New York City Campaign Finance Board FACTBOOK

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## Brief History of the CFB

- The Campaign Finance Act (Local Law 8) was signed into law February 29, 1988.
- The Campaign Finance Board was established in an amendment to the City Charter in the general election of November 3, 1988 as a charter agency by referendum.
- The City Charter referendum also mandated the publishing and distribution of the non-partisan Voter Guide by the Campaign Finance Board.
- The Citywide election of 1989 was the first election cycle in which the Campaign Finance Program was in effect.
- The New York City Campaign Finance Program is the largest campaign finance program in the country after that of of the federal government.<sup>1</sup>

New York City Campaign Finance Board. *Dollars and Disclosure: Campaign Finance Reform in New York City.* September 1990.

# 1989 Citywide Elections

I Members of the Board

Joseph A. O'Hare, S.J., Chairman James I. Lewis Joseph Messina Robert B. McKay Sonia Sotomayor

### II Limits and Requirements

Contribution Limit	s <sup>2</sup>			
Mayor	Comptroller	City Council President	Borough President	City Council
\$3,000	\$3,000	\$3,000	\$2,500	\$2,000

Expenditure Limits <sup>3</sup>						
	Mayor	Comptroller	City Council President	Borough President	City Council	
Primary	\$3,000,000	\$1,750,000	\$1,750,000	\$625,000	\$60,000	
General	\$3,000,000	\$1,750,000	\$1,750,000	\$625,000	\$60,000	

Threshold Requirements <sup>4</sup>					
	Mayor	Comptroller	City Council President	City Council	
Amount	\$250,000	\$125,000	\$125,000	\$7,500	
# Contributors	1,000	500	500	50 (from district)	

Borough President Threshold Requirements						
	Bronx	Brooklyn	Manhattan	Queens	Staten Island	
Amount	\$23,379	\$44,619	\$28,566	\$37,827	\$10,000	
# Contributors	100	100	100	100	100	

Maximum Public Funds <sup>5</sup>					
Mayor	Comptroller	City Council President	Borough President	City Council	
\$1,500,000	\$875,000	\$875,000	\$312,500	\$30,000	

<sup>2</sup> New York City Campaign Finance Board. *Dollars and Disclosure: Campaign Finance Reform in New York City.* (September 1990), p. 14.

<sup>3</sup> See *Dollars*, p. 15.

- <sup>4</sup> See *Dollars*, p. 17.
- <sup>5</sup> See *Dollars*, p. 103.

III	Number of	1989 Program	m Participants <sup>6</sup>
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	Mayor	Comptroller	City Council President	Borough President	City Council	Total
Participant Total	10	5	0	7	34	56
Participants on Ballot	5	4	0	6	33	48

#### **IV** Public Funds Disbursed<sup>7</sup>

Mayor	Comptroller	City Council President	Borough President	City Council	Total
\$2,779,508	\$421,655	0	\$799,228	\$504,607	\$4,504,998

### V Relevant Acts and Rule Changes

 Amendments were made to the original Act: 1) additional time was allotted to join the program; 2) additional fundraising allowance was added to the existing expenditure limit; 3) participants were afforded greater flexibility in meeting the Act's spending limits by permitting rollover of unspent 1988 funds into 1989; and 4) within the spending limits an exemption was established for the cost of challenging an opponent's petitions.<sup>8</sup>

<sup>&</sup>lt;sup>6</sup> New York City Campaign Finance Board. On The Road To Reform: Campaign Finance in the 1993 New York City Elections. (September 1994), Volume I Report, p. 11.

<sup>&</sup>lt;sup>7</sup> See *Dollars*, pp. 104-105.

<sup>&</sup>lt;sup>8</sup> See *Dollars*, p. 11.

- VI Major News Stories<sup>9</sup>
- 1. Clara Hemphill, "Koch in Election Law Violation," *New York Newsday*, August 16, 1989, p. 6.
  - Koch is reported to have violated the state's anti-corruption election law by accepting a \$25,000 loan from a developer who had been granted a favorable zoning change earlier that year.
- 2. "An Electoral Example for the Country," New York Times Editorial, September 18, 1989, p. A18.
  - This editorial posits that despite the sometimes "needlessly complex reporting rules" the Program has "greatly reduced the impression of government for sale."
- 3. "Mr. Stein's Strange Arithmetic," *New York Times* Editorial, January 6, 1989, p. A30.
- "Finding Money to run against Mayor Koch," Crain's New York Business Editorial, January 16, 1989, p. 8.
- 5. Frank Lynn, "Stein Attacked on Campaign-Finance Refusal," *The New York Times*, January 29, 1989, p. B3.

<sup>&</sup>lt;sup>9</sup> These articles can be retrieved from the CFB 1989 Press Book (Volumes I & II) from the CFB Press Secretary.

- 6. Bob Herbert, "Take a Whiff of Lauder's Line," *Daily News*, January 31, 1989.
  - The above editorials criticize Andrew Stein and Ronald Lauder, then candidates for New York City mayor, for declining to join the Program. While considerable personal finances made it possible for them to do so, these articles underscore public disapproval on their failure to join; a popular sentiment that may have ultimately impeded the success of their campaigns.
- VII Major Court/Criminal Proceedings

#### **VIII** Major Accomplishments

- This was the first election year that the New York City Campaign Finance Act was in effect. Please see the introduction for an historical account of the establishment of the Board and the Program.
- VIV Enforcement

### X Budget for 1989 Election Cycle<sup>10</sup>

Personal Services	Other than Personal Services	Public Fund	Voter Guide	Total
\$1,572,425	\$1,393,519	\$20,000,000	\$4,000,000	\$26,965,944

### XI Major Publications

**Dollars and Disclosure: Campaign Finance Reform in New York City** (September 1990) – A report on the performance of the Campaign Finance Program in the 1989 citywide elections and a 30 page Executive Summary.

<sup>&</sup>lt;sup>10</sup> Please note that while this budget holds true for the 1989 election cycle, the actual budget allotment occurs in fiscal year 1990.

1989 Participants List <sup>11</sup>					
Office	Borough/CD	Candidate	Party	Ballot Status	
Mayor		Dinkins, David N.*	D	Primary, General	
Mayor		Guiliani, Rudolph W.	R, L, I	Primary, General	
Mayor		Goldin, Harrison J.	D	Primary	
Mayor		Koch, Edward I.	D	Primary	
Mayor		Ravitch, Richard	D	Primary	
Comptroller		Hevesi, Alan G.	D	Primary	
Comptroller		Holtzman, Elizabeth*	D	Primary, General	
Comptroller		Macchiarola, Frank J.	D	Primary	
Comptroller		Nadler, Jerrold L.	D	Primary	
Borough President	Bronx	Ferrer, Fernando*	D, L	General	
Borough President	Brooklyn	Golden, Howard*	D, L	General	
Borough President	Manhattan	Messinger, Ruth*	D, L	Primary	
Borough President	Queens	Shulman, Claire*	D	General	
Borough President	Staten Island	Lamberti, Ralph J.	D, SIS	General	
Borough President	Staten Island	Molinari, Guy V.*	R, C, RTL	General	
	* = General Election	n Winner (NP) = Nor	n-Participant		

1989 Participants List <sup>11</sup> (continued)					
Office	Borough/CD	Candidate	Party	Ballot Status	
City Council President	(NP)	Stein, Andrew	D, L	Primary, General	
City Council	1	Molinari, Susan*	R, C	General	
City Council	1	Paperman, Dennis	D, SIS	General	
City Council	2	Friedlander, Miriam*	D	General	
City Council	3	Greitzer, Carol*	D	Primary, General	
City Council	4	Cline, Raymond	D	Primary	
City Council	4	Eldridge, Ronnie*	D, L	Primary, General	
City Council	4	Goldfeder, Jerry	D	Primary	
City Council	4	Sheffer, Ethel	D	Primary	
City Council	4	Shorenstein, Janice W.	D	Primary	
City Council	4	Stringer, Scott	D	Primary	
City Council	4	Taylor, David S.	D	Primary	
City Council	5	Fields, C. Virginia*	D	Primary, General	
City Council	6	Espaillat, Adriano	D	Primary	
City Council	6	Michels, Stanley E.*	D	Primary, General	
	* = General Election	n Winner (NP) = Nor	n-Participant		

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Office	Borough/CD	Candidate	Party	Ballot Status
City Council	7	Dryfoos, Robert J.*	D, L	General
City Council	8	Maloney, Carolyn B.*	D	Primary, General
City Council	8	Perkins, William	D	Primary
City Council (NP)	9	Foster, Wendell*	D, L	General
City Council	10	Eisland, June M.*	D, L	General
City Council (NP)	11	Colon, Rafael*	D, L	General
City Council (NP)	12	DeMarco, Michael*	D, C	General
City Council (NP)	13	Rivera, Jose*	D, L	General
City Council	14	Crispino, Jerry*	D, C, L	General
City Council	14	Marinaccio, Michael A.	_	-
City Council (NP)	15	Ward, Walter*	D, C	General
City Council (NP)	16	Leffler, Sheldon*	D, L	General
City Council (NP)	17	Spigner, Archie*	D, L	General
City Council (NP)	18	Povman, Morton*	D	General
City Council	19	Harrison, Julia*	D	Primary, General
	* = General Election	n Winner (NP) = Nor		·

1989 Participants Lis	t <sup>11</sup> (continued)			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	20	Vallone, Peter F.*	D	General
City Council (NP)	21	McCaffrey, Walter*	D	General
City Council (NP)	22	Katzman, Arthur*	D	General
City Council	23	Berman, Herbert E.*	D, R, L	General
City Council (NP)	24	Wooten, Priscilla*	D, R, L	General
City Council	25	Alter, Susan D.*	D, L	General
City Council	26	Owens, Chris	D	Primary
City Council (NP)	26	Williams, Enoch*	D	Primary, General
City Council	27	Robles, Victor L.*	L	Primary
City Council (NP)	28	Pinkett, Mary*	D, L	General
City Council	29	Gerges, Abraham G.*	D	Primary, General
City Council	30	DiBrienza, Stephen*	D, L	General
City Council	31	Albanese, Sal F.*	D	Primary, General
City Council	31	Maresca, Stephen M.	R, C	General
City Council (NP)	32	Dear, Noach*	D, R, C	Primary, General
	* = General Election	n Winner (NP) = Nor	n-Participant	

1989 Participants Lis	t <sup>11</sup> (continued)			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	32	Eichenthal, David R.	D	Primary
City Council	33	Cohen, Adele	D	Primary
City Council	33	Horvitz, Samuel*	D, L	Primary, General
City Council (NP)	34	Lisa, Joseph F.*	D	General
City Council (NP)	35	O'Donovan, Jerome X.*	D, C	General
City Council	35	Perednia, Theodore	R	General
	* = General Election	n Winner (NP) = Nor	n-Participant	

AD = American Dream	FU = Fusion	NL = New Alliance
BS = Better Schools	GP = Green Party	R = Republican
C = Conservative	I = Independent	RTL = Right to Life
D = Democrat	L = Liberal	S = Socialist
F = Freedom	LIB = Libertarian	SIS = Staten Island Secession
FRUN = Friends United	MRP = Marijuana Reform	

<sup>&</sup>lt;sup>11</sup> The participant list was gathered from a combination of sources: Appendices A, B, and C from *Dollars and Disclosure*, as well as participant, candidate committee, and ballot status reports generated from the Campaign Finance Information Systems Database available at CFB offices.

# Special Election: 1990A Council District 1<sup>12</sup>

### I Limits and Requirements

**Contribution Limit** 

City Council

\$2,000

Expenditure Limit

City Council

\$60,000

Threshold Requirements			
	City Council		
Amount	\$7,500		
# Contributors 50 (from district)			

Maximum Public Funds

City Council

\$30,000

<sup>&</sup>lt;sup>12</sup> Information on all special elections was generated from the Campaign Finance Information Systems database available at the CFB offices.

### II Public Funds Disbursed

City Council

\$2,000

### III Program Participants

Borough/CD	Candidate	Party	Ballot Status
CD 1	Joseph, Annmarie	Non-Partisan	No Record
CD 1	Molinari, Ralph*	Non-Partisan	No Record
* = Electio	on Winner	(NP) = Non-Participant	

## **1991 City Council Elections**

#### I Members of the Board

Joseph A. O'Hare, S.J., Chairman James I. Lewis Joseph Messina Sonia Sotomayor

#### II Limits and Requirements

Contribution Limit – Primary/General<sup>13</sup> City Council \$2,000

Expenditure Limit City Council Primary/General \$105,000

Threshold Requirements			
	City Council		
Amount	\$5,500		
# Contributors	50 (from district)		

Maximum Public Funds<sup>14</sup> City Council

\$40,000

<sup>13</sup> New York City Campaign Finance Board. Windows of Opportunity: Campaign Finance Reform and the New City Council (July 1992), p. 8.

<sup>14</sup> See Windows of Opportunity, p. 11.

### III Number of 1989 Program Participants<sup>15</sup>

	City Council
Participant Total	256
Participants On Ballot	136

### **IV** Public Funds Disbursed<sup>16</sup>

	City Council
Primary	\$1,785,046
General	\$713,493

- V Relevant Acts and Rule Changes
- VI Major News Stories
- VII Major Court/Criminal Proceedings

<sup>&</sup>lt;sup>15</sup> See *Windows of Opportunity*, p. 9.

<sup>&</sup>lt;sup>16</sup> See Windows of Opportunity, Appendix G, p. 161.

### VIII Major Accomplishments

 The Candidate Services Unit was established after a review of the 1989 Citywide Elections. Given the complexity of the Program, CSU was designed and implemented in the 1991 election cycle to work closely with candidates in the interest of compliance.<sup>17</sup>

### IX Enforcement

### **X** Budget for 1991 Election Cycle<sup>18</sup>

Personal Services	Other than Personal Services	Public Fund	Voter Guide	Total
\$1,744,100	\$1,321,000	\$3,448,000	\$3,000,000	\$9,513,100

### XI Major Publications

**Windows of Opportunity: Campaign Finance and the New City Council** (July 1992) – A report on the performance of the Campaign Finance Program in the 1991 citywide elections.

<sup>&</sup>lt;sup>17</sup> See Windows of Opportunity, pp. 69-70.

<sup>&</sup>lt;sup>18</sup> Please note that while this budget holds true for the 1991 election cycle, the actual budget allotment occurs in the fiscal year 1992.

Office	Borough/CD	Candidate	Party	Ballot Status
	Dorougny CD	Candidate	raity	
City Council	1	Chin, Margaret S.	D, L	Primary, General
City Council	1	Freed, Kathryn*	D	Primary, General
City Council	1	Teng, Fred S.	C, R	General
City Council	2	Cornett, Delco L.	I	General
City Council	2	Friedlander, Miriam	D	Primary
City Council	2	Pagan, Antonio*	D, L	Primary, General
City Council	2	Silverglad, Hyman	C, R	General
City Council	3	Abzug, Liz J.	D	Primary
City Council	3	Del Maestro, Victor A.	C, D	Primary
City Council (NP)	3	Duane, Tom*	D, L	Primary, General
City Council	3	Magidson, Andrew	R	General
City Council	4	Maloney, Carolyn*	D, I	Primary, General
City Council	4	Rosen, Steven H.	D	Primary
City Council	5	Greitzer, Carol	D	Primary, General
City Council	5	Millard, Charles*	L, R	General
	* = General Election	n Winner (NP) = Nor	- Participant	-

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	5	Rademaker, Jessie	D	Primary
City Council	6	Eldridge, Ronnie*	D, L	General
City Council	6	Guttman, Leonard B.	I, R	General
City Council	7	Adam, Yvette C.		_
City Council	7	Graves, Franky J.	—	-
City Council	7	Michels, Stanley*	D, L	Primary, Genera
City Council	7	Mitchell, Keith S.	D	Primary
City Council	7	Perry, Ronald G.	R	General
City Council	7	Shepard, Peggy M.	D	Primary
City Council	8	Del Toro, William	D	Primary
City Council	8	Denis, Nelson A.	D	Primary
City Council	8	Espada, George L.	R	General
City Council	8	Perkins, Bill	D	Primary
City Council	8	Powell IV, Adam Clayton	D	Primary, Genera
City Council	8	Reed, Philip	D	Primary

1991 Participants Lis	st <sup>19</sup>			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	8	Segarra, Jorge A.	D	Primary
City Council	8	Shelly, Philip V.	D	Primary
City Council	9	Fields, C. Virginia*	D, L	Primary, General
City Council	9	Reiss, Elaine S.	R	General
City Council	9	Smith, Regina L.	D	Primary
City Council	10	Espaillat, Adriano J.	D, L	Primary, General
City Council	10	Fotopoulos, Harry C.	D	Primary
City Council	10	Linares, Guillermo*	D	Primary, General
City Council	10	Luna, Maria A.	D	Primary
City Council	10	Trinidad, Apolinar	с	General
City Council (NP)	11	Eisland, June*	D, L	General
City Council	12	Baez, Iris Herskowitz M.	D	Primary
City Council	12	Bowman, Willie E.	D, L	Primary, General
City Council	12	Gelb, Irving A.	D	Primary
City Council	12	Hazell, Gretchen	D	Primary
	* = General Election	n Winner (NP) = Nor	n-Participant	

