



Progress Report

2013

Minority and Women-owned Business Enterprise Program

FISCAL YEAR 2013 | JULY 1, 2012 – JUNE 30, 2013

Agency Mission

The Department of Small Business Services makes it easier for companies in New York City to form, do business and grow by providing direct assistance to business owners, fostering neighborhood development in commercial districts, promoting financial and economic opportunity among minority and women-owned businesses, preparing New Yorkers for jobs and linking employers with a skilled and qualified workforce.



Minority and Women-owned Business Enterprise Program Progress Report

FISCAL YEAR 2013 | JULY 1, 2012 – JUNE 30, 2013

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New York City Mayoral Agencies

The following analysis evaluates the utilization of minority and women-owned businesses in City procurement by 34 mayoral City agencies. New York City is one of the largest contracting jurisdictions in the nation. In Fiscal 2013, New York City procured \$14.14 billion worth of supplies, services and construction, through more than 35,000 transactions.

\$439.3 MILLION

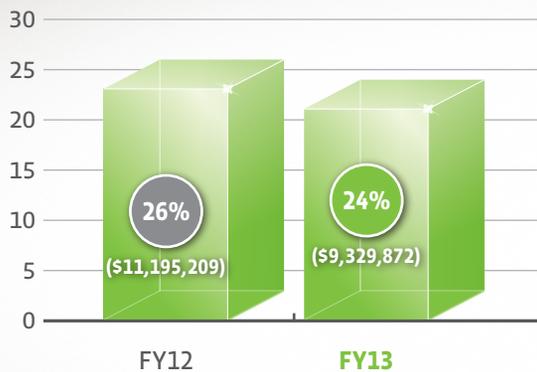
TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$330.3 M:** Value of Prime Contracts Awarded to M/WBES
- \$109 M:** Value of Subcontracts Awarded to M/WBES
- 7,752:** Prime Contracts Won by M/WBES
- 620:** Subcontracts Won by M/WBES
- 612:** M/WBES Won Prime Contracts
- 267:** M/WBES Won Subcontracts

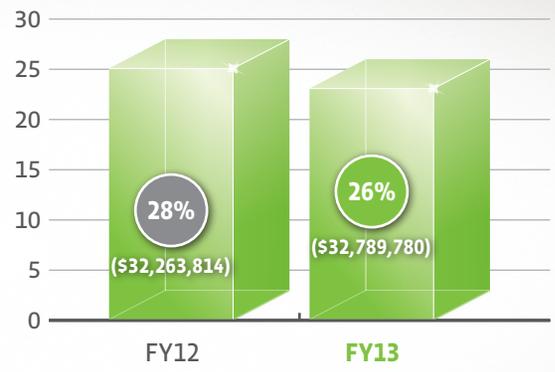
These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.

Micro Purchase M/WBE Utilization Rate



Small Purchase M/WBE Utilization Rate



Micro Purchase: Valued at less than \$5,000. No formal competition is required. This chart does not reflect transactions made with P-cards; In FY13, an additional \$4.3 million in electronic transactions were awarded to M/WBES.

Small Purchase: Over \$5,000 but less than \$100,000. Award is based on lowest price or best value, but limited competition allows M/WBES greater opportunity.

Citywide M/WBE Initiatives to Improve Performance

- Mayor Bloomberg signed into law Local Law 1 of 2013, that significantly strengthened and expanded the City's M/WBE program.
- The City rolled out a comprehensive new subcontract tracking database that adds transparency into subcontracting process and facilitates utilization of M/WBES as subcontractors.
- SBS hosted the Seventh Annual Citywide Procurement Fair, an event that brought together nearly 500 certified M/WBES and 300 procurement officers from 71 City and State agencies, public authorities and corporations.
- SBS graduated the first class of participants in the Bond Readiness program and NYC Construction Mentorship – capacity building programs for M/WBES and small businesses under the Compete to Win initiative.



LL129 Goal and Performance Breakdown

In FY 2013, NYC awarded 35,081 contracts totaling \$14.14 billion. This primarily included awards in contract sizes greater than \$1 million, and includes contracts in the human service industry or through procurement methods not covered by LL129, all of which fall outside the purview of LL129. The large contract sizes, specialized work, and state and federal funding sources preclude many of NYC’s construction procurements from LL129 goals. However, city certified firms who qualify under state and federal M/WBE or DBE programs participate in these subcontracting opportunities and continue to win contracts with the City.

NYC awarded \$439.3 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$165.3 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation NYC awarded over \$70.3 million in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$151,403,632	\$165,272,841
Actual Awarded	\$70,170,040	\$70,274,023
% Goal Value Achieved	46%	43%

	Asian			Black			Hispanic			Caucasian Female			
	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	
Small Purchases	Construction	No citywide goal		\$1,013,351	\$637,858	63%	\$726,917	\$315,330	43%	No citywide goal			
	Professional Services	No citywide goal		\$827,964	\$535,550	65%	\$459,980	\$273,070	59%	\$1,517,934	\$261,790	17%	
	Standard Services	No citywide goal		\$3,867,618	\$2,152,986	56%	\$2,160,819	\$1,318,948	61%	\$4,354,284	\$2,233,989	51%	
	Goods	\$3,534,859	\$3,789,199	107%	\$5,155,375	\$2,343,943	45%	\$3,463,019	\$2,713,169	78%	\$12,628,818	\$10,945,657	87%
Contracts Through Other Methods*	Construction	No citywide goal		\$3,638,337	\$0	0%	\$2,527,216	\$1,285,521	51%	No citywide goal			
	Professional Services	No citywide goal		\$1,265,807	\$750,000	59%	\$703,226	\$0	0%	\$2,320,646	\$1,414,000	61%	
	Standard Services	No citywide goal		\$4,699,132	\$451,397	10%	\$2,616,851	\$0	0%	\$5,320,252	\$998,938	19%	
	Goods	\$2,382,725	\$0	0%	\$3,429,471	\$128,940	4%	\$2,290,905	\$186,900	8%	\$8,204,102	\$372,290	5%
Subcontracts**	Construction	\$12,817,318	\$9,311,011	73%	\$17,094,269	\$11,007,823	64%	\$12,262,397	\$6,950,481	57%	No citywide goal		
	Professional Services	No citywide goal		\$7,354,263	\$0	0%	\$4,085,702	\$0	0%	\$13,482,816	\$579,782	4%	

Micro Purchases:	Goal Value: \$19,066,468	Actual: \$9,315,451	% Achieved: 49%
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* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Administration for Children's Services

ACS ensures the safety and well-being of New York City's children by providing neighborhood-based and preventive services. ACS typically purchases human services, including child care; standard services such as janitorial services, equipment repair and on-call maintenance services; and professional services such as consultant and engineer services.

\$3.5 MILLION

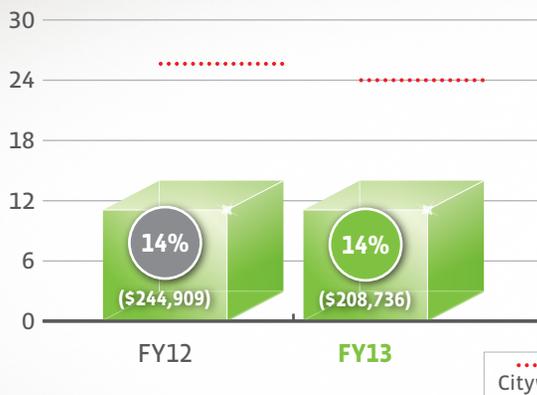
TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$3.5 M:** Value of Prime Contracts Awarded to M/WBES
- \$0** Value of Subcontracts Awarded to M/WBES
- 144:** Prime Contracts Won by M/WBES
- 0:** Subcontracts Won by M/WBES
- 63:** M/WBES Won Prime Contracts
- 0:** M/WBES Won Subcontracts
(This agency did not award subcontracts in FY13.)

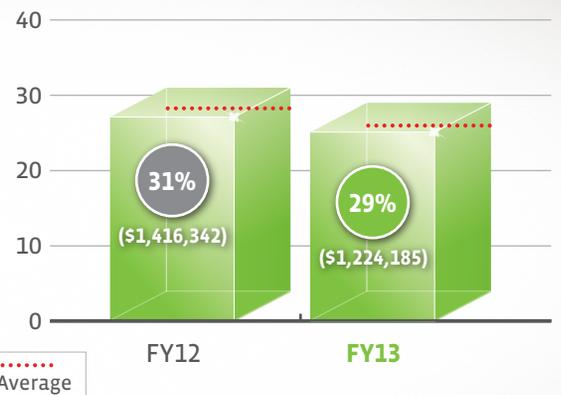
These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.

Micro Purchase M/WBE Utilization Rate



Small Purchase M/WBE Utilization Rate



Micro Purchase: Valued at less than \$5,000. No formal competition is required. This chart does not reflect transactions made with P-cards; In FY13, an additional \$31,500 in electronic transactions were awarded to M/WBES.

Small Purchase: Over \$5,000 but less than \$100,000. Award is based on lowest price or best value, but limited competition allows M/WBES greater opportunity.

Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Staff attended the FY2013 Procurement Fair and followed up with vendors who attended
- Compiled M/WBE listings containing various vendors who provide various services
- Added M/WBE Portal to the computers of all Contract Analysts
- Monitored M/WBE performance through consistent communication with SBS

LL129 Goal and Performance Breakdown

In FY 2013, ACS awarded 971 contracts totaling \$2.1 billion. ACS typically procures contracts in sizes greater than \$1 million or in the human service industry, which fall outside the purview of LL129. ACS awarded \$3.5 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$2.9 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City's ability to meet specific LL129 ethnic and gender goals. Despite this limitation ACS awarded over \$2.1 million in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$3,002,282	\$2,929,354
Actual Awarded	\$2,000,246	\$2,067,922
% Goal Value Achieved	67%	71%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$65,955	\$119,260	181%	\$36,642	\$0	0%	\$120,918	\$44,942	37%
	Standard Services	No citywide goal			\$252,254	\$460,855	183%	\$140,475	\$31,112	22%	\$285,597	\$38,740	14%
	Goods	\$37,753	\$98,754	262%	\$54,337	\$87,305	161%	\$36,298	\$8,081	22%	\$129,988	\$220,137	169%
Contracts Through Other Methods*	Construction	No citywide goal			\$208,418	\$0	0%	\$149,507	\$0	0%	No citywide goal		
	Professional Services	No citywide goal			\$165,821	\$750,000	452%	\$92,123	\$0	0%	\$304,006	\$0	0%
	Standard Services	No citywide goal			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance		
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	\$34,923	\$0	0%	\$46,576	\$0	0%	\$33,411	\$0	0%	No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		

Micro Purchases:	Goal Value: \$734,352	Actual: \$208,736	% Achieved: 28%
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* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department for the Aging

DFTA works for the empowerment, independence, dignity and quality of life of New York City’s diverse older adults and for the support of their families through advocacy, education and the coordination and delivery of services. DFTA typically purchases human services, including home care; standard services such as transportation; and professional services such as legal and auditing services.

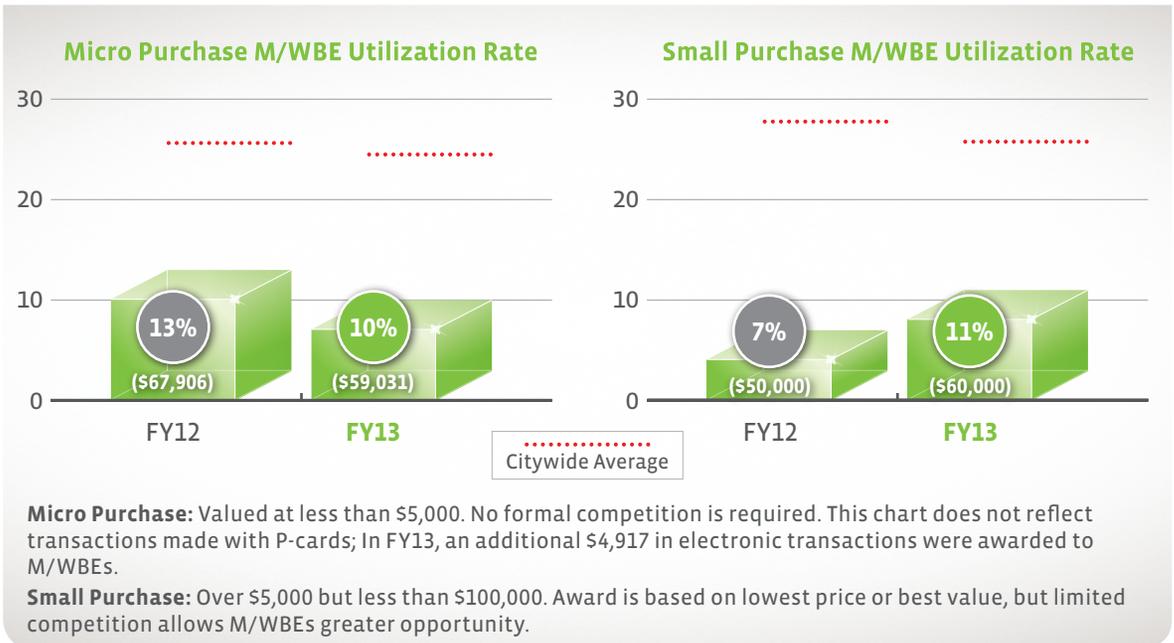
\$7.4 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$7.4 M:** Value of Prime Contracts Awarded to M/WBES
- \$0:** Value of Subcontracts Awarded to M/WBES
- 23:** Prime Contracts Won by M/WBES
- 0:** Subcontracts Won by M/WBES
- 8:** M/WBES Won Prime Contracts
- 0:** M/WBES Won Subcontracts
(This agency did not award subcontracts in FY13.)

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Increased solicitation of M/WBES for micro and small purchases
- Posted MWBE program information on agency website
- Staff attended Procurement Training Institute sessions sponsored by SBS and MOCS
- Included MWBE Utilization updates in all procurement team meetings
- Designated specific staff to oversee M/WBE program activities

LL129 Goal and Performance Breakdown

In FY 2013, DFTA awarded 1,015 contracts totaling \$455.1 million. DFTA typically procures contracts in sizes greater than \$1 million or in the human service industry, which fall outside the purview of LL129. DFTA awarded \$7.4 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$393,533. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation DFTA awarded over \$116,531 in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$384,063	\$393,533
Actual Awarded	\$117,906	\$116,531
% Goal Value Achieved	31%	30%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance		
	Standard Services	No citywide goal			\$37,585	\$60,000	160%	\$20,931	\$0	0%	\$42,553	\$0	0%
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance		
	Standard Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		
Micro Purchases:		Goal Value: \$292,464			Actual: \$56,531			% Achieved: 19%					

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Health and Mental Hygiene

DHMH works to improve the health of the City's most vulnerable residents. The Health Department recognizes that social and economic factors are inextricably linked to health and has made great progress in improving the health of all New York City residents. DHMH typically purchases goods such as computer hardware and software, medical supplies, and pharmaceuticals; IT and health consulting services.

