

# **Campaign Finance Board Fact Book**

**Last Updated: May 2004**

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## **Brief History of CFB**

- The Campaign Finance Act (Local Law 8) was signed into law February 29, 1988.
- The Campaign Finance Board was established in an amendment to the City Charter in the general election of November 3, 1988 as a charter agency by referendum.
- The City Charter referendum also mandated the publishing and distribution of the non-partisan Voter Guide by the Campaign Finance Board.
- The Citywide election of 1989 was the first election cycle in which the Campaign Finance Program was in effect.
- The New York City Campaign Finance Program is the largest campaign finance program in the country after that of the federal government.<sup>1</sup>

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<sup>1</sup> New York City Campaign Finance Board. *Dollars and Disclosure: Campaign Finance Reform in New York City*. September 1990.

# 1989 Citywide Elections

## I. Members of the Board

Joseph A. O'Hare, S.J., Chairman

James I. Lewis

Joseph Messina

Robert B. McKay

Sonia Sotomayor

## II. Limits & Requirements

### Contribution Limits<sup>2</sup>

Mayor	Comptroller	City Council President	Borough President	City Council
\$3,000	\$3,000	\$3,000	\$2,500	\$2,000

### Expenditure Limits<sup>3</sup>

	Mayor	Comptroller	City Council President	Borough President	City Council
Primary	\$3,000,000	\$1,750,000	\$1,750,000	\$625,000	\$60,000
General	\$3,000,000	\$1,750,000	\$1,750,000	\$625,000	\$60,000

### Threshold Requirements<sup>4</sup>

	Mayor	Comptroller	City Council President	City Council
Amount	\$250,000	\$125,000	\$125,000	\$7,500
# Contributors	1,000	500	500	50 (from district)

### Borough President Threshold Requirements

	Bronx	Brooklyn	Manhattan	Queens	Staten Island
Amount	\$23,379	\$44,619	\$28,566	\$37,827	\$10,000
# Contributors	100	100	100	100	100

### Maximum Public Funds<sup>5</sup>

Mayor	Comptroller	City Council President	Borough President	City Council
\$1,500,000	\$875,000	\$875,000	\$312,500	\$30,000

<sup>2</sup> New York City Campaign Finance Board. *Dollars and Disclosure: Campaign Finance Reform in New York City*. (September 1990), p. 14.

<sup>3</sup> See *Dollars*, p. 15.

<sup>4</sup> See *Dollars*, p. 17.

<sup>5</sup> See *Dollars*, p. 103.

### III. Number of 1989 Program Participants<sup>6</sup>

	Mayor	Comptroller	City Council President	Borough President	City Council	Total
Participant Total	10	5	0	7	34	56
Participants On Ballot	5	4	0	6	33	48

### IV. Public Funds Disbursed<sup>7</sup>

Mayor	Comptroller	City Council President	Borough President	City Council	Total
\$2,779,508	\$421,655	0	\$799,228	\$504,607	\$4,504,998

### V. Relevant Acts & Rule Changes

- Amendments were made to the original Act: 1) additional time was allotted to join the program; 2) additional fund-raising allowance was added to the existing expenditure limit; 3) participants were afforded greater flexibility in meeting the Act's spending limits by permitting rollover of unspent 1988 funds into 1989; and 4) within the spending limits an exemption was established for the cost of challenging an opponent's petitions.<sup>8</sup>

### VI. Major News Stories<sup>9</sup>

1. Clara Hemphill, "Koch in Election Law Violation," *New York Newsday*, August 16, 1989, p. 6.
  - Koch is reported to have violated the state's anti-corruption election law by accepting a \$25,000 loan from a developer who had been granted a favorable zoning change earlier that year.
2. "An Electoral Example for the Country," *New York Times* Editorial, September 18, 1989, p. A18.
  - This editorial posits that despite the sometimes "needlessly complex reporting rules" the Program has "greatly reduced the impression of government for sale."
3. "Mr. Stein's Strange Arithmetic," *New York Times* Editorial, January 6, 1989, p. A30.
4. "Finding Money to run against Mayor Koch," *Crain's New York Business* Editorial, January 16, 1989, p. 8.

<sup>6</sup> New York City Campaign Finance Board. *On The Road to Reform: Campaign Finance in the 1993 New York City Elections* (September 1994), Volume I Report, p. 11.

<sup>7</sup> See *Dollars*, p. 104-105.

<sup>8</sup> See *Dollars*, p. 11.

<sup>9</sup> These articles can be retrieved from the CFB 1989 Press Book (Volumes I & II) from the CFB Press Secretary.

5. Frank Lynn, "Stein Attacked on Campaign-Finance Refusal," *The New York Times*, January 29, 1989, p. B3.

6. Bob Herbert, "Take a Whiff of Lauder's Line," *Daily News*, January 31, 1989.

- The above editorials criticize Andrew Stein and Ronald Lauder, then candidates for New York City mayor, for declining to join the Program. While considerable personal finances made it possible for them to do so, these articles underscore public disapproval on their failure to join; a popular sentiment that may have ultimately impeded the success of their campaigns.

## VII. Major court/criminal proceedings

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## VIII. Major Accomplishments

- This was the first election year that the New York City Campaign Finance Act was in effect. Please see the introduction for an historical account of the establishment of the Board and the Program.

## VIV. Enforcement

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## X. Budget for 1989 Election Cycle<sup>10</sup>

Personal Services	Other Than Personal Services	Public Fund	Voter Guide	Total
\$1,572,425	\$1,393,519	\$20,000,000	\$4,000,000	\$26,965,944

## XI. Major Publications

*Dollars and Disclosure: Campaign Finance Reform in New York City* (September 1990)  
- A report on the performance of the Campaign Finance Program in the 1989 citywide elections and a 30 page Executive Summary.

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<sup>10</sup> Please note that while this budget holds true for the 1989 election cycle, the actual budget allotment occurs in fiscal year 1990.

## 1989 Participants List<sup>11</sup>

Office	Borough/CD	Candidate	Party	Ballot Status
Mayor		Dinkins, David N. *	D	Prim, Gen
		Giuliani, Rudolph W.	R, L, I	Prim, Gen
		Goldin, Harrison J.	D	Prim
		Koch, Edward I.	D	Prim
		Ravitch, Richard	D	Prim
Comptroller		Hevesi, Alan G.	D	Prim
		Holtzman, Elizabeth *	D	Prim, Gen
		Macchiarola, Frank J.	D	Prim
		Nadler, Jerrold L.	D	Prim
Borough President	Bronx	Ferrer, Fernando *	D, L	Gen
	Brooklyn	Golden, Howard *	D	Gen
	Manhattan	Messinger, Ruth *	D, L	Prim
	Queens	Shulman, Claire *	D	Gen
	Staten Island	Lamberti, Ralph J.	D, SIS	Gen
	Staten Island	Molinari, Guy V. *	R, C, RTL	Gen
City Council President	(NP)	Stein, Andrew *	D, L	Prim, Gen
City Council	1	Molinari, Susan *	R, C	Gen
	1	Paperman, Dennis	D, SIS	Gen
	2	Friedlander, Miriam *	D	Gen
	3	Greitzer, Carol *	D	Prim, Gen
	4	Cline, Raymond	D	Prim
	4	Eldridge, Ronnie *	D, L	Prim, Gen
	4	Goldfeder, Jerry	D	Prim
	4	Sheffer, Ethel	D	Prim
	4	Shorenstein, Janice W.	D	Prim
	4	Stringer, Scott	D	Prim
	4	Taylor, David S.	D	Prim
	5	Fields, C. Virginia *	D	Prim, Gen
	6	Espailat, Adriano	D	Prim
	6	Michels, Stanley E. *	D	Prim, Gen
	7	Dryfoos, Robert J. *	D, L	Gen
	8	Maloney, Carolyn B. *	D	Prim, Gen
	8	Perkins, William	D	Prim
(NP)	9	Foster, Wendell*	D, L	Gen
	10	Eisland, June M. *	D, L	Gen
(NP)	11	Colon, Rafael*	D, L	Gen
(NP)	12	DeMarco, Michael *	D, C	Gen
(NP)	13	Rivera, Jose *	D, L	Gen
	14	Crispino, Jerry *	D, C, L	Gen
	14	Marinaccio, Michael A.	--	--
(NP)	15	Ward, Walter *	D, C	Gen
(NP)	16	Leffler, Sheldon *	D, L	Gen

<sup>11</sup> The participant list was gathered from a combination of sources: Appendices A, B and C from *Dollars and Disclosure* as well as participant, candidate committee, and ballot status reports generated from the Campaign Finance Information Systems Database available at CFB offices.

(NP)	17	Spigner, Archie *	D, L	Gen
(NP)	18	Povman, Morton *	D	Gen
	19	Harrison, Julia *	D	Prim, Gen
	20	Vallone, Peter F. *	D	Gen
(NP)	21	McCaffrey, Walter *	D	Gen
(NP)	22	Katzman, Arthur *	D	Gen
	23	Berman, Herbert E. *	D, R, L	Gen
(NP)	24	Wooten, Priscilla *	D, R, L	Gen
	25	Alter, Susan D. *	D, L	Gen
	26	Owens, Chris	D	Prim
(NP)	26	Williams, Enoch *	D	Prim, Gen
	27	Robles, Victor L. *	L	Prim
(NP)	28	Pinkett, Mary*	D, L	Gen
	29	Gerges, Abraham G. *	D	Prim, Gen
	30	DiBrienza, Stephen *	D, L	Gen
	31	Albanese, Sal F. *	D	Prim, Gen
	31	Maresca, Stephen M.	R, C	Gen
(NP)	32	Dear, Noach *	D, R, C	Prim, Gen
	32	Eichenthal, David R.	D	Prim
	33	Cohen, Adele	D	Prim
	33	Horvitz, Samuel*	D, L	Prim, Gen
(NP)	34	Lisa, Joseph F. *	D	Gen
(NP)	35	O'Donovan, Jerome X. *	D, C	Gen
	35	Perednia, Theodore	R	Gen

\* = General Election Winner

(NP) = Non-participant

AD=American Dream  
BS=Better Schools  
C=Conservative  
D=Democrat  
F=Freedom

FRUN=Friends United  
FU=Fusion  
GP=Green Party  
I=Independent  
L=Liberal

LIB=Libertarian  
MRP=Marijuana Reform  
NL=New Alliance  
R=Republican  
RTL=Right-to-Life

S=Socialist  
SIS=Staten Island Secession



# Special Election: 1990A Council District 1<sup>12</sup>

## I. Limits & Requirements

### Contribution Limit

City Council
\$2,000

### Expenditure Limit

City Council
\$60,000

### Threshold Requirements

	City Council
Amount	\$7,500
# Contributors	50

### Maximum Public Funds

City Council
\$30,000

## II. Public Funds Disbursed

City Council
\$10,155

## III. Program Participants

Borough/CD	Candidate	Party	Ballot Status
CD 1	Joseph, Annmarie	Non-Partisan	No Record
CD 1	Molinari, Ralph *	Non-Partisan	No Record

\* = Election Winner      (NP) = Non-participant

<sup>12</sup> Information on all special elections was generated from the Campaign Finance Information Systems database available at the CFB offices.

# 1991 City Council Elections

## I. Members of the Board

Joseph A. O'Hare, S.J., Chairman

James I. Lewis

Joseph Messina

Sonia Sotomayor

## II. Limits & Requirements

### Contribution Limits – Primary/General<sup>13</sup>

City Council
\$3,000

### Expenditure Limits

City Council	
Primary/General	\$105,000

### Threshold Requirements

	City Council
Amount	\$5,000
No. of Contributors	50

### Maximum Public Funds<sup>14</sup>

City Council
\$40,000

## III. Number of 1989 Program Participants<sup>15</sup>

	City Council
Participant Total	256
Participants On Ballot	136

## IV. Public Funds Disbursed<sup>16</sup>

<sup>13</sup> New York City Campaign Finance Board. *Windows of Opportunity: Campaign Finance Reform and the New City Council* (July 1992), p. 8.

<sup>14</sup> See *Windows of Opportunity*, p. 11.

<sup>15</sup> See *Windows of Opportunity*, p. 9.

	City Council
Primary	\$1,785,046
General	\$713,493

**V. Relevant Acts & Rule Changes**

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**VI. Major News Stories**

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**VII. Major court/criminal proceedings**

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**VIII. Major Accomplishments**

- The Candidate Services Unit was established after a review of the 1989 Citywide Elections. Given the complexity of the Program, CSU was designed and implemented in the 1991 election cycle to work closely with candidates in the interest of compliance.<sup>17</sup>

**IX. Enforcement**

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**X. Budget for 1991 Election Cycle<sup>18</sup>**

Personal Services	Other Than Personal Services	Public Fund	Voter Guide	Total
\$1,744,100	\$1,321,000	\$3,448,000	\$3,000,000	\$9,513,100

**XI. Major Publications**

***Windows of Opportunity: Campaign Finance and the New City Council*** (July 1992) - A report on the performance of the Campaign Finance Program in the 1991 citywide elections.

**1991 Participant List<sup>19</sup>**

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<sup>16</sup> See *Windows of Opportunity*, Appendix G, p. 161.

<sup>17</sup> See *Windows of Opportunity*, pp. 69-70.

<sup>18</sup> Please note that while this budget holds true for the 1991 election cycle, the actual budget allotment occurs in fiscal year 1992.

<sup>19</sup> The participant list was gathered from a combination of sources: Appendices A, B and C from *Windows of Opportunity* as well as participant, candidate committee, and ballot status reports generated from the Campaign Finance Information Systems Database available at the CFB offices.

