FY 2023 Agency Quarterly Diversity, Equity, Inclusion and EEO Report

Agency Name: N	IYC CONFLICTS OF INTEREST BOARD		<u> </u>			
<u> </u>	(July -September), due November 4, 2022 r (January -March), due May 1, 2023	 ≥ 2nd Quarter (October – December), due January 30, 2023 ⇒ 4th Quarter (April -June), due July 31, 2023 				
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Date Submitted: Janu	ary 31, 2023					
FOR DCAS USE ONLY:	Date Received:					

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Instructions for Filling out Quarterly Reports FY 2023

[Note: These forms are cumulative and intended to retain information for the entire FY 2023. For Q2, Q3 and Q4, use previous quarter's submission to update, retaining all information for the prior quarters]

- 1. Please save this file as "XXXX Quarter X FY 2023 DEI-EEO Quarterly Report.Part I", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Word format. Please do not convert it to PDF.
- 2. Complete the "Diversity, Equity, Inclusion and EEO Training Summary" details in Part II Training Summary [see the attached Excel file].
 - <u>Core EEO Training:</u> Copy the information from the Training Completion Report you receive quarterly from DCAS Learning & Development onto grey-shaded cells in rows 26, 30, 34, and 38. Include any of these trainings that were administrated by your agency in the rows immediately below (27, 35, 39).
 - Other Diversity, Equity, Inclusion and EEO Related Training: Beginning with row 45, include training classes co-organized or cosponsored by your agency EEO and/or HR that are related to the development of the agency staff in the areas of equal employment, diversity, inclusion, civil rights, workplace culture and behavior, interpersonal relations, and community relations.
- 3. Mark progress in check boxes in the column for the current quarter. [Note: **Delayed** = behind schedule; **Deferred** = put off until later when better resources become available.]
- 4. Please save the Excel file as "XXXX Quarter X FY 2023 DEI-EEO Training Summary", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Excel format. Please do not convert it to PDF.

Part I: Narrative Summary

	Distributed to all agency employees?	☐ Yes, On (Date):☐ By e-mail☐ Posted on agency intranet☐ Other	_ No (planned for Q3)
II.			onstrating superior accomplishment in diversity, equit
	inclusion and equal employment oppo		

III. Workforce Review and Analysis

I.	Agency Headcount as of the last day of the quarter was:									
	Q1 (9/30/2022): 23	Q2 (12/31/2022): 23	Q3 (3/31/2023):	Q4 (6/30/2023):						
II.	Agency reminded employees	s to update self-ID informatio	n regarding race/ethnicity, ge	nder, and veteran status.						
	☐ Yes On (Date):	D	es again on (Date):	No (planned for Q4)						
		Self Service (by email; strongl ternal Agency Publications	y recommended every year)	☐ Agency's intranet site ☐ On-boarding of new employed	es					
III.				ographic data and trends, including work nd separation data; and utilization analys						
	⊠ Yes On (Dates):									
	Q1 Review Date: 10/31/2	Q2 Review Date: 1	/25/2023 Q3 Review date: _	Q4 Review date:	-					
	The review was conducted	l with:								
	☐ Agency Head	☐ Agency Head	☐ Agency Head	☐ Agency Head						
	☐ Human Resources	☐ Human Resources	☐ Human Resources	☐ Human Resources						
	☐ General Counsel	☐ General Counsel	☐ General Counsel	☐ General Counsel						
	☑ Other: EEO	Other: EEO	\square Other	□ Other						
	\square Not conducted	\square Not conducted	\square Not conducted	\square Not conducted						

IV. EEO, Diversity, Inclusion and Equity Initiatives for FY 2023

Please describe your progress this quarter in implementing the primary goals in Section IV of your Agency Diversity, Equity, Inclusion and EEO Plan for FY 2023.

A. Workforce:

Please list the **Workforce Goal(s)** included in *Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2023,* which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan (e.g., underutilization, workforce planning, succession planning and diverse applicant pool, among others).

- **1.** The EEO Officer regularly reviews the agency's workforce composition reports to monitor for underutilization and underrepresentation of minority group members and women in the agency's job groups.
- Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity and inclusion, while reflecting the variety of communities that are served. What steps were taken to evaluate effectiveness of these actions?

On July 27, 2022, the EEO Officer reviewed the agency's most recent workforce composition reports (FY 2022 Q4) to check for underutilization and underrepresentation of minority group members and women in the agency's job groups. On October 31, 2022, the EEO Officer reviewed the agency's most recent workforce composition reports (FY 2023 Q1) to check for underutilization and underrepresentation of minority group members and women in the agency's job groups.