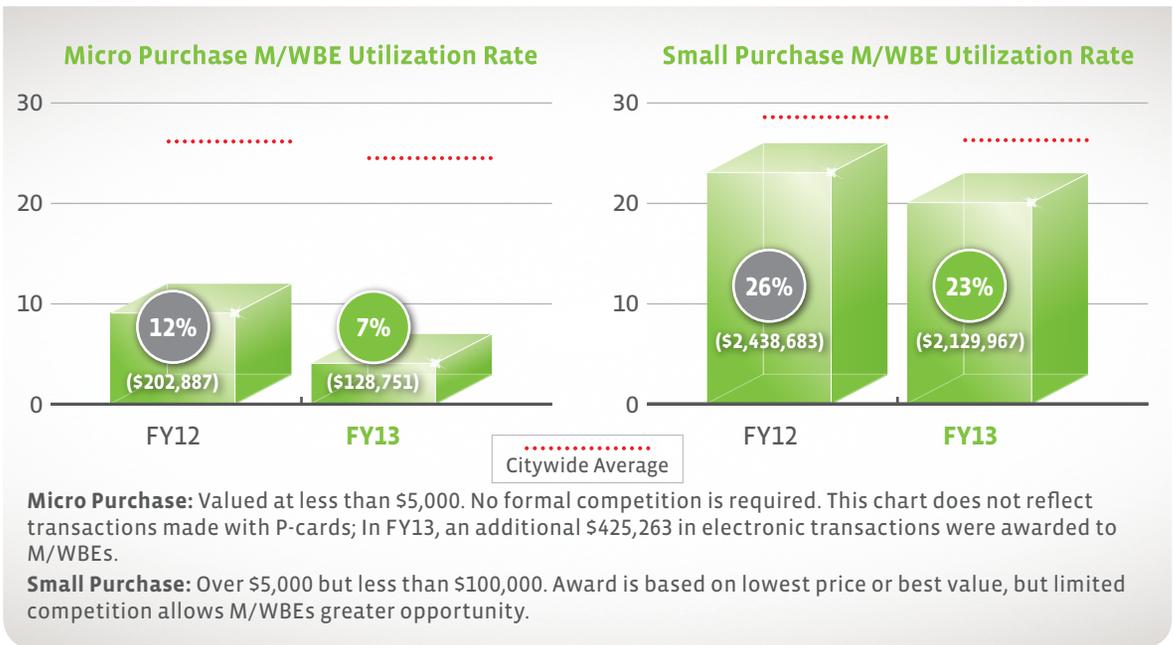
\$3.8 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$3.8 M:** Value of Prime Contracts Awarded to M/WBES
- \$0:** Value of Subcontracts Awarded to M/WBES
- 149:** Prime Contracts Won by M/WBES
- 0:** Subcontracts Won by M/WBES
- 65:** M/WBES Won Prime Contracts
- 0:** M/WBES Won Subcontracts
(This agency did not award subcontracts in FY13.)

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Added MWBEs to competitive purchase bids to expand opportunities
- Encouraged eligible firms to apply for certification
- Sent key staff to trainings conducted by SBS and MOCS; ACCO staff attended training on Local Law 1 prior to July 1, 2013
- Participated in networking events, meetings and workshops for certified M/WBE firms
- Participated in the Citywide MWBE Procurement Fair



LL129 Goal and Performance Breakdown

In FY 2013, DOHMH awarded 1,454 contracts totaling \$1.8 billion. DOHMH typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DOHMH awarded \$3.85 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$3.80 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation DOHMH awarded over \$2.1 million in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$4,586,350	\$3,798,053
Actual Awarded	\$2,472,742	\$2,091,470
% Goal Value Achieved	54%	55%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$56,636	\$0	0%	\$31,464	\$0	0%	\$103,832	\$0	0%
	Standard Services	No citywide goal			\$282,883	\$25,000	9%	\$157,532	\$20,000	13%	\$320,273	\$130,900	41%
	Goods	\$282,931	\$557,250	197%	\$407,224	\$185,228	45%	\$272,028	\$332,835	122%	\$974,176	\$711,505	73%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance		
	Standard Services	No citywide goal			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance		
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		
Micro Purchases:		Goal Value: \$909,075			Actual: \$128,751			% Achieved: 14%					

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** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Homeless Services

DHS works to prevent homelessness wherever possible and provides short-term emergency shelter and re-housing support. To this end DHS partners with public agencies and the business and non-profit communities. DHS typically purchases human services; standard services, such as security and transportation services; construction services; and goods such as shelter supplies and IT hardware.

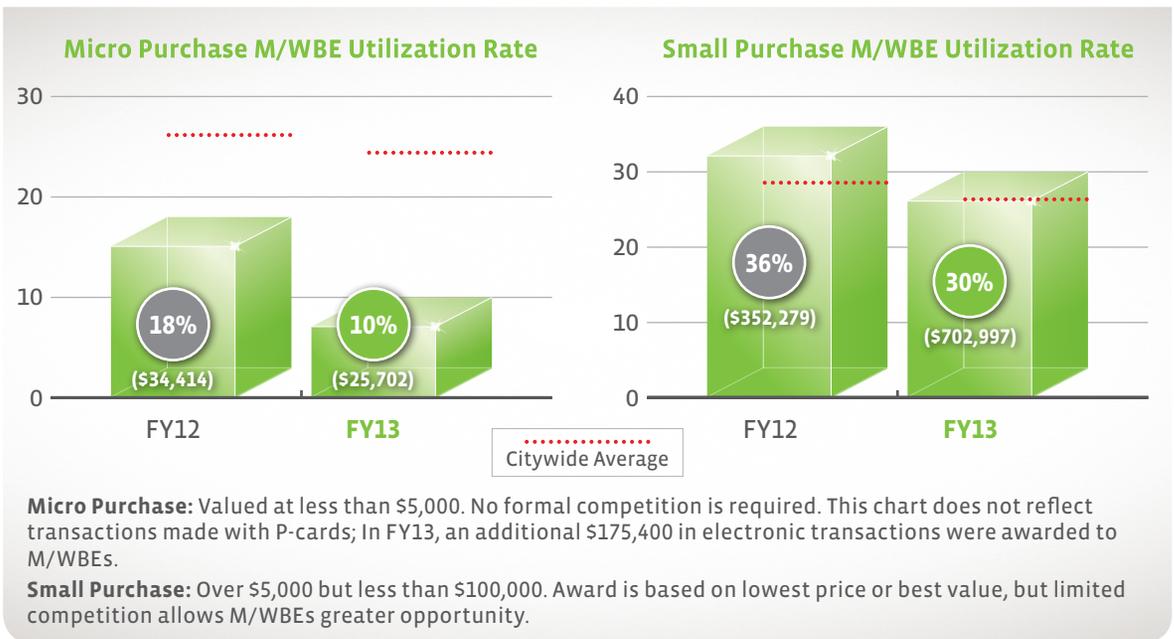
\$7.7 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$7.7 M:** Value of Prime Contracts Awarded to M/WBES
- \$0:** Value of Subcontracts Awarded to M/WBES
- 62:** Prime Contracts Won by M/WBES
- 0:** Subcontracts Won by M/WBES
- 28:** M/WBES Won Prime Contracts
- 0:** M/WBES Won Subcontracts
(This agency did not award subcontracts in FY13.)

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Encouraged vendors already doing business with the agency to certify
- Participated in events with targeted outreach to M/WBES including SBS Annual Citywide Procurement Fair where several staff participated in the networking sessions
- Increased solicitation of M/WBES for micro and small purchases
- Assisted contractors in identifying certified M/WBE subcontractors at pre-bid conferences.
- Held internal meetings with program and procurement staff to reinforce the M/WBE program and its procedural requirements
- Created M/WBE Policy and Procedure Manual

LL129 Goal and Performance Breakdown

In FY 2013, DHS awarded 352 contracts totaling \$740.6 million. DHS typically awards contracts in sizes greater than \$1 million or in the human service industry, which fall outside the purview of LL129. DHS awarded \$7.7 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$1.3 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City's ability to meet specific LL129 ethnic and gender goals. Despite this limitation DHS awarded over \$705,199 in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$725,529	\$1,256,336
Actual Awarded	\$358,692	\$705,199
% Goal Value Achieved	49%	56%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance		
	Standard Services	No citywide goal			\$129,152	\$139,100	108%	\$71,922	\$92,500	129%	\$146,223	\$39,816	27%
	Goods	\$46,678	\$12,353	26%	\$67,184	\$70,533	105%	\$44,880	\$30,430	68%	\$160,721	\$294,765	183%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance		
	Standard Services	No citywide goal			\$174,209	\$0	0%	\$97,013	\$0	0%	\$197,235	\$0	0%
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		
Micro Purchases:		Goal Value: \$121,117			Actual: \$25,702			% Achieved: 21%					

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Youth and Community Development

DYCD provides the City of New York with high quality, efficient youth and family programming. The department administers available city, state and federal funds to strong and effective community-based organizations that are attuned to the needs of the people they serve, and devoted to the highest principles of community service and sound fiscal management. DYCD typically purchases human services, standard services such as transportation, equipment repair and language translation and goods such as IT software and office supplies.

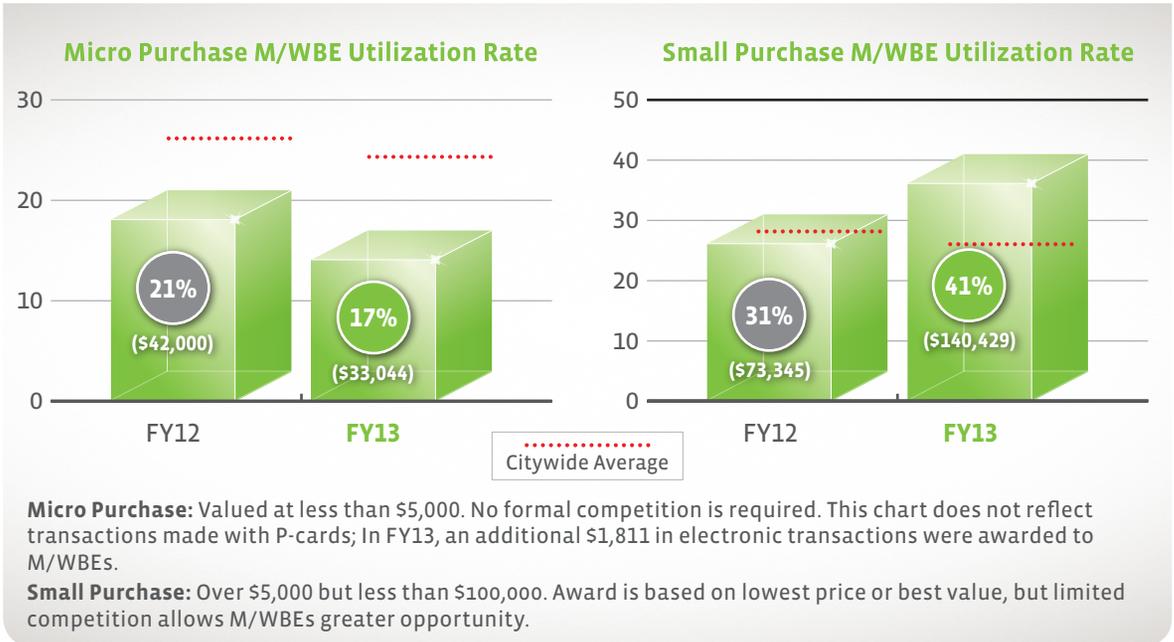
\$828,557

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$802,057:** Value of Prime Contracts Awarded to M/WBES
- \$26,500:** Value of Subcontracts Awarded to M/WBES
- 33:** Prime Contracts Won by M/WBES
- 4:** Subcontracts Won by M/WBES
- 24:** M/WBES Won Prime Contracts
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AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Encouraged firms to apply for M/WBE certification and join bidders list
- Participated in events with targeted outreach to M/WBES, including the Citywide Procurement Fair
- Met with vendors to discuss how they can be utilized by DYCD in the procurement of services and goods areas



LL129 Goal and Performance Breakdown

In FY 2013, DYCD awarded 2,428 contracts totaling \$429.6 million. DYCD procures a broad range of human service contracts, which fall outside the purview of LL129. DYCD awarded \$828,557 to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$660,932. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation DYCD awarded over \$92,534 in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$109,022	\$660,932
Actual Awarded	\$115,346	\$92,534
% Goal Value Achieved	106%	14%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance		
	Standard Services	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance		
	Goods	\$13,102	\$0	0%	\$18,858	\$0	0%	\$12,597	\$17,208	137%	\$45,112	\$42,645	95%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$140,069	\$0	0%	\$77,816	\$0	0%	\$256,794	\$0	0%
	Standard Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		

Micro Purchases:	Goal Value: \$96,583	Actual: \$32,681	% Achieved: 34%
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* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Human Resources Administration

HRA provides temporary assistance to individuals and families with social service and economic needs, helping them attain self-sufficiency. HRA typically purchases human services; standard services such as equipment maintenance, process servers, transportation, janitorial, security, painting, clerical and messenger services; and professional services, such as IT and business consulting and audit services.

\$24.2 MILLION

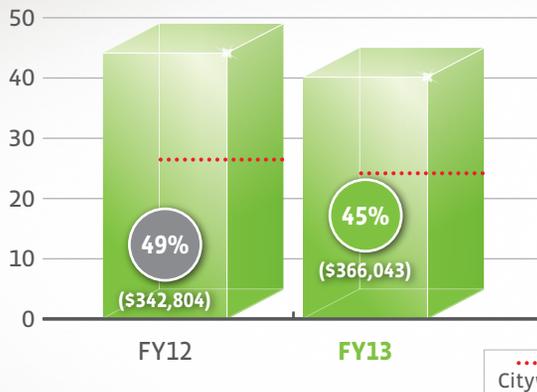
TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$24.2 M:** Value of Prime Contracts Awarded to M/WBES
- \$0:** Value of Subcontracts Awarded to M/WBES
- 300:** Prime Contracts Won by M/WBES
- 0:** Subcontracts Won by M/WBES
- 84:** M/WBES Won Prime Contracts
- 0:** M/WBES Won Subcontracts
(This agency did not award subcontracts in FY13.)

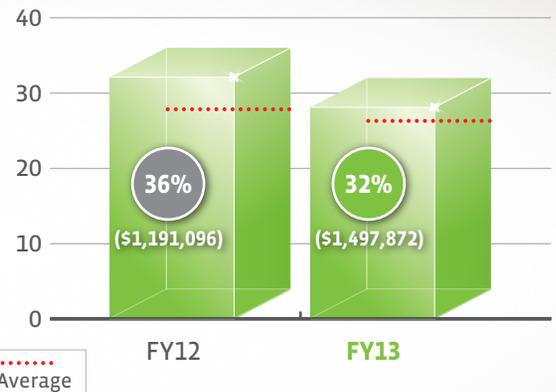
These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.

Micro Purchase M/WBE Utilization Rate



Small Purchase M/WBE Utilization Rate



Micro Purchase: Valued at less than \$5,000. No formal competition is required. This chart does not reflect transactions made with P-cards; In FY13, an additional \$38,832 in electronic transactions were awarded to M/WBES.

Small Purchase: Over \$5,000 but less than \$100,000. Award is based on lowest price or best value, but limited competition allows M/WBES greater opportunity.

Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Advertised all formal solicitations in publications directed at M/WBE businesses
- Encouraged vendors already doing business with the agency to get certified
- Increased solicitation of M/WBES for micro and small purchases
- Participated in events with targeted outreach to M/WBES, including the Annual Citywide Procurement Fair
- Contacted SBS when conducting solicitations to request additional M/WBES for inclusion
- Staff attended Procurement Training Institute sessions sponsored by SBS and MOCS

LL129 Goal and Performance Breakdown

In FY 2013, HRA awarded 795 contracts totaling \$644.4 million. HRA typically awards contracts in sizes greater than \$1 million, or in the human service industry, which fall outside the purview of LL129. HRA awarded \$24.2 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$3.6 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City's ability to meet specific LL129 ethnic and gender goals. Despite this limitation HRA awarded over \$1.8 million in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$1,690,271	\$3,643,453
Actual Awarded	\$1,332,854	\$1,778,001
% Goal Value Achieved	79%	49%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$48,453	\$0	0%	\$26,918	\$43,909	163%	\$88,830	\$20,000	23%
	Standard Services	No citywide goal			\$268,067	\$248,059	93%	\$149,281	\$324,904	218%	\$303,500	\$26,497	9%
	Goods	\$65,142	\$113,395	174%	\$93,759	\$146,122	156%	\$62,632	\$78,715	126%	\$224,294	\$173,353	77%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$408,000	\$0	0%	\$226,667	\$0	0%	\$748,000	\$0	0%
	Standard Services	No citywide goal			\$172,474	\$0	0%	\$96,047	\$0	0%	\$195,271	\$237,005	121%
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			\$16,320	\$0	0%	\$9,067	\$0	0%	\$29,920	\$0	0%

Micro Purchases:	Goal Value: \$410,812	Actual: \$366,043	% Achieved: 89%
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* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Buildings

DOB ensures the safe and lawful use of buildings and properties by enforcing the building code and zoning regulation. DOB typically purchases goods such as IT software and office supplies and standard services such as materials testing, printer maintenance, and license examination services.

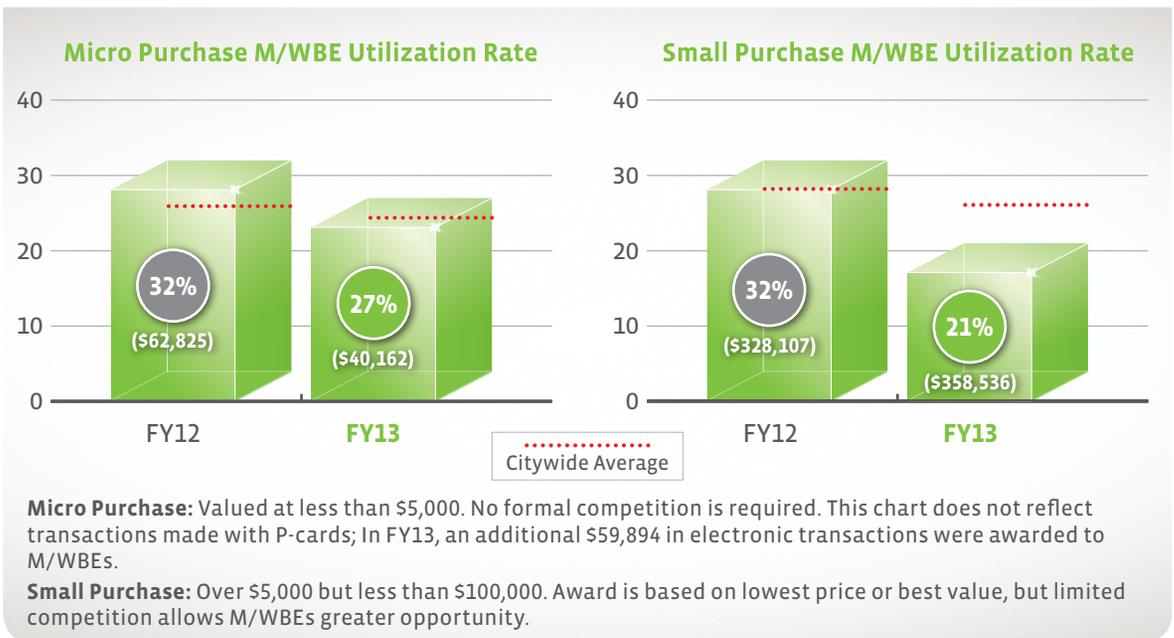
\$679,649

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$679,649:** Value of Prime Contracts Awarded to M/WBES
- \$0:** Value of Subcontracts Awarded to M/WBES
- 67:** Prime Contracts Won by M/WBES
- 0:** Subcontracts Won by M/WBES
- 34:** M/WBES Won Prime Contracts
- 0:** M/WBES Won Subcontracts
(This agency did not award subcontracts in FY13.)

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Encouraged firms already doing business with agency to get certified
- Attended SBS Annual Procurement Fair
- Hosted M/WBE training for agency P-Card Holders
- Solicited quotes from M/WBE vendors listed on NYS OGS and GSA contracts
- Encouraged Procurement staff to increase solicitations of M/WBES for micro and small purchases



LL129 Goal and Performance Breakdown

In FY 2013, DOB awarded 203 contracts totaling \$27.2 million. DOB typically procures contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DOB awarded \$679,649 to City-certified M/WBE firms. This amount is less than the LL129 combined goal value of \$804,431. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation DOB awarded over \$221,658 in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$405,171	\$804,431
Actual Awarded	\$283,095	\$221,658
% Goal Value Achieved	70%	28%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$29,131	\$0	0%	\$16,184	\$49,800	308%	\$53,407	\$20,598	39%
	Standard Services	No citywide goal			\$103,600	\$0	0%	\$57,693	\$0	0%	\$117,294	\$22,100	19%
	Goods	\$12,956	\$18,755	145%	\$18,648	\$0	0%	\$12,457	\$19,434	156%	\$44,611	\$50,809	114%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance		
	Standard Services	No citywide goal			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance		
	Goods	Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			\$79,464	\$0	0%	\$44,147	\$0	0%	\$145,684	\$0	0%

Micro Purchases:	Goal Value: \$69,153	Actual: \$40,162	% Achieved: 58%
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* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Citywide Administrative Services

DCAS provides critical resources to City agencies by helping them manage their personnel, facilities, real estate, procurement and security needs so that the City can effectively function and provide the best possible services to the public. The Division of Municipal Supply Services procures the City's requirements contracts for various goods including office supplies. DCAS typically purchases construction and construction related services; standard services such as security services; and goods such as uniforms, janitorial equipment & supplies, books, motor vehicle parts and IT software and hardware.

\$25.1 MILLION

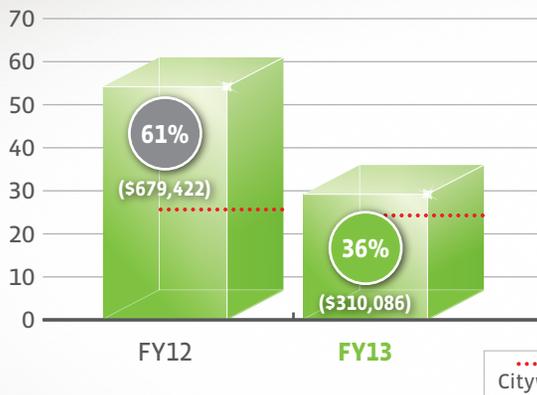
TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$20.9 M:** Value of Prime Contracts Awarded to M/WBES
- \$4.3 M:** Value of Subcontracts Awarded to M/WBES
- 291:** Prime Contracts Won by M/WBES
- 14:** Subcontracts Won by M/WBES
- 97:** M/WBES Won Prime Contracts
- 14:** M/WBES Won Subcontracts

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.

Micro Purchase M/WBE Utilization Rate



Small Purchase M/WBE Utilization Rate



Micro Purchase: Valued at less than \$5,000. No formal competition is required. This chart does not reflect transactions made with P-cards; In FY13, an additional \$263,190 in electronic transactions were awarded to M/WBES.

Small Purchase: Over \$5,000 but less than \$100,000. Award is based on lowest price or best value, but limited competition allows M/WBES greater opportunity.

Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Participated in outreach events for M/WBES including the Citywide Procurement Fair, Annual Queens Small Business & Procurement Fair, Asian Women In Business Fair, and the NYS M/WBE Forum
- Partnered with SBS to offer a Technical Assistance Workshop
- Provided information to vendors on various M/WBE related activities such as OCP bid openings, viewing of bid results, recent awards, current solicitations with subcontracting goals, Plan holders list, and most frequently asked questions
- Held pre-bid/proposal conferences for all contracts with M/WBE subcontractor utilization goals

LL129 Goal and Performance Breakdown

In FY 2013, DCAS awarded 1,295 contracts totaling \$1.9 billion. DCAS typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DCAS awarded \$25.1 million to City-certified M/WBE firms. This amount is less than the LL129 combined goal value of \$25.2 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City's ability to meet specific LL129 ethnic and gender goals. Despite this limitation DCAS awarded over \$2.9 million in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$41,338,011	\$25,209,999
Actual Awarded	\$9,551,912	\$2,867,638
% Goal Value Achieved	23%	11%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			\$340,555	\$310,350	91%	\$244,294	\$207,200	85%	No citywide goal		
	Professional Services	No citywide goal			\$13,924	\$0	0%	\$7,736	\$0	0%	\$25,528	\$0	0%
	Standard Services	No citywide goal			\$248,661	\$124,000	50%	\$138,474	\$5,800	4%	\$281,529	\$120,754	43%
	Goods	\$306,217	\$372,843	122%	\$440,740	\$145,547	33%	\$294,417	\$145,324	49%	\$1,054,355	\$437,604	42%
Contracts Through Other Methods*	Construction	No citywide goal			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance		
	Standard Services	No citywide goal			\$233,424	\$0	0%	\$129,989	\$0	0%	\$264,278	\$0	0%
	Goods	\$2,382,725	\$0	0%	\$3,429,471	\$128,940	4%	\$2,290,905	\$186,900	8%	\$8,204,102	\$372,290	5%
Subcontracts**	Construction	\$1,052,596	\$0	0%	\$1,403,832	\$0	0%	\$1,007,025	\$0	0%	No citywide goal		
	Professional Services	No citywide goal			\$291,213	\$0	0%	\$161,785	\$0	0%	\$533,891	\$0	0%

Micro Purchases:	Goal Value: \$428,332	Actual: \$310,087	% Achieved: 72%
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* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Design and Construction

DDC manages the City's major capital construction projects. The Department uses in-house resources, private consultants and contractors to perform design and construction services. DDC typically purchases professional services such as engineering, design and construction management services; goods, such as furniture; and construction services such as HVAC, plumbing and electrical services. Subcontracting opportunities include painting, asbestos abatement, carpentry, masonry, demolition and excavation.

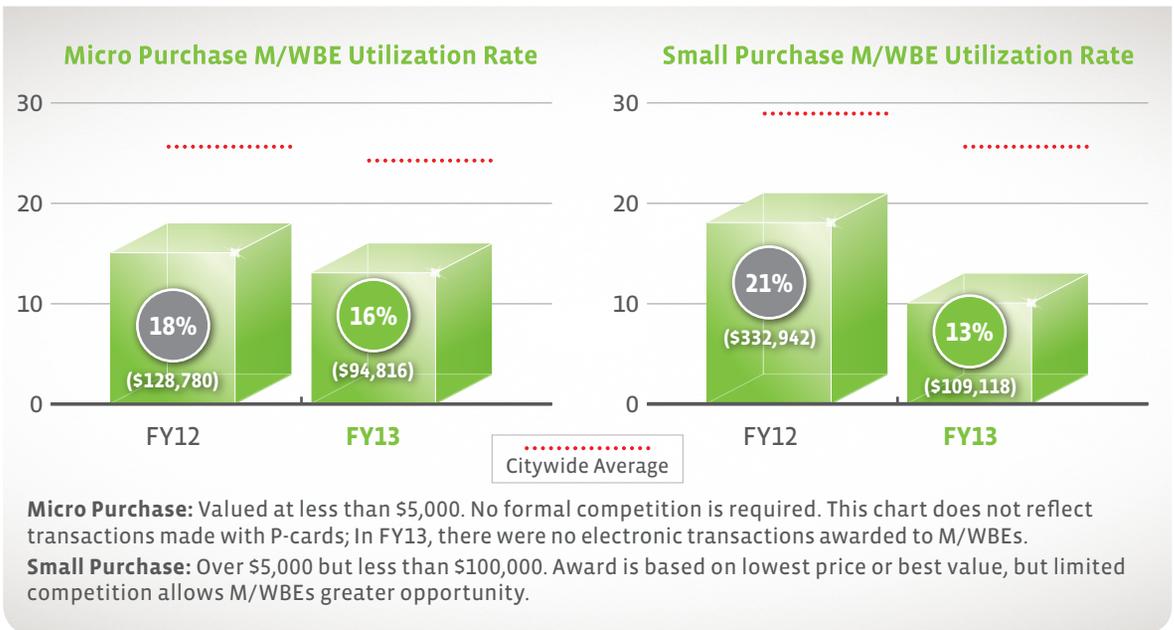
\$164.8 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$129 M:** Value of Prime Contracts Awarded to M/WBES
- \$35.8 M:** Value of Subcontracts Awarded to M/WBES
- 69:** Prime Contracts Won by M/WBES
- 138:** Subcontracts Won by M/WBES
- 47:** M/WBES Won Prime Contracts
- 87:** M/WBES Won Subcontracts

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Reached out to M/WBE firms for potential prime opportunities on upcoming contracts under \$1 million dollars
- Continued to reach out to state-certified firms and encourage dual certification by the City in an effort to increase the number of M/WBE firms
- Provided feedback on proposals submitted by M/WBES and responded to questions about DDC's policies and procedures
- Continued to meet face-to-face with new MWBE firms about how to do business with DDC
- Highlighted M/WBE Participation Goals in solicitations and Schedule B forms in order for MWBE firms to know if their certification applies to that contract and also provided contact information for the plan holders

LL129 Goal and Performance Breakdown

In FY 2013, DDC awarded 530 contracts totaling \$1.4 billion. DDC typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DDC awarded \$164.8 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$36.4 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City's ability to meet specific LL129 ethnic and gender goals. Despite this limitation DDC awarded over \$21.3 million in goal areas.

The large contract sizes, specialized work, and state and federal funding sources preclude many of DDC's construction and professional service procurements from LL129 goals. However, city-certified firms who qualify under state and federal M/WBE or DBE programs participate in DDC's subcontracting opportunities.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$23,059,491	\$36,366,915
Actual Awarded	\$16,928,427	\$21,336,335
% Goal Value Achieved	73%	59%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Standard Services	No citywide goal			\$16,329	\$0	0%	\$21,772	\$7,920	36%	\$5,443	\$11,468	211%
	Goods	\$30,695	\$20,746	68%	\$47,638	\$0	0%	\$15,347	\$25,089	163%	\$46,042	\$13,626	30%
Contracts Through Other Methods*	Construction	No citywide goal			\$186,401	\$0	0%	\$51,005	\$0	0%	No citywide goal		
	Professional Services	No citywide goal			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance		
	Standard Services	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance		
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	\$8,317,738	\$7,903,745	95%	\$11,093,246	\$8,899,688	80%	\$7,957,625	\$4,359,238	55%	No citywide goal		
	Professional Services	No citywide goal			\$2,496,961	\$0	0%	\$1,387,201	\$0	0%	\$4,577,762	\$0	0%

Micro Purchases:	Goal Value: \$115,709	Actual: \$94,816	% Achieved: 82%
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* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Environmental Protection

DEP manages the City's water supply, protecting the environmental health, welfare and natural resources of the City and its residents. DEP typically purchases professional services, including design consultant and engineering services; construction services, including heavy construction; goods such as industrial supplies and safety equipment; and standard services such as maintenance and repair services. Subcontracting opportunities available through DEP include IT consulting and construction trades.

