FY 2023 Agency Quarterly Diversity, Equity, Inclusion and EEO Report

Agency Name: NYC Consumer & Worker Protection									
☐ 1 st Quarter (July -September), due November 4, 2022 ☐ 3 rd Quarter (January -March), due May 1, 2023		 2nd Quarter (October – December), due January 30, 2023 4th Quarter (April -June), due July 31, 2023 							
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Name	Title	E-mail Address	Telephone No.						
Date Submitted:5/04/2023_									
FOR DCAS USE ONLY:	Date Received:								

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Instructions for Filling out Quarterly Reports FY 2023

[Note: These forms are cumulative and intended to retain information for the entire FY 2023. For Q2, Q3 and Q4, use previous quarter's submission to update, retaining all information for the prior quarters]

- 1. Please save this file as "XXXX Quarter X FY 2023 DEI-EEO Quarterly Report.Part I", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Word format. Please do not convert it to PDF.
- 2. Complete the "Diversity, Equity, Inclusion and EEO Training Summary" details in Part II Training Summary [see the attached Excel file].
 - <u>Core EEO Training:</u> Copy the information from the Training Completion Report you receive quarterly from DCAS Learning & Development onto grey-shaded cells in rows 26, 30, 34, and 38. Include any of these trainings that were administrated by your agency in the rows immediately below (27, 35, 39).
 - Other Diversity, Equity, Inclusion and EEO Related Training: Beginning with row 45, include training classes co-organized or cosponsored by your agency EEO and/or HR that are related to the development of the agency staff in the areas of equal employment, diversity, inclusion, civil rights, workplace culture and behavior, interpersonal relations, and community relations.
- 3. Mark progress in check boxes in the column for the current quarter. [Note: **Delayed** = behind schedule; **Deferred** = put off until later when better resources become available.]
- 4. Please save the Excel file as "XXXX Quarter X FY 2023 DEI-EEO Training Summary", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Excel format. Please do not convert it to PDF.

Part I: Narrative Summary

	Distributed to all agency employees?	☐ Yes, On (Date):☐ By e-mail☐ Posted on agency intranet☐ Other	⊠ No
II.	Recognition and Accomplis The agency recognized employees, s		monstrating superior accomplishment in diversity, equity
II.		supervisors, managers, and units de	monstrating superior accomplishment in diversity, equity
II.	The agency recognized employees, sinclusion and equal employment opportunity. Diversity, equity, inclusion and EEO	supervisors, managers, and units de ortunity through the following: Awards	monstrating superior accomplishment in diversity, equity
II.	The agency recognized employees, sinclusion and equal employment opportunity. Diversity, equity, inclusion and EEO Diversity, equity, inclusion and EEO	supervisors, managers, and units de ortunity through the following: Awards	monstrating superior accomplishment in diversity, equity
II.	The agency recognized employees, sinclusion and equal employment opportunity. Diversity, equity, inclusion and EEO	supervisors, managers, and units de ortunity through the following: Awards Appreciation Events	monstrating superior accomplishment in diversity, equity

III. Workforce Review and Analysis

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including workforce tilization analysis.
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IV. EEO, Diversity, Inclusion and Equity Initiatives for FY 2023

Please describe your progress this quarter in implementing the primary goals in Section IV of your Agency Diversity, Equity, Inclusion and EEO Plan for FY 2023.

A. Workforce:

Please list the **Workforce Goal(s)** included in *Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2023,* which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan (e.g., underutilization, workforce planning, succession planning and diverse applicant pool, among others).

- 1.[One of our primary goals is to use the data obtained through CEED's reporting to inform our strategies to further diversify our candidate pools. Through our collaboration with recruitment firms, programs like LinkedIn Talent Solutions, and growing partnerships with associations and colleges we aim to expand our outreach and grow our applicant pool.]
- Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity and inclusion, while reflecting the variety of communities that are served. What steps were taken to evaluate effectiveness of these actions?

We collaborated with WB&B Executive Search recruitment firm for the hiring of high-level positions such as the Director of the Office of Diversity Equity and Inclusion. During the period of Q3 we interviewed 4 candidates submitted to us by WB&B and ultimately selected one of the candidates, Patty Baez, as our new Director of Diversity, Equity, and Inclusion. The Director of Diversity, Equity, and Inclusion started her position on April 3, 2023.

During this past fiscal year, the contract with LinkedIn talent solutions was finalized so that we can begin setting up our account and begin expanding our recruitment efforts. In Q3 we continued utilizing LinkedIn talent solution and have seen immediate results with an increase of 60% in applicants to our vacant positions. The increase has led to a more diverse applicant pool, and we plan on tracking the number of diverse candidates that were interviewed and selected as a result of this tool. In Q3, the agency continued to partner with

	professional associations representing diverse professionals including Cafecito Network, Haitian American Lawyers Association, Iranian American Bar Association, Muslim Bar Association, Long Island Hispanic Bar Association, LGBT Bar Association, and many others to promote our positions among members.										
	Q1 Update:	☐ Planned	☐ Not started	☑ Ongoing	☐ Delayed	☐ Deferred	☐ Completed				
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	Q4 Update:	☐ Planned	☐ Not started	☐ Ongoing	☐ Delayed	☐ Deferred	☐ Completed				
2.	·		Udemy and Cornersto ofessional staff develo	•		t platforms an	d consider acquiring them if we				
*	service provider to	o the citizens o	f New York City focus	ed on diversity	, equity and in	clusion, while	stablish your agency as a leading reflecting the variety of communities				
	In February 2023, Human Capital met with Udemy to go over a demo of the program to get an understanding of how the system worked. In order to be able to test the platform, Human Capital was given access to experience the system to key internal stakeholders to enhance crucial skills needed to diversify the workforce's knowledge and development. In Q3, Human Capital has continued to conduct research on various diverse knowledge platforms such as Udemy to provide a learning platform for all employees. Udemy offers an extensive catalog of courses in DEI.										
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	Q4 Update:	☐ Planned	☐ Not started	☐ Ongoing	☐ Delayed	☐ Deferred	☐ Completed				
3.		-					mbers with monthly sessions to er different aspects of coaching and				

