, Budget & Fiscal Operations	Administative Staff Analyst (M-IV)

# Bronx Borough President

Bronx Borough President's Office

Persons with Substantial Policy Discretion

As of February 28, 2012

<u>Name</u>	<u>Title</u>
Aurelia Greene	Deputy Borough President
Paul Del Duca	Chief of Staff
Al Rodriguez	Counsel
John Desio	Communications Director

# Brooklyn Borough President

VIA E-MAIL

Wayne G. Hawley  
Deputy Executive Director and General Counsel  
New York City Conflicts of Interest Board  
2 Lafayette Street, Room 1010  
New York, New York 10007

**RE: Public servants charged with substantial policy discretion**

Dear Mr. Hawley:

As a result of a planned reorganization of the Office of the Brooklyn Borough President, below you will find an updated list of employees who will have substantial policy discretion, effective September 15, 2011:

Marty Markowitz	Borough President
Sandra Chapman	Deputy Borough President
Melody Ruiz	Director of Administration
Richard Bearak	Director of Planning and Development
Jon Paul Lupo	Director of Communications
Carolyn Greer	Director of Public Events/Special Projects

Please feel free to contact me at (718) 802-3862 should you require additional information.

Thank you for your attention to this matter.

Sincerely,

/JAO/  
Jason A. Otaño  
Special Assistant for Legal Affairs  
Brooklyn Borough President



# Manhattan Borough President

February 13, 2012

Mark Davies, Executive Director  
New York City Conflicts of Interest Board  
2 Lafayette St., Suite 1010  
New York, NY 10007

Re: Annual list of public servants charged with substantial policy discretion

Dear Mr. Davies:

Pursuant to your memorandum dated January 31, 2012, we are submitting to you the names and titles of employees in the Manhattan Borough President's Office having substantial policy discretion:

<u>Name</u>	<u>Title</u>
Dan Benjoya	Director of Scheduling
Brian Cook	Director of Land Use
Stephen Corson	Deputy Director of Policy
Audrey Gelman	Deputy Director of Communications
Josh Getlin	Director of Communications
Alaina Gilligo	Chief of Staff
Nakia James-Jenkins	Director of Operations
Shaan Khan	Senior Advisor and Director of Digital Strategies
Hilary Nemchik	Director of Special Events
Maggi Peyton	Senior Advisor
Rosemonde Pierre-Louis	Deputy Borough President
Sascha Puritz	Deputy Chief of Staff
Shanifah Rieara	Director of Northern Manhattan Office
David Saltonstall	Director of Policy
Jessica Silver	Director of Community Affairs and Constituent Services
Scott Stringer	Borough President
Susannah Vickers	Director of Budget and Grants
Jimmy Yan	General Counsel

Please feel free to contact me at (212) 669-8157 should you have any questions. Thank you.

Sincerely,

Jimmy Yan  
General Counsel

# Queens Borough President



HELEN M. MARSHALL  
PRESIDENT

(718) 286-3000  
web site: [www.queensbp.org](http://www.queensbp.org)  
e-mail: [info@queensbp.org](mailto:info@queensbp.org)

CITY OF NEW YORK  
OFFICE OF THE  
PRESIDENT OF THE BOROUGH OF QUEENS  
120-55 QUEENS BOULEVARD  
KEW GARDENS, NEW YORK 11424-1015

February 22, 2012

Mark Davies, Esq.  
Executive Director  
New York City Conflicts of Interest Board  
2 Lafayette Street, Suite 1010  
New York, New York 10007

Re: List of Employees with Substantial Policy Discretion

Dear Mr. Davies:

Pursuant to Section 1-02(a) of Title 53 of the Rules of the City of New York, the purpose of this letter is to advise you that the following individuals employed by the Queens Borough President's Office have substantial policy discretion as defined by that section:

Alexandra Rosa  
Chief of Staff

Barry Grodenchik  
Deputy Borough President

Hugh Weinberg  
Counsel

These individuals have all been notified in writing of the restrictions set forth in New York City Charter Sections 2604(b)(12) and (15). In addition, they have been filing, and will continue to file, annual financial disclosure reports with your agency. This letter hereby supersedes all prior lists that have been filed with the Conflicts of Interest Board.

Please contact this office if you have any further questions regarding this matter.

# Staten Island Borough President

February 21, 2012

Wayne G. Hawley  
Deputy Director/General Counsel  
NYC Conflicts of Interest Board  
2 Lafayette Street, Suite 1010  
New York, New York 10007

Re: EMPLOYEES CHARGED WITH SUBSTANTIAL POLICY DISCRETION,  
STATEN ISLAND BOROUGH PRESIDENT'S OFFICE

Dear Mr. Hawley:

The following individuals with their titles are deemed by this agency to possess substantial policy discretion under the NYC Charter Sec. 2604(b)(12), (15):

James P. Molinaro, Borough President  
Edward Burke, Deputy Borough President  
John Wm. Zaccone, Counsel to the Borough President

Very truly yours,

John Wm. Zaccone  
Counsel to the Borough President

JWZ:bav  
Sent via FAX 212-442-1407 & MAIL  
cc: James P. Molinaro  
Edward Burke

# Department of Buildings

<b>EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION at DOB</b>		
<b>CY 2012</b>		
<b>LAST NAME</b>	<b>FIRST NAME</b>	<b>TITLE</b>
ALACHA	MICHAEL	ASSISTANT COMMISSIONER, ENGINEERING AND EMERGENCY OPERATIONS
COLGATE	JAMES	ASSISTANT COMMISSIONER, TECHNICAL AFFAIRS& CODE DEVELOPMENT
CORCORAN	EUGENE	DEPUTY COMMISSIONER, ENFORCEMENT
DEFOE	WERNER	BOROUGH COMMISSIONER
FARIELLO	THOMAS	FIRST DEPUTY COMMISSIONER
FISHER	ALEXANDRA	SENIOR POLICY ADVISOR
GALLAGHER	JOHN	ACTING BOROUGH COMMISSIONER
GLUCKMAN	IRA	BOROUGH COMMISSIONER
GORDON	KANDA	ASSOCIATE COMMISSIONER, BOROUGH OPERATIONS
GRIPPO	VINCENT	CHIEF OF STAFF
KAMINER	MARSHAL	BOROUGH COMMISSIONER
LEE	DEREK	BOROUGH COMMISSIONER
LIMANDRI	ROBERT	COMMISSIONER
MACK-HENRY	DIANA	DEPUTY BOROUGH COMMISSIONER
MARCHIANO	FRANK	ACTING DEPUTY BOROUGH COMMISSIONER
MOSHER	FRED	DEPUTY COMMISSIONER, DEVELOPMENT
PAVAN	SCOTT	DEPUTY BOROUGH COMMISSIONER
PLUMEY	RAYMOND	DEPUTY BOROUGH COMMISSIONER
POGODA	SUSAN	DEPUTY COMMISSIONER, LEGAL AFFAIRS
REBHOLTZ	MARTIN	DEPUTY BOROUGH COMMISSIONER
SANTULLI	CHRISTOPHER	ACTING ASSISTANT COMMISSIONER ENGINEERING AND SAFETY OPERATIONS
SEHGAL	MONA	GENERAL COUNSEL
VILENCHIK	KAZIMIR	DEPUTY BOROUGH COMMISSIONER
WAX	ARI	ASSISTANT COMMISSIONER, ADMINISTRATIVE POLICY AND ENFORCEMENT

# Business Integrity Commission

## Business Integrity Commission's 2012 List of Public Servants Charged with Substantial Policy |

<u>Name</u>	<u>Title</u>
Bacigalupi, Megan	Chief of Staff
Bixler, Russell	Assistant Commissioner of Intelligence
*Ronald Cohen	Deputy Commissioner
Corrigan, Patricia	Executive Agency Counsel
Curry, John	Assistant Commissioner of the Background Investigations Unit
Fung, Lily	Director of General Services
Gleeson, Martin	Executive Agency Counsel
Goldenberg, Abigail	General Counsel
Hoo, Jennifer	Director of Budget and Finance
Hyman, Shari	Commissioner and Chair
Kairam, Jayant	Assistant Commissioner of Policy and Planning
Mahon, Christopher	Director of Investigations
Mandell, David	Executive Agency Counsel
Martinez, Darlene	Director of Human Resources
Mirabella, Michael	Executive Agency Counsel
Nasir, Sarah	Director of Audit
Neren, Leigh	Executive Agency Counsel
Perretta, Louis	Deputy Director of Markets
Santiago, Ruben	Director of Markets
Serrano, Hector	Assistant Commissioner of Licensing
Spaner, Ira	Director of Management Informations Services
Vagra-Zanfardino, Anne Marie	Executive Agency Counsel

\*Mr. Cohen is assigned to the Mayor's Office. As such, he will file his COIB Financial Disclosure Report with the Mayor's Office.

# Campaign Finance Board

**TO:** Mark Davies  
Executive Director, Conflicts of Interest Board

**FROM:** Amy M. Loprest *LZ*

**DATE:** February 27, 2012

**SUBJECT:** Agency Obligations under Substantial Policy Discretion Rule of the Conflicts of Interest Board

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As defined by Conflicts of Interest Board Rule 1-02, the following public servants exercise substantial policy discretion in performing their work at the Campaign Finance Board:

<b>NAME</b>	<b>TITLE</b>
Joseph P. Parkes, S.J.	Chair
Art Chang	Board Member
Richard J. Davis	Board Member
Courtney C. Hall	Board Member
Mark S. Piazza	Board Member
Amy M. Loprest	Executive Director
Shauna Tarshis Denkensohn	Director, Operations & Budget
Sue Ellen Dodell	General Counsel
Peri Horowitz	Director, Campaign Finance Administration

# Administration for Children's Services



## List of ACS Staff with Substantial Policy Discretion - 2012

Ronald E. Richter  
Commissioner

Donald P. Brosen  
Deputy Commissioner

150 William Street  
New York, New York 10038

212-341-3101 tel

1	Ronald	<b>Richter</b>	Commissioner
2	Laurence	<b>Busching</b>	Executive Deputy Commissioner
3	Gilbert	<b>Taylor</b>	Executive Deputy Commissioner
4	Donald	<b>Brosen</b>	Deputy Commissioner
5	Joseph	<b>Cardieri</b>	General Counsel
6	Dawn	<b>Saffayeh</b>	Deputy Commissioner
7	Myung	<b>Lee</b>	Deputy Commissioner
8	Susan	<b>Nuccio</b>	Deputy Commissioner
9	Lorraine	<b>Stephens</b>	Deputy Commissioner
10	Alan	<b>Sputz</b>	Deputy Commissioner
11	Charles	<b>Barrios</b>	Deputy Commissioner
12	Elizabeth	<b>Lauros</b>	Chief of Staff
13	Susan	<b>Morley</b>	Special Advisor, Investigations
14	Martin	<b>Baron</b>	Deputy General Counsel
15	Oliver	<b>Pu-Folkes</b>	Associate Commissioner
16	Nancy	<b>Martin</b>	Associate Commissioner
17	Marie	<b>Philppeaux</b>	Associate Commissioner
18	Leslie	<b>Abbey</b>	Associate Commissioner
19	Maria	<b>Benejan</b>	Associate Commissioner
20	Sara	<b>Hemmeter</b>	Associate Commissioner
21	Sara	<b>Vecchiotti</b>	Associate Commissioner
22	Diane	<b>Connolly</b>	Associate Commissioner
23	Susan	<b>Fojas</b>	Associate Commissioner
24	Michael	<b>Fagan</b>	Director, Communications
25	Patti	<b>Bayross</b>	Assistant Commissioner
26	Anil	<b>Sharma</b>	Assistant Commissioner
27	Patricia	<b>Chabla</b>	Assistant Commissioner
28	Michael	<b>Hannon</b>	Assistant Commissioner
29	Hayden	<b>Blades</b>	Assistant Commissioner
30	Ayleen	<b>Guzman</b>	Assistant Commissioner
31	Sherone	<b>Smith-Sanchez</b>	Assistant Commissioner
32	Renee	<b>Jaffe</b>	Assistant Commissioner
33	Robert	<b>Finch</b>	Assistant Commissioner
34	Nancy	<b>Thomson</b>	Assistant Commissioner
35	Trevor	<b>John</b>	Acting Assistant Commissioner
36	Mary	<b>Nam</b>	Assistant Commissioner
37	Derrick	<b>Hinds</b>	Assistant Commissioner
38	William	<b>Fletcher</b>	Borough Commissioner
39	Marsha	<b>Kellam</b>	Borough Commissioner
40	Pamela	<b>Lee</b>	Borough Commissioner
41	Jacqueline	<b>McKnight</b>	Borough Commissioner
42	Charita	<b>Thomas</b>	Borough Commissioner
43	Jacqueline	<b>Martin</b>	Assistant Commissioner
44	Angel	<b>Mendoza</b>	Assistant Commissioner

# Administration for Children's Services p.2

45	Iris	<b>Kaplan</b>	Assistant Commissioner
46	Andrea	<b>Reid</b>	Assistant Commissioner
47	Jose	<b>Mercado</b>	Assistant Commissioner
48	Richard	<b>Beck</b>	Assistant Commissioner
49	Camelia	<b>Pierre</b>	Assistant Commissioner
50	Shanna	<b>Gumaer</b>	Assistant Commissioner
51	Kerri	<b>Smith</b>	Assistant Commissioner
52	Avi	<b>Khullar</b>	Assistant Commissioner
53	Stephanie	<b>Prussack</b>	Assistant Commissioner
54	Dale	<b>Joseph</b>	Assistant Commissioner
55	Migdalia	<b>Aldea</b>	Executive Director
56	Marva	<b>Chevalier</b>	Executive Director
57	Andrew	<b>Martin</b>	Executive Director
58	Donna	<b>Locke</b>	Executive Director
59	Kevin	<b>Baker</b>	Executive Director
60	Ervine	<b>Kimerling</b>	Executive Director
61	Louis	<b>Watts</b>	Executive Director
62	M. Eric	<b>Ambrose</b>	Director, Labor Relations
63	Fredda	<b>Monn</b>	Director, EEO
64	Jacqueline	<b>Sherman</b>	Sr. Advisor Juvenile Justice Initiatives
65	Jennifer	<b>Romelien</b>	Admin Director

**Brandon Stradford,**  
**Director, Employment Services / COIB Liaison**  
**April 10, 2012**

**Revised and Amended: April 30, 2012**



# Office of the City Clerk

**BY EMAIL**

February 29, 2012

City of New York  
Conflicts of Interest Board  
2 Lafayette Street  
Suite 1010  
New York, NY 10007

Re: Public servants charged with substantial policy discretion

Dear Sir/Madam:

Listed below are the public servants charged with substantial policy discretion in the Office of the City Clerk:

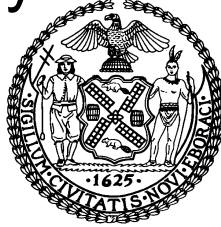
- (a) Michael McSweeney;
- (b) Alisa Fuentes;
- (c) Emmanuel Michalos;
- (c) Patrick L. Synmoie;
- (d) Jose Gonzalez; and
- (e) Acela Velazquez.

Please direct any additional questions to me at 212-669-2610.

Sincerely,

Patrick L. Synmoie  
Counsel to the City Clerk

# City Council



THE COUNCIL  
OF  
THE CITY OF NEW YORK  
OFFICE OF THE GENERAL COUNSEL  
250 Broadway, 15<sup>th</sup> Floor  
New York, N.Y. 10007

February 24, 2012

## Memorandum

To: Wayne Hawley, Deputy Director & General Counsel  
New York City Conflicts of Interest Board

From: Nadir Joshua, Ethics & Employment Counsel  
New York City Council

Re: New York City Council Employees with Substantial Policy Discretion

Below are the employees designated by the New York City Council as having substantial policy discretion.

