

# Proposed Consolidated Plan

2018  
Executive Summary



**Bill de Blasio**  
Mayor, City of New York

**Marisa Lago**  
Director, Department of City Planning

**NYC** PLANNING  
DEPARTMENT OF CITY PLANNING CITY OF NEW YORK



*Effective as of July 12, 2018*

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# The City of New York

## PROPOSED 2018 CONSOLIDATED PLAN One-Year Action Plan

July 12, 2018

### TABLE OF CONTENTS

#### EXECUTIVE SUMMARY

AP-05	Executive Summary	ES-1
	1. Introduction	ES-1
	2. Summary of Objectives	ES-2
	3. Evaluation of Past Performance	ES-3
	4. Summary of Citizen Participation Process and Consultation Process	ES-4
	5. Summary of Public Comments	ES-5
	6. Summary of Comments Not Accepted and Reasons for Not Accepting Them	ES-5

#### THE (FORMULATION) PROCESS

PR-05	Lead and Responsible Agencies	PR-1
AP-10	Consultation	PR-3
AP-12	Citizen Participation	PR-14

# Executive Summary

## AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

### 1. Introduction

The *Proposed 2018 Consolidated Plan One-Year Action Plan* is the City of New York's annual application to the United States Department of Housing and Urban Development (HUD) for the four Office of Community Planning and Development entitlement programs: Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA).

In addition, the Proposed Action Plan serves not only as the City's application for the entitlement funds, but also as the HOPWA grant application for the New York HOPWA Eligible Metropolitan Statistical Area (HOPWA EMSA). The EMSA is comprised of the five boroughs of the City of New York plus three upstate New York jurisdictions (the counties of Westchester, Rockland and Orange), as well as three counties in central New Jersey (Middlesex, Monmouth and Ocean).

The 2018 Action Plan represents the fourth year of a five-year strategy for New York City's Consolidated Plan years 2015 through 2019. The five-year strategy was articulated in Volume 3 of the amended 2015 Consolidated Plan.

For the 2018 Program Year, the City expects to receive \$299,845,285 from the four HUD formula grant programs: \$166,605,497 for CDBG; \$75,481,734 for HOME; \$44,228,148 for HOPWA; and \$13,529,906 for ESG.

Increases in the amount of federal monies appropriated to HUD generally resulted in increases to the City's 2018 Consolidated Plan Program Year grant awards. Overall, the 2018 grant awards represent an approximate \$38.6 million increase in entitlement grant funds received in comparison to the City's 2017 grant awards.

Increases to the CDBG, HOME formula entitlement grant programs' award amount (\$16.3 million, and \$22.2 million, respectively) more than offset the slight decrease in ESG monies (a reduction of approximately \$12,700).

After the passage and signage of the HOPWA Opportunity Through Modernization Act (HOTMA) in July 2016, which modernized the HOPWA allocation formula from cumulative AIDS cases to living with HIV/AIDS, the NYC EMSA anticipated a decrease in the City's HOPWA 2018 grant award. However, a 5% increase to the national HOPWA program in the final FFY18 appropriation bill offset what would have been a funding cut to the City's 2018 HOPWA award as per the new HOPWA formula guidelines. As a result, the City's 2018 HOPWA award increased slightly by \$31,037.

It should be noted the expected resources do not currently contain a potential subgrant of the federal Housing Trust Fund. New York State Homes and Community Renewal controls the State's allocation and will decide on any suballocations later in 2018. If the City receives a subgrant award, it will amend its Action Plan to include the HTF funds accordingly.

## 2. Summarize the objectives and outcomes identified in the Plan

Both the current and previous mayoral administrations recognize that safe and affordable housing as a priority in maintaining the City's vitality. In May 2014, Mayor Bill de Blasio released *Housing New York: A Five-Borough, Ten-Year Plan*, a comprehensive plan to build and preserve 200,000 affordable units over the coming decade. In November of 2017, Mayor de Blasio released his *Housing New York 2.0* plan accelerating and expanding the original *Housing New York* plan. *Housing 2.0* introduced a suite of initiatives under development to create 200,000 affordable homes two years ahead of schedule, by 2022, and reach a new goal of 300,000 newly constructed or preserved units by 2026. The housing related activities within the Proposed 2018 Consolidated Plan One-Year Action Plan are part of the Mayor's broader housing strategy.

