



# Dismissal Report

## April 2018

- Vehicle For Hire & Taxi Hearings
- Health & Restaurant Hearings
- ECB Hearings
- DCA Hearings



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**FIDEL F. DEL VALLE**

COMMISSIONER &  
CHIEF ADMINISTRATIVE LAW JUDGE  
THE CITY OF NEW YORK  
OFFICE OF ADMINISTRATIVE TRIALS AND HEARINGS

**May 20<sup>th</sup> , 2018**

- **Pursuant to New York City Local Law No. 64, attached is the Monthly Report Relating to Dismissals of Civil Penalty Violations in the Tribunals within the jurisdiction of OATH for the month of April, 2018.**
- **Please be advised that the numbers for OATH’s Health, DCA and Taxi & Limousine Tribunals reflect the number of charges rather than the number of summonses, dismissed. There may be multiple charges on each summons.**

**FY 18 Vehicle for Hire & Taxi Hearings\***

| <b>AGENCY</b>         | <b>Dismissals</b>  | <b>Jul</b> | <b>Aug</b> | <b>Sep</b> | <b>Oct</b> | <b>Nov</b> | <b>Dec</b> | <b>Jan</b> | <b>Feb</b> | <b>Mar</b> | <b>Apr</b> | <b>May</b> | <b>Jun</b> | <b>Grand Total</b> |
|-----------------------|--|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|--------------------|
| <b>TLC</b>            | Dismissed No Violation/On the Merits                       | 350        | 416        | 387        | 403        | 350        | 363        | 346        | 311        | 305        | 306        |            |            | 3,537              |
|                       | Dismissed No Jurisdiction                                  | 3          | -          | 2          | 1          | 1          | -          | 2          | -          | 1          | 3          |            |            | 13                 |
|                       | Dismissed Defective Service                                | 5          | 5          | 4          | 6          | -          | 4          | -          | 1          | -          | 1          |            |            | 26                 |
|                       | Dismissed Defective Summons/charge (defective on its face) | 4          | 6          | 5          | 3          | 5          | 5          | 4          | 3          | 12         | 8          |            |            | 55                 |
|                       | Dismissed - Failure to Prosecute                           | 14         | 14         | 6          | 34         | 16         | 19         | 20         | 25         | 18         | 13         |            |            | 179                |
|                       | Dismissed - Successfully Disputed Ownership                | -          | -          | -          | -          | -          | -          | 1          | -          | -          | -          |            |            | 1                  |
|                       | Dismissed - Wrong Party Named                              | -          | -          | -          | 2          | -          | -          | -          | -          | -          | -          |            |            | 2                  |
|                       | Dismissed - No Prima Facie Case                            | 17         | 33         | 23         | 26         | 14         | 45         | 35         | 23         | 54         | 22         |            |            | 292                |
|                       | Withdrawal   | 1          | 15         | 12         | 14         | 31         | 31         | 42         | 19         | 9          | 39         |            |            | 213                |
|                       | Dismissed - Administrative Dismissal                       | -          | -          | -          | -          | -          | -          | -          | -          | -          | -          |            |            | -                  |
| <b>TLC</b>            | <b>Total Dismissed</b>                                     | <b>394</b> | <b>489</b> | <b>439</b> | <b>489</b> | <b>417</b> | <b>467</b> | <b>450</b> | <b>382</b> | <b>399</b> | <b>392</b> | <b>-</b>   | <b>-</b>   | <b>4,318</b>       |
| <b>NYPD</b>           | Dismissed No Violation/On the Merits                       | 29         | 7          | 18         | 15         | 5          | 10         | 4          | 5          | 6          | 11         |            |            | 110                |
|                       | Dismissed No Jurisdiction                                  | -          | -          | -          | -          | -          | -          | -          | -          | -          | -          |            |            | -                  |
|                       | Dismissed Defective Service                                | -          | 1          | 1          | 1          | -          | -          | -          | -          | 1          | -          |            |            | 4                  |
|                       | Dismissed Defective Summons/charge (defective on its face) | 11         | 10         | 24         | 13         | 3          | 30         | 13         | 22         | 17         | 9          |            |            | 152                |
|                       | Dismissed - Failure to Prosecute                           | -          | -          | 1          | -          | -          | -          | -          | -          | -          | 1          |            |            | 2                  |
|                       | Dismissed - Successfully Disputed Ownership                | -          | -          | -          | -          | -          | -          | -          | -          | -          | -          |            |            | -                  |
|                       | Dismissed - Wrong Party Named                              | -          | -          | -          | -          | -          | -          | -          | 1          | -          | -          |            |            | 1                  |
|                       | Dismissed - No Prima Facie Case                            | 3          | 2          | 24         | 9          | -          | 8          | 2          | 5          | 2          | 5          |            |            | 60                 |
|                       | Withdrawal   | -          | -          | -          | -          | -          | -          | -          | -          | -          | -          |            |            | -                  |
|                       | Dismissed - Administrative Dismissal                       | -          | -          | -          | -          | -          | -          | -          | -          | -          | -          |            |            | -                  |
| <b>NYPD</b>           | <b>Total Dismissed</b>                                     | <b>43</b>  | <b>20</b>  | <b>68</b>  | <b>38</b>  | <b>8</b>   | <b>48</b>  | <b>19</b>  | <b>33</b>  | <b>26</b>  | <b>26</b>  | <b>-</b>   | <b>-</b>   | <b>329</b>         |
| <b>Port Authority</b> | Dismissed No Violation/On the Merits                       | 17         | 2          | 17         | 12         | 2          | 7          | 3          | 20         | 8          | 9          |            |            | 97                 |
|                       | Dismissed No Jurisdiction                                  | 0          | -          | 0          | -          | -          | -          | -          | -          | -          | -          |            |            | -                  |
|                       | Dismissed Defective Service                                | -          | -          | -          | -          | -          | 4          | -          | -          | -          | -          |            |            | 4                  |
|                       | Dismissed Defective Summons/charge (defective on its face) | -          | -          | 6          | -          | -          | 5          | -          | 2          | -          | 1          |            |            | 14                 |
|                       | Dismissed - Failure to Prosecute                           | -          | -          | 3          | -          | -          | 19         | 2          | 2          | -          | -          |            |            | 26                 |
|                       | Dismissed - Successfully Disputed Ownership                | -          | -          | -          | -          | -          | -          | -          | -          | -          | -          |            |            | -                  |
|                       | Dismissed - Wrong Party Named                              | -          | -          | -          | -          | -          | -          | 2          | -          | -          | -          |            |            | 2                  |
|                       | Dismissed - No Prima Facie Case                            | -          | 1          | 3          | 1          | 1          | 45         | 2          | -          | 2          | 1          |            |            | 56                 |
|                       | Withdrawal   | -          | -          | -          | -          | -          | 31         | -          | 1          | -          | -          |            |            | 32                 |
|                       | Dismissed - Administrative Dismissal                       | -          | -          | -          | -          | -          | -          | -          | -          | -          | -          |            |            | -                  |
| <b>Port Authority</b> | <b>Total Dismissed</b>                                     | <b>17</b>  | <b>3</b>   | <b>29</b>  | <b>13</b>  | <b>3</b>   | <b>111</b> | <b>9</b>   | <b>25</b>  | <b>10</b>  | <b>11</b>  | <b>-</b>   | <b>-</b>   | <b>231</b>         |
| <b>Grand Total</b>    |  | <b>454</b> | <b>512</b> | <b>536</b> | <b>540</b> | <b>428</b> | <b>626</b> | <b>478</b> | <b>440</b> | <b>435</b> | <b>429</b> | <b>-</b>   | <b>-</b>   | <b>4,878</b>       |