Office	Council District	Candidate	Party	Ballot Status
City Council	1	Chin, Margaret S.	D, L	Prim, Gen
	1	Freed, Kathryn *	D	Prim, Gen
	1	Teng, Fred S.	C, R	Gen
	2	Cornett, Delco L.	I	Gen
	2	Friedlander, Miriam	D	Prim
	2	Pagan, Antonio *	D, L	Prim, Gen
	2	Silverglad, Hyman	C, R	Gen
	3	Abzug, Liz J.	D	Prim
	3	Del Maestro, Victor A.	C, D	Prim
(NP)	3	Duane, Tom *	D, L	Prim, Gen
	3	Magidson, Andrew	R	Gen
	4	Maloney, Carolyn *	D, I	Prim, Gen
	4	Rosen, Steven H.	D	Prim
	5	Greitzer, Carol	D	Prim, Gen
	5	Millard, Charles *	L, R	Gen
	5	Rademaker, Jessie	D	Prim
	6	Eldridge, Ronnie *	D, L	Gen
	6	Guttman, Leonard B.	I, R	Gen
	7	Adam, Yvette C.	--	--
	7	Graves, Franky J.	--	--
	7	Michels, Stanley *	D, L	Prim, Gen
	7	Mitchell, Keith S.	D	Prim
	7	Perry, Ronald G.	R	Gen
	7	Shepard, Peggy M.	D	Prim
	8	Del Toro, William	D	Prim
	8	Denis, Nelson A.	D	Prim
	8	Espada, George L.	R	Gen
	8	Perkins, Bill	D	Prim
	8	Powell IV, Adam Clayton *	D	Prim, Gen
	8	Reed, Philip	D	Prim
	8	Segarra, Jorge A.	D	Prim
	8	Shelly, Philip V.	D	Prim
	9	Fields, C. Virginia *	D, L	Prim, Gen
	9	Reiss, Elaine S.	R	Gen
	9	Smith, Regina L.	D	Prim
	10	Espallat, Adriano J.	D, L	Prim, Gen
	10	Fotopoulos, Harry C.	D	Prim
	10	Linares, Guillermo *	D	Prim, Gen
	10	Luna, Maria A	D	Prim
	10	Trinidad, Apolinar	C	Gen
(NP)	11	Eisland, June *	D, L	Gen
	12	Baez, Iris Herskowitz M.	D	Prim
	12	Bowman, Willie E.	D, L	Prim, Gen
	12	Gelb, Irving A.	D	Prim
	12	Hazell, Gretchen	D	Prim
	12	Warden, Lawrence A. *	D	Prim, Gen
(NP)	13	DeMarco, Michael *	D, C	Gen
	14	Aska, Claude K.	D	Prim
	14	Ruiz Jr., Israel *	D, L	Prim, Gen
	15	Alamo-Estrada, Agustin	D	Prim
	15	Israel, Martinez	D	Prim

(NP)	15	Rivera, Jose *	D, L	Prim, Gen
(NP)	16	Foster, Wendell *	D, L	Gen
(NP)	17	Colon, Rafael *	D, L	Prim, Gen
	17	Mendez, Rafael	D, I	Prim, Gen
	18	Betancourt Jr., Ismael	D	Prim
(NP)	18	Cruz, Lucy *	D, L	Prim, Gen
	18	Espada Jr., Pedro	--	--
	18	Sanchez, Deserie M.	D	Prim
	19	Abel, Michael *	R, C	Prim, Gen
	19	Avella, Tony	D	Prim
	19	Jawin, Ann J.	D	Prim
	19	Kazimiroff, Julie	D	Prim
	19	Nitzburg, Arthur R.	D, L	Prim, Gen
	19	Steele, Frank	D	Prim
	19	Tsanas, John A.	R	Prim
	19	Weiss, Howard	D	Prim, Gen
(NP)	20	Harrison, Julia *	D, L	Gen
	21	Bryer Jr., Irvine A.	D	Prim
	21	Cruz, Lucy	D, L	Prim, Gen
(NP)	21	Marshall, Helen M. *	D, L	Prim, Gen
	21	Moreno, Rafael	D	Prim
	22	Vallone, Peter F. *	D	Gen
(NP)	23	Leffler, Sheldon *	D, L	Gen
	24	Brown, Marilyn D.	I, R	Gen
(NP)	24	Povman, Morton *	D	Gen
	25	Sears, Helen	D, L	Prim, Gen
	25	Sabini, John *	D	Prim, Gen
	25	France, Mary	D	Prim
	26	Dillon, James J.	D	Prim
(NP)	26	McCaffrey, Walter *	D, R, L	Prim, Gen
	27	Gebert, Thomas A.	D	Prim
	27	Marchant, Garth I.	D	Prim
(NP)	27	Spigner, Archie *	D, L	Prim, Gen
	28	Dixon, Samuel E.	F, RTL	Gen
	28	Jennings Jr., Allan W.		Prim
	28	Mays, Luberta F.	--	--
	28	McClellan, Lawrence T.	D	Prim
	28	Moxey, Winston B.	D	Prim
	28	White Jr., Thomas *	D, L	Prim, Gen
	29	Koslowitz, Karen *	D	Prim, Gen
	29	Rademaker, Jessie W.	D	Prim
	30	Centorcelli, Dario L.	D	Prim
	30	Fisher, Pamela L.	D	Prim, Gen
	30	Laske, Arthur M.	D	Prim
	30	Mahoney, Constance E.	D	Prim
	30	Ognibene, Thomas V. *	R, RTL, C	Gen
	30	Sansivieri, Frank	D, I	Prim, Gen
	30	Umland, John M.	D	Prim
	31	Blackburne, Elmer H.	D	Prim
	31	Boyd-Foy, Mary L.	--	--
	31	Clarke, Renaldo	D	Prim
	31	Fajardo, Rolando	D	Prim
	31	Greene, Delphin H.	D	Prim
	31	Hooks, David R.	D	Prim

	31	Meeks, Gregory W.	D	Prim
	31	Smith, Malcolm A.	D	Prim
	31	Watkins, Juanita E. *	D, L	Prim, Gen
	32	Eichenthal, David R.	--	--
	32	Galeno, Michael L.	D	Prim
(NP)	32	Ward, Walter *	D, C	Prim, Gen
(NP)	33	Fisher, Kenneth K. *	D, L	Gen
	33	Nadrowski, Leon F.	--	--
	34	Alvarez, Nilka I.	D	Prim
	34	Martinez, Juan D.	D	Prim
	34	Robles, Victor L. *	D, L	Prim, Gen
	35	Emmanuel, Ernest	--	--
	35	Kenney, Lucille	--	--
	35	Pinkett, Mary *	D, L	Prim, Gen
	35	Williams, Peter M.	D, I	Prim, Gen
	36	Christman, Carolyn	RTL	Gen
	36	Hunter, Robert	D	Prim
	36	Robinson, Annette M. *	D, L	Prim, Gen
	36	Sullivan, James P.	D	Prim
	36	Williamson, Geronimo E.	--	--
	37	Hernandez, Mauricio	D, L	Prim, Gen
	37	Malave-Dilan, Martin *	D	Prim, Gen
	37	Schmidt, Allen J.	C, R	Gen
	38	Byrd, Beatrice	D	Prim
	38	English, Ann	D	Prim
	38	McCabe, Joan Griffin *	D	Prim, Gen
	38	Moices, Ismael	D	Prim
	38	Nieves, Javier A.	D	Prim
	38	O'Hara, John K.	D	Prim
	38	Velazquez, Pedro	D, L	Prim, Gen
	39	DiBrienza, Stephen *	D, L	Prim, Gen
	39	Graham, Bernard J.	D	Prim
	39	Lurie, Jeffrey R.	C, R	Gen
	40	Andrews, Carl	D, L	Prim, Gen
	40	Clarke, Una *	D	Prim, Gen
	40	Gumbs, Maurice A.	D	Prim
	41	Ward, Ronald M.	D	Prim
(NP)	41	Williams, Enoch*	D	Prim, Gen
	42	Hill, Julian M.	--	--
(NP)	42	Wooten, Priscilla *	D, L	Gen
	43	Albanese, Salvatore F. *	D, L	Gen
	43	Murphy, Kathleen M.	C, R	Prim, Gen
	44	Aboulafia, Sandy	D	Prim
(NP)	44	Dear, Noach *	D, C	Prim, Gen
	44	Miller, Robert J.	D, L	Prim, Gen
	45	Alter, Susan D. *	D	Prim, Gen
	45	Carey, Weyman A.	D, L	Prim, Gen
	45	Moore, Colin A.	D	Prim
	45	Perry, Nick	D	Prim
	45	Roberts, Edward A.	D	Prim
	45	Robertson, Janice	D	Prim
	46	Berman, Herbert E. *	D, L	Gen
	46	Williams, Evelyn	--	--
(NP)	47	Horvitz, Samuel *	D, L	Gen

	47	Sterling, Lee S.	C	Gen
	48	Bisogno Sr., Gerard J.	D	Prim
	48	Cohen, Adele	D	Prim
	48	Levin, Lew Y.	C, D	Prim, Gen
	48	Weiner, Anthony D. *	D	Prim, Gen
(NP)	49	O'Donovan, Jerome X. *	D, C	Gen
	49	Thomas, Richard	R	Gen
	50	Del Giorno, Jon R.	D	Prim, Gen
	50	Fusco, John A. *	C, R	Gen
	51	Cerullo, Alfred *	C, R	Gen
	51	Petrofsky, John M.	D, RTL	Gen
	Unknown	Friedman, Sylvia M.	--	--
	Unknown	Schriebman, Lawrence P.	--	--
	Unknown	Burke, Samuel	--	--

\* = General Election Winner

(NP) = Non-participant

AD=American Dream

BS=Better Schools

C=Conservative

D=Democrat

F=Freedom

FRUN=Friends United

FU=Fusion

GP=Green Party

I=Independent

L=Liberal

LIB=Libertarian

MRP=Marijuana Reform

NL=New Alliance

R=Republican

RTL=Right-to-Life

S=Socialist

SIS=Staten Island Secession

## Special Elections: 1991A Council District 29 & 1991B Council District 22

### I. Limits & Requirements<sup>20</sup>

#### Contribution Limit

City Council
\$3,000

#### Expenditure Limit

City Council
\$105,000

#### Threshold Requirements

	City Council
Amount	\$5,000
# Contributors	50

#### Maximum Public Funds

City Council
\$40,000

### II. Public Funds Disbursed

	City Council
1991A	\$137,650
1991B	\$0

### III. Program Participants

Borough/CD	Candidate	Party	Ballot Status
<b>1991A Council District 29 Special Election</b>			
CD 29	Battaglia, Angela	Non-Partisan	On Ballot
CD 29	Fisher, Kenneth (NP)*	Non-Partisan	On Ballot
CD 29	Glick, John T.	Non-Partisan	On Ballot
CD 29	Leavitt-Cacchione, Lonnie	Non-Partisan	On Ballot
CD 29	Minucci, Linda T.	Non-Partisan	On Ballot
CD 29	Van Slyke, Irene	Non-Partisan	On Ballot
<b>1991B Council District 22 Special Election</b>			
CD 22	Koslowitz, Karen*	Non-Partisan	On Ballot

\* = Election Winner      (NP) = Non-participant

<sup>20</sup> The limits and requirements were uniform for the listed Elections. They are, therefore, listed in the same section.



# 1993 Citywide Elections

## I. Members of the Board

Joseph A. O'Hare, S.J., Chairman

Bill Green

James I. Lewis

Joseph Messina

Vaughn C. Williams

## II. Limits & Requirements

### Contribution Limits<sup>21</sup>

	Mayor	Comptroller	Public Advocate	Borough President	City Council
Primary	\$6,500	\$6,500	\$6,500	\$5,000	\$3,000
General	\$6,500	\$6,500	\$6,500	\$5,000	\$3,000

### Expenditure Limits<sup>22</sup>

	Mayor	Comptroller	Public Advocate	Borough President	City Council
Primary	\$4,000,000	\$2,500,000	\$2,500,000	\$900,000	\$105,000
General	\$4,000,000	\$2,500,000	\$2,500,000	\$900,000	\$105,000

### Threshold Requirements<sup>23</sup>

	Mayor	Comptroller	Public Advocate	City Council
Amount	\$250,000	\$125,000	\$125,000	\$5,000
No. of Contributors	1,000	500	500	50

### Borough President Threshold Requirements

	Bronx	Brooklyn	Manhattan	Queens	Staten Island
Amount	\$24,076	\$46,013	\$29,751	\$39,032	\$10,000
# Contributors	100	100	100	100	100

### Maximum Public Funds<sup>24</sup>

Mayor	Comptroller	Public Advocate	Borough President	City Council
\$2,000,000	\$1,250,000	\$1,250,000	\$450,000	\$40,000

<sup>21</sup> Campaign Finance Board. *On the Road to Reform: Campaign Finance in the 1993 New York City Elections* (September 1994), p. 3.

<sup>22</sup> See *Road to Reform*, Volume I, p. 3.

<sup>23</sup> See *Road to Reform*, Volume I, p. 3.

<sup>24</sup> See *Road to Reform*, Volume I, p. 3.

### III. Number of 1993 Program Participants<sup>25</sup>

	Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
Participant Total	5	3	11	11	136	166
Participants On Ballot	4	3	6	6	87	106

### IV. Public Funds Disbursed<sup>26</sup>

Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
\$3,005,762	\$1,028,381	\$904,106	\$64,956	\$1,108,948	\$6,112,153

### V. Relevant Acts & Rule Changes

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#### VI. Major News Stories

1. "Dinkins' Dubious Finale," *New York Post* Editorial, January 6, 1994, p. 30.
2. "Mr. Dinkins's Graceless Parting Shot," *New York Times* Editorial, January 6, 1994, p. A20.
3. "Dave's Revenge Leaves a Bitter Taste," *Daily News* Editorial, January 6, 1994, p. 42.
4. "Bloody Carpet," *New York Newsday* Editorial, January 7, 1994, p. 56.
5. Allison Mitchell, "New Campaign Finance Chairman Quits," *New York Times* January 8, 1994, p. A26.
  - These articles concern Mayor Dinkins' eleventh hour appointment of Thomas J. Schwarz, replacing Father O'Hare as Chairman of the Board. Dinkins' appointment was seen as a revenge tactic for fines assessed to his campaign by the CFB. Public outcry compelled the resignation of Mr. Schwarz and Mayor-elect Rudolph Guiliani's reinstatement of Chairman O'Hare.

#### VII. Major court/criminal proceedings

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#### VIII. Major Accomplishments

- First time Voter Guide is published in Chinese language (in addition to English and Spanish).<sup>27</sup>
- C-SMART© computer software developed for candidate use. Electronic filing through C-SMART© enabled the "release of an unprecedented amount of disclosure information during the election."<sup>28</sup>
- Every citywide primary consisted of solely program participants.<sup>29</sup>

<sup>25</sup> See *Road to Reform*, Volume I, p. 11.

<sup>26</sup> See *Road to Reform*, Volume I, p. 51.

<sup>27</sup> See *Road to Reform*, Volume I, p. 113.

<sup>28</sup> See *Road to Reform*, Executive Summary, p. 1.

<sup>29</sup> See *Road to Reform*, Executive Summary, p. 2.

- A text-based version of the website is launched in 1994.

## IX. Enforcement

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## X. Budget for 1993 Election Cycle<sup>30</sup>

Personal Services	Other Than Personal Services	Public Fund	Voter Guide	Total
\$2,210,591	\$2,880,572	\$11,617,000	\$3,000,000	\$19,708,163

## XI. Major Publications

***On the Road to Reform: Campaign Finance in the 1993 New York City Elections*** (September 1994) - A two-volume report on the performance of the Campaign Finance Program in the 1993 citywide elections.

***The Debate Debate*** (June 1994) - A report on the issues raised by 'mandatory' debate requirements in the context of the New York City Campaign Finance Program, including a recommended structure for a debate program not tied to a program of public matching funds for candidates.

***Party Favors*** (January 1995) - A report on the use of New York State political party funds in New York City elections.

***Bundles of Trouble?*** (June 1996) - A report on the role of intermediaries (or "bundlers") in New York City elections.

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<sup>30</sup> Please note that while this budget holds true for the 1993 election cycle, the actual budget allotment occurs in fiscal year 1994.

### 1993 Participant List<sup>31</sup>

Office	Borough/CD	Candidate	Party	Ballot Status
Mayor		Dinkins, David	D	Prim, Gen
		Giuliani, Rudolph *	R, L	Prim, Gen
		Innis, Roy	D	Prim
		McMillan, Jimmy	--	--
		Ruano Melendez, Eric	D	--
Comptroller		Badillo, Herman	D, L	Prim, Gen
		Hevesi, Alan *	D	Prim, Gen
		Holtzman, Elizabeth	D	Prim
Borough President	Bronx	Ferrer, Fernando *	D, L	Prim, Gen
	Bronx	Gelb, Irving	--	--
	Bronx	Harvey, Samuel	--	--
(NP)	Brooklyn	Golden, Howard*	D	
	Brooklyn	Williamson, Geronimo	--	--
	Manhattan	Cornett, Delco	I	Gen
	Manhattan	Messinger, Ruth *	D, L	Gen
	Queens	Shulman, Claire *	D	Gen
	Queens	Spavins, John	C, R	Gen
	Staten Island	Grillo, Carl	L	Gen
	Staten Island	Molinari, Guy *	C, R	Gen
Public Advocate		Alter, Susan	D, L	Prim, Gen
		Fulani, Lenora	--	--
		Green, Mark *	D	Prim, Gen
		Halperin, Donald	D	Prim
		O'Cummings, Grady	--	--
		Paterson, David	D	Prim
		Ramirez, Roberto	D	Prim
		Ravitz, John	--	--
		Reale, Ron	C	Prim, Gen
		Reiter, Frances	--	--
	Thomas, Richard	--	--	
City Council	1	Chin, Margaret S.	D	Prim
	1	Freed, Kathryn E. *	D, L	Prim, Gen
	1	Joice, Judith E.	D	Prim
	1	Schiattarella, Carlo D.	--	--
	2	Aguinaldo, Roger A.	C	Gen
	2	Friedlander, Miriam	D	Prim
	2	Friedman, Sylvia M.	D	Prim
	2	Pagan, Antonio*	D, L	Prim, Gen
	2	Rodriguez, Olivia	--	--
	3	Duane, Thomas K. *	D, L	Gen
4	Crotty, Jane R.	D	Gen	

<sup>31</sup> The participant list was gathered from a combination of sources: Appendices A, B and C from *On the Road to Reform* as well as participant, candidate committee, and ballot status reports generated from the Campaign Finance Information Systems Database available at the CFB offices.

