

Financial Plan Statements
for
New York City
October 2008



The City of New York



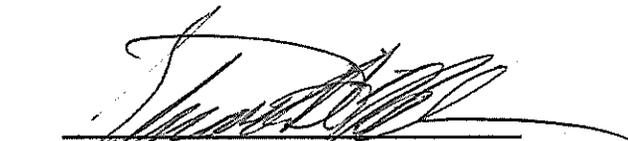
This report contains Financial Plan Statements for October 2008 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on November 12, 2008.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

**THE CITY OF NEW YORK
BY**


Stuart Klein
First Deputy Director
Office of Management and Budget


Marcia Van Wagner
Deputy Comptroller Budget
Office of the Comptroller

TABLE OF CONTENTS

<u>REPORT NO.</u>	<u>INTRODUCTION</u>	<u>PAGE</u>
	Notes to Financial Plan Statements	1-3
1	Financial Plan Summary	4
1A	Month-By-Month Revenue and Obligation Forecast	5
2	Analysis of Change in Fiscal Year Plan	6
3	Revenue Activity By Major Area	7-8
4	Obligation Analysis	9
4A/4B	Personnel Control Reports	10-16
5	Capital Commitments	17-24
5A	Capital Cash Flow	25-26
6/6A	Month-By-Month Cash Flow Forecast	27-29
7	Covered Organizations	30-38

NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

Covered Organization Financial Plans are issued quarterly.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2008 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

Debt Service expenditures are net of Transitional Finance Authority (TFA) debt service and City personal income taxes (PIT) are net of PIT deposited into the TFA Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2009 for OTPS purchase orders and contracts expected to be received by June 30, 2009 are treated as expenditures.

(d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2009 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2009.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Report No. 1

Financial Plan Summary

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1**

(MILLIONS OF DOLLARS)

**MONTH: OCTOBER
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
REVENUES:									
TAXES									
GENERAL PROPERTY TAXES	\$ 248	\$ 248	\$ -	\$ 7,939	\$ 7,939	\$ -	\$ 14,613	\$ 14,613	\$ -
OTHER TAXES	1,026	1,026	-	6,421	6,421	-	22,265	22,265	-
MISCELLANEOUS REVENUES	400	400	-	1,779	1,779	-	5,821	5,821	-
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	340	340	-
LESS: INTRA-CITY REVENUES DISALLOWANCES	(71)	(71)	-	(148)	(148)	-	(1,607)	(1,607)	-
	-	-	-	-	-	-	(15)	(15)	-
SUBTOTAL	1,603	1,603	-	15,991	15,991	-	41,417	41,417	-
OTHER CATEGORICAL GRANTS	86	86	-	116	116	-	1,075	1,075	-
CAPITAL INTER-FUND TRANSFERS	25	25	-	58	58	-	464	464	-
FEDERAL GRANTS	178	178	-	326	326	-	5,816	5,816	-
STATE GRANTS	156	156	-	1,820	1,820	-	11,668	11,668	-
TOTAL REVENUES	\$ 2,048	\$ 2,048	\$ -	\$ 18,311	\$ 18,311	\$ -	\$ 60,440	\$ 60,440	\$ -
EXPENDITURES:									
PS	\$ 2,586	\$ 2,615	\$ 29	\$ 8,959	\$ 8,902	\$ (57)	\$ 34,474	\$ 34,474	\$ -
OTPS	1,845	1,433	(412)	13,283	12,294	(989)	25,566	25,566	-
DEBT SERVICE	3	18	15	106	74	(32)	1,707	1,707	-
GENERAL RESERVE	-	-	-	-	-	-	300	300	-
SUBTOTAL	4,434	4,066	(368)	22,348	21,270	(1,078)	62,047	62,047	-
LESS: INTRA-CITY EXPENSES	(71)	(71)	-	(148)	(148)	-	(1,607)	(1,607)	-
TOTAL EXPENDITURES	\$ 4,363	\$ 3,995	\$ (368)	\$ 22,200	\$ 21,122	\$ (1,078)	\$ 60,440	\$ 60,440	\$ -
SURPLUS/(DEFICIT)	\$ (2,315)	\$ (1,947)	\$ (368)	\$ (3,889)	\$ (2,811)	\$ (1,078)	\$ -	\$ -	\$ -

Report No. 1A

Month-by-Month Revenue and Obligation Forecast

**NEW YORK CITY
MONTH-BY-MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A**

(MILLIONS OF DOLLARS)

**MONTH: OCTOBER
FISCAL YEAR 2009**

	ACTUAL				FORECAST									
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR
REVENUES:														
TAXES														
GENERAL PROPERTY TAXES	\$ 6,916	\$ 133	\$ 642	\$ 248	\$ 6	\$ 2,571	\$ 2,754	\$ 28	\$ 658	\$ 323	\$ 26	\$ 25	\$ 283	\$ 14,613
OTHER TAXES	1,047	1,011	3,337	1,026	1,235	2,696	2,405	911	2,235	2,012	913	3,353	84	22,265
MISCELLANEOUS REVENUES	621	306	452	400	417	313	570	332	390	503	640	576	301	5,821
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	-	-	-	-	-	340	-	340
ANTICIPATED REVENUES	-	-	-	-	-	-	-	-	-	-	-	-	-	-
LESS: INTRA-CITY REVENUES	(3)	(4)	(70)	(71)	(83)	(88)	(204)	(108)	(128)	(153)	(114)	(280)	(301)	(1,607)
DISALLOWANCES	-	-	-	-	-	-	-	-	-	-	(5)	(10)	-	(15)
SUBTOTAL	8,581	1,446	4,361	1,603	1,575	5,492	5,525	1,163	3,155	2,685	1,460	4,004	367	41,417
OTHER CATEGORICAL GRANTS	-	14	16	86	84	71	164	50	44	141	50	355	-	1,075
CAPITAL INTER-FUND TRANSFERS	-	14	19	25	24	20	70	23	28	34	43	23	141	464
FEDERAL GRANTS	8	24	116	178	615	310	624	528	500	605	504	479	1,325	5,816
STATE GRANTS	19	3	1,642	156	1,028	948	1,109	1,008	1,046	1,190	1,028	1,190	1,301	11,668
TOTAL REVENUES:	\$ 8,608	\$ 1,501	\$ 6,154	\$ 2,048	\$ 3,326	\$ 6,841	\$ 7,492	\$ 2,772	\$ 4,773	\$ 4,655	\$ 3,085	\$ 6,051	\$ 3,134	\$ 60,440
EXPENDITURES:														
PS	\$ 1,424	\$ 2,371	\$ 2,578	\$ 2,586	\$ 2,745	\$ 2,655	\$ 3,359	\$ 2,659	\$ 2,669	\$ 2,644	\$ 2,762	\$ 3,913	\$ 2,109	\$ 34,474
OTPS	6,375	2,442	2,621	1,845	1,794	1,240	1,715	1,133	1,761	1,110	1,138	1,907	485	25,566
DEBT SERVICE	127	(7)	(17)	3	13	12	15	10	10	79	95	1,367	-	1,707
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	300	300
SUBTOTAL	7,926	4,806	5,182	4,434	4,552	3,907	5,089	3,802	4,440	3,833	3,995	7,187	2,894	62,047
LESS: INTRA-CITY EXPENSES	(3)	(4)	(70)	(71)	(83)	(88)	(204)	(108)	(128)	(153)	(114)	(280)	(301)	(1,607)
TOTAL EXPENDITURES	\$ 7,923	\$ 4,802	\$ 5,112	\$ 4,363	\$ 4,469	\$ 3,819	\$ 4,885	\$ 3,694	\$ 4,312	\$ 3,680	\$ 3,881	\$ 6,907	\$ 2,593	\$ 60,440
SURPLUS/(DEFICIT)	\$ 685	\$ (3,301)	\$ 1,042	\$ (2,315)	\$ (1,143)	\$ 3,022	\$ 2,607	\$ (922)	\$ 461	\$ 975	\$ (796)	\$ (856)	\$ 541	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2**

(MILLIONS OF DOLLARS)

**MONTH: OCTOBER
FISCAL YEAR 2009**

DESCRIPTION	INITIAL PLAN 6/30/2008	CHANGES FROM INITIAL PLAN	CHANGES FROM PREVIOUS FORECAST
REVENUES:			
TAXES			
GENERAL PROPERTY TAXES	\$ 13,782	\$ 831	\$ -
OTHER TAXES	22,545	(280)	-
MISCELLANEOUS REVENUES	5,671	150	-
UNRESTRICTED INTERGOVERNMENTAL AID	340	-	-
LESS:INTRA-CITY REVENUES	(1,538)	(69)	-
DISALLOWANCES	(15)	-	-
SUBTOTAL	40,785	632	-
OTHER CATEGORICAL GRANTS	1,029	46	-
CAPITAL INTERFUND TRANSFERS	463	1	-
FEDERAL GRANTS	5,366	450	-
STATE GRANTS	11,526	142	-
TOTAL REVENUES	\$ 59,169	\$ 1,271	\$ -
EXPENDITURES:			
PERSONAL SERVICE	\$ 34,497	\$ (23)	\$ -
OTHER THAN PERSONAL SERVICE	24,619	947	-
DEBT SERVICE	1,291	416	-
GENERAL RESERVE	300	-	-
SUBTOTAL	60,707	1,340	-
LESS:INTRA-CITY EXPENDITURES	(1,538)	(69)	-
TOTAL EXPENDITURES	\$ 59,169	\$ 1,271	\$ -

Report No. 3

Revenue Activity by Major Area

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3**

(MILLIONS OF DOLLARS)

