FY 2024 Agency Quarterly Diversity, Equity, Inclusion and EEO Report

Part I: Narrative Summary

Agency Name: SPECIAL COM	1MISSIONER OF INVESTIGATION FOR THE N	YC School District (SCI)		
☐ 1 st Quarter (July -Septer ⊠ 3 rd Quarter (January -N	mber), due November 17, 2023 ⁄Iarch), due April 30, 2024	 2nd Quarter (October – December), due February 16, 2024 4th Quarter (April -June), due July 30, 2024 		
Prepared by:				
Ann Ryan	EEO Officer and Special Counsel	annryan@nycsci.org	212-510-1493	
Name	Title	E-mail Address	Telephone No.	
Date Submitted: <u>4/30/2024</u>				
FOR DCAS USE ONLY:	Date Received:			

Instructions for Filling out Quarterly Reports FY 2024

[NOTE: These forms are cumulative and designed to retain and preserve information for the entire FY 2024. For Q1 please copy the goals, programs, and initiatives from your draft of the FY 2024 DEI-EEO plan. Insert these statements in the corresponding sections of the Quarterly Report below, particularly sections IV, V, and VI. For Q2, Q3 and Q4, use previous quarter's submission to update their status, retaining all information for the prior quarters. You should also add programs and initiatives begun in these quarters even if they were not mentioned in the Annual Plan]

- 1. Please save this file as "XXXX Quarter X FY 2024 DEI-EEO Quarterly Report.Part I", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Word format. Please do not convert it to PDF.
- 2. Complete the "Diversity, Equity, Inclusion and EEO Training Summary" details in Part II Training Summary [see the attached Excel file].

<u>Core EEO Training:</u> Copy the information from the Training Completion Report you receive quarterly from DCAS Learning & Development onto grey-shaded cells in rows 26, 30, 34, and 38. Include any of these trainings that were administrated by your agency in the rows immediately below (27, 35, 39). <u>Other Diversity, Equity, Inclusion and EEO Related Training:</u> Beginning with row 45, include training classes co-organized or co-sponsored by your agency EEO and/or HR that are related to the development of the agency staff in the areas of equal employment, diversity, inclusion, civil rights, workplace culture and behavior, interpersonal relations, and community relations.

- 3. Mark progress in check boxes in the column for the current quarter. [Note: **Delayed** = behind schedule; **Deferred** = put off until later when better resources become available.]
- 4. Please save the Excel file as "XXXX Quarter X FY 2024 DEI-EEO Report.Part II Training Summary", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Excel format. Please do not convert it to PDF.

I. Commitment and Accountability Statement by the Agency Head

Distributed to all agency employees?	🛛 Yes, On (Date): <u>10/30/2023</u> 🗌 No
	🖾 By e-mail
	\square Posted on agency intranet and/or website
	□ Other

II. Recognition and Accomplishments

The agency recognized employees, supervisors, managers, and units demonstrating superior accomplishment in diversity, equity, inclusion, and equal employment opportunity through the following:

□ Diversity, equity, inclusion and EEO Awards

□ Diversity, equity, inclusion and EEO Appreciation Events

Public Notices

□ Positive Comments in Performance Appraisals

Other (please specify): ______

* Please describe DEI&EEO Awards and/or Appreciation Events below:

III. Workforce Review and Analysis

I. Agency Headcount as of the last day of the quarter was:

Q1 (9/30/2023): 50 Q2 (12/31/2023): 49 Q3 (3/31/2024): 54 Q4 (6/30/2024): _____

II. Agency reminded employees to update self-ID information regarding race/ethnicity, gender, and veteran status.

⊠ Yes On (Date): 10/17/2023 ⊠ Yes again on (Date): 3/18/2024 □

🗌 No

⊠ NYCAPS Employee Self Service (by email; strongly recommended every year)

□ Agency's intranet site

 \Box On-boarding of new employees

- □ Newsletters and internal Agency Publications
- **III.** The agency conducted a review of the quarterly CEEDS reports and the dashboard sent by DCAS to the EEO Officer with demographic data and trends, including workforce composition by job title, job group, race/ethnicity and gender; new hires, promotions and separation data; and utilization analysis.

The employees of SCI are paid through the DOE and therefore, according to DCAS, SCI's information cannot be run separately in CEEDS. As such, we do not receive any CEEDS Dashboard. DCAS was able to develop a substitute ad hoc report identifying our demographics and, in 2019, provided the then most recent DOE utilization report for all but one of the identified job groups. DCAS provided another utilization report for the remaining group, lawyers, not included in the DOE report and that group is too small to determine if underutilization, if any, is statistically significant. SCI is a small agency, and DCAS advised that we might not be able to draw any statistically significant conclusions and the ad hoc report should be used as one factor. Pursuant to SCI's requests, DCAS provides the ad hoc demographic report at least semi-annually, and the information provided is reviewed and analyzed by the EEO Officer and then discussed with the agency head and human resource personnel at SCI semi-annually during the second and fourth quarters.

Xes - on: Q1 Review Date: N/A Q2 Review Date: 11/29/2023 Q3 Review date: N/A Q4 Review date: _____

The review was conducted with:

Agency Head	🖂 Agency Head	Agency Head	Agency Head
Human Resources	🛛 Human Resources	Human Resources	Human Resources
🗆 General Counsel	General Counsel	General Counsel	General Counsel
🗆 Other	🛛 Other: EEO Officer	🗆 Other	Other
oxtimes Not conducted	\Box Not conducted	oxtimes Not conducted	Not conducted

IV. EEO, Diversity, Inclusion and Equity Initiatives for FY 2024

Please describe your progress this quarter in implementing the primary goals in Section IV of your Agency Diversity, Equity, Inclusion and EEO Plan for FY 2024.

