#### FY 2023 AGENCY QUARTERLY DIVERSITY AND EEO REPORT

| AGENCY NAME:NYC OFFICE OF MANAGEMEN   | T AND BUDGET     |  |
|---|------------------|--|
| <ul> <li>✓ 1<sup>st</sup> Quarter (July -September),</li> <li>☐ 3<sup>rd</sup> Quarter (January -March),</li> </ul> |                  | <ul> <li>2<sup>nd</sup> Quarter (October - December), due January 29, 2023</li> <li>4<sup>th</sup> Quarter (April -June), due July 30, 2023</li> </ul> |
| Prepared by: Danica You EEO and DEI Officer Date Submitted: 2/14/2023   | YouD@omb.nyc.gov | 212-788-6030   |
| FOR DCAS USE ONLY:  | Date Received:   |  |

### **INSTRUCTIONS FOR FILLING OUT QUARTERLY REPORTS FY 2023**

[NOTE: These forms are cumulative. For Q2, Q3 and Q4 use previous quarter's submission to update]

- 1. Please save this file as 'XXXX Quarter X FY 2023 DEEO Quarterly Report.Part I' where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Word format. Please do not convert it to PDF.
- 2. Complete the "Diversity and EEO Training Summary" details in the attached Excel file. Under Section 10 ("Other"), include training classes coorganized or co-sponsored by your agency EEO and/or HR that are related to the development of the agency staff in the areas of equal employment, diversity, inclusion, civil rights, workplace culture and behavior, interpersonal relations, and community relations.
- 3. Mark progress in check boxes in the column for the current quarter. NOTE: DELAYED = behind schedule; DEFERRED = put off until later when better resources become available.
- 4. Please save the Excel file as 'XXXX Quarter X FY 2023 DEEO Training Summary", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Excel format. Please do not convert it to PDF.

### **PART I: NARRATIVE SUMMARY**

| l.   | COMMITMENT AND ACCOUNTABILITY ST   | ATEMENT BY THE AGENCY HEAD  |  |
|------|--|---|--|
|      | Distributed to all agency employees?                                     | <ul><li>☑ Yes, On (Date): 6/21/2021</li><li>☑ By e-mail</li><li>☐ Posted on agency intranet</li><li>☐ Other</li></ul> | □No  |
| II.  | RECOGNITION AND ACCOMPLISHMENTS  |   |  |
|      | The agency recognized employees, supe opportunity through the following: | rvisors, managers, and units der  | nonstrating superior accomplishment in diversity and equal employmen |
|      | ☐ Diversity & EEO Awards   |   |  |
|      | ☐ Diversity and EEO Appreciation Events                                  |   |  |
|      | ☐ Public Notices   |   |  |
|      | ☑ Positive Comments in Performance Ap                                    | praisals  |  |
|      | ☐ Other (please specify):  |   | <u></u>  |
|      | * Please describe D&EEO Awards and                                       | l/or Appreciation Events below:   |  |
| III. | WORKFORCE REVIEW AND ANALYSIS  |   |  |
|      | 1. Agency Headcount as of the last day                                   | of the quarter was:   |  |
|      | <b>Q1 (9/30/2022):</b> 398   | Q2 (12/31/2022):  |  |
|      | Q3 (3/31/2023):  | Q4 (6/30/2023):   |  |

| 2. | . Agency reminded employees to update self-ID information regarding race/ethnicity, gender, and veteran status. |   |   |   |  |  |
|----|---|---|---|---|--|--|
|    | ☑ Yes - On (Date):  | May 13, 2022  | □No   |   |  |  |
|    |   | •   | nformation about how to use ES<br>d with a soft copy of the slide de  | •   | formation. This orientation  |  |
| 3. |   |   | nt to the EEO Officer with demo<br>whires, promotions and separat   |   | _  |  |
|    | ☑ Yes - On (Dates):   | Q1 – October 6, 2022<br>Q2 –<br>Q3 –<br>Q4 –  |   |   |  |  |
|    |   | _   | g to demographic data and trend<br>rious EEO/DEI related issues, inc  | _   |  |  |
|    |   | Q1  | Q2  | Q3  | Q4   |  |
|    | The review was conducted with:  | <ul> <li>☑ Human Resources</li> <li>☐ Agency Head</li> <li>☐ General Counsel</li> <li>☐ Other</li> <li>☐ Not conducted</li> </ul> | <ul> <li>☐ Human Resources</li> <li>☐ Agency Head</li> <li>☐ General Counsel</li> <li>☐ Other</li> <li>☐ Not conducted</li> </ul> | <ul> <li>☐ Human Resources</li> <li>☐ Agency Head</li> <li>☐ General Counsel</li> <li>☐ Other</li> <li>☐ Not conducted</li> </ul> | <ul> <li>☐ Human Resources</li> <li>☐ Agency Head</li> <li>☐ General Counsel</li> <li>☐ Other (Chief of Staff)</li> <li>☐ Not conducted</li> </ul> |  |