\*by charge

**FY 18 Health & Restaurant Hearings\***

| <b>AGENCY</b>      | <b>Dismissals</b>  | <b>July</b>  | <b>Aug</b>   | <b>Sep</b>   | <b>Oct</b>   | <b>Nov</b>   | <b>Dec</b>   | <b>Jan</b>   | <b>Feb</b>   | <b>Mar</b>   | <b>Apr</b>   | <b>May</b> | <b>Jun</b> | <b>Grand Total</b> |
|--------------------|--|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|------------|------------|--------------------|
| <b>DOHMH*</b>      | Dismissed No Violation/On the Merits                       | 1,874        | 1,989        | 1,843        | 2,099        | 2,028        | 1,834        | 1,920        | 2,154        | 2,360        | 2,670        |            |            | 20,771             |
|                    | Dismissed No Jurisdiction                                  | 2            | 4            | -            | 2            | 1            | -            | 1            | 2            | 2            | 5            |            |            | 19                 |
|                    | Dismissed Defective Service                                | 17           | 7            | 10           | 18           | 17           | 3            | 6            | 11           | 15           | 32           |            |            | 136                |
|                    | Dismissed Defective Summons/charge (defective on its face) | 24           | 52           | 27           | 20           | 24           | 17           | 29           | 22           | 21           | 19           |            |            | 255                |
|                    | Dismissed - Failure to Prosecute                           | -            | -            | 1            | 1            | 1            | -            | 12           | -            | 11           | 3            |            |            | 29                 |
|                    | Dismissed - Successfully Disputed Ownership                | 1            | -            | -            | -            | -            | 2            | -            | 13           | -            | -            |            |            | 16                 |
|                    | Dismissed - Wrong Party Named                              | 2            | 1            | 3            | 2            | 12           | 3            | 2            | 1            | 6            | 12           |            |            | 44                 |
|                    | Dismissed - No Prima Facie Case                            | 188          | 211          | 165          | 195          | 160          | 208          | 188          | 178          | 238          | 197          |            |            | 1,928              |
|                    | Withdrawal   | 13           | 1            | 4            | 18           | 7            | 15           | 4            | 4            | 7            | 6            |            |            | 79                 |
|                    | Dismissed - Administrative Dismissal                       | -            | -            | -            | -            | -            | -            | -            | -            | -            | -            |            |            | -                  |
| <b>Grand Total</b> |  | <b>2,121</b> | <b>2,265</b> | <b>2,053</b> | <b>2,355</b> | <b>2,250</b> | <b>2,082</b> | <b>2,162</b> | <b>2,385</b> | <b>2,660</b> | <b>2,944</b> | <b>-</b>   | <b>-</b>   | <b>23,277</b>      |