1991 Participants Lis	<b>tt</b> <sup>19</sup>			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	12	Warden, Lawrence A.*	D	Primary, General
City Council (NP)	13	DeMarco, Michael*	D, C	General
City Council	14	Aska, Claude K.	D	Primary
City Council	14	Ruiz Jr., Israel*	D, L	Primary, General
City Council	15	Alamo-Estrada, Agustin	D	Primary
City Council	15	Israel, Martinez	D	Primary
City Council (NP)	15	Rivera, Jose*	D, L	Primary, General
City Council (NP)	16	Foster, Wendell*	D, L	General
City Council (NP)	17	Colon, Rafael*	D, L	Primary, General
City Council	17	Mendez, Rafael	D, I	Primary, General
City Council	18	Betancourt Jr., Ismael	D	Primary
City Council (NP)	18	Cruz, Lucy*	D, L	Primary, General
City Council	18	Espada Jr., Pedro	_	_
City Council	18	Sanchez, Deserie M.	D	Primary
City Council	19	Abel, Michael*	R, C	Primary, General
	* = General Election	n Winner (NP) = Nor	n-Participant	

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	19	Avella, Tony	D	Primary
City Council	19	Jawin, Ann J.	D	Primary
City Council	19	Kazimiroff, Julie	D	Primary
City Council	19	Nitzburg, Arthur R.	D, L	Primary, General
City Council	19	Steele, Frank	D	Primary
City Council	19	Tsanas, John A.	R	Primary
City Council	19	Weiss, Howard	D	Primary, General
City Council (NP)	20	Harrison, Julia*	D, L	General
City Council	21	Bryer Jr., Irvine A.	D	Primary
City Council	21	Cruz, Lucy	D, L	Primary, General
City Council (NP)	21	Marshall, Helen M.*	D, L	Primary, General
City Council	21	Moreno, Rafael	D	Primary
City Council	22	Vallone, Peter F.	D	General
City Council (NP)	23	Leffler, Sheldon*	D, L	General
City Council	24	Brown, Marilyn D.	I, R	General

Office	Borough/CD	Candidate	Party	Ballot Status
City Council (NP)	24	Povman, Morton*	D	General
City Council	25	Sears, Helen	D, L	Primary, Genera
City Council	25	Sabini, John*	D	Primary, Genera
City Council	25	France, Mary	D	Primary
City Council	26	Dillon, James J.	D	Primary
City Council (NP)	26	McCaffrey, Walter*	D, R, L	Primary, Genera
City Council	27	Gebert, Thomas A.	D	Primary
City Council	27	Marchant, Garth	D	Primary
City Council (NP)	27	Spigner, Archie*	D, L	Primary, Genera
City Council	28	Dixon, Samuel E.	F, RTL	General
City Council	28	Jennings Jr., Allan W.		Primary
City Council	28	Mays, Luberta F.	-	-
City Council	28	McClean, Lawrence T.	D	Primary
City Council	28	Moxey, Winston B.	D	Primary
City Council	28	White Jr., Thomas*	D, L	Primary, Genera

1991 Participants L	ist <sup>19</sup>			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	29	Koslowitz, Karen*	D	Primary, General
City Council	29	Rademaker, Jessie W.	D	Primary
City Council	30	Centorcelli, Dario L.	D	Primary
City Council	30	Fisher, Pamela L.	D	Primary, General
City Council	30	Laske, Arthur M.	D	Primary
City Council	30	Mahoney, Constance E.	D	Primary
City Council	30	Ognibene, Thomas V.*	R, RTL, C	General
City Council	30	Sansivieri, Frank	D, I	Primary, General
City Council	30	Umland, John M.	D	Primary
City Council	31	Blackburne, Elmer H.	D	Primary
City Council	31	Boyd-Foy, Mary L.	-	-
City Council	31	Clarke, Renaldo	D	Primary
City Council	31	Fajardo, Rolando	D	Primary
City Council	31	Greene, Delphin H.	D	Primary
City Council	31	Hooks, David R.	D	Primary
	* = General Election	n Winner (NP) = Nor	n-Participant	,

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	31	Meeks, Gregory W.	D	Primary
City Council	31	Smith, Malcolm A.	D	Primary
City Council	31	Watkins, Juanita E.*	D, L	Primary, General
City Council	32	Eichenthal, David R.	_	_
City Council	32	Galeno, Michael L.	D	Primary
City Council (NP)	32	Ward, Walter*	D, C	Primary, Genera
City Council (NP)	33	Fisher, Kenneth K.*	D, L	General
City Council	33	Nadrowski, Leon F.	-	-
City Council	34	Alvarez, Nilka I.	D	Primary
City Council	34	Martinez, Juan D.	D	Primary
City Council	34	Robles, Victor L.*	D, L	Primary, Genera
City Council	35	Emmanuel, Ernest	_	-
City Council	35	Kenney, Lucille	-	-
City Council	35	Pinkett, Mary*	D, L	Primary, Genera
City Council	35	Williams, Peter M.	D, I	Primary, Genera

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	36	Christman, Carolyn	RTL	General
City Council	36	Hunter, Robert	D	Primary
City Council	36	Robinson, Annette M.*	D, L	Primary, Gener
City Council	36	Sullivan, James P.	D	Primary
City Council	36	Williamson, Geronimo E.	_	-
City Council	37	Hernandez, Mauricio	D, L	Primary, Gener
City Council	37	Malave-Dilan, Martin*	D	Primary, Gener
City Council	37	Schmidt, Allen J.	C, R	General
City Council	38	Byrd, Beatrice	D	Primary
City Council	38	English, Ann	D	Primary
City Council	38	McCabe, Joan Griffin*	D	Primary, Gener
City Council	38	Moices, Ismael	D	Primary
City Council	38	Nieves, Javier A.	D	Primary
City Council	38	O'Hara, John K.	D	Primary
City Council	38	Velasquez, Pedro	D, L	Primary, Gener

1991 Participants List <sup>19</sup>				
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	39	DiBrienza, Stephen*	D, L	Primary, General
City Council	39	Graham, Bernard J.	D	Primary
City Council	39	Lurie, Jeffrey R.	C, R	General
City Council	40	Andrews, Carl	D, L	Primary, General
City Council	40	Clarke, Una*	D	Primary, General
City Council	40	Gumbs, Maurice A.	D	Primary
City Council	41	Ward, Ronald M.	D	Primary
City Council (NP)	41	Williams, Enoch*	D	Primary, General
City Council	42	Hill, Julian M.	_	-
City Council (NP)	42	Wooten, Priscilla*	D, L	General
City Council	43	Albanese, Salvatore F.*	D, L	General
City Council	43	Murphy, Kathleen M.	C, R	Primary, General
City Council	44	Aboulafia, Sandy	D	Primary
City Council (NP)	44	Dear, Noach*	D, C	Primary, General
City Council	44	Miller, Robert J.	D, L	Primary, General
	* = General Election	n Winner (NP) = Nor	n-Participant	

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	45	Alter, Susan D.*	D	Primary, Genera
City Council	45	Carey, Weyman A.	D, L	Primary, Genera
City Council	45	Moore, Colin A.	D	Primary
City Council	45	Perry, Nick	D	Primary
City Council	45	Roberts, Edward A.	D	Primary
City Council	45	Robertson, Janice	D	Primary
City Council	46	Berman, Herbert E.*	D, L	General
City Council	46	Williams, Evelyn	_	-
City Council (NP)	47	Horvitz, Samuel*	D, L	General
City Council	47	Sterling, Lee S.	С	General
City Council	48	Bisogno Sr., Gerard J.	D	Primary
City Council	48	Cohen, Adele	D	Primary
City Council	48	Levin, Lew Y.	C, D	Primary, Genera
City Council	48	Weiner, Anthony D.*	D	Primary, Genera
City Council (NP)	49	O'Donovan, Jerome X.*	D, C	General

1991 Participants List <sup>19</sup>				
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	49	Thomas, Richard	R	General
City Council	50	Del Giorno, Jon R.	D	Primary, General
City Council	50	Fusco, John A.*	C, R	General
City Council	51	Cerullo, Alfred*	C, R	General
City Council	51	Petrofsky, John M.	D, RTL	General
Unknown		Friedman, Sylvia M.	_	_
Unknown		Schriebman, Lawrence P.	_	_
Unknown		Burke, Samuel	_	_
	* = General Election	n Winner (NP) = Nor	n-Participant	5

AD = American Dream	FU = Fusion	NL = New Alliance
BS = Better Schools	GP = Green Party	R = Republican
C = Conservative	I = Independent	RTL = Right to Life
D = Democrat	L = Liberal	S = Socialist
F = Freedom	LIB = Libertarian	SIS = Staten Island Secession
FRUN = Friends United	MRP = Marijuana Reform	

<sup>&</sup>lt;sup>19</sup> The participant list was gathered from a combination of sources: Appendices A, B, and C from *Windows of Opportunity*, as well as participant, candidate committee, and ballot status reports generated from the Campaign Finance Information Systems Database available at CFB offices.

## Special Elections: 1991A Council District 29 & 1991B Council District 22

### I Limits and Requirements<sup>20</sup>

City Council

\$3,000

Expenditure Limit

City Council

\$105,000

Threshold Requirements	
	City Council
Amount	\$5,000
# Contributors	50 (from district)

Maximum Public Funds

City Council

\$40,000

<sup>&</sup>lt;sup>20</sup> The limits and requirements were uniform for the listed Elections. They are, therefore, listed in the same section.
# II Public Funds Disbursed

	City Council
1991A	\$137,650
1991B	\$O

# III Program Participants

Borough/CD	Candidate	Party	Ballot Status				
1991A Council District 29 Special Election							
CD 29	Battaglia, Angela	Non-Partisan	On Ballot				
CD 29	Fisher, Kenneth (NP)*	Non-Partisan	On Ballot				
CD 29	Glick, John T.	Non-Partisan	On Ballot				
CD 29	Leavitt-Cacchione, Lonnie	Non-Partisan	On Ballot				
CD 29	Minucci, Linda T.	Non-Partisan	On Ballot				
CD 29	Van Slyke, Irene	Non-Partisan	On Ballot				
	1991B Council Distri	ct 22 Special Election					
CD 22	Koslowitz, Karen*	Non-Partisan	On Ballot				
* = Election	on Winner	(NP) = Non	-Participant				

# 1993 Citywide Elections

I Members of the Board

Joseph A. O'Hare, S.J., Chairman Bill Green James I. Lewis Joseph Messina Vaughn C. Williams

# II Limits and Requirements

Contribution Limits <sup>21</sup>						
	Mayor	Comptroller	City Council President	Borough President	City Council	
Primary	\$6,500	\$6,500	\$6,500	\$5,000	\$3,000	
General	\$6,500	\$6,500	\$6,500	\$5,000	\$3,000	

Expenditure Limits <sup>22</sup>						
	Mayor	Comptroller	City Council President	Borough President	City Council	
Primary	\$4,000,000	\$2,500,000	\$2,500,000	\$900,000	\$105,000	
General	\$4,000,000	\$2,500,000	\$2,500,000	\$900,000	\$105,000	

<sup>22</sup> See *Road to Reform*, Volume I, p. 3.

<sup>&</sup>lt;sup>21</sup> New York City Campaign Finance Board. On the Road to Reform: Campaign Finance in the 1993 New York City Elections (September 1994), p. 3.

Threshold Requirements <sup>23</sup>					
	Mayor	Comptroller	Public Advocate	City Council	
Amount	\$250,000	\$125,000	\$125,000	\$5,000	
# Contributors	1,000	500	500	50	

Borough President Threshold Requirements						
	Bronx	Brooklyn	Manhattan	Queens	Staten Island	
Amount	\$24,076	\$46,013	\$29,751	\$39,032	\$10,000	
# Contributors	100	100	100	100	100	

Maximum Public Funds <sup>24</sup>					
Mayor	Comptroller	City Council President	Borough President	City Council	
\$2,000,000	\$1,250,000	\$1,250,000	\$450,000	\$40,000	

<sup>&</sup>lt;sup>23</sup> See *Road to Reform*, Volume I, p.3.

<sup>&</sup>lt;sup>24</sup> See *Road to Reform*, Volume I, p.3.

### III Number of 1993 Program Participants<sup>25</sup>

	Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
Participant Total	5	3	11	11	136	166
Participants on Ballot	4	3	6	6	87	106

#### IV Public Funds Disbursed<sup>26</sup>

Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
\$3,005,762	\$1,028,381	\$904,106	\$64,956	\$1,108,948	\$6,112,153

## V Relevant Acts and Rule Changes

- VI Major News Stories
- 1. "Dinkins' Dubious Finale," *New York Post* Editorial, January 6, 1994, p. 30.
- 2. "Mr. Dinkins's Graceless Parting Shot," *New York Times* Editorial, January 6, 1994, p. A20.
- 3. "Dave's Revenge Leaves a Bitter Taste." Daily News Editorial, January 6, 1994, p. 42

<sup>&</sup>lt;sup>25</sup> See *Road to Reform*, Volume I, p.11.

<sup>&</sup>lt;sup>26</sup> See *Road to Reform*, Volume I, p.51.

- 4. "Bloody Carpet." *New York Newsday* Editorial, January 7, 1994, p. 56.
- 5. Allison Mitchell, "New Campaign Finance Chairman Quits," *New York Times*, January 8, 1994, p. A26.
  - These articles concern Mayor Dinkins' eleventh hour appointment of Thomas J. Schwarz, replacing Father O'Hare as Chairman of the Board. Dinkins' appointment was seen as a revenge tactic for fines assessed to his campaign by the CFB. Public outcry compelled the resignation of Mr. Schwartz and Mayorelect Rudolph Giuliani's reinstatement of Chairman O'Hare.
- VII Major court/criminal proceedings
- VIII Major Accomplishments
  - First time Voter Guide is published in Chinese language (in addition to English and Spanish).<sup>27</sup>
  - C-SMART<sup>®</sup> computer software developed for candidate use. Electronic filing through C-SMART<sup>®</sup> enabled the "release of an unprecedented amount of disclosure information during the election."<sup>28</sup>
  - Every citywide primary consisted of solely Program participants.<sup>29</sup>
  - A text-based version of the web site is launched in 1994.

- <sup>28</sup> See *Road to Reform*, Executive Summary, p. 1.
- <sup>29</sup> See *Road to Reform*, Executive Summary, p. 2.

<sup>&</sup>lt;sup>27</sup> See *Road to Reform*, Volume I, p. 113.

#### IX Enforcement

#### X Budget for 1993 Election Cycle<sup>30</sup>

Personal Services	Other than Personal Services	Public Fund	Voter Guide	Total
\$2,210,591	\$2,880,572	\$11,617,000	\$3,000,000	\$19,708,163

#### XI Major Publications

**On the Road to Reform: Campaign Finance in the 1993 New York City Elections** (September 1994) – A two-volume report on the performance of the Campaign Finance Program in the 1993 citywide elections.

**The Debate Debate** (June 1994) – A report on the issues raised by 'mandatory' debate requirements in the context of the New York City Campaign Finance Program, including a recommended structure for a debate program not tied to a program of public matching funds for candidates.

**Party Favors** (January 1995) – A report on the use of New York State political party funds in New York City elections.

**Bundles of Trouble?** (June 1996) – A report on the role of intermediaries (or "bundlers") in New York City elections.

<sup>&</sup>lt;sup>30</sup> Please note that while this budget holds true for the 1993 election cycle, the actual budget allotment occurs in fiscal year 1994.

Office	Borough/CD	Candidate	Party	Ballot Status
Mayor		Dinkins, David	D	Primary, General
Mayor		Giuliani, Rudolph*	R, L	Primary, General
Mayor		Innis, Roy	D	Primary
Mayor		McMillan, Jimmy	_	-
Mayor		Ruano Melendez, Eric	D	-
Comptroller		Badillo, Herman	D, L	Primary, General
Comptroller		Hevesi, Alan*	D	Primary, General
Comptroller		Holtzman, Elizabeth	D	Primary
Borough President	Bronx	Ferrer, Fernando*	D, L	Primary, General
Borough President	Bronx	Gelb, Irving	_	-
Borough President	Bronx	Harvey, Samuel	_	-
Borough President (NP)	Brooklyn	Golden, Howard*	D	
Borough President	Brooklyn	Williamson, Geronimo	_	-
Borough President	Manhattan	Cornett, Delco	I	General
Borough President	Manhattan	Messinger, Ruth*	D, L	General

<sup>&</sup>lt;sup>31</sup> The participant list was gathered from a combination of sources: Appendices A, B, and C from *On the Road to Reform*, as well as participant, candidate committee, and ballot status reports generated from the Campaign Finance Information Systems Database available at CFB offices.