	mentoring relationships within their teams. For the pilot, Human Capital will request division heads to recommend staff members to the participate in the monthly sessions and we will consistently request feedback so that we can make any necessary improvements to our sessions before the official implementation of the program.]										
*	Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity and inclusion, while reflecting the variety of communities that are served. What steps were taken to evaluate effectiveness of these actions?										
	During this past fiscal year, Workforce Development has been reviewing the learning materials available on Mind Tools. The plan is to start developing training sessions and combining them with resources for participants to be able to use during the program.										
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	Q3 Update:	□ Planned	☑ Not started	☐ Ongoing	☐ Delayed	□ Deferred	☐ Completed				
	Q4 Update:	☐ Planned	☐ Not started	☐ Ongoing	☐ Delayed	☐ Deferred	☐ Completed				
4.	[Insert goal]										
*	service provider t	o the citizens o	f New York City focus	ed on diversity	, equity and in	clusion, while	stablish your agency as a leading reflecting the variety of communities				
	that are served. \	What steps wer	e taken to evaluate ef	ffectiveness of	these actions?	?					
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Please describe steps that were taken or considered to address underutilization identified through quarterly workforce reports. Please list Job Groups where underutilization exists in the current quarter.

In addition to the posting of vacancies on external websites that target these demographics and the promotion of our positions at virtual college job fairs, DCWP has been using LinkedIn talent solutions to expand our searched to all LinkedIn members. We plan on connecting directly with diverse candidates who meet the qualifications of our vacant positions.

There was underutilization reported in Clerical, Clerical Supervisors, Management Specialists, and Managers job groups.

В.	B. Workplace:									
		Diversity, Equity,			•		res for FY 2023, which , exit interviews/surve	•		
1.	[The agency will c wellness worksh		_	k Well to bring	orograms for s	taff members t	o participate in such a	as fitness and		
*	Please describe the steps that your agency has taken to meet this goal. Include actions taken to create equitable work environment which values differences and maintain focus on retaining talent. What steps were taken to evaluate effectiveness of these actions?									
	We hosted Winter Yoga classes every Wednesday during the month of February 2023. We hosted a Sleep Soundly Workshop on February 8, 2023. We hosted Pilates classes every Friday during the month of March 2023.									
	During this quart through March 1	•	al coordinated with	DCAS to promo	te a Blood Driv	ve for staff mer	nbers to be held from	March 7 th		
			ess email highlightin ms Work Well hoste	~	urces and rem	inding staff abo	out the programs we h	nad going on in		
	While planning for interested in particular in the properties of t		ess events we also de	ecided to begin (drafting an em	ployee survey	for staff to let us knov	v what they were		
	Q1 Update: Q2 Update: Q3 Update: Q4 Update:	☐ Planned ☐ Planned ☐ Planned ☐ Planned	Not startedNot startedNot startedNot started	☑ Ongoing☑ Ongoing☑ Ongoing☐ Ongoing	☐ Delayed ☐ Delayed ☐ Delayed ☐ Delayed	☐ Deferred ☐ Deferred ☐ Deferred ☐ Deferred	☐ Completed ☐ Completed ☐ Completed ☐ Completed			

2.	With the creation additional training		•	liance Office, v	we also aim to	collaborate wi	th external partners to provide		
*	 Please describe the steps that your agency has taken to meet this goal. Include actions taken to create equitable work environment which values differences and maintain focus on retaining talent. What steps were taken to evaluate effectiveness of these actions? 								
We selected a candidate for the Director of the Office of Diversity, Inclusion, and Compliance position. The candidate was approved the Deputy Mayor and vetted by the Mayor's Office of Appointments. After the approval from OMB the candidate was able to start April 3, 2023. Now that the Director of DEI is onboarded, we will prepare a roadmap to develop a DEI training curriculum using inter and external resources.									
	Q1 Update: Q2 Update: Q3 Update: Q4 Update:	☐ Planned☐	Not startedNot startedNot startedNot started	☐ Ongoing ☐ Ongoing ☐ Ongoing ☐ Ongoing	☑ Delayed ☑ Delayed ☐ Delayed ☐ Delayed	☐ Deferred☐ Def	□ Completed□ Completed□ Completed□ Completed		
3.	agency. They will	create a calend		rities to help fo	ster workplac	e inclusion and	engagement and morale across the engagement throughout the year [.]		
*		•		_			reate equitable work environmen ate effectiveness of these actions?		