**MONTH: OCTOBER
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
TAXES:									
GENERAL PROPERTY TAXES	\$ 248	\$ 248	\$ -	\$ 7,939	\$ 7,939	\$ -	\$ 14,613	\$ 14,613	\$ -
PERSONAL INCOME TAX	488	488	-	2,139	2,139	-	7,186	7,186	-
GENERAL CORPORATION TAX	(11)	(11)	-	484	484	-	2,519	2,519	-
BANKING CORPORATION TAX	(97)	(97)	-	172	172	-	517	517	-
UNINCORPORATED BUSINESS TAX	47	47	-	376	376	-	1,668	1,668	-
GENERAL SALES TAX	359	359	-	1,563	1,563	-	4,749	4,749	-
REAL PROPERTY TRANSFER TAX	69	69	-	403	403	-	1,030	1,030	-
MORTGAGE RECORDING TAX	56	56	-	255	255	-	795	795	-
COMMERCIAL RENT TAX	4	4	-	144	144	-	556	556	-
UTILITY TAX	32	32	-	105	105	-	388	388	-
OTHER TAXES	27	27	-	209	209	-	923	923	-
TAX AUDIT REVENUES *	52	52	-	228	228	-	680	680	-
TAX PROGRAM (STAR)	-	-	-	343	343	-	1,254	1,254	-
TOTAL TAXES	\$ 1,274	\$ 1,274	\$ -	\$ 14,360	\$ 14,360	\$ -	\$ 36,878	\$ 36,878	\$ -
MISCELLANEOUS REVENUES:									
LICENSES/FRANCHISES/ETC.	34	34	-	151	151	-	469	469	-
INTEREST INCOME	6	6	-	53	53	-	90	90	-
CHARGES FOR SERVICES	37	37	-	164	164	-	619	619	-
WATER AND SEWER CHARGES	75	75	-	610	610	-	1,307	1,307	-
RENTAL INCOME	36	36	-	81	81	-	219	219	-
FINES AND FORFEITURES	78	78	-	282	282	-	776	776	-
MISCELLANEOUS	63	63	-	290	290	-	734	734	-
INTRA-CITY REVENUE	71	71	-	148	148	-	1,607	1,607	-
TOTAL MISCELLANEOUS	\$ 400	\$ 400	\$ -	\$ 1,779	\$ 1,779	\$ -	\$ 5,821	\$ 5,821	\$ -

* The financial plan as submitted on November 12, 2008 reflects \$680 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2009 PLAN
SALES TAX	\$ 6	\$ 8	\$ 20
PERSONAL INCOME TAX	9	11	25
GENERAL CORPORATION TAX	26	66	349
COMMERCIAL RENT TAX	3	5	15
FINANCIAL CORPORATION TAX	5	129	201
UTILITY TAX	-	-	8
UNINCORPORATED BUSINESS TAX	3	9	48
REAL PROPERTY TRANSFER	-	-	6
OTHER TAXES	-	-	8
TOTAL	\$ 52	\$ 228	\$ 680

**REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)**

(MILLIONS OF DOLLARS)

**MONTH: OCTOBER
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNRESTRICTED INTGOVT. AID									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	327	327	-
OTHER INTGOVT. AID	-	-	-	-	-	-	13	13	-
TOTAL UNRESTRICTED INTG.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 340	\$ 340	\$ -
OTHER CATEGORICAL GRANTS	86	86	-	116	116	-	1,075	1,075	-
CAPITAL INTER-FUND TRANSFERS	25	25	-	58	58	-	464	464	-
LESS: INTRA-CITY REVENUES	(71)	(71)	-	(148)	(148)	-	(1,607)	(1,607)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
FEDERAL GRANTS									
COMMUNITY DEVELOPMENT	18	18	-	58	58	-	283	283	-
WELFARE	123	123	-	211	211	-	2,548	2,548	-
EDUCATION	5	5	-	8	8	-	1,758	1,758	-
OTHER	32	32	-	49	49	-	1,227	1,227	-
TOTAL FEDERAL GRANTS	\$ 178	\$ 178	\$ -	\$ 326	\$ 326	\$ -	\$ 5,816	\$ 5,816	\$ -
STATE GRANTS									
WELFARE	131	131	-	229	229	-	2,004	2,004	-
EDUCATION	8	8	-	1,542	1,542	-	8,517	8,517	-
HIGHER EDUCATION	-	-	-	-	-	-	211	211	-
HEALTH AND MENTAL HYGIENE	-	-	-	-	-	-	485	485	-
OTHER	17	17	-	49	49	-	451	451	-
TOTAL STATE GRANTS	\$ 156	\$ 156	\$ -	\$ 1,820	\$ 1,820	\$ -	\$ 11,668	\$ 11,668	\$ -
TOTAL REVENUES	\$ 2,048	\$ 2,048	\$ -	\$ 18,311	\$ 18,311	\$ -	\$ 60,440	\$ 60,440	\$ -

Report No. 4

Obligation Analysis

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4**

(MILLIONS OF DOLLARS)

**MONTH: OCTOBER
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES									
POLICE DEPT.	\$ 351	\$ 301	\$ (50)	\$ 1,417	\$ 1,331	\$ (86)	\$ 4,417	\$ 4,417	\$ -
FIRE DEPT.	119	116	(3)	532	545	13	1,569	1,569	-
DEPT. OF CORRECTION	71	71	-	329	320	(9)	986	986	-
SANITATION DEPT.	74	60	(14)	553	508	(45)	1,282	1,282	-
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	74	113	39	1,673	1,511	(162)	2,734	2,734	-
DEPT. OF SOCIAL SERVICES	726	609	(117)	3,081	2,960	(121)	8,533	8,533	-
DEPT. OF HOMELESS SERVICES	37	34	(3)	519	387	(132)	782	782	-
HEALTH & MENTAL HYGIENE	404	87	(317)	1,157	1,145	(12)	1,699	1,699	-
OTHER AGENCIES									
HOUSING PRESERVATION & DEV.	52	69	17	272	252	(20)	651	651	-
ENVIRONMENTAL PROTECTION	74	58	(16)	430	393	(37)	1,033	1,033	-
TRANSPORTATION DEPT.	49	45	(4)	365	369	4	790	790	-
PARKS & RECREATION DEPT.	27	24	(3)	153	150	(3)	359	359	-
DEPT. OF CITYWIDE ADMIN. SERVICES	11	15	4	937	987	50	1,120	1,120	-
ALL OTHER	207	291	84	1,548	1,652	104	3,155	3,155	-
COVERED ORGANIZATIONS									
DEPT. OF EDUCATION	1,271	1,357	86	5,615	5,230	(385)	17,576	17,576	-
HIGHER EDUCATION	88	63	(25)	254	238	(16)	695	695	-
HEALTH & HOSPITALS CORP.	35	14	(21)	41	39	(2)	200	200	-
OTHER									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	170	161	(9)	795	761	(34)	3,346	3,346	-
TRANSIT SUBSIDIES	-	5	5	96	35	(61)	388	388	-
JUDGMENTS & CLAIMS	46	34	(12)	193	96	(97)	658	658	-
OTHER	36	12	(24)	246	251	5	1,771	1,771	-
PENSION CONTRIBUTIONS	509	509	-	2,036	2,036	-	6,296	6,296	-
DEBT SERVICE	3	18	15	106	74	(32)	1,707	1,707	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	-	-	-
UNALLOCATED REDUCTIONS	-	-	-	-	-	-	-	-	-
SUB-TOTAL	\$ 4,434	\$ 4,066	\$ (368)	\$ 22,348	\$ 21,270	\$ (1,078)	\$ 61,747	\$ 61,747	\$ -
PLUS GENERAL RESERVE	-	-	-	-	-	-	300	300	-
LESS INTRA-CITY EXPENSES	(71)	(71)	-	(148)	(148)	-	(1,607)	(1,607)	-
TOTAL EXPENDITURES	\$ 4,363	\$ 3,995	\$ (368)	\$ 22,200	\$ 21,122	\$ (1,078)	\$ 60,440	\$ 60,440	\$ -

Report No. 4A & 4B

Personnel Control Reports

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A**

**MONTH: OCTOBER
FISCAL YEAR 2009**

(MILLIONS OF DOLLARS)

	FT & FTE POSITIONS			PERSONAL SERVICE COSTS					FT & FTE POSITIONS			PERSONAL SERVICE COSTS				
	CURRENT MONTH		CURRENT MONTH		YEAR-TO-DATE			FISCAL YEAR 2009 PROJECTIONS			FISCAL YEAR 2009 PROJECTIONS		FISCAL YEAR 2009 PROJECTIONS			
	ACTUAL	PLAN *	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)		
UNIFORM FORCES																
POLICE DEPT.	52,467	52,213	\$ 319	\$ 283	\$ (36)	\$ 1,236	\$ 1,182	\$ (54)	51,210	51,210	-	\$ 4,024	\$ 4,024	\$ -		
FIRE DEPT.	16,515	16,477	103	107	4	438	440	2	16,109	16,109	-	1,414	1,414	-		
DEPT. OF CORRECTION	10,562	10,763	64	66	2	265	258	(7)	10,928	10,928	-	848	848	-		
SANITATION DEPT.	9,886	9,935	51	53	2	216	222	6	9,829	9,829	-	739	739	-		
HEALTH & WELFARE																
ADMIN. FOR CHILD SERVICES	7,059	7,030	30	30	-	126	127	1	7,080	7,080	-	391	391	-		
DEPT. OF SOCIAL SERVICES	14,034	15,199	53	54	1	219	226	7	15,129	15,129	-	688	688	-		
DEPT. OF HOMELESS SERVICES	2,105	2,049	10	9	(1)	38	37	(1)	2,227	2,227	-	117	117	-		
HEALTH & MENTAL HYGIENE	6,534	6,977	29	31	2	117	130	13	7,046	7,046	-	403	403	-		
OTHER AGENCIES																
ENVIRONMENTAL PROTECTION	6,207	6,509	32	31	(1)	131	132	1	6,400	6,400	-	400	400	-		
TRANSPORTATION DEPT.	4,834	4,872	28	25	(3)	114	103	(11)	5,041	5,041	-	349	349	-		
PARKS & RECREATION DEPT.	6,694	6,642	21	19	(2)	101	97	(4)	7,188	7,188	-	266	266	-		
CITYWIDE ADMIN. SERVICES	2,164	2,249	12	10	(2)	43	40	(3)	2,323	2,323	-	134	134	-		
ALL OTHER	30,805	30,104	158	155	(3)	608	617	9	30,428	30,428	-	1,895	1,895	-		
COVERED ORGANIZATIONS																
DEPT. OF EDUCATION	140,499	139,531	997	1,072	75	2,476	2,494	18	139,114	139,114	-	12,448	12,448	-		
OTHER																
MISCELLANEOUS BUDGET	-	-	170	161	(9)	795	761	(34)	-	-	-	4,062	4,062	-		
PENSION CONTRIBUTIONS	-	-	509	509	-	2,036	2,036	-	-	-	-	6,296	6,296	-		
TOTAL	310,365	310,550	\$ 2,586	\$ 2,615	\$ 29	\$ 8,959	\$ 8,902	\$ (57)	310,052	310,052	-	\$ 34,474	\$ 34,474	\$ -		

* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4B**

**MONTH: OCTOBER
FISCAL YEAR 2009**

	<u>FULL-TIME POSITIONS</u> <u>CURRENT MONTH</u>			<u>FULL-TIME POSITIONS</u> <u>FISCAL YEAR 2009 PROJECTIONS</u>		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES						
POLICE DEPT.	50,922	50,401	(521)	49,428	49,428	-
FIRE DEPT.	16,436	16,419	(17)	16,050	16,050	-
DEPT. OF CORRECTION	10,508	10,710	202	10,875	10,875	-
SANITATION DEPT.	9,805	9,805	-	9,692	9,692	-
HEALTH & WELFARE						
ADMIN. FOR CHILD SERVICES	7,003	6,974	(29)	7,022	7,022	-
DEPT. OF SOCIAL SERVICES	14,015	15,197	1,182	15,126	15,126	-
DEPT. OF HOMELESS SERVICES	2,104	2,043	(61)	2,221	2,221	-
HEALTH & MENTAL HYGIENE	5,210	5,596	386	5,635	5,635	-
OTHER AGENCIES						
ENVIRONMENTAL PROTECTION	5,901	6,240	339	6,127	6,127	-
TRANSPORTATION DEPT.	4,376	4,612	236	4,807	4,807	-
PARKS & RECREATION DEPT.	3,729	3,577	(152)	3,586	3,586	-
CITYWIDE ADMIN. SERVICES	1,928	1,963	35	2,060	2,060	-
ALL OTHER	26,093	26,323	230	26,700	26,700	-
COVERED ORGANIZATIONS						
DEPT. OF EDUCATION	124,317	122,561	(1,756)	122,144	122,144	-
TOTAL	282,347	282,421	74	281,473	281,473	-

NOTES TO REPORTS NO. 4, 4A AND 4B

The current month, year-to-date and fiscal year plan data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on November 12, 2008.