Although safe affordable housing is crucial to improving the lives of New Yorkers, the City allocates a large share of HUD entitlement funds to community redevelopment programs as part of a holistic approach to improving resident's quality of life.

For the 2018 Consolidated Plan Program Year, New York City intends to achieve its strategic objectives in the following manner:

### 01 Accessibility to Decent Affordable Housing

7 Formula Entitlement-funded Projects will receive a cumulative total of **\$87,976,561.00**

This funding is indented to reach the following goals:

- 320,670 Persons assisted with new/improved access to services (cumulative).
- 10 Rental or owner-occupied units made accessible to persons with disabilities.
- 588 Rental units constructed.
- 64 Households assisted with tenant-based rental assistance.
- 3,835 Homeless persons assisted with overnight shelter.

### 02 Decent Affordable Housing

19 Formula Entitlement-funded Projects will receive a cumulative total of **\$63,855,629.00**

This funding is indented to reach the following goals:

- 2,388 Housing units rehabilitated and returned to private ownership.
- 2,986 Persons living with AIDS provided with tenant-based rental assistance, supportive services, supportive housing and/or permanent housing placements.
- 100 First-time homebuyers assisted with downpayment assistance.

### 03 Sustain Decent Housing

6 Formula Entitlement-funded Projects will receive a cumulative total of **\$97,783,009.00**

This funding is indented to reach the following goals:

- 56,014 Rental units rehabilitated.
- 646,750 Households assisted housing units brought up to code via housing code enforcement/foreclosed property care (cumulative).
- 3,000 Persons assisted with homelessness prevention.

### 04 Availability/Accessibility to a Sustainable Living Environment

**11** Formula Entitlement-funded Projects will receive a cumulative total of **\$26,861,996.00**

This funding is indented to reach the following goals:

- 17,517,711 Persons assisted with new/improved access to services (cumulative).
- 22,038 Homeless persons given overnight shelter (cumulative).
- 4,500 Persons provided new/improved access to senior centers.

#### **05 Affordability of a Sustainable Living Environment**

**2** Formula Entitlement-funded Projects will receive a cumulative total of **\$3,338,000.00**

This funding is indented to reach the following goals:

- 173 Households assisted with day care services.
- 3050 persons assisted with improved access to food.

#### **06 Sustainability of a Suitable Living Environment**

**7** Formula Entitlement-funded Projects will receive a cumulative total of **\$115,015,000.00**

This funding is indented to reach the following goals:

- 2,100 Elderly homeowners assisted with home repairs.
- 4 Owner-occupied historic homes rehabilitated.
- 287,378 Persons provided new/improved access to a facility.
- 12 Demolitions to remove slum or blighted conditions as part of geographically targeted revitalization effort.
- 138,044 Low- and moderate-income areas assisted.
- 265,000 Persons provided with public service activities within the Bronx River and the adjacent areas.
- 2,555 Public Housing Units will benefit from rehabilitation of NYCHA buildings.

#### **07 Availability/Accessibility to Economic Opportunity**

**2** Formula Entitlement-funded Projects will receive a cumulative total of **\$3,790,000.00**

This funding is indented to reach the following goals:

- 1,800 Persons assisted with new/improved access to literacy, educational or vocational services.
- 44 Commercial districts in low-/moderate-income areas revitalized.

#### **08 Not-Applicable-Planning & Program Administration**

**13** Formula Entitlement-funded projects for which performance goal indicators are Not Applicable (N/A).

### **3. Evaluation of past performance**

Regarding New York City's past performance in its use of formula entitlement funds, please refer to the City's *2017 Consolidated Plan Annual Performance and Evaluation Report (CAPER)*, the most recent Performance Report available. The 2017 CAPER can be accessed on the New York City Department of City Planning's website at: <http://www1.nyc.gov/site/planning/about/consolidated-plan-apr.page?tab=8>.

The 2017 Consolidated Plan Annual Performance and Evaluation Report was submitted to HUD in late April 2018 for their review and subsequently approved in mid-June.

#### **4. Summary of Citizen Participation Process and consultation process**

##### The Consolidated Plan Formulation Process

The City of New York conducted a public hearing to solicit comments on the formulation of the Proposed 2018 Consolidated Plan One-Year Action Plan, on October 26, 2017.