	During this fiscal year division leaders where informed of the plans for Customer Service Week and there were award categories that each team had to designate a winner for. The categories were: Mentoring Champion, Calmer of Storms, Rookie Rockstar, League of Superheroes, The Motivator, The Ray of Sunshine, and the 2023 Excellence in Customer Service awards. The agency is planning an agency anniversary event for April 28 th . This event will award years of service awards to all staff who have contributed to the DCWP's and the City's success.										
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	Q4 Update:	□ Planned	☐ Not started	☐ Ongoing	□ Delayed	□ Deferred	☐ Completed				
ı.	[Insert goal]										
*				_			reate equitable work environment te effectiveness of these actions?				
	Q1 Update:	☐ Planned	☐ Not started	☐ Ongoing	\square Delayed	\square Deferred	☐ Completed				
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	Q3 Update:	□ Planned	☐ Not started	☐ Ongoing	\square Delayed	□ Deferred	☐ Completed				
	Q4 Update:	☐ Planned	□ Not started	☐ Ongoing	☐ Delayed	☐ Deferred	☐ Completed				

Please specify any other EEO-related activities designed to improve/enhance the workplace during the quarter (e.g., postings, meetings, cultural programs promoting diversity, newsletters/articles, etc.) and describe the activities, including the dates when the activities occurred.

In October we sent our 7th Quarterly EEO Newsletter on the topic of Microaggressions and Microaffirmations. In this newsletter we also announced the onboarding of our Director of the Office of Diversity, Inclusion and Compliance/EEO Officer. The Newsletter will be updated by the Director of DEI/EEO Officer and distributed once completed with the most recent updates.

C. Community:

Please list the **Community Goal(s)** included in Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2023, which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan (e.g., community outreach and engagement, M/WBE participation and customer satisfaction surveys).

- 1. [The agency mission, "to protect and enhance the economic lives of New Yorkers", requires that we continue to expand outreach efforts. To effectively reach the populations we serve we train our workforce on how to effectively communicate with individuals from diverse backgrounds.]
- Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity and inclusion, while reflecting the variety of communities that are served. What steps were taken to evaluate effectiveness of these actions?

During this quarter the agency continued to inform the public about the Fair Work Week law and Just Cause protections.

- On January 19th, Mayor Eric Adams, Commissioner of Small Business Services, Kevin D. Kim, and Commissioner Vilda Vera Mayuga announced a joint initiative to bring one on one financial counseling to jobseekers across New York City. This service will provide a one-stop-shop for New Yorkers to access free, professional, and confidential financial counseling, along with job readiness services and connections to workforce development training. DCWP's Financial Empowerment Centers have served more than 68,000 clients, helping them collectively save more than \$10.5 million and reduce their debt by more than \$90 million since the inception of the program in 2008.
- On January 28th, Mayor Eric Adams and Commissioner Vilda Vera Mayuga encouraged single-filing New Yorkers who earned \$56,000 or less in 2022, or families who earned \$80,000 or less, to file their taxes for free using NYC Free Tax Prep. NYC Free Tax Prep provides free, professional, tax preparation that can help New Yorkers keep their full refund, including valuable tax credits, like the newly enhanced New York City Earned Income Tax Credit (NYC EITC). The new NYC Free Tax Prep for self-employed New Yorkers will also provide income tax services to freelance workers and small businesses.

NYC Free Tax Prep Services include: In-Person Tax Prep: sit down with a volunteer preparer, Drop-off Service: drop off documents and pick up completed returns later, Virtual Tax Prep — like in-person free filing but online, and Assisted Self-Preparation: free online tax prep on one's own or with help.

On February 27, 2023, Mayor Eric Adams and Commissioner Vilda Vera Mayuga announced a settlement with Starbucks over the wrongful termination of Austin Locke from one of its Astoria locations. This in violation of the "just cause" protections of New York City's New York City's Fair Workweek Law. Starbucks agreed to reinstate Locke to his former position at the Astoria Starbucks where he worked, pay him over \$21,000 in backpay and penalties, and comply with the city's Fair Workweek Law going forward.

In July 2022, DCWP received a complaint from Locke alleging that Starbucks had unlawfully fired him from his job. DCWP quickly launched an investigation and found that Starbucks did in fact terminate Locke unlawfully. DCWP gave Starbucks an opportunity to reinstate Locke at the conclusion of the investigation. When Starbucks failed to do so, DCWP filed a petition on September 1, 2022, with the New York City Office of Administrative Trials and Hearings. The petition requested an order of reinstatement and backpay for Locke, which he will now receive under this settlement.

On March 3, 2023, Mayor Eric Adams announced the launch of a \$1.5 million multimedia marketing campaign to promote the expanded New York City Earned Income Tax Credit (NYC EITC), which is available to eligible New Yorkers during this year's tax season. Starting March 4th, the city will run a new marketing campaign on television, radio, social media, in print, and out-of-home advertising such as billboards and posters to promote the enhanced benefit and provide information on how eligible New Yorkers can access it.

The campaign complements DCWP's annual NYC Free Tax Prep campaign. NYC Free Tax Prep provides free, professional tax preparation that can help New Yorkers keep their full refund, including valuable tax credits, like the NYC EITC. The new NYC Free Tax Prep for self-employed New Yorkers will also provide income tax preparation services to freelance workers and small businesses.

On March 6, 2023, Commissioner Vilda Vera Mayuga announced that DCWP filed a lawsuit in the New York County Supreme Court against Dorm2Dorm LLC. for exploiting college students. Dorm2Dorm LLC is a moving storage company known for targeting New York City area college students and their parents/guardians by failing to provide the services that consumers paid for and that Dorm2Dorm advertised, including consistently late pickups and deliveries, misplacing possessions, returning them damaged or broken, and deceiving consumers with false promises of a 25% "instant rebate." DCWP's lawsuit seeks civil penalties, restitution for consumers, and a court order instructing the company to cease its false and deceptive advertisements.