1993 Participants List <sup>31</sup>					
Office	Borough/CD	Candidate	Party	Ballot Status	
Borough President	Queens	Shulman, Claire*	D	General	
Borough President	Queens	Spavins, John	C, R	General	
Borough President	Staten Island	Grillo, Carl	L	General	
Borough President	Staten Island	Molinari, Guy*	C, R	General	
Public Advocate		Alter, Susan	D, L	Primary, General	
Public Advocate		Fulani, Lenora	-	-	
Public Advocate		Green, Mark	D	Primary, General	
Public Advocate		Halperin, Donald	D	Primary	
Public Advocate		O'Cummings, Grady	-	-	
Public Advocate		Paterson, David	D	Primary	
Public Advocate		Ramirez, Roberto	D	Primary	
Public Advocate		Ravitz, John	-	_	
Public Advocate		Reale, Ron	с	Primary, General	
Public Advocate		Reiter, Frances	-	-	
Public Advocate		Thomas, Richard	-	-	
	* = General Election	n Winner (NP) = Nor	n-Participant		

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	1	Chin, Margaret S.	D	Primary
City Council	1	Freed, Kathryn E.*	D, L	Primary, General
City Council	1	Joice, Judith E.	D	Primary
City Council	1	Schiattarella, Carlo D.	_	_
City Council	2	Aguinaldo, Roger A.	с	General
City Council	2	Friedlander, Miriam	D	Primary
City Council	2	Friedman, Sylvia M.	D	Primary
City Council	2	Pagan, Antonio*	D, L	Primary, Genera
City Council	2	Rodriguez, Olivia	_	-
City Council	3	Duane, Thomas K.*	D, L	General
City Council	4	Crotty, Jane R.	D	General
City Council (NP)	4	Eristoff, Andrew*	R, L	General
City Council	4	Giandurco, Vincent J.	_	-
City Council	5	Bellinson, Robert J.	_	-
City Council	5	Millard, Charles*	L, R	General

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	5	Morell, Samuel D.	D	Primary
City Council	6	Rosenthal, Leo Chudzikiewicz	_	-
City Council	6	Eldridge, Ronnie M.*	D, L	General
City Council	6	Schlossman, Michael D.	R	General
City Council	7	Hodge, Allen M.	_	_
City Council	7	Michels, Stanley E.*	D, L	General
City Council	7	Mosley, Alphonzo	С	General
City Council	8	DelToro, William	D	Primary
City Council	8	Diaz Jr., Francisco	D	Primary
City Council	8	Espada, George L.	C, R	General
City Council	8	Powell IV, Adam Clayton*	D, L	Primary, Genera
City Council (NP)	8	Reed, Philip	_	-
City Council	8	Barbanel, Howard S.	R	General
City Council	9	Fields, C. Virginia*	D, L	General
City Council	10	Castellanos, Francesca	C, FN	General

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	10	Linares, Guillermo*	D, L	General
City Council	10	Ortega, Luis A.	_	-
City Council	10	Rexach, Nilda, Luz	R	General
City Council	11	Braun, Esther B.	_	-
City Council (NP)	11	Eisland, June*	D, L	General
City Council	11	Rawluk, Wade B.	_	-
City Council	12	Burke, Samuel	D	Primary
City Council	12	Warden, Lawrence A.*	D, L	Primary, Genera
City Council (NP)	13	DeMarco, Michael	R, D, C	General
City Council	14	Alamo-Estrada, Agustin	с	General
City Council	14	Carrion Jr., Adolfo	_	-
City Council (NP)	14	Ruiz Jr., Israel*	D, L	General
City Council	15	Rivera, Jose*	D, L	General
City Council	16	Benjamin, Michael A.	_	-
City Council	16	Foster, Wendell*	D, L	Primary, Genera

o.//				
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	16	Saez Jr., Carmelo	D	Primary
City Council	17	Castaneira Colon, Rafael	D	Primary
City Council	17	Eatmon Jr., Andrew A.	D	Primary
City Council	17	Mendez, Rafael	NL	General
City Council (NP)	17	Rosado, David*	D, L	Primary, General
City Council	18	Cruz, Lucy*	D, L	General
City Council	18	Sanchez, Deserie M.	_	-
City Council	18	Segui, Pedro-Paul	_	_
City Council	18	Nieves, Ramon	R	General
City Council	19	Abel, Michael J.*	C, R	General
City Council	19	Avella, Tony	D, L	Primary, General
City Council	19	Gitkind, Edward S.	D	Primary
City Council	19	Goldman, Barbara	_	_
City Council	19	Kestenbaum, Alan G.	_	_
City Council (NP)	20	Harrison, Julia*	D, L	General
	* = General Election	n Winner (NP) = Nor		

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	20	Schwadron, Mitchell C.	R	General
City Council	21	Marshall, Helen M.*	D, L	General
City Council	22	Vallone, Peter F.*	D	General
City Council	23	Laufer, Alan S.	_	-
City Council	23	Leffler, Sheldon S.*	D, L	General
City Council (NP)	24	Povman, Morton*	D	General
City Council	25	Paine, John	R	General
City Council	25	Sabini, John*	D, L	General
City Council	26	Dillon, James J.	C, R	General
City Council (NP)	26	McCaffrey, Walter*	D, L	General
City Council	27	Marchant, Garth I.	D	Primary
City Council (NP)	27	Spigner, Archie*	D, L	Primary, Genera
City Council	28	Bhagwandin, Denny D.	_	-
City Council	28	Jennings Jr., Allan W.	D	Primary
City Council	28	White Jr., Thomas*	D, L	Primary, Genera

Office	Borough/CD	Candidate	Party	Ballot Status
Onice	Borough/CD	Calificate	Faily	
City Council	29	Adolff, Donald A.	_	-
City Council	29	Koslowitz, Karen*	D, L	General
City Council	30	Fisher, Pamela L.	-	-
City Council	30	Ognibene, Thomas V.*	C, R, RTL	General
City Council	30	Sansivieri, Frank	_	-
City Council	30	Umland, John M.	-	-
City Council	31	Lewis, Edward J.	D	Primary
City Council	31	Reynolds, Clarence	-	-
City Council	31	Watkins, Juanita E.*	D, L	Primary, Genera
City Council	32	Gebert, Thomas A.	-	-
City Council (NP)	32	Ward, Walter*	D	General
City Council (NP)	33	Fisher, Kenneth*	D, L	General
City Council	33	Nadrowski, Leon F.	C, R	General
City Council	34	Caraballo, F. Louis	D	Primary
City Council	34	Robles, Victor L.*	D, L	Primary, Genera

Borough/CD 35 35 35 35 35	Candidate Bacon, Roslyn E. Emmanuel, Ernest Lewis, Marcus S.	Party — — —	Ballot Status
35 35	Emmanuel, Ernest Lewis, Marcus S.	_	-
35	Lewis, Marcus S.	_	_
		_	
35			-
	Perdum Sr., Jerome K.	_	-
35	Pinkett, Mary*	D, L	General
36	Robinson, Annette M.*	D, L	General
36	Taylor, Richard	_	-
37	Malave-Dilan, Martin*	D, L	General
37	Schmidt, Allen J.	C, R	General
38	McCabe, Joan Griffin*	D	Primary, Genera
38	Moices, Ismael	-	-
38	O'Hara, John K.	D	Primary
38	Raffo Jr., Alfred	_	_
38	Rivera, Migdalia	D, L	Primary, Genera
38	Rodriguez, Secundino	_	_
	36   37   37   38   38   38   38   38   38   38   38   38   38   38   38   38   38   38   38	36Taylor, Richard37Malave-Dilan, Martin*37Schmidt, Allen J.38McCabe, Joan Griffin*38Moices, Ismael38O'Hara, John K.38Raffo Jr., Alfred38Rivera, Migdalia	36Taylor, Richard-37Malave-Dilan, Martin*D, L37Schmidt, Allen J.C, R38McCabe, Joan Griffin*D38Moices, Ismael-38O'Hara, John K.D38Raffo Jr., Alfred-38Rivera, MigdaliaD, L

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	38	Roman, Jose M.	_	_
City Council	38	Touhey, Timothy	_	_
City Council	39	DiBrienza, Stephen*	D, L	General
City Council	39	Pinto, Russell R.	C, R	General
City Council	40	Clarke, Una*	D, L	Primary, Genera
City Council	40	Foster, Ernest N.	D	Primary
City Council	41	Barkr, Atchudta	D	Primary
City Council	41	Burgess, Louis	-	-
City Council	41	Miller, David R.	-	-
City Council	41	Ward, Ronald M.	L	General
City Council (NP)	41	Williams, Enoch*	D	Primary, Genera
City Council	42	Bender Jr., Sandy	D	Primary
City Council	42	Hill Jr., Julian M.	_	-
City Council	42	McClain, Robert	R	General
City Council	42	Wooten, Priscilla A.*	D, L	Primary, Genera

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	43	Albanese, Salvatore F.*	D, L	General
City Council	43	DeFina, Joseph F.	C, R	General
City Council	44	Aboulafia, Sandy	D	Primary
City Council (NP)	44	Dear, Noach*	D, C, R	Primary, Genera
City Council	44	Kahanowitz, Paul M.	-	_
City Council	45	Henry, Lloyd*	D, L	Primary, Genera
City Council	45	Moore, Colin A.	D	Primary
City Council	45	Robertson, Janice	D	Primary
City Council	46	Berman, Herbert E.*	D, L	General
City Council	46	Fenelius Jr., James P.	-	_
City Council	46	Knipel, Lory Citron	-	-
City Council	46	LaMorte, James T.	L	General
City Council	47	Feigenbaum, Marcey	-	-
City Council	47	Horwitz, Samuel	-	-
City Council	47	Kramer, Elliott S.	_	-

1993 Participants Li	st <sup>31</sup>			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council (NP)	47	Lasher, Howard*	D, L	Primary, General
City Council	47	Michaels, Joel M.	D, L	Primary, General
City Council	47	Plotnick, Sheldon	D	Primary
City Council	47	Recchia Jr., Domenic M.	_	-
City Council	48	Fintz, Alan S.	-	-
City Council	48	Levin, Lew Y.	с	General
City Council	48	Meyers, Robert S.	L	General
City Council	48	Staber, Alexander	D	Primary
City Council	48	Weiner, Anthony D.*	D	Primary, General
City Council	49	Ambrosino, Lawrence E.	_	-
City Council	49	Covino, Lee S.	R	General
City Council	49	O'Donovan, Jerome X.*	C, D	General
City Council	50	Fusco, John A.*	C, R	General
City Council	50	Vasilakis, Anastasia	D	General
City Council	51	Cerullo III, Alfred C.*	R	General
	* = General Election	n Winner (NP) = Nor	n-Participant	

AD = American Dream	FU = Fusion	NL = New Alliance
BS = Better Schools	GP = Green Party	R = Republican
C = Conservative	I = Independent	RTL = Right to Life
D = Democrat	L = Liberal	S = Socialist
F = Freedom	LIB = Libertarian	SIS = Staten Island Secession
FRUN = Friends United	MRP = Marijuana Reform	

# Special Elections: 1993A Council District 4, 1994 Council District 51, & 1994A Council District 51

#### I Limits and Requirements<sup>32</sup>

Contribution Limit

City Council

\$3,000

Expenditure Limit

City Council

\$105,000

Threshold Requirements		
	City Council	
Amount	\$5,000	
# Contributors	50 (from district)	

Maximum	Public	Funds	

City Council

\$40,000

<sup>&</sup>lt;sup>32</sup> The limits and requirements were uniform for the listed Elections. They are, therefore, listed in the same section.

# II Public Funds Disbursed

	City Council
1993A	\$132,146
1994	\$30, 677
1994A	\$60, 339

# III Program Participants

Borough/CD	Candidate	Party	Ballot Status			
	1993A Council District 4 Special Election					
CD 4	Crotty, Jane R.	Non-Partisan	On Ballot			
CD 4 (NP)	Eristoff, Andrew*	Non-Partisan	On Ballot			
CD 4	Fischer, Wayne D.	Non-Partisan	On Ballot			
CD 4	Gordon, Michael B.	Non-Partisan	On Ballot			
CD 4	Mason, Reggie A.	Non-Partisan	No Record			
CD 4	Mason, Trudy L.	Non-Partisan	Terminated			
CD 4	Muller, Suzanne J.	Non-Partisan	No Record			
CD 4	Nadelson, Eileen N.	Non-Partisan	On Ballot			
CD 4	Polise, Jo Anne M.	Non-Partisan	On Ballot			
CD 4	Price, Warrie L.	Non-Partisan	On Ballot			
* = Election Winner		(NP) = Non	-Participant			

Borough/CD	Candidate	Party	Ballot Status		
	1994 Council District 51 Special Election				
CD 51	Fosella, Vito*	Non-Partisan	On Ballot		
CD 51	Mangano, Rosemarie A.	Non-Partisan	On Ballot		
CD 51	Pocchia, Anthony J.	Non-Partisan	On Ballot		
	1994A Council Distric	ct 51 Special Election			
CD 51	Aronold, Charles W.	Non-Partisan	No Record		
CD 51	Fosella, Vito*	Non-Partisan	On Ballot		
CD 51	Gastaldo, Jayne	Non-Partisan	On Ballot		
CD 51	Hart, Caramia R.	Non-Partisan	On Ballot		
CD 51	Landau-Crawford, Dorothy R.	Non-Partisan	On Ballot		
CD 51	Pocchia, Anthony J.	Non-Partisan	On Ballot		
* = Electi	* = Election Winner		-Participant		

# Special Election: 1996 Council District 5

## I Limits and Requirements

**Contribution Limit** 

City Council

\$3,550

Expenditure Limit

City Council

\$124,000

Threshold Requirements				
City Council				
Amount \$5,000				
# Contributors 50 (from district)				

Maximum Public Funds

City Council

\$40,000

### II Public Funds Disbursed

City Council

\$81,375

# III Program Participants

Borough/CD	Candidate	Party	Ballot Status
CD 5	Miller, A. Gifford*	Non-Partisan	On Ballot
CD 5	Morell, Samuel D.	Non-Partisan	Terminated
* = Election Winner		(NP) = Nor	n-Participant

# Special Election: 1996A Council District 5

### I Limits and Requirements

**Contribution Limit** 

City Council

\$1,775

Expenditure Limit

City Council

\$124,000

Threshold Requirements				
City Council				
Amount \$5,000				
# Contributors 50 (from district)				

Maximum Public Funds

City Council

\$63,208

#### II Public Funds Disbursed

City Council

\$63,208

III	Program	Participants
-----	---------	--------------

Borough/CD	Candidate	Party	Ballot Status
CD 5	Marcus, Judith G.	Non-Partisan	On Ballot
CD 5	Miller, A. Gifford*	Non-Partisan	On Ballot
CD 5	Morell, Samuel D.	Non-Partisan	Terminated
CD 5	Schiff, Andrew N.	Non-Partisan	Terminated
CD 5	Spitz, George N.	Non-Partisan	Terminated
* = Election Winner		(NP) = Non	-Participant

# 1997 Citywide Elections

I Members of the Board

Joseph A. O'Hare, S.J., Chairman Bill Green Martin S. Begun

## II Limits and Requirements

Contribution Limits <sup>33</sup>					
	Mayor	Comptroller	City Council President	Borough President	City Council
Primary	\$7,700	\$7,700	\$7,700	\$5,900	\$3,550
General	\$7,700	\$7,700	\$7,700	\$5,900	\$3,550

Expenditure Limits <sup>34</sup>					
	Mayor	Comptroller	City Council President	Borough President	City Council
Primary	\$4,732,000	\$2,958,000	\$2,958,000	\$1,065,000	\$124,000
General	\$4,732,000	\$2,958,000	\$2,958,000	\$1,065,000	\$124,000

<sup>&</sup>lt;sup>33</sup> New York City Campaign Finance Board. A Decade of Reform: 1998-1998. (September 1998), Executive Summary, p. 2.

<sup>&</sup>lt;sup>34</sup> See *Decade of Reform*, Executive Summary, p. 2.

Threshold Requirements <sup>35</sup>					
	Mayor	Comptroller	Public Advocate	City Council	
Amount	\$250,000	\$125,000	\$125,000	\$5,000	
# Contributors	1,000	500	500	50	

Borough President Threshold Requirements						
	Bronx	Brooklyn	Manhattan	Queens	Staten Island	
Amount	\$24,076	\$46,013	\$29,751	\$39,032	\$10,000	
# Contributors	100	100	100	100	100	

Maximum Public Funds <sup>36</sup>						
Mayor	Comptroller	City Council President	Borough President	City Council		
\$2,366,000	\$1,479,000	\$1,479,000	\$532,500	\$40,000		

<sup>&</sup>lt;sup>35</sup> See *Decade of Reform*, Executive Summary, p. 2.

<sup>&</sup>lt;sup>36</sup> See *Decade of Reform*, Executive Summary, p. 2.

III	Number of	1993 I	Program	Participants <sup>37</sup>
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	Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
Participant Total	10	3	3	22	138	<b>190</b> <sup>38</sup>
Participants on Ballot	6	3	3	15	114	141

#### **IV** Public Funds Disbursed<sup>39</sup>

Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
\$3,239,421	\$247,054	\$385,268	\$880,483	\$1,666,961	\$6,419,187

#### V Relevant Acts and Rule Changes

The Debate Law signed in 1996 required • all candidates in citywide office to participate in a series of public debates as a condition of receiving matching funds. The debates were legislated as a mandated responsibility of the Campaign Finance Board. The law requires Program participants for citywide office to appear in two debates before the primary and at least one debate prior to the general election. A second general election debate is also held with only "leading contenders." Participation criteria in this debate are determined by debate sponsors. Candidates who do not qualify as "leading contenders" must take part

<sup>&</sup>lt;sup>37</sup> See *Decade of Reform*, Executive Summary, p. 4.

<sup>&</sup>lt;sup>38</sup> This total includes 14 undeclared candidates.

<sup>&</sup>lt;sup>39</sup> See *Decade of Reform*, Executive Summary, p. 17.

in an "alternative non-partisan voter education program." Failure by Program participants to appear in any debate can lead to ineligibility for matching funds.<sup>40</sup>

- VI Major News Stories
- 1. Vivian S. Toy, "Giuliani and Council Agree on Bill Requiring Debates," *New York Times*, October 31, 1996, p. B6
  - This article details one of the most criticial changes to the Campaign Finance Act, the administration of the Debate program (described above).
- Clifford J. Levy, "Finance Data Raised Doubts of City Board," New York Times, January 26, 1997, p. A21.
  - After a routine audit of participant Ron Reale's campaign records, the Board discovered some puzzling money orders. After a series of investigations, Reale, who had been a candidate for Public Advocate in 1993, was accused and indicted of using fraudulent methods to obtain publid matching funds.
- Clifford J. Levy, "Giuliani Campaign is Fined \$220,000 Over Contributions," New York Times, September 19, 1997, p. A1
- 4. Clifford J. Levy, "Finance Board Accepts Guiliani's Choice For Seat," *New York Times*, September 20, 1997, p. B2

<sup>&</sup>lt;sup>40</sup> See *Decade of Reform*, Executive Summary, pp. 20-21.