Q1 Update:	□ Planned	☐ Not started	\square Ongoing	\square Delayed	\square Deferred	□ Completed
Q2 Update:	□ Planned	☐ Not started	\square Ongoing	\square Delayed	\square Deferred	□ Completed
Q3 Update:	□ Planned	☐ Not started	\square Ongoing	\square Delayed	\square Deferred	☐ Completed
Q4 Update:	□ Planned	☐ Not started	□ Ongoing	□ Delayed	□ Deferred	□ Completed

de	emographic trends	to be consider		isions about re			ally to discuss workforce composition; , and attrition; and potential workplace			
Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity and inclusion, while reflecting the variety of community that are served. What steps were taken to evaluate effectiveness of these actions?										
	The EEO Officer h	as set a calenda	r reminder to schedu	le this annual m	neeting during	Q4.				
	Q1 Update: Q2 Update: Q3 Update: Q4 Update:	☑ Planned☑ Planned☐ Planned☐ Planned	□ Not started□ Not started□ Not started□ Not started	☐ Ongoing☐ Ongoing☐ Ongoing☐ Ongoing	□ Delayed□ Delayed□ Delayed□ Delayed	□ Deferred□ Deferred□ Deferred□ Deferred	□ Completed□ Completed□ Completed□ Completed			
3.	COIB has a Non-N	∕lanagerial Staff	Incentive Program to	recognize and	reward Staff w	/ho perform abo	ove and beyond.			
*	service provider t	o the citizens o	• ,	sed on diversity	, equity and in	clusion, while	stablish your agency as a leading reflecting the variety of communities			
	Given the tiny size during Q1 or Q2.	e of the agency,	the Non-Managerial S	Staff Incentive I	Program is not	utilized every o	uarter. There were no beneficiaries			
	Q1 Update: Q2 Update: Q3 Update: Q4 Update:	□ Planned□ Planned□ Planned□ Planned	☑ Not started☑ Not started☐ Not started☐ Not started	☐ Ongoing☐ Ongoing☐ Ongoing☐ Ongoing	□ Delayed□ Delayed□ Delayed□ Delayed	□ Deferred□ Deferred□ Deferred□ Deferred	□ Completed□ Completed□ Completed□ Completed			

	COIB has a social of Staff have a change		•	oresentative fror	n each work un	nit, that periodica	ally organizes agencywide events wher	е		
*	Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity and inclusion, while reflecting the variety of communities that are served. What steps were taken to evaluate effectiveness of these actions?									
	=				_		Staff were encouraged to attend. o share leftovers and treats.			
	Q1 Update: Q2 Update: Q3 Update: Q4 Update:	□ Planned□ Planned□ Planned□ Planned	□ Not started□ Not started□ Not started□ Not started	☑ Ongoing☑ Ongoing☐ Ongoing☐ Ongoing	□ Delayed□ Delayed□ Delayed□ Delayed	□ Deferred□ Deferred□ Deferred□ Deferred	□ Completed□ Completed□ Completed□ Completed			
*	list Job Groups wh	nere underutiliz	taken or considered to ation exists in the cually significant underu	ırrent quarter.		_	h quarterly workforce reports. Please			

B. Workplace:

Please list the Workplace Goal(s) included in Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2023, which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan (e.g., job satisfaction/engagement surveys, exit interviews/surveys, and onboarding surveys). 1. Each year, COIB circulates its EEO Policy Statement and EEO Policy to inform Staff of their rights and protections under the City's EEO Policy. Please describe the steps that your agency has taken to meet this goal. Include actions taken to create equitable work environment which values differences and maintain focus on retaining talent. What steps were taken to evaluate effectiveness of these actions? The EEO Officer has set a calendar reminder to circulate the EEO Policy Statement and EEO Policy during Q3. Q1 Update: **⊠** Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Completed □ Deferred □ Delayed Q2 Update: **⊠** Planned ☐ Not started ☐ Ongoing ☐ Deferred ☐ Completed □ Deferred □ Completed Q3 Update: ☐ Planned ☐ Not started ☐ Ongoing □ Delayed Q4 Update: ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed ☐ Planned ☐ Not started 2. COIB's EEO Policy, as well as the City's anti-hate and anti-discrimination posters, are posted on COIB's intranet. Please describe the steps that your agency has taken to meet this goal. Include actions taken to create equitable work environment which values differences and maintain focus on retaining talent. What steps were taken to evaluate effectiveness of these actions? On December 23, 2022, the EEO Officer sent an email to all Staff attaching an updated version of COIB's 2022 EEO Policy, which was revised to include the City's new EEO Policy Handbook. The updated policy was also posted on COIB's intranet and office bulletin board. Q1 Update: □ Planned □ Not started ☐ Ongoing ☐ Delayed ☐ Deferred Q2 Update: ☐ Planned ☐ Not started ☐ Ongoing □ Delayed □ Deferred **⊠** Completed Q3 Update: ☐ Ongoing ☐ Delayed ☐ Deferred □ Completed ☐ Planned ☐ Not started ☐ Deferred □ Completed Q4 Update: ☐ Planned □ Not started ☐ Ongoing □ Delayed