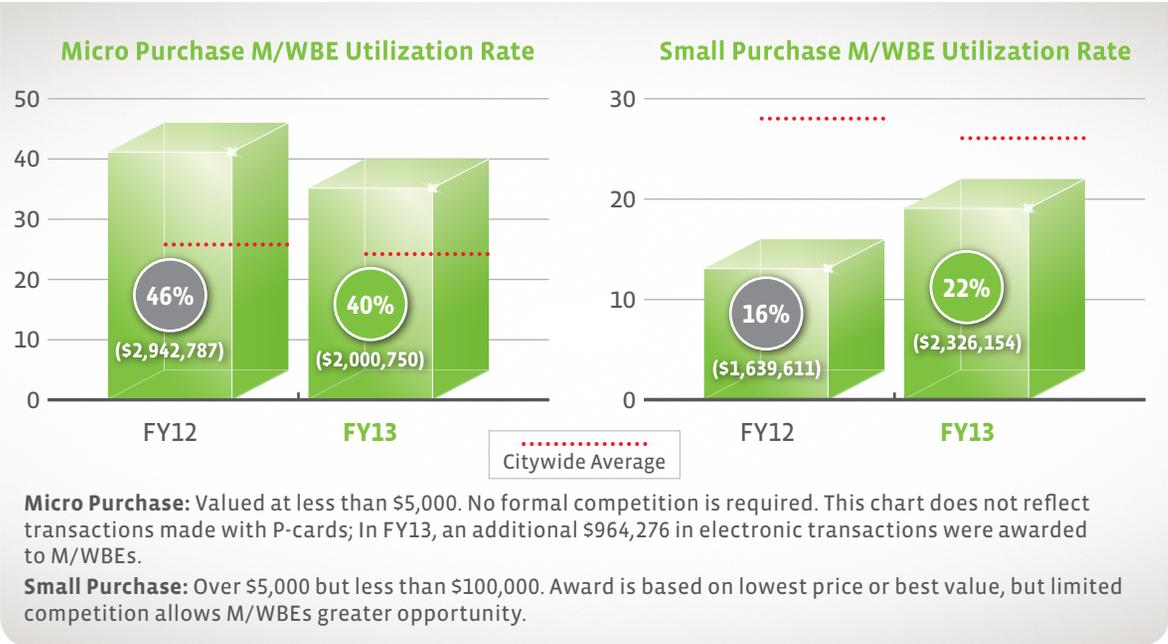
\$70.4 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$25.8 M:** Value of Prime Contracts Awarded to M/WBES
- \$44.6 M:** Value of Subcontracts Awarded to M/WBES
- 650:** Prime Contracts Won by M/WBES
- 167:** Subcontracts Won by M/WBES
- 134:** M/WBES Won Prime Contracts
- 102:** M/WBES Won Subcontracts

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Increased solicitation of micro and small purchases
- Encouraged prime and sub-contractors/vendors already doing business with the agency to get certified
- Conducted meeting sessions with Resident Engineers to discuss M/WBE program requirements
- Assisted with the development of new initiatives with an M/WBE advisory committee
- Conducted a workshop at the annual Procurement Fair to encourage M/WBE participation on specific construction contracts

LL129 Goal and Performance Breakdown

In FY 2013, DEP awarded 2,141 contracts totaling \$1.1 billion. DEP typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DEP awarded \$70.4 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$26.8 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City's ability to meet specific LL129 ethnic and gender goals. Despite this limitation DEP awarded over \$5.5 million in goal areas.

The large contract sizes, specialized work, and state and federal funding sources preclude many of DEP's construction and professional service procurements from LL129 goals. However, city-certified firms who qualify under state and federal M/WBE or DBE programs participate in DEP's subcontracting opportunities and continue to win contracts with DEP.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$15,015,210	\$26,841,622
Actual Awarded	\$5,593,214	\$5,523,353
% Goal Value Achieved	37%	21%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance			No citywide goal		
	Professional Services	No citywide goal			\$21,296	\$0	0%	\$11,831	\$0	0%	\$39,042	\$0	0%
	Standard Services	No citywide goal			\$426,064	\$0	0%	\$237,267	\$163,897	69%	\$482,380	\$219,792	46%
	Goods	\$302,778	\$55,492	18%	\$435,790	\$6,000	1%	\$291,110	\$95,749	33%	\$1,042,513	\$1,725,225	165%
Contracts Through Other Methods*	Construction	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance		
	Standard Services	No citywide goal			\$983,964	\$0	0%	\$547,950	\$0	0%	\$1,114,023	\$0	0%
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	\$1,409,350	\$481,012	34%	\$1,879,630	\$108,580	6%	\$1,348,333	\$87,075	6%	No citywide goal		
	Professional Services	No citywide goal			\$4,053,488	\$0	0%	\$2,251,938	\$0	0%	\$7,431,395	\$579,782	8%

Micro Purchases:	Goal Value: \$2,531,478	Actual: \$2,000,750	% Achieved: 79%
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* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Information Technology and Telecommunications

DoITT oversees the City’s use of existing and emerging technologies in government operations, and its delivery of services to the public. DoITT typically purchases goods such as audio visual equipment and IT software and hardware; standard services such as cleaning services and HVAC; and professional services such as IT consulting services.

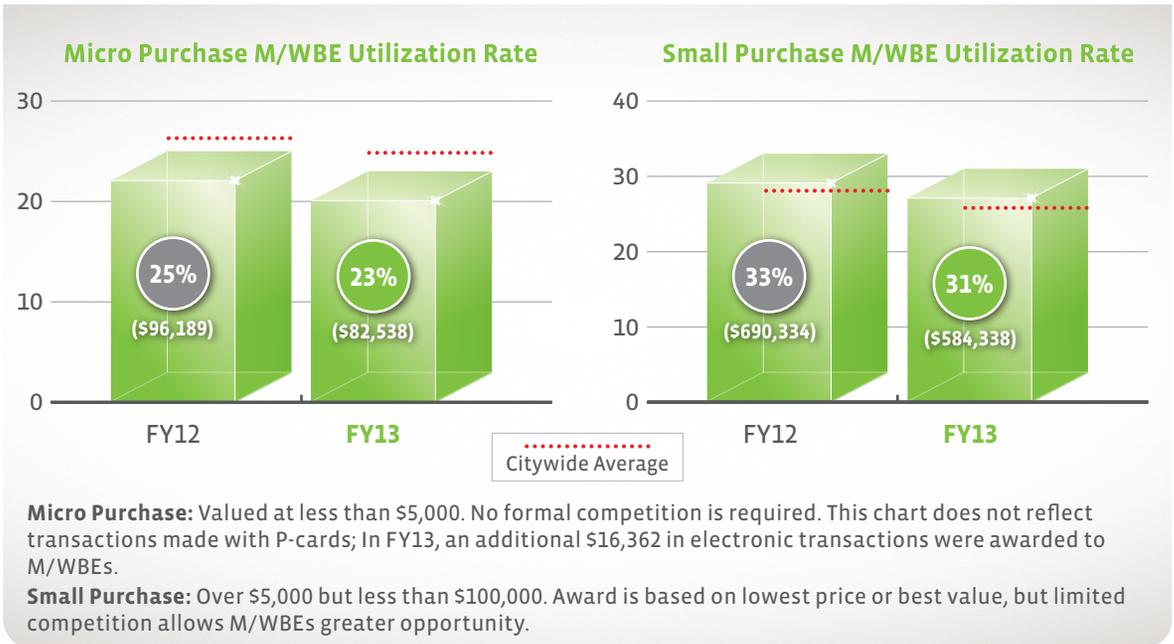
\$1.4 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$992,159:** Value of Prime Contracts Awarded to M/WBES
- \$390,000:** Value of Subcontracts Awarded to M/WBES
- 74:** Prime Contracts Won by M/WBES
- 3:** Subcontracts Won by M/WBES
- 31:** M/WBES Won Prime Contracts
- 3:** M/WBES Won Subcontracts

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Encouraged firms already doing business with the agency to get certified
- Participated the Citywide Procurement Fair
- Staff attended Procurement Training Institute sessions with SBS and MOCS
- Conducted M/WBE presentations at meetings for executive staff
- Increased solicitation of M/WBES for micro and small purchases
- Included links on agency website to SBS website and utilization information
- Utilized the Online Directory to create mailing list for outreach to M/WBE vendors

LL129 Goal and Performance Breakdown

In FY 2013, DoITT awarded 329 contracts totaling \$118.4 million. DoITT typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DoITT awarded \$1.4 million to City-certified M/WBE firms. This amount is less than the LL129 combined goal value of \$1.5 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation DoITT awarded over \$542,266 in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$837,543	\$1,523,004
Actual Awarded	\$770,223	\$542,266
% Goal Value Achieved	92%	36%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance		
	Standard Services	No citywide goal			\$87,273	\$20,400	23%	\$48,600	\$35,732	74%	\$98,808	\$0	0%
	Goods	\$39,212	\$248,571	634%	\$56,438	\$17,532	31%	\$37,701	\$96,541	256%	\$135,013	\$40,953	30%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance		
	Standard Services	No citywide goal			\$300,200	\$0	0%	\$167,175	\$0	0%	\$339,879	\$0	0%
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			\$11,250	\$0	0%	\$6,250	\$0	0%	\$20,625	\$0	0%
Micro Purchases:		Goal Value: \$174,581			Actual: \$82,538			% Achieved: 47%					

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Parks & Recreation

DPR is responsible for the maintenance and operation of the city’s municipal park system, which encompasses more than 28,000 acres of parks, playgrounds, athletic fields, tennis courts, swimming pools, recreational centers, beaches, golf courses, ice rinks, marinas and zoos. DPR typically purchases construction services such as building, facility, and playground construction; standard services such as landscaping, tree planting and pruning, auto maintenance, and bus transportation; and goods such as IT equipment and uniforms.

\$43.3 MILLION

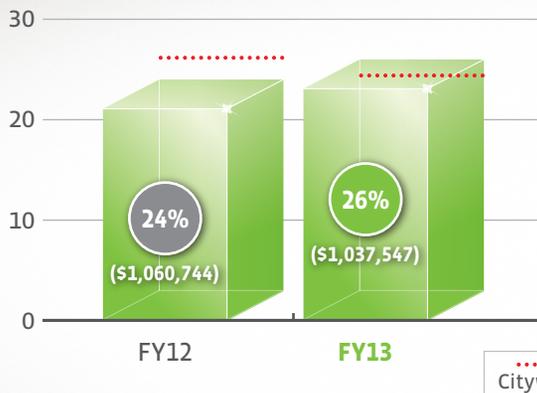
TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$30 M:** Value of Prime Contracts Awarded to M/WBES
- \$13.3 M:** Value of Subcontracts Awarded to M/WBES
- 566:** Prime Contracts Won by M/WBES
- 194:** Subcontracts Won by M/WBES
- 145:** M/WBES Won Prime Contracts
- 62:** M/WBES Won Subcontracts

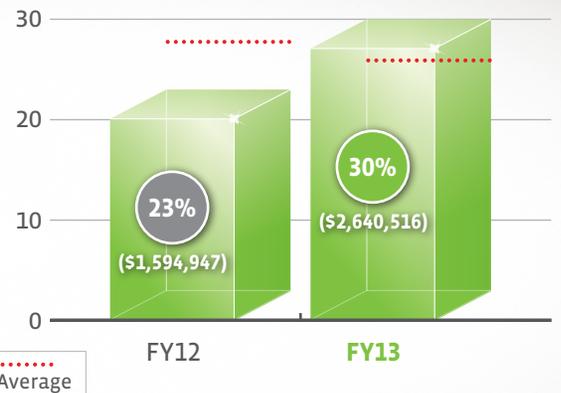
These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.

Micro Purchase M/WBE Utilization Rate



Small Purchase M/WBE Utilization Rate



Micro Purchase: Valued at less than \$5,000. No formal competition is required. This chart does not reflect transactions made with P-cards; In FY13, an additional \$155,409 in electronic transactions were awarded to M/WBES.

Small Purchase: Over \$5,000 but less than \$100,000. Award is based on lowest price or best value, but limited competition allows M/WBES greater opportunity.

Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Provided M/WBES with notices of procurement opportunities in Parks and other organizations
- Participated in the Citywide Procurement Fair
- Assisted General Contractors with solicitations to M/WBE firms regarding subcontracting opportunities
- Worked with General Contractors and MBEs to resolve contract issues
- Met with M/WBES regarding performance issues on jobs

LL129 Goal and Performance Breakdown

In FY 2013, DPR awarded 2,345 contracts totaling \$253.5 million. DPR typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DPR awarded \$43.3 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$15.2 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City's ability to meet specific LL129 ethnic and gender goals. Despite this limitation DPR awarded over \$9.0 million in goal areas.

The large contract sizes, specialized work, and state and federal funding sources preclude many of DPR's construction and professional service procurements from LL129 goals. However, city-certified firms who qualify under state and federal M/WBE or DBE programs participate in these subcontracting opportunities and continue to win contract with DPR.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$19,868,565	\$15,232,454
Actual Awarded	\$7,811,955	\$8,956,144
% Goal Value Achieved	39%	59%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			\$62,336	\$0	0%	\$44,716	\$0	0%	No citywide goal		
	Professional Services	No citywide goal			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance		
	Standard Services	No citywide goal			\$245,845	\$16,580	7%	\$136,906	\$11,105	8%	\$278,340	\$309,077	111%
	Goods	\$285,301	\$314,238	110%	\$410,635	\$196,441	48%	\$274,307	\$359,356	131%	\$982,336	\$1,188,151	121%
Contracts Through Other Methods*	Construction	No citywide goal			\$2,499,735	\$0	0%	\$1,793,159	\$1,285,521	72%	No citywide goal		
	Professional Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Standard Services	No citywide goal			\$560,033	\$0	0%	\$311,871	\$0	0%	\$634,057	\$263,950	42%
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	\$1,218,268	\$828,255	68%	\$1,624,786	\$1,965,055	121%	\$1,165,524	\$1,180,868	101%	No citywide goal		
	Professional Services	No citywide goal			\$216,000	\$0	0%	\$120,000	\$0	0%	\$396,000	\$0	0%

Micro Purchases:	Goal Value: \$1,972,299	Actual: \$1,037,547	% Achieved: 53%
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* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Sanitation

DSNY provides waste collection and disposal services to the City of New York. DSNY typically purchases standard services such as cleaning and janitorial services, waste hauling, and export services; professional services such as medical testing and construction management; construction services such as electrical, plumbing, HVAC, and general construction; and goods such as building and automotive supplies, office supplies, tools, and lumber.