A. Workforce:

Please list the **Workforce Goal(s)** included in *Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2023,* which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., underutilization, workforce planning, succession planning and diverse applicant pool, among others).

1. Align diversity recruitment, internal employee development and selection practices with current agency employment needs. The EEO Officer, human resource staff, and the Agency Head will continue to meet semi-annually to review and discuss workforce composition data to assess demographic trends.

Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity, and inclusion, while reflecting the variety of communities that are served. How do you evaluate the effectiveness of these actions?

Over the last few years, we have added various affinity groups to our recruitment efforts and will continue to periodically review the information provided by applicants concerning how they heard of the job opportunity to evaluate the various recruitment sources. During the second and fourth quarters, we review and analyze the demographic trends as well as the information applicants and hirees from closed recruitment efforts provide about how they learned of the employment opportunity. Such a review was conducted in November 2023. We will continue to review and possibly expand internal and external applicant pools to address the underutilization through outreach strategies for broader recruitment. The next review will happen in the fourth quarter. To evaluate the effectiveness, we also monitor the hiring data on a semi-annual basis to look for improvement.

Workforce Goal #1 Updates:

Q1 Update:	🛛 Planned	Not started	Ongoing	🗌 Delayed	Deferred	Completed
Q2 Update:	Planned	Not started	🛛 Ongoing	🗆 Delayed	Deferred	🛛 Completed
Q3 Update:	⊠Planned	Not started	Ongoing	🗆 Delayed	Deferred	Completed
Q4 Update:	Planned	Not started	Ongoing	🗆 Delayed	Deferred	Completed

2. This year, we will again provide professional development opportunities for all available staff. We regularly forward DCAS's monthly OCR newsletter which usually includes job announcements, civil service exam alerts, and information sessions to all staff.

Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity, and inclusion, while reflecting the variety of communities that are served. How do you evaluate the effectiveness of these actions?

Several professional development opportunities were available to all available staff during the first quarter. The topics covered were: Conflicts of Interest issues; procurement, contracts and purchasing; Investigative Interviewing techniques including question types designed to elicit admissions, performance reviews, dealing with adversity in interactions, minimizing denials and handling interviewee questions, and ethical investigations and interviewing; and Employee Misconduct investigations and testifying; and grooming cases. In the third quarter, an SCI investigator was selected to and began to attend peace officer training which started in late March and will continue into the fourth quarter. We evaluate the effectiveness of the professional development by whether employees develop or improve their skill sets. We forwarded OCR NYC Jobs Newsletter in July and August 2023; and in March 2024; and the OCR NYC Civil Service Exam schedule for September, October, November 2023; and January, February and March 2024. We also forwarded DCAS information about a DCAS Civil Service 101 Information Session in October 2023. We would evaluate the effectiveness if someone obtains a different job.

Workforce Goal #2 Updates:

Q1 Update:	🗆 Planned	Not started	🛛 Ongoing	🗌 Delayed	Deferred	🛛 Completed
Q2 Update:	Planned	Not started	🛛 Ongoing	🗆 Delayed	Deferred	🛛 Completed
Q3 Update:	Planned	Not started	⊠Ongoing	🗆 Delayed	Deferred	🛛 Completed
Q4 Update:	Planned	Not started	Ongoing	Delayed	Deferred	Completed

3. Efforts to reduce Workforce underutilization:

Please describe steps that were taken or planned to address underutilization identified through quarterly workforce reports. Please list Job Groups where underutilization exists in the current quarter.

We receive the ad hoc report containing our demographic data from DCAS, upon request, twice a year during the second and fourth quarters. No new employees joined SCI during the first or second quarters and one employee separated. In the third quarter, two employees separated from and seven employees joined SCI. There is only one category that includes enough staff to be statistically significant. That is job group 10 – the Technician category – which contains various investigative titles including the field and intake investigators. According to an analysis of the report during the second quarter of this fiscal year, there is underrepresentation or underutilization as to the Technician category. The EEO Officer will continue to review the data and meet with human resources personnel and the agency head twice a year to discuss workforce composition and demographic trends and the effectiveness of our recruitment sources. This year, we again provided professional development opportunities for all available staff.

Workforce Goal #3 Updates:

Q1 Update:	🛛 Planned	Not started	🛛 Ongoing	Delayed	Deferred	Completed
Q2 Update:	Planned	Not started	🛛 Ongoing	Delayed	Deferred	🛛 Completed
Q3 Update:	🛛 Planned	Not started	🛛 Ongoing	Delayed	Deferred	Completed
Q4 Update:	Planned	Not started	Ongoing	Delayed	Deferred	Completed

B. Workplace:

Please list the Workplace Goal(s) included in *Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2024,* which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., job satisfaction/engagement surveys, exit interviews/surveys, and onboarding surveys).

1. Agency will inform employees of their rights and protections under the New York City EEO Policy and ensure that its workplaces post anti-hate or anti-discrimination posters. Each year, we circulate the City's EEO Policy to inform staff of their rights and protections under that policy. We also circulate the Reasonable Accommodation Process at a Glance and EEO Complaint Process at a Glance

flyers. Those documents and other documents, such as, the DCAS EEO Complaint Procedural Guidelines and Reasonable Accommodation Guidelines, are posted on the office shared drive.

