

# Proposed Consolidated Plan

2018  
One-Year Action Plan  
Executive Summary



**Bill de Blasio**  
Mayor, City of New York

**Carl Weisbrod**  
Director, Department of City Planning

**NYC**PLANNING  
DEPARTMENT OF CITY PLANNING CITY OF NEW YORK



*Effective as of December 22, 2017*

# Proposed Consolidated Plan

## 2018 One-Year Action Plan Executive Summary

**Bill de Blasio**

Mayor, City of New York

**Marisa Lago**

Director, Department of City Planning



Department of City Planning  
120 Broadway, New York, NY 10271

[nyc.gov/planning](http://nyc.gov/planning)

DCP# 18-01

# The City of New York

## **PROPOSED 2018 CONSOLIDATED PLAN One-Year Action Plan**

December 22, 2017

### **TABLE OF CONTENTS**

#### **EXECUTIVE SUMMARY**

|       |  |      |
|-------|--|------|
| AP-05 | Executive Summary  | ES-1 |
|       | 1. Introduction  | ES-1 |
|       | 2. Summary of Objectives   | ES-1 |
|       | 3. Evaluation of Past Performance                                      | ES-3 |
|       | 4. Summary of Citizen Participation Process and Consultation Process   | ES-3 |
|       | 5. Summary of Public Comments  | ES-4 |
|       | 6. Summary of Comments Not Accepted and Reasons for Not Accepting Them | ES-4 |

#### **THE (FORMULATION) PROCESS**

|       |                               |       |
|-------|-------------------------------|-------|
| PR-05 | Lead and Responsible Agencies | PR-1  |
| AP-10 | Consultation                  | PR-3  |
| AP-12 | Citizen Participation         | PR-13 |

# Executive Summary

## AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

### 1. Introduction

The *Proposed 2018 Consolidated Plan One-Year Action Plan* is the City of New York's annual application to the United States Department of Housing and Urban Development (HUD) for the four Office of Community Planning and Development entitlement programs: Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA).

In addition, the Proposed Action Plan serves not only as the City's application for the entitlement funds, but also as the HOPWA grant application for the New York HOPWA Eligible Metropolitan Statistical Area (HOPWA EMSA). The EMSA is comprised of the five boroughs of the City of New York plus three upstate New York jurisdictions (the counties of Westchester, Rockland and Orange), as well as three counties in central New Jersey (Middlesex, Monmouth and Ocean).

The 2018 Action Plan represents the fourth year of a five-year strategy for New York City's Consolidated Plan years 2015 through 2019. The five-year strategy was articulated in Volume 3 of the amended 2015 Consolidated Plan.

For the 2018 Program Year, the City is using its 2017 Grant award amounts to formulate its proposed 2018 projects (Flat Level Funding), with the exception of the HOPWA grant. New York City will amend its Action Plan after HUD announces the City's 2018 formula entitlement grant allocations. In 2017 the City received approximately \$262,458,448 from the four HUD formula grant programs: \$151,460,389 for CDBG; \$53,258,298 for HOME; \$44,197,111 for HOPWA; and \$13,542,650 for ESG.

It should be noted the expected resources do not currently contain a potential subgrant of the federal Housing Trust Fund. New York State Homes and Community Renewal controls the State's allocation and will decide on any suballocations later in 2018. If the City receives a subgrant award, it will amend its Action Plan to include the HTF funds accordingly.

### 2. Summarize the objectives and outcomes identified in the Plan

Both the current and previous mayoral administrations recognize that safe and affordable housing as a priority in maintaining the City's vitality. In May 2014, Mayor Bill de Blasio released *Housing New York: A Five-Borough, Ten-Year Plan*, a comprehensive plan to build and preserve 200,000 affordable units over the coming decade. In November of 2017, Mayor de Blasio released his *Housing New York 2.0* plan accelerating and expanding the original *Housing New York* plan. *Housing 2.0* introduced a suite of initiatives under development to create 200,000 affordable homes two years ahead of schedule, by 2022, and reach a new goal of 300,000 newly constructed or preserved units by 2026. The housing related activities within the Proposed 2017 Consolidated Plan One-Year Action Plan are part of the Mayor's broader housing strategy.