3. 7	equity and race re	lations and to d or will also work	iscuss the possibility of to identify programmi	of including CO	IB Staff in that	programming.	y programming aimed at enhancing The EEO Officer and Human ch as those offered by WorkWell NYC,			
*	Please describe the steps that your agency has taken to meet this goal. Include actions taken to create equitable work environment which values differences and maintain focus on retaining talent. What steps were taken to evaluate effectiveness of these actions?									
	• .	d by that agend		•	_	•	r agency to learn more about the er monitors emails from WorkWell NYC			
	Q1 Update:	☐ Planned	☐ Not started	□ Ongoing	□ Delayed	☐ Deferred	☐ Completed			
	Q2 Update:	☐ Planned	☐ Not started	□ Ongoing	☐ Delayed	☐ Deferred	☐ Completed			
	Q3 Update:	\square Planned	☐ Not started	☐ Ongoing	☐ Delayed	\square Deferred	☐ Completed			
	Q4 Update:	\square Planned	\square Not started	\square Ongoing	\square Delayed	\square Deferred	\square Completed			
	about relevant pro	grams, resourd	es, and activities. our agency has taken t	o meet this go	al. Include acti	ions taken to c	ree times a year to share information reate equitable work environment ate effectiveness of these actions?			
	•		ncy Head sent an emai e City, and providing I		-	-	explaining the history of the month, anned for Q3.			
	Q1 Update: Q2 Update: Q3 Update: Q4 Update:	□ Planned☑ Planned□ Planned□ Planned	□ Not started□ Not started□ Not started□ Not started	☑ Ongoing☐ Ongoing☐ Ongoing☐ Ongoing	□ Delayed□ Delayed□ Delayed□ Delayed	□ Deferred□ Deferred□ Deferred□ Deferred	□ Completed□ Completed□ Completed□ Completed			

*	Please specify any other EEO-related activities designed to improve/enhance the workplace during the quarter (e.g., postings,
	meetings, cultural programs promoting diversity, newsletters/articles, etc.) and describe the activities, including the dates when the
	activities occurred.

C. Community:

Please list the **Community Goal(s)** included in Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2023, which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan (e.g., community outreach and engagement, M/WBE participation and customer satisfaction surveys).

satisfaction surveys). 1. To the extent feasible, COIB will continue to utilize a minority-owned business to provide services at the workplace. Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity and inclusion, while reflecting the variety of communities that are served. What steps were taken to evaluate effectiveness of these actions? During Q1 and Q2, the agency utilized a minority-owned business to provide cleaning services at the workplace. ☐ Planned ☐ Ongoing ☐ Not started ☐ Delayed **⊠** Completed Q1 Update: ☐ Deferred Q2 Update: □ Planned ☐ Not started □ Ongoing □ Delayed **⊠** Completed □ Deferred Q3 Update: □ Delayed □ Planned ☐ Not started ☐ Ongoing ☐ Deferred ☐ Completed Q4 Update: ☐ Planned ☐ Not started □ Ongoing □ Delayed ☐ Deferred ☐ Completed 2. The EEO Officer will continue to share resources with the Education & Engagement Unit, which is responsible for COIB's website and social media posts, to ensure that COIB is creating accessible digital content. Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity and inclusion, while reflecting the variety of communities that are

During Q1 and Q2, the EEO Officer did not learn of any new resources to share.

served. What steps were taken to evaluate effectiveness of these actions?

Q1 Update: ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed Q2 Update: ☐ Planned ☐ Not started ☐ Ongoing ☐ Delayed ☐ Deferred ☐ Completed

	Q3 Update:	□ Planned	☐ Not started	□ Ongoing	\square Delayed	□ Deferred	☐ Completed
	Q4 Update:	\square Planned	☐ Not started	\square Ongoing	\square Delayed	\square Deferred	☐ Completed
	3. Continue or	plan to promot	e diversity and EEO co	ommunity outre	each in providir	ng government	services.
*	Please describ	e the steps tha	t your agency has tak	en to meet this	s goal. Include	actions taken	to establish your agency as a leading service
	-		-		-	sion, while refl	ecting the variety of communities that are
	served. What	steps were tak	en to evaluate effecti	veness of thes	e actions?		
	COIB has limite	ed interactions	directly with the publi	c; COIB primar	ily provides se	rvices to other	City employees. COIB includes diverse
	representation	n in the graphic	s on its website.				
	Q1 Update:	☐ Planned	☐ Not started	□ Ongoing	☐ Delayed	☐ Deferred	☐ Completed
	Q2 Update:	\square Planned	☐ Not started	⊠ Ongoing	☐ Delayed	\square Deferred	☐ Completed
	Q3 Update:	\square Planned	☐ Not started	☐ Ongoing	☐ Delayed	\square Deferred	☐ Completed
	Q4 Update:	\square Planned	☐ Not started	\square Ongoing	\square Delayed	\square Deferred	☐ Completed
**	= =	=	=	_		_	ucational and cultural programs, promotion of he activities occurred.
	agency service	.s, community	ians, etc., and describ	c the activities	o, including the	. aates wiieli ti	ne delivities occurred.