### IV. EEO, DIVERSITY, INCLUSION, AND EQUITY INITIATIVES FOR FY 2023

Please describe your progress this quarter in implementing the primary goals in Section IV of your Agency Diversity and EEO Plan for FY 2023 - Proactive Strategies to Enhance Diversity, EEO and Inclusion:

### A. WORKFORCE:

| Please list the <b>Workforce Goal(s)</b> included in <i>Section IV: Proactive Strategies to Enhance Diversity, EEO and Inclusion,</i> which you set/declared in your FY 2023 Diversity and EEO Plan (e.g., underutilization, workforce planning, succession planning and diverse applicant pool, among others): | Please describe the steps that your agency has taken to meet the Workforce Goal(s) set/declared in your plan.  Include steps that were taken or considered to build an inclusive and sustainable pipeline for your agency across all levels.  | Q1<br>Update   | Q2<br>Update   | Q3<br>Update   | Q4<br>Update   |
|---|---|--|--|--|--|
| A central workforce goal is for OMB to secure, support, and maintain a high-performing and diverse workforce.   | To start this new fiscal year, OMB continued its ongoing efforts to recruit from a diverse, qualified group of applicants. Including discussing options for developing a more effective recruitment and hiring system, continue to train hiring managers in best practices and recommending necessary changes to make the workforce more inclusive. The agency is in the process of selecting and implementing a new HRIS which will help us better track details about job applicants and current employees.  The EEO/DEI Officer works closely with the HR Director and other agency leadership as necessary to implement DCAS's Diverse and Inclusive Recruitment Best Practices, as established by DCAS in the first quarter of FY 2022 and add additional practices as necessary | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |

|  | to help build a diverse and inclusive workforce. The EEO/DEI Officer and HR Director meet on a weekly basis to discuss diversity efforts in recruitment and retention, amongst many other issues relating to their work.  |  |  |  |  |
|--|---|--|--|--|--|
|  | OMB continues to recruit employees from a diverse group of schools. OMB posts job openings using Handshake which reaches a large pool of applicants. This quarter, the EEO/DEI Officer finalized a list of additional organizations to add to recruitment efforts, focusing on diversifying the pool of qualified applicants (e.g., affinity groups within colleges and universities, HBCUs, etc.). |  |  |  |  |
|  | As part of efforts to enhance the hiring process at OMB, the agency began working with the Mayor's Office of Veteran's Services to participate in the VetConnect program last fiscal year and continue to build upon those efforts in FY2023.   |  |  |  |  |
| Promotion and Retention Processes  Continue to support the promotion and retention of staff with diverse experience and attributes this fiscal year. | This quarter, the agency continued its analysis of the composition of its workforce, as well as demographic information of job applicants and staff selected for promotion, to better understand how that information might influence certain practices and programs developed by the agency.   | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
|  | As mentioned above, this quarter the agency is in the process of selecting and implementing a new HRIS system, which will include the   |  |  |  |  |

|  | ability to better track internal data. This new system will also provide better mechanisms for administering the employee evaluation process, which will help standardize certain elements of the employee review process – and, in turn, play a role in ensuring that promotional opportunities are given in an equitable manner.  The agency also continued to engage in efforts such as work with EquityNYC (including requirements under Executive Order 34) and performing additional analysis of workforce composition, including a review of promotion |  |  |  |  |
|--|---|--|--|--|--|
|  | practices, professional development opportunities, and other issues relevant to this workforce goal.  |  |  |  |  |
| Mentorship Program  One agency goal is to update its mentorship program to strengthen its efforts while supporting the existing mentor/mentee connections. | The existing program pairs together senior managers and less experienced staff to share perspectives, values, and experiences. It also provides an opportunity for staff to explore areas of interest outside their assigned portfolio. To allow for greater participation throughout the agency, each year preference is given to mentees who have not participated in program.  | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
|  | This quarter, the agency is putting the final touches before re-launching the mentorship program in Q2 of FY2023. As part of this process, the senior leader who established program is working with others to determine additional areas for growth, including   |  |  |  |  |

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|   | determining whether broadening the Program to also include a mentorship opportunity between junior employees and mid-level managers, to provide additional opportunities for junior employees to gain insight from the experiences of the mid-level managers and to provide participating mid-level managers with the opportunity to strengthen their leadership skills. |  |  |  |  |
| Professional Development Opportunities  Significantly expanding professional development opportunities is another key workforce goal for this fiscal year.  | The agency's Chief Learning Officer, who began her work last fiscal year, is in the process of building up a formal learning and development program for the agency. The L&D program will include training for employees at all levels, initially targeting managers and analysts.   | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
| Describe steps that were taken or considered to address underutilization identified through quarterly workforce reports. Please list Job Groups where underutilization exists in the current quarter. |  |  |  |  |  |
| The job groups where underutilization was identified in the DCAS quarterly workforce reports were Management Specialists (Black, -52) and Managers (Black, -11).                                      |  |  |  |  |  |