Although safe affordable housing is crucial to improving the lives of New Yorkers, the City allocates a large share of HUD entitlement funds to community redevelopment programs as part of a holistic approach to improving resident's quality of life.

For the 2018 Consolidated Plan Program Year, New York City intends to achieve its strategic objectives in the following manner:

**Table 1 – Objectives Summary**

**Accessibility to Decent Affordable Housing**

**7** Formula Entitlement-funded Projects will receive a cumulative total of **\$73,122,469.00**

This funding is indented to reach the following goals:

- 274,974 Persons assisted with new/improved access to services (cumulative).
- 12 Rental or owner-occupied units made accessible to persons with disabilities.
- 446 Rental units constructed.
- 64 Households assisted with tenant-based rental assistance.
- 4,379 Homeless persons assisted with overnight shelter.

**Decent Affordable Housing**

**19** Formula Entitlement-funded Projects will receive a cumulative total of **\$61,484,523.00**

This funding is indented to reach the following goals:

- 2,380 Housing units rehabilitated and returned to private ownership.
- 3,041 Persons living with AIDS provided with tenant-based rental assistance, supportive services, supportive housing and/or permanent housing placements.
- 120 First-time homebuyers assisted with downpayment assistance.

**Sustain Decent Housing**

**6** Formula Entitlement-funded Projects will receive a cumulative total of **\$100,727,106.00**

This funding is indented to reach the following goals:

- 56,200 Rental units rehabilitated.
- 675,000 Households assisted housing units brought up to code via housing code enforcement/foreclosed property care (cumulative).
- 5,200 Persons assisted with homelessness prevention.

**Availability/Accessibility to a Sustainable Living Environment**

**11** Formula Entitlement-funded Projects will receive a cumulative total of **\$27,021,544.00**

This funding is indented to reach the following goals:

- 17,071,493 Persons assisted with new/improved access to services (cumulative).
- 21,502 Homeless persons given overnight shelter (cumulative).
- 8,400 Persons provided new/improved access to senior centers.

**Affordability of a Sustainable Living Environment**

**1** Formula Entitlement-funded Projects will receive a cumulative total of **\$2,963,000.00**

This funding is indented to reach the following goals:

- 17,101,395 Households assisted with day care services.

**Sustainability of a Suitable Living Environment**

**7** Formula Entitlement-funded Projects will receive a cumulative total of **\$67,749,000.50**

This funding is indented to reach the following goals:

- 2,000 Elderly homeowners assisted with home repairs.
- 4 Owner-occupied historic homes rehabilitated.

- 151,489 Persons provided new/improved access to a facility.
- 35 Demolitions to remove slum or blighted conditions as part of geographically targeted revitalization effort.
- 8 Low- and moderate-income areas assisted.
- 265,000 Persons provided with public service activities within the Bronx River and the adjacent areas.
- 10,000 Public Housing Units will benefit from rehabilitation of NYCHA buildings.

#### **Availability/Accessibility to Economic Opportunity**

- 2 Formula Entitlement-funded Projects will receive a cumulative total of **\$3,780,000.00**

This funding is indented to reach the following goals:

- 1,702 Persons assisted with new/improved access to literacy, educational or vocational services.
- 38 Commercial districts in low-/moderate-income areas revitalized.

#### **Not-Applicable-Planning & Program Administration**

Please note that the proposed outcomes which are indicated as cumulative represent the aggregate from multiple programs that may provide different types of benefits that are accessed by the same set of persons and/or households. Therefore, the reader is advised to interpret the data with caution.

### **3. Evaluation of past performance**

Regarding New York City's past performance in its use of formula entitlement funds, please refer to the City's 2016 Consolidated Plan Annual Performance and Evaluation Report (CAPER), the most recent Performance Report available. The 2016 CAPER can be accessed on the New York City Department of City Planning's website at: <http://www1.nyc.gov/site/planning/about/consolidated-plan-apr.page?tab=7>.

The 2016 Consolidated Plan Annual Performance and Evaluation Report was originally submitted to HUD in late May 2017 for their review and subsequently approved in late October 2017, with revisions.