New Yorkers were invited to attend and participate in the formulation and development of the Consolidated Plan. Over 1,800 notification letters were sent to New York City residents, organizations and public officials inviting participation in the public hearing. In addition, notices regarding the public hearing were published in three local newspapers: an English-, a Spanish-, and a Chinese-language daily, each with citywide circulation. Furthermore, the notice was posted on DCP's website. Lastly, a notice was placed as a public service message on the City-operated local cable television access channel. The respective notices included relevant Plan-related information so that informed comments are facilitated. Testimony could be given orally at the hearing or submitted by mail or email in lieu of attendance.

##### The Public Comment Review Period and Public Hearing

The City used the same notification methods to notify the public of the Proposed 2018 Action Plan's release for public review, and the federally-required public hearing on the document.

To provide access to the document copies of the Proposed 2018 Action Plan are obtainable by the public at the City Planning Bookstore, 120 Broadway, 31st Floor, New York, NY 10271, Phone: 212-720-3667, (Walk-ins: Monday, Tuesday: 9:30 am-11:30 am, Wednesday: 1-3 pm with arrangements made for other days/times) or any of the DCP borough offices. In addition, copies of the Proposed Action Plan are referenceable in the City's Municipal Reference & Research Center (City Hall Library), and the main public library in each of the five boroughs. Furthermore, an Adobe Acrobat version of the Proposed Action Plan was posted on DCP's website (<http://www.nyc.gov/planning>) for public review.

The public comment period began **July 12, 2018** extending for 30 days ending **August 10, 2018**. The public hearing on the Proposed 2018 Consolidated Plan One-Year Action Plan is scheduled for **August 10, 2018** starting at 10:30am, in the City Planning Commission Hear Room, 120 Broadway, Lower Concourse, Manhattan. A question and answer session with City agency representatives in attendance to follow.

The public is instructed to submit their written comments on the Proposed 2018 Action Plan by close of business, **August 10, 2018** to: Charles V. Sorrentino, New York City Consolidated Coordinator Plan, Department of City Planning, 120 Broadway 31st Floor, New York, New York 10271, email: [Con-PlanNYC@planning.nyc.gov](mailto:Con-PlanNYC@planning.nyc.gov).

Any comments received will be summarized and agencies' responses incorporated into the version submitted to HUD.

## **5. Summary of public comments**

### Comments from the Public Hearing on the Formulation of the Proposed 2018 One-Year Action Plan

The hearing began with opening remarks and the floor was then opened to testimony to those in attendance. However, no member of the public gave testimony. The hearing was concluded after the Consolidated Plan Committee member agencies' representatives waited a sufficient period of time to permit persons who may have been en route to the hearing the opportunity arrive and provide their testimony.

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

As stated above, no comments were received either orally or in writing from the formulation public hearing. Therefore, no response was required.



# The (Formulation) Process

## PR-05 Lead & Responsible Agencies – 91.200(b)

### 1. Agency/entity responsible for preparing/administering the Consolidated Plan

Table 2 – Responsible Agencies

Agency Role	Name	Department/Agency
CDBG Administrator	NEW YORK CITY	Office of Management and Budget
HOPWA Administrator	NEW YORK CITY	Dept. of Health and Mental Hygiene
HOME Administrator	NEW YORK CITY	Dept. of Housing Preservation and Development
ESG Administrator	NEW YORK CITY	Dept. of Homeless Services

#### Narrative

The Department of City Planning is the lead agency in the City's Consolidated Plan application process and is responsible for the formulation, preparation and development of each year's proposed Plan in conjunction with the Consolidated Plan Committee member agencies and HUD.

The City's CDBG, HOME, HOPWA, and ESG programs are administered by the: Office of Management and Budget (OMB), the Department of Housing Preservation and Development (HPD), the Department of Health and Mental Hygiene (DOHMH) - Division of Disease Control, and the Department of Homeless Services (DHS), respectively.

The New York City Consolidated Plan also serves as the HOPWA grant application for six (6) surrounding counties within the New York Eligible Metropolitan Statistical Area (EMSA): The New York counties of Orange, Rockland and Westchester and the New Jersey counties of Middlesex, Monmouth, and Ocean, respectively.

HPD promotes the construction and preservation of affordable housing for low- and moderate-income families in thriving and diverse neighborhoods throughout the five boroughs. Using federal, state and local funds, HPD finances affordable housing development and preservation while also enforcing housing quality standards and endeavoring to ensure sound management of the city's affordable housing stock. In partnership with 13 sister agencies, advocates, developers, tenants, community organizations, elected officials, and financial institutions HPD is responsible for carrying out the Mayor's ten-year housing plan.