The following steps were taken to address underutilization as identified in the Q1 workforce reports:

- 1. Expanding internal and external applicant pools to address the underutilization through outreach strategies for broader recruitment, including finalizing a list of additional contacts to help diversify the pool of qualified applicants.
- 2. Continuing to inform and encourage applications for the upcoming civil service examinations.
- 3. Continue to use the guarterly workforce report and dashboard to identify specific job groups where underutilization exists and guide recruitment efforts.
- 4. Strengthen professional development opportunities to better train existing staff to grow into more senior-level positions, including those that fall under the Managers categories. The agency's first Chief Learning Officer will continue to work in partnership with HR and EEO where appropriate to develop these opportunities.
- 5. Expanding efforts to cultivate an inclusive workplace that enables full participation encourages collaboration, flexibility, and fairness, including starting consistent DEI-related activities. See *Workplace* section below for full list of details on the agency's DEI-related activities for this quarter.

### B. WORKPLACE:

| Please list the <b>Workplace Goal(s)</b> included in <i>Section IV: Proactive Strategies to Enhance Diversity, EEO and Inclusion,</i> which you set/declared in your FY 2023 Diversity and EEO Plan (e.g., job satisfaction/engagement surveys, exit interviews/surveys, and onboarding surveys): | Please describe the steps that your agency has taken to meet the Workplace Goal(s) set/declared in your plan.  Include steps that were taken or considered to create an inclusive work environment that values differences that each of your unique employees brings to work, and to maintain focus on retaining talent across all levels.  | Q1<br>Update  | Q2<br>Update   | Q3<br>Update   | Q4<br>Update   |
|---|---|---|--|--|--|
| Continue to track, measure, evaluate, and improve employee job satisfaction.  | OMB continues to provide exit surveys to all exiting employees. The survey includes a question asking whether our agency provided a work environment that was inclusive and values employee differences.  | <ul> <li>□ Planned</li> <li>□ Not started</li> <li>☑ Ongoing</li> <li>□ Delayed</li> <li>□ Deferred</li> <li>□ Completed</li> </ul> | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
| Continue to ensure that all new employees complete all the City's required Computer Based Trainings.  | Continued efforts to achieve 100% employee participation in the DCAS Computer Based Training modules including, but not limited to, EEO Awareness, Diversity and Inclusion; IgbTq: The Power of Inclusion; Sexual Harassment Prevention; DOI Corruption Prevention Awareness; and Conflicts of Interest Prevention Training. Computer-based training details are sent to all new employees and HR follows up to ensure completion.  The EEO/DEI Officer has implemented a process, with the assistance of other staff, to consistently track training completions and | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed  | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |

|   | engage additional measures to encourage staff to complete the trainings prior to their deadlines, including individualized emails, outreach to supervisors, and phone calls.   |  |  |  |  |
|---|--|--|--|--|--|
| Continue to distribute and highlight the City's EEO Policy.   | OMB provides EEO training in New Employee Orientations (which highlights the EEO Policy, amongst other topics relating to EEO) in addition to providing all new employees with a copy of the City's EEO Policy when they first start at the agency.  This quarter, the EEO/DEI Officer provided an | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
|   | Introduction to EEO Training during the New Employee Orientation held August 3, 2022.  |  |  |  |  |
| Keep employees connected throughout the agency and keep all up to date on key issues relevant to EEO and DEI, as well as the agency's work – the budgeting process - to keep all staff up to date on important work related topics. |  | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |

and overall community. A list of the events

put on by this group is below.

Continue to offer OMB Institute trainings to all employees which are led by OMB senior management and aim to educate employees on current budget issues. This quarter, OMB Institute took place, with 18 unique events focusing on issues including "Vision for OMB and the Challenges and Opportunities Ahead," "Bond, not James Bond: An Introduction to Municipal Bonds," and "COVID-19 Impacts." OMB's Data Science Huddle, which is a group organized by employees that focuses on various issues relating to data science relevant to employees' work at the agency and issues of general interest that impact people who live in NYC. The Data Science Huddle provides positive educational opportunities in a less-formal setting and across taskforces/divisions. The group also creates an environment where employees can explore data-related issues that may have personal meaning to them and explore tools that they might not use in their day-today work but might incorporate in the future. At times, the Data Science Huddle also hosts events with a focus on equityrelated issues. Overall, the DSH contributes greatly to the agency and efforts relating DEI