Please describe the steps that your agency has taken to meet this goal. Include actions taken to create equitable work environment which values differences and maintain focus on retaining talent. How do you evaluate the effectiveness of these actions?

Although no new employees started during the first or second quarters, there were seven new hires in the third quarter, and all new employees attend an in-house EEO and diversity training and receive the City's EEO Policy, Reasonable Accommodation at a Glance and EEO Complaint Process at a Glance flyers, the office's lactation policy and a copy of the Commissioner's Commitment and Accountability statement. They also take Sexual Harassment and Prevention, lgbTq-The Power of Inclusion, Disability Awareness and Etiquette and Everybody Matters: EEO and Diversity & Inclusion Training for NYC Employees. Anti-hate and anti-discrimination posters are posted in the office pantry and a copy room. Those employees who started late in the third quarter will complete these trainings in the fourth quarter.

Additionally, the City's EEO Policy and a listing of the agency's EEO related personnel were attached to the Commissioner's Commitment and Accountability Statement that was disseminated agency-wide in October. The EEO Officer also disseminated the Reasonable Accommodation at a Glance and EEO Complaint Process at a Glance flyers agency wide in November.

Workplace Goal #1 Updates:

Q1 Update:	🛛 Planned	Not started	Ongoing	Delayed	Deferred	🛛 Completed
Q2 Update:	🛛 Planned	Not started	Ongoing	🗆 Delayed	Deferred	🛛 Completed
Q3 Update:	⊠Planned	Not started	🛛 Ongoing	🗌 Delayed	Deferred	Completed
Q4 Update:	Planned	Not started	🗌 Ongoing	Delayed	Deferred	Completed

2. In connection with holidays and heritage months, at least three times a year, we will aim to continue to send emails to staff to share information relevant to the topic, programs, resources and/or activities. We will also continue to review completed exit surveys to glean sufficient information to support possible new initiatives.

Please describe the steps that your agency has taken to meet this goal. Include actions taken to create equitable work environment which values differences and maintain focus on retaining talent. How do you evaluate the effectiveness of these actions?

In September 2023, the EEO Officer sent an agency-wide email about National Hispanic Heritage month containing information and links to further information and events. In February 2024, the EEO Officer distributed an agency-wide email about Black History month, containing information and links to further information and events. In March 2024, the EEO Officer sent an agency-wide email about Woman's History month containing information and links to further information and events. Regarding the exit surveys, no one separated during the first quarter, one person separated during the second quarter, and two people separated in the third quarter. Thus far the surveys completed and reviewed have not provided sufficient information to support any new initiatives. The EEO Officer will continue to review any completed surveys to determine if they support any new initiatives.

Workplace Goal #2 Updates:

Q1 Update:	🛛 Planned	Not started	🗆 Ongoing	🗆 Delayed	Deferred	🛛 Completed
Q2 Update:	🛛 Planned	Not started	🛛 Ongoing	Delayed	Deferred	Completed
Q3 Update:	🛛 Planned	Not started	Ongoing	Delayed	Deferred	Completed
Q4 Update:	Planned	Not started	🗌 Ongoing	Delayed	Deferred	Completed

5. Other Workplace Activities:

Please describe any other EEO-related activities designed to improve/enhance the workplace (e.g., postings, meetings, cultural programs promoting diversity, newsletters/articles, etc.) and describe them, including the dates when the activities occurred.

C. Community:

Please list the Community Goal(s) included in Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2024, which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., community outreach and engagement, M/WBE participation and customer satisfaction surveys).

 Last year, our goal was to create opportunities for community outreach in line with SCI's mission to investigate allegations of corruption, fraud, misconduct, and conflicts of interest within the public school system, and we disseminated our updated brochure to the DOE for its employees at trainings and for new employees. During the second quarter of FY 23, we were notified that it was added to the DOE infohub site.

Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity, and inclusion, while reflecting the variety of communities that are served How do you evaluate the effectiveness of these actions?

During the first quarter of FY 24, our updated brochure was added to the updated onboarding process for new DOE employees. As it has been fully implemented, we will evaluate the effectiveness by reviewing whether there is a change in the number of complaints we received during calendar year 2023. Last year, we received the most complaints in the agency's history.

Community Goal #1 Updates:

Q1 Update:	Planned	Not started	Ongoing	🗌 Delayed	Deferred	🛛 Completed
Q2 Update:	Planned	Not started	Ongoing	🗌 Delayed	Deferred	🛛 Completed
Q3 Update:	Planned	Not started	Ongoing	🗌 Delayed	Deferred	Completed
Q4 Update:	Planned	Not started	Ongoing	🗌 Delayed	Deferred	Completed

2. Promote participation with minority and women owned business enterprises (MWBEs)

Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity, and inclusion, while reflecting the variety of communities that are served How do you evaluate the effectiveness of these actions?

Given the small size of our agency and limited opportunities for procurement, the Chief Diversity/MWBE Officer is focusing on how to set goals and implement the methods to achieve those participation goals.