There are 310,365 filled positions as of October of which 282,347 are full-time positions and 28,018 are full-time equivalent positions. Of the 310,365 filled positions, 269,048 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2009) 281,473 of the 310,052 positions are full-time and 267,151 of the 310,052 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2009 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$(86) million year-to-date variance is primarily due to:

- \$(46) million in accelerated encumbrances, including \$(11) million for special expense, \$(8) million for advertising, \$(6) million for motor vehicles, \$(5) million for general supplies and materials, \$(3) million for general equipment.
- \$14 million in delayed encumbrances, primarily for rentals of land, buildings and structures and professional computer services.
- \$(54) million in personal services, including \$(37) million for overtime, \$(19) million for holiday pay, \$(16) million for backpay that will be journaled back to prior years, offset by \$13 million for full-time normal gross and \$4 million for uniformed full-time normal gross.

Fire Department: The \$13 million year-to-date variance is primarily due to:

- \$35 million in delayed encumbrances, primarily due to other professional services and automotive supplies and materials.
- \$(24) million in accelerated encumbrances, including \$(5) million for general maintenance and repairs, \$(4) million for automotive supplies and materials, \$(3) million for motor vehicles and \$(2) million for general supplies and materials.

- \$2 million in personal services.

Department of Sanitation: The \$(45) million year-to-date variance is primarily due to:

- \$(57) million in accelerated encumbrances, including \$(29) million for municipal waste export, \$(10) million for general contractual services, \$(6) million for general supplies and materials and \$(3) million for other contractual professional services.
- \$6 million in delayed encumbrances, primarily due to other professional services and automotive supplies and materials.
- \$6 million in personal services.

Administration for Children's Services: The \$(162) million year-to-date variance is primarily due to:

- \$(207) million in accelerated encumbrances, including \$(53) million for children day care, \$(52) million for children's charitable institutions, \$(35) million for child welfare services, \$(25) million for payments for direct foster care of children, \$(20) million for special education facilities, \$(4) million for homemaking services, \$(4) million for general maintenance and repairs and \$(3) million for general fixed charges.
- \$44 million in delayed encumbrances, primarily for subsidized adoption and rentals of land, buildings and structures.
- \$1 million in personal services.

Department of Social Services: The \$(121) million year-to-date variance is primarily due to:

- \$(159) million in accelerated encumbrances, including \$(89) million in medical assistance, \$(18) million AIDS services, \$(14) million in employment services, \$(10) million in children's voluntary agency Medicaid, and \$(7) million for general contractual services.
- \$31 million in delayed encumbrances, primarily for home care services and homeless family services.

- \$7 million in personal services.

Department of Homeless Services: The \$(132) million year-to-date variance is primarily due to:

- \$(146) million in accelerated encumbrances, primarily for homeless individual services and homeless family services.
- \$14 million in delayed encumbrances, primarily for temporary services and security services.

Department of Housing Preservation and Development: The \$(20) million year-to-date variance is primarily due to:

- \$(41) million in accelerated encumbrances, including \$(21) million for general contractual services, \$(8) million for general maintenance and repairs, \$(4) million for fuel oil and \$(3) million for maintenance costs.
- \$22 million in delayed encumbrances, primarily for Federal 8 rent subsidy.

Department of Environmental Protection: The \$(37) million year-to-date variance is primarily due to:

- \$(78) million in accelerated encumbrances, including \$(36) million for taxes and licenses, \$(17) million for general contractual services, \$(11) million for rentals of land, buildings and structures and \$(8) million for fuel oil.
- \$40 million in delayed encumbrances, primarily for other general expenses and heat, light and power.

Department of Citywide Administrative Services: The \$50 million year-to-date variance is primarily due to:

- \$63 million in delayed encumbrances, primarily for heat, light and power.
- \$(10) million in accelerated encumbrances, primarily for general supplies and materials.
- \$(3) million in personal services.

Department of Education: The \$(385) million year-to-date variance is primarily due to:

- \$18 million in personal services, of which \$(76) million represents backpay that will be journalled to prior years and \$94 million represents the current year spending variance.
- \$(403) million in OTPS, reflecting accelerated encumbrances of \$(458) million for contract payments, \$(64) million for professional direct educational services, \$(20) million for maintenance and operation of infrastructure, \$(18) million for professional curriculum and development services, \$(14) million for professional computer services, \$(10) million for payments to Fashion Institute of Technology, \$(8) million for transportation of pupils, \$(7) million for city employees training program, \$(7) million for insurance premiums, \$(6) million for telephone and other communications and \$(6) million for other professional services, offset by delayed encumbrances of \$88 million for general supplies and materials, \$31 million for other general expenses, \$18 million for food and forage supplies, \$17 million for heat, light and power, \$11 million for data processing equipment, \$8 million for rentals of land, buildings and structures, \$7 million for tuition payments for foster care, \$6 million for fuel oil, \$6 million for general equipment, \$6 million for other books, \$5 million for telecommunications maintenance and \$3 million for printing contracts.

Higher Education: The \$(16) million year-to-date variance is primarily due to:

- \$(22) million in OTPS, primarily due to general contractual services and senior college expenses.
- \$6 million in personal services, primarily for fringe benefits.

Miscellaneous: The \$(187) million year-to-date variance is primarily due to:

- \$(34) million in fringe benefits for earlier than expected encumbrances.
- \$(61) million in transit subsidies for earlier than expected encumbrances.
- \$(97) million in judgment and claims for prior year charges.
- \$5 million in other.

Debt Service: The \$(32) million year-to-date variance is primarily due to:

- \$(45) million in interest exchange agreements and \$(13) million in floating rate support costs for earlier than expected encumbrances.
- \$23 million in general interest on bonds and \$3 million in lease debt for later than expected encumbrances.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: OCTOBER		FISCAL YEAR: 2009		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$35.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$71.3 (C) 0.0 (N)	\$34.6 (C) 0.0 (N)	\$96.1 (C) 32.2 (N)
HIGHWAY AND STREETS	6.4 (C) 0.4 (N)	6.7 (C) 1.4 (N)	74.6 (C) 4.5 (N)	69.3 (C) 4.3 (N)	474.0 (C) 227.4 (N)
HIGHWAY BRIDGES	12.9 (C) 0.0 (N)	0.6 (C) 0.0 (N)	24.9 (C) 0.0 (N)	24.3 (C) 0.0 (N)	679.2 (C) 87.7 (N)
WATERWAY BRIDGES	6.9 (C) 0.0 (N)	0.0 (C) 0.0 (N)	10.7 (C) 0.0 (N)	10.6 (C) 0.0 (N)	274.7 (C) 143.1 (N)
WATER SUPPLY	4.3 (C) 0.0 (N)	0.0 (C) 0.0 (N)	8.5 (C) 0.0 (N)	4.5 (C) 0.0 (N)	312.9 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	28.6 (C) 0.0 (N)	5.2 (C) 0.0 (N)	100.6 (C) 0.0 (N)	20.4 (C) 0.0 (N)	880.2 (C) 0.0 (N)
SEWERS	6.8 (C) 0.0 (N)	5.4 (C) 0.0 (N)	11.3 (C) 0.0 (N)	46.1 (C) 0.0 (N)	206.9 (C) 0.0 (N)
WATER POLLUTION CONTROL	25.5 (C) 0.0 (N)	15.4 (C) 0.0 (N)	826.0 (C) 0.0 (N)	153.7 (C) 0.0 (N)	1,609.8 (C) 3.3 (N)
ECONOMIC DEVELOPMENT	18.8 (C) 0.7 (N)	0.0 (C) 0.0 (N)	67.9 (C) 1.9 (N)	21.4 (C) 1.2 (N)	1,076.0 (C) 339.7 (N)
EDUCATION	0.5 (C) 0.0 (N)	0.6 (C) 0.0 (N)	148.7 (C) 150.0 (N)	150.6 (C) 151.7 (N)	1,119.8 (C) 1,666.2 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: OCTOBER		FISCAL YEAR: 2009		FISCAL YEAR PLAN
	CURRENT MONTH		YEAR-TO-DATE		
	ACTUAL	PLAN	ACTUAL	PLAN	
CORRECTION	4.8 (C)	0.0 (C)	14.1 (C)	73.9 (C)	215.7 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	3.8 (N)	3.8 (N)
SANITATION	2.9 (C)	130.8 (C)	10.6 (C)	185.4 (C)	729.3 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	5.1 (N)
POLICE	3.6 (C)	81.0 (C)	12.1 (C)	138.3 (C)	1,124.5 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)
FIRE	2.1 (C)	0.4 (C)	13.1 (C)	4.3 (C)	252.0 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.1 (N)	30.7 (N)
HOUSING	(2.1) (C)	0.0 (C)	10.1 (C)	12.5 (C)	758.5 (C)
	0.0 (N)	0.0 (N)	1.7 (N)	0.0 (N)	186.5 (N)
HOSPITALS	112.9 (C)	24.8 (C)	167.6 (C)	284.2 (C)	414.3 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)
PUBLIC BUILDINGS	4.5 (C)	0.7 (C)	12.2 (C)	5.3 (C)	569.3 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	(0.1) (N)	(0.1) (N)
PARKS	26.8 (C)	1.3 (C)	69.4 (C)	13.1 (C)	1,140.9 (C)
	0.2 (N)	0.0 (N)	2.4 (N)	0.7 (N)	235.1 (N)
ALL OTHER DEPARTMENTS	70.1 (C)	43.9 (C)	236.1 (C)	216.2 (C)	4,061.1 (C)
	2.4 (N)	1.1 (N)	19.1 (N)	33.9 (N)	304.6 (N)
TOTAL	\$371.2 (C)	\$316.9 (C)	\$1,889.6 (C)	\$1,468.6 (C)	\$15,995.4 (C)
	\$3.6 (N)	\$2.5 (N)	\$179.6 (N)	\$195.6 (N)	\$3,265.5 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: October

Fiscal Year: 2009

City Funds:

Total Authorized Commitment Plan	\$15,996
Less: Reserve for Unattained Commitments	<u>(4,458)</u>
Commitment Plan	<u>\$11,537</u>

Non-City Funds:

Total Authorized Commitment Plan	\$3,266
Less: Reserve for Unattained Commitments	<u>0</u>
Commitment Plan	<u>\$3,266</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2009 Adopted Capital Commitment plan of \$15,996 million rather than the Financial Plan level of \$11,537 million. The additional \$4,458 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through October are primarily due to timing differences.