(NP)	4	Eristoff, Andrew *	R, L	Gen
	4	Giandurco, Vincent J.	--	--
	5	Bellinson, Robert J.	--	--
	5	Millard, Charles *	L, R	Gen
	5	Morell, Samuel D.	D	Prim
	6	Rosenthal, Leo Chudzikiewicz	--	--
	6	Eldridge, Ronnie M. *	D, L	Gen
	6	Schlossman, Michael D.	R	Gen
	7	Hodge, Allen M.	--	--
	7	Michels, Stanley E. *	D, L	Gen
	7	Mosley, Alphonzo	C	Gen
	8	DelToro, William	D	Prim
	8	Diaz Jr., Francisco	D	Prim
	8	Espada, George L.	C, R	Gen
	8	Powell IV, Adam Clayton *	D, L	Prim, Gen
	8	Reed, Philip	--	--
	8	Barbanel, Howard S.	R	Gen
	9	Fields, C. Virginia *	D, L	Gen
	10	Castellanos, Francesca	C, FN	Gen
	10	Linares, Guillermo *	D, L	Gen
	10	Ortega, Luis A.	--	--
	10	Rexach, Nilda Luz	R	Gen
	11	Braun, Esther B.	--	--
(NP)	11	Eisland, June *	D, L	Gen
	11	Rawluk, Wade B.	--	--
	12	Burke, Samuel	D	Prim
	12	Warden, Lawrence A. *	D, L	Prim, Gen
(NP)	13	DeMarco, Michael	R, D, C	Gen
	14	Alamo-Estrada, Agustin	C	Gen
	14	Carrion Jr. Adolfo	--	--
	14	Ruiz Jr., Israel *	D, L	Gen
	15	Rivera, Jose*	D, L	Gen
	16	Benjamin, Michael A.	--	--
	16	Foster, Wendell *	D, L	Prim, Gen
	16	Saez Jr., Carmelo	D	Prim
	17	Castaneira Colon, Rafael	D	Prim
	17	Eatmon Jr., Andrew A.	D	Prim
	17	Mendez, Rafael	NL	Gen
(NP)	17	Rosado, David *	D, L	Prim, Gen
	18	Cruz, Lucy *	D, L	Gen
	18	Sanchez, Deserie M.	--	--
	18	Segui, Pedro-Paul	--	--
	18	Nieves, Ramon	R	Gen
	19	Abel, Michael J. *	C, R	Gen
	19	Avella, Tony	D, L	Prim, Gen
	19	Gitkind, Edward S.	D	Prim
	19	Goldman, Barbara	--	--
	19	Kestenbaum, Alan G.	--	--
(NP)	20	Harrison, Julia *	D, L	Gen
	20	Schwadron, Mitchell C.	R	Gen

	21	Marshall, Helen M. *	D, L	Gen
	22	Vallone, Peter F. *	D	Gen
	23	Laufer, Alan S.	--	--
	23	Leffler, Sheldon S. *	D, L	Gen
(NP)	24	Povman, Morton *	D	Gen
	25	Paine, John	R	Gen
	25	Sabini, John *	D, L	Gen
	26	Dillon, James J.	C, R	Gen
(NP)	26	McCaffrey, Walter *	D, L	Gen
	27	Marchant, Garth I.	D	Prim
(NP)	27	Spigner, Archie *	D, L	Prim, Gen
	28	Bhagwandin, Denny D.	--	--
	28	Jennings Jr., Allan W.	D	Prim
	28	White Jr., Thomas *	D, L	Prim, Gen
	29	Adolff, Donald A.	--	--
	29	Koslowitz, Karen *	D, L	Gen
	30	Fisher, Pamela L.	--	--
	30	Ognibene, Thomas V. *	C, R, RTL	Gen
	30	Sansivieri, Frank	--	--
	30	Umland, John M.	--	--
	31	Lewis, Edward J.	D	Prim
	31	Reynolds, Clarence	--	--
	31	Watkins, Juanita E. *	D, L	Prim, Gen
	32	Gebert, Thomas A.	--	--
(NP)	32	Ward, Walter *	D	Gen
(NP)	33	Fisher, Kenneth *	D, L	Gen
	33	Nadrowski, Leon F.	C, R	Gen
	34	Caraballo, F. Louis	D	Prim
	34	Robles, Victor L. *	D, L	Prim, Gen
	35	Bacon, Roslyn E.	--	--
	35	Emmanuel, Ernest	--	--
	35	Lewis, Marcus S.	--	--
	35	Perdum Sr., Jerome K.	--	--
	35	Pinkett, Mary *	D, L	Gen
	36	Robinson, Annette M. *	D, L	Gen
	36	Taylor, Richard	--	--
	37	Malave-Dilan, Martin *	D, L	Gen
	37	Schmidt, Allen J.	C, R	Gen
	38	McCabe, Joan Griffin *	D	Prim, Gen
	38	Moices, Ismael	--	--
	38	O'Hara, John K.	D	Prim
	38	Raffo Jr., Alfred	--	--
	38	Rivera, Migdalia	D, L	Prim, Gen
	38	Rodriguez, Secundino	--	--
	38	Roman, Jose M.	--	--
	38	Touhey, Timothy	--	--
	39	DiBrienza, Stephen *	D, L	Gen
	39	Pinto, Russell R.	C, R	Gen
	40	Clarke, Una *	D, L	Prim, Gen
	40	Foster, Ernest N.	D	Prim
	41	Barkr, Atchudta	D	Prim

	41	Burgess, Louis	--	--
	41	Miller, David R.	--	--
	41	Ward, Ronald M.	L	Gen
(NP)	41	Williams, Enoch *	D	Prim, Gen
	42	Bender Jr., Sandy	D	Prim
	42	Hill Jr., Julian M.	--	--
	42	McClain, Robert	R	Gen
	42	Wooten, Priscilla A. *	D, L	Prim, Gen
	43	Albanese, Salvatore F. *	D, L	Gen
	43	DeFina, Joseph F.	C, R	Gen
	44	Aboulaflia, Sandy	D	Prim
(NP)	44	Dear, Noach *	D, C, R	Prim, Gen
	44	Kahanowitz, Paul M.	--	--
	45	Henry, Lloyd *	D, L	Prim, Gen
	45	Moore, Colin A.	D	Prim
	45	Robertson, Janice	D	Prim
	46	Berman, Herbert E. *	D, L	Gen
	46	Fenelius Jr., James P.	--	--
	46	Knipel, Lory Citron	--	--
	46	LaMorte, James T.	L	Gen
	47	Feigenbaum, Marcey	--	--
	47	Horwitz, Samuel	--	--
	47	Kramer, Elliott S.	--	--
(NP)	47	Lasher, Howard *	D, L	Prim, Gen
	47	Michaels, Joel M.	D, L	Prim, Gen
	47	Plotnick, Sheldon	D	Prim
	47	Recchia Jr., Domenic M.	--	--
	48	Fintz, Alan S.	--	--
	48	Levin, Lew Y.	C	Gen
	48	Meyers, Robert S.	L	Gen
	48	Staber, Alexander	D	Prim
	48	Weiner, Anthony D. *	D	Prim, Gen
	49	Ambrosino, Lawrence E.	--	--
	49	Covino, Lee S.	R	Gen
	49	O'Donovan, Jerome X. *	C, D	Gen
	50	Fusco, John A. *	C, R	Gen
	50	Vasilakis, Anastasia	D	Gen
	51	Cerullo III, Alfred C. *	R	Gen

\* = General Election Winner

(NP) = Non-participant

AD=American Dream  
BS=Better Schools  
C=Conservative  
D=Democrat  
F=Freedom

FRUN=Friends United  
FU=Fusion  
GP=Green Party  
I=Independent  
L=Liberal

LIB=Libertarian  
MRP=Marijuana Reform  
NL=New Alliance  
R=Republican  
RTL=Right-to-Life

S=Socialist  
SIS=Staten Island Secession

## Special Election: 1993A Council District 4, 1994 Council District 51, & 1994A Council District 51

### I. Limits & Requirements<sup>32</sup>

#### Contribution Limit

City Council
\$3,000

#### Expenditure Limit

City Council
\$105,000

#### Threshold Requirements

	City Council
Amount	\$5,000
# Contributors	50

#### Maximum Public Funds

City Council
\$40,000

### II. Public Funds Disbursed

	City Council
1993A	\$132,146
1994	\$30,677
1994A	\$60,339

### III. Program Participants

1993A Council District 44 Special Election			
CD 4	Crotty, Jane R.	Non-Partisan	On Ballot
CD 4	Eristoff, Andrew (NP)*	Non-Partisan	On Ballot
CD 4	Fischer, Wayne D.	Non-Partisan	On Ballot
CD 4	Gordon, Michael B.	Non-Partisan	On Ballot
CD 4	Mason, Reggie A.	Non-Partisan	No Record
CD 4	Mason, Trudy L.	Non-Partisan	Terminated
CD 4	Muller, Suzanne J.	Non-Partisan	No Record
CD 4	Nadelson, Eileen N.	Non-Partisan	On Ballot
CD 4	Polise, Jo Anne M.	Non-Partisan	On Ballot
CD 4	Price, Warrie L.	Non-Partisan	On Ballot
1994 Council District 51 Election			

<sup>32</sup> The limits and requirements were uniform for the listed elections. They are, therefore, listed in the same section.



CD 51	Fosella, Vito *	Non-Partisan	On Ballot
CD 51	Mangano, Rosemarie A.	Non-Partisan	On Ballot
CD 51	Pocchia, Anthony J.	Non-Partisan	On Ballot
<b>1994A Council District 51 Special Election</b>			
CD 51	Aronold, Charles W.	Non-Partisan	No Record
CD 51	Fosella, Vito*	Non-Partisan	On Ballot
CD 51	Gastaldo, Jayne	Non-Partisan	On Ballot
CD 51	Hart, Caramia R.	Non-Partisan	On Ballot
CD 51	Landau-Crawford, Dorothy R.	Non-Partisan	On Ballot
CD 51	Pocchia, Anthony J.	Non-Partisan	On Ballot

\* = Election Winner            (NP) = Non-participant

# Special Election: 1996 Council District 5 Election

## I. Limits & Requirements

### Contribution Limit

City Council
\$3,550

### Expenditure Limit

City Council
\$124,000

### Threshold Requirements

	City Council
Amount	\$5,000
# Contributors	50

### Maximum Public Funds

City Council
\$40,000

## II. Public Funds Disbursed

	City Council
1996	\$81,375

## III. Program Participants

Borough/CD	Candidate	Party	Ballot Status
CD 5	Miller, A. Gifford *	Non-Partisan	On Ballot
CD 5	Morell, Samuel D.	Non-Partisan	Terminated

\* = Election Winner

(NP) = Non-participant

# Special Election: 1996A Council District 5

## I. Limits & Requirements

### Contribution Limit

City Council
\$1,775

### Expenditure Limit

City Council
\$124,000

### Threshold Requirements

	City Council
Amount	\$5,000
# Contributors	50

### Maximum Public Funds

City Council
\$40,000

## II. Public Funds Disbursed

City Council
\$63,208

## III. Program Participants

Borough/CD	Candidate	Party	Ballot Status
<b>1996A Council District 5 Election</b>			
CD 5	Marcus, Judith G.	Non-Partisan	On Ballot
CD 5	Miller, A. Gifford *	Non-Partisan	On Ballot
CD 5	Morell, Samuel D.	Non-Partisan	Terminated
CD 5	Schiff, Andrew N.	Non-Partisan	Terminated
CD 5	Spitz, George N.	Non-Partisan	Terminated

\* = Election Winner

(NP) = Non-participant

# 1997 Citywide Elections

## I. Members of the Board

Joseph A. O'Hare, S.J., Chairman

Bill Green

Martin S. Begun

## II. Limits & Requirements

### Contribution Limits<sup>33</sup>

	Mayor	Comptroller	Public Advocate	Borough President	City Council
Primary	\$7,700	\$7,700	\$7,700	\$5,900	\$3,550
General	\$7,700	\$7,700	\$7,700	\$5,900	\$3,550

### Expenditure Limits<sup>34</sup>

	Mayor	Comptroller	Public Advocate	Borough President	City Council
Primary	\$4,732,000	\$2,958,000	\$2,958,000	\$1,065,000	\$124,000
General	\$4,732,000	\$2,958,000	\$2,958,000	\$1,065,000	\$124,000

### Threshold Requirements<sup>35</sup>

	Mayor	Comptroller	Public Advocate	City Council
Amount	\$250,000	\$125,000	\$125,000	\$5,000
# Contributors	1,000	500	500	50

### Borough President Threshold Requirements

	Bronx	Brooklyn	Manhattan	Queens	Staten Island
Amount	\$24,076	\$46,013	\$29,751	\$39,032	\$10,000
# Contributors	100	100	100	100	100

### Maximum Public Funds<sup>36</sup>

	Mayor	Comptroller	Public Advocate	Borough President	City Council
	\$2,366,000	\$1,479,000	\$1,479,000	\$532,500	\$40,000

<sup>33</sup> New York City Campaign Finance Board. *A Decade of Reform: 1988-1998*. (September 1998), Executive Summary, p. 2.

<sup>34</sup> See *Decade of Reform*, Executive Summary, p. 2.

<sup>35</sup> See *Decade of Reform*, Executive Summary, p. 2.

<sup>36</sup> See *Decade of Reform*, Executive Summary, p. 2.

### III. Number of 1993 Program Participants<sup>37</sup>

	Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
Participant Total	10	3	3	22	138	190 <sup>38</sup>
Participants On Ballot	6	3	3	15	114	141

### IV. Public Funds Disbursed<sup>39</sup>

Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
\$3,239,421	\$247,054	\$385,268	\$880,483	\$1,666,961	\$6,419,187

### V. Relevant Acts & Rule Changes

- The Debate Law signed in 1996 required all candidates in citywide office to participate in a series of public debates as a condition of receiving matching funds. The debates were legislated as a mandated responsibility of the Campaign Finance Board. The law requires Program participants for citywide office to appear in two debates before the primary and at least one debate prior to the general election. A second general election debate is also held with only “leading contenders.” Participation criteria in this debate are determined by debate sponsors. Candidates who do not qualify as “leading contenders” must take part in an “alternative non-partisan voter education program.” Failure by Program participants to appear in any debate can lead to ineligibility for matching funds.<sup>40</sup>

### VI. Major News Stories

1. Vivian S. Toy, “Giuliani and Council Agree on Bill Requiring Debates,” *New York Times*, October 31, 1996, p. B6.
  - This article details one of the most critical changes to the Campaign Finance Act, the administration of the Debate program (described above).
2. Clifford J. Levy, “Finance Data Raised Doubts of City Board,” *New York Times*, January 26, 1997, p. A21.
  - After a routine audit of participant Ron Reale’s campaign records, the Board discovered some puzzling money orders. After a series of investigations Reale, who had been a candidate for Public Advocate in 1993, was accused and indicted of using fraudulent methods to obtain public matching funds.
3. Clifford J. Levy, “Giuliani Campaign is Fined \$220,000 Over Contributions,” *New York Times*, September 19, 1997, p. A1.
4. Clifford J. Levy, “Finance Board Accepts Giuliani’s Choice for Seat,” *New York Times*, September 20, 1997, p. B2.
5. Wayne Barrett, “Rudy’s Man Rushes In,” *Village Voice*, September 30, 1997, p. 26.