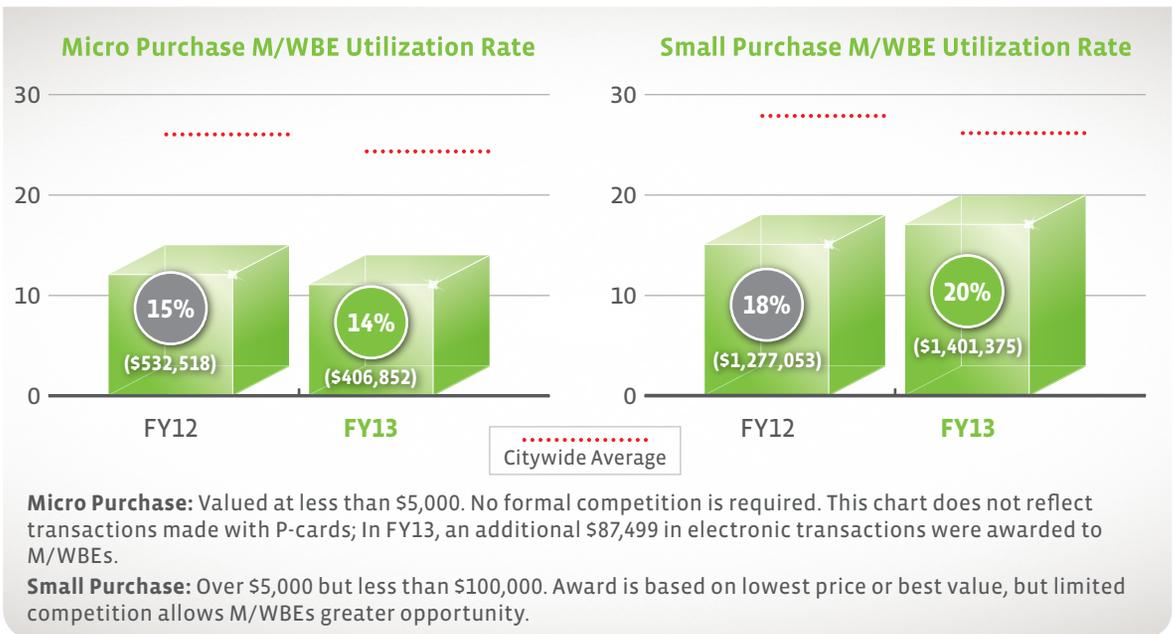
\$4.6 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$2.2 M:** Value of Prime Contracts Awarded to M/WBES
- \$2.5 M:** Value of Subcontracts Awarded to M/WBES
- 213:** Prime Contracts Won by M/WBES
- 18:** Subcontracts Won by M/WBES
- 81:** M/WBES Won Prime Contracts
- 17:** M/WBES Won Subcontracts

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Participated in networking events sponsored by various M/WBE stakeholders
- Contacted M/WBES from various events to schedule one-on-one meetings
- Conducted networking events for M/WBES
- Encouraged M/WBE's to attend bid openings to ascertain the name of the apparent low bidders for potential subcontracting opportunities
- Encouraged vendors to certify with SBS

LL129 Goal and Performance Breakdown

In FY 2013, DSNY awarded 1,538 contracts totaling \$1.17 billion. DSNY typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DSNY awarded \$4.6 million to City-certified M/WBE firms. This amount is less than the LL129 combined goal value of \$6.0 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation DSNY awarded over \$1.8 million in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$5,539,631	\$6,017,735
Actual Awarded	\$2,179,592	\$1,802,917
% Goal Value Achieved	39%	30%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$37,890	\$0	0%	\$21,050	\$98,000	466%	\$69,465	\$25,000	36%
	Standard Services	No citywide goal			\$234,609	\$350,934	150%	\$130,649	\$0	0%	\$265,619	\$100,000	38%
	Goods	\$210,223	\$361,726	172%	\$302,575	\$8,448	3%	\$202,122	\$73,730	36%	\$723,832	\$378,228	52%
Contracts Through Other Methods*	Construction	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			No citywide goal		
	Professional Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Standard Services	No citywide goal			\$864,417	\$0	0%	\$481,377	\$0	0%	\$978,674	\$0	0%
	Goods	Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance		
Subcontracts**	Construction	\$9,753	\$0	0%	\$13,008	\$0	0%	\$9,331	\$0	0%	No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		
Micro Purchases:					Goal Value: \$1,463,142			Actual: \$406,852			% Achieved: 28%		

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Transportation

DOT manages much of the City's transportation infrastructure, including city streets, highways, sidewalks, and bridges. DOT typically purchases construction services including heavy construction, standard services, such as municipal garage management and vehicle maintenance; and goods such as electrical supplies, time clocks, tires & tools for auto body repair, and office supplies.

\$4.4 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$4.1 M:** Value of Prime Contracts Awarded to M/WBES
- \$304,121:** Value of Subcontracts Awarded to M/WBES
- 138:** Prime Contracts Won by M/WBES
- 5:** Subcontracts Won by M/WBES
- 67:** M/WBES Won Prime Contracts
- 5:** M/WBES Won Subcontracts

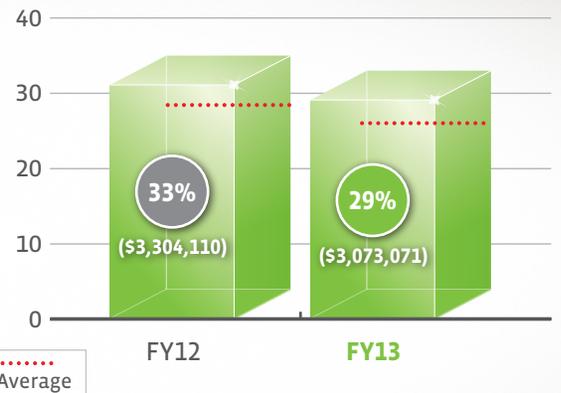
These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.

Micro Purchase M/WBE Utilization Rate



Small Purchase M/WBE Utilization Rate



Micro Purchase: Valued at less than \$5,000. No formal competition is required. This chart does not reflect transactions made with P-cards; In FY13, an additional \$832,203 in electronic transactions were awarded to M/WBES.

Small Purchase: Over \$5,000 but less than \$100,000. Award is based on lowest price or best value, but limited competition allows M/WBES greater opportunity.

Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Participated in events with targeted outreach to M/WBES, including the Citywide Procurement Fair
- Encouraged agency buyers to reach out to SBS to identify M/WBE vendors
- Encouraged firms already doing business with the agency to certify as a M/WBE vendor
- Increased solicitation of M/WBES for micro and small purchases
- Advertised solicitations in minority publications
- Distributed the Vendor's Guide to doing business with the agency at events and agency locations
- Staff attended Procurement Training Institute sessions sponsored by SBS and MOCS



LL129 Goal and Performance Breakdown

In FY 2013, DOT awarded 716 contracts totaling \$582.2 million. DOT typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DOT awarded \$4.4 million to City-certified M/WBE firms. This amount is less than the LL129 combined goal value of \$6.1 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation DOT awarded over \$2.7 million in goal areas.

The large contract sizes, specialized work, and state and federal funding sources preclude many of DOT’s construction and professional service procurements from LL129 goals. However, city certified firms who qualify under state and federal M/WBE or DBE programs participate in these subcontracting opportunities and continue to win contracts with DOT.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$4,022,744	\$6,063,197
Actual Awarded	\$3,943,195	\$2,724,359
% Goal Value Achieved	98%	45%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$34,070	\$0	0%	\$18,928	\$81,361	430%	\$62,462	\$0	0%
	Standard Services	No citywide goal			\$322,681	\$125,000	39%	\$179,695	\$20,000	11%	\$365,332	\$72,500	20%
	Goods	\$342,312	\$200,971	59%	\$492,692	\$458,198	93%	\$329,121	\$177,240	54%	\$1,178,637	\$1,400,673	119%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance		
	Standard Services	No citywide goal			\$470,498	\$0	0%	\$262,011	\$0	0%	\$532,687	\$0	0%
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	\$130,757	\$0	0%	\$174,389	\$0	0%	\$125,096	\$0	0%	No citywide goal		
	Professional Services	No citywide goal			\$172,162	\$0	0%	\$95,646	\$0	0%	\$315,631	\$0	0%

Micro Purchases:	Goal Value: \$458,390	Actual: \$188,416	% Achieved: 41%
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* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Housing Preservation and Development

HPD protects the existing housing stock and expands housing options for New Yorkers as it strives to improve the availability, affordability, and quality of housing in New York City. HPD typically purchases construction services including construction trades; standard services such as exterminating and cleaning services; and goods, including computer equipment. Many of HPD's purchases in contract sizes less than \$100,000 are procured from a pre-qualified list of vendors.

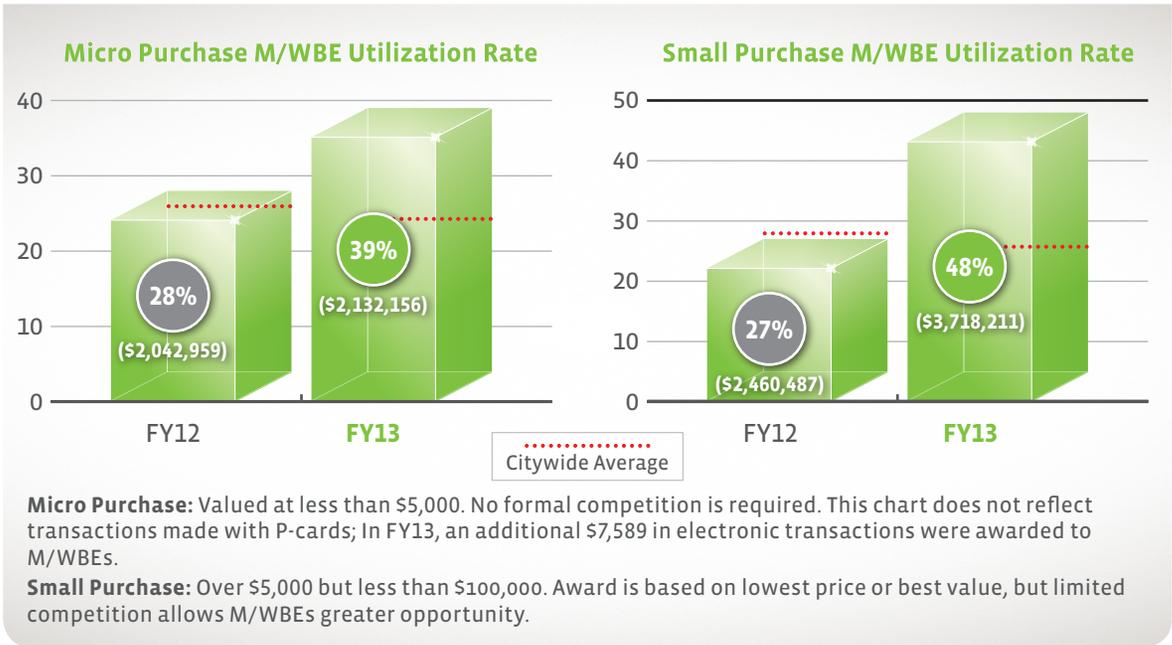
\$11.8 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$8.9 M:** Value of Prime Contracts Awarded to M/WBES
- \$2.9 M:** Value of Subcontracts Awarded to M/WBES
- 3,433:** Prime Contracts Won by M/WBES
- 46:** Subcontracts Won by M/WBES
- 123:** M/WBES Won Prime Contracts
- 13:** M/WBES Won Subcontracts

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Encouraged firms already doing business with the agency to certify
- Contacted M/WBE certified firms to inform them about opportunities via phone and email
- Utilized a list of all City-certified M/WBES that are on the OGS IT Services “backdrop” contract for use in the IT division when soliciting for services
- Increased solicitation of M/WBES for micro and small purchases
- Participated in workshops to inform M/WBES about City procurement policies

LL129 Goal and Performance Breakdown

In FY 2013, HPD awarded 9,577 contracts totaling \$53.7 million. HPD awarded \$11.8 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$6.2 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City's ability to meet specific LL129 ethnic and gender goals. Despite this limitation HPD awarded over \$6.1 million in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$6,503,820	\$6,199,931
Actual Awarded	\$3,088,903	\$6,050,836
% Goal Value Achieved	47%	98%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			\$578,793	\$327,508	57%	\$415,191	\$16,604	4%	No citywide goal		
	Professional Services	No citywide goal			\$37,010	\$64,200	173%	\$20,561	\$0	0%	\$67,852	\$0	0%
	Standard Services	No citywide goal			\$138,884	\$97,100	70%	\$77,342	\$84,776	110%	\$157,241	\$47,813	30%
	Goods	\$63,818	\$108,866	171%	\$91,853	\$258,366	281%	\$61,358	\$337,924	551%	\$219,734	\$268,342	122%
Contracts Through Other Methods*	Construction	No citywide goal			\$346,322	\$0	0%	\$248,430	\$0	0%	No citywide goal		
	Professional Services	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance		
	Standard Services	No citywide goal			\$166,390	\$451,397	271%	\$92,659	\$0	0%	\$188,384	\$497,983	264%
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	\$143,288	\$0	0%	\$191,101	\$34,500	18%	\$137,084	\$1,323,300	965%	No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		
Micro Purchases:					Goal Value: \$2,756,633			Actual: \$2,132,156			% Achieved: 77%		

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Correction

DOC maintains and operates 14 correctional facilities as well as court detention facilities and hospital prison wards. DOC also provides transportation for city inmates and burial services for the indigent in the city cemetery. DOC typically purchases construction services; standard services, including sewer cleaning; and goods such as building construction materials, telephones and security items.

\$6.2 MILLION

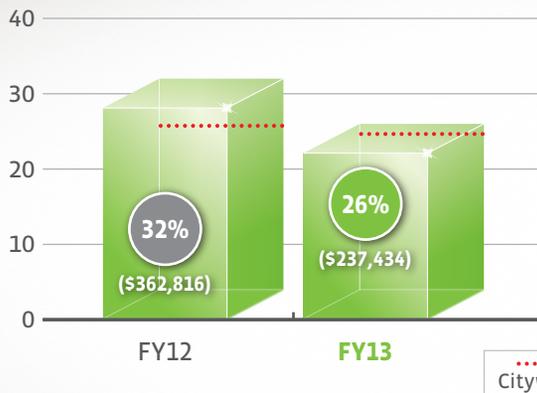
TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$3.8 M:** Value of Prime Contracts Awarded to M/WBES
- \$2.3 M:** Value of Subcontracts Awarded to M/WBES
- 162:** Prime Contracts Won by M/WBES
- 10:** Subcontracts Won by M/WBES
- 70:** M/WBES Won Prime Contracts
- 8:** M/WBES Won Subcontracts

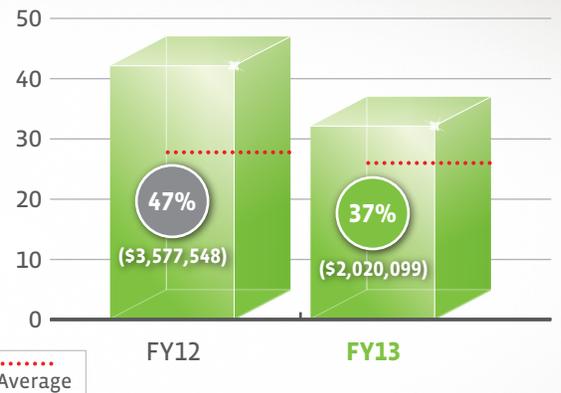
These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.

Micro Purchase M/WBE Utilization Rate



Small Purchase M/WBE Utilization Rate



Micro Purchase: Valued at less than \$5,000. No formal competition is required. This chart does not reflect transactions made with P-cards; In FY13, an additional \$110,346 in electronic transactions were awarded to M/WBES.

Small Purchase: Over \$5,000 but less than \$100,000. Award is based on lowest price or best value, but limited competition allows M/WBES greater opportunity.

Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Increased solicitation of M/WBES for small and micro purchases and reached out to non-responsive M/WBES
- Referred prime contractors to SBS for assistance in locating M/WBE subcontractors
- Solicited quotes from M/WBE vendors listed on NYS OGS and GSA contracts
- Participated in events with targeted outreach to M/WBES, including the Citywide Procurement Fair, Queens Business & Procurement Expo
- Staff attended Procurement Training Institute sessions sponsored by SBS and MOCS
- Increased solicitation of M/WBES for small purchases
- Conducted staff training and one-on-one meetings on M/WBE initiatives



LL129 Goal and Performance Breakdown

In FY 2013, DOC awarded 603 contracts totaling \$47.3 million. DOC typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DOC awarded \$6.2 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$4.4 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation DOC awarded over \$2.2 million in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$5,496,398	\$4,387,759
Actual Awarded	\$3,921,502	\$2,218,333
% Goal Value Achieved	71%	51%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$16,250	\$0	0%	\$9,028	\$0	0%	\$29,792	\$0	0%
	Standard Services	No citywide goal			\$174,437	\$100,000	57%	\$97,140	\$125,000	129%	\$197,493	\$79,546	40%
	Goods	\$173,462	\$442,359	255%	\$313,836	\$130,483	42%	\$245,320	\$294,001	120%	\$1,114,637	\$751,510	67%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Standard Services	No citywide goal			\$105,835	\$0	0%	\$58,937	\$0	0%	\$119,824	\$0	0%
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	\$390,945	\$58,000	15%	\$521,397	\$0	0%	\$374,019	\$0	0%	No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		
Micro Purchases:		Goal Value: \$445,407			Actual: \$237,434			% Achieved: 53%					

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Probation

DOP protects the NYC community by intervening in the lives of offenders, holding them accountable and serving as a catalyst for positive change. The agency provides information and services to the courts, gives victims a voice in the justice system and helps strengthen families. DOP typically purchases goods such as office supplies and standard services such as equipment maintenance and IT services.

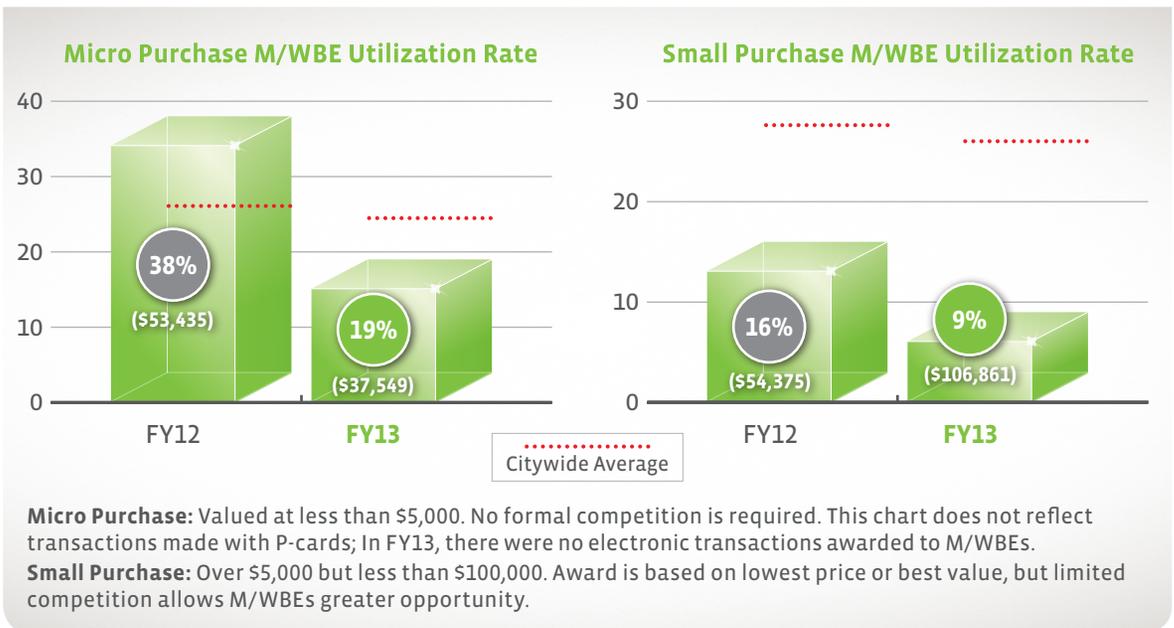
\$208,882

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$208,882:** Value of Prime Contracts Awarded to M/WBES
- \$0:** Value of Subcontracts Awarded to M/WBES
- 53:** Prime Contracts Won by M/WBES
- 0:** Subcontracts Won by M/WBES
- 23:** M/WBES Won Prime Contracts
- 0:** M/WBES Won Subcontracts
(This agency did not award subcontracts in FY13.)

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Sent Key program staff to PTI Training sessions conducted by SBS
- Participated in networking events, meetings, and workshops for certified M/WBE firms
- Posted M/WBE program information on agency’s website
- Required buyers and P-card users to reach out to SBS’s Account Management team for assistance identifying M/WBE firms for upcoming solicitations and other procurement opportunities



LL129 Goal and Performance Breakdown

In FY 2013, DOP awarded 254 contracts totaling \$12.7 million. DOP typically procures contracts in the human service industry, which fall outside the purview of LL129. DOP awarded \$208,882 to City-certified M/WBE firms. This amount is less than the LL129 combined goal value of \$428,772. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation DOP awarded over \$144,410 in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$88,049	\$428,772
Actual Awarded	\$107,810	\$144,410
% Goal Value Achieved	122%	34%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$61,479	\$0	0%	\$34,155	\$0	0%	\$112,712	\$0	0%
	Standard Services	No citywide goal			\$34,154	\$0	0%	\$19,020	\$54,692	288%	\$38,669	\$0	0%
	Goods	\$5,084	\$6,337	125%	\$7,318	\$15,542	212%	\$4,888	\$23,755	486%	\$17,506	\$6,536	37%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Standard Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		
Micro Purchases:		Goal Value: \$93,787			Actual: \$37,549			% Achieved: 40%					

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Law Department

LAW is responsible for all the legal affairs of the City. It represents the City, the Mayor, other elected officials, and the City's many agencies; drafts and reviews local and State legislation, real estate leases, procurement contracts and financial instruments; and provides legal counsel to City officials. LAW typically purchases professional services such as conflict counsel and the services of expert witnesses; standard services such as transportation, court reporting, and translation; and goods such as office supplies.

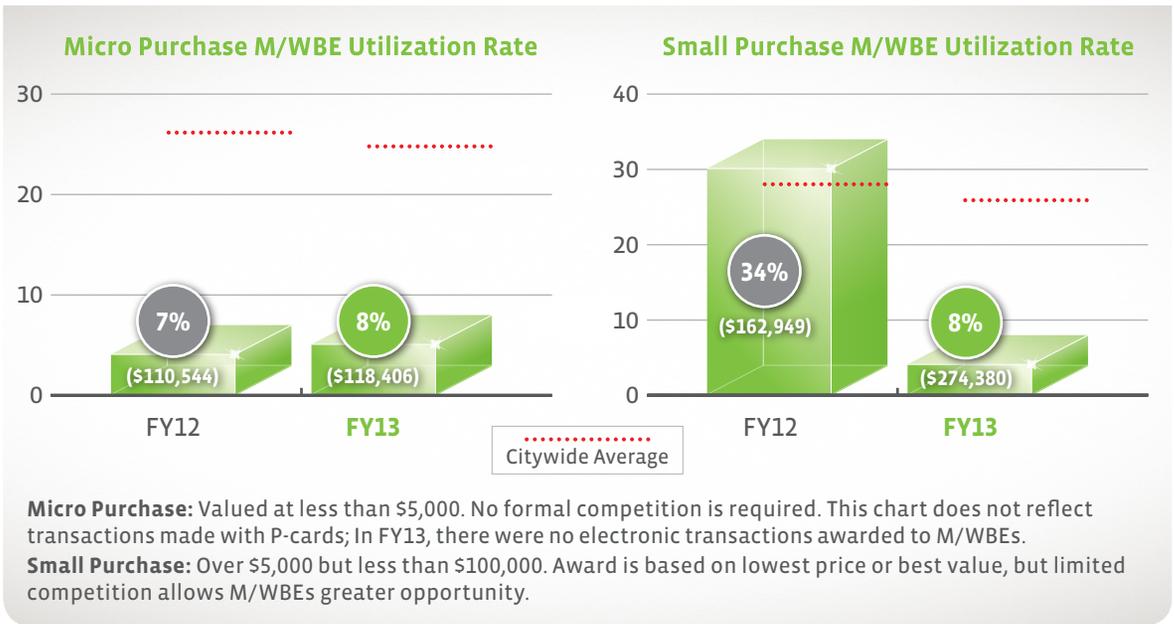
\$2 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$2 M:** Value of Prime Contracts Awarded to M/WBES
- \$0:** Value of Subcontracts Awarded to M/WBES
- 63:** Prime Contracts Won by M/WBES
- 0:** Subcontracts Won by M/WBES
- 27:** M/WBES Won Prime Contracts
- 0:** M/WBES Won Subcontracts
(This agency did not award subcontracts in FY13.)

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Posted M/WBE Program information on the agency's website
- M/WBE officer referred M/WBES to additional City agencies
- Staff attended 7th Annual Citywide Procurement Fair
- Provided information about certified firms on the agency's intranet
- Initiated regular meetings with senior staff to discuss plans for M/WBE program

LL129 Goal and Performance Breakdown

In FY 2013, LAW awarded 945 contracts totaling \$16.8 million. LAW awarded \$2.0 million to City-certified M/WBE firms. This amount is less than the LL129 combined goal value of \$3.6 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation LAW awarded over \$1.8 million in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$2,514,487	\$3,609,014
Actual Awarded	\$273,493	\$1,806,785
% Goal Value Achieved	11%	50%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$254,903	\$7,500	3%	\$141,613	\$0	0%	\$467,322	\$51,250	11%
	Standard Services	No citywide goal			\$37,904	\$83,208	220%	\$15,450	\$0	0%	\$31,412	\$0	0%
	Goods	\$12,142	\$126,442	1041%	\$17,476	\$0	0%	\$11,674	\$0	0%	\$41,807	\$5,980	14%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$551,916	\$0	0%	\$306,620	\$0	0%	\$1,011,846	\$1,414,000	140%
	Standard Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals		
Micro Purchases:		Goal Value: \$706,930			Actual: \$118,406			% Achieved: 17%					

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Fire Department

FDNY protects the lives and property of New York City residents and visitors as first responders to fires, public safety and medical emergencies, disasters and terrorist acts. FDNY typically purchases standard services, such as chimney cleaning, janitorial services, vehicle maintenance and repair; goods, such as paint, building and automotive supplies; and construction services, including building construction and the construction trades.

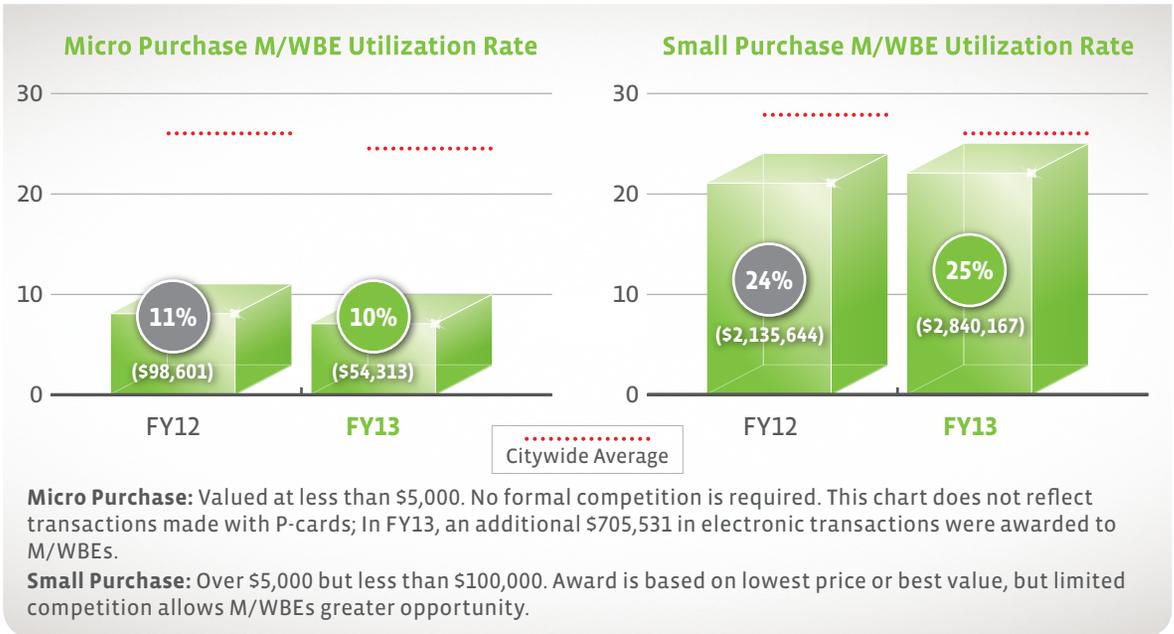
\$38.8 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$36.9 M:** Value of Prime Contracts Awarded to M/WBES
- \$1.9 M:** Value of Subcontracts Awarded to M/WBES
- 129:** Prime Contracts Won by M/WBES
- 9:** Subcontracts Won by M/WBES
- 62:** M/WBES Won Prime Contracts
- 9:** M/WBES Won Subcontracts

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Encouraged eligible vendors to apply for certification, and join pre-qualified bidders list
- Provided opportunities to vendors through the FDNY website, solicitations, and participation at various networking events
- Conducted quarterly meetings with procurement staff to monitor M/WBE utilization
- Designated staff to focus on the M/WBE program, including an M/WBE liaison that reports directly to the agency head
- Participated in events with targeted outreach to M/WBES
- Trained agency staff on M/WBE initiatives
- Required buyers and P-card users to reach out to SBS for assistance identifying M/WBE firms for upcoming solicitations and other procurement opportunities
- Encouraged prime contractors to enter into joint ventures with certified M/WBES
- Sponsored networking events between prime contractors and certified M/WBE subcontractor firms
- Advertised solicitations in minority publications

LL129 Goal and Performance Breakdown

In FY 2013, FDNY awarded 724 contracts totaling \$98.3 million. FDNY typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. FDNY awarded \$38.8 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$4.6 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation FDNY awarded over \$2.8 million in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$3,411,120	\$4,620,622
Actual Awarded	\$1,723,657	\$2,821,248
% Goal Value Achieved	51%	61%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance			No citywide goal		
	Professional Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Standard Services	No citywide goal			\$218,534	\$16,535	8%	\$121,697	\$43,350	36%	\$247,419	\$704,000	285%
	Goods	\$472,560	\$278,954	59%	\$680,159	\$294,348	43%	\$454,350	\$110,925	24%	\$1,627,101	\$1,318,823	81%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Standard Services	No citywide goal			\$181,273	\$0	0%	\$100,947	\$0	0%	\$205,233	\$0	0%
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			\$15,342	\$0	0%	\$8,523	\$0	0%	\$28,127	\$0	0%
Micro Purchases:		Goal Value: \$259,356			Actual: \$54,313			% Achieved: 21%					

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Police Department

NYPD enhances the quality of life by working in partnership with the community and in accordance with constitutional rights to enforce the laws, preserve the peace, reduce fear, and provide for a safe environment. NYPD typically purchases professional services such as IT consulting, veterinary services and aviation training; goods such as electronics, cleaning supplies and uniforms; standard services such as medical billing and facilities maintenance; and construction services such as plumbing, painting, and fencing services.