- 5. Wayne Barrett, "Rudy's Man Rushes In," *Village Voice*, September 30, 1997, p. 26.
  - These articles concern the CFB's second largest fine assessment to the Guiliani campaign. His campaign had been cited for accepting affiliated contributions that exceeded Program limits. The Giuliani camp contended that the fine was illegal as the CFB had not allowed the mayor to appoint the new board member. The appointment of that member, however, occurred unexpectedly, during a board session right before the vote on his case was supposed to take place.
- 6. Clifford J. Levy, "Despite Clash, Giuliani Reappoints Campaign Finance Board Chief," New York Times, April 1, 1998, p. B2.
  - Reeling from the fine assessment incident, Giuliani was not expected to reappoint Father O'Hare to another term as Chairman. However, the mayor said that while he objected to the Board's treatment of his reelection campaign, he had been pleased with the Chairman's performance and was therefore poised to reappoint O'Hare.
- VII Major Court/Criminal Proceedings
  - After a routine audit of the 1993 elections, the Board discovered questionable campaign fundraising practices by Public Advocate candidate and Transit Police Benevolent Association President, Ron Reale. Evidence was presented in the

U.S. Attorney's Office in the Southern District of New York, where a thorough investigation led to Reale's conviction on several counts of criminal activity including a scheme to defraud the Board of public money.<sup>41</sup>

#### VIII Major Accomplishments

• First election cycle during which the Board was responsible for administering the debate program.<sup>42</sup>

### IX Enforcement

 The Giuliani campaign was assessed a \$220,000 fine — the second largest in the CFB's history. The Board found 134 instances in which the Giuliani campaign had violated the Program's contribution limits. Most of the violations were assessed because contributions from affiliated sources were not aggregated within one contribution limit.<sup>43</sup>

<sup>&</sup>lt;sup>41</sup> See *Decade of Reform*, Executive Summary, p. 32.

<sup>&</sup>lt;sup>42</sup> See *Decade of Reform*, Executive Summary, pp. 83-95.

<sup>&</sup>lt;sup>43</sup> See *Decade of Reform*, Volume I, p. 107.

#### X Budget<sup>44</sup>

Personal Services	Other than Personal Services	Public Fund	Voter Guide	Total
\$2,416,651	\$978,440	\$15,300,000	\$3,000,000	\$21,695,091

#### XI Major Publications

**An Election Interrupted...An Election Transformed** (September 2002) – A report on the performance of the Campaign Finance Program in the 2001 citywide elections.

### Friends in Need: Joint and Independent

**Spending By Candidates** (January 1997) – A report on campaign expenditures by candidates that are meant to aid other candidates.

<sup>&</sup>lt;sup>44</sup> Please note that while this budget holds true for the 1997 election cycle, the actual budget allotment occurs in fiscal year 1998.

Office	Borough/CD	Candidate	Party	Ballot Status
Mayor		Albanese, Salvatore	D, I	Primary, Genera
Mayor		Giuliani, Rudolph*	R, L	General
Mayor		Johnson, Arthur	_	-
Mayor		Kramer, Kenny	_	-
Mayor		McMillan, Jimmy	_	-
Mayor		Messinger, Ruth	D	Primary, Genera
Mayor		Rodriguez, Olga	S	General
Mayor		Ruano-Melendez, Eric	D	Primary
Mayor		Sharpton, Alfred	D	Primary
Mayor		Williamson, Geronimo	D	-
Comptroller		Hevesi, Alan*	D	General
Comptroller		Lyons, Wendy	S	General
Comptroller		Torres, Genevieve	I	General
Borough President	Bronx	Baez, Iris Herskowitz	_	-
Borough President	Bronx	Ferrer, Fernando*	D	Primary, Genera

1997 Participants List <sup>45</sup>						
Office	Borough/CD	Candidate	Party	Ballot Status		
Borough President	Bronx	Gutierrez, Victor	_	_		
Borough President	Bronx	Rodriguez-Remeneski, Shirley	_	_		
Borough President	Bronx	Ruiz Jr., Israel	D	Primary		
Borough President	Brooklyn	Dillon, Rev. Dennis	FU	General		
Borough President (NP)	Brooklyn	Golden, Howard	D	General		
Borough President	Manhattan	Fager, John	D	Primary		
Borough President	Manhattan	Fields, C. Virginia*	D, L	Primary, General		
Borough President	Manhattan	Glick, Deborah	D	Primary		
Borough President	Manhattan	Lavendar, James	_	-		
Borough President	Manhattan	Pagan, Antonio	D	Primary		
Borough President	Manhattan	Powell IV, Adam Clayton	D	Primary		
Borough President	Manhattan	Spitz, George	D	Primary		
Borough President	Manhattan	Vargas, Ruben	RTL	General		
Borough President	Queens	Baxter, John	I	-		
Borough President	Queens	Di Casa, David	С	General		
* = General Election Winner (NP) = Non-Participant						
1997 Participants Lis	1997 Participants List <sup>45</sup>					
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Office	Borough/CD	Candidate	Party	Ballot Status		
Borough President	Queens	Shulman, Claire*	D, I, L	General		
Borough President	Queens	Tiraco, Joseph	-	_		
Borough President	Staten Island	Hartigan, George	I	Primary, General		
Borough President	Staten Island	Higgins, Steven	D	General		
Borough President	Staten Island	Jorgensen, Raymond	-	_		
Borough President	Staten Island	Molinari, Guy*	C, F, R, RTL	General		
Public Advocate		Fret, Shoghi	S	General		
Public Advocate		Green, Mark*	D	Primary		
Public Advocate		Polonetsky, Jules	L, R	General		
City Council	Not Specified	Calderon, Myrna	_	_		
City Council	Not Specified	Chapey, Geraldine M.	-	_		
City Council	Not Specified	Khan, Barbara	-	_		
City Council	1	Dormuth, Marie E.	D	Primary		
City Council	1	Freed, Kathryn E.*	D, I, L	Primary, General		
City Council	1	Lim, Jennifer F.	D	Primary		
	* = General Election	n Winner (NP) = No	n-Participant	-		

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	2	Dechter, Hyman		_
City Council	2	Fabozzi, Albert	D	Primary
City Council	2	Lopez, Margarita*	D	Primary, General
City Council	2	Lugo, Betty	I, R	General
City Council	2	Rapfogel, Judy R.	D, L	Primary, Genera
City Council	3	Duane, Thoams K.	D, L	General
City Council	3	Murawski, Bill	I	General
City Council (NP)	4	Eristoff, Andrew	F, L, R	General
City Council	4	Moskowitz, Eva S.	D, I	General
City Council	5	Miller, A. Gifford*	D, I, L	General
City Council	5	Stephens, Alexander W.	F, R	General
City Council	6	Eldridge, Ronnie M.*	D, L	General
City Council	7	Aquino, Angelica	_	-
City Council	7	Hodge, Allen M.	_	-
City Council	7	Michels, Stanley E.*	D, L	General

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	8	Colon, Federico	D	Primary
City Council	8	Kirkman, Curtis S.	I	General
City Council	8	Marcial, Edwin	D	Primary
City Council	8	Reed, Philip*	D	Primary, Genera
City Council	8	Sena, Wilma J.	D	Primary
City Council	8	Vidro-Ortiz, Jorge I.	D, L	Primary, Genera
City Council	9	Allen, Williams A.	D	Primary
City Council	9	Cunningham, David E.	R	General
City Council	9	Haslip, Joseph J.	_	-
City Council	9	Holly, I. Ronnie	D	Primary
City Council	9	Mason, Reggie A.	I	General
City Council	9	Montague, Virginia M.	D	Primary
City Council	9	Perkins, Bill*	D	Primary, Genera
City Council	9	Sweeting, Mary J.	D	Primary
City Council	10	Castellanos, Francesca M.	D	Primary

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	10	Linares, Guillermo*	D	Primary, General
City Council	10	Lizardo, Roberto E.	D	Primary
City Council	10	Lucre, Paul A.	I, C, R	General
City Council	11	Anderson, Brian G.	C, R, RTL	General
City Council (NP)	11	Eisland, June	D, L	General
City Council	12	Saunders, Shirley J.	D	Primary
City Council	12	Warden, Lawrence A.*	D, L	Primary, Genera
City Council	13	Chiofalo, Anthony	-	-
City Council	13	Medici, George	D	Primary
City Council	13	Provenzano, Madeline*	C, D	General
City Council	14	Brown Bryant, Edith	-	-
City Council	14	Carrion Jr., Adolfo*	D, L	Primary, Genera
City Council	14	Cortes, Carlos R.	-	-
City Council	14	Martinez, Israel	-	-
City Council	14	Soto, Richard	D, C	Primary, Genera

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	14	Villaverde, Sergio	D	Primary
City Council	15	Gonzalez, Carlos	_	-
City Council	15	Rivera, Jose*	D	General
City Council	16	Foster, Wendell*	D	General
City Council	17	DeJesus, Luis R.	D	Primary
City Council	17	Espada, Pedro G.*	D, I	Primary, Genera
City Council	17	Perez Jr., Freddy	_	-
City Council	18	Cruz, Lucy*	D, L	General
City Council	18	Hernandez, Hilda N.	-	-
City Council	18	Perez, Juan L.	_	-
City Council	19	Abel, Michael J.*	C, I, R	General
City Council	19	lannece, Jerry M.	D, L	General
City Council	20	Chen, Ethel T.	I	General
City Council	20	Chu, Pauline	D, C	Primary, Genera
City Council	20	Dunn, Helen N.	_	_

Office	Borough/CD	Candidate	Party	Ballot Status
City Council (NP)	20	Harrison, Julia*	D, L	Primary, General
City Council	20	Liu, John C.	D	Primary
City Council	20	Markell, Debra	D	Primary
City Council	20	Tan, Meilin	-	-
City Council	21	Marshall, Helen M.*	D, I, L	General
City Council	22	Vallone, Peter F.*	D	General
City Council	23	Laufer, Alan S.	C, F, I, R	General
City Council	23	Leffler, Sheldon S.*	D, L	General
City Council	24	Kowsh Jr., Walter	с	General
City Council (NP)	24	Povman, Morton	D	General
City Council	25	Sabini, John*	D, I, L	General
City Council (NP)	26	McCaffrey, Walter*	D, I, L	General
City Council	27	Givan, Hosea J.	-	-
City Council	27	Jenkins, Cynthia	I	General
City Council	27	Morgan, Ishmael J.	C, R	General

1997 Participants List <sup>45</sup>				
Office	Borough/CD	Candidate	Party	Ballot Status
City Council (NP)	27	Spigner, Archie	D, L	General
City Council	28	Jennings Jr., Allan W.	D, C, I, RTL	Primary, General
City Council (NP)	28	White, Thomas*	D, L	Primary, General
City Council (NP)	29	Koslowitz, Karen*	D, L	General
City Council (NP)	30	Ognibene, Thomas*	C, F, I, R, RTL	Primary, General
City Council	31	Brown, Everly D.	C, F, I, R, RTL	General
City Council	31	Watkins, Juanita E.*	D, L	General
City Council	32	Gebert, Thomas A.	D	Primary
City Council (NP)	32	Stabile, Alfonso*	C, F, I, R	General
City Council	33	Fisher, Kenneth K.	D, L	General
City Council	34	Robles, Victor L.*	D, L	General
City Council	34	White, Douglas F.	I	General
City Council	35	Davis, James E.	D, C, L	Primary, General
City Council	35	Louis, Errol T.	D, GP	Primary, General
City Council	35	Pinkett, Mary*	D	Primary, General
	* = General Election	n Winner (NP) = Nor	n-Participant	

1997 Participants L	ist <sup>45</sup>			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	36	Carter, Arnold J.	D	Primary
City Council	36	Robinson, Annette M.*	D	Primary, General
City Council	36	Taylor, Richard	C, I	General
City Council	37	Malave-Dilan, Martin*	D	General
City Council	37	Mateo, Heriberto	L	General
City Council	37	Taveras, Germania	C, F, U	General
City Council	38	Brennan, Martin F.	-	-
City Council	38	Castell, Eduardo	D	Primary
City Council	38	Haggerty, Elba I.	D, C	Primary, General
City Council	38	Loeb, Susan A.	D, I	Primary, General
City Council	38	McDermott, Robert	D	Primary
City Council	38	Rodriguez, Angel*	D, L	Primary, General
City Council	39	Bell, Robert A.	D	Primary
City Council	39	Caccamo, Anthony R.	D, F, R	Primary, General
City Council	39	DiBrienza, Stephen*	D, L	General
	* = General Election	n Winner (NP) = Nor	n-Participant	

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	40	Clarke, Una*	D, L	General
City Council	40	Wilkes Jr., Leroy D.	I	General
City Council (NP)	41	Boyland, Tracy*	D	Primary, Genera
City Council	41	Glenn, Salena	D	Primary
City Council	41	Manitou, Kinzoni M.	_	_
City Council	41	Miller, David R.	D	Primary
City Council	41	Pollard, Mark H.	_	_
City Council	41	Roper-Simpson, Casilda E.	D	Primary
City Council	41	Smith, Gary E.	I	General
City Council	42	Adefope, John O.	RTL	General
City Council	42	Barron, Charles	D	Primary
City Council (NP)	42	Wooten, Priscilla*	D, I, L	Primary, Genera
City Council	43	Aidala, Arthur L.	D	Primary
City Council	43	DiCarlo, Robert J.	R	Primary
City Council	43	Golden, Martin J.*	R, C, F, I, RTL	Primary, Genera

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	43	McCone, Cody K.	D	Primary
City Council	43	Seminara, Joanne	D, L	Primary, Genera
City Council	44	Aboulafia, Sandy	D	Primary
City Council (NP)	44	Dear, Noach*	D, C	Primary, Genera
City Council	45	Emmanuel, Ernest	L	General
City Council	45	Henry, Lloyd*	D	Primary, Genera
City Council	45	James, Julia L.	I	General
City Council	45	Stewart, Kendall B.	D	Primary
City Council (NP)	46	Berman, Herbert*	D, L, R	General
City Council	47	Cohen, Adele	D	Primary
City Council	47	Lasher, Howard L.*	D, L	Primary, Genera
City Council	47	Malave, Samuel	D	Primary
City Council	48	Reznik, Jeff A.	D	Primary
City Council	48	Salvati, Neil A.	С	General
City Council	48	Weiner, Anthony D.*	D	Primary, Genera

1997 Participants Lis	1997 Participants List <sup>45</sup>				
Office	Borough/CD	Candidate	Party	Ballot Status	
City Council	49	Cammarata, Joseph F.	R	Primary, General	
City Council	49	O'Donovan, Jerome X.*	C, D	General	
City Council	49	Player, Terry	R, F, I	Primary, General	
City Council	49	Roecker, Susan K.	_	_	
City Council	50	Diaz-Larocca, Sonia	I	Primary	
City Council	50	Fusco, John A.*	C, F, R, RTL	General	
City Council	50	Sollazzo, John	I, D	Primary, General	
City Council	51	Bardel, Henry J.	GP	General	
City Council	51	Corbett, Kevin R.	-	_	
City Council (NP)	51	Fiala, Stephen*	F, R	General	
City Council	51	Fossella, Vito	_	_	
City Council	51	Pocchia, Anthony J.	D, I	General	
	* = General Election	n Winner (NP) = Nor	n-Participant	•	

AD = American Dream	FU = Fusion	NL = New Alliance
BS = Better Schools	GP = Green Party	R = Republican
C = Conservative	I = Independent	RTL = Right to Life
D = Democrat	L = Liberal	S = Socialist
F = Freedom	LIB = Libertarian	SIS = Staten Island Secession
FRUN = Friends United	MRP = Marijuana Reform	

# Special Election: 1997A Council District 17

## I Limits and Requirements

**Contribution Limit** 

City Council

\$1,775

Expenditure Limit

City Council

\$124,000

Threshold Requirements			
	City Council		
Amount	\$5,000		
# Contributors 50 (from district)			

Maximum Public Funds

City Council

\$40,000

### II Public Funds Disbursed

City Council \$0

# III Program Participants

Borough/CD	Candidate	Party	Ballot Status
CD 17	Espada, Pedro G.	Non-Partisan	Terminated
CD 17	Perez, Federico*	Non-Partisan	On Ballot
* = Election Winner		(NP) = Nor	-Participant

# Special Election: 1999A Council Districts 3, 48 & 50

## I Limits and Requirements

**Contribution Limit** 

City Council

\$2,500

Expenditure Limit

City Council

\$137,000

Threshold Requirements			
City Council			
Amount	\$5,000		
# Contributors 50 (from district)			