Gail Benjamin  
Danielle DeCerbo  
Elizabeth Fine  
Raymond Majewski  
Ramon Martinez  
Charles Meara  
Philip Monaco  
Margaret Nelson  
Robert Newman  
Preston Niblack

# Department of City Planning

LAST NAME	FIRST NAME	CIVIL SERVICE TITLE
<b>BARTH</b>	<b>RICHARD</b>	EXECUTIVE DIRECTOR
<b>BATTAGLIA</b>	<b>ANGELA</b>	COMMISSIONER
<b>BESSER</b>	<b>RAYANN</b>	COMMISSIONER
<b>BURDEN</b>	<b>AMANDA</b>	CHAIRMAN
<b>CANTOR</b>	<b>IRWIN</b>	COMMISSIONER
<b>CERULLO III</b>	<b>ALFRED</b>	COMMISSIONER
<b>CHEN</b>	<b>BETTY</b>	COMMISSIONER
<b>DEL TORO</b>	<b>MARIA</b>	COMMISSIONER
<b>DINERSTEIN</b>	<b>BARRY</b>	CITY PLANNER
<b>DOBRUSKIN</b>	<b>ROBERT</b>	ADMINISTRATIVE CITY PLANNER
<b>EADDY</b>	<b>RICHARD</b>	COMMISSIONER
<b>GARCIA-DURAN</b>	<b>LEONARD</b>	ADMINISTRATIVE CITY PLANNER
<b>GOLDWYN</b>	<b>SARAH</b>	ADMINISTRATIVE CITY PLANNER
<b>GROSSMAN</b>	<b>CAROLYN</b>	EXECUTIVE ASSISTANT FOR PLANNING
<b>HARRIS</b>	<b>JACQUELYN</b>	ADMINISTRATIVE CITY PLANNER
<b>HSU-CHEN</b>	<b>EDITH</b>	ADMINISTRATIVE CITY PLANNER
<b>KAPUR</b>	<b>PURNIMA</b>	ADMINISTRATIVE CITY PLANNER
<b>KARNOVSKY</b>	<b>DAVID</b>	COUNSEL
<b>KELLY</b>	<b>ANNE</b>	ADMINISTRATIVE STAFF ANALYST
<b>KNUCKLES</b>	<b>KENNETH</b>	COMMISSIONER DESIGNATED AS VICE CHAIRMAN
<b>KOBER</b>	<b>ERIC</b>	ADMINISTRATIVE CITY PLANNER
<b>LEVIN</b>	<b>ANNA</b>	COMMISSIONER
<b>LOPEZ</b>	<b>RUDY</b>	ADMINISTRATIVE CITY PLANNER
<b>LUBIN</b>	<b>JULIE</b>	EXECUTIVE AGENCY COUNSEL
<b>MARRELLA</b>	<b>MICHAEL</b>	ADMINISTRATIVE CITY PLANNER
<b>MCRAE</b>	<b>SHIRLEY</b>	COMMISSIONER
<b>MERANI</b>	<b>JAMES</b>	ADMINISTRATIVE CITY PLANNER
<b>OWENS</b>	<b>BRIAN</b>	COMPUTER OPERATIONS MANAGER
<b>MARIN</b>	<b>ORLANDO</b>	COMMISSIONER
<b>PRICE, III</b>	<b>GLEN</b>	ADMINISTRATIVE CITY PLANNER
<b>RAYNOFF</b>	<b>RACHAELE</b>	DIRECTOR OF PUBLIC INFORMATION
<b>SALVO</b>	<b>JOSEPH</b>	ADMINISTRATIVE CITY PLANNER
<b>SAMOL</b>	<b>CAROL</b>	ADMINISTRATIVE CITY PLANNER
<b>SCHMIDT JR</b>	<b>JACOB</b>	ADMINISTRATIVE CITY PLANNER
<b>SLATKIN</b>	<b>HOWARD</b>	ADMINISTRATIVE CITY PLANNER

# Department of City Planning p.2

<b>ULON</b>	<b>SHAHEEN</b>	ADMINISTRATIVE STAFF ANALYST
<b>VOYAGES</b>	<b>SOTIREOS</b>	ADMINISTRATIVE CITY PLANNER
<b>WARGO</b>	<b>THOMAS</b>	ADMINISTRATIVE CITY PLANNER
<b>WASHBURN</b>	<b>ALEXANDROS</b>	PRINCIPAL PLANNING CONSULTANT
<b>YOUNG</b>	<b>JOHN</b>	ADMINISTRATIVE CITY PLANNER

# Department of Citywide Administrative Services

Filing Year	Filer	LOS	Title
2011	BADILLO, RICHARD	FBM	ASSISTANT COMMISSIONER, DFMO
2011	BARTH, STUART H.	DEM	DIRECTOR OF PROCUREMENT & BUDGET
2011	BOYER, SHAMEKA	HC	ASSISTANT COMMISSIONER, HUMAN RESOURCES
2011	CAMPBELL, CORRINE	ADMIN	INTERNAL AUDIT
2011	CHO, JULIANNE	COMM	CHIEF COMMUNICATIONS & INTERGOVERNMENTAL OFFICER
2011	COHEN, MARTIN	OCP	DIRECTOR OF SMALL PURCHASES
2011	COLLINS, CHRISTOPHER	BSA	VICE-CHAIR/COMMISSIONER
2011	DIMITRI, DAVID	AM	ASSISTANT COMMISSIONER
2011	DIPAOLA, MARIA	HC	CHIEF HUMAN CAPITAL OFFICER
2011	DIPPLE, MICHAEL	DEM	DIRECTOR OF OPERATIONS & MAINTENANCE
2011	FONG, RANDAL	AM	ASSISTANT COMMISSIONER, REAL ESTATE SERVICE
2011	FRIEDMAN, RICHARD	AM	ASSISTANT COMMISSIONER
2011	GIPSON, IRVIN	ADMIN	CHIEF OF STAFF
2011	GOLDBERG, BRIAN	HC	ASSISTANT COMMISSIONER, CSA
2011	GOLDMAN, SHELLEY	AM	DEPUTY ASSISTANT COMMISSIONER, PROP MGMT
2011	GREEN, CAROL	OCP	ACCO/ASSISTANT COMMISSIONER
2011	GROSSMAN, BARBARA	HC	ASSISTANT COMMISSIONER, LEARNING & DEVELOPMENT
2011	HERSZDORFER, PAUL	COMM	DIRECTOR, TRANSPORTATION SERVICES
2011	HICKS, ERIC	ADMIN	DIR, DISCIPLINARY PROCEEDINGS
2011	HINKSON, SUSAN	BSA	COMMISSIONER
2011	IMPOLLONIA, PAT	DEM	DIRECTOR OF ENERGY CONSERVATION PLANNING AND PROGRAMS
2011	KAYE, LAURIE	OGC	DEPUTY GENERAL COUNSEL, LEGAL SERVICES
2011	KELLY, AMY BECCA	BSA	GENERAL COUNSEL
2011	KERMAN, KEITH	FLEET	CHIEF FLEET MGMT OFFICER
2011	KERNER, SUSAN	COMM	DIRECTOR, CITYSTORE

# Department of Citywide Administrative Services p.2

2011	KLIMOWICZ, WILLIAM	HC	ASSISTANT COMMISSIONER, EXAMS
2011	KOCH, JOEY	AM	
2011	KONDRAT, JEFFREY	AM	ASSISTANT COMMISSIONER, ACQUISITIONS & CONSTRUCTION SVCS
2011	KOTTER, NINA	AM	SENIOR PORTFOLIO MANAGER
2011	LAPAIX, MARIO	ADMIN	SPECIAL ADVISOR TO THE COMMISSIONER
2011	LEIBOWITZ, KENNETH	OGC	DEPUTY GENERAL COUNSEL
2011	MARON, ARIELLA	DEM	CHIEF ENERGY MGMT OFFICER
2011	MARTIN, NORMA	EXEC	EEO OFFICER
2011	MONTANEZ, EILEEN	BSA	COMMISSIONER
2011	MULLIGAN, JEFFREY	BSA	EXECUTIVE DIRECTOR
2011	NAKANISHI, LISA	EEO	EXECUTIVE DIRECTOR
2011	NOBLES, RUSSELL ANN	OGC	GENERAL COUNSEL
2011	OTTLEY-BROWN, DARA	BSA	COMMISSIONER
2011	PANEQUE, SERGIO	OCP	CHIEF PURCHASING AND REENGINEERING OFFICER
2011	PITTS, RONALD	ADMIN	ASSISTANT COMMISSIONER, IT
2011	PRYCE, SYLVIA	OGC	DIRECTOR, COSH
2011	PYMENTO, GLENN	AM	DIRECTOR, ENGINEERING SERVICES
2011	RICCELLI, ROBERT	OCP	EXECUTIVE DIRECTOR
2011	RYAN, ELLEN	DEM	DIRECTOR OF AGENCY SUPPORT AND SPECIAL PROJECTS
2011	SLOBODYAN, VAL	DEM	DIRECTOR OF PERFORMANCE TRACKING AND ANALYSIS
2011	SRINIVASAN, MEENAKSHI	BSA	CHAIR/COMMISSIONER
2011	TELFORD, ANSON	OCP	PURCHASE DIRECTOR, DMSS
2011	THOM, RICHARD	FBM	DEPUTY ASSISTANT COMMISSIONER, DFMO
2011	TOBIN, ROBERT	FBM	DIRECTOR, CAPITAL BUDGET
2011	WAGNER, JOSEPH	AM	DEPUTY COMMISSIONER, DFMC
2011	WARD, THERESA	AM	CHIEF ASSET MANAGEMENT OFFICER

# Department of Citywide Administrative Services p.3

2011	WEIR, STEPHEN	FLEET	DIRECTOR, AUTO CONTRACT SERVICES
2011	WELLS HANDY, EDNA	EXEC	COMMISSIONER
2011	WRIGHT ELLIS, MELISSA	DEM	CHIEF OF STAFF, DEM
2011	ZIEHA, MARGARET A	AM	DIRECTOR, ARCHITECTURAL SERVICES

# Civil Service Commission

## NYC Civil Service Commission

- 1) Nancy G. Chaffetz, Chairwoman, Commissioner
- 2) Rudy Washington, Vice-Chair, Commissioner
- 3) Charles Mc.Faul, Commissioner
- 4) Matthew W. Daus, Commissioner
- 5) Alina A. Garcia, Executive Director and General Counsel



# Civilian Complaint Review Board

CIVILIAN COMPLAINT REVIEW BOARD

40 RECTOR STREET, 2<sup>ND</sup> FLOOR

NEW YORK, NEW YORK 10006 ♦ TELEPHONE (212) 442-8833

[www.nyc.gov/ccrb](http://www.nyc.gov/ccrb)



MICHAEL R. BLOOMBERG  
MAYOR

JOAN M. THOMPSON  
EXECUTIVE DIRECTOR

To: Conflicts of Interest Board  
From: Joan M. Thompson, Executive Director  
Date: April 9, 2011  
Re: Substantial Policy Discretion

---

As requested, the following is a list of board members and employees who have substantial policy discretion.

## Board Members

Daniel D. Chu, Esq., Chair

Janette Cortes-Gomez, Esq.

James Donlon, Esq.

Alphonzo A. Grant, Esq.

Dr. Mohammad Khalid

Rudolph Landin

David G. Liston, Esq.

Jules A. Martin, Esq.

Mary E. Mulligan, Esq.

Bishop Mitchell G. Taylor

Tosano Simonetti

Youngik Yoon, Esq.

## Employees

Executive Director: Joan M. Thompson

Deputy Executive Director for Investigations: Laura Edidin

Deputy Executive Director for Administration: Brian Connell

Deputy Executive Director for Strategic Initiatives: Marcos Soler

If you have any questions, please call me at 212-442-8731

# Office of Collective Bargaining

## Agency Obligations under the Substantial Policy Discretion Rule of the Conflicts of Interest Board

Dear Mr. Davies:

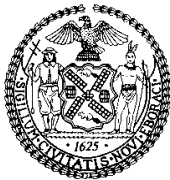
The following agency employees have substantial policy discretion and will be notified in writing of the political activity restrictions contained in the New York City Charter, §2604(b)(12), and §2604(b)(15):

<u>NAME</u>	<u>POSITION</u>
Marlene A. Gold	Chair/Director
Steven C. DeCosta	Deputy Director/General Counsel
Susan J. Panepento	Deputy Director for Disputes

In addition, the following board members will be notified of the political activity restrictions contained in Charter §2604(b)(12), and §2604(b)(15):

George Nicolau, Esq.	Impartial Member
Carol A. Wittenberg	Impartial Member
Pamela S. Silverblatt	City Member
M. David Zurndorfer, Esq.	City Member
Charles G. Moerdler, Esq.	Labor Member
Gabrielle Semel, Esq.	Labor Member

The two impartial members, named above, file annual financial disclosure reports because they are entitled to compensation. However, the above-named city and labor members will not be added to the list of public servants required to file financial disclosure reports because they serve without compensation.



Amedeo D'Angelo  
ASSISTANT COMPTROLLER

# Office of the Comptroller

CITY OF NEW YORK  
OFFICE OF THE COMPTROLLER  
JOHN C. LIU

BUREAU OF ADMINISTRATION

MUNICIPAL BUILDING  
ONE CENTRE STREET, ROOM 710  
NEW YORK, N.Y. 10007-2341

TEL: (212) 669-2223  
FAX: (212) 669-7092

ADANGEL@COMPTROLLER.NYC.GOV

To: Valerie Budzik  
Deputy Comptroller/General Counsel

From: Amedeo D'Angelo

Date: March 2, 2012

Subject: **2012-Employees with Substantial Policy Discretion**

The following Office of the Comptroller employees have been identified as having substantial policy discretion as defined by Conflicts of Interest Board Rule § 1-02:

Michael Bott	Assistant Comptroller/Chief Information Officer
Valerie Budzik	Deputy Comptroller, General Counsel
Vanessa Champion	Chief of Staff, Executive Office
Karen Cohen	Assistant Comptroller, Law & Adjustment
Amedeo D'Angelo	Assistant Comptroller, Administration
Anthony Dell'Olio	Agency Chief Contracting Officer (ACCO)
Jeffrey Elmer	Assistant Comptroller, Labor Law
Simcha Felder	Deputy Comptroller, Accountancy & Budget
Martin Gantz	Head, Fixed Assets, Asset Management
Michael Garland	Executive Director, Pension Policy, Budget & Policy
Seema Hingorani	Head, Public Equities, Asset Management
Aaron Hoffnung	Deputy Comptroller, Public Affairs
David Jeter	Assistant Comptroller, Asset Management
Mark Kim	Assistant Comptroller, Public Finance
H. Tina Kim	Deputy Comptroller for Audits
Carol Kostik	Deputy Comptroller, Public Finance
William Lipski	Chief Engineer, Bureau of Engineering
Thaddeus McTigue	Executive Director, Pensions
Barry Miller	Head, Private Equity, Asset Management
Ricardo Morales	First Deputy Comptroller

# Office of the Comptroller p.2

Substantial Policy Discretion List-2012  
Page 2

Yvonne Nelson

Executive Director, Real Estate, Asset Management

Lawrence M. Schloss  
Michael Stern

Deputy Comptroller/Chief Investment Officer, Asset Management  
Director, Debt Management, Public Finance

Maria Tavares  
Geneith Turnbull

Assistant Comptroller, Accountancy  
Deputy Comptroller/Contracts & Procurement, Contract Admin.

Thank you.

Cc: Ricardo Morales  
First Deputy Comptroller

# Conflicts of Interest Board

## CITY OF NEW YORK CONFLICTS OF INTEREST BOARD

### 2011 List of Public Servants with Substantial Policy Discretion

Steven B. Rosenfeld, Chair  
Monica Blum, Board Member  
Andrew Irving, Board Member  
Burton Lehman, Board Member  
Erika Thomas-Yuille, Board Member

Mark Davies, Executive Director

# Department of Consumer Affairs

## Memo

**To:** Wayne G. Hawley, Deputy Executive Director and General Counsel  
**From:** Marla Tepper, Deputy Commissioner for Legal Affairs / General Counsel  
**Cc:** Dorlene Brewster, Director of Human Resources  
Manny Menjivar, Associate Director of Human Resources/  
COIB Coordinator  
**Date:** February 10, 2012  
**Re:** 2012 List of Public Servants charged with Substantial Policy Discretion

---

<u>NAME</u>	<u>TITLE</u>
1) Jonathan Mintz	Commissioner
2) Alba Pico	First Deputy Commissioner
3) Frances Freedman	Deputy Commissioner for External Affairs
4) Marla Tepper	Deputy Commissioner for Legal Affairs / General Counsel
5) George Pape	Deputy Commissioner/ Chief of Staff
6) Cathie Mahon	Deputy Commissioner for Financial Empowerment
7) Sanford Cohen	Deputy General Counsel
8) Katherine Sarlin	Associate Commissioner for Strategy and Communications
9) Mitchell Kent	Director of Legislative Policy and Special Counsel
10) Christopher Montgomery	CIO/ Associate Commissioner for Technology and Systems Integration
11) Nancy Schindler	Assistant Commissioner of Legal Divisions/ Executive Agency Counsel
12) John Aber	Chief Financial Officer
13) Meenakshi Bector	Assistant Commissioner for Operations
14) Dorlene Brewster	Director of Human Resources
15) Lilianna Gekhtin	Deputy Chief Financial Officer/ Director of Collections
16) Nathalie Gons	Director of Financial Empowerment Research
17) Elizabeth Leath	Deputy Chief of Staff
18) Juan Orozco	Director of Licensing
19) I-Hsing Sun	Director of Programs and Partnership
20) Joanna Weiss	Executive Director of Business Acceleration
21) James Plotkin	Deputy Director of Adjudication
22) Peter Bruland	Assistant Commissioner for Analysis and planning
23) Amelia Erwit	Assistant Commissioner for Financial Empowerment
24) Debra Halpin	Assistant Commissioner for Creative Services
25) Elina Kotlyar	Assistant Commissioner for Licensing and Operations Chief of Staff
26) Bruce Dennis	Director of Adjudication

# Department of Correction

February 29, 2012

Mr. Mark Davies  
Executive Director  
Conflicts of Interest Board  
2 Lafayette Street, Suite 1010  
New York, New York 10007

Dear Mr. Davies:

Pursuant to your request, the following is a listing of employees with substantial policy discretion:

<u>Name</u>	<u>Position</u>
Dora B. Schriro	Commissioner
Lewis S. Finkelman	First Deputy Commissioner
Sara Taylor	Chief of Staff
Thomas Bergdall	Deputy Commissioner, Legal Matters/General Counsel
Florence Finkle	Deputy Commissioner, Office of Excellence
Flavia Orlandi	Deputy Commissioner, Budget and Finance
Sharman Stein	Deputy Commissioner, Public Information/Community Affairs
Alan Vengersky	Deputy Commissioner, Human Resources, Labor Relations and Training
Jean Yaremchuk	Deputy Commissioner, Information Technology/Chief Information Officer
Michael Hourihane	Chief of Department
Carmine LaBruzzo	Deputy Chief of Department
Erik Berliner	Associate Commissioner, Health, Data Management, Forensic Services and Nutritional Services
Archana Jayaram	Associate Commissioner, Program Services and Discharge Planning

Sincerely,

Alan Vengersky

AV:ra  
C: Lewis S. Finkelman, First Deputy Commissioner  
Nadene Pinnock, Acting General Counsel  
File (c:\msoffice\my document\DOI-FD\letter-Mark Davies-COIB 2012)

# Department of Cultural Affairs

March 5, 2012

Mark Davies  
Executive Director  
Conflict of Interest Board  
2 Lafayette Street, Ste. 1010  
New York, NY 10007

Re: DCLA Employees with Substantial Policy Discretion

Dear Mr. Davies:

The following is a list of the employees at the Department of Cultural Affairs who are deemed to have “substantial policy discretion” in determining important agency matters. These employees have been given a copy of the Notice to New York City Public Servants Having Substantial Policy Discretion.