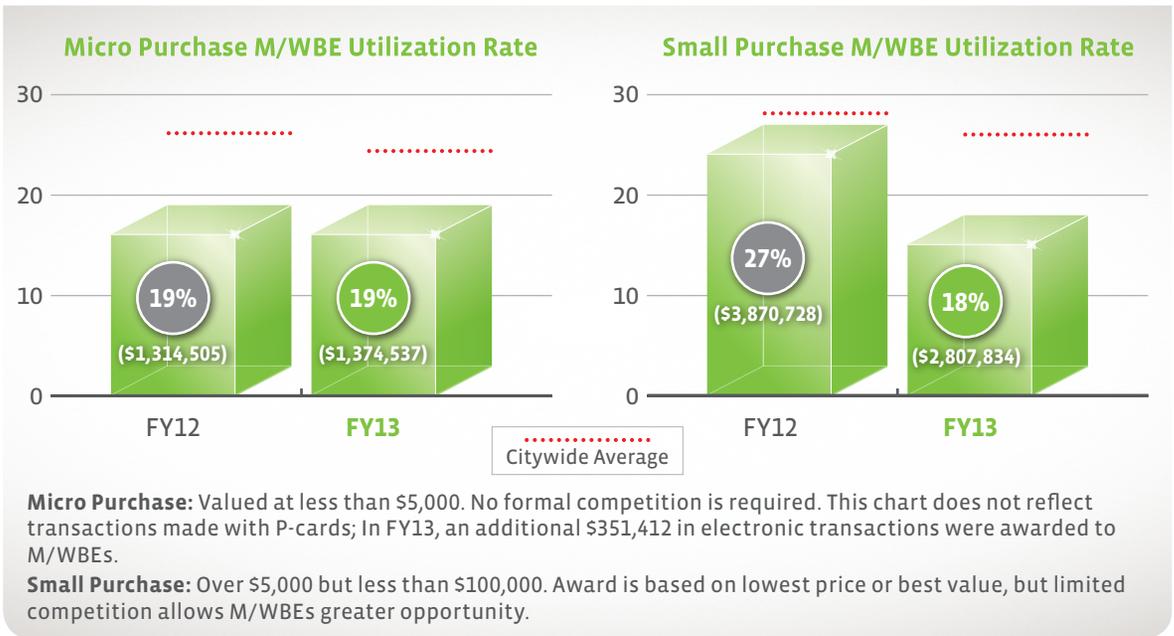
\$9.8 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$9.7 M:** Value of Prime Contracts Awarded to M/WBES
- \$46,000:** Value of Subcontracts Awarded to M/WBES
- 826:** Prime Contracts Won by M/WBES
- 2:** Subcontracts Won by M/WBES
- 128:** M/WBES Won Prime Contracts
- 2:** M/WBES Won Subcontracts

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Increased solicitation of M/WBES for small and micro purchases
- Encouraged vendors already doing business with the agency to certify
- Advertised in minority publications
- Participated in events with targeted outreach to M/WBES, including the Citywide Procurement Fair
- Worked with M/WBES that requested assistance with the procurement process, and assisted prime contractors in identifying M/WBES through one-on-one sessions
- Trained prime contractors on the M/WBE program and instructed them on subcontracting goals at all pre-bid and pre-proposal conferences
- Disseminated an agency brochure describing the contracting opportunities at the NYPD and how to go about identifying opportunities
- Staff attended Procurement Training Institute sessions sponsored by SBS and MOCS

LL129 Goal and Performance Breakdown

In FY 2013, NYPD awarded 4,657 contracts totaling \$54.6 million. NYPD awarded \$9.8 million to City-certified M/WBE firms. This amount is less than the LL129 combined goal value of \$11.2 million. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City's ability to meet specific LL129 ethnic and gender goals. Despite this limitation NYPD awarded over \$4.1 million in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$8,578,482	\$11,224,030
Actual Awarded	\$5,180,733	\$4,081,186
% Goal Value Achieved	60%	36%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance			Too few contracts (3) to evaluate performance		
	Standard Services	No citywide goal			\$217,063	\$17,286	8%	\$120,878	\$15,610	13%	\$245,754	\$58,675	24%
	Goods	\$669,987	\$108,439	16%	\$964,317	\$262,444	27%	\$644,169	\$447,294	69%	\$2,306,873	\$1,756,901	76%
Contracts Through Other Methods*	Construction	No citywide goal			\$397,461	\$0	0%	\$285,114	\$0	0%	No citywide goal		
	Professional Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Standard Services	No citywide goal			\$486,413	\$0	0%	\$270,874	\$0	0%	\$550,706	\$0	0%
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	\$109,700	\$40,000	36%	\$146,305	\$0	0%	\$104,950	\$0	0%	No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		
Micro Purchases:					Goal Value: \$3,703,468			Actual: \$1,374,537			% Achieved: 37%		

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Finance

DOF helps people pay the right amount on time. The agency collects City revenues, encourages compliance with City tax and other revenue laws, values all real property in the City, provides a forum for the public to dispute tax and parking violation liability, and maintains property records. DOF typically purchases services such as banking, technology, telecommunication, and printing services, and goods such as furniture and office supplies.

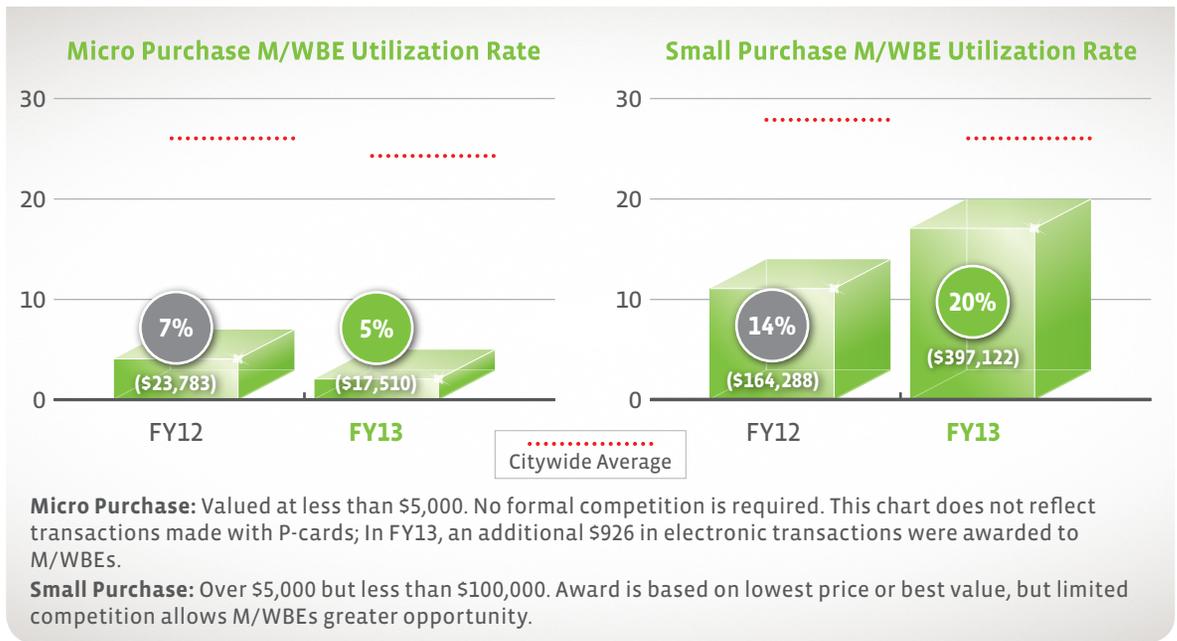
\$1.5 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$849,432:** Value of Prime Contracts Awarded to M/WBES
- \$600,000:** Value of Subcontracts Awarded to M/WBES
- 19:** Prime Contracts Won by M/WBES
- 1:** Subcontracts Won by M/WBES
- 13:** M/WBES Won Prime Contracts
- 1:** M/WBES Won Subcontracts

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Increased solicitation of M/WBES for small purchases
- Encouraged certification by including M/WBE links to applications in all IFB and RFP books
- Encouraged prime contractors to search for and hire M/WBE subcontractors
- Staff attended Procurement Training Institute Sessions sponsored by SBS and MOCS
- Referred M/WBE firms directly to the DOF units that utilize their services
- Monitored agency performance with quarterly reports provided by SBS

LL129 Goal and Performance Breakdown

In FY 2013, DOF awarded 304 contracts totaling \$20.7 million. DOF typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DOF awarded \$1.5 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$695,022. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City’s ability to meet specific LL129 ethnic and gender goals. Despite this limitation DOF awarded over \$299,516 in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$315,222	\$695,022
Actual Awarded	\$88,071	\$299,516
% Goal Value Achieved	28%	43%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			\$15,405	\$0	0%	\$8,559	\$0	0%	\$28,243	\$100,000	354%
	Standard Services	No citywide goal			\$136,831	\$0	0%	\$76,198	\$66,522	87%	\$154,917	\$100,000	65%
	Goods	\$14,777	\$0	0%	\$21,269	\$0	0%	\$14,208	\$8,227	58%	\$50,880	\$7,257	14%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Standard Services	No citywide goal			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance		
	Goods	No Agency Spending			No Agency Spending			No Agency Spending			No Agency Spending		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		
Micro Purchases:		Goal Value: \$173,737			Actual: \$17,510			% Achieved: 10%					

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Department of Small Business Services

SBS helps businesses start, operate, and expand; fosters neighborhood development, and links employers to a skilled and qualified workforce. SBS typically purchases standard services such as catering and photography; professional services such as consulting assistance to businesses and entrepreneurs, and job-seeker services; and goods, such as IT hardware, software and office supplies. A large part of SBS spending is routinely awarded to the NYC Economic Development Corporation for economic development services.

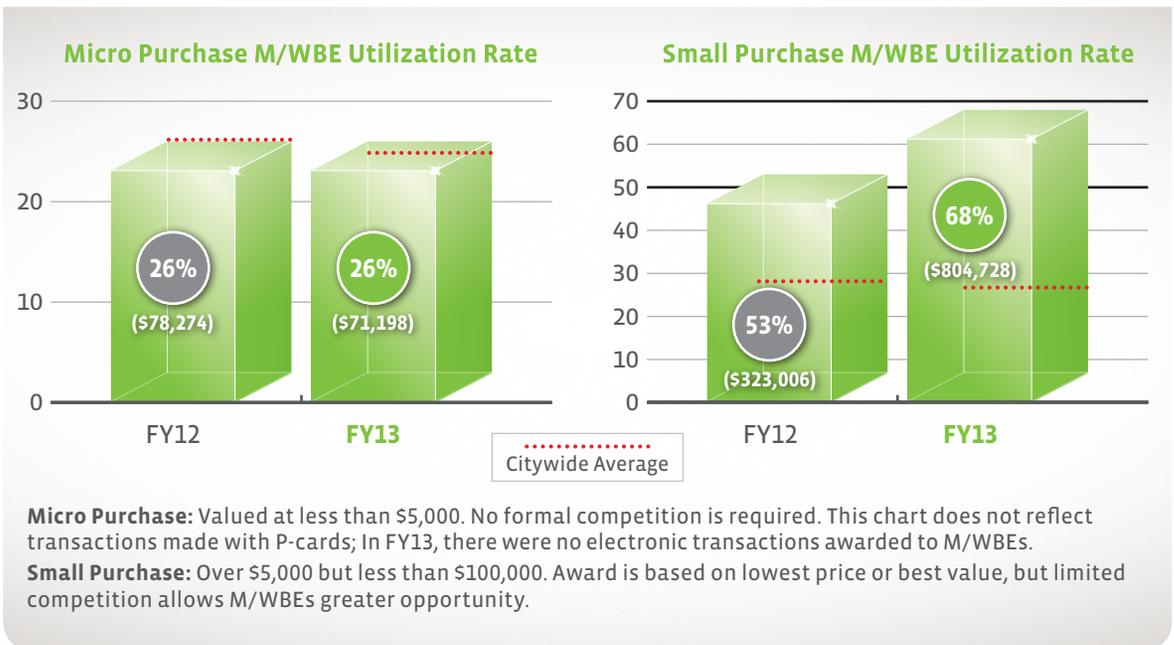
\$4.9 MILLION

TOTAL VALUE OF ALL CONTRACTS AWARDED TO CITY-CERTIFIED M/WBES

- \$4.9 M:** Value of Prime Contracts Awarded to M/WBES
- \$74,540:** Value of Subcontracts Awarded to M/WBES
- 57:** Prime Contracts Won by M/WBES
- 7:** Subcontracts Won by M/WBES
- 40:** M/WBES Won Prime Contracts
- 5:** M/WBES Won Subcontracts

These results include both contracts subject to LL 129 and contracts not covered by the M/WBE Program.

AGENCY PERFORMANCE IS STRONGEST AT CONTRACT LEVELS BELOW \$100,000; AT THESE LEVELS, AGENCIES HAVE MORE PURCHASING FLEXIBILITY.



Agency M/WBE Initiatives to Improve Performance

Steps taken above and beyond the outreach activities mandated by LL129:

- Encouraged vendors doing business with the agency to certify and invited M/WBE staff to assist
- Procurement staff notified vendors of expiration of M/WBE status and encouraged recertification
- Participated in workshops to inform M/WBES about City procurement policies, including the annual Procurement Fair
- Staff attended Procurement Training Institute sessions sponsored by SBS and MOCS
- Encouraged Prime Contractors to include M/WBE vendors in their solicitations for services/goods
- Increased solicitation of M/WBES for micro and small purchases
- Monitored agency performance with quarterly contract reports provided by SBS

LL129 Goal and Performance Breakdown

In FY 2013, SBS awarded 299 contracts totaling \$965.9 million. SBS typically awards contracts in sizes greater than \$1 million, which fall outside the purview of LL129. DSBS awarded \$4.9 million to City-certified M/WBE firms. This amount is more than the LL129 combined goal value of \$466,882. State law requires a majority of contracts to be awarded to the lowest responsive and responsible vendor which limits the City's ability to meet specific LL129 ethnic and gender goals. Despite this limitation DSBS awarded over \$812,108 in goal areas.

LL129 Goal Summary

	FY 2012	FY 2013
Goal Value	\$1,343,790	\$466,882
Actual Awarded	\$1,064,589	\$812,108
% Goal Value Achieved	79%	174%

		Asian			Black			Hispanic			Caucasian Female		
		Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved	Goal	Actual	% Goal Value Achieved
Small Purchases	Construction	No citywide goal			Too few contracts (2) to evaluate performance			Too few contracts (2) to evaluate performance			No citywide goal		
	Professional Services	No citywide goal			\$45,593	\$344,590	756%	\$25,330	\$0	0%	\$83,587	\$0	0%
	Standard Services	No citywide goal			\$51,790	\$172,795	334%	\$28,841	\$144,301	500%	\$58,636	\$74,860	128%
	Goods	\$5,497	\$5,804	106%	\$7,912	\$0	0%	\$5,285	\$0	0%	\$18,928	\$0	0%
Contracts Through Other Methods*	Construction	No citywide goal			No Agency Spending			No Agency Spending			No citywide goal		
	Professional Services	No citywide goal			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance		
	Standard Services	No citywide goal			No Agency Spending			No Agency Spending			No Agency Spending		
	Goods	Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance			Too few contracts (1) to evaluate performance		
Subcontracts**	Construction	No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals			No citywide goal		
	Professional Services	No citywide goal			No contracts with subcontracting goals			No contracts with subcontracting goals			No contracts with subcontracting goals		
Micro Purchases:		Goal Value: \$135,483			Actual: \$69,758			% Achieved: 51%					

* The awards included in this category are openly and competitively bid. Agencies are required to award these contracts to the most competitive and responsible bidder, regardless of race or gender.