Community Goal #2 Updates: Q1 Update: 🛛 Planned □ Not started □ Ongoing Delayed Deferred □ Completed Q2 Update: 🛛 Planned □ Ongoing Delayed □ Completed □ Not started Deferred □ Ongoing □ Delayed Q3 Update: 🛛 Planned Not started Deferred □ Completed Q4 Update: 🗌 Planned □ Ongoing □ Delayed Deferred □ Completed Not started

3. Other Community programs and activities:

Please describe any other Community-directed programs and activities (e.g., meetings, educational and cultural programs, promotion of agency services, community fairs, etc.) and describe them, including the dates when the activities occurred.

D. Equity, Inclusion and Race Relations Initiatives:

Please list the Equity, Inclusion and Race Relations initiatives included in Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2024, which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan.

Please describe the steps that your agency has taken to establish your agency as a leader in creating equitable and inclusive workplace environment and enhancing cultural competency. Please specify Equity and Race Relations initiatives embarked on, or continued from previous year(s), e.g., meetings, educational and cultural programs, presentations, discussions, books/articles, other suggested readings, etc., and describe the activities, including the dates when the activities occurred. How do you evaluate the impact of these initiatives?

1. Provide staff with information about programs, resources, and activities where staff can learn more about different demographic groups and participate in events with affinity groups that have similar interests or backgrounds.

Please describe the activities, including the dates when the activities occurred. How do you evaluate the impact of these initiatives?

On September 21, 2023, the EEO Officer sent an agency wide email about National Hispanic Heritage month providing information and links to information about the history of the observation and some events taking place in New York City. On February 9, 2024, the EEO Officer distributed an agency-wide email about Black History month, containing information and links to further information and events. On March 8, 2024, the EEO Officer sent an agency-wide email about Woman's History month containing information and links to further information and events.

Equity, Inclus	sion and Race R	elations Initiative #	1 Updates:	
O1 Undate.				

Q1 Update:	Planned	Not started	🛛 Ongoing	🗌 Delayed	Deferred	🛛 Completed
Q2 Update:	🛛 Planned	Not started	Ongoing	Delayed	Deferred	Completed
Q3 Update:	Planned	Not started	🛛 Ongoing	🗌 Delayed	Deferred	🛛 Completed
Q4 Update:	Planned	Not started	Ongoing	🗆 Delayed	Deferred	Completed

V. Recruitment

A. Recruitment Efforts

Please list **Recruitment Initiatives and Strategies** which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., targeted outreach and outreach, diversity recruitment, social media presence, where jobs are posted, EEO and APO collaboration, evaluation of best recruitment sources, structured interview training and unconscious bias training).

1. SCI will continue to seek to expand its recruitment sources to attract a larger pool of applicants to increase the diversity of potential candidates. The EEO Officer will continue to review the demographics of the applicants to assess whether the recruitment sources are yielding sufficiently diverse applicant pools and to expand them if necessary.

There is only one job group which contains enough employees to determine if recruitment efforts have statistical significance. We use and will continue to use the ad hoc report to identify underrepresentation or underutilization in that group to guide recruitment efforts. As to all job groups, diversity will be a factor to be considered. A member of human resources periodically sends office wide emails reminding staff to check the agency website for current job postings. The DCAS recruitment newsletter and notifications of civil service exams are periodically forwarded to agency staff.

Please describe the steps that your agency has taken to implement and achieve these initiatives/strategies. How do you evaluate the effectiveness of these actions?

During the first quarter, no new employees started at SCI and no one separated. During the second quarter, we obtained, reviewed and analyzed the ad hoc reports as well as information applicants provided. According to an analysis of report, there is underrepresentation or underutilization as to the Technician category, job group 10, which includes our field and intake investigative

titles. The EEO Officer met with agency personnel including human resources and the agency head on November 29, 2023 to discuss workforce composition and demographic trends. We evaluate the effectiveness of these steps if there is no underutilization or underrepresentation or if there is a reduction in underutilization or underrepresentation to the extent that it exists. During the third quarter, seven new employees started at SCI and two employees separated. A review and analysis of the ad hoc reports described above is scheduled for the fourth quarter, along with a meeting with agency personnel and human resources to discuss workforce composition and demographic trends.

Over time, we have added several affinity organizations to our hiring efforts including the National Association of Women Law Enforcement Executives; National Organization of Black Women in Law Enforcement; Detective Endowment Association, Inc.; Haitian American Law Enforcement; Asian Jade Society; and the National Association of Black Law Enforcement Officers. The last organization has indicated that given their strong belief that the more widely known the information is, the larger the pool of qualified candidates will become, they forwarded our information to more than 150 law enforcement recruiters in over eight states. During this fiscal year, the EEO Officer has reviewed data from closed recruitment efforts concerning how applicants indicated they had learned of the job opening to which they applied. So far this year, applicants have not identified most of the affinity organizations but we have decided to continue posting on them to obtain a larger sample.

Recruitment Initiatives/Strategies #1 Updates:

Q1 Update:	🛛 Planned	Not started	🛛 Ongoing	🗌 Delayed	Deferred	Completed
Q2 Update:	Planned	Not started	🛛 Ongoing	Delayed	Deferred	🛛 Completed
Q3 Update:	⊠Planned	Not started	🛛 Ongoing	🗌 Delayed	Deferred	Completed
Q4 Update:	Planned	Not started	Ongoing	Delayed	Deferred	Completed

2. Please describe any recruitment efforts designed to increase the effectiveness and improve the hiring and selection reach of your agency during the quarter and describe the activities, including the dates when the activities occurred.