<u>Name</u>	<u>Position</u>
Kate D. Levin	Commissioner
Margaret Morton	Deputy Commissioner
Tracey Knuckles	General Counsel
Kristin Sakoda	Deputy General Counsel
Timothy Thayer	Assistant Commissioner, Cultural Institutions
Andrew Burmeister	Assistant Commissioner, Capital Projects
Kathleen Hughes	Assistant Commissioner, Program Services
Danai Pointer	Director of External Affairs
Daniel Contafio	Director of Information Technology
Phillippa Shao	Director of Finance
Harriet Taub	Executive Director of Materials for the Arts
Perian Carson	Director of Community Arts Development Program
Sara Reisman	Director of Percent for Art

If you require additional information, please call me at (212) 513-9327.

Sincerely,

Tracey Knuckles  
General Counsel

cc: Cynthia Ingram/DCA  
Margaret Morton/DCA



# Department of Design and Construction

**TO:** Mark Davies  
Executive Director, Conflicts of Interest Board

**FROM:** David J. Burney, FAIA  
Commissioner

**DATE:** February 27, 2012

**SUBJECT:** List of Employees with Substantial Policy Discretion at the Department of Design and Construction

LAST NAME	FIRST NAME	TITLE
Burney	David	Commissioner
Barrio	Ana	Chief of Staff
Bonné	Jeff	Deputy Commissioner, Administration
Boorstyn	Eric	Associate Commissioner, Architecture & Engineering & Technical Services, Public Buildings
Canabal	Raúl	Assistant Commissioner, Information Technology Services
Canu	Mark	Associate Commissioner, Safety & Site Support
Clough	Rebecca	Assistant Commissioner, Courts/Corrections/Environmental Protection/Health/Public Buildings
D'Arpino	Frank	Associate Commissioner, Client Programs/Public Buildings
Devgan	Vinod	Assistant Commissioner, Police & Fire/ Public Buildings
DiAgostino	Carol	Agency Chief Contracting Officer
Doran	John	Assistant Commissioner, Technical Services/Public Buildings
Foley	Thomas	Assistant Commissioner, Construction Management 3/Infrastructure
Granger	Donald	Assistant Commissioner, Construction Management 2/Infrastructure
Harrison	Dalela	Agency Equal Employment Opportunity Officer
Holley	Lorraine	Deputy ACCO, Competitive Sealed Bid Contracts
Igweatu	Chris	Engineering Audit Officer
Litera	Lisa	Deputy General Counsel, Law
MacFarlane	Eric	Deputy Commissioner, Infrastructure
Mallick	Ali	Deputy Assistant Commissioner, Design 2/ Infrastructure
Matti	Robert	Director, Internal Audit
McClain	Dennis	Assistant Commissioner, Performance Metrics & Analysis
Nastasi	Michael	Assistant Commissioner, Culturals & Parks/Public Buildings
Ng	Yun Poy	Associate Commissioner, Design/ Infrastructure

# Department of Design and Construction p.2

Nnabugwu	Veronica	Deputy ACCO, Professional Contracts
Pasagiannis	Eftihia	Director, Office of Disciplinary Proceedings
Pestka	Bogdan	Assistant Commissioner, Transportation & Sanitation/ Public Buildings
VACANT		Deputy Assistant Commissioner, Design 1/ Infrastructure
Resnick	David	Deputy Commissioner, Public Buildings
Rodriguez	Ramon	Deputy ACCO, Contract Administration
Saini	Gurdip	Assistant Commissioner, Design 1/ Infrastructure
Savarese	John	Deputy Assistant Commissioner, Safety & Site Support
Silveira	Sergio	Assistant Commissioner, Libraries & Human Services/Public Buildings
Soldevere	Joe	Assistant Commissioner, Public Information & Intergovernmental Affairs
Stroughter	Janice	Assistant Commissioner, Human Resources/Administration
Van de Walle	Carla	Assistant Commissioner, Budget and Finance/Administration
Varoli	David	General Counsel, Law
Venugopalan	Narayana	Assistant Commissioner, Program Administration/ Infrastructure
Voisich	Edward	Assistant Commissioner, Operations/Administration
Wynne	Thomas	Assistant Commissioner, Construction Management 1/ Infrastructure
Zargarelahi	Mohsen	Assistant Commissioner, Design 2/Infrastructure
Zetterlund	Richard	Associate Commissioner, Construction/ Infrastructure

EM/H:\ List of Employees Policy Discretion 2012.doc

cc: J. Bonné  
J. Stroughter

# Bronx District Attorney's Office

Conflicts Board Policymakers: Bronx District Attorney's Office  
February 15, 2012

Robert T. Johnson, District Attorney

(Legal):

Odalys Alonso, Chief Assistant District Attorney

Anthony Girese, Counsel to the District Attorney

Anthony Schepis, Exec. Assistant District Attorney

Robert Dreher, Exec. Assistant District Attorney

Maria Rivero, Administrative Assistant District Attorney

Thomas Leahy, Chief, Investigations Division

(Support):

Lisa Payne Wansley, Administrative Chief

Chris Standora, Chief Financial Officer

# Kings County District Attorney's Office

FILER NAME	TITLE	SIGNATURE	DATE
AMOROSO, DINO	SENIOR EXECUTIVE ADA		
FEINSTEIN, AMY	CHIEF ASSISTANT DISTRICT ATTORNEY		
HUGHES, MARY	CONFIDENTIAL ADA		
HYNES, CHARLES	DISTRICT ATTORNEY		
OGISTE, LANCE	COUNSEL		
PORETSKY, MICHAEL	CHIEF OF FISCAL		
SWERN, ANNE	FIRST ASSISTANT DISTRICT ATTORNEY		
VECCHIONE, MICHAEL	CHIEF RACKETS DIVISION		
ZION, ADAM	CHIEF OF INFORMATION TECHNOLOGY		

# New York County District Attorney's Office

April 6, 2012

Mr. Wayne G. Hawley  
Deputy Executive Director and General Counsel  
New York City Conflicts of Interest Board  
2 Lafayette Street, Room 1010  
New York, NY 10007

Re: Persons with Substantial Policy Discretion

Dear Mr. Hawley:

In response to your memorandum of January 31, 2011, the following is a list of persons at the New York County District Attorney's Office – in addition to District Attorney Cyrus R. Vance, Jr., an elected official – who have substantial policy discretion for purposes of §§2604 (b)(12) and (15) of the New York City Charter:

Daniel R. Alonso, Chief Assistant District Attorney  
Caitlin J. Halligan, General Counsel  
Leroy Frazer, Jr., Executive Assistant District Attorney  
Frederick J. Watts, Executive Assistant District Attorney  
Chauncey G. Parker, Executive Assistant District Attorney  
Hillary Hassler, Executive Assistant District Attorney and Chief of Appeals Bureau  
Adam S. Kaufmann, Executive Assistant District Attorney and Chief of the Investigation Division  
Karen Friedman-Agnifilo, Executive Assistant District Attorney and Chief of the Trial Division  
Jeannette Molina, Administrative Assistant District Attorney  
Erin Duggan, Director of Communications  
Karen Sheehan, Fiscal Director and Director of Planning & Management

If you need further information, please let me know.

Sincerely,

Frederick J. Watts  
Executive Assistant District Attorney

# Queens District Attorney's Office

## 2012 List of Public Servants Charged with Substantial Policy Discretion: Office of the District Attorney, Queens County

<b>Brown, Richard A.</b>	<b>District Attorney, Queens County</b>
<b>Carroll, Vincent J.</b> <b>Attorney</b>	<b>Counsel to the Chief Assistant District</b>
<b>Crusco, Peter A.</b>	<b>Executive Assistant District Attorney,</b> <b>Investigations Division</b>
<b>Fidel, Gary S.</b>	<b>Executive Assistant District Attorney, Legal</b> <b>Affairs Division</b>
<b>Quinn, James C.</b>	<b>Senior Executive Assistant District</b> <b>Attorney, Trial Division</b>
<b>Raff, Lois M.</b>	<b>Counsel to the District Attorney</b>
<b>Ryan, John M.</b>	<b>Chief Assistant District Attorney</b>
<b>Sligh, Jesse J.</b>	<b>Executive Assistant District Attorney,</b> <b>Special Prosecutions Division</b>
<b>Sullivan, Eileen M.</b>	<b>Executive Assistant District Attorney,</b> <b>Administration Division</b>
<b>Testagrossa Charles A.</b>	<b>Executive Assistant District Attorney, Major Crimes Division</b>

# Richmond County District Attorney's Office

## MEMORANDUM

**TO:** Wayne G. Hawley  
General Counsel, COIB

**FROM:** Ronald Carara  
Chief of Administration

**DATE:** April 10, 2012

**SUBJECT:** Employees with Substantial Policy Discretion

---

Below is a list of all employees of the Office of the Richmond County District Attorney who have substantial policy discretion. In accordance with the New York City Charter, Chapter 68, these employees have been given a copy of the *Notice to New York City Public Servants Having Substantial Policy Discretion*.

<u>Name</u>	<u>Title</u>
1. Daniel M. Donovan, Jr.	District Attorney
2. Daniel L. Master, Jr.	Chief Assistant District Attorney
3. Ronald Carara	Chief of Administration
4. Timothy J. Koller	Executive Assistant District Attorney
5. Paul A. Capofari	Chief, Supreme Court Bureau
6. Yolanda L. Rudich	Chief, Sex Crimes/ Special Victims Bureau
7. Joanne Grippo	Chief, Criminal Court Bureau
8. Morrie I. Kleinbart	Chief, Appeals Bureau
9. Karen Schoenberg	Special Assistant District Attorney
10. Wanda De Oliveira	Chief Trial Counsel
11. Amy Legow	Chief, Investigations Bureau

If you have any questions, please do not hesitate to contact me at (718) 556-7070.

# Economic Development Corporation

New York City Economic Development Corporation

2012 list of public servants charged with substantial policy discretion

April 6, 2012

Seth Pinsky

Maria Torres

Josh Wallack

Kyle Kimball

Zachary Smith

Alyssa Cobb-Konon

Thomas McKnight

John Cicerello

David Ehrenberg

Patrick O'Sullivan

David Kane

Meredith Jones

Spencer Hobson

Euan Robertson

Ann Li

Benjamin Branham



# Department of Education

## Substantial Policy List, April, 2012

Last name	First Name	Title
Phillips	Daniella	District 01 Community Superintendent
Guzman	Mariano	District 02 Acting Community Superintendent
Friedman	Esther	District 03 Acting Community Superintendent
Cortazzo	Luz	District 04 Community Superintendent
Reeves	Gale	District 05 Community Superintendent
Nunez	Elsa	District 06 Acting Community Superintendent
Torres	Yolanda	District 07 Community Superintendent
Behr	Timothy	District 08 Community Superintendent
Esposito	Dolores	District 09 Community Superintendent
Menendez	Sonia	District 10 Community Superintendent
White	Elizabeth A.	District 11 Community Superintendent
Rodriguez	Myrna	District 12 Community Superintendent
Freeman	Barbara	District 13 Acting Community Superintendent
To be determined		District 14 Community Superintendent
Skop	Anita	District 15 Community Superintendent
Santiago	Evelyn	District 16 Community Superintendent
Simmons	Buffie	District 17 Acting Community Superintendent
Wilkins	Beverly	District 18 Community Superintendent
Marie-Mills	Rose	District 19 Acting Community Superintendent
Costantino	Karina	District 20 Community Superintendent
DiMola	Isabel	District 21 Community Superintendent
Waite	Linda	District 22 Community Superintendent
Ferrara	Marianne	District 22 Community Superintendent
Cumberbatch	Ainslie	District 23 Community Superintendent
Taub	Madeline Chan	District 24 Community Superintendent
DiMango	Danielle	District 25 Community Superintendent
Saunders	Anita	District 26 Community Superintendent
Lloyd-Bey	Michelle	District 27 Community Superintendent
Ffolkes- Bryant	Beverly	District 28 Acting Community Superintendent
Murray	Lenon	District 29 Community Superintendent
Composto	Philip	District 30 Community Superintendent
Claudio	Erminia	District 31 Community Superintendent
Druck	Lillian	District 32 Community Superintendent
Hecht	Gary	District 75 Superintendent
Staple	Carron	Bronx High School Superintendent

# Department of Education p.2

Watts	Karen	Brooklyn High School Superintendent
Matheson	Tamika	Manhattan High School Superintendent
Lodico	Anthony	Manhattan/ Bronx High School Superintendent
Mendez	Juan	Queens High School Superintendent
Conyers	Donald	Senior Supervising Superintendent
Horowitz	Aimee	Staten Island/Brooklyn High School Superintendent
Akinsanya-Rose	Kemi	Chief Information Officer
Becker	Larry	Chief Executive, Human Resources
Best	Michael	General Counsel
Conforme	Veronica	Chief Operating Officer
Gawkoski	Krista	Director of Policy and Operations
Gibson	Dr. Dorita	Deputy Chancellor, Division of Equity and Access
Goldstein	Eric	Chief Executive, Office of School Support Services
Grimm	Kathleen	Deputy Chancellor, Division of Operations
Hanna	Tomas	Chief of Innovation, Division of Talent, Labor and Innovation
Jackson-Chase	Courtenaye	Senior Advisor to the Chancellor
Konstan	Elayna	Chief Executive Officer, Office of School and Youth Development
Larson	Elizabeth	Managing Director, Strategic Partnerships
Mojica	Jesse	Executive Director, Division of Family and Community Engagement
Rodriguez	Laura	Deputy Chancellor, Division for Students with Disabilities and English Language Learners
Ross	David	Executive Director, Contracting and Purchasing
Sanft	Robert	Chief Executive, Office of Student Enrollment
Speiller	Lenny	Executive Director, Public Affairs
Sternberg	Marc	Deputy Chancellor, Division of Portfolio Planning
Suransky	Shael Polakow	Chief Academic Officer/Senior Deputy Chancellor, Division of Academics, Performance, and Support
Thomases	Joshua	Deputy Chief Academic Officer
Thompson	Saskia	Deputy Chief School Officer
Tragale	Michael	Chief Financial Officer, Division of Finance
Vasiliou	George	Executive Director, Division of Financial Operations
Walcott	Dennis	Chancellor
Weiner	David	Deputy Chancellor, Division of Talent, Labor, and Innovation

# Department of Education p.3

Wilson  
Bergtraum  
Bryant  
Fedkowskyj  
Foster  
Hernandez  
Kay  
Marti  
Nieves  
Okotieuro  
Pagan  
Peng  
Peruggia  
Sullivan

Karma  
Judy  
Linda Lausell  
Dmytro  
Freida  
Tino  
Jeffrey  
Eduardo  
Lisette  
Gbubemi  
Wilfredo  
Gitte  
Diane  
Patrick

Executive Director, Financial Strategies Group  
Member, Panel for Education Policy  
Member, Panel for Education Policy  
Member, Panel for Education Policy  
Member, Panel for Education Policy  
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Member, Panel for Education Policy

# Office of Emergency Management

April 9, 2012

City of New York  
Conflicts of Interest Board  
2 Lafayette Street, Suite 1010  
New York, N.Y. 10007

Att: Wayne Hawley  
Via e-mail: Hawley@coib.nyc.gov

Re: Agency Obligations under the Substantial  
Policy Discretion Rule of the Conflicts of  
Interest Board

Dear Mr. Hawley:

In response to your April 5, 2012 e-mail and for purposes of Charter §2604(b)(12) and §2604(b)(15), by letter herein, I am providing the Conflicts of Interest Board with the list of current New York City Office of Emergency Management employees charged with substantial policy discretion:

Commissioner .....	<b>Joseph F. Bruno</b>
First Deputy Commissioner.....	<b>Calvin Drayton</b>
Deputy Commissioner of Administration.....	<b>Rachel Stein-Dickinson</b>
Deputy Commissioner of External Affairs.....	<b>Christina Farrell</b>
Deputy Commissioner for Operations.....	<b>Frank McCarton</b>
Deputy Commissioner for Legal Affairs.....	<b>Stella Guarna</b>
Deputy Commissioner of Technology.....	<b>Henry Jackson</b>
Deputy Commissioner for Preparedness.....	<b>Kelly McKinney</b>
Deputy Commissioner for Agency Development & Coordination	<b>Jacob Cooper</b>

# Office of Emergency Management p.2

Page -2-

April 9, 2012

COIB -via e-mail Wayne Hawley hawley@coib.nyc.gov

Re: Annual List Substantial Policy Discretion

Assistant Commissioner Human Services.....	<b>Dina Maniotis</b>
Assistant Commissioner for Strategic Data .....	<b>Jim McConnell</b>
Assistant Commissioner Logistics .....	<b>Alex Markowski</b>
Assistant Commissioner for Administration & Finance ...	<b>Stacy Rosenfeld</b>

Please be advised that I have discussed and reminded the above named staff of the political activity restrictions applicable to those with substantial policy discretion.

I have also provided a copy of the above list, to our agency staff responsible for administration of financial disclosure reporting.

Thank you.