On March 8, 2023, Commissioner Vilda vera Mayuga released a tipsheet to help New Yorkers make the best financial decision possible when considering the use of Buy Now, Pay Later loans. Buy Now, Pay Later loans are short-term loans that allow consumers to pay for something in small installments and avoid fees or interest, but only if consumers pay in full and on time.

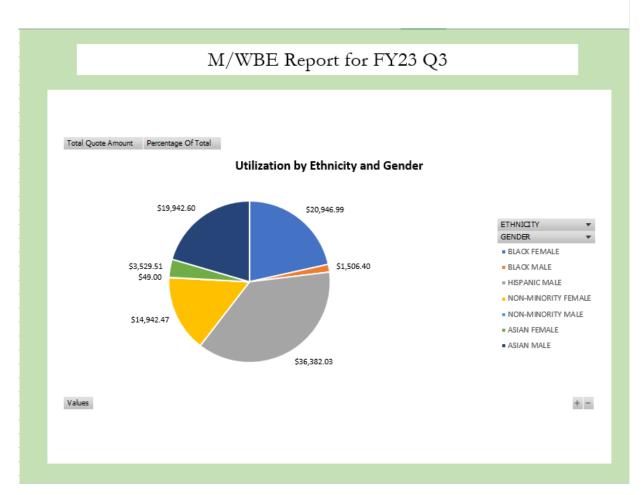
What You Need to Know About Buy Now, Pay Later Loans: Think before you sign, read the fine print, check the return policy, budget before you buy, understand how Buy Now, pay Later loans may impact your credit score, limit the number of Buy Now, pay Later loans you owe, turn off autopay if you don't want to be charged automatically, and get free financial counseling if you need help.

DCWP's NYC Financial Empowerment Centers provide free, one-on-one professional financial counseling to help New Yorkers create a budget, establish or improve their credit, set up a spending plan, open a safe and affordable bank account, contact their lenders about debt-- including student loans, develop a strategy to reduce debt or lower payments, access emergency government resources, and more. Anyone 18 and older who lives or works in New York City can book a free and confidential appointment with a professional financial counselor by visiting nyc.gov/TalkMoney or calling 311 and saying "Financial Counseling." Services are available in person or by phone and in multiple languages.

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Q4 Update:	□ Planned	☐ Not started	☐ Ongoing	□ Delayed	□ Deferred	☐ Completed
profit organiz	ations, and gov	•	foster relations			inue to collaborate with other agencies, tain everyone informed on the expandin

Throughout the fiscal year the agency continued their efforts to inform the public of the worker protections such as the NYC Freelance Isn't

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	provider to the citizens of New York City focused on diversity, equity and inclusion, while reflecting the variety of communities that are served. What steps were taken to evaluate effectiveness of these actions?											
	During Q3 DCWP completed 200 events serving a total of 11,523 New Yorkers.											
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	Q4 Update:	☐ Planned	☐ Not started	☐ Ongoing	□ Delayed	□ Deferred	☐ Completed					
	Please describ	oe the steps tha e citizens of Ne	at your agency has ta	aken to meet thi on diversity, eq	s goal. Include uity and inclus	actions taken t	BE vendors/ partners.] to establish your agency as a leading service ecting the variety of communities that are	!				
		•	I/WBE Vendors is \$9	7,299.00								
		M/WBE vendor										
To	otal number of	purchase requi	sitions received is 32									



NYC Consumer & Worker Protection FY 2023 Diversity, Equity, Inclusion and Equal Employment Quarterly Report Q1 Update: ☑ Planned □ Not started ☐ Ongoing □ Delayed □ Deferred ☐ Completed ☐ Not started ☐ Ongoing Q2 Update: ☑ Planned □ Delayed ☐ Deferred ☐ Completed □ Not started Q3 Update: ☑ Planned ☐ Ongoing □ Delayed □ Deferred ☐ Completed Q4 Update: □ Planned ■ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed 4. [Continuing to identify procurement opportunities where we can do business with MWBE vendors/partners. Work with SBS to identify new MWBE's that the agency can work with.] Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity and inclusion, while reflecting the variety of communities that are served. What steps were taken to evaluate effectiveness of these actions? Procurement did not attend any fairs during Q3. □ Planned ☐ Not started ☑ Ongoing □ Delayed ☐ Deferred ☐ Completed Q1 Update: □ Planned Q2 Update: □ Not started ☑ Ongoing □ Delayed □ Deferred ☐ Completed Q3 Update: ☐ Planned □ Not started ☐ Ongoing ☐ Delayed □ Completed ☐ Ongoing ☐ Delayed Q4 Update: □ Planned □ Not started □ Deferred □ Completed Please specify any other Community-directed activities during the quarter (e.g., meetings, educational and cultural programs, promotion of agency services, community fairs, etc.) and describe the activities, including the dates when the activities occurred.