\*by charge

FY 18 ECB Hearings

| Agency Group                     | Issuing Agency        | Dismissal Reason                    | Jul                  | Aug                             | Sep | Oct | Nov | Dec  | Jan  | Feb  | Mar  | Apr  | May  | June | Grand Total |      |       |    |
|----------------------------------|-----------------------|-------------------------------------|----------------------|---------------------------------|-----|-----|-----|------|------|------|------|------|------|------|-------------|------|-------|----|
| BUILDINGS(DOB)                   | DOB-DEPT OF BUILDINGS | ADMIN. DISMISSAL ECB                | 13                   | 16                              | 4   | 3   | 29  | 3    | 9    | 5    | 4    | 2    |      |      | 88          |      |       |    |
|                                  |                       | DEFECTIVE NOV                       | 45                   | 51                              | 69  | 49  | 45  | 58   | 57   | 54   | 54   | 52   |      |      | 534         |      |       |    |
|                                  |                       | DEFECTIVE SERVICE                   | 61                   | 68                              | 109 | 91  | 88  | 90   | 109  | 88   | 90   | 109  |      |      |             | 903  |       |    |
|                                  |                       | DISPUTED OWNERSHIP                  | 97                   | 47                              | 45  | 26  | 33  | 31   | 43   | 45   | 80   | 72   |      |      |             | 519  |       |    |
|                                  |                       | IMPROPER PARTY CITED                | 56                   | 54                              | 50  | 53  | 54  | 101  | 104  | 73   | 70   | 104  |      |      |             | 719  |       |    |
|                                  |                       | NO ECB JURISDICTION                 | 2                    | 1                               | 1   |     | 1   | 4    | 4    | 11   | 3    | 2    |      |      |             | 29   |       |    |
|                                  |                       | NO VIOL. DISMISS ON MERITS          | 418                  | 503                             | 425 | 541 | 520 | 539  | 662  | 669  | 732  | 865  |      |      |             | 5874 |       |    |
|                                  |                       | PROSECUTION WITHDRAWN               | 97                   | 85                              | 98  | 886 | 132 | 175  | 137  | 121  | 135  | 167  |      |      |             | 2033 |       |    |
|                                  |                       | UNASSIGNED REASON                   | 1                    |                                 |     | 1   | 2   | 1    |      |      | 1    | 1    |      |      |             | 7    |       |    |
|                                  |                       | WORK DONE BY PREV. OWNER            | 35                   | 40                              | 47  | 53  | 53  | 36   | 66   | 38   | 54   | 46   |      |      |             | 468  |       |    |
|                                  |                       | DATA CORRECTION                     | 13                   | 6                               | 3   | 3   | 6   | 6    | 6    | 5    | 6    | 10   |      |      |             | 64   |       |    |
|                                  |                       | FAILURE TO PROSECUTE                | 2                    | 5                               | 4   | 3   | 1   | 6    | 4    | 1    | 2    | 2    |      |      |             | 30   |       |    |
|                                  |                       | NO PRIMA FACIA CASE                 | 25                   | 33                              | 54  | 32  | 40  | 48   | 39   | 57   | 55   | 76   |      |      |             | 459  |       |    |
|                                  |                       | WITHDRAWAL AFTER ADJUDICATE         | 1                    | 3                               | 1   | 2   | 1   | 1    | 3    | 1    | 1    | 2    |      |      |             | 16   |       |    |
|                                  |                       | INTEREST OF JUSTICE                 | 4                    | 3                               | 1   | 1   |     |      |      |      |      |      |      |      |             | 9    |       |    |
|                                  |                       | DOB-DEPT OF BUILDINGS Total         |                      |                                 | 870 | 915 | 911 | 1744 | 1005 | 1099 | 1243 | 1169 | 1287 | 1509 |             |      | 11752 |    |
|                                  |                       | 810-DEPT OF BUILDINGS 810           | ADMIN. DISMISSAL ECB | ADMIN. DISMISSAL ECB            |     |     |     | 1    | 1    |      |      | 4    |      | 2    |             |      | 8     |    |
|                                  |                       |                                     |                      | IMPROPER PARTY CITED            |     |     |     |      |      | 1    |      |      |      |      |             |      | 1     |    |
|                                  |                       |                                     |                      | DEFECTIVE NOV                   |     |     |     |      | 1    | 1    |      |      |      |      |             |      | 2     |    |
|                                  |                       |                                     |                      | DEFECTIVE SERVICE               |     |     | 1   |      | 1    | 2    | 3    |      |      |      |             |      | 7     |    |
|                                  |                       |                                     |                      | NO VIOL. DISMISS ON MERITS      | 3   | 2   | 1   | 4    | 6    | 6    | 4    | 2    |      |      | 1           |      |       | 29 |
|                                  |                       |                                     |                      | NO PRIMA FACIA CASE             |     |     |     | 1    |      |      |      |      |      |      |             |      |       | 2  |
|                                  |                       |                                     |                      | 810-DEPT OF BUILDINGS 810 Total |     |     | 3   | 3    | 1    | 5    | 10   | 10   | 7    | 6    | 4           |      |       | 49 |
|                                  |                       | BUILDINGS(DOB) Total                |                      |                                 | 873 | 918 | 912 | 1749 | 1015 | 1109 | 1250 | 1175 | 1287 | 1513 |             |      | 11801 |    |