- | | | |
|----------------------|---|--|
| Correction | - | Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$22.4 million, slipped from July and August 2008 to December 2008. Purchase of Vehicles for the Department of Correction, totaling \$7.3 million, slipped from July 2008 to December 2008. Purchase of computer equipment, totaling \$11.1 million, slipped from July and August 2008 to December 2008. Riker's Island Infrastructure, totaling \$17.6 million, slipped from July 2008 to December 2008. Various slippages and advances account for the remaining variance. |
| Economic Development | - | Acquisition and site development for commercial redevelopment, City-wide totaling \$23.7 million, advanced from June 2009 to September and October 2008. Commercial revitalization, City-wide, totaling \$5.3 million, advanced from June 2009 to September 2008. Modernization and reconstruction of piers, City-wide, totaling \$11.1 million, advanced from June 2009 to August thru October 2008. International Business Development, totaling \$ 2.5 million, advanced from June 2009 to September and October 2008. Various slippages and advances account for the remaining variance. |
| Fire | - | Fire Department facility improvements, City-wide, totaling \$7.1 million, advanced from December 2008 thru May 2009 to July thru October 2008. Various slippages and advances account for the remaining variance. |
| Highways | - | Improvements to Highway Department Facilities, totaling \$6.9 million, advanced from January and June 2009 to August thru October 2008. Land acquisition for streets and sewers, totaling \$5.1 |

million, slipped from September 2008 to December 2008. Sidewalk reconstruction, totaling \$5.2 million, slipped from August and September 2008 to December 2008. Resurfacing of streets, City-wide, totaling \$23.1 million, advanced from June 2009 to August 2008. Reconstruction of Woodrow Road, Staten Island, totaling \$2.4 million, slipped from September 2008 to December 2008. Various slippages and advances account for the remaining variance.

Parks

- Construction and reconstruction of playgrounds and recreation facilities, totaling \$3.3 million, advanced from June 2009 to August and September 2008. Miscellaneous parks and playgrounds, City-wide, totaling \$7.0 million, advanced from May and June 2009 to August thru October 2008. Construction and reconstruction of Ferry Point Park, totaling \$6.0 million, advanced from May and June 2009 to September 2008. Street and park tree Planting City-wide, totaling \$14.0 million, advanced from April and June 2009 to August thru October 2008. Computer equipment for the Department of Parks and Recreation, totaling \$2.8 million, advanced from May and June 2009 to July thru October 2008. Park improvements City-wide, totaling \$2.4 million advanced from May and June 2009 to July thru October 2008. Construction of Central Park, Manhattan, totaling \$ 3.6 million, advanced from June 2009 to October 2008. Construction of Roberto Clemente State Park, totaling \$10.0 million, slipped from August 2008 to December 2008. Various slippages and advances account for the remaining variance.

Police

- Purchase of ultra high frequency radio telephone equipment, totaling \$58.4 million, slipped from September and October 2008 to December 2008. Improvement to Police Department property, totaling \$7.3 million slipped from September and October 2008 to December 2008. Equipment for the Harbor Unit, totaling \$3.3 million, slipped from September and October 2008 to December 2008. Acquisition of computer equipment, totaling \$41.8 million, slipped from October 2008 to December 2008. Purchase of vehicles of at least \$35,000, totaling \$9.9 million, slipped from September 2008 to December 2008. Purchase of New equipment for the Police Department, totaling \$ 2.2 million, advanced from June 2009 to October 2008. Construction of a New Police Training Facility, City-wide, totaling \$1.0 million, slipped from July 2008 to December 2008 and a deregistration of \$1.5 million, occurred in October 2008. Construction of a New Public Safety Answering Center, totaling \$3.7 million, slipped from October 2008 to December 2008. Various slippages and advances account for the remaining variance.

- Public Buildings - Construction and reconstruction of public buildings, City-wide, totaling \$4.4 million, advanced from June 2009 to July thru October 2008.

- Sanitation - Purchase of collection trucks and equipment, totaling \$3.9 million, slipped from July and September 2008 to December 2008. Improvements to garages and other facilities, totaling \$3.1 million, slipped from July and September 2008 to December 2008. Construction of sanitation garage for District 13 & 15, Brooklyn, \$9.3 million, slipped from October 2008 to December 2008. Sites for sanitation garages, City-wide, totaling \$107.7 million, slipped from October 2008 to December 2008. Construction and reconstruction of Marine Transfer Stations, totaling \$6.3 million, slipped from October 2008 to December 2008. Construction of sanitation garage for District 6/8/8A, totaling \$6.6 million, slipped from July thru September 2008 to December 2008. Construction of sanitation garage for District 1/2/5, totaling \$26.1 million, slipped from August thru October 2008 to December 2008. Construction of sanitation garage for District 4/4A/7, totaling \$4.3 million, slipped from July and October 2008 to December 2008. Construction of salt sheds, City-wide, totaling \$3.4 million, slipped from July thru September 2008 to December 2008. Various slippages and advances account for the remaining variance.

- Sewers - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$9.8 million, slipped from July thru September 2008 to December 2008. Construction of sanitary sewers, City-wide, totaling \$17.5 million, slipped from September 2008 to December 2008. City-wide mapping of sewer system, totaling \$4.5 million, slipped from July 2008 to December 2008. Sewer Contracts in conjunction with the Department of Transportation, totaling \$3.0 million, slipped from July thru October 2008 to December 2008. Various slippages and advances account for the remaining variance.

- Transit - Miscellaneous reconstruction of lines under operation, totaling \$35.0 million, advanced from June 2009 to October 2008. Various slippages and advances account for the remaining variance.

- Water Mains - Water main extension, City-wide, totaling \$4.9 million, slipped from September and October 2008 to December 2008. Trunk main extensions and improvements, totaling \$11.2 million, slipped from July thru October 2008 to December 2008. Construction of the Croton Filtration Plant, totaling \$26.4 million, advanced from January and April thru June 2009 to September and October 2008. Improvements to structures on watersheds outside the City, totaling \$68.7 advanced from June

2009 to July thru October 2008. Various slippages and advances account for the remaining variance.

Water Pollution
Control

- Hunts Point Water Pollution Control project, totaling \$2.6 million, advanced from June 2009 to July thru October 2008. Ward's Island Water Pollution Control Plant, totaling \$11.3 million, advanced from June 2009 to July thru October 2008. Reconstruction of Water Pollution Control projects, City-wide, totaling \$14.3 million, advanced from December 2008 and June 2009 to July thru October 2008. Construction of combined sewer overflow abatement, totaling \$11.9 million, advanced from April 2009 to September and October 2008. Twenty Sixth Ward Water Pollution Control Plant, totaling \$2.9 million, advanced from June 2009 to July thru October 2008. Engineering, architecture and administrative cost associated with construction at the Department of Environmental Protection, totaling \$3.5 million, advanced from June 2009 to August and September 2008. Construction and reconstruction of pumping stations, City-wide, totaling \$2.6 million, advanced from June 2009 to August thru October 2008. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$608.2 million, advanced from June 2009 to July thru October 2008. Bionutrient removal facilities, City-wide, totaling \$10.8 million, advanced from June 2009 to August thru October 2008. Various slippages and advances account for the remaining variance.

Others

- Manhattan Criminal Court Facility, totaling \$2.7 million, slipped from August and September 2008 to December 2008. Bronx Criminal Court Facility, totaling \$15.9 million, slipped from October 2008 to December 2008. Various slippages and advances account for the remaining variance.
- Improvements of structures for the Administration for Children's Services, City-wide, totaling \$4.2 million, slipped from August and September 2008 to December 2008. Equipment for the Administration for Children's Services, totaling \$5.8 million slipped from October 2008 to June 2009. Various slippages and advances account for the remaining variance.
- Purchase of EDP equipment, totaling \$10.7 million, advanced from June 2009 to July thru October 2008. Emergency communication system and facilities, totaling \$37.2 million, advanced from June 2009 to October 2008. Various slippages and advances account for the remaining variance.

- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for libraries in Queens, totaling \$12.1 million, slipped from July and August 2008 to December 2008. Deregistration of contracts for the construction, reconstruction improvements, acquisitions, initial outfitting and the purchase of equipment to Branch Libraries, totaling \$3.2 million, occurred in October 2008. Various slippages and advances account for the remaining variance.
- Improvements to Health facilities, totaling \$5.3 million, advanced from December 2008 and January 2009 to July thru October 2008.
- Funding for the 52nd Street Project, totaling \$9.9 million, slipped from September 2008 to January 2009. Alliance of Resident Theaters, totaling \$6.5 million, slipped from August 2008 to January 2009. Improvements to the Brooklyn Museum, totaling \$10.0 million, slipped from August 2008 to January 2009. Improvements to WNYC New York Public Radio, City-wide totaling \$10.1 million slipped from October 2008 to December 2008. Improvements to Lincoln Center, totaling \$7.0 million, slipped from August 2008 to January 2009. The Weeksville Master Plan, totaling \$6.8 million, slipped from September 2008 to January 2009.
- Purchase of electronic data processing equipment, totaling \$66.0 million, advanced from June 2009 to July thru October 2008. Purchase of electronic data processing equipment for FISA, totaling \$9.3 million, advanced from June 2009 to July thru October 2008. Financing capital expenditures, totaling \$4.6 million, occurred in August and September 2008.
- Installation of street lighting, City-wide, totaling \$5.3 million, slipped from July and August 2008 to December 2008.

3. Variances in year-to-date commitments of non-City funds through October occurred in the Department of Transportation and the Department of Information Technology and Telecommunications.