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Э.	Equity, Inclu	ision and Rac	e Relations Initiativ	ves:				
	Please list the Equity, Inclusion and Race Relations Goal(s) included in Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2023, which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan.							
	Human Capita engagement,	al, and senior mand how to fo	nanagement. Providing ester positive teams w	training and s	upport to super the main priori	visors on how ties for the Dir	equires collaboration between division leaders, to be more inclusive, how to increase employee rector of the Office of Diversity, Inclusion and tives such as employee resource groups.]	
*		inclusive work					establish your agency as a leader in creating eps were taken to evaluate effectiveness of	
		candidate for t vetting process		ice of Diversit	y, Inclusion and	d Compliance a	and she is currently going through the PAR	
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NYC Consumer & Worker Protection FY 2023 Diversity, Equity, Inclusion and Equal Employment Quarterly Report 2. [Insert goal] Please describe the steps that your agency has taken to meet this goal. Include steps taken to establish your agency as a leader in creating equitable and inclusive workplace environment and enhancing cultural competency. What steps were taken to evaluate effectiveness of these actions? ☐ Not started Q1 Update: □ Planned ☐ Ongoing □ Delayed □ Deferred ☐ Completed Q2 Update: ☐ Planned ☐ Not started ☐ Ongoing □ Delayed ☐ Deferred ☐ Completed Q3 Update: □ Planned □ Not started □ Ongoing □ Delayed ☐ Deferred ☐ Completed Q4 Update: □ Planned □ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed Please describe the steps that your agency has taken to meet this goal. Include steps taken to establish your agency as a leader in creating equitable and inclusive workplace environment and enhancing cultural competency. What steps were taken to evaluate effectiveness of these actions? 3. [Insert goal]

.		inclusive work			-	-	establish your agency as a leader in creating ps were taken to evaluate effectiveness of
	Q1 Update: Q2 Update: Q3 Update: Q4 Update:	☐ Planned ☐ Planned ☐ Planned ☐ Planned	 □ Not started □ Not started □ Not started □ Not started 	☐ Ongoing ☐ Ongoing ☐ Ongoing ☐ Ongoing	☐ Delayed ☐ Delayed ☐ Delayed ☐ Delayed	☐ Deferred ☐ Deferred ☐ Deferred ☐ Deferred	☐ Completed ☐ Completed ☐ Completed ☐ Completed
		inclusive work			-	-	establish your agency as a leader in creating ps were taken to evaluate effectiveness of
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Report	

Please specify Equity and Race Relations initiatives embarked on or continued from previous year(s) (e.g., meetings, educational and cultural programs, presentations, discussions, books/articles, other suggested readings, etc.) and describe the activities, including the dates when the activities occurred.

V. Recruitment

A. Recruitment Efforts

Please list **Recruitment Initiatives and Strategies** which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan (e.g., targeted outreach and outreach, diversity recruitment, social media presence, where jobs are posted, EEO and APO collaboration, evaluation of best recruitment sources, structured interview training and unconscious bias training).

	ra					•••
1.	(Continue	to.	expand	our	partnerships	with:

- External organizations
- Colleges
- Programs
- Recruitment events]
- 2. Utilize LinkedIn Talent Solutions to expand and target qualified diverse candidates

•	Please describe the steps that your agency has taken to meet these initiatives/strategies. What steps were taken to evaluate
	effectiveness of these actions?

O1 Undata	☑ Planned	☐ Not started	Ongoing	□ Deleved	□ Doformod	☐ Completed
QI Opuate:	△ Planneu	□ NOt Started	□ Ongoing	□ Delayed	□ Deleffed	□ Completed
Q2 Update:	☐ Planned	☐ Not started	□ Ongoing	□ Delayed	□ Deferred	☐ Completed
Q3 Update:	□ Planned	☐ Not started	☑ Ongoing	□ Delayed	□ Deferred	□ Completed
Q4 Update:	☐ Planned	☐ Not started	☐ Ongoing	\square Delayed	□ Deferred	☐ Completed

2.	In FY 2023 we will work with WB&B to recruit for the Deputy Commissioner of the Office of Policy and Standards and the new position of Director of the Office of Diversity, Inclusion & Compliance/EEO Officer.							
*	Please describe the steps that your agency has taken to meet these initiatives/strategies. What steps were taken to evaluate effectiveness of these actions?							
	We worked	with WB&B to r	review candidates for	the Director o	f the Office of	Diversity, Inclu	sion & Compliance/EEO Officer.	
	Q1 Update: Q2 Update: Q3 Update: Q4 Update:	☐ Planned ☐ Planned	☐ Not started ☐ Not started ☐ Not started ☐ Not started	☑ Ongoing☐ Ongoing☐ Ongoing☐ Ongoing	□ Delayed□ Delayed□ Delayed□ Delayed	☐ Deferred☐ Def	 □ Completed ☑ Completed ☑ Completed □ Completed 	
*	Please descr				•		hat steps were taken to evaluate	
	We will include these trainings in our training calendar for the remainder of FY 2023 and for FY 2024.							
	Q1 Update: Q2 Update: Q3 Update: Q4 Update:	☑ Planned	☐ Not started ☐ Not started ☐ Not started ☐ Not started	☐ Ongoing ☐ Ongoing ☐ Ongoing ☐ Ongoing	□ Delayed□ Delayed□ Delayed□ Delayed	☐ Deferred ☐ Deferred ☐ Deferred ☐ Deferred	□ Completed□ Completed□ Completed□ Completed	

NYC Consumer & Worker Protection FY 2023 Diversity, Equity, Inclusion and Equal Employment Quarterly Report 4. [Train hiring managers on Disability Etiquette and the 55-a program to expand our regular utilization of this recruitment opportunity.] ❖ Please describe the steps that your agency has taken to meet these initiatives/strategies. What steps were taken to evaluate effectiveness of these actions? We are planning on the best way to incorporate trainings as a part of onboarding of new supervisors/hiring managers. Q1 Update: ☑ Planned □ Not started □ Ongoing □ Delayed □ Deferred □ Completed

Please specify any recruitment efforts designed to increase the effectiveness and improve the hiring and selection reach of your agency during the quarter and describe the activities, including the dates when the activities occurred.