During the first quarter, some promotional opportunities arose and a member of human resources sent office wide emails on July 20, 20, August 10, August 15, August 29, December 19, and December 28, 2023, reminding staff to check the agency website for current job postings. The recruitment process for one title concluded during the first quarter and two internal candidates were promoted.

B. Recruitment Efforts for Civil Service Exams

SCI is a small agency which uses mostly non-competitive titles and has no current or anticipated opening(s) for a competitive class position.

List all recruitment events that were held by the agency to promote open-competitive civil service examinations.

Quarter	Event Date	Event Name	Borough
# 1	None		
# 2	None		
# 3	None		

List actual expenditures related to recruiting candidates for open-competitive and promotion civil service exams.

Borough	Approximate Dollar Amount (\$) in Q1	Approximate Dollar Amount (\$) in Q2	Approximate Dollar Amount (\$) in Q3	Approximate Dollar Amount (\$) in Q4
Bronx	0	0	0	
Brooklyn	0	0	0	
Manhattan	0	0	0	
Queens	0	0	0	
Staten Island	0	0	0	

C. Recruitment Sources

List recruitment sources used for filling vacancies in the current Quarter (include Q#)

No vacancies were filled during the first or second quarters; there were two internal candidates promoted during the first quarter who learned of the opportunity by internal email or the agency website. There were seven vacancies filled during the third quarter, and no promotions. Below is a list of our recruitment efforts:

- 1. Monster.com (Q1, Q2, Q3)
- 2. Email to NYC APOs with a request that they post job vacancy notices (Q1, Q2, Q3)
- 3. The Chief-Leader (Q1)
- 4. Internal email (Q1, Q2)
- 5. city&state (Q2, Q3)
- 6. ACFE (Q2, Q3)
- 7. AIG (Q2, Q3)
- 8. Various law enforcement affinity groups (Q2, Q3)
- 9. LinkedIn (Q1, Q2)

D. Internships/Fellowships

The agency is providing the following internship opportunities in FY 2024. [Note: Please update this information every quarter.]

Race/Ethnicity* [#s] * Use self-ID data obtained from NYCAPS; Gender* [#s] [N-B=Non-Binary; O=Other; U=Unknown] * Use self-ID data

1. Urban Fellows Total: 0

Race/Ethnicity* [#s]: Black____ Hispanic____ Asian/Pacific Islander____ Native American____ White____ Two or more Races____

Gender* [#s]: M ____ F ___ N-B ____ O ____ U ____

2. Public Service Corps Total: 0

 Race/Ethnicity* [#s]: Black____ Hispanic____ Asian/Pacific Islander___ Native American___ White___ Two or more Races___

 Gender* [#s]: M ____ F ___ N-B ___ O ___ U ___

3. Summer College Interns Total: 0

Race/Ethnicity* [#s]: Black___ Hispanic___ Asian/Pacific Islander___ Native American___ White___ Two or more Races___ Gender* [#s]: M ___ F ___ N-B ___ O ___ U ___

4. Summer Graduate Interns Total: 0

 Race/Ethnicity* [#s]: Black____ Hispanic____ Asian/Pacific Islander___ Native American___ White___ Two or more Races____

 Gender* [#s]: M ____ F ___ N-B ___ O ___ U ___

5. Other (specify) Total: 0

Race/Ethnicity* [#s]: Black____ Hispanic____ Asian/Pacific Islander___ Native American___ White___ Two or more Races____

Gender* [#s]: M ____ F ___ N-B ____ O ____ U ____

Additional comments:

We are a small agency with limited staff to supervise and direct interns as well as limited resources and do not intend to offer internship opportunities at this time.

E. 55-A Program

The agency uses the 55-a Program to hire and retain qualified individuals with disabilities. \Box Yes \boxtimes I	No
Currently, the agency employs the following number of 55-a participants:	
Q1 (9/30/2023): 0 Q2 (12/31/2023): 0 Q3 (3/31/2024): 0 Q4 (6/30/2024):	
During the 1st Quarter, a total of 0 new applications for the program were received. During the 1st Quarter 0 participants left the program due to [state reasons] N/A.	
During the 2nd Quarter, a total of 0 new applications for the program were received. During the 2nd Quarter 0 participants left the program due to [state reasons] N/A.	
During the 3rd Quarter, a total of 0 new applications for the program were received. During the 3rd Quarter 0 participants left the program due to [state reasons]	
During the 4th Quarter, a total of [number] new applications for the program were received. During the 4th Quarter participants left the program due to [state reasons]	
The 55-a Coordinator has achieved the following goals:	
 Disseminated 55-a information – by e-mail: Yes No	

by e-mail: 🗆 Yes 🗀 No
in training sessions: 🛛 Yes 🗌 No
on the agency website: 🛛 Yes 🗌 No
through an agency newsletter: 🛛 Yes 🗆 No
Other:

2. If a competitive job becomes available, the job vacancy notice will include the following language:

NOTE: This position is open to qualified persons with a disability for the 55-a Program. Please indicate in your cover letter that you would like to be considered for the position under the 55-a Program.

Selection (Hiring and Promotion)

Please review Section VI of your FY 2024 Diversity, Equity, Inclusion and EEO Plan and describe your activities for this quarter below:

Please list additional **Selection Strategies and Initiatives** which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., use of structured interview, EEO or APO representatives observing interviews, review of placements, review of e-hire applicant data). Please describe the steps that your agency has taken to meet these objectives.

