### FY 2021 AGENCY QUARTERLY DIVERSITY AND EEO REPORT

AGENCY NAME: DEP 826								
	<ul> <li>1<sup>st</sup> Quarter (July -September), due November 6, 2020</li> <li>3<sup>rd</sup> Quarter (January -March), due April 30, 2021</li> </ul>				<ul> <li>2<sup>nd</sup> Quarter (October - December), due January 29, 2021</li> <li>4<sup>th</sup> Quarter (April -June), due July 30, 2021</li> </ul>			
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Name			Title		E-mail Address		Telephone No.	
Date Su	ubmitted:	November 6, 2020						
FOR DC	AS USE ONL	<u>Y:</u>	Date Receive	ed:				

### **INSTRUCTIONS FOR FILLING OUT QUARTERLY REPORTS FY 2021**

### [NOTE: These forms are cumulative. For Q2, Q3 and Q4 use previous quarter's submission to update]

- 1. Please save this file as 'XXXX Quarter X FY 2021 DEEO Quarterly Report.Part I' where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Word format. Please do not convert it to PDF.
- 2. Complete the "Diversity and EEO Training Summary" details in the attached Excel file. Under Section 10 ("Other"), include training classes coorganized or co-sponsored by your agency EEO and/or HR that are related to the development of the agency staff in the areas of equal employment, diversity, inclusion, civil rights, workplace culture and behavior, interpersonal relations, and community relations.
- 3. Mark progress in check boxes in the column for the current quarter. NOTE: DELAYED = behind schedule; DEFERRED = put off until later when better resources become available.
- 4. Please save the Excel file as 'XXXX Quarter X FY 2021 DEEO Training Summary", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Excel format. Please do not convert it to PDF.

### PART I: NARRATIVE SUMMARY

#### I. COMMITMENT AND ACCOUNTABILITY STATEMENT BY THE AGENCY HEAD

Distributed to all agency employees?	🛛 Yes, On (Date):August 26, 2019	🗌 No
	🗌 By e-mail	
	Posted on agency intranet	
	Other	

#### II. <u>RECOGNITION AND ACCOMPLISHMENTS</u>

The agency recognized employees, supervisors, managers, and units demonstrating superior accomplishment in diversity and equal employment opportunity through the following:

#### \* Please describe D&EEO Awards and/or Appreciation Events below:

In the past year our agency accomplished the following as part of our commitment to Equal Employment Opportunity, Equity, Diversity, and Inclusion:

1. DEP's employee appreciation program, Always Creating Excellence (A.C.E.) Awards, was implemented in January 2016. The A.C.E. Awards ceremony is held quarterly to recognize individual employees and work teams in the categories of Leadership, Environmental Health & Safety,

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Customer Services, Innovation (Early Careers, Technology, Sustainability and Operations, Administration/Support and Engineering), and the Commissioner's Award. Based on the COVID-19 outbreak and DEP's response efforts, the previously scheduled in-person Awards ceremony was postponed, and DEP issued a special edition of its weekly Newsletter, *Pipeline* "Extra" edition, on April 10, 2020 announcing the A.C.E. award recipients for the first quarter of 2020.

2. As terms like 'Remote Learning', 'Teleworking' and 'Social Distancing become our 'new normal', I, along with the Office of Organizational Development and Human Resources ('OD&HR") reassure our employees that we will continue to provide all DEP employees with resources that we hope will help support during the challenges that we face through these current times. Our team in OD&HR worked diligently behind the scenes to create and identify skills development, and other support resources that can be delivered remotely, or that can be accessed directly on an employee's own time. A series of emails were later provided that included links to videos, articles, podcasts, and other resource. Personalized one-on-one consultations for help with specific workforce development concerns were provided during appointment sessions. A series of TED talks were provided to also help employees navigate through these difficult times. TED Connects, a free, live daily conversation series that featured experts whose ideas help us to reflect and work through these uncertain times with a sense of responsibility, compassion and wisdom. The series began on March 23<sup>rd</sup> and each conversation is archived and provided on OD&HR's intranet for viewing at any time. Please click the hyperlink to view the series: <u>https://www.ted.com/talks/susan\_david\_how\_to\_be\_your\_best\_self\_in\_times\_of\_crisis</u>.

DEP employees were also provided with access to LinkedIn Learning, a video webinar training repository that offers courses in business, technology and professional development, including preparation for certification exams such as PMP, SHRM-SCP & CP, CompTIA A+ & Security+, among many others. Additional resources were given to provide access to the complete LinkedIn Learning library on the New York Public Library's (NYPL) website: <u>https://www.nypl.org/collections/articles-databases/lyndacom.</u>

**3.** Amid the COVID-19 pandemic, employees reportedly faced enormous stress, pressure and anxiety. The killing of George Floyd and the ongoing protests against racism and police violence have added to existing tension. We knew it was essential to support DEP's employees during these very challenging times, and sought to do this by providing them an outlet for addressing these concerns in a positive and effective way. Accordingly, DEP instituted a series of professionally facilitated workforce **Open Discussions** on racial equity, and plans to further these engagements across other important topics, with the goal of making positive changes and continuing to promote equality, diversity and inclusion.