Э.	Equity, Inclu	ision and Rac	ce Relations Initiat	tives:						
	Please list the Equity, Inclusion and Race Relations Goal(s) included in Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2023, which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan.									
	•	•	with information abou with affinity groups th				taff can learn more about different demographic			
*		inclusive work			_	=	establish your agency as a leader in creating eps were taken to evaluate effectiveness of			
	-		Agency Head sent an City, and providing re		-	_	nth, explaining the history of the month, listing ned for Q3.			
	Q1 Update: Q2 Update: Q3 Update: Q4 Update:	□ Planned☑ Planned□ Planned□ Planned	□ Not started□ Not started□ Not started□ Not started	☑ Ongoing☐ Ongoing☐ Ongoing☐ Ongoing	□ Delayed□ Delayed□ Delayed□ Delayed	□ Deferred□ Deferred□ Deferred□ Deferred	 □ Completed □ Completed □ Completed □ Completed 			
*	cultural progra		ions, discussions, bo			= '	year(s) (e.g., meetings, educational and and and describe the activities, including the dates			

V. Recruitment

A. Recruitment Efforts

Please list **Recruitment Initiatives and Strategies** which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan (e.g., targeted outreach and outreach, diversity recruitment, social media presence, where jobs are posted, EEO and APO collaboration, evaluation of best recruitment sources, structured interview training and unconscious bias training).

- **1.**To the extent feasible within its budget, and as job vacancies arise, COIB will continue to expand its recruitment sources to attract a larger pool of applicants to increase the diversity of potential candidates.
- Please describe the steps that your agency has taken to meet these initiatives/strategies. What steps were taken to evaluate effectiveness of these actions?

During Q1 and Q2, Human Resources assisted the hiring managers in ensuring that job postings were shared with the agency's expanded list of recruitment sources.

To evaluate the effectiveness of these actions, the EEO Officer periodically reviews the demographics of applicants (see V.A.2 below).

Q1 Update:	□ Planned	☐ Not started	☑ Ongoing	□ Delayed	□ Deferred	☐ Completed
Q2 Update:	\square Planned	\square Not started	☑ Ongoing	\square Delayed	\square Deferred	☐ Completed
Q3 Update:	□ Planned	☐ Not started	\square Ongoing	\square Delayed	\square Deferred	☐ Completed
Q4 Update:	\square Planned	\square Not started	\square Ongoing	\square Delayed	\square Deferred	☐ Completed

- **2.**The EEO Officer will review the demographics of applicants to assess whether COIB's recruitment sources are yielding sufficiently large and diverse applicant pools.
- Please describe the steps that your agency has taken to meet these initiatives/strategies. What steps were taken to evaluate effectiveness of these actions?

	During Q1, recruitment was ongoing for one job vacancy and began for another. The EEO Officer will review the demographics of those applicants during the next quarter. On December 29, 2022, the EEO Officer reviewed the demographics of the applicants for those two job vacancies, one of which was filled during Q2.								
	Q1 Update:		\square Not started	\square Ongoing	-	\square Deferred	☐ Complete		
	Q2 Update:		☐ Not started	☐ Ongoing	☐ Delayed	☐ Deferred	⊠ Complete		
	Q3 Update: Q4 Update:		☐ Not started☐ Not started	☐ Ongoing☐ Ongoing	☐ Delayed	□ Deferred□ Deferred	☐ Complete		
	Q r Opuute.			_ 0908	_ belayed	_ Deletted	_ complete		
*	Please specify any recruitment efforts designed to increase the effectiveness and improve the hiring and selection reach of your agency during the quarter and describe the activities, including the dates when the activities occurred.								
В.	Internships	/Fellowships	3						
	The agency is	s providing the	following internship o	pportunities in	FY 2023. [Not	e: Please upda	te this inform	ation every quarter.]	
	Race/Ethnici	ty* [#s] * Use s	self-ID data obtained fro	om NYCAPS; Ge	e nder* [#s] [N-E	3=Non-Binary; O	=Other; U=Unk	(nown] * Use self-ID da	ta
1.	Urban Fellows	s Total: 0							
	Race/	Ethnicity* [#s]	: Black Hispanic	_Asian/Pacific	Islander Na	tive American_	White	Two or more Races_	
	Gende	er* [#s]: M	_ F N-B O (J					
2.	Public Service	Corps Total: 0							
	Race	Ethnicity* [#s]	: Black Hispanic	_Asian/Pacific	Islander Na	tive American_	White	Two or more Races_	

Gender* [#s]: M F N-B O U
3. Summer College Interns Total: 0
Race/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races
Gender* [#s]: M F N-B O U
4. Summer Graduate Interns Total: 0
Race/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races
Gender* [#s]: M F N-B O U
5. Other (specify) Total: 0
Race/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races
Gender* [#s]: M F N-B O U
Additional comments:

COIB does not currently offer internship or fellowship opportunities because it lacks the physical space for an intern or fellow to work.

C. 55-A Program

The agency uses the 55-a	a Program to hire and retain	qualified individuals with disabili	ties. \square Yes	⊠ No
Currently, the agency en	nploys the following number	r of 55-a participants:		
Q1 (9/30/2022): 0	Q2 (12/31/2022): 0	Q3 (3/31/2023):	Q4 (6/30/2023):	
_		w applications for the program we ram due to [state reasons]		
-		w applications for the program w gram due to [state reasons]		
_		w applications for the program we gram due to [state reasons]		
_		w applications for the program we gram due to [state reasons]		
The 55-a Coordinator ha	s achieved the following go	pals:		
on the agency we through an agenc				
2				

V. Selection (Hiring and Promotion)

Please review Section VI of your FY 2023 Diversity, Equity, Inclusion and EEO Plan and describe your activities for this quarter below:

Please list additional **Selection Strategies and Initiatives** which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan (e.g., use of structured interview, EEO or APO representatives observing interviews, review of placements, review of e-hire applicant data).