1. Career Counseling: Advising employees of opportunities for promotion and career development; Notification of promotion/transfer opportunities.

Staff is periodically notified to check the website for job vacancy notices which includes notices that could be promotion opportunities. To evaluate the effectiveness, we monitor the hiring/promotion data on a semi-annual basis to look for improvement. Thus far, two individuals were promoted this fiscal year.

2. Reviewing the methods by which candidates are selected for appointment, promotion, or to fill vacancies (new hires), especially for mid- and high-level discretionary positions.

All staff involved (or expected to become involved) with hiring have taken structured interviewing courses. Three more individuals, who are expected to become involved, took the courses during the second quarter of this fiscal year. To evaluate the effectiveness, we monitor the hiring/promotion data on a semi-annual basis to look for improvement.

3. Describe the role of agency EEO Officer and other EEO staff in the selection of candidates for appointment or promotion (pre- and post-appointment).

The EEO Officer reviews the job postings and will assist the Office Manager/HR Generalist if any request for a reasonable accommodation is made during the interview process. On occasion when asked, the EEO Officer has been on an interview panel. The EEO Officer receives and analyzes, on a regular basis, the demographics of those who receive promotions and salary raises and shares that information with the agency head and the Office Manager/HR Generalist who is the personnel officer.

4. Analyzing the impact of layoffs or terminations on racial, gender and age groups.

SCI, which has an authorized head count of 69 and is not fully staffed, has not had any layoffs as of this date. Should there be any layoffs, the agency will use the DCAS Layoff Procedure as guidance and the EEO Officer will analyze the impact of layoffs or terminations on racial, gender and age groups.

5. Other:

During this Quarter the Agency activities included:	ŧ	ŧ of Va	acancies	# of New	Hires	# of New	Promotions
	Q1	#	19	#	0	#	2
	Q2	#	20	#	0	#	0
	Q3	#	15	#	7	#	0
	Q4	#		#_		#.	

VII. Training

Please provide your training information in Part II of the report "DEI-EEO Training Summary" (in MS Excel).

VIII. Reasonable Accommodation

Please report all reasonable accommodation requests and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: <u>https://mspwva-dcslnx01.csc.nycnet/Login.aspx</u>

SCI does not have access to the tracking system. SCI tracks any requests internally.

The agency did input full Reasonable Accommodation activity on the DCAS Citywide Complaint and Reasonable Accommodation (CAD) Database:

Q1: □ Yes □ No Q2: □ Yes □ No Q3: □ Yes □ No Q4: □ Yes □ No

IX. Compliance and Implementation of Requirements Under Executive Orders and Local Laws

A. Local Law 92: Annual Sexual Harassment Prevention training

Please provide Sexual Harassment Prevention Training Information in Part II of the report "DEI-EEO Training Summary" (in MS Excel).

B. Local Law 97: Annual Sexual Harassment Reporting

□ The agency has entered the sexual harassment Complaint Data in the DCAS Citywide Complaint Tracking System and updates the information as they occur.



SCI does not have access to the tracking system. If there is sexual harassment complaint data to report, SCI will use DCAS's sexual harassment reporting template to submit it to DCAS.

□ The agency has entered **all types of complaints** in the DCAS Citywide Complaint Tracking System and updates the information as they occur.

 \boxtimes The agency ensures that complaints are closed within 90 days.

Report all complaints and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: <u>https://mspwva-ctwapx02.csc.nycnet/Login.aspx</u>

C. Executive Order 16: Training on Transgender Diversity and Inclusion

Please provide E.O. 16 Training Information in Part II of the report "DEI-EEO Training Summary" (in MS Excel).

IX. Audits and Corrective Measures

Please choose the statement that applies to your agency.

The agency is <u>NOT</u> involved in an audit conducted by NYC Equal Employment Practice Commission (EEPC) or another governmental agency specific to our EEO practices.

□ The agency is involved in an audit; please specify who is conducting the audit: ______

Attach the audit recommendations by EEPC or the other auditing agency.

□ The agency has submitted or will submit to DCAS Citywide Equity and Inclusion an amendment letter, which shall amend the agency plan for FY 2023.

□ The agency received a Certificate of Compliance from the auditing agency in 2022 or 2023.

Please attach a copy of the Certificate of Compliance from the auditing agency.

Appendix A: EEO Personnel Details

EEO Personnel For 3rd Quarter, FY 2024

Personnel Changes

Personnel Changes this Quarter:	⊠ No Changes	Number of Additions:	Number of Deletions:	
Employee's Name & Title	1.	2.	3.	
Nature of change	□ Addition □ Deletion	□ Addition □ Deletion	□ Addition □ Deletion	
Date of Change in EEO Role	Start Date or Termination Date:	Start Date or Termination Date:	Start Date or Termination Date:	
Employee's Name & Title				
Nature of change	□ Addition □ Deletion	□ Addition □ Deletion	□ Addition □ Deletion	
Date of Change in EEO Role	Start Date or Termination Date:	Start Date or Termination Date:	Start Date or Termination Date:	
For New EEO Professionals:		-		
Name & Title	4.	5.	6.	
EEO Function	EEO Officer EEO Counselor EEO Trainer EEO Investigator 55-a Coordinator Other: (specify)	□ EEO Officer □ EEO Counselor □ EEO Trainer □ EEO Investigator □ 55-a Coordinator □ Other: (specify)	EEO Officer EEO Counselor EEO Trainer EEO Investigator 55-a Coordinator Other: (specify)	
Percent of Time Devoted to EEO	□ 100% □ Other: (specify %):	□ 100% □ Other: (specify %):	□ 100% □ Other: (specify %):	
	-			
Name & Title				
EEO Function	EEO OfficerEEO CounselorEEO TrainerEEO Investigator55-a CoordinatorOther: (specify)	EEO OfficerEEO CounselorEEO TrainerEEO Investigator55-a CoordinatorOther: (specify)	EEO Officer EEO Counselor EEO Trainer EEO Investigator 55-a Coordinator Other: (specify)	
Percent of Time Devoted to EEO	□ 100% □ Other: (specify %):	□ 100% □ Other: (specify %):	□ 100% □ Other: (specify %):	