Others

- Installation of street lighting, City-wide, totaling \$23.5 million, slipped from July and August 2008 to December 2008.
- The installation and construction of the ECTP Emergency Communication Systems and facilities, totaling 8.4 million, advanced from June 2009 to September 2008.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: OCTOBER		FISCAL YEAR 2009	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C)		\$0.9 (C)	\$38.0 (C)
	0.0 (N)		0.0 (N)	8.1 (N)
HIGHWAY AND STREETS	22.4 (C)		78.7 (C)	294.2 (C)
	3.0 (N)		8.1 (N)	73.3 (N)
HIGHWAY BRIDGES	15.9 (C)		50.8 (C)	317.3 (C)
	0.1 (N)		0.7 (N)	26.1 (N)
WATERWAY BRIDGES	19.1 (C)		55.1 (C)	221.6 (C)
	10.4 (N)		34.5 (N)	144.3 (N)
WATER SUPPLY	10.5 (C)		45.1 (C)	162.2 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
WATER MAINS, SOURCES & TREATMENT	114.0 (C)		400.0 (C)	1,084.5 (C)
	0.0 (N)		0.0 (N)	0.1 (N)
SEWERS	12.4 (C)		52.6 (C)	95.8 (C)
	0.0 (N)		0.0 (N)	0.2 (N)
WATER POLLUTION CONTROL	101.9 (C)		330.6 (C)	992.9 (C)
	0.6 (N)		3.2 (N)	14.8 (N)
ECONOMIC DEVELOPMENT	14.3 (C)		47.2 (C)	254.3 (C)
	1.9 (N)		16.4 (N)	106.2 (N)
EDUCATION	0.0 (C)		29.3 (C)	469.8 (C)
	0.0 (N)		770.8 (N)	2,464.7 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: OCTOBER		FISCAL YEAR 2009	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
CORRECTION	7.5 (C)		32.2 (C)	90.6 (C)
	0.0 (N)		0.0 (N)	1.0 (N)
SANITATION	11.9 (C)		83.7 (C)	295.0 (C)
	0.2 (N)		0.4 (N)	2.3 (N)
POLICE	3.1 (C)		23.9 (C)	232.7 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
FIRE	12.6 (C)		40.7 (C)	118.2 (C)
	0.1 (N)		3.1 (N)	12.0 (N)
HOUSING	8.4 (C)		101.7 (C)	293.7 (C)
	1.8 (N)		21.8 (N)	82.3 (N)
HOSPITALS	8.1 (C)		35.6 (C)	111.9 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
PUBLIC BUILDINGS	7.8 (C)		31.8 (C)	189.4 (C)
	0.0 (N)		0.5 (N)	0.5 (N)
PARKS	31.4 (C)		139.2 (C)	501.6 (C)
	3.6 (N)		10.4 (N)	71.0 (N)
ALL OTHER DEPARTMENTS	129.2 (C)		421.7 (C)	1,485.3 (C)
	5.0 (N)		26.3 (N)	108.6 (N)
TOTAL	\$530.5 (C)		\$2,000.6 (C)	\$7,249.2 (C)
	\$26.7 (N)		\$896.1 (N)	\$3,115.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

Report No. 6 & 6A

Month-by-Month Cash Flow Forecast

**NEW YORK CITY
MONTH-BY-MONTH CASH FLOW FORECAST
REPORT NO. 6**

(MILLIONS OF DOLLARS)

**MONTH: OCTOBER
FISCAL YEAR 2009**

	ACTUAL				FORECAST								12	ADJUST-	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Months	MENTS	
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$3,835	\$133	\$642	\$248	\$6	\$2,571	\$2,754	\$28	\$658	\$323	\$26	\$2,825	\$14,049	\$564	\$14,613
OTHER TAXES	489	1,048	3,201	1,217	1,269	2,683	2,336	991	2,094	2,122	916	3,477	21,843	422	22,265
FEDERAL GRANTS	157	88	205	179	190	442	362	392	521	540	396	512	3,984	1,832	5,816
STATE GRANTS	150	317	841	269	534	908	335	194	3,405	618	1,485	1,002	10,058	1,610	11,668
OTHER CATEGORICAL	51	77	35	25	68	61	144	45	61	108	63	103	841	234	1,075
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	-	-	-	-	(5)	(10)	(15)	340	325
MISCELLANEOUS REVENUES	618	302	382	329	334	225	366	224	262	350	526	296	4,214	-	4,214
CAPITAL INTER-FUND TRANSFERS	-	14	19	25	24	20	70	23	28	34	43	23	323	141	464
SUBTOTAL	5,300	1,979	5,325	2,292	2,425	6,910	6,367	1,897	7,029	4,095	3,450	8,228	55,297	5,143	60,440
PRIOR															
OTHER TAXES	504	231	17	-	-	-	-	-	-	-	-	-	752	-	752
FEDERAL GRANTS	302	180	320	276	68	105	131	29	59	75	25	83	1,653	308	1,961
STATE GRANTS	120	293	449	160	102	151	59	143	142	35	66	83	1,803	802	2,605
OTHER CATEGORICAL	6	12	67	6	2	3	13	25	10	8	8	44	204	125	329
UNRESTRICTED	-	-	63	-	-	179	-	-	-	-	-	-	242	-	242
MISC. REVENUE/CAPITAL IFA	101	-	-	-	-	-	-	-	-	-	-	-	101	(101)	-
SUBTOTAL	1,033	716	916	442	172	438	203	197	211	118	99	210	4,755	1,134	5,889
CAPITAL															
CAPITAL TRANSFERS	514	110	1,194	803	631	439	788	849	817	832	837	1,090	8,904	(1,655)	7,249
FEDERAL AND STATE	273	227	91	359	330	100	424	58	419	386	127	486	3,280	(165)	3,115
OTHER															
SENIOR COLLEGES	419	1	-	1	290	1	123	252	252	316	1	429	2,085	(418)	1,667
HOLDING ACCT. & OTHER ADJ.	5	(1)	(3)	23	(24)	-	-	-	-	-	-	-	-	-	-
OTHER SOURCES	-	33	182	135	103	-	-	-	-	-	-	-	453	-	453
TOTAL INFLOWS	\$7,544	\$3,065	\$7,705	\$4,055	\$3,927	\$7,888	\$7,905	\$3,253	\$8,728	\$5,747	\$4,514	\$10,443	\$74,774	\$4,039	\$78,813
CASH OUTFLOWS															
CURRENT															
PS	\$1,378	\$1,819	\$2,513	\$3,095	\$2,774	\$2,655	\$2,805	\$2,659	\$2,669	\$2,644	\$3,316	\$3,913	\$32,240	\$2,234	\$34,474
OTPS	1,170	1,496	1,837	1,896	1,668	1,934	2,028	1,940	2,431	1,980	1,877	2,657	22,914	1,345	24,259
DEBT SERVICE	33	11	12	14	31	14	16	12	12	137	59	1,356	1,707	-	1,707
SUBTOTAL	2,581	3,326	4,362	5,005	4,473	4,603	4,849	4,611	5,112	4,761	5,252	7,926	56,861	3,579	60,440
PRIOR															
PS	1,307	1,249	41	25	225	62	184	107	25	25	25	25	3,300	-	3,300
OTPS	948	335	35	-	157	75	50	200	50	50	50	50	2,000	-	2,000
OTHER TAXES	88	221	-	-	-	-	-	-	-	-	-	-	309	-	309
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,115	1,115
SUBTOTAL	2,343	1,805	76	25	382	137	234	307	75	75	75	75	5,609	1,115	6,724
CAPITAL															
CITY DISBURSEMENTS	490	495	485	531	469	648	669	770	510	742	604	836	7,249	-	7,249
FEDERAL AND STATE	445	22	403	27	398	78	502	48	497	47	543	105	3,115	-	3,115
OTHER															
SENIOR COLLEGES	103	164	80	256	107	113	130	130	130	129	195	130	1,667	-	1,667
OTHER USES	123	-	-	-	-	-	-	-	-	-	-	330	453	-	453
TOTAL OUTFLOWS	\$6,085	\$5,812	\$5,406	\$5,844	\$5,829	\$5,579	\$6,384	\$5,866	\$6,324	\$5,754	\$6,669	\$9,402	\$74,954	\$4,694	\$79,648
NET CASH FLOW	\$1,459	(\$2,747)	\$2,299	(\$1,789)	(\$1,902)	\$2,309	\$1,521	(\$2,613)	\$2,404	(\$7)	(\$2,155)	\$1,041	(\$180)	(\$655)	(\$835)
BEGINNING BALANCE	\$5,359	\$6,818	\$4,071	\$6,370	\$4,581	\$2,679	\$4,988	\$6,509	\$3,896	\$6,300	\$6,293	\$4,138	\$5,359		
ENDING BALANCE	\$6,818	\$4,071	\$6,370	\$4,581	\$2,679	\$4,988	\$6,509	\$3,896	\$6,300	\$6,293	\$4,138	\$5,179	\$5,179		

**NEW YORK CITY
MONTH-BY-MONTH CASH FLOW FORECAST
SELECTED DETAIL
REPORT NO. 6A**

(MILLIONS OF DOLLARS)

**MONTH: OCTOBER
FISCAL YEAR 2009**

	JUL	ACTUAL AUG	SEP	OCT	NOV	DEC	JAN	FORECAST FEB	MAR	APR	MAY	JUN	12 Months	ADJUST- MENTS	TOTAL
SENIOR COLLEGES															
SENIOR COLLEGES COST (OUTFLOW)	(103)	(164)	(80)	(256)	(107)	(113)	(130)	(130)	(130)	(129)	(195)	(130)	(1,667)	-	(1,667)
SENIOR COLLEGES INFLOW - CURRENT	1	-	-	-	-	-	118	252	252	316	1	429	1,369	298	1,667
SENIOR COLLEGES INFLOW - PRIOR	418	1	-	1	290	1	5	-	-	-	-	-	716	(716)	-
NET SENIOR COLLEGES	316	(163)	(80)	(255)	183	(112)	(7)	122	122	187	(194)	299	418	(418)	-
CAPITAL															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	631	1,051	700	87	200	449	1,070	625	250	1,033	625	6,721	-	6,721
(INC)/DEC RESTRICTED CASH	39	(708)	(77)	(97)	94	(31)	218	(221)	192	582	(196)	465	260	(1,655)	(1,395)
SUBTOTAL	39	(77)	974	603	181	169	667	849	817	832	837	1,090	6,981	(1,655)	5,326
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	334	389	350	338	-	-	-	-	-	-	-	1,411	-	1,411
(INC)/DEC RESTRICTED CASH	475	(147)	(169)	(150)	112	270	121	-	-	-	-	-	512	-	512
SUBTOTAL	475	187	220	200	450	270	121	-	-	-	-	-	1,923	-	1,923
TOTAL CITY CAPITAL TRANSFERS	514	110	1,194	803	631	439	788	849	817	832	837	1,090	8,904	(1,655)	7,249
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	23	86	91	359	330	100	424	58	419	386	127	486	2,889	226	3,115
PRIOR	250	141	-	-	-	-	-	-	-	-	-	-	391	(391)	-
TOTAL FEDERAL AND STATE INFLOWS	273	227	91	359	330	100	424	58	419	386	127	486	3,280	(165)	3,115
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(490)	(495)	(485)	(531)	(469)	(648)	(669)	(770)	(510)	(742)	(604)	(836)	(7,249)	-	(7,249)
FEDERAL AND STATE	(445)	(22)	(403)	(27)	(398)	(78)	(502)	(48)	(497)	(47)	(543)	(105)	(3,115)	-	(3,115)
TOTAL OUTFLOWS	(935)	(517)	(888)	(558)	(867)	(726)	(1,171)	(818)	(1,007)	(789)	(1,147)	(941)	(10,364)	-	(10,364)
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	24	(385)	709	272	162	(209)	119	79	307	90	233	254	1,655	(1,655)	-
NET NON-CITY CAPITAL	(172)	205	(312)	332	(68)	22	(78)	10	(78)	339	(416)	381	165	(165)	-
NET TOTAL CAPITAL	(148)	(180)	397	604	94	(187)	41	89	229	429	(183)	635	1,820	(1,820)	-

NOTES TO REPORT #6/6A

1. Beginning Balance

The July 2008 beginning balance is consistent with the FY 2008 audited Comprehensive Annual Financial Report (CAFR).