- 1. Career Counseling: Advising employees of opportunities for promotion and career development; Notification of promotion/transfer opportunities.
- Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?
 - On July 5, 2022, the Agency Head sent an email to all Staff to inform them that a managerial employee was leaving the agency, alerting relevant Staff to the opportunity for a promotion.
 - On September 9, 2022, the Career Counselor sent an email to all Staff with the DCAS civil service examination schedule and stated that she is available to assist with the exams and to answer any career counseling questions.
 - On September 22, 2022, the Career Counselor sent an email to all Staff about a DCAS civil service information session.
 - On October 7, 2022, the Career Counselor sent an email to all Staff with the DCAS civil service examination schedule for October.
 - On October 21, 2022, the Career Counselor sent an email to all Staff about a DCAS civil service information session for exams in November.
 - On November 21, 2022, the Career Counselor sent an email to all Staff about a DCAS civil service information session for exams in December.
 - On November 29, 2022, the Career Counselor sent an email to all Staff about a job vacancy at the Landmarks Preservation Commission.

- 2. Reviewing the methods by which candidates are selected for appointment, promotion, or to fill vacancies (new hires), especially for midand high-level discretionary positions.
- Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?
 - Because COIB is a tiny agency, the procedures for selections and promotions are reviewed by the Agency Head, Human Resources Director, EEO Officer, and General Counsel each time there is a job vacancy.
- **3.** Describe the role of agency EEO Officer and other EEO staff in the selection of candidates for appointment or promotion (pre- and post-appointment).
 - The EEO Officer reviews and provides feedback on the standard set of interview questions prepared by the hiring manager for each job vacancy.
- **4.** Analyzing the impact of layoffs or terminations on racial, gender and age groups.
 - The EEO Officer analyzes the impact of personnel changes on racial, gender and age groups.
- **5.** Other:

During this Quarter the Agency activities included:	# of Vacancies	# of New Hires	# of New Promotions
Q1	# 2	# 1	#
Q2	# 1	# 1	#
Q3	#	#	#
04	#	#	#

VI. Training

Please provide your training information in Part II of the report "Diversity, Equity, Inclusion and EEO Training Summary" (in MS Excel).

VII. Reasonable Accommodation

Please report all reasonable accommodation requests and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: https://mspwva-dcslnx01.csc.nycnet/Login.aspx

VIII. Compliance and Implementation of Requirements Under Executive Orders and Local Laws

A. Local Law 92: Annual Sexual Harassment Prevention training

Please provide Sexual Harassment Prevention Training Information in Part II of the report "Diversity, Equity, Inclusion and EEO Training Summary" (in MS Excel).

B. Local Law 97: Annual Sexual Harassment Reporting

☐ The agency has entered information as they occ		exual har	assmen	t Complain	t Data in t	he DCA	S Citywide Complaint Tracking	System and updates the
,	Q1		Q2		Q3		Q4 🗆	
□ The agency has entered occur.	all typ	es of co	mplaint	s in the DC	CAS Citywi	de Com	plaint Tracking System and upo	dates the information as they
□ The agency ensures that	t comp	olaints ar	e closed	l within 90	days.			
Report all complaints and your CICS Account at:								

C. Executive Order 16: Training on Transgender Diversity and Inclusion

Please provide E.O. 16 Training Information in Part II of the report "Diversity, Equity, Inclusion and EEO Training Summary" (in MS Excel).

D.Local Law 101: Climate Survey

Please describe your progress this quarter in implementing the primary goals in Appendix B of your Agency Diversity, Equity, Inclusion and EEO Plan for FY 2023.

Please list the actions, initiatives, programs, or policies included in *Appendix B: 2020 Climate Survey Action Plan*, which you set/declared in your FY 2023 Diversity, Equity, Inclusion and EEO Plan.

- 1. Increase employees' familiarity with the EEO Policy.
- Please describe the steps that your agency has taken to meet these goals. What steps were taken to evaluate effectiveness of these actions?

A copy of the EEO Policy is posted on the agency's intranet and on the office bulletin board. The EEO Policy is distributed to all Staff by email during Q3. During Q2, the EEO Officer updated COIB's 2022 EEO Policy to include the City's new version of the EEO Policy Handbook and distributed it to all Staff by email; the EEO Policy was also updated on the agency's intranet and office bulletin board.

- 2. Improve the EEO Office's visibility to the workforce.
- Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?

Because COIB is a tiny agency with one physical work location and all Staff have a work computer and email account, Staff are familiar with the EEO Office. During Q1, the agency's new hire was specifically introduced to the EEO Officer during the onboarding process.