<sup>37</sup> See *Decade of Reform*, Executive Summary, p. 4.

<sup>38</sup> This total includes 14 undeclared candidates.

<sup>39</sup> See *Decade of Reform*, Executive Summary, p. 17.

<sup>40</sup> See *Decade of Reform*, Executive Summary, p. 20-21.

- These articles concern the CFB’s second largest fine assessment to the Giuliani campaign. His campaign had been cited for accepting affiliated contributions that exceeded Program limits. The Giuliani camp contended that the fine was illegal as the CFB had not allowed the mayor to appoint the new board member. The appointment of that member, however, occurred unexpectedly, during a board session right before the vote on his case was supposed to take place.
6. Clifford J. Levy, “Despite Clash, Giuliani Reappoints Campaign Finance Board Chief,” *New York Times*, April 1, 1998, p. B2.
- Reeling from the fine assessment incident, Giuliani was not expected to reappoint Father O’Hare to another term as Chairman. However, the mayor said that while he objected to the Board’s treatment of his reelection campaign, he had been pleased with the Chairman’s performance and was therefore poised to reappoint O’Hare.

**VII. Major court/criminal proceedings**

- After a routine audit of the 1993 elections, the Board discovered questionable campaign fundraising practices by Public Advocate candidate and Transit Police Benevolent Association President, Ron Reale. Evidence was presented in the US Attorney’s Office in the Southern District of New York, where a thorough investigation led to Reale’s conviction on several counts of criminal activity including a scheme to defraud the Board of public money.<sup>41</sup>

**VIII. Major Accomplishments**

- First election cycle during which the Board was responsible for administering the debate program.<sup>42</sup>

**IX. Enforcement**

- The Giuliani campaign was assessed a \$220,000 fine – the second largest in the CFB’s history. The Board found 134 instances in which the Giuliani campaign had violated the Program’s contribution limits. Most of the violations were assessed because contributions from affiliated sources were not aggregated within one contribution limit.<sup>43</sup>

**X. Budget<sup>44</sup>**

Personal Services	Other Than Personal Services	Public Fund	Voter Guide	Total
\$2,416,651	\$978,440	\$15,300,000	\$3,000,000	\$21,695,091

**XI. Major Publications**

<sup>41</sup> See *Decade of Reform*, Executive Summary, p. 32.

<sup>42</sup> See *Decade of Reform*, Executive Summary, pp. 83-95.

<sup>43</sup> See *Decade of Reform*, Volume I, p. 107.

<sup>44</sup> Please note that while this budget holds true for the 1997 election cycle, the actual budget allotment occurs in fiscal year 1998.

***An Election Interrupted...An Election Transformed*** (September 2002) - A report on the performance of the Campaign Finance Program in the 2001 citywide elections.

***Friends in Need: Joint and Independent Spending By Candidates*** (January 1997) - A report on campaign expenditures by candidates that are meant to aid other candidates.

## 1997 Participant List<sup>45</sup>

Office	Borough/CD	Candidate	Party	Ballot Status
Mayor		Albanese, Salvatore	D, I	Prim, Gen
		Giuliani, Rudolph *	R, L	Gen
		Johnson, Arthur	--	--
		Kramer, Kenny	--	--
		McMillan, Jimmy	--	--
		Messinger, Ruth	D	Prim, Gen
		Rodriguez, Olga	S	Gen
		Ruano-Melendez, Eric	D	Prim
		Sharpton, Alfred	D	Prim
		Williamson, Geronimo	D	--
	Comptroller		Hevesi, Alan *	D
		Lyons, Wendy	S	Gen
		Torres, Genevieve	I	Gen
Borough President	Bronx	Baez, Iris Herskowitz	--	--
	Bronx	Ferrer, Fernando *	D	Prim, Gen
	Bronx	Gutierrez, Victor	--	--
	Bronx	Rodriguez-Remeneski, Shirley	--	--
	Bronx	Ruiz Jr., Israel	D	Prim
	Brooklyn	Dillon, Rev. Dennis	FU	Gen
(NP)	Brooklyn	Golden, Howard	D	Gen
	Manhattan	Fager, John	D	Prim
	Manhattan	Fields, C. Virginia *	D, L	Prim, Gen
	Manhattan	Glick, Deborah	D	Prim
	Manhattan	Lavender, James	--	--
	Manhattan	Pagan, Antonio	D	Prim
	Manhattan	Powell IV, Adam Clayton	D	Prim
	Manhattan	Spitz, George	D	Prim
	Manhattan	Vargas, Ruben	RTL	Gen
	Queens	Baxter, John	I	--
	Queens	Di Casa, David	C	Gen
	Queens	Shulman, Claire *	D, I, L	Gen
	Queens	Tiraco, Joseph	--	--
	Staten Island	Hartigan, George	I	Prim, Gen
	Staten Island	Higgins, Steven	D	Gen
	Staten Island	Jorgensen, Raymond	--	--
	Staten Island	Molinari, Guy *	C, F, R, RTL	Gen
Public Advocate		Fret, Shoghi	S	Gen
		Green, Mark *	D	Prim
		Polonetsky, Jules	L, R	Gen
City Council	Not Specified	Calderon, Myrna	--	--

<sup>45</sup> The participant list was gathered from a combination of sources: Appendices A, B and C from *A Decade of Reform* as well as participant, candidate committee, and ballot status reports generated from the Campaign Finance Information Systems Database available at the CFB offices.



	Not Specified	Chapey, Geraldine M.	--	--
	Not Specified	Khan, Barbara	--	--
	1	Dormuth , Marie E.	D	Prim
	1	Freed, Kathryn E. *	D, I, L	Prim, Gen
	1	Lim, Jennifer F.	D	Prim
	2	Dechter, Hyman	--	--
	2	Fabozzi, Albert	D	Prim
	2	Lopez, Margarita *	D	Prim, Gen
	2	Lugo, Betty	I, R	Gen
	2	Rapfogel, Judy R.	D, L	Prim, Gen
	3	Duane, Thomas K. *	D, L:	Gen
	3	Murawksi, Bill	I	Gen
(NP)	4	Eristoff, Andrew	F, L, R	Gen
	4	Moskowitz, Eva S.	D, I	Gen
	5	Miller, A. Gifford*	D, I, L	Gen
	5	Stephens, Alexander W.	F, R	Gen
	6	Eldridge, Ronnie M. *	D, L	Gen
	7	Aquino, Angelica	--	--
	7	Hodge, Allen M.	--	--
	7	Michels, Stanley E. *	D, L	Gen
	8	Colon, Federico	D	Prim
	8	Kirkman, Curtis S.	I	Gen
	8	Marcial, Edwin	D	Prim
	8	Reed, Philip*	D	Prim, Gen
	8	Sena, Wilma J.	D	Prim
	8	Vidro-Ortiz, Jorge I.	D, L	Prim, Gen
	9	Allen, Williams A.	D	Prim
	9	Cunningham, David E.	R	Gen
	9	Haslip, Joseph J.	--	--
	9	Holly, I. Ronnie	D	Prim
	9	Mason, Reggie A.	I	Gen
	9	Montague, Virginia M.	D	Prim
	9	Perkins, Bill *	D	Prim, Gen
	9	Sweeting, Mary J.	D	Prim
	10	Castellanos, Francesca M.	D	Prim
	10	Linares, Guillermo *	D	Prim, Gen
	10	Lizardo, Roberto E.	D	Prim
	10	Lucre, Paul A.	I, L, R	Gen
	11	Anderson, Brian G.	C, R, RTL	Gen
(NP)	11	Eisland, June	D, L	Gen
	12	Saunders, Shirley J.	D	Prim
	12	Warden, Lawrence A. *	D, L	Prim, Gen
	13	Chiofalo, Anthony	--	--
	13	Medici, George	D	Prim
	13	Provenzano, Madeline *	C, D	Gen
	14	Brown Bryant, Edith	--	--
	14	Carrion Jr., Adolfo *	D, L	Prim, Gen
	14	Cortes, Carlos R.	--	--
	14	Martinez, Israel	--	--

	14	Soto, Richard	D, C	Prim, Gen
	14	Villaverde, Sergio	D	Prim
	15	Gonzalez, Carlos	--	--
	15	Rivera, Jose *	D	Gen
	16	Foster, Wendell *	D	Gen
	17	DeJesus, Luis R.	D	Prim
	17	Espada, Pedro G. *	D, I	Prim, Gen
	17	Perez Jr., Freddy	--	--
	18	Cruz, Lucy *	D, L	Gen
	18	Hernandez, Hilda N.	--	--
	18	Perez, Juan L.	--	--
	19	Abel, Michael J. *	C, I, R	Gen
	19	Iannece, Jerry M.	D, L	Gen
	20	Chen, Ethel T.	I	Gen
	20	Chu, Pauline	D, C	Prim, Gen
	20	Dunn, Helen N.	--	--
(NP)	20	Harrison, Julia *	D, L	Prim, Gen
	20	Liu, John C.	D	Prim
	20	Markell, Debra	D	Prim
	20	Tan, Meilin	--	--
	21	Marshall, Helen M. *	D, I, L	Gen
	22	Vallone, Peter F. *	D	Gen
	23	Laufer, Alan S.	C, F, I, R	Gen
	23	Leffler, Sheldon S. *	D, L	Gen
	24	Kowsh Jr., Walter	C	Gen
(NP)	24	Povman, Morton	D	Gen
	25	Sabini, John *	D, I, L	Gen
(NP)	26	McCaffrey, Walter *	D, I, L	Gen
	27	Givan, Hosea J.	--	--
	27	Jenkins, Cynthia	I	Gen
	27	Morgan, Ishmael J.	C, R	Gen
(NP)	27	Spigner, Archie	D, L	Gen
	28	Jennings Jr., Allan W.	D, C, I RTL	Prim, Gen
(NP)	28	White, Thomas *	D, L	Prim, Gen
(NP)	29	Koslowitz, Karen *	D, L	Gen
(NP)	30	Ognibene, Thomas *	C, F, I, R, RL	Prim, Gen
	31	Brown, Everly D.	C, F, I, R, RTL	Gen
	31	Watkins, Juanita E.*	D, L	Gen
	32	Gebert, Thomas A.	D	Prim
(NP)	32	Stabile, Alfonso *	C, F, I, R	Gen
	33	Fisher, Kenneth K. *	D, L	Gen
	34	Robles, Victor L. *	D, L	Gen
	34	White, Douglas F.	I	Gen
	35	Davis, James E.	D, C, L	Prim, Gen
	35	Louis, Errol T.	D, GP	Prim, Gen
	35	Pinkett, Mary *	D	Prim, Gen
	36	Carter, Arnold J.	D	Prim
	36	Robinson, Annette M. *	D	Prim, Gen

	36	Taylor, Richard	C, I	Gen
	37	Malave-Dilan, Martin *	D	Gen
	37	Mateo, Heriberto	L	Gen
	37	Taveras, Germania	C, F, U	Gen
	38	Brennan, Martin F.	--	--
	38	Castell, Eduardo	D	Prim
	38	Haggerty, Elba I.	D, C	Prim, Gen
	38	Loeb, Susan A.	D, I	Prim, Gen
	38	McDermott, Robert	D	Prim
	38	Rodriguez, Angel *	D, L	Prim, Gen
	39	Bell, Robert A.	D	Prim
	39	Caccamo, Anthony R.	D, F, R	Prim, Gen
	39	DiBrienza, Stephen *	D, L	Gen
	40	Clarke, Una *	D, L	Gen
	40	Wilkes Jr., Leroy D.	I	Gen
(NP)	41	Boyland, Tracy *	D	Prim, Gen
	41	Glenn, Salena	D	Prim
	41	Manitou, Kinzonzi M.	--	--
	41	Miller, David R.	D	Prim
	41	Pollard, Mark H.	--	--
	41	Roper-Simpson, Casilda E.	D	Prim
	41	Smith, Gary E.	I	Gen
	42	Adefope, John O.	RTL	Gen
	42	Barron, Charles	D	Prim
(NP)	42	Wooten, Priscilla *	D, I, L	Prim, Gen
	43	Aidala, Arthur L.	D	Prim
	43	Di Carlo, Robert J.	R	Prim
	43	Golden, Martin J. *	R, C, F, I, RTL	Prim, Gen
	43	McCone, Cody K.	D	Prim
	43	Seminara, Joanne	D, L	Prim, Gen
	44	Aboulafia, Sandy	D	Prim
(NP)	44	Dear, Noach *	D, C	Prim, Gen
	45	Emmanuel, Ernest	L	Gen
	45	Henry, Lloyd *	D	Prim, Gen
	45	James, Julia L.	I	Gen
	45	Stewart, Kendall B.	D	Prim
(NP)	46	Berman, Herbert *	D, L, R	Gen
	47	Cohen, Adele	D	Prim
	47	Lasher, Howard L. *	D, L	Prim, Gen
	47	Malave, Samuel	D	Prim
	48	Reznik, Jeff A.	D	Prim
	48	Salvati, Neil A.	C	Gen
	48	Weiner, Anthony D. *	D	Prim, Gen
	49	Cammarata, Joseph F.	R	Prim, Gen
	49	O'Donovan, Jerome X. *	C, D	Gen
	49	Player, Terry	R, F, I	Prim, Gen
	49	Roecker, Susan K.	--	--
	50	Diaz-Larocca, Sonia	I	Prim
	50	Fusco, John A. *	C, F, R, RTL	Gen

	50	Sollazzo, John	I, D	Prim, Gen
	51	Bardel, Henry J.	GP	Gen
	51	Corbett, Kevin R.	--	--
(NP)	51	Fiala, Stephen *	F, R	Gen
	51	Fossella, Vito	--	--
	51	Pocchia, Anthony J.	D, I	Gen

\* = General Election Winner

(NP) = Non-participant

AD=American Dream

BS=Better Schools

C=Conservative

D=Democrat

F=Freedom

FRUN=Friends United

FU=Fusion

GP=Green Party

I=Independent

L=Liberal

LIB=Libertarian

MRP=Marijuana Reform

NL=New Alliance

R=Republican

RTL=Right-to-Life

S=Socialist

SIS=Staten Island Secession

# Special Election: 1997A Council District 17

## I. Limits & Requirements

### Contribution Limit

City Council
\$1,775

### Expenditure Limit

City Council
\$124,000

### Threshold Requirements

	City Council
Amount	\$5,000
# Contributors	50

### Maximum Public Funds

City Council
\$40,000

## II. Public Funds Disbursed

City Council
\$0

## III. Program Participants

1997A Council District 17 Special Election			
CD 17	Espada, Pedro G.	Non-Partisan	Terminated
CD 17	Perez, Federico *	Non-Partisan	On Ballot

\* = Election Winner

(NP) = Non-participant

## Special Elections: 1999A Council Districts 3, 48 & 50

### I. Limits & Requirements

#### Contribution Limit

City Council
\$2,500

#### Expenditure Limit

City Council
\$137,000

#### Threshold Requirements

	City Council
Amount	\$5,000
# Contributors	50

#### Maximum Public Funds

City Council
\$75,350

### II. Public Funds Disbursed

City Council
\$788,554

### III. Program Participants

Borough/CD	Candidate	Party	Ballot Status
CD 3	Lees, Aubrey	Non-Partisan	On Ballot
CD 3	Lynn, Christopher	Non-Partisan	On Ballot
CD 3	Manzano, Carlos	Non-Partisan	On Ballot
CD 3	Murawski, Bill	Non-Partisan	Off Ballot
CD 3	Quinn, Christine C. *	Non-Partisan	On Ballot
CD 48	Dweck, Joseph	Non-Partisan	Off Ballot
CD 48	Fintz, Alan S.	Non-Partisan	Off Ballot
CD 48	Goldberg, Israel	Non-Partisan	Off Ballot
CD 48	Kamaras, Philip	Non-Partisan	Off Ballot
CD 48	Kramer, Irma	Non-Partisan	On Ballot
CD 48	Levin, Lew Y	Non-Partisan	Off Ballot
CD 48	Nelson, Michael C. *	Non-Partisan	On Ballot
CD 48	Sclar, Alan	Non-Partisan	On Ballot
CD 50	Hikind, Libby	Non-Partisan	On Ballot
CD 50	Oddo, James S. *	Non-Partisan	On Ballot
CD 50	Sollazzo, John	Non-Partisan	On Ballot

\* = Election Winner

(NP) = Non-participant

# Council Elections: 1999 Council Districts 3, 4, 48 & 50

## I. Limits & Requirements

### Contribution Limit

City Council
\$2,500

### Expenditure Limit

City Council
\$137,000

### Threshold Requirements

	City Council
Amount	\$5,000
# Contributors	50

### Maximum Public Funds

City Council
\$75,350

## II. Public Funds Disbursed

City Council
\$272,961

## III. Program Participants

Borough/CD	Candidate	Party	Ballot Status
CD 3	Quinn, Christine C. *	Non-Partisan	On Ballot
CD 3	Moskowitz, Eva S. *	Non-Partisan	On Ballot
CD 48	Fintz, Alan S.	Non-Partisan	Off Ballot
CD 48	Levin, Lew Y	Non-Partisan	On Ballot
CD 48	Nelson, Michael C. *	Non-Partisan	On Ballot
CD 48	Oddo, James S. *	Non-Partisan	On Ballot
CD 48	Sollazzo, John	Non-Partisan	On Ballot

\* = Election Winner      (NP) = Non-participant

# 2001 Citywide Elections

## I. Members of the Board

Joseph A. O'Hare, S.J., Chairman

Alfred C. Cerullo, III

Dale C. Christensen, Jr.