Maximum Public Funds

City Council

\$75,350

### II Public Funds Disbursed

City Council

\$788,554

Borough/CD	Candidate	Party	Ballot Status	
CD 3	D 3 Lees, Aubrey Non-Partisan		On Ballot	
CD 3	Lynn, Christopher	Non-Partisan	On Ballot	
CD 3	Manzano, Carlos	Non-Partisan	On Ballot	
CD 3	Murawski, Bill	Non-Partisan	Off Ballot	
CD 3	Quinn, Christine C.*	Non-Partisan	On Ballot	
CD 48	Dweck, Joseph	Non-Partisan	Off Ballot	
CD 48	Fintz, Alan S.	Non-Partisan	Off Ballot	
CD 48	Goldberg, Israel	Non-Partisan	Off Ballot	
CD 48	Kamaras, Philip	Non-Partisan	Off Ballot	
CD 48	Kramer, Philip	Non-Partisan	On Ballot	
CD 48	Levin, Lew Y.	Non-Partisan	Off Ballot	
CD 48	Nelson, Michael C.*	Non-Partisan	On Ballot	
CD 48	Sclar, Alan	Non-Partisan	On Ballot	
CD 50	Hikind, Libby	Non-Partisan	On Ballot	
CD 50	Oddo, James S.*	Non-Partisan	On Ballot	
CD 50	Sollazzo, John	Non-Partisan	On Ballot	
* = Elec	* = Election Winner		n-Participant	

# III Program Participants

# Council Elections: 1999 Council Districts 3, 4, 48 & 50

### I Limits and Requirements

**Contribution Limit** 

City Council

\$2,500

Expenditure Limit

City Council

\$137,000

Threshold Requirements			
City Council			
Amount	\$5,000		
# Contributors 50 (from district)			

Maximum Public Funds

City Council

\$75,350

### II Public Funds Disbursed

City Council

\$272,961

Borough/CD	Candidate	Party	Ballot Status
CD 3	Quinn, Christine C.*	Non-Partisan	On Ballot
CD 3	Moskowitz, Eva S.*	Non-Partisan	On Ballot
CD 48	Fintz, Alan S.	Non-Partisan	Off Ballot
CD 48	Levin, Lew Y.	Non-Partisan	On Ballot
CD 48	Nelson, Michael C.*	Non-Partisan	On Ballot
CD 48	Oddo, James S.*	Non-Partisan	On Ballot
CD 48	Sollazzo, John	Non-Partisan	On Ballot
* = Election Winner		(NP) = Non	-Participant

# III Program Participants

# 2001 Citywide Elections

I Members of the Board

Joseph A. O'Hare, S.J., Chairman Alfred C. Cerullo, III Dale C. Christensen, Jr. Pamela Jones Harbour Joseph Potasnik

### II Limits and Requirements

Contribution Limits <sup>46</sup>					
Mayor	Comptroller	Public Advocate	Borough President	City Council	
\$4,500	\$4,500	\$4,500	\$3,500	\$2,500	

Expenditure Limits <sup>47</sup>							
	Mayor	Comptroller	Public Advocate	Borough President	City Council		
Primary	\$5,231,000	\$3,270,000	\$3,270,000	\$1,177,000	\$137,000		
General	\$5,231,000	\$3,270,000	\$3,270,000	\$1,177,000	\$137,000		

<sup>47</sup> See An Election Interrupted, Part I, p. 4.

<sup>&</sup>lt;sup>46</sup> New York City Campaign Finance Board. An Election Interrupted: The Campaign Finance Program and the 2001 New York City Elections (September 2002), Part I, p. 4.

Threshold Requirements48						
	Mayor	Comptroller	Public Advocate	City Council		
Amount	\$250,000	\$125,000	\$125,000	\$5,000		
# Contributors	1,000	500	500	50		

Borough President Threshold Requirements								
	Bronx Brooklyn Manhattan Queens Staten Island							
Amount	\$26,653	\$49,307	\$30,744	\$44,588	\$10,000			
# Contributors	tors 100 100 100 100 100							

Maximum Public Funds <sup>49</sup>					
Mayor	Comptroller	Public Advocate	Borough President	City Council	
\$2,877,050	\$1,798,500	\$1,798,500	\$647,350	\$75,350	

<sup>&</sup>lt;sup>48</sup> See An Election Interrupted, Part I, p. 4.

<sup>&</sup>lt;sup>49</sup> See *An Election Interrupted*, Part I, p. 4.

	Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
Participant Total	17	2	10	22	301	<b>353</b> <sup>51</sup>
Participants on Ballot	10	2	7	20	241	280

### III Number of 2001 Program Participants<sup>50</sup>

### IV Public Funds Disbursed<sup>52</sup>

Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
\$12,862,667	\$2,574,112	\$5,416,438	\$6,923,341	\$13,768,870	\$41,545,428

### V Relevant Acts and Rule Changes

The formula for public fund matching • went from a \$1-to\$1 rate for contributions of up to \$1,000 to a \$4-to-\$1 rate for contributions of up to \$250. Originally, the \$4-to-\$1 rate had been tied to a candidate's refusal of all corporate contributions. However, the 1999 City Charter revision banned all corporate contributions. The Giuliani administration interpreted this ban as a cancellation of the \$4-to-\$1 rate while the Board took the opposite position. The Board argued that the original \$4-to-\$1rate had been implemented as a matter of sound public policy beyond the simple issue of corporate contributions and that, therefore, the

<sup>50</sup> See An Election Interrupted, Part I, p. 13.

<sup>&</sup>lt;sup>51</sup> This total includes one undeclared candidate.

<sup>&</sup>lt;sup>52</sup> See An Election Interrupted, Volume I, p. 106.

matching rate should remain \$4-to\$1 for *all* participants of the Program. The Giuliani administration filed suit against the Board for implementing the \$4-to\$1 rate and vetoed City Council action on the matter. However, the subsequent City Council vote to override the mayor's veto finally settled the issue.<sup>53</sup>

- VI Major News Stories
- 1. Jim Dwyer, "Rudy's Gulag Growing: Campaign Board Exiled from Manhattan," Daily News, February 4, 1999, p. 12.
- 2. "Keep Campaigns Clean," *Daily News* Editorial, January 28, 2001, p. 34.
- 3. "Mayor Giuliani's Damaging Lawsuit," *New York Times* Editorial, January 27, 2001, p. A14.
- 4. Eric Lipton, "Candidates Join Forces Over Suit: They Vow to Protect Campaign Funding," *New York Times*, February 16, 2001, p. B3.
  - These articles chronicle a sensitive period in relations between Mayor Guiliani and the Board. At the time, Giuliani was still troubled about the fine assessed to his 1997 campaign. In a move of tactical vengeance, the City's budget office bounced a candidate's public funds check from the CFB – the city's first check in history to bounce. This was followed by plans to move the Board's offices to an obscure and dilapidated

<sup>&</sup>lt;sup>53</sup> See An Election Interrupted, Part I, p. 3.

space in Brooklyn. Relations continued to sour as Giuliani opposed the \$4-to-\$1 matching funds that had been legislated for the 2001 elections. While he vetoed the City Council vote reinforcing the matching rate, his veto was overridden thereby mooting the lawsuit he had filed against the CFB in an attempt to overturn the vote. He then filed suit against the city in an attempt to overturn the matching rate. Public outcry against Giuliani's suit coupled with the force of prominent candidates vowing to support the Program, ultimately protected the enhanced \$4-to-\$1 rate.

- Chris Hedges, "Campaign Finance and the Campaign of Achilles," *New York Times*, April 26, 2001, p. B2.
  - This interview of Nicole A. Gordon, Executive Director of the CFB, appeared in the "Public Lives" section of the Times.
- 6. Diane Cardwell, "Bloomberg Continues Retreat From Campaign Commercial," *New York Times*, June 6, 2001, p. A27.
  - Mayor candidate Michael Bloomberg distanced himself from remarks made in a commercial that seemed to criticize candidates for partaking in the Program and accepting public funds. To reclaim his position on the matter, he declared that he would "absolutely" join the Program if he was not already able to finance his own campaign.

- 7. "The Bloomberg Factor," *New York Times* Editorial, July 21, 2001, p. A14.
  - This editorial outlines the possible consequences of running against a self-financed candidate like Bloomberg: instead of reducing the taxpayer's burden, his free spending could trigger the removal of expenditure limits thereby increasing the Program's price tag.
- 8. Adam Nagourney, "Finance Ruling Denies Hevesi Matching Cash," *New York Times*, August 7, 2001, p. A1.
  - Leading up to the Democratic primaries, the Board decided to withhold public funds from the Alan Hevesi mayoral campaign. The Board questioned the services of Mr. Hank Morris, campaign consultant, who claimed that he was working on the campaign free of charge. However, as he was the sole owner of his firm, the Board considered his services the equivalent of a corporate contribution, banned under law. The Hevesi campaign finally agreed to provide information to the Board that would qualify Mr. Morris' services as expenditure.
- 9. Evan A. Davis, "Despite Mike's Bucks Finance Law Worked," *Daily News*, November 19, 2001, p. 41.
  - This piece takes the position that the Program made it possible for participant Mark Green to run a fair and competitive campaign for mayor against Michael

Bloomberg's self-financed and unregulated campaign.

- 10. Tom Robbins, "Someone Else's Money," *Village Voice*, August 28, 2002-September 3, 2002, p. 22.
  - This article details the case of Sheldon Leffler in his race for the Queens Borough presidency. Rita Stark, a family friend and major Queens real estate holder, had contributed up to 40 individual checks to Leffler's campaign using the names of tenants and employees. The fraudulent checks were then submitted for matching funds. Prosecutors claim Leffler knowingly colluded with Stark, and had tape recorded conversations to prove it. Leffler was convicted in January 2004 of conspiracy, attempted grand larceny, forgery, and filing false documents. He was sentenced to five years of probation, ordered to pay a \$5,000 fine, and perform 540 hours of community service.

### VII Major Court/Criminal proceedings

- See the summary of the Leffler case above.
- Herman Badillo's mayoral campaign filed a law suit against the CFB for denying him threshold qualification. The Board staff denied Badillo public funds because disclosure statements were not filed with the required back-up documentation by the designated deadline. The Board decided to uphold its staff's decision.

After the suit had been filed, the New York County Supreme Court also upheld the Board's determination.<sup>54</sup>

- Elizabeth Crowley's campaign for Council District #30 was questioned for contributions that carried suspicious signatures on their accompanying contribution cards. The Board had decided to delay public funds payment for a short time while the matter was resolved and appropriate documentation was obtained. However, the Crowley campaign immediately filed suit in Queens County against the CFB for delaying public funds payments. Ultimately, the CFB reviewed the necessary documentation and made the payment; the suit was discontinued.
- Pedro Espada, Jr.'s committee for Bronx borough president sought matching funds but was found not to be in full compliance with the Act. Espada was denied matching funds and reacted by filing suit against the CFB in the New York County Supreme Court. The court upheld the CFB's determination.

### VIII Major Accomplishments

 The searchable database on the Website was enhanced to include expenditures, intermediaries, public fund payments, monetary transfers, loans, liabilities, partners, subcontractors, and affiliated contributions in addition to contributions

<sup>&</sup>lt;sup>54</sup> See An Election Interrupted, Part I, p. 136.

which had already been tracked on the Website.<sup>55</sup>

#### IX Enforcement

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As mentioned above, the Hevesi campaign was offered unusually generous volunteer assistance from its consultant, Hank Morris, including consulting services and donated office space in his firm's offices. In fact, while Morris was not directly contracted for services, his firm Morris, Carrick & Guma, was hired by the Hevesi campaign for consulting work. Morris argued before the Board that he was constitutionally entitled to volunteer for the Hevesi campaign. The Board found, however, that Morris had never worked for a candidate outside the services of his firm and that as long as the firm was being paid in some capacity, Morris's help would be considered a corporate in-kind contribution. This, then, constituted a violation of the contribution limit as well as the ban on corporate contributions. While the matter was pending, the Board withheld \$2.5 million in public funds owed to the campaign. Ultimately, Morris provided documentation that exemplified typical payments; this was then reflected in an agreement by the Hevesi campaign to pay an additional \$250,000 to the Morris firm. The Board then released public fund payments to the campaign.<sup>56</sup>

<sup>&</sup>lt;sup>55</sup> See An Election Interrupted, Executive Summary, p. 17.

<sup>&</sup>lt;sup>56</sup> See An Election Interrupted, Part I, p. 134.

#### X Budget<sup>57</sup>

Personal Services	Other than Personal Services	Public Fund	Voter Guide	Total
\$4,177,273	\$3,724,810	\$63,300,000	\$6,000,000	\$77,202,083

#### XI Major Publications

**An Election Interrupted...An Election Transformed** (September 2002) – A report on the performance of the Campaign Finance Program in the 2001 citywide elections.

#### A Note on September 11th

The events of September 11, 2001 played a role in CFB operations as it did all across New York City and the nation. The Primary election was scheduled for September 11, 2001 but after the day's tragedy was postponed for two weeks until September 25. The CFB's downtown offices, located just three blocks from Ground Zero, had to remain empty for seven weeks as the area was deemed a "frozen zone." During that time, Fordham University generously offered office space for CFB staff. Despite reduced access to the normal resources of the CFB offices and the unusual rescheduling of the primary, staff was able to monitor candidates, distribute funds, and run the debate program for citywide candidates throughout the 2001 citywide elections.

<sup>&</sup>lt;sup>57</sup> Please note that while this budget holds true for the 2001 election cycle, the actual budget allotment occurs in fiscal year 2002.

2001 Participants L	2001 Participants List <sup>58</sup>					
Office	Borough/CD	Candidate	Party	Ballot Status		
Mayor		Badillo, Herman	R	Primary		
Mayor (NP)		Bloomberg, Michael*	R	Primary, General		
Mayor		Cohen, Michael	_	_		
Mayor		Ferrer, Fernando	D	Primary		
Mayor		Fulani, Lenora B.	_	_		
Mayor		Golding, Kenneth B.	A	General		
Mayor		Green, Mark	D, WF	Primary, General		
Mayor		Gronowicz, Anthony	_	_		
Mayor		Hevesi, Alan G.	D, BS, L	Primary, General		
Mayor		Hewes, Henry F.	_	_		
Mayor		Iwachiw, Walter N.	_	_		
Mayor		Kramer, Kenny	LIB	General		
Mayor		Leighton, Thomas K.	MRP	General		
Mayor		McMillan, Jimmy	-	_		
Mayor		Ruano-Melendez, Eric	-	_		
	* = General Election	n Winner (NP) = Nor	n-Participant			

Office	Borough/CD	Candidate	Party	Ballot Status
Mayor		Spitz, George N.	D	Primary
Mayor		Vallone, Peter F.	D	Primary
Mayor		Willebrand, Julia A.	GP	Primary, Genera
Comptroller		Berman, Herbert E.	D, L	Primary, Genera
Comptroller		Thompson, William C.*	D, WF	Primary, Genera
Borough President	Bronx	Carrion Jr., Adolfo*	D, WF	Primary, Genera
Borough President	Bronx	Eisland, June M.		Primary
Borough President	Bronx	Espalda Jr., Pedro	D	Primary
Borough President	Bronx	Law, Ronald	L	General
Borough President	Bronx	Warden, Lawrence A.	_	_
Borough President	Brooklyn	Fisher, Kenneth K.	D, L	Primary, Genera
Borough President	Brooklyn	Gadson, Jeanette	D	Primary
Borough President	Brooklyn	Markowitz, Marty*	D	Primary, Genera
Borough President	Brooklyn	Nunes-Ueno, Paulo	GP	General
Borough President	Manhattan	Fields, C. Virginia*	D, L	General

<sup>&</sup>lt;sup>58</sup> The participant list was gathered from a combination of sources: Appendices A, B, and C from An Election Interrupted, as well as participant, candidate committee, and ballot status reports generated from the Campaign Finance Information Systems Database available at CFB offices.