- 3. Improve employees' knowledge of the EEO complaint process, including where and how to file a formal complaint, and what happens after a complaint is filed.
- Please describe the steps that your agency has taken to meet these initiatives/strategies. What steps were taken to evaluate effectiveness of these actions?

The DCAS EEO Complaint Procedural Guidelines and EEO Complaint Process At a Glance will be distributed to all Staff by email during Q4.

4. Increase employees' understanding of protected rights and prohibition of discrimination, including sexual harassment, in the workplace.

Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?

All Staff recently completed the Sexual Harassment Prevention Training.

- 5. Improve <u>managers'</u> and <u>supervisors'</u> awareness of measures that an employee may take to report any violations under the EEO Policy, including discrimination and sexual harassment.
- Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?

The EEO Officer has set a calendar reminder to email all managers and supervisors during Q2 to ensure they are aware of the measures that an employee may take to appropriately report any violations under the EEO policy.

During Q2, the EEO Officer sent an email to all managers and supervisors to remind them of their obligation to immediately notify the EEO Officer if they observe, learn of, or suspect that an employee has experienced sexual harassment or discrimination.

- 6. Improve <u>managers</u>' and <u>supervisors</u>' knowledge of whom and where to direct employees who may want to discuss a complaint (s) under the EEO Policy.
- Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?

The EEO Officer has set a calendar reminder to email all managers and supervisors during Q2 to ensure they are aware of whom and where to direct employees who may want to discuss a complaint(s) under the EEO Policy.

During Q2, the EEO Officer sent an email to all managers and supervisors to remind them that, if an employee suggests or informs them that they have experienced or witnessed a violation of the EEO Policy, the employee should be advised to report it to the EEO Office; the email included the contact information for the EEO Officer and EEO Counselors.

7.	Other:
*	Please describe the steps that your agency has taken to meet this goal. What steps were taken to evaluate effectiveness of these actions?
A	udits and Corrective Measures
	Please choose the statement that applies to your agency.
	☑ The agency is <u>NOT</u> involved in an audit conducted by NYC Equal Employment Practice Commission (EEPC) or another governmental agency specific to our EEO practices.
	☐ The agency is involved in an audit; please specify who is conducting the audit:
	\square Attach the audit recommendations by EEPC or the other auditing agency.
	☐ The agency has submitted or will submit to DCAS Citywide Equity and Inclusion an amendment letter, which shall amend the agency plan for FY 2022.
	☐ The agency received a Certificate of Compliance from the auditing agency.
	Please attach a copy of the Certificate of Compliance from the auditing agency.

IX.

Appendix A: EEO Personnel Details

EEO Personnel For 2nd Quarter, FY 2023

Personnel Changes

Personnel Changes this Quarter:	☑ No Changes	Number of Additions:	Number of Deletions:	
Employee's Name & Title	1.	2.	3.	
Nature of change	☐ Addition ☐ Deletion	☐ Addition ☐ Deletion	☐ Addition ☐ Deletion	
Date of Change in EEO Role	Start Date or Termination Date:	Start Date or Termination Date:	Start Date or Termination Date:	
Employee's Name & Title				
Nature of change	☐ Addition ☐ Deletion	☐ Addition ☐ Deletion	☐ Addition ☐ Deletion	
Date of Change in EEO Role	Start Date or Termination Date:	Start Date or Termination Date:	Start Date or Termination Date:	
For New EEO Professionals:	-			
Name & Title	4.	5.	6.	
EEO Function	☐ EEO Officer ☐ EEO Counselor ☐ EEO Trainer ☐ EEO Investigator ☐ 55-a Coordinator ☐ Other: (specify)	☐ EEO Officer ☐ EEO Counselor ☐ EEO Trainer ☐ EEO Investigator ☐ 55-a Coordinator ☐ Other: (specify)	☐ EEO Officer ☐ EEO Counselor ☐ EEO Trainer ☐ EEO Investigator ☐ 55-a Coordinator ☐ Other: (specify)	
Percent of Time Devoted to EEO	☐ 100% ☐ Other: (specify %):	☐ 100% ☐ Other: (specify %):	☐ 100% ☐ Other: (specify %):	
Name & Title				
EEO Function	☐ EEO Officer ☐ EEO Counselor ☐ EEO Trainer ☐ EEO Investigator ☐ 55-a Coordinator ☐ Other: (specify)	☐ EEO Officer ☐ EEO Counselor ☐ EEO Trainer ☐ EEO Investigator ☐ 55-a Coordinator ☐ Other: (specify)	☐ EEO Officer ☐ EEO Counselor ☐ EEO Trainer ☐ EEO Investigator ☐ 55-a Coordinator ☐ Other: (specify)	
Percent of Time Devoted to EEO	☐ 100% ☐ Other: (specify %):	☐ 100% ☐ Other: (specify %):	☐ 100% ☐ Other: (specify %):	