This engagement was piloted in October 1, 2020, to 75 participants, and received overall favorable reviews.

4. On May 26, 2020, DEP kicked off its first event of its Employee Support Series, "Multitasking Parent." The goal of these sessions is to connect with other DEP employees who are also managing challenges during this challenging time that we face with COVID-19. Other topics included: "Coping with Feelings of Isolation and Loneliness during COVID-19" and "Teleworking, Homeschooling and Managing a Home during COVID-19."

#### III. WORKFORCE REVIEW AND ANALYSIS

1. Agency Headcount as of the last day of the quarter was:

Q1 (9/30/2020): \_\_\_\_\_5847\_\_\_\_ Q2 (12/31/2020): \_\_\_\_\_

Q3 (3/31/2021): \_\_\_\_\_ Q4 (6/30/2021): \_\_\_\_\_

2. Agency reminded employees to update self-ID information regarding race/ethnicity, gender, and veteran status.

3. The agency conducted a review of the dashboard sent to the EEO Officer with demographic data and trends, including workforce composition by job title, job group, race/ethnicity and gender; new hires, promotions and separation data; and utilization analysis.

☐ Yes , On (Dates):				
The review was conducted with:	□ Human Resources □ Agency Head			
	General Counsel     Other	General Counsel     Other	General Counsel     Other	General Counsel     Other
	🛛 Not conducted	Not conducted	Not conducted	Not conducted

### IV. EEO, DIVERSITY, INCLUSION, AND EQUITY INITIATIVES FOR FY 2021

Please describe your progress this quarter in implementing the primary goals in Section IV of your Agency Diversity and EEO Plan for FY 2021 - <u>Proactive Strategies to Enhance Diversity, EEO and Inclusion</u>:

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### A. WORKFORCE:

Please list the <b>Workforce Goal(s)</b> included in <i>Section</i> <i>IV: Proactive Strategies to Enhance Diversity, EEO and</i> <i>Inclusion,</i> which you set/declared in your FY 2021 Diversity and EEO Plan (e.g., underutilization, workforce planning, succession planning and diverse applicant pool, among others):	<ul> <li>Please describe the steps that your agency has taken to meet the Workforce Goal(s) set/declared in your plan.</li> <li>Include steps that were taken or considered to build an inclusive and sustainable pipeline for your agency across all levels.</li> </ul>	Q1 Update	Q2 Update	Q3 Update	Q4 Update
The Office of EEO & Diversity works with OD&HR and agency managers to ensure that the interview process for hiring and promotion are EEO compliant and consistent with D/I best practices, as well as appropriately considers underutilization and other relevant demographic data.	Using the CEEDS underutilization report, DEP will continue to strive for increasingly diverse applicant pools and talent acquisition. The Office of EEO & Diversity along with the Office of Organizational Development and Human Resources offices continues to provide managers/supervisors and relevant agency personnel with the appropriate leadership competencies, across all facets of their tasks and responsibilities including, but not limited to training in structured interviewing, unconscious bias, reasonable accommodation, conflict resolution strategies, and cross- cultural awareness and etiquette.	<ul> <li>□ Planned</li> <li>□ Not started</li> <li>⊠ Ongoing</li> <li>□ Delayed</li> <li>□ Deferred</li> <li>□ Completed</li> </ul>			
Attract and hire highly qualified, diverse talent.	The Agency continues its commitment to promoting and encouraging consistency and fairness in our employment practices. DEP's managers and supervisors are charged with promoting diversity and encouraging inclusion in all aspects of our business. When presented with the opportunity to hire or promote individuals, the Office of EEO and Diversity,	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			

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	as well as Organizational Development & Human Resources, are included in the process to ensure that the recruitment, selection, and leadership development processes are applied consistently and in accordance with established City and Agency policy and procedures. This minimizes the potential for discrimination and bias, and guarantees the selection of the best and brightest that our City's diverse population has to offer.			
The agency has implemented strategies to address the impending retirement of employees and possible loss or gap in talent.	<ul> <li>Job analysis and skills audit.</li> <li>Conduct workforce planning and forecasting.</li> <li>Use the DCAS Retirement Predictor Tools to address the impending retirement of employees and possible loss or gap in talent.</li> <li>Integrate succession planning in the agency activities to develop a pipeline, facilitate a seamless transition and continuity of service.</li> <li>Work to ensure that there will be a diverse applicant pool for the anticipated vacancies.</li> <li>Encourage agency employees to take promotional civil service examinations by:         <ul> <li>✓ Sending emails with schedule of exams;</li> <li>✓ Providing link to specific DCAS exams; and</li> <li>✓ Posting schedules and exam announcements at the agency intranet.</li> </ul> </li> </ul>	<ul> <li>□ Planned</li> <li>□ Not started</li> <li>⊠ Ongoing</li> <li>□ Delayed</li> <li>□ Deferred</li> <li>□ Completed</li> </ul>		