2001 Participants List <sup>58</sup> (continued)					
Office	Borough/CD	Candidate	Party	Ballot Status	
Borough President	Manhattan	Fields, Jessie	I	General	
Borough President	Manhattan	Maio, Danniel S.	R	General	
Borough President	Queens	Gresser, Carol A.	D	Primary	
Borough President	Queens	Leffler, Sheldon S.	R	General	
Borough President	Queens	Marshall, Helen M.*	D, L, WF	Primary, General	
Borough President	Queens	Stabile, Alfonso C.	C, R	General	
Borough President	Queens	Williams-Periera, Dorothy	GP	General	
Borough President	Queens	Zambrana, Haydee	_	_	
Borough President	Staten Island	Molinaro, James P.*	R, C, RTL	Primary, General	
Borough President	Staten Island	O'Donovan, Jerome X.	D, WF	General	
Borough President	Staten Island	Straniere, Robert A.	R, I	Primary, General	
Borough President	Staten Island	Walker, John P.	L	General	
Public Advocate		Colon, Willie	D	Primary	
Public Advocate		DiBrienza, Stephen	D	Primary	
Public Advocate		Flaxman, Sheila S.	D, FU	Primary, General	
	* = General Election	n Winner (NP) = Nor	n-Participant		

2001 Participants List <sup>58</sup> (continued)					
Office	Borough/CD	Candidate	Party	Ballot Status	
Public Advocate		Freed, Kathryn E.	D	Primary	
Public Advocate		Gotbaum, Betsy*	D	Primary, General	
Public Advocate		Murawski, Bill	_	-	
Public Advocate		Siegal, Norman H.	D	Primary	
Public Advocate		Srdanovic, Anton	_	-	
Public Advocate		Stringer, Scott M.	D, L	Primary, General	
Public Advocate		Zumbluskas, Michael K.	_	-	
City Council	1	Chin, Margaret S.	D, L	Primary, General	
City Council	1	Chin, Rockwell J.	D, WF	Primary, General	
City Council	1	Fratta, John A.	D	Primary	
City Council	1	Gerson, Alan J.*	D	Primary, General	
City Council	1	Hoylman, Brad M.	D	Primary	
City Council	1	Hui, Kwong T.	D, GP, I	Primary, General	
City Council	1	Kaufman, Jordan	R	General	
City Council	2	Fabozzi, Albert	_	-	
	* = General Election	n Winner (NP) = Nor	n-Participant		

2001 Participants List <sup>58</sup> (continued)					
Office	Borough/CD	Candidate	Party	Ballot Status	
City Council	2	Golub, Jay S.	I, R	General	
City Council	2	Lopez, Margarita*	D, WF	Primary, General	
City Council	2	Wilson, Jay R.	D	Primary	
City Council	3	Bouchard, Michelle	I, R	General	
City Council	3	Mendolia, Victor	-	_	
City Council	3	Quinn, Christine C.*	D, WF	General	
City Council	4	Doukas, Peter G.	D	Primary	
City Council	4	Moskowitz, Eva S.*	D, I, L	Primary, General	
City Council	4	Norman, Michael	_	_	
City Council	4	Viest, Nicholas, D.	R	General	
City Council	5	Lesczynski, James L.	LIB	General	
City Council (NP)	5	Miller, A. Gifford*	D, L, WF	General	
City Council	6	Brewer, Gale A.	D, GP, L, WF	Primary, General	
City Council	6	Brown, Michael E.	D	Primary	
City Council	6	Foley, Ronald G.	D	Primary	
	* = General Election	n Winner (NP) = No	n-Participant		

2001 Participants List <sup>58</sup> (continued)					
Office	Borough/CD	Candidate	Party	Ballot Status	
City Council	6	Haber, Jason S.	D	Primary	
City Council	6	Herz, David R.	R	General	
City Council	6	Lewis, Anna R.	D, I	Primary, General	
City Council	6	Sauer, Larry M.	D	Primary	
City Council	6	Snyder, Gary D.	LIB	General	
City Council	7	Adams, Jackie R.	D	Primary	
City Council	7	Bernace, Victor A.	D	Primary	
City Council	7	Bloodshaw, Daryl G.	D	Primary	
City Council	7	Dotson, Heyward H.	D	Primary	
City Council	7	Hodge, Allen M.	-	-	
City Council	7	Hughes, Michael B.	D	Primary	
City Council	7	Jackson, Robert*	D, WF	Primary, General	
City Council	7	Levine, Mark D.	D	Primary	
City Council	7	Morillo, Pedro	D	Primary	
City Council	7	Spencer, Tony	D	Primary	
	* = General Election	n Winner (NP) = Nor	n-Participant		

2001 Participants Lis	2001 Participants List <sup>58</sup> (continued)					
Office	Borough/CD	Candidate	Party	Ballot Status		
City Council	7	Torres, Mario A.	D, L	Primary, General		
City Council	8	Falcon-Lopez, Miriam T.	I, L	General		
City Council	8	Luciano, Felipe	D	Primary		
City Council	8	Marcial, Edwin	_	-		
City Council	8	Reed, Philip*	D, WF	Primary, General		
City Council	9	Allen, William A.	D	Primary		
City Council	9	McCleary, Michelle L.	I	General		
City Council	9	Perkins, Bill*	D, WF	Primary, General		
City Council	9	Walker, Willie	D, R	Primary, General		
City Council	10	Arache, Ramon L.	_	-		
City Council	10	Casellanos, Francesca M.	D	Primary		
City Council	10	Lizardo, Roberto E.	D	Primary		
City Council	10	Martinez, Miguel*	D, L	Primary, General		
City Council	10	Morisete-Romero, Victor	D	Primary		
City Council	10	Pina, Tirso S.	D	Primary		
	* = General Election	n Winner (NP) = Nor	n-Participant			

2001 Participants Lis	t <sup>58</sup> (continued)			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	10	Puello, Giovanni	D, I	Primary, General
City Council	10	Rodriguez, Ydanis A.	D, WF	Primary, General
City Council	10	Vargas, Ruben D.	D	Primary
City Council	10	Weiss, Steven I.	_	-
City Council	11	Bastone, Terry A.	D	Primary
City Council	11	Imprescia, Richard V.	C, R	General
City Council	11	Koppell, G. Oliver*	D	Primary, General
City Council	11	Spalter, Laura	D	Primary
City Council	11	Vogel, Mark H.	D	Primary
City Council	12	Brooks, Curtis	C, I	General
City Council	12	Saunders, Shirley J.	D	Primary
City Council	12	Seabrook, Larry B.*	D	Primary, General
City Council	12	Toppin, Roger N.	D	Primary
City Council	13	Fasolino, Neil L.	_	-
City Council (NP)	13	Provenzano, Madeline*	D	Primary, General
	* = General Election	n Winner (NP) = Nor	n-Participant	

2001 Participants List <sup>58</sup> (continued)					
Office	Borough/CD	Candidate	Party	Ballot Status	
City Council	13	Sementilli, Egidio	D	Primary	
City Council	13	Wilson, John H.	C, I, R	General	
City Council	14	Alamo-Estrada, Agustin	D, C, FU	Primary, General	
City Council	14	Baez, Maria*	D	Primary, General	
City Council	14	Martinez, Israel	_	_	
City Council	14	Pademacht, Daniel A.	-	_	
City Council	14	Ruiz Jr., Israel	D	Primary	
City Council	14	Villaverde, Sergio	D, I	Primary, General	
City Council	14	Williams, Charles	D	Primary	
City Council	15	Ortiz Jr., Edwin O.	D, C, I	Primary, General	
City Council	15	Rivera, Joel*	D	Primary, General	
City Council	16	Benjamin, Michael A.	D, GP, WF	Primary, General	
City Council	16	Curry Anthony	D, C, I	Primary, General	
City Council	16	Foster, Helen Diane*	D	Primary, General	
City Council	17	Espada, Pedro G.	D	Primary	
	* = General Election	n Winner (NP) = Nor	n-Participant	-	
Office	Borough/CD	Candidate	Party	Ballot Status	
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City Council	17	Serrano, Jose M.*	D	Primary, Genera	
City Council	18	Diaz, Luis M.	-	_	
City Council (NP)	18	Diaz, Ruben*	D	Primary, Genera	
City Council	18	Jones, Barbara	_	-	
City Council	18	Love, Sandra	_	_	
City Council	18	Montano, Armando	D	Primary	
City Council	18	Rodriguez, Elizabeth	D, GP	Primary, Genera	
City Council	19	Avella, Tony*	D	Primary, Genera	
City Council	19	Cheliotes, Arthur	D, WF	Primary, Genera	
City Council	19	Frank, John D.	D	Primary	
City Council	19	lannece, Jerry M.	D, L	Primary, Genera	
City Council	19	Saffran, Dennis J.	C, I, R	General	
City Council	19	Shepard, Joyce P.	D	Primary	
City Council	20	Chen, Ethel T.	D	Primary	
City Council	20	Chou, Evergreen C.	G	Primary	

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Office	Borough/CD	Candidate	Party	Ballot Status
City Council	20	Duggal, Jarjinder S.	-	-
City Council	20	Flores-Vazquez, Martha	I	General
City Council	20	Graziano, Paul D.	GP	Primary, Genera
City Council	20	Jannaccio, Richard	D	Primary
City Council	20	Joyce, Adrian J.	_	-
City Council	20	Liu, John C.*	D, L, WF	Primary, Genera
City Council	20	Mandell, Linda	-	_
City Council	20	Park, Terence Y.	D	Primary
City Council	20	Scanion, Frances E.	_	-
City Council	20	Walsh, Ryan J.	C, R	General
City Council	21	Bentley, Marvin J.	_	_
City Council	21	Del Villar, Angel	D	Primary
City Council	21	France-Daniels, Mary A.	_	_
City Council	21	Gonzalez-Jarrin, Aida A.	D	Primary
City Council	21	Jimenez, Daniel	_	_

2001 Participants L	ist <sup>58</sup> (continued)			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	21	Monserrate, Hiram*	D, GP, L, WF	Primary, General
City Council	21	Rosero, Luis	D	Primary
City Council	21	Scott, Georgette C.	-	_
City Council	22	Ciafone, John J.	D, L	Primary, General
City Council	22	Kann, Gerald F.	GP	General
City Council	22	Mascitti, Michael A.	I	General
City Council	22	Vallone Jr., Peter F.*	D, C	Primary, General
City Council	22	Vassos, Sandra	R	General
City Council	22	Zapiti, Miki	D	Primary
City Council	23	Sica, Philip T.	C, I, R, RTL	General
City Council	23	Thakral, Jairam D.	D	Primary
City Council	23	Weprin, David*	D, L, WF	Primary, General
City Council	24	Gennaro, James F.*	D	Primary, General
City Council	24	Gottlieb, Jeff	-	_
City Council	24	Grodenchik, Berry	D, WF	Primary, General
	* = General Election	n Winner (NP) = Ne	on-Participant	

2001 Participants L	ist <sup>58</sup> (continued)			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	24	Reich, David	D	Primary
City Council	24	Zett, Lori M.	GP	General
City Council	25	Castro, Frank	_	-
City Council	25	Chan, Louisa M.	D	Primary
City Council	25	Greco Jr., Rudolph E.	D	Primary
City Council	25	Lewis, S. Terry	_	-
City Council	25	Raffaele, Ellen A.	_	-
City Council	25	Schultheis, Magdalena	D, I	Primary, General
City Council	25	Sears, Helen*	D	Primary, General
City Council	25	Van Bramer, James G.	D, WF	Primary, General
City Council	26	Alfattah, Ina M.	_	-
City Council	26	Conley, Joseph T.	D	Primary
City Council	26	Eagan, Ann	GP	General
City Council	26	Farrell, Matthew J.	D	Primary
City Council	26	Gioia, Eric*	D, WF	Primary, General
	* = General Election	n Winner (NP) = Nor	n-Participant	

2001 Participants Li	st <sup>58</sup> (continued)			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	26	Heaphy, Joe M.	_	_
City Council	26	Kearney, Michael G.	D	Primary
City Council	26	O'Malley, Patrick	D	Primary
City Council	27	Comrie, Leroy G.*	D	Primary, General
City Council	27	Cooper-Gregory, Helen	D	Primary
City Council	27	Jenkins, Cynthia	I	General
City Council	27	McKay, Ed	HAR	General
City Council	27	Morgan, Ishmael J.	R	General
City Council	27	Simons, Earl G.	_	_
City Council	27	Smith, Larry	_	_
City Council	28	Andrews Jr., Anthony D.	D, L	Primary, General
City Council	28	Bilal, Aziz	D	Primary
City Council	28	Jenkins, Patrick B.	WF	General
City Council	28	Jennings Jr., Allan W.*	D	Primary, General
City Council	28	Jodha, Rameshwar	R	General
	* = General Election	n Winner (NP) = Nor	n-Participant	

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	28	Kirkland, Dwayne T.	_	_
City Council	28	Marchant, Garth I.	D, FU	Primary, Gener
City Council	28	Rupnarain, Trevor K.	D	Primary
City Council	28	Singh, Inderjit	I	General
City Council	28	Titus, Michele R.	_	-
City Council	28	Younger-Nolan, Carolyn	_	-
City Council	29	Evans, Jeanette	GP	General
City Council	29	Katz, Melinda R.*	D, L, WF	Primary, Gener
City Council	29	Lobo, Rene	R	General
City Council	29	Schulman, Lynn C.	D	Primary
City Council	29	Tiraco, Joseph E.	I	General
City Council	30	Cermeli, Robert	D	Primary
City Council	30	Crowley, Elizabeth S.	D, WF	Primary, Gener
City Council	30	Gallagher, Dennis P.*	C, R, RTL	General
City Council	30	Sansivieri, Linda	D	Primary

2001 Participants Lis	t <sup>58</sup> (continued)			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	31	Blake, James	D	Primary
City Council	31	Brown, Everly D.	FU, R	General
City Council	31	Clarke, Amanda L.	D	Primary
City Council	31	Fadairo, Sikiru A.	_	-
City Council	31	Fullard, Henrietta	D	Primary
City Council	31	Howell, Carol B.	D	Primary
City Council	31	Jefferson, Charlotts R.	D	Primary
City Council	31	Lewis, Edward J.	D, L	Primary, General
City Council	31	Sanders Jr., James J.*	D, WF	Primary, General
City Council	32	Addabbo Jr., Joseph P.*	D	Primary, General
City Council	32	Ariola, JoAnn	C, R, WF	General
City Council	32	Curran, Robert E.	GP	General
City Council	32	Doyle, Joseph P.	_	-
City Council	32	Jorge, Chris	D	Primary
City Council	32	Lutz, Alex P.	_	_
	* = General Election	n Winner (NP) = Nor	n-Participant	

2001 Participants L	ist <sup>58</sup> (continued)			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	32	Macron, John J.	_	_
City Council	32	Seminerio, John	D	Primary
City Council	32	Simon, Lew M.	D	Primary
City Council	33	Cohn, Steven D.	D, L	Primary, General
City Council	33	Daly, Elizabeth R.	_	_
City Council	33	Diamonstone, Kenneth	D, WF	Primary, General
City Council	33	Reiss, David J.	D	Primary
City Council	33	Seeman, Craig A.	GP, I	General
City Council	33	Yassky, David*	D	Primary, General
City Council	34	Martinez, Juan D.	D	Primary
City Council	34	Miranda, Anthony	FU	General
City Council	34	Norman, Edward J.	D	Primary
City Council	34	Pratts, Ruben R.	_	_
City Council	34	Reyna, Diana*	D, I	Primary, General
City Council	35	Baldwin-Ferguson, Imogene	_	_
	* = General Electio	n Winner (NP) = Non	-Participant	

2001 Participants Li	st <sup>58</sup> (continued)			
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	35	Davis, James E.*	D	Primary, General
City Council	35	Hunter, Robert	D	Primary
City Council	35	James, Letitia A.	D, WF	Primary, General
City Council	35	May Jr., Abraham	_	_
City Council	35	Saunders, William J.	D	Primary
City Council	35	Smith-Boyd, Barbara L.	D	_
City Council	35	Wai, Sidique A.	D, I, L	Primary, General
City Council	35	Wasserman, Abraham E.	D	Primary
City Council	35	Williams, Peter M.	D	Primary
City Council	35	Wright, Edward	_	_
City Council	36	Kinard, Stanley	I	General
City Council	36	Vann, Albert*	D	Primary, General
City Council	37	Alonso, Miguel	D	Primary, General
City Council	37	Dilan, Erik M.*	D	Primary, General
City Council	37	Dominguez, Urpiano Rafael	_	_
	* = General Electio	n Winner (NP) = Non	-Participant	

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	37	Hernandez, Louis R.	D	Primary
City Council	37	Martin, Yolanda J.	FRUN	General
City Council	37	Mateo, Heriberto	D	Primary
City Council	37	Perez, Richard	D	Primary
City Council	37	Rivera, Jesse S.	-	-
City Council	37	Romero, Michelle	D, C	Primary, Gener
City Council	37	Taveras, Germania	-	-
City Council	38	Martinez, George	I	General
City Council	38	Rodriguez, Angel*	D	General
City Council	39	Bader, Paul	D	Primary
City Council	39	Banks, Steven R.	D	Primary
City Council	39	Carroll, John W.	D	Primary
City Council	39	de Blasio, Bill*	D	Primary, Gener
City Council	39	Franek, Robert J.	-	-
City Council	39	Hammerman, Craig R.	D	Primary

Office	Borough/CD	Candidate	Party	Ballot Status
City Council	39	Mattera, Gloria	GP	General
City Council	39	Pugliese, Anthony J.	D	Primary
City Council	40	Alleyne, Alithia	D	Primary
City Council	40	Clarke, Yvette D.*	D, L	Primary, Genera
City Council	40	Cook, Kennth E.	С	General
City Council	40	Poisson, Lola	I	General
City Council	40	Purcell, Frances G.	D	Primary
City Council	40	Roberts, Edwards A.	D	Primary
City Council	40	Sharpe, Wellington	D	Primary
City Council	40	Sulker, Myrna E.	_	-
City Council	40	Thomas, Carl W.	_	-
City Council	40	Vernet, Jean D.	D, WF	Primary, Genera
City Council	40	Whitney, W. Ruth	_	-
City Council	41	Boyland, Tracy	D, I	General
City Council	41	Detiege-Cormier, Roy-Pierre	_	_

Office	Dereush (CD	Condidata	Derter	Dellat Status
Office	Borough/CD	Candidate	Party	Ballot Status
City Council	41	Farrakhan, Abdur Rahman	-	-
City Council	41	Miller, David R.	_	_
City Council	42	Barron, Charles*	D, WF	Primary, Genera
City Council	42	Faison, Barbara	D	Primary
City Council	42	Jackson, Gregory	D	Primary
City Council	42	Jeffries-El, Joseph	D	Primary
City Council	42	Lewis, Prince D.	D	Primary
City Council	42	Wooten, Donald T.	D, I	Primary, Genera
City Council	43	Ferraro, Arnaldo A.	-	-
City Council	43	Gangemi, Ursula A.	D	Primary
City Council	43	Golden, Martin J.*	C, I, R	General
City Council	43	Scissura, Carlo A.	D	Primary
City Council	43	Seminara, Joanne	D, GP, L, WF	Primary, Genera
City Council	44	Aboulafia, Sandy	D	Primary
City Council	44	Felder, Simcha*	D	Primary, Genera