Very truly yours,

STELLA GUARNA,  
D.C. Legal Affairs, NYC OEM  
718 422 4802  
[sguarna@oem.nyc.gov](mailto:sguarna@oem.nyc.gov)

SG/sg

cc: Commissioner Joseph F. Bruno  
Annette Santiago, NYC OEM Director, Human Resources

# Employee Retirement System (NYCERS)

## **NYCERS Annual List of Public Servants Charged with Substantial Policy Discretion**

Linda Chiariello, Deputy Executive Director of NYCERS  
Diane D' Alessandro, Executive Director of NYCERS  
Dominick Fallucci, Administrative Management Auditor  
Andrew Feneck, Administrative Retirement Benefit Specialist  
Sari Goldmeer, Agency Attorney  
Michael Goldson, Administrative Staff Analyst  
Karen Mazza, Executive Agency Counsel  
Felita Ramsami, Administrative Manager  
Claudine Rasp, Agency Attorney  
Elizabeth Reyes, Administrative Staff Analyst  
Sherif Soliman, Administrative Staff Analyst  
Ingrid Stephen, Administrative Staff Analyst  
Craig Thornton, Agency Security Director  
Glenda Villareal, Agency Attorney  
Tenaye Wolde, Administrative Staff Analyst

## **NYCERS Board of Trustees**

Ranji Nagaswami  
John Liu  
Bill de Blasio  
Scott Stringer  
Marty Markowitz  
Ruben Diaz  
Helen Marshall  
James P. Molinaro  
Lillian Roberts  
John Samuelson  
Gregory Floyd

## **NYCERS Board of Trustees Alternates**

Janice Emery  
Carolyn Wolpert  
Elizabeth Botwin  
Thad McTigue  
Larry Schloss  
Jacqueline Sherman  
Dominick Williams  
Jimmy Yan  
Albert Rodriguez  
Hugh Weinberg  
John Wm. Zaccone  
Dennis Deahn  
Debbie DeLauro  
Mary J. O'Connell  
Raymond Santander

# Employee Retirement System (NYCERS) p.2

Pete Foley  
Norman Rosenfeld  
Tony Utano  
Larry Cary  
Patricia Stryker  
Nancy True  
Walter Kane  
Oliver Gray  
Raymond Sarola  
Lisa Chun  
Omair Hassan  
David Frankel  
Joey Koch  
Winston George  
John Dorsa  
Elizabeth Botwin  
Sandra Chapman

# Department of Environmental Protection

Carter H. Strickland, Jr.  
Commissioner, DEP

Steven Lawitts  
Chief Financial Officer

*Vacant*  
Asst. Commissioner for Human Resources Management

Aristides Georgelis  
Engineering Audit Officer

Mary Pazan  
Asst. Commissioner / Agency Chief Contracting Officer

Christopher Hawkins  
Chief of Staff

Kathryn Garcia  
Chief Operations Officer

Michael Gilsenan  
Asst. Commissioner for Environmental Compliance

Vincent Sapienza  
Deputy Commissioner for Wastewater Treatment

John Petito  
Asst. Commissioner for Wastewater Treatment

James Mueller  
Asst. Commissioner, Planning and Capital Projects

John Rousakis  
General Counsel

Robin Levine  
Senior Environmental Counsel

Elissa Stein Cushman  
Deputy General Counsel

Marcella Eckels (L.O.A.)  
Deputy General Counsel

Deborah Singer  
Director of Labor Relations



Mathilde McLean  
Treasurer, NYC Water Board

James J. Roberts  
Deputy Commissioner for Water & Sewer Operations

Edward Coleman  
Assistant Commissioner for Water & Sewer Operations

Mark Lanaghan  
Asst. Commissioner for Intergovernmental Relations

Matthew Mahoney  
Associate Commissioner for Intergovernmental Affairs

Farrell Sklerov  
Director of Communications

Kevin McBride  
Deputy Commissioner for Police and Security

Angela Licata  
Deputy Commissioner for Sustainability

Magdi Farag  
Asst. Commissioner for Green Infrastructure

Sergej Mahnovski  
Senior Advisor to the Commissioner

Amanda Waters  
Deputy Commissioner for Environmental Planning

*Vacant*  
Asst. Commissioner for Environmental Planning

Kathryn Mallon  
Deputy Commissioner for Engineering Design & Construction

Persis Luke  
Asst. Commissioner for Environmental Health & Safety

Jin Chang  
Asst. Commissioner for Information Technology

Michael Saucier  
Press Secretary

Zoe Ann Campbell  
Deputy Commissioner for Human Resources & Administration

Paul Rush  
Deputy Commissioner for Water Supply

David Warne  
Asst. Commissioner for Water Supply

Mark Ritze  
Asst. Commissioner for Management Analysis, Planning & Auditing

Joseph F. Singleton, Jr.  
Deputy Commissioner for Customer Services

Joseph Murin  
Asst. Commissioner for Budget

Peter Fusco  
Chief of DEP Police

Michael Borsykowsky  
Asst. Commissioner for Engineering Management

Kevin Donnelly  
Asst. Commissioner for In-House Design & Support

## **Water Board:<sup>1</sup>**

Alan Moss (Chair)  
Member of the Water Board

Donald A. Capoccia  
Member of the Water Board

Marcia Bystryn  
Member of the Water Board

Mehul J. Patel  
Member of the Water Board

Arlene Payne  
Member of the Water Board

Benjamin A. Tisdell  
Member of the Water Board

Alfonso Carney Jr.  
Member of the Water Board

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<sup>1</sup> As per advice from the Conflicts of Interest Board, unless Members of the Water Board are otherwise public servants, they are not subject to the political prohibitions set forth in Chapter 68. *Revised: February 22, 2011*

# Equal Employment Practices Commission

Cesar A. Perez, Esq. Chair	Chair/ Commissioner
Angela Cabrera	Commissioner
Elaine S. Reiss, Esq.	Commissioner
Malini Cadambi Daniel	Commissioner
Arva R. Rice	Commissioner
Charise L. Hendricks	Interim Executive Director

# Department of Finance



Office of the  
Commissioner  
1 Centre Street – Suite 500  
New York, NY 10007  
Tel. 212.669.4855  
Fax 212.669.2275

DAVID M. FRANKEL  
Commissioner

February 15, 2012

Mr. Wayne Hawley  
Conflicts of Interest Board  
2 Lafayette Street, Suite 1010  
New York, New York, 10007

RE: **Substantial Policy Discretion**

Dear Mr. Hawley:

In response to Mark Davies' January 31, 2012 communication regarding "Agency Obligations Under the Substantial Discretion Rule of the Conflicts of Interest Board," the following is a list of the names and titles (or positions) of employees within the Department of Finance who have substantial policy discretion:

<b><u>Employee Name</u></b>	<b><u>Title</u></b>
David M. Frankel	Commissioner
Elizabeth Botwin	Deputy Commissioner, Administration & Planning
Edgar Domenech	Deputy Commissioner, Sheriff Division
Beth Goldman	Deputy Commissioner, Legal Affairs
Thomas Harty	Deputy Commissioner, Chief Information Officer
Michael Hyman	Deputy Commissioner, Tax Policy & Planning
Andrew Salkin	Deputy Commissioner, Operations
Samara Karasyk	Assistant Commissioner, External Affairs
Jacqueline James	Assistant Commissioner, Fiscal Services and Purchasing
Marisa Caggiano	Assistant Commissioner, Employee Services
Annette Hill	Assistant Commissioner, Land Records / City Register
Pamela Parker-Cortijo	Assistant Commissioner, Collections
Leslie Zimmerman	Assistant Commissioner, Payment Operations
Elaine Kloss	Assistant Commissioner, Treasury
Mary Gotsopoulos	Chief Administrative Law Judge, Adjudications Division
Sara Meyers	Assistant Commissioner, Tax & Parking Program Operations
Sarah Knapp	Director of Special Projects, Operations
Timothy Sheares	Assistant Commissioner, Property Valuation
Karen Schlain	Assistant Commissioner, Tax Policy

# Department of Finance p.2


**Employee Name**

**Title**

Peter Rabinowitz	Chief of Audit Operations, Tax Audit
Ranji Nagaswami	Chief Investment Advisor, Mayor's Office of Pensions
Carolyn Wolpert	Chief Pension Administrator
Joseph Fucito	First Deputy Sheriff
Robert Paskus	Director, Property, Collections & Accounting Applications, FIT
Fay Chin	Director of Parking and Payment Applications, FIT
Edward Voyer	Director of Tax Policy, Audit and Assessment Applications, FIT
Peter Yuin	Director of Network Operations, FIT
Owen Stone	Press Officer

If you have any questions, please feel free to contact me.

Sincerely,



David M. Frankel  
Commissioner

# Financial Information Services Agency



## *The City of New York* *Financial Information Services Agency*

450 West 33<sup>rd</sup> Street, 4<sup>th</sup> Floor  
New York, NY 10001-2603

Telephone: (212) 857-1200  
Fax: (212) 857-1106  
TTY: (212) 857-1780

MICHAEL R. BLOOMBERG, *Mayor*  
JOHN C. LIU, *Comptroller*

ROBERT W. TOWNSEND  
*Executive Director*

To: Mark Davies  
Executive Director  
Conflicts of Interest Board  
(Mailed electronically only: [davies@coib.nyc.gov](mailto:davies@coib.nyc.gov) )

From: Adrienne R. Edley  
Deputy Executive Director and General Counsel

Date: February 2, 2012

Subject: Agency Obligations under the Substantial Policy Discretion Rule of  
the Conflicts of Interest Board (the "Board")

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Pursuant to your memorandum dated January 31, 2012, and Board Rules §1-02, below is a list of public servants at FISA who have substantial policy discretion:

1. Robert W. Townsend, Executive Director
2. Edward J. Fitzpatrick, Jr., Deputy Executive Director Administration
3. Adrienne R. Edley, Deputy Executive Director and General Counsel Legal and Business Services
4. Rose-Ellen Myers, First Deputy Executive Director HR/Payroll Systems
5. Velu Pillai, Deputy Executive Director Shared Systems
6. Peter Reddy, Deputy Executive Director Financial Systems
7. Stacey Cumberbatch, Deputy Executive Director Timekeeping System

If you have any comments or you require additional information please contact me at 212-857-1120.

Thank you.

cc: (cc's distributed electronically only)  
Robert W. Townsend, FISA  
Edward J. Fitzpatrick, Jr., FISA  
Sherri Roth, FISA  
Wayne Hawley, COIB ( [hawley@coib.nyc.gov](mailto:hawley@coib.nyc.gov) )

# Fire Department

## Fire Department Employees with Substantial Policy Discretion

<u>Name</u>	<u>Functional Title</u>
Salvatore Cassano	Fire Commissioner
Daniel Shacknai	First Deputy Fire Commissioner
Edward Kilduff	Chief of Department
John Benanti	Deputy Commissioner for Technology & Support
Francis Gribbon	Deputy Commissioner for Public Information
Douglas White	Deputy Commissioner for Administration
Robert Sweeney	Chief of Operations
Thomas Galvin	Chief of Training
Thomas Jensen	Chief of Fire Prevention
Robert Boyce	Chief of Communications
Robert Byrnes	Chief Fire Marshal
Abdo Nahmod	Chief of EMS Command
Kerry Kelly	Chief Medical Officer
David Prezant	Chief Medical Officer
John Freese	Chief Medical Director
James Manahan	Assistant Chief of Operations
Richard Tobin	Assistant Chief of Fire Prevention
Joseph Pfeifer	Assistant Chief-Counterterrorism/Emergency Preparedness
Jerry Gombo	Assistant Chief of EMS
John Peruggia	Assistant Chief of EMS
James Esposito	Assistant Chief-Manhattan Boro Commander
Kevin Butler	Deputy Assistant Chief-Bronx Boro Commander
James Leonard	Deputy Assistant Chief-Brooklyn Boro Commander
John Sudnik	Deputy Assistant Chief-Queens Boro Commander
Michael Marrone	Deputy Assistant Chief-Staten Island Boro Commander
Edward Baggott	Deputy Assistant Chief-Administration
William Seelig	Deputy Assistant Chief-Special Operations Command
Ronald Spadafora	Deputy Assistant Chief-Logistics
Robert Maynes	Deputy Assistant Chief-Planning & Strategy
Stephen Raynis	Deputy Assistant Chief-Safety Inspectional Services
Joseph Woznica	Deputy Assistant Chief of Fire Prevention
John Mooney	Chief of the Fire Academy
Caroline Kretz	Associate Commissioner, Intergovernmental Affairs
Michael Vecchi	Associate Commissioner, Management Initiatives
Kay Ellis	Assistant Commissioner, Fleet Administration
Margo Ferrandino	Assistant Commissioner, Equal Employment Opportunity
Michele Maglione	Assistant Commissioner, Recruitment & Diversity
Joseph Mastropietro	Assistant Commissioner, Facilities Management
Donay Queenan	Assistant Commissioner, Human Resources
Stephen Rush	Assistant Commissioner, Budget & Finance
Suzanne Sebert	Assistant Commissioner, Family Assistance Unit
Donald Stanton	Assistant Commissioner, Technology Development & Systems
Robert Wallace	Assistant Commissioner, Investigations & Trials
Julian Bazel	Department Counsel



# Fire Department p.2

<b><u>Name</u></b>	<b><u>Functional Title</u></b>
Robert Scott	Agency Chief Contracting Officer
Michael Gala	Chief of Uniformed Personnel
Domenico Loccisano	Executive Director, Compliance/Internal Audit & Control

# Department of Health and Mental Hygiene

**THE DEPARTMENT OF HEALTH & MENTAL HYGIENE (H816)  
EMPLOYEES HAVING SUBSTANTIAL POLICY DISCRETION  
LIST FOR 2011/2012**

<b><u>LAST NAME FIRST NAME</u></b>	<b><u>POSITION</u></b>	<b><u>DIVISION</u></b>
Aaronson, Arthur	Assistant Commissioner	Office of Chief Medical Examiner
Beatrice, Sara, Ph.D	Assistant Commissioner	Disease Control
Bedell, Jane, MD	Assistant Commissioner	Health Promotion & Disease Prevention
Beiger, Elizabeth	Assistant Commissioner	Epidemiology
Boyd, Christopher	Assistant Commissioner	Environmental Health
Brown, Patricia	Assistant Commissioner	Health Care Access & Improvement
Butcher, Barbara	Chief of Staff	Office of Chief Medical Examiner
Chang, Christina	Assistant Commissioner	Policy & External Affairs
Clark, Nancy L.	Assistant Commissioner	Environmental Health
Christ, Kelly	Chief of Staff	Commissioner's Office
Cresciullo, Frank	Assistant Commissioner	Environmental Health
Delaney, Richard	Director	Finance & Planning - FSM
Durrah, James	Assistant Commissioner	Administration
DePaolo, Frank	Assistant Commissioner	Office of Chief Medical Examiner
Edman, Robert	Assistant Commissioner	Environmental Health
Farfel, Mark	Director	Epidemiology

# Department of Health and Mental Hygiene p.2

(H816)

<u>LAST NAME</u> <u>FIRST NAME</u>	<u>POSITION</u>	<u>DIVISION</u>
Farley, Thomas MD, MPH	Commissioner	Commissioner
Gasner, Rose	Deputy General Counsel	Office of General Counsel
Goldberg, Allan	Assistant Commissioner	Environmental Health
Goodman, Andrew, MD, MPH	Deputy Commissioner	Health Promotion & Disease Prevention
Gordon, Suzette	Agency Counsel	Health Care Access & Improvement
Greene, Carolyn, MD	Deputy Commissioner	Epidemiology
Hirsch, Charles, MD	Chief Medical Examiner	Office of Chief Medical Examiner
Hayes, Roger	Assistant Commissioner	Health Promotion & Disease Prevention
Kaplan, Deborah	Assistant Commissioner	Health Promotion & Disease Prevention
Kansagra, Susan	Assistant Commissioner	Health Promotion & Disease Prevention
Kass, Daniel	Assistant Commissioner	Environmental Health
Kelly, John	Associate Commissioner	Policy & External Affairs Epidemiology
Kerker, Bonnie	Assistant Commissioner	Epidemiology
Layton, Marcelle, MD	Assistant Commissioner	Disease Control
Lintern, Thomas	Deputy Commissioner	Office of Chief Medical Examiner

# Department of Health and Mental Hygiene p.3

(H816)

<b>LAST NAME FIRST NAME</b>	<b><u>POSITION</u></b>	<b><u>DIVISION</u></b>
Lipton, Jody	Executive Agency Counsel	Office of Chief Medical Examiner
Liu, Jian	Assistant Commissioner/ Chief Technical Officer	DIIT
McIntyre, Brenda M.	Assistant Commissioner/Director	Administration
Marcus, Elliott	Associate Commissioner	Environmental Health
Maybank, Karen Aletha MD	Assistant Commissioner	Health Promotion & Disease Prevention
Merrill, Thomas, Esq.	General Counsel	General Counsel for Health & Mental Hygiene
Miller, Sam	Assistant Commissioner	Policy & External Affairs
Paris, Fran	Senior Policy Advisor	Policy & External Affairs
Parsons, Amanda	Assistant Commissioner	Health Care Access & Improvement
Platt, Roger, MD	Assistant Commissioner	Health Promotion & Disease Prevention
Prinz, Mechthild	Director	Office of Chief Medical Exam.
Prud'homme, Jeanine	Assistant Commissioner	Environmental Health
Raphael, Marisa	Assistant Commissioner	Disease Control
Rozza, Assunta	Deputy Commissioner	Finance
Sampson, Barbara	Deputy Chief Medical Examiner	Office of Chief Medical Exam.