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| Improve workplace by strengthening the existing employee resource group and mentorship programs. | This quarter, the EEO Officer continued to work on an employee equity group toolkit. The EEG program is open to all interested employees but focuses on groups that may fall under the City's EEO Policy, as well as groups that have been identified as underutilized based on DCAS reporting. The EEGs are intended to put on events and address the myriad of issues to help enhance equity at the agency and continue and strengthen existing efforts such as the mentorship program, and events to address broader issues relating to race relations. | <ul> <li>☑ Planned</li> <li>☐ Not started</li> <li>☐ Ongoing</li> <li>☐ Delayed</li> <li>☐ Deferred</li> <li>☐ Completed</li> </ul> | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
|--|--|---|--|--|--|
|--|--|---|--|--|--|

Please specify any other EEO-related activities during the quarter (e.g., postings, meetings, cultural programs promoting diversity, newsletters/articles, etc.) and describe briefly the activities, including the dates when the activities occurred.

### **EEO/DEI Activities**

There were numerous meetings, trainings, and other activities relating to EEO and DEI efforts during the 1st quarter of FY2023.

As mentioned earlier, this quarter the EEO/DEI Officer worked with colleagues to put on cultural programming in recognition of OMB National BIPOC Mental Health Month Event (7/14/22). This event featured a presentation by Cali Delp, a student at NYU who presented on her study of mental health issues facing members of the Diné people, an Indigenous community that she hails from and is a part of. After the presentation, the attendees participated in a roundtable discussion centering around mental health issues and concerns of BIPOC communities.

The EEO/DEI Officer continued to draft and distribute a monthly DEI newsletter this quarter. The newsletter is distributed to all agency employees via email and is also posted to the agency's intranet. Each month will feature various DEI-related celebrations and commemorations. The newsletter for July 2022 highlighted Independence Day, National Disability Independence Day, World Day for International Justice, World Population Day, and Global Forgiveness Day. The August 2022 newsletter featured International Day of the World's Indigenous Peoples, National Senior Citizens Day, Women's Equality Day, International Youth Day, and World Humanitarian Day. The September 2023 newsletters featured Hispanic Heritage Month, National Recovery Month, Suicide Prevention Month, Labor Day, International Day of Peace, and National Native American Day. The intent of this newsletter is to highlight key DEI-related occasions to highlight issues that may resonate to some of the agency's employees and serve as an educational tool. As stated above, beginning this quarter, the email newsletter was also uploaded to the OMB Hive (agency intranet) for optimal viewing experiences.

During the 1<sup>st</sup> quarter of FY2023, the EEO/DEI Officer also participated in a few trainings focusing on EEO/DEI issues: (1) EEO Officer CEEDS training (July 13, 2022), (2) DFTA – Building an Age-Inclusive NYC – Training to Develop an Age-Inclusive Workforce (July 28, 2022), (3) Sexual Harassment Prevention live webinar (August 17, 2022), and (4) DFTA – Building an Age-Inclusive NYC – Age Inclusive Strategies for Workplace and Community (August 29, 2022).

Additionally, the EEO/DEI Officer attended meetings hosted by DCAS and other City partner agencies: (1) Mayor's Office for People with Disabilities & DCAS "Disability is Diversity" Symposium: Workforce Inclusion and Beyond (July 29, 2022), (2) EEO Officers Best Practices Meeting (August 22, 2022), (3) Alternative Dispute Resolution Coordinator quarterly meeting (August 22, 2022), and (4) Domestic and Gender-Based Violence Workplace Policy Liaisons Meeting and Training (September 28, 2022).

This quarter, the EEO/DEI Officer also had two interns on board, one graduate level and one undergraduate. These interns assisted with a number of EEO/DEI-related tasks, with a special focus on DEI-related event planning and helping to create organizational systems, both electronic and paper filing. Since the EEO/DEI Office is a staff of one person, their efforts were incredibly helpful to the agency's overall EEO/DEI work.

The agency also hosted a number of summer events (e.g., trip to Governor's Island, field day, etc.) with the intent of providing a casual and enjoyable environment for OMB employees to interact and to help strengthen the agency culture.

#### Data Science Huddle

This quarter, the Data Science Huddle hosted seven events this quarter, all aimed at providing technical data education opportunities to all staff. These events are intended to provide unique educational opportunities to all interested staff, while helping to build community by bringing together employees who might not normally collaborate in their everyday work. The Data Science Huddle also ensures that at least some of their events center on an equity-based topic – and this quarter, the group specifically dedicated multiple events in September to equity-related issues as part of the agency's overall efforts to increase focus on DEI-related issues.