Name & EEO Role	1. Katherine J. Miller (EEO Officer)	2. Alex Kipp (EEO Counselor)	3. Clare Wiseman (EEO Counselor)	
Completed EEO Trainings: 1. Everybody Matters-EEO and D&I	☐ Yes ☐ No	□ Yes □ No	_ Yes □ No	
2. Sexual Harassment Prevention		⊠ Yes □ No		
3. IgbTq: The Power of Inclusion		⊠ Yes □ No		
4. Disability Awareness & Etiquette		☐ Yes ☐ No	☐ Yes ☐ No	
5. Unconscious Bias	☐ Yes ☐ No	□ Yes □ No	☐ Yes ☐ No	
6. Microaggressions	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	
7. EEO Officer Essentials: Complaint/Investigative Processes	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	
8. EEO Officer Essentials: Reasonable Accommodation	☐ Yes ☐ No	□ Yes □ No	☐ Yes ☐ No	
9. Essential Overview Training for New EEO Officers	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	
10. Understanding CEEDS Reports	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	

EEO Personnel Training Continued:

EEO Training completed within the last two years, including the Current Quarter (EEO and D&I Officers, Deputies, and all new EEO Professionals):								
Name & EEO Role							0.	
Completed EEO Trainings: 1. Everybody Matters-EEO and D&I	□ Y	es 🗆 No		□ Yes	□ No		☐ Yes	□ No
2. Sexual Harassment Prevention	□ Y	es 🗆 No		☐ Yes	□ No		□ Yes	□ No
3. IgbTq: The Power of Inclusion	□ Y	es 🗆 No		□ Yes	□ No		□ Yes	□ No
4. Disability Awareness & Etiquette	□ Y	es □ No		☐ Yes	□ No		☐ Yes	□ No
5. Unconscious Bias	□ Y	es □ No		☐ Yes	□ No		□ Yes	□ No
6. Microaggressions	□ Y	es 🗆 No		☐ Yes	□ No		☐ Yes	□ No
7. EEO Officer Essentials: Complaint/Investigative Processes	□ Y	es □ No		□ Yes	□ No		□ Yes	□ No
8. EEO Officer Essentials: Reasonable Accommodation	□ Ye	es 🗆 No		□ Yes	□ No		□ Yes	□ No
9. Essential Overview Training for New EEO Officers	□ Y	es □ No		☐ Yes	□ No		□ Yes	□ No
10. Understanding CEEDS Reports	☐ Ye	s 🗆 No		☐ Yes	□ No		☐ Yes	□ No

EEO Personnel Contact Information (Please list all current EEO professionals)

Please provide full mailing address of the principal Agency EEO Office:

2 Lafayette Street, Suite 1010 New York, New York 10007

Diversity and EEO Staffing as of 2nd Quarter FY 2023*

EEO\Diversity Role	<u>Name</u>	<u>Civil Service Title</u>	% of Time Devoted to EEO & Diversity Functions	Office E-mail Address	Telephone #
EEO Officer/Director	Katherine J. Miller	Executive Agency Attorney	<u>5%</u>	kmiller@coib.nyc.gov	212-437-0730
Deputy EEO Officer OR Co-EEO Officer					
Chief Diversity & Inclusion Officer	Tasnia Karim	Agency Chief Contracting Officer	5%	karim@coib.nyc.gov	212-437-0750
Diversity & Inclusion Officer					
Chief Diversity Officer/Chief MWBE Officer per E.O. 59	Tasnia Karim	See above	See above		
ADA Coordinator					
Disability Rights Coordinator	Tasnia Karim	See above	See above		

Disability Services Facilitator	Tasnia Karim	See above	See above		
55-a Coordinator	Tasnia Karim	See above	See above		
Career Counselor	Tasnia Karim	See above	See above		
EEO Counselor	Alex Kipp & Clare Wiseman	Administrative Staff Analyst & Agency Attorney	5%	kipp@coib.nyc.gov wiseman@coib.nyc.gov	212-437-0770 212-437-0724
EEO Investigator	Katherine J. Miller	See above	See above		
EEO Counselor\ Investigator	Alex Kipp & Clare Wiseman	See above	See above		
Investigator/Trainer					
EEO Training Liaison	Tasnia Karim	See above	See above		
Other (specify)					
Other (specify)					

^{*} Please note changes (new personnel filling the specified role). You may insert additional entries as needed. Title refers to the civil service title. If there is an EEO\Diversity role that your staff performs that is not on the list above, you may indicate it on the chart. You may provide full contact information once if several roles are performed by the same person.



FY 2022 QUARTERLY REPORT - Part II: DIVERSITY AND EEO TRAINING SUMMARY

AGENCY NAME: NYC Conflicts of Interest Board	Quarter 2 FY 2023	
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RETAIN ALL PRIOR QUARTERS' DATA IN THE CURRENT QUARTER REPORT

DO NOT ATTEMPT TO MAKE ANY ENTRIES IN PINK-SHADED CELLS

SAVE THIS FILE AS: [AGENCY ACRONYM] Quarter X FY 2022 DEEO TRAINING SUMMARY

SUBMITTED BY (TITLE): Katherine J. Miller (EEO Officer)

DATE SUBMITTED: 1/30/2023 E-MAIL: kmiller@coib.nyc.go TEL #: 212-437-0730

1st Quarter (July-September) <u>DUE October 31, 2022</u>; 2nd Quarter <u>DUE January 30, 2023</u>; 3rd Quarter (January-March) <u>DUE May 1, 2023</u>; 4th Quarter (April-June) <u>DUE July 31, 2023</u>.