2. Ending Balances

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2009 ending balance includes deferred revenue from FY 2010 prepaid Real Estate Taxes.

3. Long Term Borrowings

Long Term Borrowings are comprised of proceeds of City general obligation bonds and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. Restricted Cash Bond Proceeds

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.

Report No. 7

Covered Organizations Financial Plan Summary

**FINANCIAL PLAN SUMMARY
CITY UNIVERSITY CONSTRUCTION FUND
REPORT #7
(\$ in millions)**

MONTH: September

FISCAL YEAR: 2009

	CURRENT MONTH			YEAR TO DATE			FISCAL YEAR		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN ⁴	BETTER/ (WORSE)
REVENUE									
Federal	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
State	0.708	0.708	0.000	15.898	15.898	0.000	324.637	324.637	0.000
City	0.000	0.000	0.000	1.690	1.690	0.000	37.404	37.404	0.000
Other ¹	0.024	0.024	0.000	0.308	0.308	0.000	5.000	5.000	0.000
TOTAL	0.732	0.732	0.000	17.896	17.896	0.000	367.041	367.041	0.000
EXPENDITURE									
Personal Services ²	0.403	0.403	0.000	2.035	2.035	0.000	1.600	1.600	0.000
OTPS	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Debt Service:									
(a) Dorm. Auth. Admin.	0.567	0.567	0.000	16.880	16.880	0.000	23.275	23.275	0.000
(b) Debt Service	0.000	0.000	0.000	0.000	0.000	0.000	338.766	338.766	0.000
TOTAL	0.970	0.970	0.000	18.915	18.915	0.000	363.641	363.641	0.000
NET CHANGE IN CASH	(0.238)	(0.238)	0.000	(1.019)	(1.019)	0.000	3.400	3.400	0.000
Cash Balance Beginning of Period ³	27.778	27.778	0.000	28.559	28.559	0.000	28.559	28.559	0.000
Cash Balance End of Period	27.540	27.540	0.000	27.540	27.540	0.000	31.959	31.959	0.000

NOTES:

¹ Other revenues are interest on short term investment of the City and State payments and tuition deposited with CUCF

² CUCF receives reimbursement from the State for its salary expense

³ Cash Balance Beginning of Period has not been audited

⁴ As of FY09 Adopted Budget

New York City Housing Development Corporation
Report 7 Schedule of Revenues, Expenditures and Fund Balances
HDC Fiscal Year 2008
Accrual Basis, Dollars in Thousands
HDC Programs Only

September 30, 2008

Reporting Categories

	CURRENT MONTH			YEAR TO DATE			HDC FISCAL
	ACTUAL	PLAN	BETTER/ (WORSE) ¹	ACTUAL	PLAN	BETTER/ (WORSE) ¹	YEAR - 2008 PLAN
Operating Revenues							
Interest on Loans	22,717	19,269	3,448	190,832	211,958	(21,126)	231,227
Fees and Charges	2,649	2,042	607	20,887	22,467	(1,580)	24,510
Income on Loan Participation Interests	461	417	44	4,805	4,583	222	5,000
Other Operating Revenues	22	8	14	150	92	58	100
Subtotal, Operating Revenues	25,849	21,736	4,113	216,674	239,101	(22,427)	260,837
Operating Expenses							
Interest, Amortization of Bond Discount/Premium	24,022	22,361	(1,662)	207,134	245,966	38,832	268,326
Salaries and Related Expense	1,274	2,479	1,205	13,952	27,270	13,318	29,749
Trustee and Other Fees	177	337	160	2,804	3,708	904	4,045
Amortization of Debt Issuance Costs	324	361	37	4,196	3,968	(228)	4,329
Corporate Operating Expenses	216	432	216	4,116	4,749	633	5,181
Subtotal, Operating Expenses	26,013	25,969	(44)	232,202	285,661	53,459	311,630
Non-Operating Revenues (Expenses)							
Earnings on Investments	5,364	6,250	(886)	55,120	68,750	(13,630)	75,000
Non-Operating Revenues (Expenses), Net	375	667	(292)	5,011	7,333	(2,322)	8,000
Subtotal, Non-Operating Revenues	5,739	6,917	(1,178)	60,131	76,083	(15,952)	83,000
Transfers	12	13	(1)	137	138	(1)	150
Change in Net Assets	5,587	2,696	2,891	44,740	29,660	15,080	32,357
Net Assets, Beginning of Period*	1,099,296	1,087,107	12,189	1,060,143	1,060,143	-	1,060,143
Net Assets, End of Period*	1,104,883	1,089,803	15,080	1,104,883	1,089,803	15,080	1,092,500

* Net Assets of the Corporation consist principally of loan assets (notes and mortgages) and restricted and dedicated invested funds. The assets are reserved by bond covenant, contract and/or HDC policy.

(1) Result may not appear to be exact due to rounding.

**FINANCIAL PLAN SUMMARY
HOUSING ASSISTANCE CORPORATION
REPORT NO. 7
(Dollars in Thousands)**

September 30, 2008

DESCRIPTION	CURRENT MONTH			YEAR-TO-DATE			FISCAL
	ACTUAL	PLAN	BETTER/ (WORSE) ¹	ACTUAL	PLAN	BETTER/ (WORSE) ¹	YEAR 2008 PLAN
REVENUE							
Investment Income	2	14	(11)	60	152	(92)	166
Investment Maturities	0	0	0	2,520	2,520	0	2,520
Mortgage Receipts	0	12	(12)	120	131	(11)	143
Transfer of funds from HDC	0	0	0	0	0	0	0
TOTAL	2	26	(23)	2,700	2,803	(103)	2,830
EXPENDITURES							
Program Disbursements:							
TAC Payments	140	105	(35)	1,396	1,157	(239)	1,262
Yorkville Subsidy	228	227	(1)	2,548	2,497	(51)	2,725
TOTAL	368	332	(36)	3,944	3,654	(290)	3,987
SURPLUS (DEFICIT)	(366)	(306)	(59)	(1,245)	(851)	(394)	(1,158)
CASH & INVESTMENT BALANCE **							
Beginning of Period	25,092	25,091	1	28,380	28,380	0	28,380
End of Period	24,721	24,759	(38)	24,721	24,759	(38)	24,426

NOTES:

** The Cash and Investment Balance consists of the HAC investment portfolio (at par) and cash and doesn't take into account cost or market value of investments.

It fluctuates due to investment maturities, the TAC/Yorkville disbursements, earnings on investments, or new money coming into the portfolio and is not dictated by the surplus/deficit number. There are also several resources held for the City; these are primarily mortgage loans (SECOND MORTGAGE LOANS), the accrued interest on the mortgages and all investments held to fund the TAC payments; these resources, except for the investments, are not featured in this report, although they are a part of the overall fund and investment balance.

ASSUMPTIONS:

The 2008 Plan figures are based on October 2007 actual numbers for all categories.

The Cash/Investment Balance BOP & EOP are the actual cash & investment balances for November 1, 2007 (BOP) and September 30, 2008 (EOP).

(1) Result may not appear to be exact due to rounding.

FINANCIAL PLAN SUMMARY
NEW YORK CITY EDUCATIONAL CONSTRUCTION FUND

REPORT No. 7
(MILLIONS OF DOLLARS)

MONTH - SEPTEMBER / FISCAL YEAR - 2009

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
RECEIPTS:									
Non-School Rentals	0.176	0.228	(0.052)	5.403	10.343	(4.940)	14.246	14.246	0.000
Interest	0.626	0.920	(0.294)	1.067	1.791	(0.724)	4.221	4.221	0.000
Bond Proceeds	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Other	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Total	0.802	1.148	(0.346)	6.470	12.134	(5.664)	18.467	18.467	0.000
DISBURSEMENTS:									
Personal Services	0.013	0.044	0.031	0.094	0.130	(0.036)	0.518	0.518	0.000
OTPS (1)	0.093	0.031	(0.062)	0.173	0.092	0.081	0.366	0.366	0.000
Insurance	0.000	0.000	0.000	0.000	0.000	0.000	0.530	0.530	0.000
Early Redemption	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Bond Issuance Expenses	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Construction Costs (2)	0.810	0.000	(0.810)	2.443	0.000	2.443	0.000	0.000	0.000
Debt Service									
Principal	0.000	0.000	0.000	0.000	0.000	0.000	7.465	7.465	0.000
Interest	0.000	0.000	0.000	0.000	0.000	0.000	4.917	4.917	0.000
Total	0.916	0.075	(0.841)	2.710	0.222	2.488	13.796	13.796	0.000
SURPLUS/(DEFICIT)	(0.114)	1.073	(1.187)	3.760	11.912	(8.152)	4.671	4.671	0.000
ADJUSTMENTS TO CASH	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
TRANSFERS TO BOE	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
NET CHANGE IN CASH	(0.114)	1.073	(1.187)	3.760	11.912	(8.152)	4.671	4.671	0.000
CASH BALANCE BEGIN	95.480	102.445	(6.965)	91.606	91.606	0.000	91.606	91.606	0.000
CASH BALANCE END	95.366	103.518	(8.152)	95.366	103.518	(8.152)	96.277	96.277	0.000

NOTE (s) TO REPORT # 7

NEW YORK CITY EDUCATIONAL CONSTRUCTION FUND (ECF)

NOTES:

- 1) OTPS includes the following: Operations, Legal & Development Fees, Insurance, and Related Fees.
- 2) During the month of September, ECF incurred construction expenses of \$781,613 for MS 114 . ECF capitalized \$28,582 for the PS 59 and High School of Art and Design project.