July 9, 2022: NYCHA PACT Pipeline Prioritization

July 14, 2022: ACS Household Income Data in R (external presenter, DCP) July 21 and August 18, 2022: Let's Solve the Rubik's Cube, pt. 1 and pt. 2

August 19, 2022: Excel Design

September 9, 2022: Equity in the Budgeting Process (external presenter - Commissioner of the Racial Justice Commission)

September 16, 2022: Spotlight on Jennifer Jones Austin (external presenter - Chair of the Racial Justice Commission and CEO of the Federation of Protestant Welfare Agencies)

September 23, 2022: Equity through Data

## C. COMMUNITY:

| Please list the <b>Community Goal(s)</b> included in <i>Section IV: Proactive Strategies to Enhance Diversity, EEO and Inclusion,</i> which you set/declared in your FY 2023 Diversity and EEO Plan (e.g., community outreach and engagement, MWBE participation and customer satisfaction surveys): | Please describe the steps that your agency has taken to meet the Community Goal(s) set/declared in your plan.  Include steps that were taken or considered to establish your agency as a leading service provider to the citizens of New York City focused on inclusion and cultural competency, while reflecting the vast communities that are served. | Q1<br>Update   | Q2<br>Update   | Q3<br>Update   | Q4<br>Update   |
|--|---|--|--|--|--|
| Continue to make OMB's public-facing work accessible to the diverse population of NYC.   | OMB will continue to work with the Mayor's Office of Immigrant Affairs to coordinate our agency's language access policy and implementation plan. Per the Mayor's executive order, the agency has and will continue to work with DOITT to make our website and its publications on the City's budget more accessible to all New Yorkers.                | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
| Actively utilize qualified M/WBE vendors.  | OMB attends M/WBE outreach events and procurement fairs hosted by various city agencies. In keeping with past precedent and dependent on available events, OMB plans to attend a minimum of four events this year while also fielding calls and conducting procurement-specific outreach to qualified M/WBE vendors.                                    | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |

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|---|--|--|--|--|--|--|
| Continue to incorporate equity principles into the agency's work.   | This quarter, the agency continued to work with EquityNYC on efforts to incorporate equity into the agency's broader budgeting work, continued strong use of purchasing from MWBEs, and through methods such as the future employee equity groups, and working toward a more diverse workforce and inclusive culture (including through efforts outlined above), which would drive a better understanding of the needs and relevant expectations of the community. | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |  |
| Please specify any other Community-directed activities during the quarter (e.g., meetings, educational and cultural programs, promotion of agency services, community fairs, etc.) and describe briefly the activities, including the dates when the activities occurred. |  |  |  |  |  |  |
| The nature of OMB's work is not directly communi outlined above.  | ty-facing, but the agency does make efforts to   | integrate Comm   | unity-directed ac  | tivities where app   | propriate as   |  |

## V. <u>RECRUITMENT</u>

### A. RECRUITMENT EFFORTS

| Please list Recruitment Strategies and Initiatives which you set/declared in your FY 2023 Diversity and EEO Plan (e.g., targeted outreach and outreach, diversity recruitment, social media presence, where jobs are posted, EEO and APO collaboration, evaluation of best recruitment sources, structured interview training and unconscious bias training): | Please describe the steps that your agency has taken to meet the <b>Recruitment Goal(s)</b> set/declared in your plan.   | Q1<br>Update   | Q2<br>Update   | Q3<br>Update   | Q4<br>Update   |
|---|--|--|--|--|--|
| As part of the agency's recruitment strategies and initiatives, continue active outreach within the agency.   | OMB notifies its employees of all discretionary vacancies within the agency by emailing job notices agency-wide and requiring all hiring managers to interview any eligible internal candidates during the initial hiring period. All job notices are listed internally for 10 business days. All job notices are posted on the agency's website and on E-Hire. In addition, OMB's Office of Personnel Management actively works with staff interested in expanding or changing their portfolio. | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
| Identify additional resources to diversify the pool of candidates.  | To identify a more diverse pool of candidates, OMB will continue to review and its recruiting efforts, particularly at CUNY and SUNY schools as well as at HBCUs. This quarter, the agency finalized a list of additional diversity-related contacts to help the agency better engage affinity groups,   | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |

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|   | including at colleges and universities, in our recruitment efforts.  |  |  |  |  |
|---|--|--|--|--|--|
| Partner with other City agencies and non-profit organizations to help diversify the pool of candidates, including for senior-level positions. | OMB is actively working with other partners in City government and in the non-profit sector, including the Mayor's Office of Appointments, to help identify candidates for senior level vacancies.   | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
| Identify ways to better train managers and others involved in the recruitment process on DEI-related topics.                                  | This quarter, the Learning and Development Officer continued to find additional training opportunities for managers that would strengthen their skills, including issues such as providing constructive feedback, handling difficult conversations, and other topics important for effective and equitable management. | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |

### B. INTERNSHIPS/FELLOWSHIPS

The agency is providing the following internship opportunities in FY 2023:

[NOTE: Please update this table every quarter]

| Type of Internship\Fellowship | Total | Race/Ethnicity* [#s]  * Use self-ID data                       | Gender* [#s] [N-B=Non-Binary; O=Other; U=Unknown] |
|-------------------------------|-------|--|---|
|                               |       |  | * Use self-ID data                                |
| 1. Urban Fellows              |       |  | M F N-B O U                                       |
| 2. Public Service Corps       |       |  | M F N-B O U                                       |
| 3. Summer College Interns     | 8     | Asian (5), Black (1), White (1), 2+ Races (incl. Hispanic (1)) | M _5_ F _3_ N-B O U                               |
| 4. Summer Graduate Interns    | 4     | Asian (1), White(2), 2+ Races (inc. Hispanic) (1))             | M F _3_ N-B O _1_ U                               |
| 5. College Aide               | 4     | Asian (2), White (1), 2+ Races (1)                             | M F4_ N-B O U                                     |

Additional Comments:

### C. 55-A PROGRAM

| The agency uses the 55-a Program to                                       | nire and retain qualified in  | ndividua       | ls with disabilities. | ☐ Yes      | ⊠ No |
|---|---|----------------|-----------------------|------------|------|
| Agency uses mostly non-competitive ti                                     | tles which are not eligible   | for the 5      | 55-a Program.         |            |      |
| Currently, there are0_ [number] 5   | 5-a participants.   |                |                       |            |      |
| During the 1st Quarter, a total of0_ During the 1st Quarter _0 participal |   |                |                       | d.         |      |
| During the 2nd Quarter, a total of<br>During the 2nd Quarter participar   |   |                | • •                   | l <b>.</b> |      |
| During the 3rd Quarter, a total of<br>During the 3rd Quarter participan   |   |                |                       |            |      |
| During the 4th Quarter, a total of<br>During the 4th Quarter participan   |   |                |                       |            |      |
| The 55-a Coordinator has achieved the                                     | e following goals:  |                |                       |            |      |
| 1. Disseminated 55-a information –  | by e-mail:<br>in training sessions:<br>on the agency website:<br>through an agency news | ☐ Yes<br>☐ Yes | □ No<br>□ No          |            |      |

## VI. <u>SELECTION (HIRING AND PROMOTION)</u>

Please review Section VI of your Annual Plan and describe your activities for this quarter below:

| Please list additional <b>Selection Strategies and Initiatives</b> which you set/declared in your FY 2023 Diversity and EEO Plan (include use of structured interview, EEO or APO representatives observing interviews, review of placements, review of e-hire applicant data) | Please describe the steps that your agency has taken to meet the <b>Selection (Hiring and Promotion) Goal(s)</b> set/declared in your plan.  | Q1<br>Update   | Q2<br>Update   | Q3<br>Update   | Q4<br>Update   |
|--|--|--|--|--|--|
| Career Counseling: Advising employees of opportunities for promotion and career development; notification of promotion/transfer opportunities.   | All OMB employees are advised of current openings within the Agency as they become available. Staff are notified via an email sent to all employees; all employees are located at one facility and have access to a computer and email. Each position is posted internally for a minimum of 10 business days and all hiring managers are required to interview any eligible internal candidates during that period. In addition, hiring managers are strongly encouraged to interview approximately 5 – 10 candidates. Job notices are also posted on the agency's website and on E-Hire. Resumes received via outside sources are screened for education and experience minimum qualification requirements prior to being posted for hiring managers to review. | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
| Reviewing the methods by which candidates are selected for promotion or to fill vacancies (new hires), especially for mid- and high-level discretionary positions.   | All new candidates are reviewed by Human Resources and Chief of Staff, as well as the hiring team's manager. This fiscal year, the agency will determine whether a more formal role for the EEO/DEI Officer in selection and promotion actions would be appropriate.   | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred             | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred             | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred             | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred             |

|   | Currently, the agency's Chief of Staff and HR Director review all requests for promotion and hiring before approval. Personnel Action Request packets submitted include a detailed explanation for the request along with interviewer's supporting documentation, which include an interviewer's report and each candidate's resume. Each candidate selected for an interview is asked to submit an Applicant Interview Form on which they can self-identity. | ☐ Completed   | ☐ Completed  | ☐ Completed  | □ Completed  |
|---|---|---|--|--|--|
| Reviewing the methods by which candidates are selected for promotion or to fill vacancies (new hires) filled through civil service lists.           | OMB does not fill positions through a Civil Service list; however, all employees are encouraged to take relevant Civil Service exams.   | <ul> <li>□ Planned</li> <li>□ Not started</li> <li>☑ Ongoing</li> <li>□ Delayed</li> <li>□ Deferred</li> <li>□ Completed</li> </ul> | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
| Describe the role of agency EEO Officer and other EEO staff in the selection of candidates for appointment or promotion (pre- and post-appointment) | Director of HR is part of the EEO staff and reviews selection of candidates for appointment or promotion. The agency consults with the EEO/DEI Officer about EEO/DEI-related issues that arise during the appointment or promotion process.   | ☐ Planned ☐ Not started ☑ Ongoing ☐ Delayed ☐ Deferred ☐ Completed  | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed |
| Analyzing the impact of layoffs or terminations on racial, gender and age groups  | If there is a potential situation for layoffs or terminations, the Citywide process for reviewing impact on race, gender, and age will be followed.   | <ul><li>☑ Planned</li><li>☐ Not started</li><li>☐ Ongoing</li><li>☐ Delayed</li></ul>   | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed                        | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed                        | ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed                        |