** Most prime contracts that were awarded with M/WBE subcontracting goals have not yet reached a point where substantial amounts of work are underway. Subcontracts specified for M/WBE goal areas will continue to be awarded as work proceeds in FY 2014 and FY 2015. Data only reflects subcontracts awarded on prime contracts that began in FY 2013.

Accelerated Procurement | A procurement method used to buy commodities, such as fuel, that must be obtained quickly due to significant shortages and/or short-term price fluctuations.

Architecture/Engineering Services | Architecture and engineering is a class of services specifically related to the preparation of plans and specifications for construction projects. This category does not include Construction Management or Construction Management and Build contracts, nor does it include the preparation of environmental studies. Contracts to hire licensed architects or professional engineers (PE) are included.

Bid Document | Refers to documents pertaining to an agency's solicitation.

Change Order | An agency-authorized, written modification of a contract that adjusts price or time for performance. A change order permits the vendor to complete work that is included in the scope of the contract and permits the agency to make non-material changes to the scope.

City Chief Procurement Officer (CCPO) | Position delegated authority by the Mayor to coordinate and oversee the procurement activity of mayoral agency staff, including ACCOs.

Competitive Sealed Bid (CSB) | The most frequently used procurement method for purchasing goods and construction and standardized services. Contracts are awarded to the responsible vendor that agrees to provide the goods or services at the lowest price.

Competitive Sealed Proposal | A Competitive Sealed Proposal, also known as a Request for Proposals (RFP), is used when an agency must consider factors in addition to price, such as the vendor's experience and expertise. RFPs are most frequently used when procuring human services, professional services and architecture/engineering services.

Competitiveness | Competitiveness is achieved when multiple vendors contend for a contract. For competitive sealed bids, requests for proposals and competitive innovative procurements, a contract is competitive when the agency receives three or more responses. For small purchases, competitiveness is defined as soliciting a minimum of 10 vendors.

Construction Services | Construction Services provide construction, rehabilitation and/or renovation of physical structures. This category includes Construction Management and Build contracts as well as other construction related services such as: painting, carpentry, plumbing and electrical installation, asbestos and lead abatement, carpet installation and removal, and demolition.

Contract | A written agreement between the city and a vendor that gives rise to obligations that enforce and regulate law.

Cycle Time | The typical length of time it takes City agencies to process competitive sealed bids and RFPs.

Default | Inability of a contractor to fulfill the requirements of a contract, usually a result of poor performance, inability to perform, unreasonable delays, loss of insurance or bond or other deviation from the contract.

Demonstration Project | A demonstration project is a short-term, carefully planned pilot exercise designed to test and evaluate the feasibility and application of an innovative product, approach or technology not currently used by the City. At the conclusion of the contract term, based upon the documented results of the project, the agency determines whether to competitively acquire or to discontinue the use of the product, approach or technology.

Design Change Order | An amendment to a design consultant contract, e.g., architecture or engineering.

Disadvantaged Business Enterprise | Department of Transportation's Disadvantaged Business Enterprises (DBE) program is authorized by the Safe, Accountable, Flexible, Efficient Transportation Equity Act. As recipients of DOT financial assistance, state and local transportation agencies are responsible to:

- Certify the eligibility of DBE firms to participate in their DOT-assisted contracts;
- Establish narrowly-tailored goals for the participation of disadvantaged entrepreneurs; and
- Evaluate their DOT-assisted contracts throughout the year and establish contract-specific DBE subcontracting goals as necessary to achieve the overall goal of the agency.

To participate in the DBE program, a small business owned and controlled by socially and economically disadvantaged individuals must receive DBE certification from the relevant state—generally through the state Uniform Certification Program (UCP).

African-Americans, Hispanics, Native Americans, Asian-Pacific and Subcontinent Asian Americans, and women are presumed to be socially and economically disadvantaged. Other individuals can also qualify as socially and economically disadvantaged on a case-by-case basis. To be regarded as economically disadvantaged, an individual must have a personal net worth that does not exceed \$750,000. To be seen as a small business, a firm must meet SBA size criteria AND have average annual gross receipts not to exceed \$20.41 million. Size limits for the airport concessions DBE program are higher.

(This definition is summarized from the Department of Transportation website. Details and a full explanation can be found online at: <http://osdbu.dot.gov/DBEProgram/definitions.cfm>)

Discretionary Award | See line-item appropriation.

Emergency Procurement | Method of procurement used to obtain goods and services very quickly, in many instances without competition, when an agency must address threats to public health or safety, or provide a necessary service on an emergency basis.

Emerging Business Enterprises (EBE) | Local Law 12 of 2006 establishes participation goals for EBEs, defined as businesses owned and operated by individuals who have experienced social disadvantage in American society as a result of causes not common to individuals who are not disadvantaged, and whose ability to compete in the market has been impaired due to diminished capital and credit opportunities as compared to others in the same business area who are not socially disadvantaged. EBE participation goals for prime contracts and subcontracts apply to the same industries as M/WBE goals. The Department of Small Business Services certifies participating businesses as EBEs.

Fiscal Year | The City's fiscal year runs from July 1st of the preceding year to June 30th of the given year. Fiscal 2008 runs from July 1, 2007 through June 30, 2008.

Goods | This category includes all purchases of physical items. Most purchases of goods above the small purchase limit of \$100,000 are made by Department of Citywide Administrative Services.

Human Services | A class of services that are provided directly to clients in various at-need groups. This category includes homeless shelters, counseling services, youth programs, after-school programs, homes for the aged, home care and other similar services. Vendors in this category are primarily not-for-profit; some services, such as home care, also have for-profit providers.

Innovative Procurement | Agencies are permitted by the PPB Rules to experiment with new procurement methods. They may test any new method on a limited number of procurements. Once the tested methods are evaluated, PPB determines whether to codify the new methods for future use.

Intergovernmental Purchase | A fast-track method that enables City agencies to buy goods or services using pre-existing contracts between vendors and other government agencies, commonly the State of New York.

Line Item Appropriation | As part of the City's budget process, the City Council and Borough Presidents provide funding to specific vendors, typically community-based human services organizations, cultural institutions or other not-for-profit groups. The contracts through which those funds flow are classified as line item or discretionary appropriations. This type of contract usually results in a high volume of small awards, some valued at only a few thousand dollars.

LL129 Pre-award Waiver | A contracting agency may grant a full or partial waiver of the target subcontracting percentage (TSP) to a bidder or proposer who demonstrates that it has legitimate business reasons for proposing the level of subcontracting in its utilization plan. The TSP is the agency determined percentage of a prime contract that is likely to be subcontracted for construction, professional and architecture/engineering services work where the subcontract's dollar value will fall under one million dollars.

The contracting agency shall make its determination in light of factors which shall include, but not be limited to, whether the bidder or proposer has the capacity and the bona fide intention to perform the contract without any subcontracting, or to perform the contract without awarding the amount of subcontracts for under one million dollars represented by the target subcontracting percentage. In making such determination, the agency may consider whether the utilization plan is consistent with past subcontracting practices of the bidder or proposer, and whether the bidder or proposer has made good faith efforts to identify portions of the contract that it intends to subcontract.

Micro purchase | A method of procurement used to quickly buy goods, services or construction valued at up to \$5,000. Agencies may buy from any available vendor at a fair price, without formal competition.

Agencies that use micro purchases the most tend to be those responsible for widely dispersed facilities including infrastructure, police station houses, parks, and housing complexes.

Minority/Women-Owned Businesses (M/WBEs) | Local Law 129 of 2005 establishes citywide participation goals by race, ethnicity and gender for vendors that are certified to be owned by women and/or minorities for contracts less than \$1 million dollars. The citywide goals for Black Americans, Hispanic Americans, Asian Americans and Caucasian women represent the anticipated percentage of contracts by dollar value between City agencies and M/WBE firms during the course of the year. Prime contract participation goals exist in four industry categories: construction, professional services, standardized services and goods. Local Law 129 also establishes participation goals for subcontracts under \$1 million for construction and professional services. Each City agency that does at least \$5 million in procurement annually is responsible for developing an M/WBE utilization plan and meeting the citywide participation goals. The Department of Small Business Services certifies participating businesses as M/WBEs through an application process in order to prevent fraudulent claims under this program.

Negotiated Acquisition | City agencies use this method of contracting when only a few vendors are available to provide the goods or services needed, when there is limited time available to procure necessary goods or services, or when a competitive procurement is otherwise not feasible. This method is often used for a variety of litigation support services.

Negotiated Acquisition Extension | A negotiated acquisition extension is the only option to extend a contract when renewal terms have been exhausted or are unavailable, and after the twelve month maximum amendment extension has been used, in order to provide an agency sufficient time to draft, issue and make new awards under an RFP. These extensions ensure that services may continue uninterrupted.

Negotiated acquisition extensions are also used to ensure the completion of ongoing construction projects that are not finished by the contract's expiration date. Negotiated acquisition extensions may extend the amount of time, money or both time and money allocated to complete a project.

Non-Responsible | A vendor that lacks the business integrity, financial capacity and/or ability to perform the requirements of a particular contract will be determined by the ACCO to be a "non-responsible bidder/proposer" and thus ineligible for a contract award. A vendor that is found non-responsible may appeal that determination to the head of the City agency responsible for the contract, and if the determination is upheld by the agency head, the vendor may appeal again to the CCPO.

Non-Responsive | A vendor that submits a bid or proposal that fails to conform to the requirements for documentation/information specified in a Request for Bids or Request for Proposals for a particular solicitation will be determined to be "a non-responsive bidder/proposer" and will not be considered for the contract. A vendor may appeal a finding of non-responsiveness to the head of the agency responsible for the contract.

LL129 GOALS |

Prime	Asian	Black	Hispanic	Caucasian Female
Construction	No Goal	12.63%	9.06%	No Goal
Goods	5.19%	7.47%	4.99%	17.87%
Professional Services	No Goal	9.00%	5.00%	16.50%
Standard Services	No Goal	9.23%	5.14%	10.45%

Sub	Asian	Black	Hispanic	Caucasian Female
Construction	9.47%	12.63%	9.06%	No Goal
Professional Services	No Goal	9.00%	5.00%	16.50%

Prequalification | Process used by agencies to evaluate the qualifications of vendors for provision of particular categories of goods, services, construction or construction-related services, based on criteria such as experience, past performance, organizational capability, financial capability, track record of compliance and business integrity.

Prime Contract | A contract whose requirements are partly fulfilled by the awarding of subcontracts.

Procurement | The City’s purchasing process, which includes vendor selection, contract registration, payment, performance evaluation and contract administration.

Professional Services | Professional services are a class of services that require an individual to hold an advanced degree or have experience in a specialized field. Professional services are usually procured through a Request for Proposals, where emphasis is placed on the quality of the vendor’s approach as the service is likely to be highly individualized. Services of this type include: legal, management consulting, information technology, accounting, auditing, actuarial, advertising, health, architecture, pure construction management (without including construction) and environmental analysis.

Procurement Policy Board (PPB) | Pursuant to the New York City Charter, the PPB establishes the rules that govern the methods of selecting procurement types, soliciting bids and proposals, awarding and administering contracts, determining responsibility, retaining records and resolving contract disputes.

The PPB must review its rules, policies and procedures on an annual basis and submit a report to the Mayor, Comptroller, and City Council with recommendations on agency organization and personnel qualifications in order to facilitate efficient procurement. The PPB consists of five members, three of whom are appointed by the Mayor and two of whom are appointed by the Comptroller.

Protest | Vendors that object to any aspect of a procurement and/or the resulting award, such as the qualifications of the winning vendor, may file a vendor protest with the head of the City agency responsible for the contract. This does not apply to accelerated procurements, emergency procurements and small purchases.

Public Work | Public work is defined as construction, reconstruction or maintenance work done by a public entity that takes place on public property with the primary objective of benefiting the public.

Purchasing Card Program | A purchasing card or (“P-card”) is an agency-issued credit card that allows micro purchases, those up to and including \$5,000, for needed goods and services to be made quickly and with a streamlined procurement process at a much-reduced administrative cost. MOCS administers the program and provides technical assistance to agencies. The purchasing card program serves as an entry point for vendors new to City business, particularly for M/WBEs and other small business. Vendors can more easily obtain payment with the P-card than under traditional purchasing and are encouraged to ask agency buyers to receive payment via the P-card.

Registration | The process through which the Comptroller (1) encumbers or holds funds to insure payment to the vendor on successful completion of the contract; (2) records all City contracts and agreements; (3) tracks City payments and revenue associated with each contract or agreement; and (4) objects if there is evidence of corruption related to the procurement process itself or with the selected vendor. After a City agency submits a contract package the Comptroller has 30 days to either register or reject the contract.

Renewal Contract | Method used to continue operation of a registered contract beyond its initial terms, as stipulated in the original contract.

Request for Proposals (RFP) | See Competitive Sealed Proposal

Required/Authorized Source or Method | On occasion, a state or federal agency or a private entity (such as a not-for-profit) that is funding a particular purchase through a City agency mandates either the specific vendor to be used for the provision of goods or services, or a specific process for selecting a vendor.

In other instances, state law provides a “preferred source” procurement method for particular types of vendors, e.g., those employing disabled New Yorkers.

Requirement Contract | A contract entered into by a City agency, usually DCAS or DOITT, with a vendor that agrees to supply the City’s entire requirement for the particular types of goods under the contract.

Responsible Bidder or Proposer | A vendor that has the capability in all respects to perform all contract requirements, and the business integrity and reliability that will assure performance in good faith.

Small Purchase | Method of procurement used for buying goods, services and construction valued at up to \$100,000. It involves a fast-track competitive process that incorporates expanded opportunities for certified M/WBEs.

Sole Source Contract | This procurement method may only be used when only one vendor is available to provide the required goods or services. This method is also used to “pass through” funds that support the NYC Economic Development Corporation and the capital construction projects of City-owned cultural institutions.

Solicitation | A solicitation is the process of notifying potential vendors that an agency wishes to receive bids or proposals for furnishing goods, services or construction. The process may include public advertising, mailing invitations for bids and requests for proposals, posting notices and/or delivery of telephone or fax messages to prospective vendors.

Standardized Services | Standardized services typically do not require the provider to have experience in a specialized field or hold an advanced degree. A standardized service is clearly defined and highly commoditized; procurements for these services are generally awarded based on the lowest price.

Examples include: security, janitorial, secretarial, transportation, collection and food related services.

Contracts for services such as plumbing, electrical and HVAC for maintenance and repair not related to new construction also fall into this category.

Subcontract | A contract between prime contractor and a subcontractor to furnish services for the performance of a prime or subcontract.

Vendor Enrollment Center (VEC) | Any business wishing to sell goods or services to the City may complete an enrollment form and be added to the citywide bidder lists used by all Mayoral agencies to distribute notices of City procurement opportunities.

Vendor Information Exchange System (VENDEX) | A computerized citywide system providing comprehensive information on vendors. Data is added to the VENDEX system from questionnaires completed by vendors. Vendors are required to file both Business Entity Questionnaires and Principal Questionnaires every three years if they have done \$100,000 or more worth of business with the City (contracts, franchises and concessions) during the preceding twelve months, or if they have sole source contracts totaling more than \$10,000.

Vendor | An actual or potential contractor.



Bill de Blasio
Mayor

Small Business
Services