☐ Ongoing ☐ Delayed

□ Delayed

☐ Ongoing ☐ Delayed

☐ Deferred ☐ Completed

☐ Deferred ☐ Completed

☐ Deferred ☐ Completed

B. Internships/Fellowships

Q2 Update:

Planned

Q4 Update: Planned Not started

☐ Not started

The agency is providing the following internship opportunities in FY 2023. [Note: Please update this information every quarter.]

Race/Ethnicity* [#s] * Use self-ID data obtained from NYCAPS; Gender* [#s] [N-B=Non-Binary; O=Other; U=Unknown] * Use self-ID data 1. Urban Fellows Total: 0 Race/Ethnicity* [#s]: Black___ Hispanic___ Asian/Pacific Islander___ Native American___ White___ Two or more Races___ Gender* [#s]: M F N-B O U 2. Public Service Corps Total: 0 Race/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races Gender* [#s]: M F N-B O U 3. Summer College Interns (Ladders for Leaders) Total: N/A Race/Ethnicity* [#s]: Black 0 Hispanic 0 Asian/Pacific Islander 0 Native American White 0 Two or more Races Gender* [#s]: M __ F __ N-B ___ O ___ U ___ 4. Summer Graduate Interns Total: 2 Race/Ethnicity* [#s]: Black Hispanic 1 Asian/Pacific Islander Native American White 1 Two or more Races Gender* [#s]: M ___ F __2_ N-B ___ O ___ U ___ 5. Other (Interns) Total: 9 Race/Ethnicity* [#s]: Black 1 Hispanic 2 Asian/Pacific Islander 4 Native American White 1 Two or more Races Race/Ethnicity Not Disclosed 2

Gender* [#s]: M <u>3</u> F _6_ N-B ___ O ___ U ___

Additional comments:

C. 55-A Program

The agency uses the 55-a Program to hire and retain qualified individuals with disabilities.	□ No					
Currently, the agency employs the following number of 55-a participants:						
Q1 (9/30/2022):1	/2023):					
During the 1st Quarter, a total of3 [number] new applications for the program were received. During the 1st Quarter participants left the program due to [state reasons]						
During the 2nd Quarter, a total of0 [number] new applications for the program were received. During the 2nd Quarter participants left the program due to [state reasons]						
During the 3rd Quarter, a total of0 [number] new applications for the program were received. During the 3rd Quarter1_ participant left the program due to transferring to another agency.						
During the 4th Quarter, a total of [number] new applications for the program were received. During the 4th Quarter participants left the program due to [state reasons]						
The 55-a Coordinator has achieved the following goals:						
1. Disseminated 55-a information — by e-mail:						

2. __Provided information to candidates and submitted (3) 55-a application to DCAS to have their applications reviewed._

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	3
V	. Selection (Hiring and Promotion)
ı	Please review Section VI of your FY 2023 Diversity, Equity, Inclusion and EEO Plan and describe your activities for this quarter below:
	Please list additional Selection Strategies and Initiatives which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan (e.g. use of structured interview, EEO or APO representatives observing interviews, review of placements, review of e-hire applicant data).
	1. Career Counseling: Advising employees of opportunities for promotion and career development; Notification of promotion/transfer opportunities.
*	Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?
2	. Reviewing the methods by which candidates are selected for appointment, promotion, or to fill vacancies (new hires), especially for midand high-level discretionary positions.
*	Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?

3. Describe the role of agency EEO Officer and other EEO staff in the selection of candidates for appointment or promotion (pre- and postappointment).

The EEO Officer is not involved in the selection of candidates for appointment or promotion.

- **4.** Analyzing the impact of layoffs or terminations on racial, gender and age groups.
- 5. Other:

During this Quarter the Agency activities included: # of Vacancies # of New Hires

Commented [PB1]: Email sent to Recruitment

VI. Training

Please provide your training information in Part II of the report "Diversity, Equity, Inclusion and EEO Training Summary" (in MS Excel).

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VII. Reasonable Accommodation

Please report all reasonable accommodation requests and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: https://mspwva-dcslnx01.csc.nycnet/Login.aspx

VIII. Compliance and Implementation of Requirements Under Executive Orders and Local Laws

A.Local Law 92: Annual Sexual Harassment Prevention training

Please provide Sexual Harassment Prevention Training Information in Part II of the report "Diversity, Equity, Inclusion and EEO Training Summary" (in MS Excel).

B. Local Law 97: Annual Sexual Harassment Reporting

☑ The agency has entered the sexual harassment Complaint Data in the DCAS Citywide Complaint Tracking System and updates the information as they occur.

Q1

Q2 🛛

Q3 🛛

Q4 🗆

🗵 The agency has entered all types of complaints in the DCAS Citywide Complaint Tracking System and updates the information as they

occur.

oximes The agency ensures that complaints are closed within 90 days.

Report all complaints and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: https://mspwva-dcslnx01.csc.nycnet/Login.aspx

C. Executive Order 16: Training on Transgender Diversity and Inclusion

Please provide E.O. 16 Training Information in Part II of the report "Diversity, Equity, Inclusion and EEO Training Summary" (in MS Excel).

D.Local Law 101: Climate Survey

Please describe your progress this quarter in implementing the primary goals in Appendix B of your Agency Diversity, Equity, Inclusion and EEO Plan for FY 2023.

Please list the actions, initiatives, programs, or policies included in *Appendix B: 2020 Climate Survey Action Plan*, which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan.