Pamela Jones Harbour

Joseph Potasnik

## II. Limits & Requirements

### Contribution Limits<sup>46</sup>

Mayor	Comptroller	Public Advocate	Borough President	City Council
\$4,500	\$4,500	\$4,500	\$3,500	\$2,500

### Expenditure Limits<sup>47</sup>

	Mayor	Comptroller	Public Advocate	Borough President	City Council
Primary	\$5,231,000	\$3,270,000	\$3,270,000	\$1,177,000	\$137,000
General	\$5,231,000	\$3,270,000	\$3,270,000	\$1,177,000	\$137,000

### Threshold Requirements<sup>48</sup>

	Mayor	Comptroller	Public Advocate	City Council
Amount	\$250,000	\$125,000	\$125,000	\$5,000
# Contributors	1,000	500	500	50

### Borough President Threshold Requirements

	Bronx	Brooklyn	Manhattan	Queens	Staten Island
Amount	\$26,653	\$49,307	\$30,744	\$44,588	\$10,000
# Contributors	100	100	100	100	100

### Maximum Public Funds<sup>49</sup>

Mayor	Comptroller	Public Advocate	Borough President	City Council
\$2,877,050	\$1,798,500	\$1,798,500	\$647,350	\$75,350

<sup>46</sup> New York City Campaign Finance Board. *An Election Interrupted: The Campaign Finance Program and the 2001 New York City Elections*. (September 2002), Part I, p. 4.

<sup>47</sup> See *An Election Interrupted*, p. 4.

<sup>48</sup> See *An Election Interrupted*, p. 4.

<sup>49</sup> See *An Election Interrupted*, p. 4.



### III. Number of 2001 Program Participants<sup>50</sup>

	Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
Total Participants	17	2	10	22	301	353 <sup>51</sup>
Participants On Ballot	10	2	7	20	241	280

### IV. Public Funds<sup>52</sup>

Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
\$12,862,667	\$2,574,112	\$5,416,438	\$6,923,341	\$13,768,870	\$41,545,428

### V. Relevant Acts & Rule Changes

- The formula for public fund matching went from a \$1-to-\$1 rate for contributions of up to \$1,000 to a \$4-to-\$1 rate for contributions of up to \$250. Originally, the \$4-to-\$1 rate had been tied to a candidate’s refusal of all corporate contributions. However, the 1999 City Charter revision banned all corporate contributions. The Giuliani administration interpreted this ban as a cancellation of the \$4-to-\$1 rate while the Board took the opposite position. The Board argued that the original \$4-to-\$1 rate had been implemented as a matter of sound public policy beyond the simple issue of corporate contributions and that, therefore, the matching rate should remain \$4-to-\$1 for *all* participants of the Program. The Giuliani administration filed suit against the Board for implementing the \$4-to-\$1 rate and vetoed City Council action on the matter. However, the subsequent City Council vote to override the mayor’s veto finally settled the issue.<sup>53</sup>

### VI. Major News Stories

1. Jim Dwyer, “Rudy’s Gulag Growing: Campaign Board Exiled from Manhattan,” *Daily News*, February 4, 1999, p. 12.
2. “Keep Campaigns Clean,” *Daily News* Editorial, January 28, 2001, p. 34.
3. “Mayor Giuliani’s Damaging Lawsuit,” *New York Times* Editorial, January 27, 2001, p. A14.
4. Eric Lipton, “Candidates Join Forces Over Suit: They Vow to Protect Campaign Funding,” *New York Times*, February 16, 2001, p. B3.

- These articles chronicle a sensitive period in relations between Mayor Giuliani and the Board. At the time, Giuliani was still troubled about the fine assessed to his 1997 campaign. In a move of tactical vengeance, the City’s budget office bounced a candidate’s public funds check from the CFB – the city’s first check in history to bounce. This was followed by plans to move the Board’s offices to an obscure and

<sup>50</sup> See *An Election Interrupted*, p. 13.

<sup>51</sup> This total includes one undeclared candidate.

<sup>52</sup> See *An Election Interrupted*, p. 106.

<sup>53</sup> See *An Election Interrupted*, p. 3.

- dilapidated space in Brooklyn. Relations continued to sour as Giuliani opposed the \$4-to-\$1 matching funds that had been legislated for the 2001 elections. While he vetoed the City Council vote reinforcing the matching rate, his veto was overridden thereby mooting the lawsuit he had filed against the CFB in an attempt to overturn the vote. He then filed suit against the city in an attempt to overturn the matching rate. Public outcry against Giuliani's suit coupled with the force of prominent candidates vowing to support the Program, ultimately protected the enhanced \$4-to-\$1 rate.
5. Chris Hedges, "Campaign Finance and the Campaign of Achilles," *New York Times*, April 26, 2001, p. B2.
    - This interview of Nicole A. Gordon, Executive Director of the CFB, appeared in the "Public Lives" section of the *Times*.
  6. Diane Cardwell, "Bloomberg Continues Retreat From Campaign Commercial," *New York Times*, June 6, 2001, p. A27.
    - Mayor candidate Michael Bloomberg distanced himself from remarks made in a commercial that seemed to criticize candidates for partaking in the Program and accepting public funds. To reclaim his position on the matter, he declared that he would "absolutely" join the Program if he was not already able to finance his own campaign.
  7. "The Bloomberg Factor," *New York Times* Editorial, July 21, 2001, p. A14.
    - This editorial outlines the possible consequences of running against a self-financed candidate like Bloomberg: instead of reducing the taxpayer's burden, his free spending could trigger the removal of expenditure limits thereby increasing the Program's price tag.
  8. Adam Nagourney, "Finance Ruling Denies Hevesi Matching Cash," *New York Times*, August 7, 2001, p. A1.
    - Leading up to the Democratic primaries, the Board decided to withhold public funds from the Alan Hevesi mayoral campaign. The Board questioned the services of Mr. Hank Morris, campaign consultant, who claimed that he was working on the campaign free of charge. However, as he was the sole owner of his firm, the Board considered his services the equivalent of a corporate contribution, banned under law. The Hevesi campaign finally agreed to provide information to the Board that would qualify Mr. Morris' services as expenditure.
  9. Evan A. Davis, "Despite Mike's Bucks Finance Law Worked," *Daily News*, November 19, 2001, p. 41.
    - This piece takes the position that the Program made it possible for participant Mark Green to run a fair and competitive campaign for mayor against Michael Bloomberg's self-financed and unregulated campaign.
  10. Tom Robbins, "Someone Else's Money," *Village Voice*, August 28, 2002-September 3, 2002, p. 22.
    - This article details the case of Sheldon Leffler in his race for the Queens Borough presidency. Rita Stark, a family friend and major Queens real estate holder, had contributed up to 40 individual checks to Leffler's campaign using the names of tenants and employees. The fraudulent checks were then submitted for matching funds. Prosecutors claim Leffler knowingly colluded with Stark, and had tape recorded conversations to prove it. Leffler was convicted in January 2004 of

conspiracy, attempted grand larceny, forgery, and filing false documents. He was sentenced to five years of probation, ordered to pay a \$5,000 fine, and perform 540 hours of community service.

## **VII. Major court/criminal proceedings**

- See the summary of the Leffler case above.
- Herman Badillo's mayoral campaign filed a law suit against the CFB for denying him threshold qualification. The Board staff denied Badillo public funds because disclosure statements were not filed with the required back-up documentation by the designated deadline. The Board decided to uphold its staff's decision. After the suit had been filed, the New York County Supreme Court also upheld the Board's determination.<sup>54</sup>
- Elizabeth Crowley's campaign for Council District #30 was questioned for contributions that carried suspicious signatures on their accompanying contribution cards. The Board had decided to delay public funds payment for a short time while the matter was resolved and appropriate documentation was obtained. However, the Crowley campaign immediately filed suit in Queens County against the CFB for delaying public funds payments. Ultimately, the CFB reviewed the necessary documentation and made the payment; the suit was discontinued.
- Pedro Espada, Jr.'s committee for Bronx borough president sought matching funds but was found not to be in full compliance with the Act. Espada was denied matching funds and reacted by filing suit against the CFB in the New York County Supreme Court. The court upheld the CFB's determination.

## **VIII. Major Accomplishments**

- The searchable database on the Website was enhanced to include expenditures, intermediaries, public fund payments, monetary transfers, loans, liabilities, partners, subcontractors, and affiliated contributions in addition to contributions which had already been tracked on the Website.<sup>55</sup>

## **IX. Enforcement**

- As mentioned above, the Hevesi campaign was offered unusually generous volunteer assistance from its consultant, Hank Morris, including consulting services and donated office space in his firm's offices. In fact, while Morris was not directly contracted for services, his firm Morris, Carrick & Guma, was hired by the Hevesi campaign for consulting work. Morris argued before the Board that he was constitutionally entitled to volunteer for the Hevesi campaign. The Board found, however, that Morris had never worked for a candidate outside the services of his firm and that as long as the firm was being paid in some capacity, Morris's help would be considered a corporate in-kind contribution. This, then, constituted a violation of the contribution limit as well as the ban on corporate contributions. While the matter was pending, the Board withheld \$2.5 million in public funds owed to the campaign. Ultimately, Morris provided documentation that exemplified

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<sup>54</sup> See *An Election Interrupted*, p. 136.

<sup>55</sup> See *An Election Interrupted*, Executive Summary, p. 17.

typical payments; this was then reflected in an agreement by the Hevesi campaign to pay an additional \$250,000 to the Morris firm. The Board then released public fund payments to the campaign.<sup>56</sup>

**X. Budget<sup>57</sup>**

Personal Services	Other Than Personal Services	Public Fund	Voter Guide	Total
\$4,177,273	\$3,724,810	\$63,300,000	\$6,000,000	\$77,202,083

**XI. Major Publications**

*An Election Interrupted...An Election Transformed* (September 2002) - A report on the performance of the Campaign Finance Program in the 2001 citywide elections.

**A Note on September 11<sup>th</sup>**

The events of September 11, 2001 played a role in CFB operations as it did all across New York City and the nation. The Primary election was scheduled for September 11, 2001 but after the day’s tragedy was postponed for two weeks until September 25. The CFB’s downtown offices, located just three blocks from Ground Zero, had to remain empty for seven weeks as the area was deemed a “frozen zone.” During that time, Fordham University generously offered office space for CFB staff. Despite reduced access to the normal resources of the CFB offices and the unusual rescheduling of the primary, staff was able to monitor candidates, distribute funds, and run the debate program for citywide candidates throughout the 2001 citywide elections.

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<sup>56</sup> See *An Election Interrupted*, p. 134.

<sup>57</sup> Please note that while this budget holds true for the 2001 election cycle, the actual budget allotment occurs in fiscal year 2002.

## 2001 Participant List<sup>58</sup>

Office	Borough/CD	Candidate	Party	Ballot Status
Mayor		Badillo, Herman	R	Prim
(NP)		Bloomberg, Michael *	R	Prim, Gen
		Cohen, Michael	--	--
		Ferrer, Fernando	D	Prim
		Fulani, Lenora B.	--	--
		Golding, Kenneth B	A	Gen
		Green, Mark	D, WF	Prim, Gen
		Gronowicz, Anthony	--	--
		Hevesi, Alan G.	D, BS, L	Prim, Gen
		Hewes, Henry F.	--	--
		Iwachiw, Walter N.	--	--
		Kramer, Kenny	LIB	Gen
		Leighton, Thomas K.	MRP	Gen
		McMillan, Jimmy	--	--
		Ruano-Melendez, Eric	--	--
		Spitz, George N.	D	Prim
		Vallone, Peter F.	D	Prim
		Willebrand, Julia A.	GP	Prim, Gen
Comptroller		Berman, Herbert E.	D, L	Prim, Gen
		Thompson, William C. *	D, WF	Prim, Gen
Borough President	Bronx	Carrion Jr., Adolfo *	D, WF	Prim, Gen
	Bronx	Eisland, June M.		Prim
	Bronx	Espada Jr., Pedro	D	Prim
	Bronx	Law, Ronald	L	Gen
	Bronx	Warden, Lawrence A.	--	--
	Brooklyn	Fisher, Kenneth K.	D, L	Prim, Gen
	Brooklyn	Gadson, Jeannette	D	Prim
	Brooklyn	Markowitz, Marty *	D	Prim, Gen
	Brooklyn	Nunes-Ueno, Paulo	GP	Gen
	Manhattan	Fields, C. Virginia *	D, L	Gen
	Manhattan	Fields, Jessie	I	Gen
	Manhattan	Maio, Danniell S.	R	Gen
	Queens	Gresser, Carol A.	D	Prim
	Queens	Leffler, Sheldon S.	D	Prim
	Queens	Marshall, Helen M. *	D, L, WF	Prim, Gen
	Queens	Stabile, Alfonso C.	C, R	Gen
	Queens	Williams-Pereira, Dorothy	GP	Gen
	Queens	Zambrana, Haydee	--	--
	Staten Island	Molinaro, James P. *	R, C, RTL	Prim, Gen
	Staten Island	O'Donovan, Jerome X.	D, WF	Gen

<sup>58</sup> The participant list was gathered from a combination of sources: Appendices A, B and C from *An Election Interrupted* as well as participant, candidate committee, and ballot status reports generated from the Campaign Finance Information Systems Database available at the CFB offices.