# Department of Health and Mental Hygiene p.4

(H816)

<u>LAST NAME</u> <u>FIRST NAME</u>	<u>POSITION</u>	<u>DIVISION</u>
Silver, Lynn, MD, MPH	Assistant Commissioner	Health Promotion & Disease Prevention
Slade, Joseph	Assistant Commissioner	Epidemiology
Judi Soehren	ACCO/Assistant Commissioner	ACCO
Stevelman, Daniel	Deputy Commissioner	Office of Chief Medical Exam.
Sweeney, Monica, MD	Assistant Commissioner	Disease Control
Tessler-Handler, Rose, Esq.	Director	General Counsel for Health & Mental Hygiene
Ullah, Naeem	Chief Information Officer	Office of Chief Medical Examiner
Vassall, Linda Hall	Assistant Commissioner	Health Promotion & Disease Prevention
Venters, Homer	Assistant Commissioner	Health Care Access & Improvement
Weinstein, Joyce	Assistant Commissioner	Health Care Access & Improvement
Weisfuse, Isaac, MD, MPH	Deputy Commissioner	Emergency Preparedness
Windholz, Roslyn, Esq.	Deputy General Counsel	General Counsel for Health & Mental Hygiene
Yang, Patsy	COO/Executive Deputy Comm.	COO's Office

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(H816)

5.

## BOARD OF HEALTH MEMBERS

1.	Thomas Farley, Chair
2.	Pamela S. Brier, MPH.
3.	Sixto R. Caro, MD
4.	Joel A. Forman, MD
5.	Marlon Brewer, MD
6.	Susan Klitzman, DrPH
7.	Kenneth Popler, PhD, MBA
8.	Lynne D. Richardson, M.D.
9.	Bruce C. Vladeck, Ph.D.
10.	Michael S. Phillips, MD
11.	Deepthiman K. Gowda, MD

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# Department of Health and Mental Hygiene p.6

(H816)

## MENTAL HYGIENE EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION LIST FOR 2011/2012

6.

<u>NAME</u>	<u>POSITION</u>
Adam Karpati, Md, MPH	Executive Deputy Commissioner for Mental Hygiene
Marie B. Casalino, M.D., MPH	Assistant Commissioner for Early Intervention Program
Janice Chisholm	Assistant Commissioner, Health Integration, Bureau of Mental Hygiene Policy, Planning & Clinical Affairs
Patricia Pate	Assistant Commissioner, Bureau of Mental Hygiene Administration & Contract Services
Myla Harrison, M.D., MPH	Assistant Commissioner, Office of Child & Adolescent Services
Gerard Cohen, M.D.	Director of Clinical Affairs
Trish Marsik	Assistant Commissioner for Mental Hygiene
Lily Tom, D.S.W.	Assistant Commissioner for Mental Hygiene Policy, Planning & Clinical Affairs
Louis Cuoco, DSW	Director, Program Initiatives, Bureau of Alcohol & Drug
Howard Grossman	Assistant Director of OPRE, Bureau of MH Policy, Planning & Clinical Affairs
William Lopez	Assistant Commissioner for the Bureau of Mental Retardation & Development Disabilities
Daliah Heller, PhD, MPH	Assistant Commissioner for Alcohol & Drug Use Prevention, Care and Treatment
Vicki Morris	Director of Contract Audits
Kathleen Kennedy	Director of CD Contracts and Community Liaison, Bureau of Alcohol and Drug Use Prevention, Care, and Treatment
Denise Arthur	Senior Director for Administrative Services, Bureau of Administration
Gloria Liu	Senior Director of DMH Office Management Information System (OMIS), Bureau of Administration and Contract Services
Linda Fraser	Acting Director of the Office of Coordinated Mental Health Services
JoAnne McLean	Director of the NYC 9/11 Benefit - Program
Bruce Schiller	Chief Administrative Officer, Early Intervention Program
Beverly Samuels	Director , Early Intervention Consumer Affairs
Prashil Govind, MD	Medical Director, Early Intervention Program
Meggan Christman Schilkie	Senior Advisor to Executive Deputy Commissioner
Robert Goldblatt	Director of Rehabilitation Program, Bureau of Mental Hygiene
Ireti Bobb	Director of Early Intervention
Robin Wunsch-Hitzig	Acting Director, Office of Mental Hygiene Quality Improvement, Bureau of Mental Hygiene Policy & Clinical Affairs
Gail Wolsk	Director of Mental Health Disaster Preparedness & Response
Pablo Sadler	Medical Director, Bureau of Mental Health
Lidiya Lednyak	Director of Policy & Quality Assurance, Bureau of Early Intervention Services
Cheryl King	Director of E-Mental Hygiene
Nora Puffett	Director of Program Planning
Marnie Davidoff	Director of Policy
Rajpersaud, Randolph	Assistant Commissioner of Administration

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# Health and Hospitals Corporation

## **New York City Health and Hospitals Corporation** **Public Servants with Substantial Policy Discretion**

Michael A. Stocker, MD, Chairman of the Board of Directors  
Josephine Bolus, Board Member  
Jo Ivey Boufford, MD, Board Member  
Vincent Calamia, MD, Board Member  
Robert Doar, Board Member  
Thomas A. Farley, MD, Board Member  
Linda I. Gibbs, Board Member  
Christina L. Jenkins, MD, Board Member  
Adam Karpati, MD, Board Member  
Anna Kril, Board Member  
Rev. Diane Lacey, Board Member  
Robert Nolan, Board Member  
Bernard Rosen, Board Member  
Emily A. Youssouf, Board Member

Alan D. Aviles, President  
Antonio D. Martin, Executive Vice President/Corporate Chief Operating Officer  
Salvatore J. Russo, Senior Vice president and General Counsel  
Marlene Zurack, Senior Vice President, Finance and Capital  
LaRay Brown, Senior Vice President, Corporate Planning, Community Health and Intergovernmental Relations  
Caroline M. Jacobs, Senior Vice President, Patient Safety, Accreditation, Regulatory Services  
Ross Wilson, MD, Senior Vice President, Medical and Professional affairs  
Ana Marengo, Senior Vice President, Communications and Marketing  
Joanna Omi, Senior Vice President, Organizational Innovation and Effectiveness  
Bert Robles, Corporate Chief Information Officer  
Wayne McNulty, Corporate Compliance Officer  
Norman M. Dion, Inspector General  
Gayle Gavin, Chairperson, Personnel Review Board

Lynda Curtis, Senior Vice President, South Manhattan Healthcare Network  
Arthur Wagner, Senior Vice President, Southern Brooklyn/Staten Island Healthcare Network  
Ann Marie Sullivan, MD, Senior Vice President, Queens Health Care Network  
Chris D. Constantino, Executive Director, Elmhurst Hospital Center  
Julius Wool, Executive Director, Queens Hospital Center  
Iris Jimenez-Hernandez, Senior Vice President, Generations +/Northern Manhattan Health Network  
Denise Soares, Executive Director, Harlem Hospital Center  
Meryl Weinberg, Executive Director, Metropolitan Hospital Center  
William P. Walsh, Senior Vice President, North Bronx Healthcare Network  
George Proctor, Senior Vice President, Central and North Brooklyn Health Network  
Arnold Saperstein, MD, Executive Director, MetroPlus Health Plan  
Ann Frisch, Executive Director, HHC Health and Home Care



# Department of Homeless Services

<b>Name</b>	<b>Title</b>	<b>Division</b>
Branch, Tony	Assistant Commissioner	Administration
Bynoe-Kasden, Valerie	Deputy Commissioner	Administration
Moore, Kim	Director of Labor Relations M-2	Administration
Taurisano, Michael	Assistant Commissioner	Administration
Williams, Denita	Director of Personnel M-3	Administration
Distefano, William	Admin. Director of SS M-3	Adult Services
Dupy, Frantz	Admin. Director of SS M-3	Adult Services
Hall, Mary	Assistant Commissioner M-4	Adult Services
Hanton, Debra	Admin. Director of SS M-3	Adult Services
Hillman, Trinity	Assistant Commissioner M-4	Adult Services
Minelli, Danielle	Admin. Staff Analyst M-3	Adult Services
Pierre, Frances	Acting Deputy Commissioner M-5	Adult Services
Rudin, Joann	Assistant Commissioner M-3	Adult Services
Winston, Aqueelah	Admin. Director of SS M-3	Adult Services
Brancaccio, Barbara	Deputy Commissioner for Communications M-6	Executive
Diamond, Seth	Commissioner M-9	Executive
Marder, Dova	Agency Medical Director M-5	Executive
Martinez, Patricia	Admin. Staff Analyst M-3	Executive
Neal, Mark	Director of EEO (DHS) M-4	Executive
Weissman, Joanna	Admin. Director of SS M-2	Executive
Winter, Fran	First Deputy Commissioner M-8	Executive
Zima, Kenneth	Chief Information Officer M-5	Executive
Hamilton, Todd	Assistant Commissioner M-4	Facility Maintenance & Development
Pavlakos, Giannoula	Deputy Commissioner M-6	Facility Maintenance & Development
Russo, Jim	Assistant Commissioner M-3	Facility Maintenance & Development

# Department of Homeless Services p.2

Vanacore, Thomas	Assistant Commissioner M-4	Facility Maintenance & Development
Abad, Ronald	Assistant Commissioner M-4	Family Services
Barro, Alexandre	Admin. Director of SS M-4	Family Services
Bass, Stephen	Assistant Commissioner M-4	Family Service
Chew, Rebecca	Executive Agency Counsel M-5	Family Services
David, Carol	Assistant Commissioner M-4	Family Services
Heller, Elizabeth	Deputy Commissioner M-6	Family Services
Mitchell, Kristen	Assistant Commissioner M-4	Family Services
Moten, Julia	Associate Commissioner M-5	Family Services
Pock, Stephen	Deputy Commissioner M-6	Fiscal Operations
Schulman, Suellen	Assistant Commissioner M-4	Fiscal Operations
Urquhart, Lula	Assistant Commissioner M-4	Fiscal Operations
Villari, Erin	Assistant Commissioner M-3	Fiscal Operations
Ovesey, Michelle	General Counsel M-7	Legal Affairs
James, Douglas	Executive Agency Counsel M-4	Legal Services
Gramann, Barbara	Computer Systems Manager M-3	OIT
Mitchell, Yvette	Computer Systems Manager M-2	OIT
Stepanian, Jacqueline	Admin. Director of SS M-2	OIT
Howard-Cooper, Ellen	Deputy Commissioner M-5	Prevention
Johns, Eileen	Admin. Director of SS M-3	Prevention
Zikmund, Alyson	Associate Staff Analyst M-2	Prevention
Zuiderveen, Sara	Assistant Commissioner M-3	Prevention
Gagliardi, Michael	Deputy Commissioner M-5	Security
Panazzolo, Glenn	Assistant Commissioner M-4	Security

# Housing Authority

## NYCHA Public Servants Charged With Substantial Policy Discretion as Defined by Conflicts of Interests Board Rule § 1-02

<u>Office Title</u>	<u>Name</u>
<b>EXECUTIVE</b>	
Chairman	John B. Rhea
Vice Chair	Emily Youssouf
Board Member	Margarita López
Board Member	Victor A. Gonzalez
Corporate Secretary of the Authority	Vilma Huertas
Executive Vice President for Development	Frederick S. Harris
General Manager	Atefeh Riazi (Acting)
Chief of Staff	Helen Morillo
Chief Communications Officer	Florence L. Godfrey
Director, Audit Department	Brenda Keating
Director, Equal Opportunity	Celeste T. Segure
Director, Research & Management Analysis	Anne-Marie Flatley
Director, State and City Legislative Affairs	Brian Honan
Director, Enterprise Program Management Office	Jennifer Lawrence
<b>ADMINISTRATION</b>	
Executive Vice President & Chief Administrative Officer	Natalie Rivers
Director, Facility Planning and Administration	John McCormick
Director, General Services	Bat-Sheva Horodniceanu

# Housing Authority p.2

Director, Human Resources	Jane Bura-Drago
Deputy Director, Personnel & Labor Relations	David Marcinek
Director, Security	Patrick O'Hagan
Director, Supply Chain Operations	Gary Smith
Director, Procedure Development and Administration	Robin Jackson

## **CAPITAL PROJECTS**

Executive Vice President for Capital Projects	Raymond Ribeiro
Vice President for Administration	Raymond Stefanowicz
Vice President for Capital Projects	Farhan Syed
Vice President for Quality Assurance	Celeste Glenn
Director, Administration	James Scanlon
Director, Bronx/Queens	Douglas McNevin
Director, Brooklyn/Staten Island	(Vacant)
Director, Manhattan	Nicholas Maranzano
Director, Design	Scott Groom
Director, Technical Support	Joseph Wesolowski

## **COMMUNITY PROGRAMS & DEVELOPMENT**

Executive Vice President of Community Programs & Development	Sharon Myrie
Vice President of Community Operations	Deidra Gilliard
Vice President of Strategy & Program Development	Debra-Ellen Glickstein
Senior Advisor for Resident Economic Empowerment & Sustainability	Michelle Pinnock

# Housing Authority p.3

Director, Resident Employment Services	(Vacant)
Director, Public Private Partnership	Dawn Walker
Director, Administration	(Vacant)
Director, Bronx	Ilia Figueroa
Director, Brooklyn	Jenelle Mitchell
Director, Manhattan	Ukah Busgith
Director, Queens/Staten Island	(Vacant)
Director, Programs & Assessment	(Vacant)
Director, Resident Support Services	Richard Greene

## **FINANCE**

Executive Vice President & Chief Financial Officer	Andreas Spitzer (Acting)
Vice President for Financial and Strategic Investments	Andreas Spitzer
Comptroller, Accounting and Fiscal Services	Jeffrey A. Pagelson
Director of Strategic Initiatives	Cindi Steinmetz
Director, Risk Finance/Insurance	Arlene Orenstein
Deputy Director, Business and Revenue Development	Edward Velez
Director, Budget & Financial Planning	Michael Keats
Director, Energy	Rory Christian

## **INFORMATION TECHNOLOGY**

Executive Vice President & Chief Information Officer	Atefeh Riazzi
Deputy CIO for Business Solution Technology	Robert Marano
Deputy CIO for Enterprise Technology Management	John Saggese

# Housing Authority p.4

Chief Technology Officer for IT Infrastructure Rudolph Kurkjian

Senior Director, Process & Information Management Irene Shapiro

## **LAW DEPARTMENT**

Executive Vice President of Legal Affairs & General Counsel Sonya Kaloyanides

Deputy General Counsel, Civil Litigation (Vacant)

Deputy General Counsel, Corporate Affairs (Vacant)

Deputy General Counsel, Housing Litigation Sam Mordi

Deputy General Counsel, Tort Litigation Kelly MacNeal

Deputy General Counsel, Real Estate and Economic Development (Vacant)

## **LEASED HOUSING**

Senior Vice President for Leased Housing Carlos Serrano

Director, Leased Housing Colette Rodgers

Director, Quality Assurance and Performance Management Lillian Harris

## **MANAGEMENT OPERATIONS**

Executive Vice President of Operations Carlos Laboy-Diaz

Vice President of Operations Victor Martinez

Vice President of Operations for Support Services Brian Clarke

Managing Director for Mixed Finance and Asset Management (Vacant)

Financial Manager of Operations (Vacant)

Director, Management & Customer Relationship Systems Patricia Lawler

Director, Applications & Tenancy Administration Tina Lam

Director, Family Services Nora Reissig

# Housing Authority p.5

Director, Bronx

Melania Allen

Director, Brooklyn

Marguerite Mann

Director, Customer Contact Center

John Devine

Director, Emergency Services

Conrad Vazquez

Director, Manhattan

Christopher Shaffner

Director, Queens/Staten Island

Carolyn Jasper

Director, Technical Services

Luis Ponce



NEW YORK CITY  
HOUSING DEVELOPMENT  
CORPORATION

# Housing Development Corporation

February 29, 2012

Mr. Wayne Hawley  
General Counsel  
City of New York  
Conflicts of Interest Board  
2 Lafayette Street, Suite 1010

Dear Mr. Hawley:

The following is an update of employees and members who are designated as having substantial policy discretion determination of important agency matters:

Employee/Member	Title
Bacchus, Simon	Sr. V.P. Development
Ciampa, Felix	Member
Duffy, Ellen	Sr. V.P. Debt Issuance
Frankel, David	Member, Ex-officio
Froehlich, Richard M.	Exec. V.P., Chief Operating Officer/G.C.
Gigliello, Teresa A.	Sr. V.P. Asset Management
Jahr, Marc	President
Gould Jr., Harry E.	Member
Mariconda, Pellegrino	Sr. V.P. Chief Info./Admin Officer
Moerdler, Charles G.	Member
Naeris, Urmas	Chief Credit Officer
Notice-Scott, Denise	Member
O'Reilly, Eileen	Sr. V.P. Loan Servicing
Page, Mark	Member Ex-officio
Tally, Joan	Exec. V.P. Chief of Staff
Wambua, Mathew	Member, Ex-officio

Please contact me or Melissa Barkan, Deputy General Counsel, if you should need additional information.