- 1. Increase employees' familiarity with the EEO Policy.
- Please describe the steps that your agency has taken to meet these goals. What steps were taken to evaluate effectiveness of these actions?

Continue the distribution of quarterly EEO Newsletters to regularly provide EEO contact information along with details on the EEO policy. The EEO Officer also continues to provide an hour-long training on EEO during new hire orientation. The training covers who is protected by the EEO policy, the protected categories, sexual harassment, reasonable accommodations, and where to go to access information on EEO (agency intranet and DCAS website). We can launch an employee survey to evaluate the effectiveness of these actions and make any changes based on the responses we receive.

- Improve the EEO Office's visibility to the workforce.
- Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?

Have EEO posters and contact information posted throughout our agency work locations. We also have an EEO icon on our intranet homepage where staff can go to access forms, policies, and contact information for EEO.

- Improve employees' knowledge of the EEO complaint process, including where and how to file a formal complaint, and what happens after a complaint is filed.
- Please describe the steps that your agency has taken to meet these initiatives/strategies. What steps were taken to evaluate effectiveness of these actions?

The EEO complaint process is outlined during new hire orientation. We have also provided this information via our EEO Newsletter as a reminder to all staff members. Including questions regarding the complaint process in an employee survey would be helpful in us determining the effectiveness of these actions.

4. Increase employees' understanding of protected rights and prohibition of discrimination, including sexual harassment, in the

Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?

This information is provided during new hire orientation and other mandated training courses.

- 5. Improve managers' and supervisors' awareness of measures that an employee may take to report any violations under the EEO Policy, including discrimination and sexual harassment.
- Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?

We will include a section on supervisor/manager EEO responsibilities in our supervisory bootcamp training. We can also launch a survey for this staff to understand the effectiveness of the information being relayed and see what additional support they would like.

- 6. Improve <u>managers'</u> and <u>supervisors'</u> knowledge of whom and where to direct employees who may want to discuss a complaint (s) under the EEO Policy.
- Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?

Aside from sending general emails to staff we can send quarterly emails to managers/supervisors to remind them of their

responsibilities. Sending communications directly to them may be more impactful compared to sending general communications to all staff members. The effectiveness of this approach can also be measured in the survey we launch.

7.	Other:
*	Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?
A	udits and Corrective Measures
	Please choose the statement that applies to your agency.
	☐ The agency is <u>NOT</u> involved in an audit conducted by NYC Equal Employment Practice Commission (EEPC) or another governmental agency specific to our EEO practices.
	☐ The agency is involved in an audit; please specify who is conducting the audit:EEPC
	\square Attach the audit recommendations by EEPC or the other auditing agency.
	☐ The agency has submitted or will submit to DCAS Citywide Equity and Inclusion an amendment letter, which shall amend the agency plan for FY 2022.
	☐ The agency received a Certificate of Compliance from the auditing agency.
	Please attach a copy of the Certificate of Compliance from the auditing agency.

IX.

Appendix A: EEO Personnel Details

EEO Personnel For <u>3</u> Quarter, FY 2023

Personnel Changes

Personnel Changes this Quarter:	☐ No Changes		Number of Additions: 1		Number of Deletions: 2	
Employee's Name & Title	1. Diana Morales		2. Dina Ghanbarzadeh		3. Patty Baez	
Nature of change	☐ Addition	Deletion	☐ Addition	☑ Deletion	☑ Addition	☐ Deletion
Date of Change in EEO Role	Start Date or Termina	tion Date: 2/17/2023	Start Date or Termina	ation Date: 10/15/2022	Start Date or Termina	ation Date: 4/03/2023
Employee's Name & Title						
Nature of change	☐ Addition ☐	Deletion	☐ Addition	☐ Deletion	☐ Addition	☐ Deletion
Date of Change in EEO Role	Start Date or Termination Date:		Start Date or Termination Date:		Start Date or Termination Date:	
For New EEO Professionals:						
Name & Title	4.		5.		6.	
EEO Function	☐ EEO Officer ☐ EEO Trainer ☐ 55-a Coordinator	☐ EEO Counselor ☐ EEO Investigator ☐ Other: (specify)	☐ EEO Officer ☐ EEO Trainer ☐ 55-a Coordinator	☐ EEO Counselor ☐ EEO Investigator ☐ Other: (specify)	☑ EEO Officer☐ EEO Trainer☐ 55-a Coordinator	☐ EEO Counselor ☐ EEO Investigator ☐ Other: (specify)
Percent of Time Devoted to EEO	☐ 100% ☐ Other: (specify %):		☐ 100% ☐ Other: (specify %):		☑ 100% ☐ Other: (specify %):	
Name & Title						
EEO Function	☐ EEO Officer ☐ EEO Trainer ☐ 55-a Coordinator	☐ EEO Counselor ☐ EEO Investigator ☐ Other: (specify)	☐ EEO Officer ☐ EEO Trainer ☐ 55-a Coordinator	☐ EEO Counselor ☐ EEO Investigator ☐ Other: (specify)	☐ EEO Officer ☐ EEO Trainer ☐ 55-a Coordinator	☐ EEO Counselor ☐ EEO Investigator ☐ Other: (specify)