	Staten Island	Straniere, Robert A.	R, I	Prim, Gen
	Staten Island	Walker, John P.	L	Gen
Public Advocate		Colon, Willie	D	Prim
		DiBrienza, Stephen	D	Prim
		Flaxman, Sheila S.	D, FU	Prim, Gen
		Freed, Kathryn E.	D	Prim
		Gotbaum, Betsy *	D	Prim, Gen
		Murawski, Bill	--	--
		Siegal, Norman H.	D	Prim
		Srdanovic, Anton	--	--
		Stringer, Scott M.	D, L	Prim, Gen
		Zumbluskas, Michael K.	--	--
City Council	1	Chin, Margaret S.	D, L	Prim, Gen
	1	Chin, Rockwell J.	D, WF	Prim, Gen
	1	Fratta, John A.	D	Prim
	1	Gerson, Alan J. *	D	Prim, Gen
	1	Hoylman, Brad M.	D	Prim
	1	Hui, Kwong T.	D, GP, I	Prim, Gen
	1	Kaufman, Jordan	R	Gen
	2	Fabozzi, Albert	--	--
	2	Golub, Jay S.	I, R	Gen
	2	Lopez, Margarita *	D, WF	Prim, Gen
	2	Wilson, Jay R.	D	Prim
	3	Bouchard, Michelle	I, R	Gen
	3	Mendolia, Victor	--	--
	3	Quinn, Christine C. *	D, WF	Gen
	4	Doukas, Peter G.	D	Prim
	4	Moskowitz, Eva S. *	D, I, L	Prim, Gen
	4	Norman, Michael	--	--
	4	Viest, Nicholas D.	R	Gen
	5	Lesczynski, James L.	LIB	Gen
(NP)	5	Miller, A. Gifford *	D, L, WF	Gen
	6	Brewer, Gale A. *	D, GP, L, WF	Prim, Gen
	6	Brown, Michael E.	D	Prim
	6	Foley, Ronald G.	D	Prim
	6	Haber, Jason S.	D	Prim
	6	Herz, David R.	R	Gen
	6	Lewis, Anna R.	D, I	Prim, Gen
	6	Sauer, Larry M.	D	Prim
	6	Snyder, Gary D.	LIB	Gen
	7	Adams, Jackie R.	D	Prim
	7	Bernace, Victor A.	D	Prim
	7	Bloodsaw, Daryl G.	D	Prim
	7	Dotson, Heyward H.	D	Prim
	7	Hodge, Allen M.	--	--
	7	Hughes, Michael B.	D	Prim
	7	Jackson, Robert *	D, WF	Prim, Gen
	7	Levine, Mark D.	D	Prim

	7	Morillo, Pedro	D	Prim
	7	Spencer, Tony	D	Prim
	7	Torres, Mario A.	D, L	Prim, Gen
	8	Falcon-Lopez, Miriam T.	I, L	Gen
	8	Luciano, Felipe	D	Prim
	8	Marcial, Edwin	--	--
	8	Reed, Philip *	D, WF	Prim, Gen
	9	Allen, William A.	D	Prim
	9	McCleary, Michelle L.	I	Gen
	9	Perkins, Bill *	D, WF	Prim, Gen
	9	Walker, Willie	D, R	Prim, Gen
	10	Arache, Ramon L.	--	--
	10	Casellanos, Francesca M.	D	Prim
	10	Lizardo, Roberto E.	D	Prim
	10	Martinez, Miguel *	D, L	Prim, Gen
	10	Morisete-Romero, Victor	D	Prim
	10	Pina, Tirso S.	D	Prim
	10	Puello, Giovanni	D, I	Prim, Gen
	10	Rodriguez, Ydanis A.	D, WF	Prim, Gen
	10	Vargas, Ruben D.	D	Prim
	10	Weiss, Steven I.	--	--
	11	Bastone, Terry A.	D	Prim
	11	Imprescia, Richard V.	C, R	Gen
	11	Koppell, G. Oliver *	D	Prim, Gen
	11	Spalter, Laura	D	Prim
	11	Vogel, Mark H.	D	Prim
	12	Brooks, Curtis	C, I	Gen
	12	Saunders, Shirley J.	D	Prim
	12	Seabrook, Larry B. *	D	Prim, Gen
	12	Toppin, Roger N.	D	Prim
	13	Fasolino, Neil L.	--	--
(NP)	13	Provenzano, Madeline *	D	Prim, Gen
	13	Sementilli, Egidio	D	Prim
	13	Wilson, John H.	C, I, R	Gen
	14	Alamo-Estrada, Agustin	D, C, FU	Prim, Gen
	14	Baez, Maria *	D	Prim, Gen
	14	Martinez, Israel	--	--
	14	Pademacht, Daniel A.	--	--
	14	Ruiz Jr., Israel	D	Prim
	14	Villaverde, Sergio	D, I	Prim, Gen
	14	Williams, Charles	D	Prim
	15	Ortiz Jr., Edwin O.	D, C, I	Prim, Gen
	15	Rivera, Joel *	D	Prim, Gen
	16	Benjamin, Michael A.	D, GP, WF	Prim, Gen
	16	Curry, Anthony	D, C, I	Prim, Gen
	16	Foster, Helen Diane *	D	Prim, Gen
	17	Espada, Pedro G.	D	Prim
	17	Serrano, Jose M. *	D	Prim, Gen
	18	Diaz, Luis M.	--	--
(NP)	18	Diaz, Ruben *	D	Prim, Gen

	18	Jones, Barbara	--	--
	18	Love, Sandra	--	--
	18	Montano, Armando	D	Prim
	18	Rodriguez, Elizabeth	D, GP	Prim, Gen
	19	Avella, Tony *	D	Prim, Gen
	19	Cheliotos, Arthur	D, WF	Prim, Gen
	19	Frank, John D.	D	Prim
	19	Iannece, Jerry M.	D, L	Prim, Gen
	19	Saffran, Dennis J.	C, I, R	Gen
	19	Shepard, Joyce P.	D	Prim
	20	Chen, Ethel T.	D	Prim
	20	Chou, Evergreen C.	G	Prim
	20	Duggal, Harjinder S.	--	--
	20	Flores-Vazquez, Martha	I	Gen
	20	Graziano, Paul D.	GP	Prim, Gen
	20	Jannaccio, Richard	D	Prim
	20	Joyce, Adrian J.	--	--
	20	Liu, John C. *	D, L, WF	Prim, Gen
	20	Mandell, Linda	--	--
	20	Park, Terence Y.	D	Prim
	20	Scanion, Frances E.	--	--
	20	Walsh, Ryan J.	C, R	Gen
	21	Bentley, Marvin J.	--	--
	21	Del Villar, Angel	D	Prim
	21	France-Daniels, Mary A.	--	--
	21	Gonzalez-Jarrin, Aida A.	D	Prim
	21	Jimenez, Daniel	--	--
	21	Monserrate, Hiram *	D, GP, L, WF	Prim, Gen
	21	Rosero, Luis	D	Prim
	21	Scott, Georgette C.	--	--
	22	Ciafone, John J.	D, L	Prim, Gen
	22	Kann, Gerald F.	GP	Gen
	22	Mascitti, Michael A.	I	Gen
	22	Vallone Jr., Peter F. *	D, C	Prim, Gen
	22	Vassos, Sandra	R	Gen
	22	Zapiti, Miki	D	Prim
	23	Sica, Philip T.	C, I, R, RTL	Gen
	23	Thakral, Jairam D.	D	Prim
	23	Weprin, David *	D, L, WF	Prim, Gen
	24	Gennaro, James F. *	D	Prim, Gen
	24	Gottlieb, Jeff	--	--
	24	Grodenschik, Berry	D, WF	Prim, Gen
	24	Reich, David	D	Prim
	24	Zett, Lori M.	GP	Gen
	25	Castro, Frank	--	--
	25	Chan, Louisa M.	D	Prim
	25	Greco Jr., Rudolph E.	D	Prim
	25	Lewis, S. Terry	--	--



	25	Raffaele, Ellen A.	--	--
	25	Schultheis, Magdalena	D, I	Prim, Gen
	25	Sears, Helen *	D	Prim, Gen
	25	Van Bramer, James G.	D, WF	Prim, Gen
	26	Alfattah, Ina M.	--	--
	26	Conley, Joseph T.	D	Prim
	26	Eagan, Ann	GP	Gen
	26	Farrell, Matthew J.	D	Prim
	26	Gioia, Eric *	D, WF	Prim, Gen
	26	Heaphy, Joe M.	--	--
	26	Kearney, Michael G.	D	Prim
	26	O'Malley Patrick	D	Prim
	27	Comrie, Leroy G. *	D	Prim, Gen
	27	Cooper-Gregory, Helen	D	Prim
	27	Jenkins, Cynthia	I	Gen
	27	McKay, Ed	HAR	Gen
	27	Morgan, Ishmael J.	R	Gen
	27	Simons, Earl G.	--	--
	27	Smith, Larry	--	--
	28	Andrews Jr., Anthony D.	D, L	Prim, Gen
	28	Bilal, Aziz	D	Prim
	28	Jenkins, Patrick B.	WF	Gen
	28	Jennings Jr., Allan W. *	D	Prim, Gen
	28	Jodha, Rameshwar	R	Gen
	28	Kirkland, Dwayne T.	--	--
	28	Marchant, Garth I.	D, FU	Prim, Gen
	28	Rupnarain, Trevor K.	D	Prim
	28	Singh, Inderjit	I	Gen
	28	Titus, Michele R.	--	--
	28	Younger-Nolan, Carolyn	--	--
	29	Evans, Jeannette	GP	Gen
	29	Katz, Melinda R. *	D, L, WF	Prim, Gen
	29	Lobo, Rene	R	Gen
	29	Schulman, Lynn C.	D	Prim
	29	Tiraco, Joseph E.	I	Gen
	30	Cermeli, Robert	D	Prim
	30	Crowley, Elizabeth S.	D, WF	Prim, Gen
	30	Gallagher, Dennis P. *	C, R, RTL	Gen
	30	Sansivieri, Linda	D	Prim
	31	Blake, James	D	Prim
	31	Brown, Everly D.	FU, R	Gen
	31	Clarke, Amanda L.	D	Prim
	31	Fadairo, Sikiru A.	--	--
	31	Fullard, Henrietta	D	Prim
	31	Howell, Carol B.	D	Prim
	31	Jefferson, Charlotts R.	D	Prim
	31	Lewis, Edward J.	D, L	Prim, Gen
	31	Sanders Jr., James J. *	D, WF	Prim, Gen
	32	Addabbo Jr., Joseph P. *	D	Prim, Gen
	32	Ariola, JoAnn	C, R,	Gen

			WF	
	32	Curran, Robert E.	GP	Gen
	32	Doyle, Joseph P.	--	--
	32	Jorge, Chris	D	Prim
	32	Lutz, Alex P.	--	--
	32	Macron, John J.	--	--
	32	Seminerio, John	D	Prim
	32	Simon, Lew M.	D	Prim
	33	Cohn, Steven D.	D, L	Prim, Gen
	33	Daly Elizabeth R.	--	--
	33	Diamonstone, Kenneth	D, WF	Prim, Gen
	33	Reiss, David J.	D	Prim
	33	Seeman, Craig A.	GP, I	Gen
	33	Yassky, David *	D	Prim, Gen
	34	Martinez, Juan D.	D	Prim
	34	Miranda, Anthony	FU	Gen
	34	Norman, Edward J.	D	Prim
	34	Pratts, Ruben R.	--	--
	34	Reyna, Diana *	D, I	Prim, Gen
	35	Baldwin-Ferguson, Imogene	--	--
	35	Davis, James E. *	D	Prim, Gen
	35	Hunter, Robert	D	Prim
	35	James, Letitia A.	D, WF	Prim, Gen
	35	May Jr., Abraham	--	--
	35	Saunders, William J.	D	Prim
	35	Smith-Boyd, Barbara L.	D	--
	35	Wai, Sidique A.	D, I, L	Prim, Gen
	35	Wasserman, Abraham E.	D	Prim
	35	Williams, Peter M.	D	Prim
	35	Wright, Edward	--	--
	36	Kinard, Stanley	I	Gen
	36	Vann, Albert *	D	Prim, Gen
	37	Alonso, Miguel	D,	Prim, Gen
	37	Dilan, Erik M. *	D	Prim, Gen
	37	Dominguez, Urpiano Rafael	--	--
	37	Hernandez, Louis R.	D	Prim
	37	Martin, Yolanda J.	FRUN	Gen
	37	Mateo, Heriberto	D	Prim
	37	Perez, Richard	D	Prim
	37	Rivera, Jesse S.	--	--
	37	Romero, Michelle	D, C	Prim, Gen
	37	Taveras, Germana	--	--
	38	Martinez, George	I	Gen
	38	Rodriguez, Angel *	D	Gen
	39	Bader, Paul	D	Prim
	39	Banks, Steven R.	D	Prim
	39	Carroll, John W.	D	Prim
	39	de Blasio, Bill *	D	Prim, Gen
	39	Franek, Robert J.	--	--

	39	Hammerman, Craig R.	D	Prim
	39	Mattera, Gloria	GP	Gen
	39	Pugliese, Anthony J.	D	Prim
	40	Alleyne, Alithia	D	Prim
	40	Clarke, Yvette D. *	D, L	Prim, Gen
	40	Cook, Kenneth E.	C	Gen
	40	Poisson, Lola	I	Gen
	40	Purcell, Frances G.	D	Prim
	40	Roberts, Edwards A.	D	Prim
	40	Sharpe, Wellington	D	Prim
	40	Sulker, Myrna E.	--	--
	40	Thomas, Carl W.	--	--
	40	Vernet, Jean D.	D, WF	Prim, Gen
	40	Whitney, W. Ruth		
(NP)	41	Boyland, Tracy	D, I	Gen
	41	Detiege-Cormier, Roy-Pierre	--	--
	41	Farrakhan, Abdur Rahman	--	--
	41	Miller, David R.	--	--
	42	Barron, Charles *	D, WF	Prim, Gen
	42	Faison, Barbara	D	Prim
	42	Jackson, Gregory	D	Prim
	42	Jeffries-El, Joseph	D	Prim
	42	Lewis, Prince D.	D	Prim
	42	Wooten, Donald T.	D, I	Prim, Gen
	43	Ferraro, Arnaldo A.	--	--
	43	Gangemi, Ursula A.	D	Prim
	43	Golden, Martin J. *	C, I, R	Gen
	43	Scissura, Carlo A.	D	Prim
	43	Seminara, Joanne	D, GP, L, WF	Prim, Gen
	44	Abouafia, Sandy	D	Prim
	44	Felder, Simcha *	D	Prim, Gen
	44	Miller, Robert J.	D, L	Prim, Gen
	44	Spirgel, Samuel C.	D, C	Prim, Gen
	45	Alexis, Anthony	--	--
	45	Hylton, Christian B.	D	Prim
	45	Palmer, Samuel E.	D	Prim
	45	Parker, Kevin	D	Prim
	45	Stewart, Kendall B. *	D, I	Prim, Gen
	45	Taitt, Samuel A.	D	Prim
	45	Toney, Vaughan	D	Prim
	46	Atwood Kind, Elizabeth	D	Prim
	46	Fidler, Lewis A. *	D	Prim, Gen
	46	Greenwood, Doreen A.	D, C	Prim, Gen
	46	Levy, Abraham	D	Prim
	46	Rufen-Blanchette, Raymond H.	--	--
	46	Taliaferro, Phyllis	I	Gen
	46	Weir, Elias J.	L	Gen
	47	Brook Krasny, Alec	D	Prim
	47	Davis, Alfreida	--	--

	47	Gotlieb, Brian L.	--	--
	47	Gutnik, Oleg	R, C	Prim, Gen
	47	Hochhauser, Joseph K.	D, I, WF	Prim, Gen
	47	Lasher, Susan	D	Prim
	47	Michaels, Joel M.	--	--
	47	Rechhia Jr., Domenic *	D	Prim, Gen
	47	Sanchez, Cynthia M.	GP	Gen
	47	Singer, Pat	D, L	Prim, Gen
	47	Stavitsky, Inna	D	Prim
	48	Fintz, Alan S.	--	--
	48	Nelson, Michael C. *	D, L	Gen
	49	Cammarata, Joseph F.	C, R, RTL	Gen
	49	Del Giomo, Jon R.	D	Prim
	49	McMahon, Michael E. *	D, WF	Prim, Gen
	49	Rose, Deborah L.	D, L	Prim, Gen
	50	Hitkind, Libby N.	D, I, L, WF	Prim, Gen
	50	Oddo, James S. *	C, R, RTL	Gen
	50	Wein, Joseph Funicell	D	Prim
	51	DeMarco Jr., Alexander	D	Prim
	51	Fiala, Stephen J.	--	--
	51	Lanza, Andrew J. *	D, C, R, RTL	Prim, Gen
	51	Larson, Carl P.	--	--
	51	Lepore, Louis P.	--	--
	51	Moran, Janey	D, WF	Gen
	Undeclared	Cruz, Lucy	--	--