Sincerely,

Stephen Mondelli  
Deputy Director, Human Resources

CC: Melissa Barkan  
Mary McConnell



# Department of Housing Preservation and Development

Matthew Wambua	Commissioner			
Douglas Apple	First Deputy Commissioner			
Alexandra Sewell	Chief of Staff, Deputy Commissioner, Policy & External Affairs			
Moon Wha Lee	Assistant Commissioner, Housing Policy Research			
Kaye Matheny	Assistant Commissioner, Strategic Planning			
Molly Park	Deputy Commissioner, Budget, Fiscal & Performance Analysis			
Merrick Reid	Assistant Commissioner, Fiscal			
Eva Trimble	Assistant Commissioner, Budget			
Joseph Rosenberg	Special Counsel, State Legislative Affairs			
Matthew Shafit	Deputy Commissioner, Legal Affairs			
Curtis Deane	Assistant Commissioner, Procurement (ACCO)			
Kimberly Hardy	Special Counsel, Regulatory Compliance			
Ruth Visnauskas	Deputy Commissioner, Development			
Miriam Colon	Assistant Commissioner, Housing Incentives			
Eric Enderlin	Assistant Commissioner, Preservation Finance			
Jacqueline Alexander	Assistant Commissioner, Public Disposition & Finance			
Beatriz De La Torre	Assistant Commissioner, Planning			
Timothy O'Hanlon	Assistant Commissioner, Special Needs Housing			
Susan Kensky	Assistant Commissioner, New Construction			
Carol Clark	Assistant Commissioner, Land Use & Local Governmental Affairs			
Laurie Loprimo	Assistant Commissioner, Tenant Resources			
Shampa Chanda	Assistant Commissioner, Architecture & Engineering			
Timothy Joseph	Assistant Commissioner, Construction Services			
Joshua Cucchiaro	Assistant Commissioner, Administration			
Anthony Thomas	Associate Commissioner, Technology & Strategic Development			
Vito Mustaciolo	Deputy Commissioner, Enforcement & Neighborhood Services			
Mario Ferrigno	Assistant Commissioner, Code Enforcement			
Rassoul Azarnejad	Assistant Commissioner, Maintenance			
Deborah Rand	Assistant Commissioner, Housing Litigation			
William Carbine	Assistant Commissioner, Neighborhood Preservation			
Anne-Marie Hendrickson	Deputy Commissioner, Asset & Property Management			
Vivian Louie	Assistant Commissioner, Property Management			
Christopher Allred	Assistant Commissioner, Asset Management			
Julie Walpert	Assistant Commissioner, Housing Supervision			
Grace DeFina	Assistant Commissioner, Special Enforcement			

# Commission on Human Rights



## COMMISSION ON HUMAN RIGHTS

40 RECTOR STREET, NEW YORK, NY 10006

Dial 311 [www.nyc.gov/cchr](http://www.nyc.gov/cchr)

PATRICIA L. GATLING

*Commissioner and Chair*

February 24, 2012

City of New York  
Conflicts of Interest Board  
2 Lafayette Street, Suite 1010  
New York, NY 10007  
Attn: Mr. Wayne Hawley

Dear Mr. Hawley,

Listed below are the names and titles of those public servants in our agency who have substantial policy discretion:

Patricia L. Gatling	Commissioner/Chair
Cliff Mulqueen	Deputy Commissioner for Investigations/General Counsel
Carlos Velez	Executive Director, Law Enforcement Bureau
Lee Hudson	Deputy Commissioner for Community Relations
Daniel Tymus	Director of MIS

Additionally, in accordance with the Charter 2604(b)(12) and (15), which states that a public servant is deemed to have substantial policy discretion if he or she has major responsibilities and exercises independent judgment in connection with determining important agency matters, below are the names of our ten (10) lay Commissioners, who are not required to file a financial disclosure report:

Calvin Butts	Grace Lyu-Volckhausen
Eda Harris Hastick	Omar Mohammedi
William J. Hibsher	Derek Park
Renee Lobo	Joseph Potasnik
Haskel Lookstein	

If you have any further questions regarding the above information, please contact Cliff Mulqueen at 212.306.7741.

Very truly yours,

Patricia L. Gatling

# Human Resources Administration

## HUMAN RESOURCES ADMINISTRATION 2011 SUBSTANTIAL POLICY DISCRETION STAFF

Bailey, Joanne	Director Policy Analysis and Research
Beirne, Barbara	Deputy ACCO
Berger, Robert	Assistant Deputy Commissioner
Berry, Jill	Deputy Commissioner
Bhuiyan, Mohammed	Director APS Contracts
Bonilla, Grace	Assistant Deputy Commissioner
Borelli, Eugene	Inspector Police Operations
Borkow, Samuel	Deputy Commissioner
Bosket, Michael	Assistant Deputy Commissioner
Brady, Marie	Director Office of Reasonable Accommodation
Brune, Matthew	Executive Deputy Commissioner
Cadogan, Marjorie	Executive Deputy Commissioner
Carcana, Candida	Deputy Commissioner
Carlson, Kathleen	Executive Deputy Commissioner
Claudio, Jose	Executive Regional Manager
Colares, Ana	Executive Director
Corbett, Jane	Executive Deputy Commissioner
DeMartino, Joseph	Deputy Commissioner
DePippo, Thomas	Senior Executive Deputy Commissioner
Diop, Audrey	Director Public Health Insurance Initiatives
Discala, Helen	Assistant Deputy Commissioner
Doar, Robert	Commissioner
Dudley, Jacqueline	Deputy Commissioner
Dukes, Tina	Assistant Deputy Commissioner
Durney, Mary	Director MICSA Reporting and Analysis
Dyjak, Kathryn	Deputy Commissioner
Eisner, Michael	Deputy Commissioner Home Care Services Program
Esnard, Roy	General Counsel
Ferby, Lakythia	Assistant Deputy Commissioner
Fitzpatrick, Lisa	Deputy Commissioner
Flaum, Jacquelyn	Assistant Deputy Commissioner
Fouks, Michelle	Executive Deputy Commissioner
Fravien, Romain	Assistant Deputy Commissioner
Freeman, Dexterley	Assistant Deputy Commissioner
Garcia, Patricia	Executive Manager
Gaul, Catharine	Deputy Commissioner
Gaut, Gwendolyn	Assistant Deputy Commissioner
Glase, Peter	Senior Deputy General Counsel
Glickson, Mark	Assistant Deputy Commissioner
Gomez, Gregory	Executive Regional Manager
Gritz, Elliott, MD	Psychiatrist/Deputy Medical Director
Gunn, Regina	First Deputy ACCO
Hacker, Linda	Assistant Deputy Commissioner
Harper, Mary	Executive Deputy Commissioner
Holm-Carela, Annette	Director Home Care Services Field Operations
James, Marcia	Assistant Deputy Commissioner
Jarvis, Ingrid	Deputy Commissioner
Jenik, Peter	Executive Deputy Commissioner

# Human Resources Administration p.2

## HUMAN RESOURCES ADMINISTRATION 2011 SUBSTANTIAL POLICY DISCRETION STAFF

Jenkins, Gary	Assistant Deputy Commissioner
Johnson, Angela	Executive Regional Manager
Kumar, Elizabeth	Deputy Commissioner
Laganis, Elizabeth	Assistant Deputy Commissioner
La Greca, Linda	Assistant Deputy Commissioner
Lane, Karen	Deputy Commissioner
Lawler, Joseph	First Assistant Deputy Commissioner
LeMelle, Edward	Senior Deputy General Counsel
Levine, Rachel	Executive Deputy Commissioner
Lipton, Frank MD	Executive Deputy Commissioner
Maharaj, Lamaunda	Director, Office of Food Programs
Martinez, Annie	Assistant Deputy Commissioner
Mattos, Alida	Assistant Deputy Commissioner
Mittelman, Judith	Assistant Deputy Commissioner
Mo, Chi	Senior Deputy General Counsel
Moss, Sherry	Assistant Deputy Commissioner
Nakhle, Stanislava	Director Health Insurance Work Support Initiatives
Ng, Arnold	Director of Home Care Contracts
Noel, Cecile	Executive Deputy Commissioner
Oest, Denise	Deputy Commissioner
Ovadia, Neil	Director Office of Contract Services
Pardus-Abbadessa, Frances	Executive Deputy Commissioner
Perez-Degado, Maria	Director Registration/Post Registration
Philip, Marie	Executive Director Office of Domestic Violence
Pullo, Vincent	Chief Contracting Officer
Ramadas, Sarathi	Deputy ACCO
Ress, Connie	Deputy Commissioner
Rosas, Milagros	Director, Emergency Services
Saberski, Lin	Deputy Commissioner
Salnave, Samuel	Assistant Deputy Commissioner
Sanchez, Sandra	Director Home Energy Assistance Program
Sangster-Graham, Paula	Director of HASA Contracts
Santino, Joseph	Deputy Commissioner
Schoonmaker, Joanne	Executive Director
Sheehan, Angela	Deputy Commissioner
Sheehan, James	Executive Deputy Commissioner
Sheinkopf, George	Inspector Police Operations
Sherman, Martha	Assistant Deputy Commissioner
Siemer, Richard	Executive Deputy Commissioner
Singleton, Raymond	Assistant Deputy Commissioner
Sitro Jr., Joseph	Inspector, Police Operations
Smith, Patricia M.	First Deputy Commissioner
Sonde, Diane	Assistant Deputy Commissioner
Soto, Ana Dr.	Medical Director
Tolbert, Denise	Assistant Deputy Commissioner
Usselman Kearney, Amy	Assistant Deputy Commissioner
Vasile-Davila, Fern	Assistant Deputy Commissioner
Walsh, Maureen	First Deputy General Counsel
Whelan, James	Deputy Commissioner

# Human Resources Administration p.3

## HUMAN RESOURCES ADMINISTRATION 2011 SUBSTANTIAL POLICY DISCRETION STAFF

Williams, Adrienne  
Williams, Vivian

Director Planning  
Director, Administration and Planning

# Independent Budget Office

March 1, 2012

Mark Davies  
Executive Director  
Conflicts of Interest Board  
2 Lafayette Street, Suite 1010  
New York, New York 10007

Re: Annual Identification of Public Servants with  
Substantial Policy Discretion pursuant to COIB Rule § 1-02

Dear Mr. Davies:

The following staff members at the Independent Budget Office are public servants with substantial policy discretion as defined in COIB Rule § 1-02.

<u>Agency Position</u>	<u>Name</u>
Director	Ronnie Lowenstein
Deputy Director	Frank Posillico
Deputy Director	George Sweeting
General Counsel	Lisa Neary
Chief of Staff/ Communications Director	Douglas Turetsky

I have notified these staff members in writing of the restrictions imposed on them by Charter §2604(b)(12) and (b)(15). If you have any further questions or comment please call Lisa Neary at (212) 442-0226.

Sincerely,

Ronnie Lowenstein

# Department of Information Technology and Telecommunications (DoITT)

## Proposed List of Policymakers 2012 DoITT

	<u>Name</u>	<u>Title</u>
1	Asher, Todd	Director/COO
2	Badalamenti, Fred	Associate Commissioner
3	Battista, John	Deputy Commissioner
4	Bezman, Steven	Assistant Commissioner
5	Bimonte, Michael	Deputy Commissioner
6	Blanc, Jean	Deputy ACCO
7	Browne, Ricardo	Assistant Deputy Commissioner
8	Castro, Claudia	Deputy ACCO
9	Chaudhry, Saadia	Call Center Director
10	Chhugani, Girish	Executive Director
11	Coffey, Christopher	Assistant Commissioner
12	de los Reyes, Raquel	Chief of Staff
13	Desiderio, Dina	Director
14	Farrell, Leslie	Assistant Commissioner
15	Feddema, Barry	Director
16	Flynn, Deirdre	Senior Director
17	Fowler, James	First Deputy Commissioner
18	Fraser, Charles	General Counsel
19	Galansky, Galia	Assistant Commissioner
20	Gallagher, Joseph	Assistant Commissioner
21	Gaskey, Bruce	Director, OCEC
22	Glickman, Jacob	Director
23	Harte, Steven	Associate Commissioner
24	Hecht, Yisroel	Associate Commissioner
25	Heintz, Annette	Deputy Commissioner
26	Hines, Evan	Associate Commissioner
27	Hutchinson, Michael	Director
28	Johnson, Emily	Director, EEO/Diversity
29	Kleva, Allison	Associate Commissioner
30	Lederman, Barbara	ACCO/Associate Commissioner
31	Liriano, Michael	CITIServ Transition Manager
32	Long, Christopher	Assistant Commissioner
33	Louis, Margaret	Director
34	Lowery, Peter	Assistant Commissioner
35	Maluf, Lisa	Senior Director
36	Morrisroe, Joseph	Executive Director
37	Nicosia, Jean Paul	Director
38	O'Brien, Paul	Director
39	Pedersen, Ann	Director

# DOITT p.2

40	Perazzo, James	Assistant Commissioner
41	Petzke, Diana	Associate Commissioner
42	Pisciotta, Dominic	Assistant Commissioner
43	Porcu, Sherri	Assistant Commissioner
44	Post, Carole	Commissioner/CIO
45	Robinson, Brett	Deputy Commissioner
46	Schimke, Brent	Director
47	Sciabarra, Diane	Director
48	Shor, Stanley	Assistant Commissioner
49	Srebnick, Dan	Associate Commissioner
50	Stein, Ellen	Associate Commissioner
51	Sterne, Rachel	Chief Digital Officer
52	Sweeney, Geraldine	Senior Associate Commissioner
53	Vigilante, Steven	Assistant Commissioner
54	Whalen, Robert	Director
55	Winker, John	Associate Commissioner
56	Zucco, Joseph	Assistant Commissioner
57	Driscoll, Myles	Director
58	Jones, Jean	Director



# Department of Investigation

## COIB FILERS LIST

<b>DOI Filers</b>
ANCRUM, ALBERTA
BLIT, MARNIE
BALLARD, MELISSA
CARROLL, MIKE
CONDON, RICHARD
COLEMAN, ANASTASIA
DAVIE, VICKI
GILL HEARN, ROSE
GIGANTE, ROBB
GRETINA, CHRISTOPHER
HERLICA, DEBRA
HIBSHOOSH, YUVAL
KANTOR, JOHN
LANDA, MARJORIE
MATHIS, CYNTHIA
MOSTAJO, MARIA
RAIMONDI, MICHELLE
RIVERA, ABRAHAM
RUSSO, PASQUALINO
SCHWAM, KEITH
SILLER, MICHAEL
SMITH, KEVIN
SUNG, CHANTERELLE
TIERNEY, JAMES
TIERNEY, MARGARET
VITIELLO, MICHAEL

**26**

# Office of Labor Relations

Signature below indicate receipt of the Annual Notice to Public Servants with Substantial Policy Discretion dated February 2012:

Jean Brewer \_\_\_\_\_

Michael Babette \_\_\_\_\_

Mayra Bell \_\_\_\_\_

Steve Banks \_\_\_\_\_

Renee Campion \_\_\_\_\_

Georgette Gestely \_\_\_\_\_

Victor Levy \_\_\_\_\_

Patricia Slesarchik \_\_\_\_\_

Dorothy Wolfe \_\_\_\_\_

Richard Yates \_\_\_\_\_

# Landmarks Preservation Commission



## The New York City Landmarks Preservation Commission

1 Centre Street, 9<sup>th</sup> Floor North New York NY 10007 TEL: 212-669-7921 FAX: 212-669-7797  
[www.nyc.gov/landmarks](http://www.nyc.gov/landmarks)



John Weiss  
Deputy Counsel  
[jweiss@lpc.nyc.gov](mailto:jweiss@lpc.nyc.gov)

February 28, 2012

Wayne Hawley  
General Counsel  
City of New York  
Conflicts of Interest Board  
2 Lafayette St., Suite 1010  
New York, NY 10007

### Re: LPC Public Servants with Substantial Policy Discretion

Dear Mr. Hawley:

To fulfill the Landmarks Preservation Commission's obligations under the Substantial Policy Discretion Rule of the Conflicts of Interests Board, please find below a list of public servants at the Landmarks Preservation Commission who have substantial policy discretion:

#### **Commissioners**

Robert B. Tierney, Chair  
Pablo E. Vengoechea, Vice-Chair  
Michael Goldblum, Commissioner  
Michael Devonshire, Commissioner  
Diana Chapin, Commissioner  
Joan Gerner, Commissioner  
Christopher Moore, Commissioner  
Marjorie Perlmutter, Commissioner  
Fred Bland, Commissioner  
Elizabeth Ryan, Commissioner  
Roberta Washington, Commissioner

Please note that only the Chair of the Commission is a paid employee and as such, will be filing all necessary disclosure forms. The Vice-Chair and other Commissioners are unpaid and are not employees of the City of New York.

# Landmarks Preservation Commission p.2

## Landmarks Preservation Commission Staff

Kate Daly, Executive Director  
Mark Silberman, General Counsel  
John Weiss, Deputy Counsel  
Sarah Carroll, Director of Preservation  
Mary Beth Betts, Director of Research

The Commissioners and above employees will be receiving the “Notice to New York City Public Servants Having Substantial Policy Discretion.”

If you have any questions, I can be reached at (212) 669-7921.