The New York City Housing Authority (NYCHA), using primarily Public Housing Capital funds, administers public housing new construction, rehabilitation and modernization activities, and home ownership opportunity programs, along with a Section 8 rental certificate and voucher program.

The Human Resources Administration (HRA) and DHS operate under an integrated management structure coordinating services to prevent and alleviate homelessness for families with children and

individuals and households without children. HRA provides public benefits and services which assist in homelessness prevention, diversion and rehousing services, including the administration of rental assistance to move homeless families and adults into permanent housing. DHS is responsible for shelter operations and services to street homeless individuals. Programs for runaway and homeless youth and children aging out of foster care are administered by the Department of Youth and Community Development (DYCD), and Administration for Children's Services (ACS), respectively. HRA's HIV/AIDS Services Administration (HASA) provide emergency and supportive housing assistance and services for persons with HIV-related illness or AIDS.

DOHMH, along with the State's Office of Mental Health (OMH); Office for People with Developmental Disabilities (OPWDD); and, Office of Alcoholism and Substance Abuse Services (OASAS), plans, contracts for and monitors services for these disability areas and provides planning support to OASAS in the field of substance abuse services. DOHMH's Bureau of Mental Health, through contracted agencies, provides an array of mental health recovery oriented services and supportive housing programs to prevent homelessness and works collaboratively with Federal, City and State agencies to assure continuity of services.

The Department for the Aging, the Mayor's Office for People with Disabilities, and the Mayor's Office to Combat Domestic Violence address the concerns of targeted groups of citizens by providing housing information and supportive housing services assistance.

### **Consolidated Plan Public Contact Information**

Any questions or comments concerning New York City's Consolidated Plan and the formula entitlement grant funded activities may be directed to:

Charles V. Sorrentino, New York City Consolidated Plan Coordinator, Department of City Planning, 120 Broadway, 31st Floor, New York, New York 10271, Phone (212) 720-3337  
email: [Con-PlanNYC@planning.nyc.gov](mailto:Con-PlanNYC@planning.nyc.gov).

CDBG: John Leonard, Director of Community Development, Office of Management and Budget (212),  
788-6177

HOME: Shana Wernow, Director of Grant Compliance and Monitoring, Department of Housing  
Preservation and Development, (212) 863-5084

ESG: Martha Kenton, Director of HUD Continuum of Care, Federal Homeless Policy & Reporting,  
Department of Social Services, (929) 221-6183

HOPWA: X. Pamela Farquhar, Director of Housing, Housing Services Unit, Department of Health and  
Mental Hygiene/Division of Disease Control, (347) 396-7559

## **AP-10 Consultation – 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

New York City's Consolidated Plan citizen participation and formulation process is part of the City's larger Charter mandated budget process.

This process, specified in the City Charter, provides for citizen participation on all programs, projects and services funded by the City's Expense, Capital and Community Development Block Grant (CDBG) budgets.

As stated previously, the Consolidated Plan is the City's application for the four HUD Office of Community Planning and Development Entitlement Programs: CDBG, HOME, ESG, and HOPWA. The allocation of these funds will be for housing, homeless, supportive housing and community development programs and are determined during the City's Budget Process. The Consolidated Plan focuses on the money expected to be received from HUD and the matching funds that the City uses primarily from City Tax Levy; however, funds from the State, the private sector, and nonprofit organizations are also described.

The City's budget is required to be adopted on or before the start of its fiscal year (July 1st to June 30th). Citizens are encouraged to get involved in this decision-making process. The City's Budget Process, which is voted on by the City Council, is subject to extensive public review and participation. The City has an established citizen participation process that is divided into three phases: 1. Needs assessment and budget preparation (May to November); 2. Preliminary Budget (November to April); and 3. Executive and Adopted Budget (April to July). The Budget Process solicits citizen comments at several stages before the final budget is adopted. For opportunities for individuals, community boards, and other organizations to participate in the planning and budgeting process, please refer to the schedule on OMB's website at <http://www1.nyc.gov/site/omb/about/new-york-city-budget-cycle.page>.