**FINANCIAL PLAN SUMMARY
 AGENCY - NEW YORK CITY INDUSTRIAL DEVELOPMENT AGENCY
 REPORT NO. 7
 (MILLIONS OF DOLLARS)**

MONTH: SEPTEMBER 2008

DESCRIPTION	CURRENT MONTH			YEAR TO DATE			FISCAL YEAR		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORE- CAST	PLAN	BETTER/ (WORSE)
REVENUE:									
Federal Aid									
State Aid									
City									
Other	0.142	1.407	(1.265)	0.679	4.221	(3.542)	16.889	16.889	0.000
TOTAL	0.142	1.407	(1.265)	0.679	4.221	(3.542)	16.889	16.889	0.000
EXPENDITURES:									
Other Than Pers. Svcs.	0.713	2.674	1.961	1.859	8.022	6.163	32.090	32.090	0.000
TOTAL	0.713	2.674	1.961	1.859	8.022	6.163	32.090	32.090	0.000
EXCESS (DEFICIT) OF REVENUE OVER EXPENDITURES									
	(0.571)	(1.267)	0.696	(1.180)	(3.801)	2.621	(15.201)	(15.201)	0.000
FUNDING BALANCE BEGINNING OF PERIOD	45.240	43.315	1.925	45.849	45.849	0.000	45.849	45.849	0.000
FUNDING BALANCE END OF PERIOD	44.669	42.048	2.621	44.669	42.048	2.621	30.648	30.648	0.000

* Pending Board Approval

Financial Plan Summary (1)
Agency: Health & Hospitals Corporation
Based on November 2008 Financial Plan (\$ in millions)

FISCAL YEAR: 2009

DESCRIPTION	CURRENT MONTH(1)			YTD SEPT (1)			FISCAL YEAR 2009		
	ACTUAL(4)	PLAN (3)	BETTER/ (WORSE)	ACTUAL (4)	PLAN (3)	BETTER/ (WORSE)	FORECAST	PLAN (3)	BETTER/ (WORSE)
REVENUE									
MEDICAID FEE FOR SERVICE	172.342	171.769	0.573	517.025	515.307	1.718	1,597.800	1,597.800	-
UPL	-	-	-	-	-	-	520.400	520.400	-
MEDICARE	52.593	52.437	0.156	157.779	157.312	0.467	592.500	592.500	-
OTHER (THIRD PARTY & SELFPAY)	77.276	81.167	(3.891)	231.827	243.500	(11.673)	974.000	974.000	-
POOLS	88.998	89.082	(0.084)	115.712	116.775	(1.063)	467.100	467.100	-
DISPROPORTIONATE SHARE PAYMENT	82.500	82.500	-	82.500	82.500	-	330.000	330.000	-
FUNDS APPROPRIATED BY CITY OF NEW YORK	2.088	3.033	(0.945)	6.264	9.100	(2.836)	45.500	45.500	-
GRANTS	13.395	14.411	(1.016)	40.184	43.233	(3.049)	259.400	259.400	-
OTHER REVENUE	3.998	3.158	0.840	11.995	9.475	2.520	37.900	37.900	-
METROPLUS PREMIUM REVENUE	79.403	77.517	1.887	238.210	232.550	5.660	930.200	930.200	-
TOTAL REVENUE	572.593	575.074	(2.482)	1,401.496	1,409.752	(8.256)	5,754.800	5,754.800	-
EXPENDITURES									
PERSONAL SERVICES	206.043	205.033	(1.009)	618.128	615.100	(3.028)	2,460.400	2,460.400	-
(2) FRINGE BENEFITS	74.916	76.908	1.992	224.749	230.725	5.976	966.900	966.900	-
OTHER THAN PERSONAL SERVICES	151.757	150.458	(1.299)	455.272	451.375	(3.897)	1,805.500	1,805.500	-
AFFILIATION CONTRACTS	61.712	62.408	0.697	185.135	187.225	2.090	774.900	774.900	-
DEPRECIATION	19.323	19.167	(0.156)	57.968	57.500	(0.468)	230.000	230.000	-
POSTEMPLOYMENT BENEFITS (OTHER THAN PENSIONS)	27.967	29.583	1.617	83.900	88.750	4.850	355.000	355.000	-
TOTAL EXPENDITURES	541.717	543.558	1.841	1,625.152	1,630.675	5.523	6,592.700	6,592.700	-
SURPLUS/(DEFICIT)	30.875	31.516	(0.641)	(223.656)	(220.923)	(2.733)	(837.900)	(837.900)	-
NON-OPERATING INCOME/(EXPENSE)							(60.000)	(60.000)	-
CASH BALANCE BEGINNING PERIOD							-	-	-
STATE/FED/PS ACTIONS							385.000	385.000	-
ACCRUAL TO CASH ADJUSTMENT							1,925.400	1,925.400	-
CASH BALANCE END OF PERIOD							1,412.500	1,412.500	-

Notes:

- (1) All Data for this analysis is estimated based on data from Unaudited Financial Statements thru the First Quarter of Fiscal Year 2009.
- (2) Fringe Benefits number has been adjusted to exclude postemployment benefits other than pensions. This is a non-cash liability estimated to be \$72.8m in the first quarter and \$291m for the fiscal year.
- (3) Plan numbers are based on November 2008 Accrual Plan
- (4) All "Actuals" are estimated based on current cash receipts

FINANCIAL PLAN SUMMARY
NEW YORK CITY TRANSIT AUTHORITY
REPORT NO. 7 -- September 2008
(Millions of Dollars)

DESCRIPTION	CURRENT MONTH			YEAR-TO-DATE			MTA FISCAL YEAR 2008*		
	ACTUAL	BUDGET	OVER/ (UNDER)	ACTUAL	BUDGET	OVER/ (UNDER)	Nov. 2008		OVER/ (UNDER)
							FORECAST	BUDGET	
REVENUE:									
Subway Farebox Revenue	185.8	179.4	6.4	1,624.4	1,583.6	40.8	2,172.3	2,172.3	0.0
Bus Farebox Revenue	69.2	68.9	0.3	602.7	601.9	0.8	802.6	802.6	0.0
Paratransit Farebox Revenue	1.0	0.9	0.1	8.6	8.0	0.6	11.5	11.5	0.0
Fair Media Liability	4.0	3.4	0.6	37.0	30.6	6.4	44.0	44.0	0.0
Vehicle Toll Revenue	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Fare Reimbursement	9.8	9.8	0.0	74.0	74.0	0.0	102.6	102.6	0.0
Paratransit Reimbursement	6.7	8.0	(1.3)	65.4	72.0	(6.6)	88.2	88.2	0.0
Other	8.9	8.5	0.4	80.7	77.7	3.0	104.5	104.5	0.0
Capital and Other Reimbursements	74.1	69.0	5.1	662.8	647.4	15.4	875.2	875.2	0.0
TOTAL	359.5	347.9	11.6	3,155.6	3,095.2	60.4	4,200.9	4,200.9	0.0
EXPENDITURES (Non-Reimbursable):									
Payroll	225.1	228.4	(3.3)	2,062.3	2,052.6	9.7	2,769.1	2,769.1	0.0
Overtime	17.6	20.8	(3.2)	186.8	183.1	3.7	252.6	252.6	0.0
Health & Welfare	41.7	60.1	(18.4)	432.9	524.6	(91.7)	455.2	455.2	0.0
OPEB Current Payment	17.2	0.0	17.2	77.8	40.1	37.7	241.6	241.6	0.0
Pensions	12.4	12.4	0.0	592.7	602.1	(9.4)	683.5	683.5	0.0
Other Fringe Benefits	16.9	18.2	(1.3)	189.2	160.3	28.9	257.0	257.0	0.0
Total Reimbursable Overhead	(15.9)	(14.6)	(1.3)	(135.5)	(135.9)	0.4	(188.1)	(188.1)	0.0
Traction & Propulsion Power	17.7	14.7	3.0	126.8	126.5	0.3	171.3	171.3	0.0
Fuel for Buses & Trains	22.7	10.7	12.0	152.0	108.6	43.4	204.5	204.5	0.0
Insurance	3.6	3.3	0.3	31.7	28.9	2.8	42.2	42.2	0.0
Claims	6.2	6.2	0.0	55.8	55.8	0.0	84.6	84.6	0.0
Paratransit Service Contracts	25.6	25.1	0.5	208.5	200.2	8.3	284.3	284.3	0.0
Misc. & Other Operating Contracts	18.5	18.3	0.2	166.2	174.3	(8.1)	228.9	228.9	0.0
Professional Service Contracts	6.7	8.9	(2.2)	67.4	66.3	1.1	87.3	87.3	0.0
Materials & Supplies	23.3	26.7	(3.4)	226.6	230.7	(4.1)	299.3	299.3	0.0
Other Business Expenses	4.1	3.4	0.7	30.8	31.9	(1.1)	43.3	43.3	0.0
Other Expense Adjustments	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contribution to Capital Program	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Capital and Other Reimbursements	74.1	69.0	5.1	662.8	647.4	15.4	875.2	875.2	0.0
TOTAL	517.5	511.6	5.9	5,134.8	5,097.5	37.3	6,791.8	6,791.8	0.0
Depreciation Expense	96.1	96.1	0.0	827.8	838.4	(10.6)	1,109.3	1,109.3	0.0
OPEB Account	249.5	252.4	(2.9)	734.9	757.2	(22.3)	1,009.5	1,009.5	0.0
Environmental Remediation	0.0	0.0	0.0	18.0	0.0	18.0	18.0	18.0	0.0
OPERATING SURPLUS (DEFICIT)	(503.6)	(512.2)	8.6	(3,559.9)	(3,597.9)	38.0	(4,727.7)	(4,727.7)	0.0
SUBSIDY REVENUE:									
City Operating Assistance	0.0	0.0	0.0	123.3	123.2	0.1	158.2	158.2	0.0
State Operating Assistance	0.0	0.0	0.0	79.0	118.6	(39.6)	158.2	158.2	0.0
Federal Aid	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Bridges & Tunnels Surplus Transfer	9.2	11.2	(2.0)	123.1	105.1	18.0	111.9	111.9	0.0
MMTOA Tax Revenue	148.4	187.4	(39.0)	706.5	562.2	144.3	1,098.6	1,098.6	0.0
Petroleum Business Tax Revenue	44.7	44.6	0.1	405.0	401.4	3.6	532.6	532.6	0.0
Urban Account Tax Revenue	48.3	53.5	(5.2)	447.2	516.3	(69.1)	560.5	560.5	0.0
Mortgage Recording Tax Transfer	0.0	0.0	0.0	0.0	0.0	0.0	32.4	32.4	0.0
Additional Governmental Assistance	(11.4)	0.0	(11.4)	(11.4)	0.0	(11.4)	56.0	56.0	0.0
TOTAL	239.2	296.7	(57.5)	1,872.7	1,826.8	45.9	2,708.4	2,708.4	0.0