\* = General Election Winner

(NP) = Non-participant

AD=American Dream  
BS=Better Schools  
C=Conservative  
D=Democrat  
F=Freedom

FRUN=Friends United  
FU=Fusion  
GP=Green Party  
I=Independent  
L=Liberal

LIB=Libertarian  
MRP=Marijuana Reform  
NL=New Alliance  
R=Republican  
RTL=Right-to-Life

WF=Working Families

# Special Election: 2001A Council District 15

## I. Limits & Requirements

### Contribution Limit

City Council
\$1,250

### Expenditure Limit

City Council
\$137,000

### Threshold Requirements

	City Council
Amount	\$5,000
# Contributors	50

### Maximum Public Funds

City Council
\$75,350

## II. Public Funds Disbursed

City Council
\$91,388

## III. Program Participants

Borough/CD	Candidate	Party	Ballot Status
CD 15	Munoz, Julio E.	Non-Partisan	Terminated
CD 15	Ortiz Jr., Edwin O.	Non-Partisan	On Ballot
CD 15	Rivera, Joel *	Non-Partisan	On Ballot

\* = Election Winner      (NP) = Non-participant

# Special Elections: 2002A Council District 38

## I. Limits & Requirements

### Contribution Limit

City Council
\$1,375

### Expenditure Limit

City Council
\$150,000

### Threshold Requirements

	City Council
Amount	\$5,000
# Contributors	50

### Maximum Public Funds

City Council
\$82,500

## II. Public Funds Disbursed

City Council
\$119,600

## III. Program Participants

Borough/C.D.	Candidate	Party	Ballot Status
CD 38	Gonzalez, Sara M. *	Non-Partisan	On Ballot
CD 38	Nieves, Javier A.	Non-Partisan	Terminated
CD 38	Rodriguez, Edward	Non-Partisan	On Ballot

\* = Election Winner      (NP) = Non-participant

# Special Elections: 2003A Council Districts 18 & 43

## I. Limits & Requirements

### Contribution Limit

City Council
\$1,375

### Expenditure Limit

City Council
\$150,000

### Threshold Requirements

	City Council
Amount	\$5,000
# Contributors	50

### Maximum Public Funds

City Council
\$82,500

## Ii. Public Funds Disbursed

City Council
\$475,948

## III. Program Participants

Borough/CD	Candidate	Party	Ballot Status
CD 18	Espada, Jr., Pedro *	Non-Partisan	On Ballot
CD 43	Capano, Robert	Non-Partisan	Terminated
CD 43	Gentile, Vincent *	Non-Partisan	On Ballot
CD 43	Harrison, Stephen	Non-Partisan	On Ballot
CD 43	Maio, Danniel	Non-Partisan	Off Ballot
CD 43	O'Keefe, Rosemarie	Non-Partisan	On Ballot
CD 43	Scissura, Carlo	Non-Partisan	On Ballot
CD 43	Seminara, Joanne	Non-Partisan	On Ballot

\* = Election Winner (NP) = Non-participant

# 2003 City Council Elections

## I. Limits & Requirements

### Contribution Limit

City Council
\$2,750

### Expenditure Limit

	Mayor	City Council
Primary	\$5,231,000	\$137,000
General	\$5,231,000	\$137,000

### Threshold Requirements

	City Council
Amount	\$5,000
# Contributors	75

### Maximum Public Funds

City Council
\$82,500

## II. Public Funds Disbursed (as of 5/25/04)

City Council
\$4,952,077

## III. Major Publications

*City Council Elections* (September 2004) - A report on the performance of the Campaign Finance Program in the 2003 city council elections.

## IV. Program Participants

Council District	Candidate	Party	Ballot Status
1	Elliot, Seth	I, R	Gen
1	Gerson, Alan J. *	D, WF	Prim, Gen
1	Gleason, Peter	D	Prim
2	Golub, Jay S.	I, R	Gen
2	Lopez, Margarita *	D	Gen
2	Martinez, Mildred	D	--
2	Schriffen, Gerard	D	--
2	Spuches, Christopher	--	Terminated



3	Evans, Stephen	R, I, C	Gen
3	Quinn, Christine C. *	D, WF	Gen
4	Moskowitz, Eva S. *	D, I	Prim, Gen
5	Arangio, Jennifer	R, I, C	Prim, Gen
5	Bondy, Matthew	--	Terminated
5	Miller, A Gifford *	D, WF	Gen
6	Brewer, Gale A. *	D, WF	Gen
6	Yablon, Joshua	I, R, C, WSL	Gen
7	Bernace, Victor A.	D	Prim
7	Cox, Allen	I	Gen
7	Draves, Greg	R	Gen
7	Jackson, Robert *	D, WF	Prim, Gen
8	Johnson, Joyce	--	Terminated
8	Luciano, Felipe	--	Terminated
8	Marcial, Edwin	D, R	Prim, Gen
8	Mark-Viverito, Melissa	D	Prim
8	Montalvo, Joseph	--	Terminated
8	Reed, Philip *	D, WF	Prim, Gen
8	Rosado, Felix	D	Prim
8	Vargas, Ruben	D	Prim
9	Cornelius, Yasmin	--	Terminated
9	Johnson, Geoffrey	D	Prim
9	Montague, Virginia	D	Prim
9	Morrissey, Keisha	R, I	Gen
9	Perkins, Bill *	D, WF	Prim, Gen
10	Figueroa, Felix	R, I, C	Gen
10	Lizardo, Roberto E.	D, R	Gen
10	Martinez, Miguel *	D	Prim, Gen
10	Rodriguez, Ydanis A.	D	Prim
11	Koppell, G. Oliver *	D, WF	Gen
12	Saunders, Shirley J.	D	Prim
12	Seabrook, Larry B. *	D	Prim, Gen
13	Betancourt, Ismael	D	Prim
13	Provenzano, Madeline (NP)*	D	Prim, Gen
13	Sementilli, Egidio	D, C	Prim, Gen
14	Baez, Iris	D	Terminated
14	Baez, Maria *	D, WF	Prim, Gen
14	Ruiz Jr., Israel	D	Prim
15	Rivera, Joel *	D, WF	Gen
15	Rodriguez, Luana	C, I, D	Gen
16	Deponce, Carmen	D	Terminated
16	Foster, Helen Diane *	D, R, WF	Gen
17	Alamo-Estrada, Agustin	D, C	Prim, Gen
17	Serrano, Jose M. *	D, WF	Prim, Gen
18	Palma, Annabel *	D, WF	Prim, Gen
19	Avella, Tony *	D, WF	Gen
19	Ragusa, Philip	R, C, I	Gen
20	Liu, Jay	D	Terminated
20	Liu, John C. *	D, I, WF	Prim, Gen
20	Ohanian, Kim	--	Terminated
20	Sasson, Isaac	--	--

21	Monserrate, Hiram *	D, I WF	Prim, Gen
21	Ruano-Melendez, Eric	--	--
22	Kann, Gerald F.	GP	Gen
22	Vallone Jr., Peter F. *	D	Gen
23	Horowitz, William	R, C	Gen
23	Weprin, David *	D, WF	Gen
24	Fisher, Florence	D	Prim
24	Gennaro, James F. *	D, WF	Prim, Gen
24	Reich, David	D	Prim
25	Sears, Helen *	D	Gen
26	Conley, Joseph T.	D	Prim
26	Gioia, Eric *	D, WF	Gen
26	Hurley, Patrick	R, C	Gen
26	Iwachiw, Walter	D	Terminated
27	Comrie, Leroy G. *	D, I, WF	Prim, Gen
27	Cooper-Gregory, Helen	D	Prim
27	Duran, Martina	--	--
27	Jackson, Stephen	D	Terminated
28	Jennings Jr., Allan W. *	D, C	Prim, Gen
28	Jones, Stephen	D	Terminated
28	Marchant, Garth I.	D	--
28	Reddick, Yvonne	D	Prim
28	Singh, Inderjit	D, I	Prim
28	Younger-Nolan, Carolyn	R	Terminated
29	Katz, Melinda R. *	D, F	Gen
30	Gallagher, Dennis P. *	C, R	Gen
31	Blake, James	D	--
31	Brown, Everly D.	D, R, C	Prim, Gen
31	Sanders, Jr., James (NP)*	D	
32	Addabbo Jr., Joseph P. *	D, WF	Gen
32	Mossa, Michael	C, R	Gen
33	Yassky, David *	D, WF	Gen
34	Martinez, Juan D.	D	Prim
34	Reyna, Diana *	D, WF	Prim, Gen
35	Askew, Othniel Boaz	--	--
35	Davis, Geoffrey	D, I	Gen
35	Davis, James E.	D, I, WF	Gen
35	Herbert, Anthony	R	Gen
35	James, Letitia A. *	WF	Prim, Gen
35	Wasserman, Abraham E.	C	Gen
36	Vann, Albert (NP)*	D	
37	Dilan, Erik M. *	D, I	Prim, Gen
37	Santiago, Nellie	D	Prim
38	Gonzalez, Sara *	D, WF	Gen
38	Maio, Danniell	R, I, C, CL	Gen
39	de Blasio, Bill *	D, WF	Gen
39	Mattera, Gloria	GP	Gen
40	Clarke, Yvette D. *	D, WF	Gen
41	Boyland, Tracy (NP)*	D	
41	Kinard, Stanley	--	--
41	Miller, David R.	D	Prim

42	Barron, Charles *	D, WF	Prim, Gen
42	Booker, Derek	D	Prim
43	Harrison, Stephen	--	Terminated
43	Maresca, Stephen	R	Terminated
43	Russo, Pasqualino	R, C, I	Gen
43	Gentile, Vincent *	D, WF	Gen
44	Felder, Simcha *	D, R, RP, C	Gen
45	Boucher, Omar	D	Prim
45	Emmanuel, Ernest	--	Terminated
45	King, Erlene	D	Prim
45	Stewart, Kendall B. *	D	Prim, Gen
45	Taitt, Samuel A.	D	Prim
46	Atwood King, Elizabeth	D	Terminated
46	Fidler, Lewis A. *	D, WF	Gen
46	Goodstein, Susan	R, I	Gen
46	Weir, Elias J.	D	Terminated
47	Eisenberg, Tony	D	Terminated
47	Hochhauser, Joseph K.	D	Terminated
47	Recchia Jr., Domenic *	D, WF	Gen
47	Sutliff, James	R, C, I	Gen
48	Nelson, Michael C. *	D	Gen
49	Giovinazzo, Lisa	R	Gen
49	McMahon, Michael E. *	D, C, WF	Gen
50	Fontaino, Frank	D, I	Gen
50	Oddo, James S. *	R, C	Gen
51	Lanza, Andrew J. *	R, C, U	Gen
51	Sybing, Roehl	D	Gen

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NL=New Alliance  
R=Republican  
RTL=Right-to-Life

WF=Working Families

## Program Analysis Across Elections

### I. Program Participation by Office Over Time<sup>59</sup>

#### 2001 Citywide Elections

Office	Number of Participants	Participants on Ballot	% of Participants on Ballot	Total Number of Candidates on Ballot	Participants as % of all Candidates on Ballot
Mayor	17	10	59%	14	71%
Public Advocate	10	7	70%	10	70%
Comptroller	2	2	100%	6	33%
Borough President	22	20	91%	27	74%
City Council	301	241	80%	298	81%
Undeclared	1	-	-	-	-
<b>Total</b>	<b>353</b>	<b>280</b>	<b>79%</b>	<b>355</b>	<b>79%</b>

#### 1997 Citywide Elections

Office	Number of Participants	Participants on Ballot	% of Participants on Ballot	Total Number of Candidates on Ballot	Participants as % of all Candidates on Ballot
Mayor	10	6	60%	9	67%
Public Advocate	3	3	100%	6	50%
Comptroller	3	3	100%	6	50%
Borough President	22	15	68%	27	56%
City Council	138	114	83%	181	63%
Undeclared	14	-	-	-	-
<b>Total</b>	<b>190</b>	<b>141</b>	<b>74%</b>	<b>229</b>	<b>62%</b>

<sup>59</sup> *An Election Interrupted*, Part I, p. 13.

### 1993 Citywide Elections

Office	Number of Participants	Participants on Ballot	% of Participants on Ballot	Total Number of Candidates on Ballot	Participants as % of all Candidates on Ballot
Mayor	5	4	80%	7	57%
Public Advocate	11	6	55%	9	67%
Comptroller	3	3	100%	7	43%
Borough President	11	7	64%	15	47%
City Council	136	87	64%	132	66%
Undeclared	20	-	-	-	-
<b>Total</b>	186	107	58%	170	63%

### 1991 City Council Elections

Office	Number of Participants	Participants on Ballot	% of Participants on Ballot	Total Number of Candidates on Ballot	Participants as % of all Candidates on Ballot
City Council	256	136	53%	239	57%
Undeclared	-	-	-	-	-
<b>Total</b>	256	136	53%	239	57%

### 1989 Citywide Elections

Office	Number of Participants	Participants on Ballot	% of Participants on Ballot	Total Number of Candidates on Ballot	Participants as % of all Candidates on Ballot
Mayor	10	5	50%	11	45%
City Council President	0	0	-	6	0%
Comptroller	5	4	80%	10	40%

<b>Borough President</b>	7	6	86%	15	40%
<b>City Council</b>	34	33	97%	97	34%
<b>Undeclared</b>	1	-	-	-	-
<b>Total</b>	57	48	84%	139	35%

## II. Net Contributions to Program Participant Over Time<sup>60</sup>

<b>1989</b>	<b>1993</b>	<b>1997</b>	<b>2001</b>
\$30,087,000	\$28,324,000	\$29,497,000	\$54,659,000

### Contributions to Participants by Office

	<b>1989</b>	<b>1993</b>	<b>1997</b>	<b>2001</b>
<b>Mayor</b>	\$20,973,000	\$15,915,000	\$14,857,000	\$29,145,000
<b>Public Advocate</b>	N/A	\$2,733,000	\$2,017,000	\$4,747,000
<b>Comptroller</b>	\$3,649,000	\$4,556,000	\$1,959,000	\$3,670,000
<b>Borough President</b>	\$2,946,000	\$1,807,000	\$5,136,000	\$5,659,000
<b>City Council</b>	\$2,519,000	\$3,313,000	\$5,379,000	\$11,439,000

## III. Program Participant Expenditures Over Time<sup>61</sup>

<b>Office</b>	<b>Election</b>	<b># Participants</b>	<b>Total Expenditure</b>	<b>Average Expenditure</b>
<b>Mayor</b>	2001	17	\$38,980,000	\$2,292,941.18
	1997	6	\$19,250,000	\$3,208,333.33
	1993	3	\$19,760,000	\$6,586,666.67
	1989	5	\$23,800,000	\$4,760,000
<b>Public Advocate</b>	2001	10	\$9,910,000	\$991,000
	1997	2	\$2,560,000	\$1,280,000
	1993	7	\$4,000,000	\$571,428.57
	1989	0	--	--

<sup>60</sup> See *An Election Interrupted*, p. 46.

<sup>61</sup> See *An Election Interrupted*, p. 70.