Percent of Time Devoted to EEO	☐ 100% ☐ Other: (specify %):	☐ 100% ☐ Other: (specify %):	☐ 100% ☐ Other: (specify %):				
EEO Training Completed within the Last <u>Two</u> Years, including the Current Quarter (EEO and D&I Officers, Deputies, <u>and All New EEO Professionals</u>):							
Name & EEO Role	1. Patty Baez	2.	3.				
Completed EEO Trainings: 1. Everybody Matters-EEO and D&I	☑ Yes ☐ No	Yes No	☐ Yes ☐ No				
Sexual Harassment Prevention IgbTq: The Power of Inclusion		☐ Yes ☐ No ☐ Yes ☐ No	☐ Yes ☐ No ☐ Yes ☐ No				
Disability Awareness & Etiquette Unconscious Bias		☐ Yes ☐ No	Yes No				
6. Microaggressions	✓ Yes □ No	☐ Yes ☐ No	☐ Yes ☐ No				
7. EEO Officer Essentials: Complaint/Investigative Processo	es Yes 🗵 No	□ Yes □ No	☐ Yes ☐ No				
8. EEO Officer Essentials: Reasonable Accommodation	☐ Yes	□ Yes □ No	☐ Yes ☐ No				
9. Essential Overview Training for New EEO Officers	☐ Yes	☐ Yes ☐ No	☐ Yes ☐ No				
10. Understanding CEEDS Reports	☐ Yes	Yes No	☐ Yes ☐ No				

EEO Personnel Training Continued:

EEO Training completed within the last two years, including the Current Quarter (EEO and D&I Officers, Deputies, and all new EEO Professionals):								
Name & EEO Role 4.			5.		6.			
Completed EEO Trainings: 1. Everybody Matters-EEO and D&I	□ Y	es	□ No	☐ Yes	□ No	□ Yes	□ No	
2. Sexual Harassment Prevention	□ Y	es	□ No	☐ Yes	□ No	☐ Yes	□ No	
3. IgbTq: The Power of Inclusion	□ Y	es	□ No	☐ Yes	□ No	☐ Yes	□ No	
4. Disability Awareness & Etiquette	□ Y	es	□ No	☐ Yes	□ No	☐ Yes	□ No	
5. Unconscious Bias	□ Y	es	□ No	☐ Yes	□ No	☐ Yes	□ No	
6. Microaggressions	□ Y	es	□ No	☐ Yes	□ No	☐ Yes	□ No	
7. EEO Officer Essentials: Complaint/Investigative Processes	□ Y	es	□ No	☐ Yes	□ No	☐ Yes	□ No	
8. EEO Officer Essentials: Reasonable Accommodation	□ Y	es	□ No	□ Yes	□ No	☐ Yes	□ No	
9. Essential Overview Training for New EEO Officers	□ Y	es	□ No	☐ Yes	□ No	☐ Yes	□ No	
10. Understanding CEEDS Reports	□ Ye	es	□ No	☐ Yes	□ No	☐ Yes	□ No	

EEO Personnel Contact Information (Please list all current EEO professionals)

Please provide full mailing address of the principal Agency EEO Office:

Diversity and EEO Staffing as of 1 Quarter FY 2023*

EEO\Diversity Role	<u>Name</u>	Civil Service Title	% of Time Devoted to EEO & Diversity Functions	Office E-mail Address	Telephone #
EEO Officer/Director	Patty Baez	Associate Inspector	<u>100%</u>	PBaez@dcwp.nyc.gov	(212)436- 0269
Deputy EEO Officer OR Co-EEO Officer	Patty Baez	Associate Inspector	<u>100%</u>	PBaez@dcwp.nyc.gov	(212)436- 0269
Chief Diversity & Inclusion Officer	Patty Baez	Associate Inspector	<u>100%</u>	PBaez@dcwp.nyc.gov	(212)436- 0269
Diversity & Inclusion Officer	Patty Baez	Associate Inspector	<u>100%</u>	PBaez@dcwp.nyc.gov	(212)436- 0269
Chief Diversity Officer/Chief MWBE Officer per E.O. 59	Sherie Wallace		<u>25%</u>	swallace@dcwp.nyc.gov	(212)436- 0250
ADA Coordinator	Patty Baez	Associate Inspector	100%	PBaez@dcwp.nyc.gov	(212)436- 0269

Disability Rights Coordinator	Patty Baez	Associate Inspector	<u>100%</u>	PBaez@dcwp.nyc.gov	(212)436- 0269
Disability Services Facilitator	Patty Baez	Associate Inspector	100%	PBaez@dcwp.nyc.gov	(212)436- 0269
55-a Coordinator	Cynthia Medina	Principal Administrative Associate	<u>25%</u>	cmedina@dcwp.nyc.gov	(212)436- 0338
Career Counselor	Margaret Mateo	Administrative Business Promotion Coordinator (NM)	<u>25%</u>	mmateo@dcwp.nyc.gov	(212)436- 0338
	<u>Juana Abreu</u>	Associate Inspector	<u>5%</u>	jabreu@dcwp.nyc.gov	(212)436- 0165
EEO Counselor	<u>Kayla Flores</u>	Principal Administrative Associate	<u>5%</u>	kaflores@dcwp.nyc.gov	(212)436- 0172
	Rodger Hayes	Community Associate	<u>5%</u>	rhayes@dcwp.nyc.gov	(212)436- 0241
EEO Investigator					
EEO Counselor\ Investigator					
Investigator/Trainer					
EEO Training Liaison	Patty Baez	Associate Inspector	100%	PBaez@dcwp.nyc.gov	(212)436- 0269
Other (specify)					

Other (specify)			

^{*} Please note changes (new personnel filling the specified role). You may insert additional entries as needed. Title refers to the civil service title. If there is an EEO\Diversity role that your staff performs that is not on the list above, you may indicate it on the chart. You may provide full contact information once if several roles are performed by the same person.