|                                  |                       | ENVIRONMENTAL PROTECTION(EP)        | 824-DEP - IWC        | ADMIN. DISMISSAL ECB            |     |     |     |      | 1    | 1    |      |      |      |      |             |      | 3     |    |
|                                  |                       |                                     |                      | DEFECTIVE NOV                   |     |     |     |      |      |      | 1    |      |      |      |             |      | 1     |    |
|                                  |                       | 824-DEP - IWC Total                 | DEFECTIVE SERVICE    | DEFECTIVE SERVICE               |     |     | 2   | 1    |      |      | 1    | 1    | 1    |      |             |      | 6     |    |
| DISPUTED OWNERSHIP               | 3                     |                                     |                      | 1                               |     |     |     |      | 1    |      |      |      |      |      | 5           |      |       |    |
| NO VIOL. DISMISS ON MERITS       |                       |                                     |                      |                                 |     |     |     |      |      | 1    | 3    |      |      |      | 4           |      |       |    |
| PROSECUTION WITHDRAWN            |                       |                                     |                      |                                 | 1   | 1   | 3   | 7    | 2    |      | 4    |      | 14   |      | 32          |      |       |    |
| 824-DEP - IWC Total              |                       |                                     |                      | 3                               | 2   | 3   | 4   | 8    | 4    | 3    | 8    | 1    | 15   |      | 51          |      |       |    |
| 825-DEP - BUREAU OF CUST SRV     | ADMIN. DISMISSAL ECB  |                                     |                      | ADMIN. DISMISSAL ECB            |     | 1   | 10  | 7    | 10   | 4    | 16   | 3    | 12   | 12   |             |      | 75    |    |
|                                  |                       |                                     |                      | DEFECTIVE NOV                   | 1   | 1   |     |      |      |      | 2    |      |      | 1    |             |      | 5     |    |
|                                  |                       | DEFECTIVE SERVICE                   |                      | 2                               | 5   | 1   |     | 2    | 4    |      |      | 1    |      |      | 15          |      |       |    |
|                                  |                       | DISPUTED OWNERSHIP                  | 2                    | 5                               |     |     |     |      |      |      |      |      |      |      | 7           |      |       |    |
|                                  |                       | IMPROPER PARTY CITED                |                      | 1                               |     |     |     |      | 1    |      |      |      | 1    |      | 3           |      |       |    |
|                                  |                       | NO ECB JURISDICTION                 |                      |                                 |     |     |     |      |      |      |      |      |      |      | 1           |      |       |    |
|                                  |                       | NO VIOL. DISMISS ON MERITS          | 9                    | 12                              | 4   | 2   | 2   | 1    |      | 4    | 2    | 1    |      |      | 37          |      |       |    |
|                                  |                       | PROSECUTION WITHDRAWN               |                      |                                 |     | 1   |     | 1    |      |      |      |      |      |      | 2           |      |       |    |
|                                  |                       | WORK DONE BY PREV. OWNER            |                      |                                 |     |     |     |      |      |      |      |      |      | 1    |             | 1    |       |    |
|                                  |                       | NO PRIMA FACIA CASE                 |                      |                                 |     |     |     |      |      |      | 1    | 1    |      |      |             | 2    |       |    |
|                                  |                       | 825-DEP - BUREAU OF CUST SRV Total  |                      |                                 | 12  | 22  | 19  | 11   | 12   | 9    | 22   | 8    | 16   | 16   |             | 147  |       |    |
| 826-DEP - BUREAU OF ENV. COMP    | ADMIN. DISMISSAL ECB  | ADMIN. DISMISSAL ECB                |                      |                                 |     |     |     | 1    |      |      |      |      |      | 4    | 5           |      |       |    |
|                                  |                       | DEFECTIVE NOV                       | 2                    | 1                               |     |     | 4   |      |      | 1    |      |      | 1    |      | 9           |      |       |    |
|                                  |                       | DEFECTIVE SERVICE                   | 1                    | 1                               | 1   |     | 3   |      |      |      |      |      |      |      | 6           |      |       |    |
|                                  |                       | DISPUTED OWNERSHIP                  | 2                    |                                 |     |     |     |      |      |      |      |      |      |      | 2           |      |       |    |
|                                  |                       | IMPROPER PARTY CITED                | 4                    |                                 | 1   |     |     |      |      |      | 1    | 2    |      |      | 8           |      |       |    |
|                                  |                       | NO VIOL. DISMISS ON MERITS          | 4                    | 3                               | 1   | 15  | 8   | 2    | 5    | 2    | 2    | 5    |      |      | 47          |      |       |    |
|                                  |                       | PROSECUTION WITHDRAWN               | 6                    | 8                               | 11  | 7   | 9   | 9    | 1    | 3    | 2    | 1    |      |      | 57          |      |       |    |