ALL EEO-RELATED TRAINING (ALL MODALITIES)	1st Qtr (July - Sept. 2022)	2nd Qtr (Oct Dec. 2022)	3rd Qtr (Jan Mar. 2023)	4th Qtr (April - June 2023)	YEAR TO DATE
TOTAL DIVERSITY & EEO TRAINING	25	0	0	0	25

CORE DIVERSITY AND EEO TRAINING (All Modalities)					
TOTAL CORE EEO TRAINING ALL MODALITIES: E-Learning & Instructor-led training	25	0	0	0	25
Everybody Matters: EEO and Diversity & Inclusion Training for NYC Employees	1	0	0	0	1
Administered by DCAS [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]	1	0			1
Administered by Agency [Enter data from internal training in this row] NOTE: Completions from DCAS-provided training count towards agency compliance for these mandated trainings.					0

ALL EEO-RELATED TRAINING (ALL MODALITIES)	1st Qtr (July - Sept. 2022)	2nd Qtr (Oct Dec. 2022)	3rd Qtr (Jan Mar. 2023)	4th Qtr (April - June 2023)	YEAR TO DATE
2. Sexual Harassment Prevention	23	0	0	0	23
Administered by DCAS [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]	23	0			23
Administered by Agency	NOTE: SHP training that is administered by an agency (A-ILT/EL) must utilize curriculum that is approved annually by DCAS and the completion data must be provided to DCAS. The number reported in "Administered by DCAS" includes all SHP training that is administered by an agency.				0
3. IgbTq: The Power of Inclusion	1	0	0	0	1
Administered by DCAS [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]	1	0			1
Administered by Agency [Enter data from internal training in this row] NOTE: Completions from DCAS-provided training count towards compliance for these mandated trainings.					0
4. Disability Awareness & Etiquette	0	0	0	0	0
Administered by DCAS [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]	0	0			0
Administered by Agency [Enter data from internal training in this row]					0

ALL EEO-RELATED TRAINING (ALL MODALITIES)	1st Qtr (July - Sept. 2022)	2nd Qtr (Oct Dec. 2022)	3rd Qtr (Jan Mar. 2023)	4th Qtr (April - June 2023)	YEAR TO DATE	
ОТ	HER DIVERSITY AND E	EEO RELATED TRAIN	IING (All Modalitie	es)		
ALL OTHER DIVERSITY & EEO RELATED TRAINING	0	0	0	0	0	
7. New Employee Orientation (Only if it includes EEO Component)	NOTE: Do not make entries here if new employees received CORE EEO training as part of their onboarding					
TOTAL PARTICIPANTS TRAINED					0	
8. Structured Interviewing and Unconscious Bias TOTAL PARTICIPANTS TRAINED	NOTE: Including Structured Interviewing: Utilizing Follow-Up and Probing Questions 0					
9. Building an Inclusive Culture: Understanding Unconscious Bias	NOTE: Do not ma	ke entries here if Uncons	cious Bias was included in	n Structured Interviewing		
TOTAL PARTICIPANTS TRAINED					0	
10. Disability Etiquette: Inclusive Workplace Strategies for People with Disabilities TOTAL PARTICIPANTS TRAINED					0	
11. From Microaggressions						
to Microaffirmations TOTAL PARTICIPANTS TRAINED					0	
12. Bystander Training	EUU T	ITI F: What Would Vo	u Do? An Evnerientia	al Approach to Being a	. Rystander	
TOTAL PARTICIPANTS TRAINED	10221	Tree. Wilde Would re	A DO: All Experients	A Approach to being t	0	
13. Other Diversity/EEO Related	Specify topic >					
TOTAL PARTICIPANTS TRAINED	ореану ворно				0	
14. Other Diversity/EEO Related	Specify topic >					
TOTAL PARTICIPANTS TRAINED	ореану ворго				0	
15. Other Diversity/EEO Related	Specify topic >					
TOTAL PARTICIPANTS TRAINED					0	
16. Other Diversity/EEO Related	Specify topic >					
TOTAL PARTICIPANTS TRAINED	, , ,				0	
17. Other Diversity/EEO Related	Specify topic >					
TOTAL PARTICIPANTS TRAINED					0	
18. Other Diversity/EEO Related	Specify topic >					
TOTAL PARTICIPANTS TRAINED					0	
19. Other Diversity/EEO Related	Specify topic >					
TOTAL PARTICIPANTS TRAINED					0	
20. Other Diversity/EEO Related	Specify topic >					
TOTAL PARTICIPANTS TRAINED					0	
ADDITIONAL TRAINING				SPACE TO REPORT ADDITION		
Other Diversity/EEO Related	Specify topic >					
TOTAL PARTICIPANTS TRAINED					0	
Other Diversity/EEO Related	Specify topic >					
TOTAL PARTICIPANTS TRAINED					0	