### **4. Summary of Citizen Participation Process and consultation process**

#### The Consolidated Plan Formulation Process

The City of New York conducted a public hearing to solicit comments on the formulation of the Proposed 2018 Consolidated Plan One-Year Action Plan, on *October 26, 2017*.

New Yorkers were invited to attend and participate in the formulation and development of the Consolidated Plan. Over 1,800 notification letters were sent to New York City residents, organizations and public officials inviting participation in the public hearing. In addition, notices regarding the public hearing were published in three local newspapers: an English-, a Spanish-, and a Chinese-language daily, each with citywide circulation. Furthermore, the notice was posted on DCP's website. Lastly, a notice was placed as a public service message on the City-operated local cable television access channel. The respective notices included relevant Plan-related information so that informed comments are facilitated. Testimony could be given orally at the hearing or submitted by mail or email in lieu of attendance.

#### The Public Comment Review Period and Public Hearing

The City used the same notification methods to notify the public of the Proposed 2018 Action Plan's release for public review, and the federally-required public hearing on the document.

To provide access to the document copies of the Proposed 2018 Action Plan are obtainable by the public at the City Planning Bookstore, 120 Broadway, 31st Floor, New York, NY 10271, Phone: 212-720-3667, (Monday: 10am-12 noon with walk-ins from 10-11am, and Wednesday: 1-3pm with walk-ins from 2-3pm) or any of the DCP borough offices. In addition, copies of the Proposed Action Plan are referenceable in the City's Municipal Reference & Research Center (City Hall Library), and the main public library in each of the five boroughs. Furthermore, an Adobe Acrobat version of the Proposed Action Plan was posted on DCP's website (<http://www.nyc.gov/planning>) for public review.

The public comment period began **December 22, 2017** extending for 30 days ending **January 22, 2018**. The public hearing on the Proposed 2018 Consolidated Plan One-Year Action Plan will be conducted as scheduled on **January 18, 2018** at 10:00am, in Spector Hall, 22 Reade Street, Manhattan. Spector Hall is wheelchair accessible and near accessible public transportation. A question and answer session with City agency representatives in attendance will follow.

The public is instructed to submit their written comments on the Proposed 2018 Action Plan by close of business, **January 22, 2018** to: Kendall L. Moses, New York City Consolidated Plan, Department of City Planning, 120 Broadway 31st Floor, New York, New York 10271, email: [Con-PlanNYC@planning.nyc.gov](mailto:Con-PlanNYC@planning.nyc.gov).

Any comments received will be summarized and agencies' responses incorporated into the version submitted to HUD.

## **5. Summary of public comments**

#### Comments from the Public Hearing on the Formulation of the Proposed 2018 One-Year Action Plan

The hearing began with opening remarks and the floor was then opened to testimony to those in attendance. However, no member of the public gave testimony. The hearing was concluded after the Consolidated Plan Committee member agencies' representatives waited a sufficient period of time to permit persons who may have been en route to the hearing the opportunity arrive and provide their testimony.

## **6. Summary of comments or views not accepted and the reasons for not accepting them.**

As stated above, no comments were received either orally or in writing from the formulation public hearing. Therefore, no response was required.

## PR-05 Lead & Responsible Agencies – 91.200(b)

### 1. Agency/entity responsible for preparing/administering the Consolidated Plan

**Table 2 – Responsible Agencies**

| Agency Role         | Name          | Department/Agency                             |
|---------------------|---------------|---|
| CDBG Administrator  |               | Office of Management and Budget               |
| HOPWA Administrator | NEW YORK CITY | Dept. of Health and Mental Hygiene            |
| HOME Administrator  | NEW YORK CITY | Dept. of Housing Preservation and Development |
| ESG Administrator   |               | Dept. of Homeless Services                    |

#### Narrative

The Department of City Planning is the lead agency in the City's Consolidated Plan application process and is responsible for the formulation, preparation and development of each year's proposed Plan in conjunction with the Consolidated Plan Committee member agencies and HUD.

The City's CDBG, HOME, HOPWA, and ESG programs are administered by the: Office of Management and Budget (OMB), the Department of Housing Preservation and Development (HPD), the Department of Health and Mental Hygiene (DOHMH) - Division of Disease Control, and the Department of Homeless Services (DHS), respectively.