Citizen participation in developing the budget is mandated by the City Charter. Through months of consultations with the 59 community boards, expense and capital budgets for operating agencies are established. Additionally, public hearings may be held by individual agencies to assist in the development and enhancement of their respective programs and operations. This provides agencies with a significant understanding of community priorities for capital project and service delivery improvements.

This schedule emphasizes the participation of the community boards at the local level because, by City Charter mandate, the boards are charged with monitoring City service delivery, proposing budget priorities, and reviewing development and land use proposals at the community level. The 59 local community boards are the primary mechanism for citizen participation in the budget process in New York City. Others seeking input into the City's budgetary decisions find it appropriate and useful to obtain a community board's endorsement of their proposals. Each board is composed of up to 50 people who live or work in the community district.

Citizens have an opportunity to participate in the above process in several ways including participating locally with the community board and organizations represented on community board committees and

attending and testifying at local hearings held by community boards and those held by the City Council. Hearings held in the fall facilitate the community boards' development of their Statement of Community District Needs and Priorities and their Capital and Expense/CD Budget project/program requests. In February, citizens have an opportunity to testify at a community board public hearing on the Preliminary Budget in relation to community needs. Public hearings are held by the City Council in May on the Executive Budget and the Proposed Community Development Budget before final adoption of the budget.

**Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))**

DHS, as the recipient of the ESG funds, works closely with public agencies and non-for-profit partners to reduce street homelessness, provide emergency shelter for single adults and to assist homeless families in the transition from temporary housing into permanent housing. DHS collaborates with governmental and non-governmental human services entities to enhance the provision of prevention and homeless services. As of April 2016, HRA oversees homeless prevention services as part of a new integrated services structure between DHS and HRA established to provide a more seamless and effective delivery of client services. The NYC CCoC, DHS and HRA are working on the implementation of a HUD mandated coordinated entry system (CES) furthering the coordination of resources available to persons experiencing homelessness.

New York City is fully participating in the Medicaid Expansion under the ACA. As a result, CoC and 100% of project recipients and subrecipients participated in efforts to educate and facilitate healthcare enrollment among low income and homeless individuals and families. Outreach, in-person assistors, certified application counselors, brokers, and navigators provided in person enrollment and assistance with the Marketplace. In addition to the CoC services and outreach, its partnership with NYC Health Insurance Link, Health and Hospital Corporation, Medicaid Health Homes, ensures that chronically homeless are assessed and enrolled in healthcare services. DHS also works closely with the NYC CCoC SOAR Taskforce and SAMHSA SOAR State Team to train case managers on SOAR to improve approval rates of Supplemental Security Income (SSI) or Social Security Disability Insurance (SSDI) benefits for eligible individuals and families served within the NYC continuum.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

DHS, the ESG recipient and collaborative applicant for the NYC CoC, coordinates extensively with CoC providers and the Consolidated Plan jurisdiction. Coordination takes place through monthly meetings between representatives from both groups in an effort to align priorities and share efforts toward the NYC's five year Con Plan. This collaboration has resulted in goals which are aligned and stated in the CoC's strategic plan as: Investing in proven strategies to reduce the number of homeless individuals on the streets; Preventing those families and individuals at-risk of homelessness from entering shelter; Ensuring that shelter is a short-term solution to a housing crisis by rapidly re-housing families and

individuals. The aim is to end homelessness, with an emphasis on veterans, chronic and youth homelessness.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The Homebase prevention, street outreach, and emergency shelter providers are evaluated based on HUD system performance measure. DHS shared this work with the Continuum of Care Steering Committee and will review periodically with the NYC CCoC Data Management Committee. DHS will utilize its HMIS to monitor system performance through the following indicators:

- Length of time homeless
- Returns to homelessness within 6 to 12 months; returns within two years
- Number of persons served
- Number of first time homeless persons
- Placement from Street Outreach and retention of Permanent Housing

For reference, see Emergency Solutions Grant Written Standards in Appendix.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities**