|                                  |                       | NO PRIMA FACIA CASE                 | 1                    |                                 |     |     |     |      |      |      |      |      |      |      | 1           |      |       |    |
|                                  |                       | WITHDRAWAL AFTER ADJUDICATE         |                      | 3                               | 1   |     |     |      |      |      |      |      |      |      |             | 4    |       |    |
|                                  |                       | 826-DEP - BUREAU OF ENV. COMP Total |                      |                                 | 20  | 15  | 16  | 22   | 24   | 12   | 6    | 7    | 6    | 11   |             | 139  |       |    |
|                                  |                       | 989-DEP POLICE                      | ADMIN. DISMISSAL ECB | ADMIN. DISMISSAL ECB            |     |     | 3   |      |      |      |      |      |      |      |             |      | 3     |    |
| DEFECTIVE NOV                    | 2                     |                                     |                      | 4                               |     |     | 2   |      |      |      |      |      |      |      | 8           |      |       |    |
| DEFECTIVE SERVICE                |                       |                                     |                      |                                 |     | 4   |     | 1    |      |      |      |      |      |      | 5           |      |       |    |
| DISPUTED OWNERSHIP               | 3                     |                                     |                      | 4                               |     |     |     |      |      |      |      |      |      |      | 7           |      |       |    |
| NO VIOL. DISMISS ON MERITS       | 1                     |                                     |                      | 4                               | 2   |     |     |      |      | 1    |      |      |      |      | 8           |      |       |    |
| NO PRIMA FACIA CASE              |                       |                                     |                      | 1                               |     |     |     |      |      |      |      |      |      |      | 1           |      |       |    |
| 989-DEP POLICE Total             |                       |                                     | 6                    | 13                              | 9   | 2   | 1   |      | 1    |      |      |      |      | 32   |             |      |       |    |
| 999-MISCELLANEOUS AGENCIES       | DEFECTIVE SERVICE     | DEFECTIVE SERVICE                   |                      |                                 |     |     |     |      |      |      | 1    |      |      |      | 1           |      |       |    |
|                                  |                       | ADMIN. DISMISSAL ECB                |                      |                                 |     |     |     |      |      |      |      |      | 1    |      | 1           |      |       |    |
|                                  |                       | PROSECUTION WITHDRAWN               |                      |                                 |     |     |     |      |      |      |      |      |      | 4    | 4           |      |       |    |
|                                  |                       | NO VIOL. DISMISS ON MERITS          |                      |                                 |     |     |     |      |      |      |      | 4    |      |      | 4           |      |       |    |
| 999-MISCELLANEOUS AGENCIES Total |                       |                                     |                      |                                 |     |     |     |      |      |      | 5    | 5    |      | 10   |             |      |       |    |
| ASB-ASBESTOS CONTROL PROGRAM     | ADMIN. DISMISSAL ECB  | ADMIN. DISMISSAL ECB                |                      |                                 |     |     |     |      |      | 2    |      |      |      |      | 2           |      |       |    |
|                                  |                       | DEFECTIVE NOV                       |                      |                                 |     |     |     |      |      | 1    |      |      |      |      | 2           |      |       |    |
|                                  |                       | DEFECTIVE SERVICE                   |                      |                                 |     | 1   |     |      |      |      |      |      |      |      | 3           |      |       |    |
|                                  |                       | DISPUTED OWNERSHIP                  |                      |                                 | 1   |     |     |      |      | 2    |      |      |      |      | 7           |      |       |    |
|                                  |                       | IMPROPER PARTY CITED                |                      |                                 |     | 2   | 3   | 1    |      |      | 1    |      |      |      | 7           |      |       |    |
|                                  |                       | NO ECB JURISDICTION                 |                      |                                 |     |     |     |      |      | 1    |      |      |      |      | 1           |      |       |    |
|                                  |                       | NO VIOL. DISMISS ON MERITS          | 4                    | 2                               | 2   | 5   | 5   | 3    | 2    | 8    | 18   | 4    |      |      |             | 53   |       |    |
|                                  |                       | PROSECUTION WITHDRAWN               | 3                    | 3                               | 1   | 3   | 2   | 1    | 3    | 4    | 1    | 6    |      |      |             | 27   |       |    |
|                                  |                       | DATA CORRECTION                     |                      |                                 |     |     | 1   |      |      |      |      |      |      |      |             | 1    |       |    |
|                                  |                       | NO PRIMA FACIA CASE                 |                      |                                 | 3   | 4   | 3   | 1    | 4    | 1    | 2    | 1    |      |      |             | 19   |       |    |
|                                  |                       | ASB-ASBESTOS CONTROL PROGRAM Total  |                      |                                 | 7   | 5   | 7   | 15   | 14   | 6    | 15   | 14   | 21   | 11   |             | 115  |       |    |