The New York City Consolidated Plan also serves as the HOPWA grant application for six (6) surrounding counties within the New York Eligible Metropolitan Statistical Area (EMSA): The New York counties of Orange, Rockland and Westchester and the New Jersey counties of Middlesex, Monmouth, and Ocean, respectively.

HPD promotes the construction and preservation of affordable housing for low- and moderate-income families in thriving and diverse neighborhoods throughout the five boroughs. Using federal, state and local funds, HPD finances affordable housing development and preservation while also enforcing housing quality standards and endeavoring to ensure sound management of the city's affordable housing stock. In partnership with 13 sister agencies, advocates, developers, tenants, community organizations, elected officials, and financial institutions HPD is responsible for carrying out the Mayor's ten-year housing plan.

The New York City Housing Authority (NYCHA), using primarily Public Housing Capital funds, administers public housing new construction, rehabilitation and modernization activities, and home ownership opportunity programs, along with a Section 8 rental certificate and voucher program.

Furthermore, the NYC Continuum of Care for the Homeless and Other Special Needs Populations is administered by various city agencies, each according to their respective area of expertise. The supportive housing programs and services are funded primarily with City (capital and/or expense) and/or State funds.

The Human Resources Administration (HRA) and DHS operate under an integrated management structure coordinating services to prevent and alleviate homelessness for families with children and individuals and households without children. HRA provides public benefits and services which assist in homelessness prevention, diversion and rehousing services, including the administration of rental assistance to move homeless families and adults into permanent housing. DHS is responsible for shelter

operations and services to street homeless individuals. Programs for runaway and homeless youth and children aging out of foster care are administered by the Department of Youth and Community Development (DYCD), and Administration for Children's Services (ACS), respectively. HRA's HIV/AIDS Services Administration (HASA) provide emergency and supportive housing assistance and services for persons with HIV-related illness or AIDS.

DOHMH, along with the State's Office of Mental Health (OMH); Office for People with Developmental Disabilities (OPWDD); and, Office of Alcoholism and Substance Abuse Services (OASAS), plans, contracts for and monitors services for these disability areas and provides planning support to OASAS in the field of substance abuse services. DOHMH's Bureau of Mental Health, through contracted agencies, provides an array of mental health recovery oriented services and supportive housing programs to prevent homelessness and works collaboratively with Federal, City and State agencies to assure continuity of services.

The Department for the Aging, the Mayor's Office for People with Disabilities, and the Mayor's Office to Combat Domestic Violence address the concerns of targeted groups of citizens by providing housing information and supportive housing services assistance.

### **Consolidated Plan Public Contact Information**

Any questions or comments concerning New York City's Consolidated Plan and the formula entitlement grant funded activities may be directed to:

Kendall L. Moses, New York City Consolidated Plan, Department of City Planning, 120 Broadway, 31st Floor, New York, New York 10271, Phone (212) 720-3332 email: Con-PlanNYC@planning.nyc.gov.

**CDBG:** John Leonard, Director of Community Development, Office of Management and Budget (212) 788-6177

**HOME:** Kelly Anne Johnstone, Federal Policy Analyst, Government Affairs Division, Department of Housing Preservation and Development (212) 863-5769

**ESG:** Martha Kenton, Director of HUD Continuum of Care, Federal Homeless Policy & Reporting, Department of Social Services, (929) 221-6183

**HOPWA:** John Rojas, Assistant Commissioner, Department of Health and Mental Hygiene/Division of Disease Control (347) 396-7428

## **AP-10 Consultation – 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

New York City's Consolidated Plan citizen participation and formulation process is part of the City's larger Charter mandated budget process.

This process, specified in the City Charter, provides for citizen participation on all programs, projects and services funded by the City's Expense, Capital and Community Development Block Grant (CDBG) budgets.

As stated previously, the Consolidated Plan is the City's application for the four HUD Office of Community Planning and Development Entitlement Programs: CDBG, HOME, ESG, and HOPWA. The allocation of these funds will be for housing, homeless, supportive housing and community development programs and are determined during the City's Budget Process. The Consolidated Plan focuses on the money expected to be received from HUD and the matching funds that the City uses primarily from City Tax Levy; however, funds from the State, the private sector, and nonprofit organizations are also described.