**Table 3 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	<b>NEW YORK CITY DEPARTMENT OF HOMELESS SERVICES</b>
	<b>Agency/Group/Organization Type</b>	Services-homeless Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Strategy Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	ESG recipient and CoC collaborative applicant. Provided insight into the City's homeless strategy and current trends in homelessness within the jurisdiction.
2	<b>Agency/Group/Organization</b>	<b>NYS OTDA</b>
	<b>Agency/Group/Organization Type</b>	Services-Persons with Disabilities Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. NYS OTDA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
3	<b>Agency/Group/Organization</b>	<b>NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT CDYCD</b>
	<b>Agency/Group/Organization Type</b>	Services-Children Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Needs - Unaccompanied youth Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. DYCD is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. DYCD also provides RHY services throughout the City.
4	<b>Agency/Group/Organization</b>	<b>Corporation for Supportive Housing</b>
	<b>Agency/Group/Organization Type</b>	Planning organization Nonprofit Org
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Strategy Coordinated Entry
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. CSH is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. CSH is also a member of the CoC's Veterans Task Force. CSH is also serves as co-chair to the Coordinated Assessment & Placement System (CAPS) Steering Committee.

5	<b>Agency/Group/Organization</b>	<b>NYS Office of Alcoholism and Substance Abuse Services (OASAS)</b>
	<b>Agency/Group/Organization Type</b>	Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. OASAS is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
6	<b>Agency/Group/Organization</b>	<b>NYS Office of Mental Health (OMH)</b>
	<b>Agency/Group/Organization Type</b>	Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. OASAS is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
7	<b>Agency/Group/Organization</b>	<b>NYC Human Resources Administration (HRA)</b>
	<b>Agency/Group/Organization Type</b>	Services-Victims of Domestic Violence Services-Employment Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Strategy Coordinated Entry
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. HRA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. HRA is also a member of the CoC's Veterans Task Force and

		Coordinated Assessment & Placement System (CAPS).
8	<b>Agency/Group/Organization</b>	<b>Homeless Services United (HSU)</b>
	<b>Agency/Group/Organization Type</b>	Services-homeless Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. HSU is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
9	<b>Agency/Group/Organization</b>	<b>Supportive Housing Network of New York (SHNNY)</b>
	<b>Agency/Group/Organization Type</b>	Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy Coordinated Entry
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. SHNNY is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. SHNNY is also a member of the CoC's Veterans Task Force and Coordinated Assessment & Placement System (CAPS).
10	<b>Agency/Group/Organization</b>	<b>New York City AIDS Housing Network (VOCAL)</b>
	<b>Agency/Group/Organization Type</b>	Services-Persons with HIV/AIDS Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. New York City AIDS Housing Network is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program



		activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals with HIV/AIDS.
11	<b>Agency/Group/Organization</b>	<b>Coalition of Behavioral Health Agencies</b>
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Health Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. Coalition of Behavioral Health Agencies is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for vulnerable individuals who are homeless.
12	<b>Agency/Group/Organization</b>	<b>Council of Senior Centers and Services of NYC, Inc.</b>
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. Council of Senior Centers and Services of NYC, Inc. is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless seniors.

13	<b>Agency/Group/Organization</b>	<b>Housing Preservation and Development</b>
	<b>Agency/Group/Organization Type</b>	Housing PHA Services - Housing Service-Fair Housing Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Strategy Coordinated Entry
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. HPD is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. HPD is also a member of the CoC's Veterans Task Force and Coordinated Assessment & Placement System (CAPS).
14	<b>Agency/Group/Organization</b>	<b>NYC DEPARTMENT OF HEALTH AND MENTAL HYGIENE</b>
	<b>Agency/Group/Organization Type</b>	Services-Persons with HIV/AIDS Services-Health Health Agency Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. NYC DOHMH is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals including persons with mental health and substance use needs.
15	<b>Agency/Group/Organization</b>	<b>NYC Coalition of Domestic Violence Providers</b>
	<b>Agency/Group/Organization Type</b>	Services-Victims of Domestic Violence Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. NYC Coalition of Domestic Violence Providers is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals who have experienced domestic violence.
16	<b>Agency/Group/Organization</b>	<b>Association for Runaway, Homeless &amp; Street Involved Youth Organizations</b>
	<b>Agency/Group/Organization Type</b>	Services-Children Services-homeless Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. The New York Association of Homeless and Street Involved Youth Organizations is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless youth needs.
17	<b>Agency/Group/Organization</b>	<b>Association for Community Living New York City (ACL-NYC)</b>
	<b>Agency/Group/Organization Type</b>	Services - Housing Services-Persons with Disabilities Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. ACL-NYC is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a

		leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals with disabilities.
18	<b>Agency/Group/Organization</b>	<b>ENTERPRISE COMMUNITY PARTNERS</b>
	<b>Agency/Group/Organization Type</b>	Nonprofit Org Community Development Financial Institution
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy Coordinated Entry
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. Enterprise Community Partners is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. Enterprise co chairs the Coordinated Assessment & Placement System (CAPS) Steering Committee.
19	<b>Agency/Group/Organization</b>	<b>US Department of Veterans Affairs</b>
	<b>Agency/Group/Organization Type</b>	Other government - Federal
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. The VA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. The VA is also a member of the CoC's Veteran Task Force.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