FY 18 ECB Hearings

|  |   |             |             |             |              |             |             |              |             |             |             |            |  |  |              |
|--|---|-------------|-------------|-------------|--------------|-------------|-------------|--------------|-------------|-------------|-------------|------------|--|--|--------------|
| 831-SANITATION RECYCLING                       | ADMIN. DISMISSAL ECB                    |             |             | 1           | 1            |             |             | 1            |             |             |             |            |  |  | 4            |
|  | DEFECTIVE NOV                           | 5           | 8           | 4           | 6            | 5           | 5           | 1            | 5           | 2           | 2           |            |  |  | 43           |
|  | DEFECTIVE SERVICE                       | 2           | 1           | 7           | 5            |             |             | 1            | 1           | 4           | 6           | 3          |  |  | 30           |
|  | DISPUTED OWNERSHIP                      | 15          | 30          | 10          | 9            | 13          | 29          | 44           | 14          | 16          | 17          |            |  |  | 197          |
|  | IMPROPER PARTY CITED                    | 6           | 10          | 3           | 5            | 3           | 2           | 1            | 4           | 5           | 4           |            |  |  | 43           |
|  | NO ECB JURISDICTION                     |             |             |             | 1            |             |             |              |             |             |             |            |  |  | 1            |
|  | NO VIOL. DISMISS ON MERITS              | 233         | 184         | 139         | 172          | 141         | 150         | 159          | 156         | 137         | 180         |            |  |  | 1651         |
|  | PROSECUTION WITHDRAWN                   | 1           | 3           |             | 7            |             | 2           | 10           | 4           |             |             |            |  |  | 27           |
|  | UNASSIGNED REASON                       |             | 1           |             |              |             |             |              | 1           |             |             | 1          |  |  | 3            |
|  | FAILURE TO PROSECUTE                    |             |             |             |              | 1           |             |              |             |             |             |            |  |  | 1            |
|  | NO PRIMA FACIA CASE                     | 8           | 6           | 2           | 7            | 9           | 8           | 2            | 3           | 4           | 3           |            |  |  | 52           |
|  | WITHDRAWAL AFTER ADJUDICATE             |             |             |             |              |             | 1           |              |             |             |             |            |  |  | 1            |
|  | INTEREST OF JUSTICE                     |             |             | 1           | 1            |             |             |              |             |             |             |            |  |  | 2            |
|  | <b>831-SANITATION RECYCLING Total</b>   |             | <b>270</b>  | <b>244</b>  | <b>168</b>   | <b>212</b>  | <b>172</b>  | <b>198</b>   | <b>219</b>  | <b>191</b>  | <b>170</b>  | <b>211</b> |  |  |              |
| 832-SANITATION ENV R POLICE                    | DEFECTIVE NOV                           |             |             |             |              |             |             |              |             |             |             |            |  |  |              |
|  | DEFECTIVE SERVICE                       |             | 1           |             | 1            |             |             | 1            |             |             |             |            |  |  | 3            |
|  | DISPUTED OWNERSHIP                      |             |             |             |              |             |             | 1            |             |             |             |            |  |  | 1            |
|  | FAILURE TO PROSECUTE                    |             |             |             |              |             |             |              |             | 1           |             |            |  |  | 1            |
|  | NO VIOL. DISMISS ON MERITS              | 3           |             |             |              |             |             | 1            | 1           |             |             |            |  |  | 5            |
|  | PROSECUTION WITHDRAWN                   |             | 1           |             | 1            |             |             |              |             |             |             |            |  |  | 2            |
| <b>832-SANITATION ENV R POLICE Total</b>       |   | <b>3</b>    | <b>2</b>    |             | <b>2</b>     |             |             | <b>3</b>     | <b>1</b>    | <b>1</b>    |             |            |  |  | <b>12</b>    |
| <b>SANITATION(DSNY) Total</b>                  |   | <b>3688</b> | <b>3690</b> | <b>2950</b> | <b>3318</b>  | <b>2947</b> | <b>3105</b> | <b>3448</b>  | <b>2980</b> | <b>3148</b> | <b>3265</b> |            |  |  | <b>32539</b> |
| TRANSPORTATION(DOT)                            | ADMIN. DISMISSAL ECB                    | 1           | 2           |             |              |             | 1           |              |             |             |             |            |  |  | 4            |
|  | DEFECTIVE NOV                           | 19          | 16          | 9           | 17           | 10          | 7           | 7            | 12          | 6           | 16          |            |  |  | 119          |
|  | DEFECTIVE SERVICE                       | 4           | 6           | 3           | 1            | 3           | 13          | 1            | 6           | 4           | 1           |            |  |  | 42           |
|  | DISPUTED OWNERSHIP                      | 12          | 3           |             |              | 1           |             | 2            | 1           |             | 3           |            |  |  | 22           |
|  | IMPROPER PARTY CITED                    | 4           | 7           | 4           | 6            | 4           | 7           | 13           | 11          | 3           | 2           |            |  |  | 61           |
|  | NO ECB JURISDICTION                     | 10          |             |             |              | 2           | 2           |              |             | 1           |             |            |  |  | 15           |
|  | NO VIOL. DISMISS ON MERITS              | 250         | 196         | 195         | 207          | 288         | 336         | 370          | 260         | 261         | 203         |            |  |  | 2566         |