<b>Comptroller</b>	2001	2	\$6,400,000	\$3,200,000
	1997	1	\$2,050,000	\$2,050,000
	1993	3	\$6,070,000	\$2,023,333
	1989	4	\$4,100,000	\$1,025,000
<b>Borough President</b>	2001	22	\$13,130,000	\$596,818
	1997	12	\$5,920,000	\$493,333
	1993	5	\$1,990,000	\$398,000
	1989	6	\$3,250,000	\$541,667
<b>City Council</b>	2001	301	\$26,220,000	\$87,110
	1997	109	\$7,210,000	\$66,147
	1993	112	\$4,480,000	\$40,000
	1991	135	\$7,600,000	\$56,296
	1989	33	\$2,740,000	\$83,030
<b>Totals</b>	2001	353	\$94,640,000	--
	1997	131	\$36,990,000	--
	1993	112	\$36,310,000	--
	1991	135	\$7,600,000	--
	1989	48	\$33,880,000	--

#### IV. Public Fund Totals

Election	Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
<b>1989</b>	\$2,779,508	\$421,655	0	\$799,228	\$504,607	\$4,504,998
<b>1991</b>	--	--	--	--	\$2,498,539	\$2,498,539
<b>1993</b>	\$3,005,762	\$1,028,381	\$904,106	\$64,956	\$1,108,948	\$6,112,153
<b>1997</b>	\$3,239,421	\$247,054	\$385,268	\$880,483	\$1,666,961	\$6,419,187
<b>2001</b>	\$12,862,667	\$2,574,112	\$5,416,438	\$6,923,341	\$13,768,870	\$41,545,428

## The Voter Guide

Included in the 1988 City Charter amendment that established the Campaign Finance Board was the mandate to publish and distribute the non-partisan Voter Guide. The Guide include statements by, and photographs of, participant and non-participant candidates, information on ballot proposals, guidance on voter registration and the use of voting machines, and contact numbers for further assistance. All candidates on ballot are invited to submit information for the Guide, whether they are participants or not, free of charge. The guides are mailed to each household that has at least one registered voter. The following is a summary of Voter Guide statistics by election cycle:

### 1989 Citywide Elections

- A combined 4.7 million borough-specific Voter Guides were published and distributed to registered voters for the primary and general elections.<sup>62</sup>
- 250,000 additional copies and 760 audio tapes were distributed to public places for wider availability of the Guide.<sup>63</sup>
- Guides were printed as bilingual English and Spanish editions.
- 30,000 advertising posters (in English and Spanish) were placed in subway cars for four weeks before the primary and general elections.

### 1989 Voter Guide Mailing Volume<sup>64</sup>

Borough	Primary	General
Brooklyn	628,780	748,680
Manhattan	575,087	715,020
Queens	507,143	612,000
Staten Island	111,207	128,520
Bronx	359,543	412,800
<b>Total</b>	<b>2,181,760</b>	<b>2,617,020</b>

### 1991 Council Elections

- A combined 3.6 million borough-specific Voter Guides were published and distributed for the primary and general elections.<sup>65</sup>
- 120,000 additional copies were supplied to public places and interested organizations for wider availability of the Guide.<sup>66</sup>
- Guides were printed as bilingual English and Spanish editions.

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<sup>62</sup> *Dollars*, p. 127.

<sup>63</sup> See *Dollars*, p. 127.

<sup>64</sup> This data is maintained by the Publications division of the CFB.

<sup>65</sup> *Windows of Opportunity*, p.82.

<sup>66</sup> See *Windows of Opportunity*, p. 82.



### 1991 Voter Guide Mailing Volume<sup>67</sup>

<b>Borough</b>	<b>Primary</b>	<b>General</b>
Brooklyn	461,610	612,000
Manhattan	412,916	583,000
Queens	316,852	476,500
Staten Island	56,606	104,000
Bronx	288,296	368,000
<b>Total</b>	<b>1,536,280</b>	<b>2,143,500</b>

### 1993 Citywide Elections

- A combined 3.8 million borough-specific Voter Guides were published and distributed to registered voters for the primary and general elections.<sup>68</sup>
- 450,000 additional copies were supplied to public places and interested organizations for wider availability of the Guide.
- For the first time, pursuant to the Federal Voting Rights Act, a Chinese-language Guide was made available for voters in Brooklyn, Manhattan, and Queens.<sup>69</sup>
- General election edition of the Guide included pro/con statements in plain language on the term limit and Staten Island secession ballot proposals.<sup>70</sup>

### 1993 Voter Guide Mailing Volume<sup>71</sup>

<b>Borough</b>	<b>Primary</b>	<b>General</b>
Brooklyn	527,533	681,083
Manhattan	427,445	662,697
Queens	322,777	531,334
Staten Island	55,068	122,628
Bronx	319,493	381,875
<b>Total</b>	<b>1,823,551</b>	<b>2,487,043</b>

### 1997 Citywide Elections

- A combined 4.55 million borough-specific Voter Guides published and distributed to registered voters for the primary and general elections.<sup>72</sup>
- Guides were available in English, Spanish, and Chinese languages.
- Voter Guide began including information on the newly mandated Debate Program.<sup>73</sup>

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<sup>67</sup> These data are maintained by the Publications division of the CFB.

<sup>68</sup> *On the Road to Reform*, p. 114.

<sup>69</sup> See *On the Road to Reform*, p. 114.

<sup>70</sup> See *On the Road to Reform*, p. 115.

<sup>71</sup> These data are maintained by the Publications division of the CFB.

<sup>72</sup> *Decade of Reform*, Volume I, p. 100.

<sup>73</sup> See *Decade of Reform*, p. 99.

### 1997 Voter Guide Mailing Volume<sup>74</sup>

<b>Borough</b>	<b>Primary</b>	<b>General</b>
Brooklyn	558,605	746,228
Manhattan	470,306	668,818
Queens	378,172	571,706
Staten Island	80,460	131,138
Bronx	314,074	412,216
Chinese	84,915	127,216
<b>Total</b>	<b>1,886,532</b>	<b>2,657,322</b>

### 2001 Citywide Elections

- A combined 9.15 million borough-specific Voter Guides published and distributed to registered voters for the primary and general elections.<sup>75</sup>
- Guides were available in English, Spanish, and Chinese languages.
- A personalized Voter Guide feature was made available on the CFB website.<sup>76</sup>

### 2001 Voter Guide Mailing Volume<sup>77</sup>

<b>Borough</b>	<b>Primary</b>	<b>General</b>
Brooklyn	776,188	922,243
Manhattan	660,040	778,032
Queens	581,415	710,928
Staten Island	132,910	157,720
Bronx	419,380	482,303
Chinese	167,090	169,742
<b>Total</b>	<b>2,737,023</b>	<b>3,220,968</b>

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<sup>74</sup> This data is maintained by the Publications division of the CFB.

<sup>75</sup> *An Election Interrupted*, Part I, p. 118.

<sup>76</sup> See *An Election Interrupted*, p. 119.

<sup>77</sup> This data is maintained by the Publications division of the CFB.

## Debate Program

After mayoral candidates failed to participate in any public debates in 1993, mounting public disappointment inspired City Council action on a debate program. In December of 1996, the Council passed a law that required candidates for citywide office (mayor, Comptroller, and public advocate) to participate in a series of debates throughout each election cycle. The law was designed to ensure that the voting public would have an opportunity to hear candidates engage in a substantive discussion of the issues.

The Campaign Finance Board was given administrative authority over the Debate Program while various media, educational, and civic groups sponsored and televised the debates themselves. Candidates in citywide office who are on the ballot for the primary election and are participants of the Program are required to participate in two debates prior to the primary election. For the general election, candidates are required to participate in at least one debate while a second debate is held for “leading contenders.” Candidates not participating in the Program but are considered “leading contenders” are permitted, but not required, to participate. The criteria used to determine whether a candidate is, in fact, a “leading contender” is established by sponsors before the debates are held. Candidates who do not qualify under “leading contender status” take part in an alternative education forum.

### 1997 Debate Schedule

#### Mayoral

Debates/Live Coverage	Time/Location	Sponsors
<b>1st Primary</b> NY1, WNYC-AM	8:00-9:30 PM 8/19/97 St. Francis College	St. Francis College, El Diario, WNYC Radio, NY1
<b>2nd Primary</b> WABC-TV, WNYC-AM	11:30 AM 9/7/97 WABC-TV Studios	League of Women Voters of the City of New York, WABC-TV
<b>Primary Run-off 1</b> NY1, WNYC-AM	8:00-9:30 PM 9/15/97 St. Francis College	St. Francis College, WNYC Radio, NY1
<b>1st General</b> NY1, WNYC-AM	8:00-9:30 PM 10/9/97 Schomburg Center	New York Urban League, El Diario, WNYC Radio, NY1
<b>2nd General</b> (Leading Contenders) WABC-TV, WNYC-AM	7:00 PM 10/29/97 WABC-TV Studios	League of Women Voters of the City of New York, WABC-TV
<b>2nd General</b> (Leading Contenders) Rebroadcasts	Channel 13: Midnight 10/29/97 C-SPAN: 10:00 AM 11/02/97	
<b>2nd General</b> (Alternate Forum) WABC-TV, WNYC-AM	11:30 AM 10/26/97 WABC-TV Studios	League of Women Voters of the City of New York, WABC-TV
<b>1st Primary</b> NY1, WNYC-AM	8:00-9:30 PM 8/19/97 St. Francis College	St. Francis College, El Diario, WNYC Radio, NY1

#### Public Advocate

Debates/Live Coverage	Time/Location	Sponsors
<b>1st General</b>	8:00 PM 9/25/97	St. Francis College, El Diario,

NY1, WNYC-AM	St. Francis College	WNYC Radio, NY1
<b>2nd General</b> (Alternate Forum) NY1, WNYC-AM	7:00 PM 10/30/97 NY1 Studios	St. Francis College, El Diario, WNYC Radio, NY1

### Comptroller

Debates/Live Coverage	Time/Location	Sponsors
<b>1st General</b> NY1, WNYC-AM	8:00 PM 9/29/97 New York Law School	New York Law School, El Diario, WNYC Radio, NY1
<b>2nd General</b> (Leading Contenders) NY1, WNYC-AM	8:00 PM 10/27/97 New York Law School	New York Law School, El Diario, WNYC Radio, NY1
<b>2nd General</b> (Alternate Forum) NY1, WNYC-AM	7:00 PM 10/28/97 NY1 Studios	New York Law School, El Diario, WNYC Radio, NY1

### 2001 Debate Schedule<sup>78</sup>

#### Mayoral

Debates/Live Coverage	Time/Location	Sponsors
<b>1st Primary Debate</b> WNYC AM 820, WPIX Channel 11	Tuesday, August 28 6:00 p.m.	Newsday, WNYC AM 820, WPIX Channel 11
<b>2nd Primary Debate</b> WABC-TV Channel 7, WNYC AM 820	Sunday, September 9 11:00 a.m.	WABC-TV Channel 7, League of Women Voters, WNYC AM 820
<b>1st Runoff Debate</b> NY1, WNYC AM 820	Wednesday, October 3 8:00 p.m.	NY1, Baruch, Columbia, New School, NYU, WNYC AM 820
<b>2nd Runoff Debate</b> WABC-TV Channel 7, WNYC AM 820	Sunday, October 7 11:00 a.m.	WABC-TV Channel 7, League of Women Voters, WNYC AM 820
<b>1st General Debate</b> CUNY-TV Channel 75, WNYC AM 820	Wednesday, October 17 8:30 p.m.	CUNY-TV Channel 75, WNYC AM 820
<b>2nd General Debate</b> WABC-TV Channel 7, WNYC AM 820	Thursday, November 1 7:00 p.m.	WABC-TV Channel 7, League of Women Voters, WNYC AM 820

#### Public Advocate

Debates/Live Coverage	Time/Location	Sponsors
<b>1st Primary Debate</b> WNYC AM 820	Wednesday, August 22 7:00 p.m.	St. Francis College, WNYC AM 820
<b>2nd Primary Debate</b> WNYC AM 820	Thursday, September 6 7:00 p.m.	St. Francis College, WNYC AM 820
<b>1st Runoff Debate</b> NY1, WNYC AM 820	Thursday, October 4 7:30 p.m.	NY1, WNYC AM 820
<b>2nd Runoff Debate</b> NY1, WNYC AM 820	Tuesday, October 9 7:30 p.m.	NY1, WNYC AM 820
<b>1st General Debate</b> CUNY-TV Channel 75,	Wednesday, October 24 7:30 p.m.	CUNY-TV Channel 75, WNYC AM 820

<sup>78</sup> All debates were rebroadcast on Crosswalks-TV at 9:00 p.m. on the day following the debate.

WNYC AM 820		
<b>2nd General Debate</b> NY1, WNYC AM 820	Monday, October 29 8:00 p.m.	Baruch, Columbia, New School, NYU, NY1, WNYC AM 820

### Comptroller

<b>Debates/Live Coverage</b>	<b>Time/Location</b>	<b>Sponsors</b>
<b>1st Primary Debate</b> WNYC AM 820, WABC-TV Channel 7	Sunday, August 19 11:00 a.m.	WABC-TV Channel 7, League of Women Voters, WNYC AM 820
<b>2nd Primary Debate</b> WNYC AM 820, NY1	Wednesday, September 5 7:30 p.m.	NY1, New York Law School, WNYC AM 820
<b>1st General Debate</b> CUNY-TV Channel 75, WNYC AM 820	Tuesday, October 16 7:30 p.m.	CUNY-TV Channel 75, WNYC AM 820
<b>2nd General Debate</b> NY1, WNYC AM 820	Sunday, November 4 8:00 p.m.	NY1; New York Law School, WNYC AM 820

## **Board Member Terms of Service**

Martin S. Begun  
Senior Fellow, NYU Wagner Graduate School of Public Service  
June 4, 1996 – September 1, 2000

Alfred C. Cerullo, III  
President & CEO, Grand Central Partnership  
May 31, 2000 – Present

Dale C. Christensen, Jr.  
Partner, Seward & Kissel LLP  
May 18, 2000 – Present

Joseph Erazo  
September 18, 1997 – June 1998

Bill Green  
Former Representative, New York's 15<sup>th</sup> Congressional District  
July 21, 1994 – May 30, 2000

Pamela Jones Harbour  
Partner, Kaye Scholer LLP  
October 17, 2000 – July 29, 2003

James I. Lewis  
Lecturer in History, Hunter College & Bernard Baruch College  
Assistant Professor of History, City College of New York  
April 1, 1988 – June 1, 1997

Frank J. Macchiarola  
President, St. Francis College  
April 1, 1988 – December 13, 1988

Robert B. McKay  
Professor and former Dean, New York University School of Law  
April 1, 1988 – July 13, 1990

Dennis Mehiel  
President, Sweetheart COPS  
January 12, 1999 – May 12, 2000

Joseph Messina  
President, Motor Vehicle Accident Indemnification Corporation  
December 22, 1988 – June 6, 1995

Joseph A. O'Hare, S.J., Chairman  
President, Fordham University  
April 1, 1988 – March 31, 2003

Joseph Potasnik  
Leader, Congregation Mount Sinai  
President, New York Board of Rabbis  
June 28, 1999 – Present

Alan N. Rechtschaffen  
Director, Investments, Wachovia Securities, LLC.  
October 27, 2003 – Present

Frederick A.O. Schwarz, Jr., Chairman  
Senior Counsel, Brennan Center for Justice at NYU Law School  
Senior Counsel, Cravath, Swaine & Moore  
April 1, 2003 – Present

Thomas Schwarz, Chairman  
December 30, 1993 – January 7, 1994

Sonia Sotomayor  
Member, Pavia and Harcourt  
April 1, 1988 – September 22, 1992

Vaughn C. Williams  
Partner, Skadden, Arps, Slate, Meagher & Flom  
December 11, 1992 – September 18, 1997