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Describe steps that were taken or considered to address un exists in the current quarter.	<ul> <li>DEP's coaching, counseling, mentoring and cross training programs.</li> <li>Identify best practices to retain advanced employees.</li> <li>Implement initiatives to improve the development and training of underrepresented employees.</li> <li>derutilization identified through quarterly workforce reports. Please list Job Groups where underutilization</li> </ul>	
Diverse Recruitment Source(s)	What sort of return do you expect to see from the effort?	
1. College Career Fairs	1. Encourage current and recent students to consider City government as choice employers. Expose those students to the civil service process. Increase collage applicants.	
2. Community Job Fairs	2. Educate the community on career choices at DEP and job opportunities. Increase community awareness.	
3. Veterans Joh Fairs	3. Encourage and actively seek veterans to apply and be considered for applicable positions. Increase awareness and applicants.	
4. CUNY LEADS for the Disabled       5. Engage the disabled community as well as educate staff on benefits the disabled community provides. Increase awarene and applicants.		
5. Specialized Recruitment Events	6. Highlight green jobs, entry level, and EPO positions. Increase awareness and applicants.	

In FY'21, DEP continues to increase outreach efforts to the Mayor's Office for People With Disabilities (MOPD) and ACCESS VR. The Disability Rights Coordinator/Disability Services Facilitator is tasked with collaborating with Organizational Development/Human Resources to actively promote vacancy announcements to this community and participate at designated career fairs and hiring pools.

For vacancies that require special skills and abilities that are deemed 'hard to recruit' the Agency will continue to forward posting notices and job ads to national professional organizations. DEP will continue to widen its candidate pool by advertising and partnering with organizations such as NYWEA (NY Water Environment Association), AWWA (American Water Works Association), and WEF (Water Environment Federation).

### **B. WORKPLACE:**

Please list the <b>Workplace Goal(s)</b> included in <i>Section</i> <i>IV: Proactive Strategies to Enhance Diversity, EEO and</i> <i>Inclusion,</i> which you set/declared in your FY 2021 Diversity and EEO Plan (e.g., job satisfaction/engagement surveys, exit interviews/surveys, and onboarding surveys):	<ul> <li>Please describe the steps that your agency has taken to meet the Workplace Goal(s) set/declared in your plan.</li> <li>Include steps that were taken or considered to create an inclusive work environment that values differences that each of your unique employees brings to work, and to maintain focus on retaining talent across all levels.</li> </ul>	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Training	The Office of EEO & Diversity continues to engage DEP senior and bureau-level staff in identifying specific areas for training delivery and support.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			
DEP has established two training courses, Managing for Excellence (MFE) and Supervision in Action (SIA): Mastering the Basics, for supervisors and managers.	MFE and SIA are geared towards reinforcing fundamental management strategies and techniques that will help motivate and inspire, create accountability, and improve morale across the agency. Each course also includes and EEO and D/I module, underscoring the value of these topics to overall leadership competencies. <u>Managing for Excellence (MFE)</u> Essential goals of the MFE Program are:	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			

• Ecous new menagers and to
• Focus new managers, and to
refocus seasoned managers, on
fundamental management skills to
increase productivity, improve
performance and engage our
workforce to greater heights.
<ul> <li>To capitalize on the diversity of our</li> </ul>
managers by creating this opportunity
to share, commiserate, strategize and
bond so there are stronger ties across
the enterprise. Much can be gained by
appreciating different, and also same
perspectives on management tools and
approaches that work – or don't.
<ul> <li>To give each of our managers some</li> </ul>
much deserved and desired space to
take a deep breath, to contemplate
some next steps, to recharge their
management batteries and to have
some fun.
<ul> <li>To level the playing field by placing</li> </ul>
the same tools in everybody's tool box
- meaning management tools. These
are the ones Executives and Sr. Staff
endorsed as necessary to drive our
values and culture in a positive
direction.
<ul> <li>To respond to feedback from our OHI</li> </ul>
Survey in July 2012 and our recent 42
sessions with over 3,000
employees. The feedback suggested

	<ul> <li>we needed more accountability, greater engagement and better morale.</li> <li>To create more trust among our managers. We told you in our first annual Managerial Professional Development Day that we were investing in you, our managers, and this is only the beginning. We know we need to build greater trust as we go forward by supporting you on the other side of this initial investment.</li> <li>Supervision in Action (SIA): Mastering the Basics</li> <li>Provides supervisory skills that will help hone leadership abilities in a 3-day Blended Learning program. Introducing proven supervisory techniques through pre-class online modules, instructor led classroom course work and real-life case study group discussions and activities.</li> </ul>			
Always Creating Excellence (A.C.E.) Awards: DEP's appreciation program, A.C.E.	discussions and activities.1. DEP's appreciation program, Always Creating Excellence (A.C.E.) was implemented in January 2016. A.C.E. ceremonies are held on a quarterly basis and include a wide variety of recognition categories: Leadership, Environmental Health & Safety, Customer Services, Innovation (Early Careers, Technology,	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		

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Sustainability and Operations,	
Administration/Support and	
Engineering), and the	
Commissioner's Award.	
In consideration of the COVID-19	
outbreak and related Centers for	
Disease Control, the in-person	
event that was previously	
scheduled to honor awardees has	
been postponed. A special edition	
of Weekly Pipeline "Extra" edition,	
was released on April 10, 2020 and	
announced the A.C.E. award	
recipients for the first quarter of	
2020.	