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|   |                                     | ☐ Deferred ☐ Completed |
|---|-------------------------------------|------------------------|------------------------|------------------------|------------------------|
| During this Quarter the Agency activities included: | # of Vacancies (budgeted headcount) | 28                     |                        |                        |                        |
| activities ilicidueu.                               | # of New Hires                      | 42                     |                        |                        |                        |
|   | # of New Promotions                 | 66                     |                        |                        |                        |

### VII. TRAINING

Please provide your training information in Part II of the report "DIVERSITY AND EEO TRAINING SUMMARY" (in MS Excel).

### VIII. REASONABLE ACCOMMODATION

Please report all reasonable accommodation requests and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: <a href="https://mspwva-dcslnx01.csc.nycnet/Login.aspx">https://mspwva-dcslnx01.csc.nycnet/Login.aspx</a>

- IX. COMPLIANCE AND IMPLEMENTATION OF REQUIREMENTS UNDER EXECUTIVE ORDERS AND LOCAL LAWS
  - A. EXECUTIVE ORDER 16: TRAINING ON TRANSGENDER DIVERSITY AND INCLUSION

Please provide E.O. 16 Training Information in Part II of the report "DIVERSITY AND EEO TRAINING SUMMARY" (in MS Excel).

B. LOCAL LAW 92: ANNUAL SEXUAL HARASSMENT PREVENTION TRAINING

Please provide Sexual Harassment Prevention Training Information in Part II of the report "DIVERSITY AND EEO TRAINING SUMMARY" (in MS Excel).

C. LOCAL LAW 97: ANNUAL SEXUAL HARASSMENT REPORTING

| ☑ The agency has information as |   | l harassment Cor  | nplaint Data in t | he DCAS Citywide Complaint Tracking System and updates the           |
|---------------------------------|---|-------------------|-------------------|--|
| Q1 🛛                            | Q2 🗆                                      | Q3 🗆              | Q4 🗆              |  |
| ☑ The agency has occur.         | entered <b>all types</b> o                | of complaints in  | the DCAS Citywio  | de Complaint Tracking System and updates the information as they     |
| ☑ The agency ens                | sures that complain                       | ts are closed wit | hin 90 days.      |  |
|                                 | ints and their disp<br>t at: https://mspw |                   | •                 | nplaint/Reasonable Accommodation Tracking System by logging into spx |

### D. LOCAL LAW 101: CLIMATE SURVEY

Please provide a short description of your efforts to analyze the results of climate survey in your agency.

Describe any follow-up measures taken to address the results of the climate survey:

The HR Director and EEO/DEI Officer reviewed the results from the 2020 Climate Survey in Q4 of FY2022. The results of the survey were provided to the agency that quarter on June 21, 2022. They identified and discussed the potential areas of improvement based on the survey results, but also noted that the survey was done in 2020, during the thick of the pandemic, and with only 30 out of 394 employees responding (7.9%). Since the survey was distributed, the agency hired a full-time EEO/DEI Officer, who is tasked with playing a role in addressing many of the issues raised in the survey. The agency will continue with its strong commitment to ensuring adherence to the City's EEO Policy, including focusing on (1) employee familiarity with the EEO Policy and EEO complaint/inquiry process, (2) potential workplace discrimination, and (3) supervisor/managerial knowledge of EEO policies and procedures.

### X. AUDITS AND CORRECTIVE MEASURES

Please choose the statement that applies to your agency.

| ☑ The agency is NOT involved in an audit conducted by NYC EEPC or another governmental agency specific to our EEO practice | s. |
|--|----|
| ☐ The agency is involved in an audit; please specify who is conducting the audit:  |    |
| $\square$ Attach the audit recommendations by NYC EEPC or the other auditing agency.                                       |    |
| ☐ The agency has submitted or will submit to OCEI an amendment letter, which shall amend the agency plan for FY 2022.      |    |