2001 Participants List <sup>58</sup> (continued)				
Office	Borough/CD	Candidate Party Ba		Ballot Status
City Council	44	Miller, Robert J.	D, L	Primary, General
City Council	44	Spirgel, Samuel C.	D, C	Primary, General
City Council	45	Alexis, Anthony	_	_
City Council	45	Hylton, Christian B.	D	Primary
City Council	45	Palmer, Samuel E.	D	Primary
City Council	45	Parker, Kevin	D	Primary
City Council	45	Stewart, Kendall B.* D, I		Primary, General
City Council	45	Taitt, Samuel A. D		Primary
City Council	45	Toney, Vaughan	D	Primary
City Council	46	Atwood Kind, Elizabeth	D	Primary
City Council	46	Fidler, Lewis A.*	D	Primary, General
City Council	46	Greenwood, Doreen A.	D, C	Primary, General
City Council	46	Levy, Abraham D		Primary
City Council	46	Rufen-Blanchette, Raymond H. –		-
City Council	46	Taliaferro, Phyllis	I	General
	* = General Election Winner (NP) = Non-Participant			

Office	Borough/CD	Candidate	Party	Ballot Statu
City Council	46	Weir, Elias J.	L	General
City Council	47	Brook Krasny, Alec	D	Primary
City Council	47	Davis, Alfreida	_	-
City Council	47	Gotlieb, Brian L.	_	-
City Council	47	Gutnik, Oleg	R, C	Primary, Gene
City Council	47	Hochhauser, Joseph K.	D, I, WF	Primary, Gene
City Council	47	Lasher, Susan	D	Primary
City Council	47	Michaels, Joel M.	_	-
City Council	47	Recchia Jr., Domenic*	D	Primary, Gene
City Council	47	Sanchez, Cynthia M.	GP	General
City Council	47	Singer, Pat	D, L	Primary, Gener
City Council	47	Stavitsky, Inna	D	Primary
City Council	48	Fintz, Alan S.	-	-
City Council	48	Nelson, Michael C.*	D, L	General
City Council	49	Cammarata, Joseph F.	C, R, RTL	General

2001 Participants List <sup>58</sup> (continued)				
Office	Borough/CD	Candidate Party B		Ballot Status
City Council	49	Del Giomo, Jon R.	D	Primary
City Council	49	McMahon, Michael E.*	D, WF	Primary, General
City Council	49	Rose, Deborah L.	D, L	Primary, General
City Council	50	Hitkind, Libby N.	D, I, L, WF	Primary, General
City Council	50	Oddo, James S.*	C, R, RTL	General
City Council	50	Wein, Joseph Funicell	D	Primary
City Council	51	DeMarco Jr., Alexander	D	Primary
City Council	51	Fiala, Stephen J.	-	_
City Council	51	Lanza, Andrew J.*	D, C, R, RTL	Primary, General
City Council	51	Larson, Carl P.	-	_
City Council	51	Lepore, Louis P.	-	_
City Council	51	Moran, Janey	D, WF	General
City Council	Undeclared	Cruz, Lucy	-	_
	* = General Election Winner (NP) = Non-Participant			

<sup>&</sup>lt;sup>58</sup> The participant list was gathered from a combination of sources: Appendices A, B, and C from An Election Interrupted, as well as participant, candidate committee, and ballot status reports generated from the Campaign Finance Information Systems Database available at CFB offices.

AD = American Dream	FU = Fusion	NL = New Alliance
BS = Better Schools	GP = Green Party	R = Republican
C = Conservative	I = Independent	RTL = Right to Life
D = Democrat	L = Liberal	WF = Working Families
F = Freedom	LIB = Libertarian	
FRUN = Friends United	MRP = Marijuana Reform	

## Special Election: 2001A Council District 15

#### I Limits and Requirements

**Contribution Limit** 

City Council

\$1,250

Expenditure Limit

City Council

\$137,000

Threshold Requirements		
City Council		
Amount	\$5,000	
# Contributors	50 (from district)	

Maximum Public Funds

City Council

\$75,350

#### II Public Funds Disbursed

City Council

\$91,388

## III Program Participants

Borough/CD	Candidate	Party	Ballot Status
CD 15	Munoz, Julio E.	Non-Partisan	Terminated
CD 15	Ortiz Jr., Edwin O.	Non-Partisan	On Ballot
CD 15	Rivera, Joel*	Non-Partisan	On Ballot
* = Election Winner		(NP) = Nor	n-Participant

# Special Election: 2002A Council District 38

### I Limits and Requirements

**Contribution Limit** 

City Council

\$1,375

Expenditure Limit

City Council

\$150,000

Threshold Requirements		
City Council		
Amount	\$5,000	
# Contributors	50 (from district)	

Maximum Public Funds

City Council

\$82,500

#### II Public Funds Disbursed

City Council

\$119,600

## III Program Participants

Borough/CD	Candidate	Party	Ballot Status
CD 38	Gonzalez, Sara M.*	Non-Partisan	On Ballot
CD 38	Nieves, Javier A.	Non-Partisan	Terminated
CD 38	Rodriguez, Edward	Non-Partisan	On Ballot
* = Election Winner		(NP) = Nor	n-Participant

# Special Elections: 2003A Council Districts 18 & 43

#### I Limits and Requirements

**Contribution Limit** 

City Council

\$1,375

Expenditure Limit

City Council

\$150,000

Threshold Requirements		
City Council		
Amount	\$5,000	
# Contributors	50 (from district)	

Maximum Public Funds

City Council

\$82,500

#### II Public Funds Disbursed

City Council

\$475,948

Borough/CD	Candidate	Party	Ballot Status
CD 18	Espada Jr., Pedro*	Non-Partisan	On Ballot
CD 43	Capano, Robert	Non-Partisan	Terminated
CD 43	Gentile, Vincent*	Non-Partisan	On Ballot
CD 43	Harrison, Stephen	Non-Partisan	On Ballot
CD 43	Maio, Danniel	Non-Partisan	Off Ballot
CD 43	O'Keefe, Rosemarie	Non-Partisan	On Ballot
CD 43	Scissura, Carlo	Non-Partisan	On Ballot
CD 43	Seminara, Joanne	Non-Partisan	On Ballot
* = Election Winner		(NP) = Non	-Participant

## III Program Participants

# 2003 City Council Elections

### I Limits and Requirements

**Contribution Limit** 

City Council

\$2,750

Expenditure Limit			
	Mayor City Council		
Primary	\$5,231,000	\$137,000	
General	\$5,231,000	\$137,000	

Threshold Requirements		
City Council		
Amount	\$5,000	
# Contributors	75 (from district)	

Maximum Public Funds City Council \$82,500

#### II Public Funds Disbursed (as of 5/25/04)

City Council \$475,948

### **III** Major Publications

**City Council Elections** (September 2004) – A report on the performance of the Campaign Finance Program in the 2003 city council elections.

Council District	District Candidate Party		Ballot Status
CD 1	Elliot, Seth	I, R	General
CD 1	Gerson, Alan J.*	D, WF	Primary, General
CD 1	Gleason, Peter	D	Primary
CD 2	Golub, Jay S. I, R		General
CD 2	Lopez, Margarita	z, Margarita D Gene	
CD 2	Martinez, Mildred D		-
CD 2	Schriffen, Gerard	D –	
CD 2	Spuches, Christopher	_	Terminated
CD 3	Evans, Stephen	R, I, C	General
* = Election Winner		(NP) = Nor	-Participant

#### **IV** Program Participants

[			Γ
Council District	Candidate Party		Ballot Status
CD 3	Quinn, Christine C.*	D, WF	General
CD 4	Moskowitz, Eva S.*	D, I	Primary, General
CD 5	Arangio, Jennifer	R, I, C	Primary, General
CD 5	Bondy, Matthew	_	Terminated
CD 5	Miller, A. Gifford*	D, WF	General
CD 6	Brewer, Gale A.*	D, WF	General
CD 6	Yablon, Joshua	I, R, C, WSL	General
CD 7	Bernace, Victor A.	D	Primary
CD 7	Cox, Allen	I	General
CD 7	Draves, Greg	R	General
CD 7	Jackson, Robert*	D, WF	Primary, General
CD 8	Johnson, Joyce	, Joyce – Termir	
CD 8	Luciano, Felipe	_	Terminated
CD 8	Marcial, Edwin	D, R	Primary, General
CD 8	Mark-Viverito, Melissa	D	Primary
CD 8	Montalvo, Joseph	_	Terminated
CD 8	Reed, Philip*	D, WF	Primary, General
CD 8	Rosado, Felix	D Primary	
* = Election Winner		(NP) = Nor	n-Participant

Council District	Candidate	Party	Ballot Status
CD 8	Vargas, Ruben	D	Primary
CD 9	Cornelius, Yasmin	_	Terminated
CD 9	Johnson, Geoffrey	D	Primary
CD 9	Montague, Virginia	D	Primary
CD 9	Morrisey, Keisha	R, I	General
CD 9	Perkins, Bill*	D, WF	Primary, General
CD 10	Figueroa, Felix	R, I, C	General
CD 10	Lizardo, Roberto E.	D, R	General
CD 10	Martinez, Miguel*	D	Primary, General
CD 10	Rodriguez, Ydanis A.	D	Primary
CD 11	Koppell, G. Olover*	D, WF	General
CD 12	Saunders, Shirley J. D		Primary
CD 12	Seabrook, Larry B.*	D	Primary, General
CD 13	Betancourt, Ismael	D	Primary
CD 13 (NP)	Provenzano, Madeline*	D	Primary, General
CD 13	Sementilli, Edigio	D, C	Primary, General
CD 14	Baez, Iris	D	Terminated
CD 14	CD 14 Baez, Maria* D, WF Prima		Primary, General
* = Election Winner		(NP) = N	on-Participant

[			
Council District	Candidate	Candidate Party	
CD 14	Ruiz Jr., Israel	D	Primary
CD 15	Rivera, Joel*	D, WF	General
CD 15	Rodriguez, Luana	C, I, D	General
CD 16	Deponce, Carmen	D	Terminated
CD 16	Foster, Helen Diane*	D, R, WF	General
CD 17	Alamo-Estrada, Agustin	D, C	Primary, General
CD 17	Serrano, Jose M.*	D, WF	Primary, General
CD 18	Palma, Annabel*	D, WF	Primary, General
CD 19	Avella, Tony*	D, WF	General
CD 19	Ragusa, Philip	R, C, I	General
CD 20	Liu, Jay	D	Terminated
CD 20	Liu, John C.*	D, I, WF	Primary, General
CD 20	Ohanian, Kim	_	Terminated
CD 20	Sasson, Isaac	_	_
CD 21	Monserrate, Hiram*	D, I, WF	Primary, General
CD 21	Ruano-Melendez, Eric	_	_
CD 22	Kann, Gerald F.	GP	General
CD 22	CD 22 Vallone Jr, Peter F.*		General
* = Election Winner		(NP) = Nor	n-Participant

Council District	Candidate	Party	Ballot Status
CD 23	Horowitz, William	R, C	General
CD 23	Weprin, David*	D, WF	General
CD 24	Fisher, Florence	D	Primary
CD 24	Gennaro, James F.*	D, WF	Primary, General
CD 24	Reich, David	D	Primary
CD 25	Sears, Helen*	D	General
CD 26	Conley, Joseph T.	D	Primary
CD 26	Gioia, Eric*	D, WF	General
CD 26	Hurley, Patrick	R, C	General
CD 26	Iwachiw, Walter	D	Terminated
CD 27	Comrie, Leroy G.*	D, I, WF	Primary, General
CD 27	Cooper-Gregory, Helen	D	Primary
CD 27	Duran, Martina	_	_
CD 27	Jackson, Stephen	D	Terminated
CD 28	Jennings Jr., Allan W.*	D, C	Primary, General
CD 28	Jones, Stephen	D	Terminated
CD 28	Marchant, Garth I.	D	_
CD 28	CD 28 Reddick, Yvonne		Primary
* = Elect	* = Election Winner		n-Participant

Council District	Candidate	Party	Ballot Status
CD 28	Singh, Inderjit	D, I	Primary
CD 28	Younger-Nolan, Carolyn	R	Terminated
CD 29	Katz, Melinda R.*	D, F	General
CD 30	Gallagher, Dennis P.*	C, R	General
CD 31	Blake, James	D	_
CD 31	Brown, Everly D.	D, R, C	Primary, General
CD 31 (NP)	Sanders Jr., James*	D	
CD 32	Addabbo Jr., Joseph P.*	D, WF	General
CD 32	Mossa, Michael	C, R	General
CD 33	Yassky, David*	D, WF	General
CD 34	Martinez, Juan D.	D	Primary
CD 34	Reyna, Diana*	D, WF	Primary, General
CD 35	Askew, Othniel Boaz	_	_
CD 35	Davis, Geoffrey	D, I	General
CD 35	Davis, James E.	D, I, WF	General
CD 35	Herbert, Anthony	R	General
CD 35	James, Laetitia A.* WF		Primary, General
CD 35	Wasserman, Abraham E.	C General	
* = Elec	* = Election Winner		n-Participant

Council District	Candidate	Party	Ballot Status
CD 36 (NP)	Vann, Albert*	D	
CD 37	Dilan, Erik M.*	D, I	Primary, General
CD 37	Santiago, Nellie	D	Primary
CD 38	Gonzalez, Sara*	D, WF	General
CD 38	Maio, Danniel	R, I, C. CL	General
CD 39	de Blasio, Bill*	D, WF	General
CD 39	Mattera, Gloria	GP	General
CD 40	Clarke, Yvette D.*	D, WF	General
CD 41 (NP)	Boyland, Tracy*	D	
CD 41	Kinard, Stanley	-	-
CD 41	Miller, David R.	D	Primary
CD 42	Barron, Charles*	Barron, Charles* D, WF	
CD 42	Booker, Derek	D	Primary
CD 43	Harrison, Stephen	-	Terminated
CD 43	Maresca, Stephen	R	Terminated
CD 43	Russo, Pasqualino	R, C, I	General
CD 43	Gentile, Vincent*	D, WF	General
CD 44	Felder, Simcha*	r, Simcha* D, R, RP, C	
* = Election Winner		(NP) = No	on-Participant

Council District	Candidate	Party	Ballot Status
CD 45	Boucher, Omar	D	Primary
CD 45	Emmanuel, Ernest	_	Terminated
CD 45	King, Erlene	D	Primary
CD 45	Stewart, Kendall B.*	D	Primary, General
CD 45	Taitt, Samuel A.	D	Primary
CD 46	Atwood King, Elizabeth	D	Terminated
CD 46	Fidler, Lewis A.*	D, WF	General
CD 46	Goodstein, Susan	R, I	General
CD 46	Weir, Elias J.	D	Terminated
CD 47	Eisenberg, Tony	D	Terminated
CD 47	Hochhauser, Joseph K.	D	Terminated
CD 47	Recchia Jr., Domenic*	D, WF	General
CD 47	Sutliff, James	R, C, I	General
CD 48	Nelson, Michael C.*	D	General
CD 49	Giovinazzo, Lisa	R	General
CD 49	McMahon, Michael E.*	D, C, WF	General
CD 50	Fontaino, Frank	D, I	General
CD 50	CD 50 Oddo, James S.		General
* = Elect	* = Election Winner		n-Participant

Council District	Council District Candidate		Ballot Status
CD 51	Lanza, Andrew J.*	R, C, U	General
CD 51 Sybing, Roehl		D	General
* = Election Winner		(NP) = Non-Participant	

AD = American Dream	FU = Fusion	NL = New Alliance
BS = Better Schools	GP = Green Party	R = Republican
C = Conservative	I = Independent	RTL = Right to Life
CL = Chino Latino	L = Liberal	WF = Working Families
D = Democrat	LIB = Libertarian	
FRUN = Friends United	MRP = Marijuana Reform	

## **Program Analysis Across Elections**

Ι	Program Participation by Office
	Over Time <sup>59</sup>

2001 Citywide Elections					
Office	Number of Participants	Participants on Ballot	% of Participants on Ballot	Total Number of Candidates on Ballot	Participants as % of All Candidates on Ballot
Mayor	17	10	59%	14	71%
Public Advocate	10	7	70%	10	70%
Comptroller	2	2	100%	6	33%
Borough President	22	20	91%	27	74%
City Council	301	241	80%	298	81%
Undeclared	1	_	_	_	_
Total	353	280	79%	355	79%

<sup>&</sup>lt;sup>59</sup> See An Election Interrupted, Part I, p. 13.

1997 Citywide Elections								
Office	Number of Participants	Participants on Ballot	% of Participants on Ballot	Total Number of Candidates on Ballot	Participants as % of All Candidates on Ballot			
Mayor	10	6	60%	9	67%			
Public Advocate	3	3	100%	6	50%			
Comptroller	3	3	100%	6	50%			
Borough President	22	15	68%	27	56%			
City Council	138	114	83%	181	63%			
Undeclared	14	_	_	-	_			
Total	190	141	74%	229	62%			

1993 Citywide Elections								
Office	Number of Participants	Participants on Ballot	% of Participants on Ballot	Total Number of Candidates on Ballot	Participants as % of All Candidates on Ballot			
Mayor	5	4	80%	7	57%			
Public Advocate	11	6	55%	9	67%			
Comptroller	3	3	100%	7	43%			
Borough President	11	7	64%	15	47%			
City Council	136	87	64%	132	66%			
Undeclared	20	_	—	_	—			
Total	186	107	58%	170	63%			
1991 Citywide Elections								
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Office	Number of Participants	Participants on Ballot	% of Participants on Ballot	Total Number of Candidates on Ballot	Participants as % of All Candidates on Ballot			
Undeclared	20	-	_	-	_			
Total	186	107	58%	170	63%			

1989 Citywide Elections					
Office	Number of Participants	Participants on Ballot	% of Participants on Ballot	Total Number of Candidates on Ballot	Participants as % of All Candidates on Ballot
Mayor	10	5	50%	11	45%
City Council President	0	0	_	6	0%
Comptroller	5	4	80%	10	40%
Borough President	7	6	86%	15	40%
City Council	34	33	97%	97	34%
Undeclared	1	_	_	-	_
Total	57	48	84%	139	35%

## II Net Contributions to Program Participants Over Time<sup>60</sup>

1989	1993	1997	2001
\$30,087,000	\$28,324,000	\$29,497,000	\$54,659,000

Contributions to Participants by Office				
	1989	1993	1997	2001
Mayor	\$20,973,000	\$15,915,000	\$140,857,000	\$29,145,000
Public Advocate	N/A	\$2,733,000	\$2,017,000	\$4,747,000
Comptroller	\$3,649,000	\$4,556,000	\$1,959,000	\$3,670,000
Borough President	\$2,946,000	\$1,807,000	\$5,136,000	\$5,659,000
City Council	\$2,519,000	\$3,313,000	\$5,379,000	\$11,439,000

<sup>&</sup>lt;sup>60</sup> See An Election Interrupted, Part I, p. 46.

