

New York City Department of Correction Quarterly Emergency Lock-In Report FY21 Quarter 3 (January 1st-March 31st)

This report provides information regarding the rate of emergency lock-ins that the New York City Department of Correction (DOC or Department) is required to report pursuant to New York City Administrative Code §9-155. This reporting time frame is from January 1st – March 31st, 2021.

1. The number of department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

During this timeframe, the Department did not have a department-wide emergency lock-in.

2. The number of facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

Facility	Lock-In Reasons ¹	# Lock-Ins
AMKC	Snow Emergency	2
GRVC	Snow Emergency	2
NIC	Snow Emergency	1
OBCC	Snow Emergency	2
RMSC	Snow Emergency	2
RNDC	Snow Emergency	2
	Tactical Search Operation	1

3. The number of lock-in extensions disaggregated by facility and housing area, in total and disaggregated by housing area type and reason for lock-in extension.

During this timeframe, the Department did not have any lock-in extensions.

4. The number of partial facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

Facility	Lock-In Reasons	# Lock-Ins
GRVC	Snow Emergency	1
OBCC	Snow Emergency	1
VCBC	Snow Emergency	1

¹ Emergency Lock-Ins are tracked using the following categories: Assault on Staff, Escape, Fight, Tension, Search, Red Alert, Slashing/Stabbing, SRG Activity, Tactical Search Operation, and UOF Investigation, Investigation- Other and Other.

5. The number of housing area emergency lock-ins disaggregated by facility and housing area, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

Facility	HA Type	Lock-In Reasons	# Lock-Ins
AMKC	GP	Death of an Incarcerated Individual	1
		Other ²	2
		Slashing/Stabbing	8
		UOF Investigation	7
EMTC	GP	Investigation-other	1
		Slashing/Stabbing	4
GRVC	GP	Assault on Staff	3
		Investigation-other	14
		Slashing/Stabbing	12
		SRG Activity	3
		UOF Investigation	41
	RESTRICTIVE	SRG Activity	3
	UOF Investigation	2	
NIC	GP	UOF Investigation	1
OBCC	GP	Investigation-other	9
		Other	1
		Slashing/Stabbing	8
		UOF Investigation	18
	RESTRICTIVE	Death of an Incarcerated Individual	1
		Investigation-other	16
		Other	4
		Slashing/Stabbing	24
		UOF Investigation	19
RMSC	GP	Other	2
RNDC	GP	Fight	2
		Investigation-other	16
		Other	1
		Slashing/Stabbing	17

² Events that fall into the “Other” category in the housing area emergency lock-ins table include occurrences such as Missing Institutional Razor, Investigation into a Fight, defusing tension.

Facility	HA Type	Lock-In Reasons	# Lock-Ins
		UOF Investigation	7
VCBC	GP	Slashing/Stabbing	1
WF	GP	Investigation-other	1

6. The mean and median number of incarcerated individuals housed in areas affected by housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the housing area type.

Facility	HA Type	Incarcerated Individuals Count (Mean)	Incarcerated Individuals Count (Median)
AMKC	GP	24.17	25.50
EMTC	GP	11.60	9.00
GRVC	GP	34.85	41.00
	RESTRICTIVE	9.18	4.00
NIC	GP	17.00	17.00
OBCC	GP	32.86	37.00
	RESTRICTIVE	20.89	20.00
RMSC	GP	17.00	17.00
RNDC	GP	11.86	8.00
VCBC	GP	48.00	48.00
WF	GP	11.00	11.00

7. (a) The mean and median duration of emergency lock-ins disaggregated by department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (b) facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (c) partial facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (d) and housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and housing area type.

(a) The mean and median duration of department-wide emergency

During this timeframe, the Department did not have a department-wide emergency lock-in.

(b) Mean and median duration of facility emergency lock-in

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	Snow Emergency	4.50	4.50
GRVC	Snow Emergency	4.50	4.50
NIC	Snow Emergency	2.00	2.00
OBCC	Snow Emergency	2.91	2.24
RMSC	Snow Emergency	1.56	1.56
RNDC	Snow Emergency	3.50	3.50
	Tactical Search Operation	4.25	4.25

(c) Mean and median duration of partial facility emergency lock-in

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
GRVC	Snow Emergency	2.00	2.00
OBCC	Snow Emergency	2.00	2.00
VCBC	Snow Emergency	2.00	2.00

(d) Mean and median duration of housing area (HA) emergency lock-in

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	GP	Death of an incarcerated individual	7.00	7.00
		Other	4.12	4.12
		Slashing/Stabbing	3.40	2.25
		UOF Investigation	5.27	5.00
EMTC	GP	Investigation-other	0.75	0.75
		Slashing/Stabbing	4.58	5.25
GRVC	GP	Assault on Staff	4.67	5.00
		Investigation-other	3.60	2.00
		Slashing/Stabbing	4.21	3.25
		SRG Activity	4.12	3.93
		UOF Investigation	4.39	5.00