# APPENDIX: OMB EEO PERSONNEL DETAILS EEO PERSONNEL FOR 1st QUARTER, FISCAL YEAR 2023

### A. PERSONNEL CHANGES

| Personnel Changes this Quarte  | ersonnel Changes this Quarter:   No Changes  |  |   |  |  |  |  |
|--|--|--|---|--|--|--|--|
| Employee's Name & Title  |  |  |   |  |  |  |  |
| Nature of change   | ☐ Addition ☐ De  | eletion                                    | ☐ Addition  | ☐ Deletion   | ☐ Addition   | ☐ Deletion   |  |
| Date of Change in EEO Role Start Date or Termination Date:   |  | Date:                                      | Start Date or Ter                                       | mination Date  | Start Date or Te                                       | Start Date or Termination Date:  |  |
| NOTE: Please attach CV/Resun report  | ne of new staff to this  |  |   |  |  |  |  |
| For New EEO Professionals:   |  |  |   |  |  |  |  |
| Name & Title   |  |  |   |  |  |  |  |
| EEO Function   |  | O Counselor O Investigator Cher: (specify) | ☐ EEO Officer☐ EEO Trainer☐ Investigator☐ 55-a Coordina | ☐ EEO Counselor☐ EEO  ator ☐ Other: (specify)                              | ☐ EEO Officer☐ EEO Trainer☐ Investigator☐ 55-a Coordin | ☐ EEO Counselor☐ EEO ator ☐ Other: (specify)                               |  |
| Proportion of Time Spent on EEO Duties   | ☐ 100% ☐ Other <5%   | : (specify %):                             | □ 100%  | ☐ Other: (specify %):  | □ 100%   | ☐ Other: (specify %):  |  |
| Completed Trainings: EEO Awareness Diversity & Inclusion IgbTq: The Power of Inclusion Sexual Harassment Prevention Unconscious Bias | ☐ Yes       ☐ No         ☐ Yes       ☐ No         ☐ Yes       ☐ No         ☐ Yes       ☐ No         ☐ Yes       ☐ No |  | ☐ Yes☐ Yes☐ Yes☐ Yes☐ Yes☐ Yes☐ Yes☐ Yes                | <ul><li>□ No</li><li>□ No</li><li>□ No</li><li>□ No</li><li>□ No</li></ul> | ☐ Yes<br>☐ Yes<br>☐ Yes<br>☐ Yes<br>☐ Yes              | <ul><li>□ No</li><li>□ No</li><li>□ No</li><li>□ No</li><li>□ No</li></ul> |  |
| Training Source(s):  | ☐ DCAS ☐ Agency ☐  | Other                                      | □ DCAS □ A  | gency   Other  | □ DCAS □ A   | gency   Other  |  |

### B. CONTACT INFORMATION (Please list ALL current EEO professionals)

### **DIVERSITY AND EEO STAFFING IN OMB AS OF 1st QUARTER FY 2023 \***

| EEO\Diversity Role              | <u>Name</u>  | Civil Service Title | % of Time Devoted to EEO & Diversity Functions | Office E-mail Address   | Telephone #  |
|---------------------------------|--|---------------------|--|---|--|
| Chief Diversity Officer         | Tara Boirard   |                     |  | BoirardT@omb.nyc.gov  | 212-788-6420   |
| Chief EEO and DEI Officer       | Danica You   |                     |  | YouD@omb.nyc.gov  | 212-788-6030   |
| Deputy EEO Officer              | N/A  |                     |  |   |  |
| ADA Coordinator                 | Danica You   |                     |  | YouD@omb.nyc.gov  | 212-788-6030   |
| Disability Rights Coordinator   | Danica You   |                     |  | YouD@omb.nyc.gov  | 212-788-6030   |
| Disability Services Facilitator | Danica You   |                     |  | YouD@omb.nyc.gov  | 212-788-6030   |
| 55-a Coordinator                | Danica You   |                     |  | YouD@omb.nyc.gov  | 212-788-6030   |
| Career Counselor                | Lauren Wittels   |                     |  | Wittelsl@omb.nyc.gov  | 212-788-6371   |
| EEO Counselor                   | Angel Acevedo, Donna<br>Brathwaite, Kara Kirchhoff,<br>Debbie Brown, Kathryn Johnson |                     |  | AcevedoA@omb.nyc.gov<br>BrathwaiteD@omb.nyc.gov<br>KirchhoffK@omb.nyc.gov<br>BrownDeb@omb.nyc.gov<br>JohnsonK@omb.nyc.gov | 212-788-2984<br>212-788-6291<br>212-788-6348<br>212-788-6149<br>212-788-6432 |
| EEO Investigator                | Danica You   |                     |  | YouD@omb.nyc.gov  | 212-788-6030   |
| EEO Counselor/Investigator      | N/A  |                     |  |   |  |
| Investigator/Trainer            | N/A  |                     |  |   |  |
| EEO Training Liaison            | Danica You   | _                   |  | YouD@omb.nyc.gov  | 212-788-6030   |
| Other (describe)                |  |                     |  |   |  |

<sup>\*</sup> Please note changes (new personnel filling the specified role). You may insert additional entries as needed. Title refers to the civil service title. If there is an EEO\Diversity role that your staff performs that is not on the list above you may indicate it on the chart.