Please specify any other EEO-related activities during the quarter (e.g., postings, meetings, cultural programs promoting diversity, newsletters/articles, etc.) and describe briefly the activities, including the dates when the activities occurred.

#### JULY

• July 2: Agency-wide email containing a calendar listing "designations and observances typically recognized in the month of July", along with several flyers that highlighted American Disabilities Awareness Month, a Stand Up Against Street Harassment webinar, July 4<sup>th</sup>, upcoming events celebrating the 30<sup>th</sup> anniversary of the Americans with Disabilities Act, and several Disability Awareness webinars.

#### AUGUST

• Aug 19: Agency-wide email containing a calendar listing "designations and observances typically recognized in the month of August", along with several flyers highlighting Women's Equality Day, World Humanitarian Day and National Breastfeeding Month.

### SEPTEMBER

• Sept 4: Agency-wide email containing a calendar listing "designations and observances typically recognized in the month of September", along with several flyers highlighting National Hispanic Heritage Month, National Suicide Prevention Month, Labor Day, a 9/11 Memorial, National HIV/AIDS and Aging Awareness Day.

#### **OCTOBER**

- Oct 1: Collaboratively hosted a "Virtual Open Discussion" where DEP employees were "free to discuss their thoughts, experiences and concerns among themselves. The discussion focused on "racial equity within our country, our city, and at DEP"
- Oct 9: Agency-wide email containing a calendar listing "designations and observances typically recognized in the month of October", along with several flyers highlighting our 8<sup>th</sup> Annual Diversity Celebration, and several monthly designations including, but not limited to, National Disability Awareness Month, Breast Cancer Awareness Month, LGBTQIA+ History Month, and Domestic Violence Awareness Month.
- Oct 22, 23, 28: Hosted a three-day virtual diversity celebration event with the theme "*We are in this Together*". This event celebrated all cultures, as well has highlighting the specific heritages designated in October, namely Italian-American, German-American, and Polish-American heritages.

### WEEKLY PIPELINE:

DEP Publishes an informal newsletter, *Weekly Pipeline*, for its employees and environmental stakeholders. The newsletter features updates on current news, safety reminders, agency accomplishments and milestones, and interesting agency statistics and historical facts. Links to the *Weekly Pipeline* Volumes during the quarter are available below:

July 7, 2020 July 14, 2020 July 21, 2020 July 28, 2020 August 4, 2020 August 11, 2020 August 18, 2020 August 25, 2020 September 1, 2020 September 8, 2020 September 15, 2020 September 22, 2020

# C. COMMUNITY:

Please list the <b>Community Goal(s)</b> included in <i>Section</i> <i>IV: Proactive Strategies to Enhance Diversity, EEO</i> <i>and Inclusion,</i> which you set/declared in your FY 2021 Diversity and EEO Plan (e.g., community outreach and engagement, MWBE participation and customer satisfaction surveys):	<ul> <li>Please describe the steps that your agency has taken to meet the Community Goal(s) set/declared in your plan.</li> <li>Include steps that were taken or considered to establish your agency as a leading service provider to the citizens of New York City focused on inclusion and cultural competency, while reflecting the vast communities that are served.</li> </ul>	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Increase outreach to improve recruitment strategies across New York City and upstate New York.	<ul> <li>In FY 2021, the agency will:</li> <li>Continue or plan to promote diversity and EEO community outreach in providing government services</li> <li>Promote participation with minority and women owned business enterprises (MWBEs).</li> <li>Conduct a customer satisfaction survey.</li> <li>Identify best practices for establishing a brand of inclusive customer service.</li> <li>Undertake initiatives to improve community relations, community awareness, and to engage communities</li> </ul>	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			

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	being served in recruitment efforts, service development and delivery.						
Vacancies that require special skills and abilities that are deemed 'hard to recruit'.	Due to financial constraints as a City, the agency did not post any vacancies that are hard to recruit.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>					
Community Job Fairs	DEP participated in one virtual career fair hosted by NYU.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>					
Please specify any other Community-directed activities during the quarter (e.g., meetings, educational and cultural programs, promotion of agency services, community fairs, etc.) and describe briefly the activities, including the dates when the activities occurred.							
<b>1.</b> The Bureau of Public Affairs and Communication's Education Office held a celebration to honor all of the students who participated in the 34 <sup>th</sup> Annual Water Resources Art and Poetry Contest. This year, we received entries from 1,600 2 <sup>nd</sup> through 12 <sup>th</sup> grade students in New York City and the East and West-of-Hudson Watersheds. Student entries beautifully depicted a genuine understanding of and appreciation for New York's water resources. During this challenging time, the creativity and thoughtfulness depicted in their artwork and poetry has been an inspiring reminder of the importance of the work that we do every day to protect our environment.							