**Table 4 – Other local / regional / federal planning efforts**

<b>Name of Plan</b>	<b>Lead Organization</b>	<b>How do the goals of your Strategic Plan overlap with the goals of each plan?</b>
Continuum of Care	NYC Department of Homeless Services	The goals of the Strategic Plan are closely aligned with the goals of the NYC Coalition on the Continuum of Care. DHS is the CoC's Collaborative Applicant and a Steering Committee member. DHS is also the ESG recipient for New York City.

## AP-12 Participation – 91.105, 91.200(c)

### 1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

#### The Consolidated Plan Formulation Process

The City of New York conducted a public hearing to solicit comments on the formulation of the Proposed 2018 Consolidated Plan One-Year Action Plan, on October 26, 2017.

New Yorkers were invited to attend and participate in the formulation and development of the Consolidated Plan. Over 1,800 notification letters were sent to New York City residents, organizations and public officials inviting participation in the public hearing. In addition, notices regarding the public hearing were published in three local newspapers: an English-, a Spanish-, and a Chinese-language daily, each with citywide circulation. Furthermore, the notice was posted on DCP's website. Lastly, a notice was placed as a public service message on the City-operated local cable television access channel. The respective notices included relevant Plan-related information so that informed comments are facilitated. Testimony could be given orally at the hearing or submitted by mail or email in lieu of attendance.

#### The Public Comment Review Period and Public Hearing

The City used the same notification methods to notify the public of the Proposed 2018 Action Plan's release for public review, and the federally-required public hearing on the document.

To provide access to the document copies of the Proposed 2018 Action Plan are obtainable by the public at the City Planning Bookstore, 120 Broadway, 31st Floor, New York, NY 10271, Phone: 212-720-3667, (Mon, Tues: 9:30 am-11:30 am, Wed: 1-3 pm, please call for other days/times) or any of the DCP borough offices. In addition, copies of the Proposed Action Plan are referenceable in the City's Municipal Reference & Research Center (City Hall Library), and the main public library in each of the five boroughs. Furthermore, an Adobe Acrobat version of the Proposed Action Plan was posted on DCP's website (<http://www.nyc.gov/planning>) for public review.

The public comment period began **July 12, 2018** extending for 30 days ending **August 10, 2018**. The public hearing on the Proposed 2018 Consolidated Plan One-Year Action Plan will be conducted on **August 10, 2018** at 10:30am, in the City Planning Commission Hearing Room, 120 Broadway, Lower Concourse, Manhattan. A question and answer session with City agency representatives in attendance will follow.

The public is instructed to submit their written comments on the Proposed 2018 Action Plan by close of business, **August 10, 2018** to: Charles V. Sorrentino, New York City Consolidated Plan Coordinator, Department of City Planning, 120 Broadway 31st Floor, New York, New York 10271, email: [Con-PlanNYC@planning.nyc.gov](mailto:Con-PlanNYC@planning.nyc.gov).

Any comments received will be summarized and agencies' responses incorporated into the version submitted to HUD.

## Citizen Participation Outreach

**Table 1 – Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Newspaper Ad	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>No one attended either the announced formulation public hearing, or the public hearing on the Proposed Action Plan. Therefore, no response was required.</p>	<p>No one provided oral or written comments.</p>	N/A	
2	Notice Mailing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>No one attended either the announced formulation public hearing, or the public hearing on the Proposed Action Plan. Therefore, no response was required.</p>	<p>No one provided oral or written comments.</p>	N/A	

3	Internet Outreach	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>No one attended either the announced formulation public hearing, or the public hearing on the Proposed Action Plan. Therefore, no response was required.</p>	<p>No one provided oral or written comments.</p>	N/A	
4	Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p> <p>Residents of Public and Assisted Housing</p>	<p>No one attended either the announced formulation public hearing, or the public hearing on the Proposed Action Plan. Therefore, no response was required.</p>	<p>No one provided oral or submitted comments.</p>	N/A	