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
	RESTRICTIVE	SRG Activity	4.67	5.00
		UOF Investigation	4.50	4.50
NIC	GP	UOF Investigation	4.50	4.50
OBCC	GP	Investigation-other	4.40	3.58
		Other	1.50	1.50
		Slashing/Stabbing	3.83	4.83
		UOF Investigation	3.76	2.92
	RESTRICTIVE	Death of an Incarcerated Individual	1.38	1.38
		Investigation-other	3.66	2.00
		Other	3.37	2.00
		Slashing/Stabbing	4.82	4.83
		UOF Investigation	4.41	5.00
RMSC	GP	Other	4.62	4.62
RNDC	GP	Fight	3.50	3.50
		Investigation-other	3.63	2.00
		Other	1.22	1.22
		Slashing/Stabbing	4.62	4.88
		UOF Investigation	3.60	3.25
VCBC	GP	Slashing/Stabbing	7.00	7.00
WF	GP	Investigation-other	0.50	0.50

8. The number of times mandated services are affected by an emergency lock-in or lock in extension, disaggregated by service type

MANDATED SERVICE	SERVICE TYPE	MANDATED COUNT
EDUCATIONAL SERVICES	CANCELLED	0
	DELAYED	0
LAW LIBRARY	CANCELLED	8
	DELAYED	0
PHONE CALLS	CANCELLED	24
	DELAYED	88
RECREATION	CANCELLED	24
	DELAYED	72
RELIGIOUS SERVICES	CANCELLED	0

MANDATED SERVICE	SERVICE TYPE	MANDATED COUNT
	DELAYED	0
SICK CALL ³	CANCELLED	0
	DELAYED	0
VISITS	CANCELLED	11
	DELAYED	52

9. The mean and median duration of continuous lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)	
AMKC	GP	Death of an Incarcerated Individual	7.00	7.00	
		Other	4.49	4.12	
		Slashing/Stabbing	3.40	2.25	
		UOF Investigation	5.53	5.50	
	NON-HOUSING AREA	Other	4.50	4.50	
EMTC	GP	Investigation-other	0.75	0.75	
		Slashing/Stabbing	4.58	5.25	
GRVC	GP	Assault on Staff	4.67	5.00	
		Investigation-other	3.60	2.00	
		Other	3.72	2.00	
		Slashing/Stabbing	4.21	3.25	
		SRG Activity	4.12	3.93	
		UOF Investigation	4.39	5.00	
		NON-HOUSING AREA	Other	4.00	2.00
		RESTRICTIVE	Other	3.67	2.00
			SRG Activity	4.67	5.00
	UOF Investigation		4.50	4.50	
NIC	GP	Other	2.00	2.00	
		UOF Investigation	4.50	4.50	
		NON-HOUSING AREA	Other	2.00	2.00

³ The sick call triage hotline is always available to people during all lock out hours. An emergency lock in would not prevent access to the hotline unless access to phones had been restricted as a part of the emergency lock in measure. That said, emergency lock ins do not prevent medical services and anyone with a medical need can still access the clinic during an emergency lock in.

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
OBCC	GP	Investigation-other	4.40	3.58
		Other	2.35	2.00
		Slashing/Stabbing	3.83	4.83
		UOF Investigation	3.76	2.92
	NON-HOUSING AREA	Other	2.19	2.00
	RESTRICTIVE	Death of an Incarcerated Individual	1.38	1.38
		Investigation-other	3.66	2.00
		Other	3.37	2.00
		Slashing/Stabbing	4.83	5.00
		UOF Investigation	4.41	5.00
RMSC	GP	Other	1.64	2.00
	NON-HOUSING AREA	Other	1.56	1.56
	RESTRICTIVE	Other	1.56	1.56
RNDC	GP	Fight	3.50	3.50
		Investigation-other	3.63	2.00
		Other	3.48	2.00
		Slashing/Stabbing	4.62	4.88
		TSO	4.25	4.25
		UOF Investigation	3.60	3.25
	NON-HOUSING AREA	Other	3.50	3.50
		TSO	4.25	4.25
VCBC	GP	Other	2.00	2.00
		Slashing/Stabbing	7.00	7.00
	NON-HOUSING AREA	Other	2.00	2.00
WF	GP	Investigation-other	0.50	0.50

10. The number of times that the duration of a continuous lock-in exceeds 24 hours, disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.⁴

Facility	HA Type	Lock-In Reasons	# Exceeds 24 Hour Lock-In
GRVC	GP	Assault on Staff	1
		SRG Activity	3
		UOF Investigation	5
	RESTRICTIVE	SRG Activity	1
OBCC	RESTRICTIVE	Slashing/Stabbing	1
		UOF Investigation	1

In comparison to the previous four reporting periods, department-wide lockdowns remained the same. The number of facility emergency lock-ins increased due to inclement weather. The number of lock-in extensions remained the same. The number of partial emergency lock-ins remained the same. The number of mandated services interrupted decreased. The number of continuous lock-in exceeding 24 hours increased.

To review the FY21 Quarter 2, FY21 Quarter 1, FY20 Quarter 4, and FY20 Quarter 3 Emergency Lock-In Reports please visit https://www1.nyc.gov/site/doc/about/emergency_lock-in_Reports.page.

⁴ Pursuant to local law 164, a continuous lock-in as used in this report refers to any period of time in which incarcerated individuals are confined to their cells or beds due to the combination of an emergency lock-in and either a scheduled lock-in or a lock-in extension, or both. For the purposes of this data metric, these 24-hour lock-in periods are continuous lock-ins, including both scheduled lock-ins and emergency-lock-in periods.