### A. RECRUITMENT EFFORTS

Please list <b>Recruitment Strategies and Initiatives</b> which you set/declared in your FY 2021 Diversity and EEO Plan (e.g., targeted outreach and outreach, diversity recruitment, social media presence, where jobs are posted, EEO and APO collaboration, evaluation of best recruitment sources, structured interview training and unconscious bias training):	Please describe the steps that your agency has taken to meet the <b>Recruitment Goal(s)</b> set/declared in your plan.	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Recruit a diverse group of interns to develop a pipeline of future talent.	<ul> <li>The actions listed below require internal agency collaboration and are not necessarily executed by the EEO Office.</li> <li>The agency will address underutilization in FY 2021 by:</li> <li>☑ Expanding internal and external applicant pools to address the underutilization through outreach strategies for broader recruitment.</li> <li>☑ Using the quarterly workforce report and dashboard to identify specific job groups where underutilization exists and guide recruitment efforts.</li> <li>The agency will implement the following</li> </ul>	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			

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strategies to address the impending retirement of employees and possible loss		
or gap in talent:		
$\boxtimes$ Job analysis and skills audit.		
Conduct workforce planning and forecasting.		
<ul> <li>Integrate succession planning in the agency activities to develop a pipeline, facilitate a seamless transition and continuity of service.</li> <li>Ensure that there will be a diverse applicant pool for the anticipated vacancies.</li> <li>Evaluate best sources for diverse candidates</li> <li>Encourage agency employees to take</li> </ul>		
promotional civil service examinations.		
The agency will implement the following initiatives to develop and retain employees:		
⊠ Identification of Ready Now &		
High Potential Talent.		
$\boxtimes$ Institute coaching, mentoring and		
cross training programs.		
⊠ Institute succession planning for		
top managerial positions.		

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	☑ Implement initiatives to improve the personal and professional development of employees.			
In FY'20, DEP will increase outreach efforts to the Mayor's Office for People With Disabilities (MOPD) and ACCESS VR.	The Diversity Director/Reasonable Accommodation Coordinator will continue to collaborate with Organizational Development/Human Resources to actively promote vacancy announcements to this community and participate at designated career fairs and hiring pools.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		
		<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		

# **B.** INTERNSHIPS/FELLOWSHIPS

Type of Internship\Fellowship	Total	Race/Ethnicity* [#s] * Use self-ID data	Gender* [#s] [N-B=Non-Binary; O=Other; U=Unknown] * Use self-ID data
1. Urban Fellows	0		M F N-B O U
2. Public Service Corps			M F N-B O U
3. Summer College Interns	0		M F N-B O U

4. Summer Graduate Interns			Μ	FN	N-B	0U_		
5. Other (specify):			Μ	FN	N-B	0U_		
Each quarter the Office of EEO & Diversity reviews CEEDS data with OD&HR to address underutilization. A review of policies, procedures								

Each quarter, the Office of EEO & Diversity reviews CEEDS data with OD&HR to address underutilization. A review of policies, procedures, and practices related to hiring, which also includes vacancy announcements, use of certification lists, and the selection process for mission critical occupations, are also reviewed. There were no interns or Urban fellows due to Covid-19.

### C. 55-A PROGRAM

🛛 Yes The agency uses the 55-a Program to hire and retain gualified individuals with disabilities. 🗆 No

Currently, there are 37 [number] 55-a participants.

During the 1st Quarter, a total of 0 new applications for the program were received. During the 1st Quarter 1 participants left the program due to retirement.

During the 2nd Quarter, a total of \_\_\_\_\_ [number] new applications for the program were received. During the 2nd Quarter \_\_\_\_ participants left the program due to [state reasons] \_\_\_\_\_.

During the 3rd Quarter, a total of [number] new applications for the program were received. During the 3rd Quarter \_\_\_\_ participants left the program due to [state reasons] \_\_\_\_\_\_.

During the 4th Quarter,	a total of	_ [number] new applications for a second	or the program were	e received.
During the 4th Quarter _	participant	ts left the program due to [sta	te reasons]	

The 55-a Coordinator has achieved the following goals:

1.	Disseminated 55-a information – by e-mail: 🛛 Yes 🛛 No
	in training sessions: 🛛 Yes 🗌 No
	on the agency website: 🛛 🛛 🖾 🛛 🛛 🛛 🛛
	through an agency newsletter: 🛛 Yes 🛛 No

- 2. \_\_\_\_\_ 3.

### VI. <u>SELECTION (HIRING AND PROMOTION)</u>

Please review Section VI of your Annual Plan and describe your activities for this quarter below:

Please list additional <b>Selection Strategies and</b> <b>Initiatives</b> which you set/declared in your FY 2021 Diversity and EEO Plan (include use of structured interview, EEO or APO representatives observing interviews, review of placements, review of e-hire applicant data)	Please describe the steps that your agency has taken to meet the <b>Selection (Hiring and</b> <b>Promotion) Goal(s)</b> set/declared in your plan.	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Career Counseling: Advising employees of opportunities for promotion and career development; Notification of promotion/transfer opportunities	<ul> <li>Each week, OD&amp;HR sends out a list of job vacancies and exam schedules to the workforce.</li> <li>A list of promotional and transfer opportunities are posted at each facility and central locations.</li> <li>OD&amp;HR routinely distributes training and development programs to improve skills, performance and career opportunities.</li> <li>OD&amp;HR hold information sessions where employees are provided information on civil service and what it means to become a permanent civil servant.</li> <li>OD&amp;HR provides technical assistance in filing for upcoming civil service exams.</li> </ul>	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			