EEO Training Completed within the Last two years, including the current quarter (EEO and D&I Officers, Deputies, and all new EEO Professionals):						
Name & EEO Role	1. Ann Ryan, EEO Officer	2.	3.			
Completed EEO Trainings: 1. Everybody Matters-EEO and D&I	<u>x Yes 🗆 No</u>	□ Yes □ No	□ Yes □ No			
2. Sexual Harassment Prevention	x Yes 🗆 No	□ Yes □ No	□ Yes □ No			
3. IgbTq: The Power of Inclusion	x Yes 🗆 No	□ Yes □ No	□ Yes □ No			
4. Disability Awareness & Etiquette	x Yes 🗆 No	<u>□ Yes</u> □ No	□ Yes □ No			
5. Unconscious Bias	□ Yes □ No	□ Yes □ No	□ Yes □ No			
6. Microaggressions	□ Yes □ No	□ Yes □ No	□ Yes □ No			
7. EEO Officer Essentials: Complaint/Investigative Processes	<u>x Yes 🗆 No</u>	□ Yes □ No	□ Yes □ No			
8. EEO Officer Essentials: Reasonable Accommodation	□ Yes □ No	□ Yes □ No	<u>□ Yes □ No</u>			
9. Essential Overview Training for New EEO Officers	<u>x Yes 🗆 No</u>	□ Yes □ No	□ Yes □ No			
10. Understanding CEEDS Reports	x Yes 🗆 No	□ Yes □ No	□ Yes □ No			

EEO Personnel Training Continued:

ame & EEO Role	4.		5.		6.	
Completed EEO Trainings: 1. Everybody Matters-EEO and D&I	□ Yes	🗆 No	□ Yes	🗆 No	□ Yes	🗆 No
2. Sexual Harassment Prevention	🗆 Yes	🗆 No	□ Yes	🗆 No	□ Yes	🗆 No
3. IgbTq: The Power of Inclusion	🗆 Yes	🗆 No	□ Yes	🗆 No	🗆 Yes	🗆 No
4. Disability Awareness & Etiquette	🗆 Yes	🗆 No	□ Yes	🗆 No	□ Yes	🗆 No
5. Unconscious Bias	🗆 Yes	🗆 No	□ Yes	🗆 No	🗆 Yes	🗆 No
6. Microaggressions	🗆 Yes	🗆 No	□ Yes	🗆 No	🗆 Yes	🗆 No
7. EEO Officer Essentials: Complaint/Investigative Processes	□ Yes	🗆 No	□ Yes	🗆 No	□ Yes	🗆 No
8. EEO Officer Essentials: Reasonable Accommodation	🗆 Yes	□ No	□ Yes	□ No	□ Yes	🗆 No
9. Essential Overview Training for New EEO Officers	□ Yes	□ No	□ Yes	🗆 No	□ Yes	🗆 No
10. Understanding CEEDS Reports	🗆 Yes	🗆 No	□ Yes	🗆 No	□ Yes	🗆 No

EEO Personnel Contact Information (Please list all current EEO professionals)

Please provide full mailing address of the principal Agency EEO Office: <u>MAILING ADDRESS: SCI, 80 Maiden Lane, 20th Floor, New York, NY 10038</u>

Diversity and EEO Staffing as of 3rd Quarter FY 2024*

EEO\Diversity Role	<u>Name</u>	<u>Civil Service Title</u>	<u>% of Time</u> <u>Devoted to EEO</u> <u>& Diversity</u> <u>Functions</u>	<u>Office E-mail</u> <u>Address</u>	<u>Telephone #</u>
EEO Officer/Director	Ann Ryan	Executive Agency Attorney	50%	annryan@nycsci.org	212-510-1493
Deputy EEO Officer OR Co-EEO Officer	N/A				
Chief Diversity & Inclusion Officer	N/A				
Diversity & Inclusion Officer	N/A				
Chief Diversity Officer/Chief MWBE Officer per E.O. 59	Valerie Batista	Executive Agency Attorney	Less than 5%	vbatista@nycsci.org	212-510-1417
ADA Coordinator	Whitley Privette	Confidential Investigator	Less than 5%	wprivette@nycsci.org	212-510-1420

Disability Rights Coordinator	Whitley Privette				
Disability Services Facilitator	Whitley Privette				
55-a Coordinator	Whitley Privette				
Career Counselor	Whitley Privette				
EEO Counselor	Richard Marin Jessica Villanueva	Computer Systems Manager Confidential Investigator	Less than 5%	rmarin@nycsci.org jvillanueva@nycsci.org	212-510-1436 212-510-1424
EEO Investigator	N/A				
EEO Counselor\ Investigator	N/A				
Investigator/Trainer	N/A				
EEO Training Liaison	Ann Ryan Valerie Batista				
Other (specify)					
Other (specify)					

* Please note changes (new personnel filling the specified role). You may insert additional entries as needed. Title refers to the civil service title. If there is an EEO\Diversity role that your staff performs that is not on the list above, you may indicate it on the chart. You may provide full contact information once if several roles are performed by the same person.