The City's budget is required to be adopted on or before the start of its fiscal year (July 1st to June 30th). Citizens are encouraged to get involved in this decision-making process. The City's Budget Process, which is voted on by the City Council, is subject to extensive public review and participation. The City has an established citizen participation process that is divided into three phases: 1. Needs assessment and budget preparation (May to November); 2. Preliminary Budget (November to April); and 3. Executive and Adopted Budget (April to July). The Budget Process solicits citizen comments at several stages before the final budget is adopted. For opportunities for individuals, community boards, and other organizations to participate in the planning and budgeting process, please refer to the schedule on OMB's website at <http://www1.nyc.gov/site/omb/about/new-york-city-budget-cycle.page>.

Citizen participation in developing the budget is mandated by the City Charter. Through months of consultations with the 59 community boards, expense and capital budgets for operating agencies are established. Additionally, public hearings may be held by individual agencies to assist in the development and enhancement of their respective programs and operations. This provides agencies with a significant understanding of community priorities for capital project and service delivery improvements.

This schedule emphasizes the participation of the community boards at the local level because, by City Charter mandate, the boards are charged with monitoring City service delivery, proposing budget priorities, and reviewing development and land use proposals at the community level. The 59 local community boards are the primary mechanism for citizen participation in the budget process in New York City. Others seeking input into the City's budgetary decisions find it appropriate and useful to obtain a community board's endorsement of their proposals. Each board is composed of up to 50 people who live or work in the community district.

Citizens have an opportunity to participate in the above process in several ways including participating locally with the community board and organizations represented on community board committees and attending and testifying at local hearings held by community boards and those held by the City Council. Hearings held in the fall facilitate the community boards' development of their Statement of Community District Needs and Priorities and their Capital and Expense/CD Budget project/program requests. In February, citizens have an opportunity to testify at a community board public hearing on the Preliminary Budget in relation to community needs. Public hearings are held by the City Council in May on the

Executive Budget and the Proposed Community Development Budget before final adoption of the budget.

**Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))**

DHS, as the recipient of the ESG funds, works closely with public agencies and non-for-profit partners to reduce street homelessness, provide emergency shelter for single adults and to assist homeless families in the transition from temporary housing into permanent housing. DHS collaborates with governmental and non-governmental human services entities to enhance the provision of prevention and homeless services. As of April 2016, HRA oversees homeless prevention services as part of a new integrated services structure between DHS and HRA established to provide a more seamless and effective delivery of client services. The NYC CCoC, DHS and HRA are working on the implementation of a HUD mandated coordinated entry system (CES) furthering the coordination of resources available to persons experiencing homelessness.

New York City is fully participating in the Medicaid Expansion under the ACA. As a result, CoC and 100% of project recipients and subrecipients participated in efforts to educate and facilitate healthcare enrollment among low income and homeless individuals and families. Outreach, in person assistors, certified application counselors, brokers, and navigators provided in-person enrollment and assistance with the Marketplace. In addition to the CoC services and outreach, its partnership with NYC Health Insurance Link, Health and Hospital Corporation, Medicaid Health Homes, ensures that chronically homeless are assessed and enrolled in healthcare services. DHS also works closely with the NYC CCoC SOAR Taskforce and SAMHSA SOAR State Team to train case managers on SOAR to improve approval rates of Supplemental Security Income (SSI) or Social Security Disability Insurance (SSDI) benefits for eligible individuals and families served within the NYC continuum.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

DHS, the ESG recipient and collaborative applicant for the NYC CoC, coordinates extensively with CoC providers and the Consolidated Plan jurisdiction. Coordination takes place through monthly meetings between representatives from both groups in an effort to align priorities and share efforts toward the NYC's five year Con Plan. This collaboration has resulted in goals which are aligned and stated in the CoC's strategic plan as: Investing in proven strategies to reduce the number of homeless individuals on the streets; Preventing those families and individuals at-risk of homelessness from entering shelter; Ensuring that shelter is a short-term solution to a housing crisis by rapidly re-housing families and individuals. The aim is to end homelessness, with an emphasis on veterans, chronic and youth homelessness.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The Homebase prevention, street outreach, and emergency shelter providers are evaluated based on HUD system performance measure. DHS shared this work with the Continuum of Care Steering Committee and will review periodically with the NYC CCoC Data Management Committee. DHS will utilize its HMIS to monitor system performance through the following indicators:

- Number of individuals/households served
- Number of successful placements of individuals/households into permanent housing
- Reduction in the percent of persons who return to homeless
- Reduction in the length of time persons remain homeless
- Reduction in the number of persons who become homeless for the first time

For reference, see Emergency Solutions Grant Written Standards in Appendix.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**

**Table 3 – Agencies, groups, organizations who participated**

|   |  |   |
|---|--|---|
| 1 | <b>Agency/Group/Organization</b>   | NEW YORK CITY DEPARTMENT OF HOMELESS SERVICES   |
|   | <b>Agency/Group/Organization Type</b>  | Services-homeless<br>Other government - Local   |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homeless Needs - Families with children<br>Homelessness Needs - Veterans<br>Homelessness Strategy<br>Market Analysis |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | ESG recipient and CoC collaborative applicant. Provided insight into the City's homeless strategy and current trends in homelessness within the jurisdiction. |
| 2 | <b>Agency/Group/Organization</b>   | NYS OTDA  |
|   | <b>Agency/Group/Organization Type</b>  | Services-Persons with Disabilities<br>Other government - State  |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Strategy  |

|   |  |  |
|---|--|--|
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. NYS OTDA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.   |
| 3 | <b>Agency/Group/Organization</b>   | NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT DYCD   |
|   | <b>Agency/Group/Organization Type</b>  | Services-Children<br>Other government - Local  |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Needs - Unaccompanied youth<br>Homelessness Strategy   |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. DYCD is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. DYCD also provides RHY services throughout the City.  |
| 4 | <b>Agency/Group/Organization</b>   | Corporation for Supportive Housing   |
|   | <b>Agency/Group/Organization Type</b>  | Planning organization<br>Nonprofit Org   |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Needs - Veterans<br>Homelessness Strategy  |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. CSH is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. CSH is also a member of the CoC's Veterans Task Force. |
| 5 | <b>Agency/Group/Organization</b>   | NYS Office of Alcoholism and Substance Abuse Services (OASAS)  |
|   | <b>Agency/Group/Organization Type</b>  | Other government - State   |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Strategy   |

|   |  |  |
|---|--|--|
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. OASAS is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.  |
| 6 | <b>Agency/Group/Organization</b>   | NYS Office of Mental Health (OMH)  |
|   | <b>Agency/Group/Organization Type</b>  | Other government - State   |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless Homelessness Strategy  |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. OASAS is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.  |
| 7 | <b>Agency/Group/Organization</b>   | NYC Human Resources Administration (HRA)   |
|   | <b>Agency/Group/Organization Type</b>  | Services-Victims of Domestic Violence<br>Services-Employment<br>Other government - Local   |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Strategy  |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. HRA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. HRA is also a member of the CoC's Veterans Task Force. |
| 8 | <b>Agency/Group/Organization</b>   | Homeless Services United (HSU)   |
|   | <b>Agency/Group/Organization Type</b>  | Services-homeless<br>Nonprofit Org.  |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless Homelessness Strategy  |

|    |  |   |
|----|--|---|
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. HSU is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.   |
| 9  | <b>Agency/Group/Organization</b>   | Supportive Housing Network of New York (SHNNY)  |
|    | <b>Agency/Group/Organization Type</b>  | Planning organization<br>Nonprofit Org.   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless Homelessness Strategy   |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. SHNNY is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. SHNNY is also a member of the CoC's Veterans Task Force.  |
| 10 | <b>Agency/Group/Organization</b>   | New York City AIDS Housing Network (VOCAL)  |
|    | <b>Agency/Group/Organization Type</b>  | Services-Persons with HIV/AIDS<br>Planning organization<br>Nonprofit Org.   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless Homelessness Strategy   |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. New York City AIDS Housing Network is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals with HIV/AIDS. |