Reviewing the methods by which candidates are selected for promotion or to fill vacancies (new hires), especially for mid- and high-level discretionary positions	Each quarter, the Office of EEO & Diversity reviews CEEDS data with OD&HR to address underutilization. A review of policies, procedures, and practices related to hiring, which also includes vacancy announcements, use of certification lists, and the selection process for mission critical occupations, are also reviewed.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		
Reviewing the methods by which candidates are selected for promotion or to fill vacancies (new hires) filled through civil service lists.	Each quarter, the Office of EEO & Diversity reviews CEEDS data with OD&HR to address underutilization. A review of policies, procedures, and practices related to hiring, which also includes vacancy announcements, use of certification lists, and the selection process for mission critical occupations, are also reviewed.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		
Describe the role of agency EEO Officer and other EEO staff in the selection of candidates for appointment or promotion (pre- and post- appointment).	<ul> <li>The agency's EEO Officer ensures that hiring managers are trained in structured interviewing techniques to avoid unintentional biases in the hiring process;</li> <li>Reviews questions for structured interviews; and</li> <li>Participates in interview panels and reviews promotional packages.</li> </ul>	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		
Analyzing the impact of layoffs or terminations on racial, gender and age groups.	The agency analyzes the impact of layoffs and terminations on racial, gender and age groups.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		

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Other Selection Strategies and Initiatives: Ongoing collaboration with OD&HR	The Office of EEO & Diversity continues to work alongside OD&HR to recruit and train a diverse workforce. EEO participates in recruitment strategies, interview panels and new hire orientation training sessions to ensure that new employees are equipped with information on their rights and responsibilities under the EEO Policy and the diversity programs and initiatives that are at their reach.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			
During this Quarter the Agency activities included:	# of Posting Vacancies # of Agency Vacancies: # of New Hires # of New Promotions	#8 #412 #3 #1_	# # #	# # #	# # #

### VII. TRAINING

Please provide your training information in Part II of the report "DIVERSITY AND EEO TRAINING SUMMARY" (in MS Excel).

#### VIII. REASONABLE ACCOMMODATION

Please report all reasonable accommodation requests and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: <u>https://mspwva-dcslnx01.csc.nycnet/Login.aspx</u>

### IX. COMPLIANCE AND IMPLEMENTATION OF REQUIREMENTS UNDER EXECUTIVE ORDERS AND LOCAL LAWS

A. EXECUTIVE ORDER 16: TRAINING ON TRANSGENDER DIVERSITY AND INCLUSION

Please provide E.O. 16 Training Information in Part II of the report "DIVERSITY AND EEO TRAINING SUMMARY" (in MS Excel).

B. LOCAL LAW 92: ANNUAL SEXUAL HARASSMENT PREVENTION TRAINING

Please provide Sexual Harassment Prevention Training Information in Part II of the report "DIVERSITY AND EEO TRAINING SUMMARY" (in MS Excel).

### C. LOCAL LAW 97: ANNUAL SEXUAL HARASSMENT REPORTING

	The agency has entered the sexual harassment Complaint Data in the DCAS Citywide Complaint Tracking System and updates the information as they occur.								
	1 🛛		Q2 []	Q3 🗆	Q4 🗆				
		gency has ent ey occur.	ered all types of com	plaints in the DCAS Cit	ywide Complaint Tracking System and updates the information				
⊠ The agency ensures that complaints are closed within 90 days.									
Report all complaints and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: <u>https://mspwva-dcslnx01.csc.nycnet/Login.aspx</u>									

### D. LOCAL LAW 101: CLIMATE SURVEY

Please provide a short description of your efforts to analyze the results of climate survey in your agency.

Describe any follow-up measures taken to address the results of the climate survey:

Based on the climate survey data, DEP has enhanced its EEO related training curriculum to, among other things, increase and deepen workforce awareness of EEO policies, processes, and best practices. Also, DEP's EEO, Human Resources, and Labor Management/Disciplinary professionals consistently partner with each other and DEP's leadership to identify and implement strategies for ensuring fair and equitable employment outcomes. Further efforts are ongoing to implement a multifaceted equity campaign, including toolkit resources for identifying and eliminating inequities and driving equitable outcomes in core agency decision-making and procedures, and to ensure cultural agility as a core leadership competency.

#### X. AUDITS AND CORRECTIVE MEASURES

Please choose the statement that applies to your agency.

The agency is <u>NOT</u> involved in an audit conducted by NYC EEPC or another governmental agency specific to our EEO practices.

The agency is involved in an audit; please specify who is conducting the audit: \_\_\_\_EEPC\_\_\_\_\_\_.

Attach the audit recommendations by NYC EEPC or the other auditing agency.

The agency has submitted or will submit to OCEI an amendment letter, which shall amend the agency plan for FY 2021.