Yours truly,

s/n

John Weiss  
Deputy Counsel

cc: Robert B. Tierney, Chair  
Mark Silberman, General Counsel

# Law Department

Full Name	Status	Office Title	Division
CARDOZO, MICHAEL A.	A	Corporation Counsel	Executive
FRIEDLANDER, JEFFREY D	A	First Assistant Corporation Counsel	Executive
KOERNER, LEONARD J	A	Chief Assistant Corporation Counsel	Executive
MILLS, G. FOSTER	A	Managing Attorney	Executive
KOELEVELD, CELESTE L	A	Executive Assistant Corporation Counsel for Public Safety	Executive
REPHEN, PAUL T	A	Executive Assistant	Executive
KAHN, LAWRENCE S	A	Chief Litigating Assistant	Executive
HIGGINS, MALACHY T	A	Division Chief	Administration
TAUSSIG, GABRIEL	A	Division Chief	Administrative Law
RUBIN, GAIL	A	Division Chief	Affirmative Litigation
RUNDBAKEN, ERIC	A	Division Chief	Commercial And Real Estate Litigation
CUSHMAN, STEVEN STEIN	A	Division Chief	Contracts and Real Estate
MCSPIRITT, JAMES W	A	Division Chief	Economic Development
AMRON, SUSAN E.	A	Division Chief	Environmental Law
ALBERTUS, ANGELA M	A	Division Chief	Family Court
CRANE, THOMAS C	A	Division Chief	General Litigation
MERCES, JOSEPH	A	Chief Technology Officer	Information Technology
PESTANA, GEORGIA	A	Division Chief	Labor And Employment Law
LOUIS, STEPHEN	A	Division Chief	Legal Counsel
MONCURE, ALBERT F Jr.	A	Division Chief	Municipal Finance
VAN EYSDEN, INGA	A	Division Chief	Pensions
GOODE-TRUFANT, MURIEL	A	EEO Officer/Division Chief	Special Federal Litigation
DUMAIN, RITA D	A	Division Chief	Tax And Bankruptcy Litigation
LEOUSSIS, FAY	A	Division Chief	Tort
SWEENEY, JOHN	A	Division Chief	Workers' Compensation
Number of records:	25		

# Office of Management and Budget

## OMB Employees Policymaking & M-4 and Above as of February 06, 2012

NAME	TITLE	POLICYMAKING	M-4 & ABOVE
Anantharam, Plachikkat	Deputy Director	Yes	Yes
Anders, Alan	Deputy Director	Yes	Yes
Anderson, Stephen	Assistant Director	Yes	Yes
Angelo, Larian	Deputy Director	Yes	Yes
Boirard, Tara	Assistant Director	Yes	Yes
Brisky, Charles	Associate Director	Yes	Yes
Cataldo, Paul	Assistant Director	Yes	Yes
Cheung, Cary	Assistant Director	Yes	Yes
<b>Christoff, Lizette*</b>	<b>Assistant Director</b>	<b>Yes</b>	<b>Yes</b>
Chun, Rodney	Chief Economist	Yes	Yes
Dardia, Michael	Deputy Director	Yes	Yes
Dowling, Andrew	Assistant Director	Yes	Yes
Garofalo, Jeffrey	Assistant Director	Yes	Yes
Godiner, Kenneth	Sr. Assistant Director	Yes	Yes
<b>Goldstein, Joshua*</b>	<b>Assistant Director</b>	<b>Yes</b>	<b>Yes</b>
Grathwol, John	Deputy Director	Yes	Yes
Henning, Marjorie	General Counsel	Yes	Yes
Herrick, Patricia	Assistant Director	Yes	Yes
Higgins, James	Assistant Director	Yes	Yes
Kang, Mellanie	Assistant Director	Yes	Yes
Kausch, Edward	Assistant Director	Yes	Yes
Levine, Ellen	Assistant Director	Yes	Yes
Levine, Michele	Assistant Director	Yes	Yes
Levine, Steven	Deputy Director	Yes	Yes
Mogilanski, Roy	Assistant Director	Yes	Yes
Mulligan, Timothy	Assistant Director	Yes	Yes
Nagle, Jennie	Assistant Director	Yes	Yes
<b>Oates, Lindsey*</b>	<b>Assistant Director</b>	<b>Yes</b>	<b>Yes</b>
<b>Ohl, Brian*</b>	<b>Assistant Director</b>	<b>Yes</b>	<b>Yes</b>
Olson, Franklin	Assistant Director	Yes	Yes
Orlando, Raymond	Deputy Director	Yes	Yes
Page, Mark	Budget Director	Yes	Yes
Quintian, Yvonne	Assistant Director	Yes	Yes
Rahman, Yousuf	Assistant Director	Yes	Yes
Ricci, Andrew	Assistant Director	Yes	Yes
Ryan, Kristine	Deputy Director	Yes	Yes
Tymus, Paul	Assistant Director	Yes	Yes
Ulrey, Prescott	Counsel	Yes	Yes
Wasserman, Philip	Assistant Director	Yes	Yes
Woller, Jill	Assistant Director	Yes	Yes

\* New addition to the list

# Office of the Chief Medical Examiner

February 15, 2012

## EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION

DIVISION	OFFICE	TITLE OR POSITION	LAST NAME, FIRST NAME	SIGNATURE*
OCME	OCME	Chief Medical Examiner	Hirsch, Charles, MD	
OCME	OCME	Chief of Staff	Butcher, Barbara	
OCME	OCME	1 <sup>st</sup> Deputy Chief Medical Examiner	Sampson, Barbara, MD	
OCME	OCME	Executive Agency Counsel	Lipton, Jody	
OCME	OCME	Deputy Commissioner, Administration	Lintern, Thomas	
OCME	OCME	Deputy Commissioner, Operations	Stevelman, Daniel	
OCME	OCME	Director, Forensic Biology	Prinz, Mechthild	
OCME	OCME	Assistant Commissioner, Emergency Management	DePaolo, Frank	
OCME	OCME	Assistant Commissioner, Human Resources	Aaronson, Arthur	
OCME	OCME	Chief Information Officer	Ullah, Naeem	

\*Employee signature, above, indicates that he/she has received a copy of the COIB “**Notice to New York City Public Servants Having Substantial Policy Discretion**” dated, February 2012.

# Parks Department

<b>Name</b>	<b>Position</b>
Adrian Benepe	Commissioner
Liam Kavanagh	First Deputy Commissioner for Operations
Larry Scott Blackmon	Deputy Commissioner for Community Outreach
Therese Braddick	Deputy Commissioner for Capital Projects
Robert L. Garafola	Deputy Commissioner for Management & Budget
Nancy Barthold	Assistant Commissioner for Recreation & Programming
Susan Donoghue	Senior Advisor and Assistant Commissioner for Strategic Initiatives
Michael Dockett	Assistant Commissioner for Urban Park Services
Annika Holder	Assistant Commissioner for Public Programs
Joshua Laird	Assistant Commissioner for Planning & Natural Resources
Alessandro G. Olivieri	General Counsel
Betsy Smith	Assistant Commissioner for Revenue and Marketing
David Stark	Assistant Commissioner for Budget and Fiscal Management
Hector Aponte	Bronx Borough Commissioner
Kevin Jeffrey	Brooklyn Borough Commissioner
William Castro	Manhattan Borough Commissioner
Dorothy Lewandowski	Queens Borough Commissioner
Adena Long	Staten Island Borough Commissioner
John Natoli	Chief Engineer
Charlette Hamamgian-Winston	Chief of Management Services/ACCO
Parmod Tripathi	Chief of Management Services/ACCO



# Police Department

February 22, 2012

Mr. Wayne G. Hawley  
Deputy Executive Director and General Counsel  
City of New York Conflicts of Interest Board  
2 Lafayette Street  
Suite 1010  
New York, NY 10007

Dear Director Hawley:

The following is a list of managers in the New York City Police Department who fall under the Substantial Policy Discretion Rule of the Conflicts of Interest Board:

<u>NAME</u>	<u>OFFICE TITLE</u>	<u>CIVIL SERVICE TITLE</u>
Allocco, Edward J.	Dep. Comm., Management & Budget	Deputy Commissioner
Banks, Philip	Chief of Community Affairs	Chief of Community Affairs
Beirne, John P.	Dep. Comm., Labor Relations	Admin. Labor Relations Analyst
Bello, Frank	Asst. Comm., Contract Admin. Unit	Agency Chief Contracting Ofcr.
Brandon, Nancy	Director, Central Records Div.	Admin. Staff Analyst
Browne, Paul J.	Dep. Comm., Public Information	Deputy Commissioner
Burrus, Donald J.	Asst. Comm., Mgmt. Inf. Systems Div.	Dir., Management Inf. Systems Div.
Campisi, Charles V.	Chief of Internal Affairs	Chief of Internal Affairs
Caspersen, Sidney	Asst. Comm., Programs	Intel. Research Spec.
Chan, Thomas M.	C.O., Patrol Borough Brooklyn South	Assistant Chief
Cohen, David	Dep. Comm., Intelligence	Dep. Comm., Intelligence
Cohen, David M.	Dep. Comm., Labor Counsel	Exec. Agency Counsel
Conroy, Brian	C.O., School Safety Division	Assistant Chief
Daddario, Richard C.	Dep. Comm., Counterterrorism	Deputy Commissioner
Daniels-Depeyster Claudia	Asst. Dep. Comm., Trials	Exec. Agency Counsel
Dimesa, Gregory	Director, Fleet Services Division	Director of Motor Transport
Doepfner, Thomas	Asst. Dep. Comm., Legal Bureau	Exec. Agency Counsel
Doka, Frank	Asst. Comm., Financial Mgmt. Division	Admin. Staff Analyst
Donohue, John K.	C.O., OMAP	Assistant Chief
Esposito, Joseph J.	Chief of Department	Chief of Department
Farrell, Michael J.	Dep. Comm., Strategic Initiatives	Admin. Staff Analyst
Fox, Joseph	Chief of Transit	Assistant Chief
Galati, Thomas P.	C.O., Intelligence Division	Assistant Chief
Gomez, Carlos M.	C.O., Patrol Borough Bronx	Assistant Chief
Hall, James	Chief of Patrol	Chief of Patrol
Izzo, Anthony	Chief of O.C.C.B.	Chief of O.C.C.B.
Jaffe, Joanne	Chief of Housing Bureau	Chief of Housing Bureau

# Police Department p.2

Karopkin, Martin	Dep. Comm., Trials	Deputy Commissioner
Kearns, Kathleen	Asst. Comm., Dept. Advocate	Exec. Agency Counsel
Kelly, Raymond W.	Police Commissioner	Police Commissioner
Kleinman, Eli	Supervising Chief Surgeon	Supervising Chief Surgeon
Lemire, Katherine A.	Counsel to Police Commissioner	Counsel to Police Commissioner
Lewis, Robert A.	Asst. Comm., Executive Communications	Admin. Staff Analyst
Lodispoto, Joseph	Director, Payroll & Benefits Division	Admin. Accountant
Lubin, Kevin	Asst. Comm., Criminal Justice Bureau	Exec. Agency Counsel
Martinez, Robert	Exec. Director, Support Services Bur.	Exec. Dir., Support Services Bureau
McCarthy, John	Asst. Comm., DCPI	Exec. Agency Counsel
McGrann, Joseph	C.O., Dep. Comm. Of Training	Assistant Chief
McGuire, Philip G.	Asst. Comm., Program & Policies	Asst. Comm., Program & Policies
Messner, Robert	Asst. Comm., Civil Enforcement Unit	Exec. Agency Counsel
Morris, William T.	C.O., Patrol Borough Man. North	Assistant Chief
Nelson, Gerald	C.O., Patrol Borough Brooklyn North	Assistant Chief
O'Connor, Kevin	Asst. Comm., Community Affairs	Assistant Commissioner
O'Keefe, James	Dep. Comm. Of Training	Director of Training
Onalfo, Vincent	Dep. Comm., Information Technology	Computer Systems Manager
O'Neill, Scott	Assistant Commissioner	Managerial Criminalist
Petito, Susan R.	Asst. Comm., Intergovernmental Affairs	Admin. Attorney
Pineiro, Rafael	First Deputy Commissioner	First Deputy Commissioner
Pizzuti, Diana	C.O., Patrol Borough Queens North	Assistant Chief
Prasso, Thomas	Director, License Division	Executive Agency Counsel
Pulaski, Phil	Chief of Detectives	Chief of Detectives
Purtell, Thomas	C.O., Patrol Borough Man. South	Assistant Chief
Rendina, Frank	Director, Facilities Mgmt. Div.	Admin. Project Manager
Reznick, Joseph J.	C.O., Narcotics Division	Assistant Chief
Roberson, Carolann	Asst. Comm., Training	Admin. Staff Analyst
Ryan, Marie	Asst. Comm., O.E.E.O.	Exec. Agency Counsel
Schaffer, Seth	Dep. Comm., Legal Matters	Deputy Commissioner
Schwartz, Julie	Dep. Comm., Dept. Advocate's Office	Dir., Department Advocate's Office
Secreto, James A.	C.O., Patrol Borough Queens South	Assistant Chief
Shea, Michael E.	C.O., Dep. Comm. Of Personnel	Assistant Chief
Spinella, Raymond	C.O., Police Commissioner's Office	Assistant Chief
Strebel, Gary	X.O., I.A.B.	Assistant Chief
Tuller, James	Chief of Transportation Bureau	Chief of Transportation Bureau
Vinal, Robert	Asst. Dep. Comm., Trials	Executive Agency Counsel
Ward, Kevin P.	C.O., Patrol Borough Staten Island	Assistant Chief
Waters, James R.	C.O., Dep. Comm. Counterterrorism	Assistant Chief
Wechsler, Arnold S.	Dep. Comm. Of Personnel	Deputy Commissioner
Wedin, Harry	C.O., Special Operations Division	Assistant Chief
Weisel, David	Asst. Dep. Comm., Trials	Executive Agency Counsel
Wuensch, Joseph	Chief of Staff	Chief of Staff
Zeigler, Neldra	Dep. Comm., O.E.E.O.	Deputy Commissioner
Zoland, Deborah	Asst. Dep. Comm., Legal Matters	Admin. Attorney

The above individuals will be notified in writing of their restrictions and obligations to file financial disclosure reports under the Substantial Policy Discretion Rule of the Conflicts of Interest Board.

# Police Pension Fund

## MEMORANDUM

To: Mark Davies  
New York City Conflicts of Interest Board

From: Rhonda Cavagnaro  
General Counsel

Re: Agency Obligations under the Substantial Policy Discretion Rule of the Conflicts of Interest Board

Date: February 1, 2012

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Following is a list of public servants charged with substantial policy discretion in the New York City Police Pension Fund (NYCPPF):

Kevin Holloran	Executive Director	NYCPPF
Robert Sens- Castet	Deputy Executive Director	NYCPPF

### BOARD OF TRUSTEES

Name	Title	Agency
Ranji Nagaswami	Administration's Chief- Investment Advisor	Mayor's Office- New York City
Raymond Kelly	Police Commissioner	NYC Police Department
John C. Liu	Comptroller	NYC Comptroller
David M. Frankel	Commissioner	NYC Department of Finance
Roy T. Richter	President	Captain's Endowment Association (CEA)
Thomas Sullivan	President	Lieutenant's Benevolent Association (LBA)
Edward Mullins	President	Sergeant's Benevolent Association (SBA)
Michael Palladino	President	Detective's Endowment Association (DEA)
Patrick J. Lynch	President	Patrolmen's Benevolent Association (PBA)
John Puglissi	1 <sup>st</sup> Vice President	PBA
Mubarak Abdul-Jabbar	2 <sup>nd</sup> Vice President	PBA
Joseph Strong	Chairman, Bd. of Trustees	PBA- Lower Manhattan/Richmond

RC/fc

# Department of Probation

Vincent N. Schiraldi  
Commissioner

February 27, 2012

Mark Davies  
Executive Director  
Conflicts of Interest Board  
2 Lafayette Street, Suite 1010  
New York, New York 10013

33 Beaver Street  
23<sup>rd</sup> Floor  
New York, NY 10004

212 361 8977 tel  
212 361 8985 fax

Dear Executive Director Davies:

As required by Chapter 68 of the City Charter, listed below are public servants in the Department of Probation who have “substantial policy discretion” as defined by COIB Board Rules §1-02.

In addition, attached is my memorandum to these public servants, which notifies them of the restrictions set forth in Charter §2604(b)(12) and §2604(b)(15) to which they are subject.

<u>Name</u>	<u>Title</u>
Karen Armstrong	Assistant Commissioner, Juvenile Operations
Rajwant Bains	Director, Budget
Ana Bermudez	Deputy Commissioner, Juvenile Operations
Leona Braithwaite	Associate Commissioner, Financial
Goldstein	Operations
Basil Ciralo	Director, Procurement
Kathleen Coughlin	Deputy Commissioner, Planning, Training & Community Partnership
Robert Costello	Assistant Commissioner, Training & Quality Assurance
Ralph DiFiore	Associate Commissioner, Adult Operations
Margaret DiGiovanna	Deputy General Counsel
Mark Ferrante	Senior Advisor to the Commissioner
Michael Forte	Deputy Commissioner, Administration
Lorene Gilmore	Assistant Commissioner, Adult Operations
Sharun Goodwin	Assistant Commissioner, Adult Operations
Gineen Gray	Associate Commissioner, Juvenile Operations
Jane Imbasciani	Assistant Commissioner, Adult Operations

# Department of Probation p.2

Clinton Lacey  
Suzette Mapp

Deputy Commissioner, Adult Operations  
Assistant Commissioner, Human Resources &  
Labor Relations

Wayne McKenzie  
Michael Ognibene  
Vincent Perneti  
Vincent Schiraldi  
Sherri Silverblatt  
Belinda Teo

General Counsel  
Chief of Staff  
Agency Chief Contracting Officer  
Commissioner  
Deputy General Counsel  
Director, Armorer

Sincerely,

Vincent Schiraldi

ATTACHMENT

C: Wayne McKenzie, General Counsel (DOP)  
Wayne G. Hawley, Deputy Executive Director & General Counsel (COIB)

# Public Advocate

**Name**

Bill de Blasio  
Elba Feliciano  
Steven Newmark  
David Ourlicht  
Emilia Wolfe

**Title**

Public Advocate for the City of New York  
Director of Administration  
Counsel  
Policy Advisor  
Chief of Staff

# Rent Guidelines Board



## New York City Rent Guidelines Board

51 Chambers Street, Suite 202 • New York, NY 10007 • (212) 385-2934

Fax: (212) 385-2554 • Web: nycrgb.org

*Chairman*

Jonathan L. Kimmel, Esq.