|    |  |   |
|----|--|---|
| 11 | <b>Agency/Group/Organization</b>   | Coalition of Behavioral Health Agencies   |
|    | <b>Agency/Group/Organization Type</b>  | Services-Elderly Persons<br>Services-Persons with Disabilities<br>Services-Persons with HIV/AIDS<br>Services-Health<br>Planning organization<br>Nonprofit Org.  |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Strategy  |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. Coalition of Behavioral Health Agencies is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for vulnerable individuals who are homeless. |
| 12 | <b>Agency/Group/Organization</b>   | Council of Senior Centers and Services of NYC, Inc.   |
|    | <b>Agency/Group/Organization Type</b>  | Services-Elderly Persons<br>Planning organization<br>Nonprofit Org.   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Strategy  |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. Council of Senior Centers and Services of NYC, Inc. is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless seniors.            |
| 13 | <b>Agency/Group/Organization</b>   | Housing Preservation and Development  |
|    | <b>Agency/Group/Organization Type</b>  | Housing<br>PHA<br>Services - Housing<br>Service-Fair Housing<br>Other government - Local  |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Needs - Veterans<br>Homelessness Strategy   |

|    |  |  |
|----|--|--|
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. HPD is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. HPD is also a member of the CoC's Veterans Task Force.   |
| 14 | <b>Agency/Group/Organization</b>   | NYC DEPARTMENT OF HEALTH AND MENTAL HYGIENE  |
|    | <b>Agency/Group/Organization Type</b>  | Services-Persons with HIV/AIDS<br>Services-Health<br>Health Agency<br>Other government - Local   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Strategy   |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. NYC DOHMH is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals including persons with mental health and substance use needs.   |
| 15 | <b>Agency/Group/Organization</b>   | NYC Coalition of Domestic Violence Providers   |
|    | <b>Agency/Group/Organization Type</b>  | Services-Victims of Domestic Violence<br>Nonprofit Org.  |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Strategy   |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. NYC Coalition of Domestic Violence Providers is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals who have experienced domestic violence. |

|    |  |   |
|----|--|---|
| 16 | <b>Agency/Group/Organization</b>   | Association for Runaway, Homeless & Street Involved Youth Organizations   |
|    | <b>Agency/Group/Organization Type</b>  | Services-Children<br>Services-homeless<br>Planning organization<br>Nonprofit Org.   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Strategy  |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. The New York Association of Homeless and Street Involved Youth Organizations is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless youth needs. |
| 17 | <b>Agency/Group/Organization</b>   | Association for Community Living New York City (ACL-NYC)  |
|    | <b>Agency/Group/Organization Type</b>  | Services - Housing<br>Services-Persons with Disabilities<br>Planning organization<br>Nonprofit Org.   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Strategy  |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. ACL-NYC is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals with disabilities.  |
| 18 | <b>Agency/Group/Organization</b>   | ENTERPRICE COMMUNITY PARTNERS   |
|    | <b>Agency/Group/Organization Type</b>  | Nonprofit Org<br>Community Development Financial Institution  |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Strategy  |

|    |  |   |
|----|--|---|
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. Enterprise Community Partners is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.                                   |
| 19 | <b>Agency/Group/Organization</b>   | US Department of Veterans Affairs   |
|    | <b>Agency/Group/Organization Type</b>  | Other government - Federal  |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homelessness Needs - Veterans<br>Homelessness Strategy   |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Consultation for ESG through participation in NYC CCoC. The VA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. The VA is also a member of the CoC's Veteran Task Force. |

#### Other local/regional/state/federal planning efforts considered when preparing the Plan

**Table 4 – Other local / regional / federal planning efforts**

| <b>Name of Plan</b> | <b>Lead Organization</b>            | <b>How do the goals of your Strategic Plan overlap with the goals of each plan?</b>  |
|---------------------|-------------------------------------|--|
| Continuum of Care   | NYC Department of Homeless Services | The goals of the Strategic Plan are closely aligned with the goals of the NYC Coalition on the Continuum of Care. DHS is the CoC's Collaborative Applicant and Steering Committee member. DHS is also the ESG recipient for New York City. |

## AP-12 Participation – 91.105, 91.200(c)

### 1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

#### The Consolidated Plan Formulation Process

The City of New York conducted a public hearing to solicit comments on the formulation of the Proposed 2018 Consolidated Plan One-Year Action Plan, on *October 26, 2017*.