### **APPENDIX: DEP 826 EEO PERSONNEL DETAILS**

#### EEO PERSONNEL FOR \_\_\_\_\_ First\_\_\_ QUARTER, FISCAL YEAR 2021

#### A. PERSONNEL CHANGES

Personnel Changes this Quarte	Number of Addition	ns:	Number of Deletions:				
Employee's Name & Title							
Nature of change	□ Addition □ Deletion	on	□ Addition	□ Deletion	□ Addition	Deletion	
Date of Change in EEO Role	Start Date or Termination Da	te:	Start Date or Termina	tion Date:	Start Date or Terr	mination Date:	
NOTE: Please attach CV/Resume	of new staff to this report		-				
For New EEO Professionals:							
Name & Title	Danielle Barrett, Assistant Commissioner		Darlene Martinez, Diversity Director/RASandra Rhabb Campbell, EECCoordinatorManager			ampbell, EEO Office	
EEO Function	EEO Trainer     EEO	O Counselor O Investigator her: (specify)	<ul> <li>□ EEO Officer</li> <li>⊠ EEO Trainer</li> <li>□ 55-a Coordinator</li> <li>Coordinator</li> </ul>	<ul> <li>EEO Counselor</li> <li>EEO Investigator</li> <li>Other: RA</li> </ul>	<ul> <li>□ EEO Officer</li> <li>□ EEO Trainer</li> <li>□ EEO Trainer</li> <li>□ EEO Investigato</li> <li>⊠ 55-a Coordinator/Office Manager</li> <li>□ Other: (specify)</li> </ul>		
Proportion of Time Spent on EEO Duties	⊠ 100% □ Other:	(specify %):	⊠ 100% □	Other: (specify %):	⊠ 100%	□ Other: (specify %):	
Completed Trainings: EEO Awareness Diversity & Inclusion IgbTq: The Power of Inclusion Sexual Harassment Prevention Unconscious Bias	<ul> <li>☑ Yes</li> <li>☑ No</li> </ul>		⊠ Yes ⊠ Yes ⊠ Yes ⊠ Yes ⊠ Yes	<ul> <li>No</li> <li>No</li> <li>No</li> <li>No</li> <li>No</li> <li>No</li> </ul>	⊠ Yes ⊠ Yes ⊠ Yes ⊠ Yes ⊠ Yes	□ No □ No □ No □ No □ No	
Training Source(s):	🖾 DCAS 🖾 Agency 🖾	Other	🛛 DCAS 🖾 Agen	cy 🛛 Other	🗆 DCAS 🛛 A	gency 🛛 Other	

#### B. <u>CONTACT INFORMATION (Please list ALL current EEO professionals)</u>

#### DIVERSITY AND EEO STAFFING IN DEP 826 AS OF First QUARTER FY 2021 \*

EEO\Diversity Role	<u>Name</u>	<u>Civil Service Title</u>	<u>% of Time</u> <u>Devoted to EEO</u> <u>&amp; Diversity</u> <u>Functions</u>	Office E-mail Address	<u>Telephone #</u>	
<b>Diversity &amp; Inclusion Officer</b>						
EEO Officer/Director	Danielle Barrett	<u>Assistant</u> <u>Commissioner</u>	<u>100%</u>	dbarrett@dep.nyc.gov	<u>718-595-</u> <u>3432</u>	
Deputy EEO Officer						
ADA Coordinator						
Disability Rights Coordinator	Darlene Martinez	Executive Assistant to the Commissioner	<u>100%</u>	dmartinez@dep.nyc.gov	<u>718-595-</u> <u>5742</u>	
Disability Services Facilitator	Gloria Rodriguez	Community Coordinator	<u>100%</u>	grodriguez@dep.nyc.gov	<u>718-595-</u> <u>4549</u>	
55-a Coordinator	Sandra Rhabb Campbell	Principal Administrative Associate	<u>100%</u>	scampbell@dep.nyc.gov	<u>718-595-</u> <u>6558</u>	
Career Counselor						
EEO Counselor						
EEO Investigator	Lana Yang	Agency Attorney	<u>100%</u>	lyang@dep.nyc.gov	<u>718-595-</u> <u>3451</u>	
EEO Counselor\ Investigator						
Investigator/Trainer	Candice Sylvester	Agency Attorney Intern	<u>100%</u>	csylvester@dep.nyc.gov		
EEO Training Liaison						
Other (describe)						
EEO Intake Specialist	Zachary Taylor	Community	<u>100%</u>	ztaylor@dep.nyc.gov	<u>718-595-</u>	

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		<u>Coordinator</u>			<u>7301</u>	
* Please note changes (now personnel filling the specified role). You may insert additional entries as peeded. Title refers to the sivil service title. If there is an						

<u>\* Please note changes (new personnel filling the specified role). You may insert additional entries as needed. Title refers to the civil service title. If there is an EEO\Diversity role that your staff performs that is not on the list above you may indicate it on the chart.</u>

# FY 2021 QUARTERLY AGENCY REPORT – PART II: DIVERSITY AND EEO TRAINING SUMMARY

### **INSTRUCTIONS**

### **REMINDERS AND REQUESTS:**

- \* Please fill out all identifying information on lines 8, 13 and 14.
- \* Please DO NOT INPUT information in **PINK-SHADED CELLS**.
- \* Do not change the formatting and layout of the worksheet.
- \* To prevent inadvertent modifications, certain lines and columns of the worksheet have been protected and cannot be modified.