Office/Totals	Election	# Participants	Total Expenditure	Average Expenditure
Mayor	2001	17	\$38,980,000	\$2,292,941.18
Mayor	1997	6	\$19,250,000	\$3,208,333.33
Mayor	1993	3	\$19,760,000	\$6,586,666.67
Mayor	1989	5	\$23,800,000	\$4,760,000
Public Advocate	2001	10	\$9,910,000	\$991,000
Public Advocate	1997	2	\$2,560,000	\$1,280,000
Public Advocate	1993	7	\$4,000,000	\$571,428.57
Public Advocate	1989	0	-	-
Comptroller	2001	2	\$6,400,000	\$3,200,000
Comptroller	1997	1	\$2,050,000	\$2,050,000
Comptroller	1993	3	\$6,070,000	\$2,023,333
Comptroller	1989	4	\$4,100,000	\$1,025,000

## III Program Participant Expenditures Over Time<sup>61</sup>

<sup>61</sup> See An Election Interrupted, Part I, p. 70.

Office/Totals	Election	# Participants	Total Expenditure	Average Expenditure
Borough President	2001	22	\$13,130,000	\$596,818
Borough President	1997	12	\$5,920,000	\$493,333
Borough President	1993	5	\$1,990,000	\$398,000
Borough President	1989	6	\$3,250,000	\$541,667
City Council	2001	301	\$26,220,000	\$87,110
City Council	1997	109	\$7,210,000	\$66,147
City Council	1993	112	\$4,480,000	\$40,000
City Council	1991	135	\$7,600,000	\$56,296
City Council	1989	33	\$2,740,000	\$86,030
Totals	2001	353	\$94,640,000	_
Totals	1997	131	\$36,990,000	_
Totals	1993	112	\$36,310,000	_
Totals	1991	135	\$7,600,000	_
Totals	1989	48	\$33,880,000	_

Election	Mayor	Comptroller	Public Advocate	Borough President	City Council	Total
1989	\$2,779,508	\$421,655	0	\$799,228	\$504,607	\$4,504,998
1991	-	-	Ι	-	\$2,498,539	\$2,498,539
1993	\$3,005,762	\$1,028,381	\$904,106	\$64,956	\$1,108,948	\$6,112,153
1997	\$3,239,421	\$247,054	\$385,268	\$880,483	\$1,666,961	\$6,419,187
2001	\$12,862,667	\$2,574,112	\$5,416,438	\$6,923,341	\$13,768,870	\$41,545,428

## IV Public Funds Totals

# The Voter Guide

Included in the 1988 City Charter amendment that established the Campaign Finance Board was the mandate to publish and distribute the non-partisan Voter Guide. The Guide include statements by, and photographs of, participant and non-participant candidates, information on ballot proposals, guidance on voter registration and the use of voting machines, and contact numbers for further assistance. All candidates on ballot are invited to submit information for the Guide, whether they are participants or not, free of charge. The guides are mailed to each household that has at least one registered voter. The following is a summary of Voter Guide statistics by election cycle:

#### **1989 Citywide Elections**

- A combined 4.7 million borough-specific Voter Guides were published and distributed to registered voters for the primary and general elections.<sup>62</sup>
- 250,000 additional copies and 760 audio tapes were distributed to public places for wider availability of the Guide.<sup>63</sup>
- Guides were printed as bilingual English and Spanish editions.
- 30,000 advertising posters (in English and Spanish) were placed in subways cars for four weeks before the primary and general elections.

<sup>&</sup>lt;sup>62</sup> See *Dollars*, p. 127.

<sup>&</sup>lt;sup>63</sup> See *Dollars*, p. 127.

Borough	Primary	General
Brooklyn	628,780	748,680
Manhattan	575,087	715,020
Queens	507,143	612,000
Staten Island	111,207	128,520
Bronx	359,543	412,800
Total	2,181,760	2,617,020

# 1989 Voter Guide Mailing Volume<sup>64</sup>

<sup>&</sup>lt;sup>64</sup> This data is maintained by the Publications unit of the CFB.

- A combined 3.6 million borough-specific Voter Guides were published and distributed for the primary and general elections.<sup>65</sup>
- 120,000 additional copies were supplied to public places and interested organizations for wider availability of the Guide.<sup>66</sup>
- Guides were printed as bilingual English and Spanish editions.

Borough	Primary	General
Brooklyn	461,610	612,000
Manhattan	412,916	583,000
Queens	316,852	476,500
Staten Island	56,606	104,000
Bronx	288,296	368,000
Total	1,536,280	2,143,500

### 1991 Voter Guide Mailing Volume<sup>67</sup>

<sup>65</sup> See Windows of Opportunity, p. 82.

<sup>66</sup> See Windows of Opportunity, p. 82.

<sup>&</sup>lt;sup>67</sup> This data is maintained by the Publications unit of the CFB.

- A combined 3.8 million borough-specific Voter Guides were published and distributed to registered voters for the primary and general elections.<sup>68</sup>
- 450,000 additional copies were supplied to public places and interested organizations for wider availability of the Guide.
- For the first time, pursuant to the Federal Voting Rights Act, a Chinese-language Guide was made available for voters in Brooklyn, Manhattan, and Queens.<sup>69</sup>
- General election edition of the Guide included pro/con statements in plain language on the term limit and Staten Island secession ballot proposals.<sup>70</sup>

<sup>&</sup>lt;sup>68</sup> See *Road to Reform,* Volume I, p. 114.

<sup>&</sup>lt;sup>69</sup> See *Road to Reform,* Volume I, p. 114.

<sup>&</sup>lt;sup>70</sup> See *Road to Reform,* Volume I, p. 115.

Borough	Primary	General
Brooklyn	527,533	681,083
Manhattan	427,445	662,697
Queens	322,777	531,334
Staten Island	55,068	122,628
Bronx	319,493	381,875
Total	1,823,551	2,487,043

# 1993 Voter Guide Mailing Volume<sup>71</sup>

<sup>&</sup>lt;sup>71</sup> This data is maintained by the Publications unit of the CFB.

- A combined 4.55 million borough-specific Voter Guides published and distributed to registered voters for the primary and general elections.<sup>72</sup>
- Guides were available in English, Spanish, and Chinese languages.
- Voter Guide began including information on the newly mandated Debate Program.<sup>73</sup>

Borough	Primary	General
Brooklyn	558,605	746,228
Manhattan	470,306	668,818
Queens	378,172	571,706
Staten Island	80,460	131,138
Bronx	314,074	412,216
Chinese	84,915	127,216
Total	1,886,532	2,657,322

### 1997 Voter Guide Mailing Volume<sup>74</sup>

<sup>72</sup> See *Decade of Reform,* Volume I, p. 100.

<sup>73</sup> See *Decade of Reform*, Volume I, p. 99.

<sup>74</sup> This data is maintained by the Publications unit of the CFB.

- A combined 9.15 million borough-specific Voter Guides published and distributed to registered voters for the primary and general elections.<sup>75</sup>
- Guides were available in English, Spanish, and Chinese languages.
- A personalized Voter Guide feature was made available on the CFB website.<sup>76</sup>

Borough	Primary	General
Brooklyn	776,188	922,243
Manhattan	660,040	778,032
Queens	581,415	710,928
Staten Island	132,910	157,720
Bronx	419,380	482,303
Chinese	167,090	169,742
Total	2,737,023	3,220,968

### 2001 Voter Guide Mailing Volume<sup>77</sup>

<sup>75</sup> See An Election Interrupted, Part I, p. 118.

<sup>76</sup> See An Election Interrupted, Part I, p. 119.

<sup>&</sup>lt;sup>77</sup> This data is maintained by the Publications unit of the CFB.

# The Debate Program

After mayoral candidates failed to participate in any public debates in 1993, mounting public disappointment inspired City Council action on a debate program. In December of 1996, the Council passed a law that required candidates for citywide office (mayor, Comptroller, and public advocate) to participate in a series of debates throughout each election cycle. The law was designed to ensure that the voting public would have an opportunity to hear candidates engage in a substantive discussion of the issues.

The Campaign Finance Board was given administrative authority over the Debate Program while various media, educational, and civic groups sponsored and televised the debates themselves. Candidates in citywide office who are on the ballot for the primary election and are participants of the Program are required to participate in two debates prior to the primary election. For the general election, candidates are required to participate in at least one debate while a second debate is held for "leading contenders." Candidates not participating in the Program but are considered "leading" contenders" are permitted, but not required, to participate. The criteria used to determine whether a candidate is, in fact, a "leading contender" is established by sponsors before the debates are held. Candidates who do not qualify under "leading" contender status" take part in an alternative education forum.

### **1997 Debate Schedule**

Mayoral		
Debates/Live Coverage	Time/Location	Sponsors
1st Primary Debate NY1, WNYC-AM 820	8:00 – 9:30 pm, 8/19/97 St. Francis College	St. Francis College; El Diario; WNYC Radio; NY1
2nd Primary Debate WABC-TV Channel 7, WNYC-AM 820	11:30 am, 9/7/97 WABC-TV Studios	League of Women Voters of the City of New York; WABC-TV Channel 7
1st Primary Run-off Debate NY1, WNYC-AM 820	8:00 – 9:30 pm, 9/15/97 St. Francis College	St. Francis College; WNYC Radio; NY1
1st General Debate NY1, WNYC-AM 820	8:00 – 9:30 pm, 10/9/97 Schomburg Center	New York Urban League; El Diario, WNYC Radio, NY1
2nd General Debate (Leading Contenders) WABC-TV Channel 7, WNYC-AM 820	7:00 pm, 10/29/97 WABC-TV Studios	League of Women Voters of the City of New York; WABC-TV Channel 7
2nd General Debate (Leading Contenders) Rebroadcasts	Channel 13: Midnight, 10/29/97 C-SPAN: 10:00 am, 11/2/97	
2nd General Debate (Alternate Forum) WABC-TV Channel 7, WNYC-AM 820	11:30 am, 10/26/97 WABC-TV Studios	League of Women Voters of the City of New York; WABC-TV Channel 7
1st Primary Debate NY1, WNYC-AM 820	8:00 – 9:30 pm, 8/19/97 St. Francis College	St. Francis College; El Diario; WNYC Radio; NY1

Public Advocate		
Debates/Live Coverage	Time/Location	Sponsors
1st General Debate NY1, WNYC-AM 820	8:00 pm, 9/25/97 St. Francis College	St. Francis College; El Diario; WNYC Radio; NY1
2nd General Debate (Alternate Forum) NY1, WNYC-AM 820	7:00 pm, 10/30/97 NY1 Studios	St. Francis College; El Diario; WNYC Radio; NY1

Comptroller		
Debates/Live Coverage	Time/Location	Sponsors
1st General Debate NY1, WNYC-AM 820	8:00 pm, 9/29/97 New York Law School	New York Law School; El Diario; WNYC Radio; NY1
2nd General Debate (Leading Contenders) NY1, WNYC-AM 820	8:00 pm, 10/27/97 New York Law School	New York Law School; El Diario; WNYC Radio; NY1
2nd General Debate (Alternate Forum) NY1, WNYC-AM 820	7:00 pm, 10/28/97 NY1 Studios	New York Law School; El Diario; WNYC Radio; NY1

### 2001 Debate Schedule<sup>78</sup>

Mayoral		
Debates/Live Coverage	Time/Location	Sponsors
1st Primary Debate WNYC-AM 820, WPIX-TV Channel 11	Tuesday, August 28, 2001 6:00 pm	Newsday; WNYC-AM 820; WPIX-TV Channel 11
2nd Primary Debate WABC-TV Channel 7, WNYC-AM 820	Sunday, September 9, 2001 11:00 am	WABC-TV Channel 7; League of Women Voters of the City of New York; WNYC-AM 820
1st Run-off Debate NY1, WNYC-AM 820	Wednesday, October 3, 2001 8:00 pm	NY1; Baruch, Columbia; New School; NYU; WNYC-AM 820
2nd Run-off Debate WABC-TV Channel 7, WNYC-AM 820	Sunday, October 7, 2001 11:00 am	WABC-TV Channel 7; League of Women Voters of the City of New York; WNYC-AM 820
1st General Debate CUNY-TV Channel 75, WNYC-AM 820	Wednesday, October 17, 2001 8:30 pm	CUNY-TV Channel 75; WNYC-AM 820
2nd General Debate WABC-TV Channel 7, WNYC-AM 820	Thursday, November 1, 2001 7:00 pm	WABC-TV Channel 7; League of Women Voters of the City of New York; WNYC-AM 820

<sup>&</sup>lt;sup>78</sup> All debates were rebroadcast on Crosswalks-TV at 9:00 pm on the day following the debate.

Public Advocate		
Debates/Live Coverage	Time/Location	Sponsors
1st Primary Debate WNYC-AM 820	Wednesday, August 22, 2001 7:00 pm	St. Francis College; WNYC-AM 820
2nd Primary Debate WNYC-AM 820	Thursday, September 6, 2001 7:00 pm	St. Francis College; WNYC-AM 820
1st Run-off Debate NY1, WNYC-AM 820	Thursday, October 4, 2001 7:30 pm	NY1; WNYC-AM 820
2nd Run-off Debate NY1, WNYC-AM 820	Tuesday, October 9, 2001 7:30 am	NY1; WNYC-AM 820
1st General Debate CUNY-TV Channel 75, WNYC-AM 820	Wednesday, October 24, 2001 7:30 pm	CUNY-TV Channel 75; WNYC-AM 820
2nd General Debate NY1, WNYC-AM 820	Monday, October 29, 2001 8:00 pm	Baruch; Columbia; New School; NYU; NY1; WNYC-AM 820

Comptroller		
Debates/Live Coverage	Time/Location	Sponsors
1st Primary Debate WNYC-AM 820, WABC-TV Channel 7	Sunday, August 19, 2001 11:00 am	WABC-TV Channel 7; League of Women Voters of the City of New York; WNYC-AM 820
2nd Primary Debate WNYC-AM 820, NY1	Wednesday, September 5, 2001 7:30 pm	NY1; New York Law School; WNYC-AM 820
1st General Debate CUNY-TV Channel 75, WNYC-AM 820	Tuesday, October 16, 2001 7:30 pm	CUNY-TV Channel 75; WNYC-AM 820
2nd General Debate NY1, WNYC-AM 820	Sunday, November 4, 2001 8:00 pm	NY1; New York Law School; WNYC-AM 820

# **Board Member Terms of Service**

Martin S. Begun Senior Fellow, NYU Wagner Graduate School of Public Service June 4, 1996 – September 1, 2000

Alfred C. Cerullo, III President & CEO, Grand Central Partnership May 31, 2000 – Present

Dale C. Christensen, Jr. Partner, Seward & Kissel LLP May 18, 2000 – Present

Joseph Erazo September 18, 1997 – June 1998

Bill Green Former Representative, New York's 15th Congressional District July 21, 1994 – May 30, 2000

Pamela Jones Harbour Partner, Kaye Scholer LLP October 17, 2000 – July 29, 2003

James I. Lewis Lecturer in History, Hunter College & Bernard Baruch College Assistant Professor of History, City College of New York April 1, 1988 – June 1, 1997 Frank J. Macchiarola President, St. Francis College April 1, 1988 – December 13, 1988

Robert B. McKay Professor and former Dean, New York University School of Law April 1, 1988 – July 13, 1990

Dennis Mehiel President, Sweetheart COPS January 12, 1999 – May 12, 2000

Joseph Messina President, Motor Vehicle Accident Indemnification Corporation December 22, 1988 – June 6, 1995

Joseph A. O'Hare, S.J., Chairman President, Fordham University April 1, 1988 – March 31, 2003

Joseph Potasnik Leader, Congregation Mount Sinai President, New York Board of Rabbis June 28, 1999 – Present

Alan N. Rechtschaffen Director, Investments, Wachovia Securities, LLC. October 27, 2003 – Present Frederick A.O. Schwarz, Jr., Chairman Senior Counsel, Brennan Center for Justice at NYU Law School Senior Counsel, Cravath, Swaine & Moore April 1, 2003 – Present

Thomas Schwarz, Chairman December 30, 1993 – January 7, 1994

Sonia Sotomayor Member, Pavia and Harcourt April 1, 1988 – September 22, 1992

Vaughn C. Williams Partner, Skadden, Arps, Slate, Meagher & Flom December 11, 1992 – September 18, 1997