FY 2024 QUARTERLY REPORT - Part II: DIVERSITY AND EEO TRAINING SUMMARY



CORE DIVERSITY AND EEO TRAINING (All Modalities)							
CORE L TOTAL CORE EEO TRAINING ALL MODALITIES: E-Learning & Instructor-led training	6	89	G (All Modalit	0 0	113		
1. Everybody Matters: EEO and Diversity & Inclusion for NYC Employees	2	43	5	0	50		
Administered by DCAS [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]	2	43	5		50		
Administered by Agency [Enter data from internal training in this row] NOTE: Completions from DCAS-provided training count towards agency compliance for these mandated trainings.	0	0	0		0		

ALL EEO-RELATED TRAINING (ALL MODALITIES)	1st Qtr (July - Sept. 2023)	2nd Qtr (Oct Dec. 2023)	3rd Qtr (Jan Mar. 2024)	4th Qtr (April - June 2024)	FY 2024 YEAR TO DATE
2. Sexual Harassment Prevention	2	45	5	0	52
Administered by DCAS [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]	2	45	5		52
Administered by Agency	NOTE: SHP training t curriculum that is ap provided to DCAS. T SHP training that is a	0			
3. IgbTq: The Power of Inclusion	1	1	4	0	6
Administered by DCAS [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]	1	1	4		6
Administered by Agency [Enter data from internal training in this row] NOTE: Completions from DCAS-provided training count towards compliance for these mandated trainings.	0	0	0		0
4. Disability Awareness & Etiquette	1	0	4	0	5
Administered by DCAS [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]	1	0	4		5
Administered by Agency [Enter data from internal training in this row]	0	0	0		0

ALL EEO-RELATED TRAINING (ALL MODALITIES)	1st Qtr (July - Sept. 2023)	2nd Qtr (Oct Dec. 2023)	3rd Qtr (Jan Mar. 2024)	4th Qtr (April - June 2024)	FY 2024 YEAR TO DATE
			AINING (All M		
ALL OTHER DIVERSITY & EEO RELATED TRAINING	0	6	10	0	16
5. New Employee Orientation (Only if it includes EEO Component)	NOTE: Do not m	nake entries here if new	<i>i</i> employees received C	ORE EEO training as par	rt of their onboarding
TOTAL PARTICIPANTS TRAINED	0	0	7		7
6. Structured Interviewing	FULL TITLE: Structured Interviewing and Unconscious Bias				
and Unconscious Bias TOTAL PARTICIPANTS TRAINED	0	3	0		3
7. Structured Interviewing and Unconscious Bias (Follow up)	FULL	TITLE: Structured Inte	erviewing: Utilizing Fo	ollow-Up and Probing	Questions
TOTAL PARTICIPANTS TRAINED	0	3	1		4
 Building an Inclusive Culture: Understanding Unconscious Bias 	FUI	LL TITLE: Building an I	nclusive Culture: Und	derstanding Unconsci	ous Bias
TOTAL PARTICIPANTS TRAINED	0	0	1		1
9. From Microaggressions to Microaffirmations	FULL TITLE	E: Creating a Culture	of Inclusion, From Mi	croaggressions to Mi	
TOTAL PARTICIPANTS TRAINED					0
10. Managing the Multi-Generational Workforce	FULL TITLE: Ma	naging the Multi-Ger	nerational Workforce	: Leveraging the Tale	nts of 5 Generations
TOTAL PARTICIPANTS TRAINED					0
11. Bystander Training	FL	JLL TITLE: Moving fro	m Bystander to Upsta	ander, What Would Y	ou Do?
TOTAL PARTICIPANTS TRAINED					0
12. Reasonable Accommodation	FULL TITLE: Reasonable Accommodation Procedural Guidelines				
TOTAL PARTICIPANTS TRAINED					0
13. The Power of Words		FULL TITLE	: The Power of Word	s, Can We Talk?	
TOTAL PARTICIPANTS TRAINED					0
14. Other Diversity/EEO Related	Specify topic >	New EEO Officer tra	ining		
TOTAL PARTICIPANTS TRAINED	0	0	1		1
16. Other Diversity/EEO Related	Specify topic >				
TOTAL PARTICIPANTS TRAINED					0
15. Other Diversity/EEO Related	Specify topic >				
TOTAL PARTICIPANTS TRAINED					0
16. Other Diversity/EEO Related	Specify topic >				
TOTAL PARTICIPANTS TRAINED					0
17. Other Diversity/EEO Related	Specify topic >				
TOTAL PARTICIPANTS TRAINED					0
18. Other Diversity/EEO Related	Specify topic >				
TOTAL PARTICIPANTS TRAINED					0
ADDITIONAL TRAINING				PACE TO REPORT ADDITIO D RETURN THE REPORT TO	
Other Diversity/EEO Related	Specify topic >				
TOTAL PARTICIPANTS TRAINED					0
Other Diversity/EEO Related	Specify topic >				