|  | PROSECUTION WITHDRAWN                   | 22          | 12          | 10          | 14           | 6           | 19          | 4            | 5           | 47          | 29          |            |  |  | 168          |
|  | UNASSIGNED REASON                       |             |             |             |              | 2           | 2           | 1            |             |             |             |            |  |  | 5            |
|  | FAILURE TO PROSECUTE                    |             |             |             | 1            |             | 1           |              |             |             | 1           |            |  |  | 3            |
|  | WORK DONE BY PREV. OWNER                |             |             |             |              |             | 1           |              |             |             |             |            |  |  | 1            |
|  | NO PRIMA FACIA CASE                     | 5           | 13          | 15          | 5            | 9           | 8           | 4            | 11          | 16          | 5           |            |  |  | 91           |
|  | WITHDRAWAL AFTER ADJUDICATE             |             | 1           | 1           | 1            |             |             |              |             | 1           |             |            |  |  | 4            |
|  | INTEREST OF JUSTICE                     |             | 1           |             |              |             |             |              |             |             |             |            |  |  | 1            |
| <b>841-DEPT OF TRANSPORTATION Total</b>        |   | <b>327</b>  | <b>257</b>  | <b>237</b>  | <b>252</b>   | <b>326</b>  | <b>396</b>  | <b>402</b>   | <b>306</b>  | <b>339</b>  | <b>260</b>  |            |  |  | <b>3102</b>  |
| <b>TRANSPORTATION(DOT) Total</b>               |   | <b>327</b>  | <b>257</b>  | <b>237</b>  | <b>252</b>   | <b>326</b>  | <b>396</b>  | <b>402</b>   | <b>306</b>  | <b>339</b>  | <b>260</b>  |            |  |  | <b>3102</b>  |
| 96MISCELLANEOUS AGENCIES(999)                  | ADMIN. DISMISSAL ECB                    |             |             |             | 1            |             | 1           |              | 1           | 2           | 4           |            |  |  | 9            |
|  | DEFECTIVE NOV                           |             | 1           |             | 4            | 1           | 1           | 1            | 5           | 1           | 3           |            |  |  | 17           |
|  | DEFECTIVE SERVICE                       |             |             | 5           | 6            | 5           | 4           | 4            | 2           | 1           | 12          |            |  |  | 39           |
|  | DISPUTED OWNERSHIP                      | 5           | 8           |             |              |             |             |              |             | 1           |             |            |  |  | 14           |
|  | NO ECB JURISDICTION                     | 1           |             |             |              |             |             |              |             |             |             |            |  |  | 1            |
|  | NO VIOL. DISMISS ON MERITS              | 4           | 5           |             | 4            | 2           | 3           | 4            | 6           |             | 2           |            |  |  | 30           |
|  | NO PRIMA FACIA CASE                     |             |             | 1           |              |             |             |              | 1           | 1           |             |            |  |  | 3            |
|  | <b>999-MISCELLANEOUS AGENCIES Total</b> |             | <b>10</b>   | <b>14</b>   | <b>6</b>     | <b>15</b>   | <b>8</b>    | <b>9</b>     | <b>9</b>    | <b>15</b>   | <b>6</b>    | <b>21</b>  |  |  |              |
| <b>96MISCELLANEOUS AGENCIES(999) Total</b>     |   | <b>10</b>   | <b>14</b>   | <b>6</b>    | <b>15</b>    | <b>8</b>    | <b>9</b>    | <b>9</b>     | <b>15</b>   | <b>6</b>    | <b>21</b>   |            |  |  | <b>113</b>   |
| 97A GENCY CODE MISSING OR INVALID              | ADMIN. DISMISSAL ECB                    | 1           |             | 2           |              |             | 1           |              |             |             | 1           | 4          |  |  | 9            |
|  | DEFECTIVE NOV                           | 3           | 1           | 2           |              | 2           | 5           |              | 1           |             | 4           |            |  |  | 18           |
|  | DEFECTIVE SERVICE                       |             |             | 2           |              | 5           | 27          | 1            | 1           |             |             |            |  |  | 36           |
|  | DISPUTED OWNERSHIP                      |             | 2           |             | 3            |             |             |              |             |             |             |            |  |  | 5            |
|  | NO VIOL. DISMISS ON MERITS              | 5           | 5           | 2           | 3            | 2           | 7           | 1            | 2           | 2           | 2           |            |  |  | 31           |
|  | NO PRIMA FACIA CASE                     |             |             |             |              |             |             | 1            |             |             | 1           |            |  |  | 2            |
| <b>000-A.GENCY CODE MISSING Total</b>          |   | <b>9</b>    | <b>8</b>    | <b>8</b>    | <b>6</b>     | <b>10</b>   | <b>39</b>   | <b>3</b>     | <b>4</b>    | <b>3</b>    | <b>11</b>   |            |  |  | <b>101</b>   |
| <b>97A GENCY CODE MISSING OR INVALID Total</b> |   | <b>9</b>    | <b>8</b>    | <b>8</b>    | <b>6</b>     | <b>10</b>   | <b>39</b>   | <b>3</b>     | <b>4</b>    | <b>3</b>    | <b>11</b>   |            |  |  | <b>101</b>   |
| DOF TOBACCO                                    | 837-DOF TOBACCO                         |             |             |             |              |             |             | 1            |             |             |             |            |  |  | 1            |
| <b>837-DOF TOBACCO Total</b>                   |   |             |             |             |              |             |             | 1            |             |             |             |            |  |  | 1            |
| <b>DOF TOBACCO Total</b>                       |   |             |             |             |              |             |             | 1            |             |             |             |            |  |  | 1            |
| <b>2018 Total</b>                              |   | <b>6729</b> | <b>7985</b> | <b>8717</b> | <b>10314</b> | <b>9390</b> | <b>9276</b> | <b>10003</b> | <b>8428</b> | <b>9152</b> | <b>9355</b> |            |  |  | <b>89349</b> |
| <b>Grand Total</b>                             |   | <b>6729</b> | <b>7985</b> | <b>8717</b> | <b>10314</b> | <b>9390</b> | <b>9276</b> | <b>10003</b> | <b>8428</b> | <b>9152</b> | <b>9355</b> |            |  |  | <b>89349</b> |