*Executive Director*

Andrew McLaughlin

June 1, 2012

Wayne Hawley  
NYC Conflicts of Interest Board  
2 Lafayette Street, Suite 1010  
New York, NY 10007

Dear Mr. Hawley:

As per your request of May 25, the following are the names and titles of public servants at the Rent Guidelines Board that have substantial policy discretion:

<u>Name:</u>	<u>Position:</u>
Jonathan Kimmel	Chair
Betty Phillips Adams	Public Board Member
Courtney Moore	Public Board Member
Ronald Scheinberg	Public Board Member
David Wenk	Public Board Member
Adriene Holder	Tenant Board Member
Brian Cheigh	Tenant Board Member
Magda L. Cruz	Owner Board Member
Steven J. Schleider	Owner Board Member
Andrew McLaughlin	Executive Director

If you have any further questions or comments just contact me at 212-385-2934, xt. 12.

Sincerely,

Andrew McLaughlin  
*Executive Director*

Cc: Jonathan Kimmel, RGB Chairperson  
Leon Klein, RGB Office Manager and COIB Liaison




# sanitation

HUMAN RESOURCES  
346 Broadway, 10th Floor  
New York, New York 10013

\* CORRECTION 2/27/12

February 27, 2012

TO: Mark Davies, Executive Director  
Conflict of Interest Board  
Attention: Wayne Hawley, General Counsel

FROM:  Joseph DiPiazza, Director of Human Resources

RE: COIB, Agency Obligations under the Substantial Policy Discretion  
Rule 2604(b)(12), (15)

Below is a listing of all the employees in our Agency who fall under the category of having substantial policy discretion. They will be notified of the restrictions in Charter 2604(b)(12) and (15).

Doherty, John	Commissioner
Sullivan, Bernard	First Deputy Commissioner
Cipollina, Lorenzo	Deputy Commissioner, Admin & Financial Mgmt.
Orlin, Robert	Deputy Commissioner, Legal Affairs
Turso, Vito	Deputy Commissioner, Public Information
DiRico, Rocco	Deputy Commissioner, Support Operations
Diggins, Dennis	Director, Waste Disposal
Nucatola, John	Director of Cleaning and Collection
Milora, Thomas	Assistant to the Chief of Staff
Callery, David	Chief, Bureau Operations
<b>*Marsiglia, Douglas</b>	<b>Chief, Bureau of Cleaning and Collection</b>
Blendermann, Ronald	Assistant Commissioner, ACCO
Brautigam, Steven	Assistant Commissioner, Environmental Affairs
Ebert, Michael	Assistant Commissioner, Planning and Budget
Gleason, Philip	Assistant Commissioner, Waste Management
Strauss, James	Assistant Commissioner, Legal Affairs
Szarpanski, Harry	Deputy Commissioner, Long Term Export
Termini-Miller, Maria	Associate Commissioner, Community Affairs
Neal, Terri	Director, EEO
Binn, Joel	Director, Information Technology
Clavin, Thomas	Director, Admin./Fiscal Services
Czwartacky, Walker	Director, Special Projects, Long Term Export
DiPiazza, Joseph	Director, Human Resources
Dolinar, Sarah	Director, Environmental Review
Egan, Eugene	Director, Labor Relations
Gallagher, Neil	Director, Building Management
Harbin, Stephen	Director, Safety and Training
Joyner, Ruth	Director, WEP
Lange, Robert	Director, Waste Prevention, Reuse and Recycling
Miller, Joseph	Director, Medical Division

[www.nyc.gov/sanitation](http://www.nyc.gov/sanitation)

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# Sanitation p.2

Ferguson, Alfred  
Schnabel, Mark  
Patel, Kalpit  
Shrivastava, Brij  
Costas, Stephen  
Brakeen, Rita  
Kuznitz, Todd  
McKeon, Peter  
Walsh, Delano  
Fridman, Simon  
Taylor, Steven  
Singer, Jeffrey  
Kileen, Thomas  
Lee, Edmund  
Mittelmann, Barnett  
Weinberger, Bernard

Director, Operations Mgmt Division  
Director, Fiscal Services  
Director, Engineering Audit Services  
Director, Engineering Unit  
Director, PMD  
Department Advocate/Employment Counsel  
Chief, Enforcement  
Chief, Collection Operations  
Chief Engineer  
Deputy Chief Engineer  
Assistant to the Chief Engineer  
Information Technology Office-Tech Support Mgr  
Deputy Director, Waste Disposal  
Deputy, Information Technology  
Deputy, Information Technology  
Engineer

C: John J. Doherty, Commissioner  
JoAnne Giura-Else, COIB  
Robert Orlin  
Larry Cipollina

# School Construction Authority

## New York City School Construction Authority 2011 Substantial Policy Discretion Roster

Name	Title
Eleanor B. Barrett	Vice President, Architecture and Engineering
Elizabeth L. Bergin	Vice President, Capital Plan Management
Vinicius P. Castagnola	Vice President, Environmental and Regulatory Compliance
Craig Collins	Vice President, Administration
Janet C. Cowhey	Senior Director, Contract Administration
Barbara DiTata	Vice President and Inspector General
Marianne J. Egri	Vice President, Finance and Information Technology
Robert E. Galvin	Chief Technology Officer
Lorraine Grillo	President and Chief Executive Officer
Ross J. Holden	Executive Vice President and General Counsel
Asuka N. Kubota	Senior Director, Capital Planning
Stephen G. Maroney	Senior Director, Finance
Louise I. Nicolazzi-Shapiro	Senior Director, Human Resources
George Toma	Vice President, Construction Management
Suzanne S. Veira	Senior Director, Business Development
Dennis M. Walcott	Chairperson
Curtis A. Harris	Trustee
Emily Youssouf	Trustee

# Small Business Services

## MEMORANDUM

TO: Mark Davies, Executive Director, COIB

FROM: Kathleen Ahn, General Counsel, SBS

DATE: February 28, 2012

RE: SBS Employees with Substantial Policy Discretion

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The Department of Small Business Services (SBS) has identified the following employees as having substantial policy discretion.

1. Robert W. Walsh, Commissioner
2. Andrew Schwartz, First Deputy Commissioner
3. Elizabeth DeLeon, Deputy Commissioner: Neighborhood Development
4. Angie Kamath, Deputy Commissioner: Workforce Development
5. Anne Rascon, Deputy Commissioner: Division of Economic and Financial Opportunity
6. Kevin Kelly, Deputy Commissioner: Business Development
7. Kathleen Ahn, General Counsel
8. Donald Giampietro, Assistant Commissioner: Incentives
9. Jackie Mallon, Assistant Commissioner: Business Development
10. Matthew White, Assistant Commissioner: Workforce Policy and Planning
11. Xenon Walcott, Assistant Commissioner: Workforce Quality Management and Control
12. Jennifer Tavis, Assistant Commissioner: Workforce Operations
13. Gregg Bishop, Assistant Commissioner
14. Colleen Galvin, Assistant Commissioner
15. Sarah Krauss, Chief of Staff
16. Stephen Fisher, Assistant Commissioner: Technology and Chief Information Officer
17. Shaazad Ali, Assistant Commissioner: Finance
18. Guy Long, Assistant Commissioner: External Affairs & Special Projects
19. Katherine Oliver, Commissioner: Mayor's Office of Film, Theater & Broadcasting

The employees will be notified of applicable rules.

# Board of Standards and Appeals

<b>EMPLOYEE NAMES</b>	<b>TITLE</b>
Meenakshi Srinivasan	Chair/Commissioner
Christopher Collins	Vice-Chair/Commissioner
Dara Ottley-Brown	Commissioner
Susan Hinkson	Commissioner
Eileen Montanez	Commissioner
Jeffrey Mulligan	Executive Director
Amy Rebecca Kelly	General Counsel

# Office of Administrative Tax Appeals

## NYC OFFICE OF ADMINISTRATIVE TAX APPEALS

### Public Servants Charged with Substantial Policy Discretion

Name	Title
<b>Glenn Newman</b>	<b>President</b>
<b>Fadil Brija</b>	<b>Commissioner</b>
<b>Robert J. Firestone</b>	<b>Commissioner</b>
<b>Susan Grossman</b>	<b>Commissioner</b>
<b>Aladar G. Gyimesi</b>	<b>Commissioner</b>
<b>Ellen E. Hoffman</b>	<b>Commissioner</b>
<b>Alice D. Olick</b>	<b>Commissioner</b>
<b>Richard Stabile</b>	<b>Commissioner</b>
<b>Kirk P. Tzanides</b>	<b>Commissioner</b>
<b>Warren P. Hauben</b>	<b>Chief Administrative Law Judge</b>
<b>Carlo Silvestri</b>	<b>Director of Appraisal &amp; Hearings</b>



# Taxi and Limousine Commission

February 28, 2012

Mr. Mark Davies  
Executive Director  
City of New York  
Conflicts of Interest Board  
2 Lafayette St., Ste. 1010  
New York, NY 10007

David Yassky  
Commissioner  
TLCCommissioner@tlc.nyc.gov

33 Beaver Street, 22<sup>nd</sup> Floor  
New York, NY 10004

+1 212 676 1003 tel  
+1 212 676 1100 fax

Dear Mr. Davies:

As required by New York City Charter Sections 2604(b)(12) and 2604(b)(15), the New York City Taxi and Limousine Commission identifies the following individuals as persons having “substantial policy discretion” within the meaning of the Conflicts of Interest Law:

- **David Yassky**, Commissioner/Chair
- **Elias Arout**, Commissioner
- **Frank V. Carone**, Commissioner
- **LaShann M. DeArcy**, Commissioner
- **Mark Gjonaj**, Commissioner
- **Edward Gonzales**, Commissioner
- **Nora C. Marino**, Commissioner
- **Lauvienska Polanco**, Commissioner
- **Iris Weinshall**, Commissioner
- **Ashwini Chhabra**, Deputy Commissioner for Policy and Planning
- **Conan Freud**, Deputy Commissioner for Financial Management & Administration
- **Allan Fromberg**, Deputy Commissioner for Public Affairs
- **Meera Joshi**, Deputy Commissioner for Legal Affairs & General Counsel
- **Raymond Scanlon**, Deputy Commissioner for Uniformed Service Bureau
- **Gary Weiss**, Deputy Commissioner for Licensing and Standards
- **Emily Gallo**, Chief of Staff
- **Martin Grindley**, Assistant Commissioner, Safety and Emissions Division
- **Sherry Cohen**, Assistant Commissioner, Passenger Complaint
- **Georgia Steele**, Assistant Commissioner for Licensing and Standards
- **Nicholas Venezia**, Assistant Commissioner for Administration
- **Tweeps Phillips**, Director of External Affairs
- **Jeffrey Grunfeld**, Chief Information Officer
- **David Klahr**, Executive Director for Financial Management
- **Deborah Murphy**, Budget Director
- **Cindi Davidson**, Director of Policy
- **Richard Johns**, Director of Special Projects

# Teachers' Retirement System

**April 16, 2013**

Wayne G. Hawley  
Deputy Executive Director and General Counsel  
New York City Conflicts of Interest Board  
2 Lafayette Street, Room 1010  
New York, NY 10007

Attention: Financial Disclosure Unit

Gentlemen:

With reference to your request regarding Agency Obligations under the Substantial Policy Discretion Rule of the Conflicts of Interest Board please be informed of the following:

Employees in our agency having substantial policy discretion:

Nelson Serrano	Executive Director
Patricia Reilly	Deputy Executive Director
Joel Giller	Executive Agency Counsel

Board of Trustees and their alternates who sit on our board but are not employees of this agency and have substantial policy discretion:

Mel Aaronson	Retirement Board Member
Sandra March	Retirement Board Member
Mona Romain	Retirement Board Member
Ranji Nagaswami	Retirement Board Member
John C. Liu	Retirement Board Member
Kathleen Grimm	Retirement Board Member
Larry Schloss	Retirement Board Member
Freida Foster	Retirement Board Member

# Teacher's Retirement System p.2

Carolyn Wolpert Retirement Board Member-Alternate for  
Ranji Nagaswami

Thad McTigue Retirement Board Member – Alternate for  
John C. Liu

Jamie Smarr Retirement Board Member – Alternate for  
Kathleen Grimm

Very truly yours,

Ileana Freeman  
Human Resources  
Financial Disclosure Liaison  
612-5424



# Department of Transportation

Lucita Andres  
Auditor General

Lori Ardito  
First Deputy Commissioner

Keith Bray  
Deputy Borough Commissioner- Brooklyn

Louis Calcagno  
Assistant Deputy Commissioner- Alternative Fuels

Nancy Carolan  
Assistant Commissioner- Agency Chief Contracting Officer

Nelson Castillo  
Assistant Commissioner -Office of Emergency Response

Thomas Cocola  
Borough Commissioner -Staten Island

Robert Collyer  
Deputy Chief Engineer –Capital Design & Construction Bureau

Philip Damashek  
General Counsel

James DeSimone  
Deputy Commissioner  
Chief Operating Officer- Staten Island Ferry

Michael Diverniero  
Executive Director- Citywide Operations

Wendy Feuer  
Assistant Commissioner- Urban Design and Art

Margaret Forgione  
Borough Commissioner- Manhattan

Joel Friedman  
Chief Engineer

# Department of Transportation p.2

Steven Galgano  
Executive Director –Engineering, Signals & Streets

John Giaccio  
Associate Deputy Commissioner-Securities & Facilities Management

Margaret Gordon  
Executive Director-Safety Security-SIF

Leon Heyward  
Deputy Commissioner-Sidewalks & Inspection Management

Marlene Hochstadt  
Deputy Commissioner-Human Resources & Facilities Management

Russell Holcomb  
Deputy Chief Engineer-Maintenance, Inspections & Operations

Keith Howard  
Assistant Commissioner-Sidewalk Management

Jay Jaber  
Assistant Commissioner-Permit Management & Construction Control

Joseph Jarrin  
Deputy Commissioner-Finance Contracting & Program Management

George Klein  
Deputy Chief Engineer-Special Engineering & Construction

Guillermo Leiva  
Assistant Commissioner-Parking

Thomas Maguire  
Assistant Commissioner-Project Development

Vincent Maniscalco  
Assistant Commissioner-HIQA and Street Assessment and Newsracks

Franklin Mark  
Assistant Commissioner-Capital Program Management

# Department of Transportation p.3

Michael Marsico  
Assistant Commissioner-Modeling and Data Analysis

Maura McCarthy  
Borough Commissioner-Queens

Brooke McKenna  
Assistant Commissioner-Coordinated Street Furniture Franchise

Catherine Messana  
Assistant Commissioner-311/CCU

Constance Moran  
Borough Commissioner-Bronx

Margaret Newman  
Chief of Staff to the Commissioner

Jonathan Orcutt  
Senior Policy Advisor

Galileo Orlando  
Deputy Commissioner-Roadway Repair & Maintenance

Joseph Palmieri  
Borough Commissioner-Brooklyn

Henry Perahia  
Deputy Commissioner-Chief Bridge Officer

Susan Pondish  
Deputy General Counsel

Brenda Rivera  
Assistant Commissioner, Special Events/Banner Program

Ryan Russo  
Assistant Commissioner-Traffic Management

Janette Sadik-Khan  
DOT Commissioner

Luis Sanchez  
Borough Commissioner- Lower Manhattan

# Department of Transportation p.4

Cordell Schachter  
Chief Technology Officer

Robert B. Schaller  
Deputy Commissioner of Traffic & Planning

Seth Solomonow  
Assistant Commissioner-Communications/Press Secretary

Jai Therattil  
Associate Commissioner-Grants Administration

Charles Ukegbu  
Deputy Borough Commissioner-Bronx

Andrew Wiley-Schwartz  
Assistant Commissioner-Public Space

Kimberly Wiley-Schwartz  
Assistant Commissioner-Education and Outreach

Ann Williams  
Assistant Commissioner-EEO

David Woloch  
Deputy Commissioner-External Affairs

# Office of Administrative Trials and Hearings

## OATH Employees With Substantial Policy Discretion (CY 2011)

Albano, Daniel	ECB Board Member (NYPD)
Balsam, Helaine	Deputy General Counsel
Beddoe, Suzanne	Commissioner, Chief Administrative Law Judge
Carver, Robert	ECB Board Member (Civilian)
Cavallo, Ernest J.*	ECB Board Member (Civilian)
Hughes, Joseph	Deputy Commissioner for Information Technology
Hylton, Renaldo**	ECB Board Member (DOB)
Knauer, Elizabeth	ECB Board Member (Civilian)
Kuo, Peggy	Deputy Commissioner for Legal Affairs, General Counsel
Kurzman, Tayo**	ECB Board Member (FDNY)
Lally, Emily S.	ECB Board Member (Civilian)
Liguori, Madelynn**	ECB Board Member (DSNY)
Maloney, Mary-Ann	Assistant Commissioner - Taxi & Limousine Tribunal
Marchiano, Maria	Assistant Director of Tribunal Affairs, ECB
Martinez, Jorge	ECB Board Member (DOHMH)
May, Linda	Deputy Commissioner for Administration
Pecunies, Russell	ECB Board Member (DEP)
Rodriguez, Julio	Deputy Commissioner, Executive Director - ECB
Salzman, Joan	Deputy Commissioner - OATH Tribunal
Slifka, Amy	Assistant Director of Tribunal Affairs - ECB
Swann, Douglas	ECB Board Member (Civilian)
Uwechia, Chukwuma	ACCO
Vee, Harry	Assistant Commissioner - Health Tribunal

\* Volunteer Board member

\*\* Included in their employer agency lists of employees with substantial policy discretion and filed financial disclosure reports with their respective agencies