FINANCIAL PLAN SUMMARY
NEW YORK CITY TRANSIT AUTHORITY
REPORT NO. 7 -- September 2008
(Millions of Dollars)

DESCRIPTION	CURRENT MONTH			YEAR-TO-DATE			MTA FISCAL YEAR 2008*		
	ACTUAL	BUDGET	OVER/ (UNDER)	ACTUAL	BUDGET	OVER/ (UNDER)	Nov. 2008 FORECAST	BUDGET	OVER/ (UNDER)
NET OPERATING SURPLUS (DEFICIT) AFTER SUBSIDIES	(264.4)	(215.5)	(48.9)	(1,687.2)	(1,771.1)	83.9	(2,019.3)	(2,019.3)	0.0
Baseline Debt Service Expense	22.6	58.7	(36.1)	483.9	528.6	(44.7)	696.1	696.1	0.0
Cash Defeasance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Investment Income	0.0	0.0	0.0	0.0	0.0	0.0	(0.9)	(0.9)	0.0
2010-2014 Capital Program	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
SURPLUS (DEFICIT)	(287.0)	(274.2)	(12.8)	(2,171.1)	(2,299.7)	128.6	(2,714.5)	(2,714.5)	0.0
LOAN FROM (TO) MTA STABILIZATION FUND	117.7	0.0	117.7	706.6	0.0	706.6	0.0	0.0	0.0
2009 MTA Program to Eliminate GAP	0.0	0.0	0.0	0.0	0.0	0.0	9.9	9.9	0.0
OTHER ACTIONS AVAILABLE TO OFFSET OUTYEAR GAPS (including MTA loans, increased ridership revenue, increased subsidies, use of cash reserve and expenditure reductions)	0.0	0.0	0.0	0.0	0.0	0.0	2.4	2.4	0.0
ACCRUAL TO CASH ADJUSTMENT	80.2	21.9	58.3	416.1	436.1	(20.0)	157.2	157.2	0.0
DEPRECIATION CASH ADJUSTMENT	96.1	96.1	0.0	827.8	838.4	(10.6)	1,109.3	1,109.3	0.0
OPEB ACCOUNT CASH ADJUSTMENT	249.5	252.4	(2.9)	734.9	757.2	(22.3)	1,009.5	1,009.5	0.0
ENVIRONMENTAL REMEDIATION CASH ADJUSTMENT	0.0	0.0	0.0	18.0	0.0	18.0	18.0	18.0	0.0
NET CHANGE IN CASH	256.5	96.2	160.3	532.3	(268.0)	800.3	(408.2)	(408.2)	0.0
OPENING CASH BALANCE	822.6	182.5	640.1	546.7	546.7	0.0	408.2	408.2	0.0
CLOSING CASH BALANCE	1,079.1	278.7	800.3	1,079.1	278.7	800.3	(0.0)	(0.0)	0.0
CITY AID & FARE REIMBURSEMENT									
City Operating Assistance	0.0	0.0	0.0	123.3	123.2	0.1	158.2	158.2	0.0
Student Fare Reimbursement (City Portion)	4.2	4.2	0.0	32.1	32.1	0.0	45.0	45.0	0.0
Elderly Fare Reimbursement	1.3	1.3	0.0	9.8	9.8	0.0	13.8	13.8	0.0
Paratransit Subsidy (City)	6.8	4.7	2.1	46.1	39.7	6.3	53.1	53.1	0.0
Paratransit Urban Account Tax Revenue	<u>3.0</u>	<u>3.3</u>	<u>(0.3)</u>	<u>28.0</u>	<u>32.3</u>	<u>(4.3)</u>	<u>42.3</u>	<u>42.3</u>	<u>0.0</u>
TOTAL	15.4	13.6	1.8	239.2	237.1	2.1	312.4	312.4	0.0

* The Fiscal Year used by MTA-New York City Transit is the Calendar Year (January to December).

** Monthly and YTD revenue and expense data are from the November 2008 MTA Report to the Finance Committee. FY08 data are from the MTA-2009 Final Proposed Budget November Financial Plan 2009-2012, dated November 2008 and MTA-2009 Preliminary Budget July Financial Plan 2009-2012, dated July 2008.

FINANCIAL PLAN SUMMARY									
STATEN ISLAND RAILWAY (SIRTOA)									
REPORT NO. 7 -- September 2008									
(Millions of Dollars)									
DESCRIPTION	CURRENT MONTH			YEAR-TO-DATE			MTA FISCAL YEAR 2008*		
	ACTUAL	BUDGET	OVER/ (UNDER)	ACTUAL	BUDGET	OVER/ (UNDER)	Nov. 2008 FORCAST	BUDGET	OVER/ (UNDER)
REVENUE:									
Subway Farebox Revenue	0.379	0.333	0.046	3.300	2.935	0.365	4.402	4.402	0.000
Vehicle Toll Revenue	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Other	0.220	0.220	0.000	1.470	1.504	(0.034)	2.071	2.071	0.000
Capital and Other Reimbursements	0.140	0.118	0.022	0.528	1.066	(0.538)	1.422	1.422	0.000
TOTAL	0.739	0.671	0.068	5.298	5.505	(0.207)	7.895	7.895	0.000
EXPENDITURES (Non-Reimbursable):									
Payroll	1.169	1.325	(0.156)	10.992	11.550	(0.558)	15.328	15.328	0.000
Overtime	0.055	0.075	(0.020)	0.684	0.545	0.139	0.750	0.750	0.000
Health & Welfare	0.207	0.266	(0.059)	2.355	2.394	(0.039)	2.834	2.834	0.000
OPEB Current Portion	0.039	0.039	0.000	0.155	0.155	0.000	0.462	0.462	0.000
Pensions	0.381	0.395	(0.014)	3.535	3.549	(0.014)	4.748	4.748	0.000
Other Fringe Benefits	0.143	0.100	0.043	1.029	0.910	0.119	1.209	1.209	0.000
Total Reimbursable Overhead	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Traction & Propulsion Power	0.239	0.211	0.028	1.846	1.899	(0.053)	2.691	2.691	0.000
Fuel for Buses & Trains	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Insurance	0.022	0.034	(0.012)	0.280	0.318	(0.038)	0.335	0.335	0.000
Claims	0.021	0.021	0.000	1.368	1.389	(0.021)	2.824	2.824	0.000
Paratransit Service Contracts	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Misc. & Other Operating Contracts	0.164	0.197	(0.033)	1.457	1.773	(0.316)	4.177	4.177	0.000
Professional Service Contracts	0.036	0.028	0.008	0.288	0.252	0.036	0.398	0.398	0.000
Materials & Supplies	0.071	0.100	(0.029)	0.740	0.803	(0.063)	0.991	0.991	0.000
Other Business Expenses	0.000	0.001	(0.001)	0.000	0.003	(0.003)	0.005	0.005	0.000
Other Expense Adjustments	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Contribution to Capital Program	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Capital and Other Reimbursements	0.140	0.118	0.022	0.528	1.066	(0.538)	1.422	1.422	0.000
TOTAL	2.687	2.910	(0.223)	25.257	26.606	(1.349)	38.174	38.174	0.000
Depreciation Expense	0.585	0.860	(0.275)	5.229	7.740	(2.511)	10.337	10.337	0.000
OPEB Account	0.215	0.215	0.000	1.935	1.935	0.000	2.600	2.600	0.000
Environmental Remediation	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
OPERATING SURPLUS (DEFICIT)	(2.748)	(3.314)	0.566	(27.123)	(30.776)	3.653	(43.216)	(43.216)	0.000
SUBSIDY REVENUE:									
City Aid & Fare Reimbursement	0.000	0.000	0.000	0.400	0.492	(0.092)	0.500	0.500	0.000
State Aid & Fare Reimbursement	0.100	0.000	0.100	0.200	0.492	(0.292)	0.500	0.500	0.000
Federal Aid	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Bridges & Tunnels Surplus Transfer	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
MTOA	0.400	0.000	0.400	1.800	0.000	1.800	3.500	3.500	0.000
Petroleum Business Tax Revenue	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Urban Account Tax Revenue	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Mortgage Recording Tax Transfer	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Additional Governmental Assistance	2.200	2.300	(0.100)	15.200	19.200	(4.000)	23.300	23.300	0.000
TOTAL	2.700	2.300	0.400	17.600	20.184	(2.584)	27.800	27.800	0.000
SURPLUS (DEFICIT)	(0.048)	(1.014)	0.966	(9.523)	(10.592)	1.069	(15.416)	(15.416)	0.000
LOAN FROM (TO) MTA STABILIZATION FUND	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
OTHER ACTIONS AVAILABLE TO OFFSET OUTYEAR GAPS (including increased ridership revenue, increased state subsidies, use of cash reserve and expenditure reductions)	(0.737)	(0.423)	(0.314)	2.330	2.250	0.080	0.000	0.000	0.000
2009 Program to Eliminate the Gap (PEGs)							0.225	0.225	0.000
ACCRUAL TO CASH ADJUSTMENT	0.585	0.860	(0.275)	5.229	7.740	(2.511)	2.429	2.429	0.000
DEPRECIATION CASH ADJUSTMENT	0.215	0.215	0.000	1.935	1.935	0.000	10.337	10.337	0.000
OPEB ACCOUNT CASH ADJUSTMENT	0.000	0.000	0.000	0.000	0.000	0.000	2.700	2.700	0.000
NET CHANGE IN CASH	0.015	(0.362)	0.377	(0.029)	1.333	(1.362)	0.275	0.275	0.000
OPENING CASH BALANCE	(0.044)	1.695	(1.739)	0.000	0.000	0.000	0.000	0.000	0.000
CLOSING CASH BALANCE	(0.029)	1.333	(1.362)	(0.029)	1.333	(1.362)	0.275	0.275	0.000

* The Fiscal Year used by MTA-Staten Island Railway is the Calendar Year (January to December).

** All monthly and YTD financial data are from the November 2008 MTA Report to the Finance Committee Book.

FY08 data are from the MTA-2009 Final Proposed Budget November Financial Plan 2009-2012, dated November 2008.