FY 18 DCA Hearings

| AGENCY | Dismissals   | July      | Aug       | Sep        | Oct       | Nov       | Dec       | Jan       | Feb       | Mar       | Apr       | May      | Jun      | Grand Total |
|--------|--|-----------|-----------|------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|----------|----------|-------------|
| DCA*   | Dismissed No Violation/On the Merits                       | 52        | 28        | 63         | 50        | 41        | 34        | 61        | 39        | 25        | 33        |          |          | 426         |
|        | Dismissed No Jurisdiction                                  | 1         | -         | -          | -         | -         | -         | -         | -         | 1         | -         |          |          | 2           |
|        | Dismissed Defective Service                                | 6         | 3         | 12         | 9         | 4         | 13        | 1         | 3         | 1         | 1         |          |          | 53          |
|        | Dismissed Defective Summons/charge (defective on its face) | -         | 1         | 1          | 3         | 2         | 1         | 7         | 3         | 2         | 5         |          |          | 25          |
|        | Dismissed - Failure to Prosecute                           | 1         | 2         | -          | 3         | 1         | 2         | 17        | 7         | 15        | 26        |          |          | 74          |
|        | Dismissed - Successfully Disputed Ownership                | 1         | -         | -          | -         | -         | -         | -         | -         | -         | -         |          |          | 1           |
|        | Dismissed - Wrong Party Named                              | 1         | 13        | 2          | -         | 2         | 1         | -         | 1         | -         | -         |          |          | 20          |
|        | Dismissed - No Prima Facie Case                            | -         | -         | 2          | 1         | 5         | 3         | 5         | 3         | 2         | 2         |          |          | 23          |
|        | Withdrawal   | 12        | 27        | 41         | 29        | 23        | 30        | 7         | 5         | 17        | 16        |          |          | 207         |
|        | Dismissed - Administrative Dismissal                       | -         | -         | -          | -         | -         | -         | -         | -         | -         | -         |          |          | -           |
|        | <b>Grand Total</b>   | <b>74</b> | <b>74</b> | <b>121</b> | <b>95</b> | <b>78</b> | <b>84</b> | <b>98</b> | <b>61</b> | <b>63</b> | <b>83</b> | <b>-</b> | <b>-</b> | <b>831</b>  |

\*by charge