### FY 2021 QUARTERLY REPORT Part II: DIVERSITY AND EEO TRAINING SUMMARY

AGENCY NAME: NYC DEP

1st Qtr FY 2021

**NOTE:** FILL OUT YOUR TRAINING TARGETS IN GREEN FIELDS!

INCLUDE PRIOR QUARTERS' DATA IN THE CURRENT QUARTER REPORT.

DO NOT ATTEMPT TO MAKE ANY ENTRIES IN PINK-SHADED CELLS

### SAVE THIS FILE UNDER THE NAME: [AGENCY ACRONYM] Quarter X FY 2021 DEEO TRAINING SUMMARY

SUBMITTED BY [TITLE]: Sandra Rhabb Campbell							
DATE SUBMITTED: 11/6/2020	E-MAIL:	Scampbell@d	<b>TEL #:</b>	(718) 595-6558			

1st Quarter (July-September) <u>DUE October 30, 2020</u>; 2nd Quarter <u>DUE Februry 1, 2021</u>; 3rd Quarter (January-March) <u>DUE April 30, 2021</u>; 4th Quarter (April-June) <u>DUE July 30, 2021</u>.

ALL EEO-RELATED TRAINING (ALL MODALITIES)	ANNUAL TARGET from FY 2021 Agency D&EEO Plan	1st Qtr (July - Sept. 2020)	2nd Qtr (Oct Dec. 2020)	3rd Qtr (Jan March 2021)	4th Qtr (April - June 2021)	YEAR TO DATE
TOTAL DIVERSITY & EEO TRAINING	0	707	0	0	0	707

(	CORE DIVERSITY A	ND EEO TRAI	NING (All M	odalities)		
TOTAL CORE EEO TRAINING (ALL MODALITIES)	0	551	0	0	0	551
1. EEO Awareness	0	8	0	0	0	8
Administered by DCAS [Copy data from DCAS Learning & Development report]						0
Administered by Agency		8				8
2. D&I "Everybody Matters"	0	2	0	0	0	2
Administered by DCAS [Copy data from DCAS Learning & Development report]						0
Administered by Agency		2				2
3. IgbTq: The Power of Inclusion	0	21	0	0	0	21
Administered by DCAS [Copy data from DCAS Learning & Development report]						0
Administered by Agency		21				21
4. Sexual Harassment Prevention	0	520	0	0	0	520
Administered by DCAS [Copy data from DCAS Learning & Development report]						0
Administered by Agency		520				520
5. Disability Etiquette	0	0	0	0	0	0
Administered by DCAS [Copy data from DCAS Learning & Development report]						0
Administered by Agency		0				0

#### [Agency Name] \_\_\_\_Qtr FY 2019

ALL EEO-RELATED TRAINING (ALL MODALITIES)	ANNUAL TARGET from FY 2021 Agency D&EEO Plan	1st Qtr (July - Sept. 2020)	2nd Qtr (Oct Dec. 2020)	3rd Qtr (Jan March 2021)	4th Qtr (April - June 2021)	YEAR TO DATE
OTHER DIVERSITY AND EEO TRAINING (All Modalities)						
ALL OTHER DIVERSITY & EEO TRAINING	0	156	0	0	0	156
6. New Employee Orientation (Only if it includes EEO Component)	NOTE: Do not make en		employees recei	ved CORE EEO tra	ining as part of t	
TOTAL PARTICIPANTS TRAINED		0				0
7. Structured Interviewing	NOTE: Including combined Structured Interviewing & Unconscious Bias training			aining		
TOTAL PARTICIPANTS TRAINED		0				0
8. Unconscious Bias	NOTE: Do not make entries here if Unconscious Bias was included in Structured Interviewing training reported above					
TOTAL PARTICIPANTS TRAINED		0				0
9. Other Diversity/EEO Related	Specify topic:	EEO Semi Ann	ual Briefing			
TOTAL PARTICIPANTS TRAINED		42				42
10. Other Diversity/EEO Related	Specify topic:	Supervision in	Action - Mast	ering the Basic	CS	
TOTAL PARTICIPANTS TRAINED		89				89
11. Other Diversity/EEO Related	Specify topic:	Executive Ord	er 16			
TOTAL PARTICIPANTS TRAINED		20				20
12. Other Diversity/EEO Related	Specify topic:	EEO Fundame	ntals Training			
TOTAL PARTICIPANTS TRAINED		5				5
13. Other Diversity/EEO Related	Specify topic:					
TOTAL PARTICIPANTS TRAINED						0
14. Other Diversity/EEO Related	Specify topic:					
TOTAL PARTICIPANTS TRAINED						0
15. Other Diversity/EEO Related	Specify topic:					
TOTAL PARTICIPANTS TRAINED						0