New Yorkers were invited to attend and participate in the formulation and development of the Consolidated Plan. Over 1,800 notification letters were sent to New York City residents, organizations and public officials inviting participation in the public hearing. In addition, notices regarding the public hearing were published in three local newspapers: an English-, a Spanish-, and a Chinese-language daily, each with citywide circulation. Furthermore, the notice was posted on DCP's website. Lastly, a notice was placed as a public service message on the City-operated local cable television access channel. The respective notices included relevant Plan-related information so that informed comments are facilitated. Testimony could be given orally at the hearing or submitted by mail or email in lieu of attendance.

#### The Public Comment Review Period and Public Hearing

The City used the same notification methods to notify the public of the Proposed 2018 Action Plan's release for public review, and the federally-required public hearing on the document.

To provide access to the document copies of the Proposed 2018 Action Plan are obtainable by the public at the City Planning Bookstore, 120 Broadway, 31st Floor, New York, NY 10271, Phone: 212-720-3667, (Monday: 10am-12 noon with walk-ins from 10-11am, and Wednesday: 1-3pm with walk-ins from 2-3pm) or any of the DCP borough offices. In addition, copies of the Proposed Action Plan are referenceable in the City's Municipal Reference & Research Center (City Hall Library), and the main public library in each of the five boroughs. Furthermore, an Adobe Acrobat version of the Proposed Action Plan was posted on DCP's website (<http://www.nyc.gov/planning>) for public review.

The public comment period began **December 22, 2017** extending for 30 days ending **January 22, 2018**. The public hearing on the Proposed 2018 Consolidated Plan One-Year Action Plan will be conducted as scheduled on **January 18, 2018** at 10:00am, in Spector Hall, 22 Reade Street, Manhattan. Spector Hall is wheelchair accessible and near accessible public transportation. A question and answer session with City agency representatives in attendance will follow.

The public is instructed to submit their written comments on the Proposed 2018 Action Plan by close of business, **January 22, 2018** to: Kendall L. Moses, New York City Consolidated Plan, Department of City Planning, 120 Broadway 31st Floor, New York, New York 10271, email: [Con-PlanNYC@planning.nyc.gov](mailto:Con-PlanNYC@planning.nyc.gov).

Any comments received will be summarized and agencies' responses incorporated into the version submitted to HUD.

## Citizen Participation Outreach

Table 5 – Citizen Participation Outreach

| Sort<br>Order | Mode of<br>Outreach | Target of Outreach   | Summary of<br>response/attendance  | Summary of<br>comments received                 | Summary of comments<br>not accepted<br>and reasons | URL (If<br>applicable) |
|---------------|---------------------|--|--|---|--|------------------------|
| 1             | Newspaper<br>Ad     | Minorities<br><br>Non-English Speaking -<br>Specify other language:<br>Spanish, Chinese<br><br>Persons with disabilities<br><br>Non-targeted/broad<br>community<br><br>Residents of Public and<br>Assisted Housing | No one attended<br>either the announced<br>formulation public<br>hearing, or the public<br>hearing on the<br>Proposed Action Plan.<br>Therefore, no<br>response was<br>required. | No one provided<br>oral or written<br>comments. | N/A  |                        |
| 2             | Notice<br>Mailing   | Minorities<br><br>Non-English Speaking -<br>Specify other language:<br>Spanish, Chinese<br><br>Persons with disabilities<br><br>Non-targeted/broad<br>community<br><br>Residents of Public and<br>Assisted Housing | No one attended<br>either the announced<br>formulation public<br>hearing, or the public<br>hearing on the<br>Proposed Action Plan.<br>Therefore, no<br>response was<br>required. | No one provided<br>oral or written<br>comments. | N/A  |                        |

|   |                   |   |  |   |     |  |
|---|-------------------|---|--|---|-----|--|
| 3 | Internet Outreach | <p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p> | No one attended either the announced formulation public hearing, or the public hearing on the Proposed Action Plan. Therefore, no response was required. | No one provided oral or written comments.   | N/A |  |
| 4 | Public Hearing    | <p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p> <p>Residents of Public and Assisted Housing</p>                                     | No one attended either the announced formulation public hearing, or the public hearing on the Proposed Action Plan. Therefore, no response was required. | No one provided oral or submitted comments. | N/A |  |