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THE CITY RECORD

BILL DE BLASIO

Mayor

LISETTE CAMILO

Commissioner, Department of Citywide Administrative Services

ELI BLACHMAN

Editor, The City Record

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PUBLIC HEARINGS AND MEETINGS

See Also: Procurement; Agency Rules

BOROUGH PRESIDENT - QUEENS

MEETING

The Queens Borough Board will meet jointly with Borough Cabinet Monday, July 11, 2016 at 5:30 P.M., in the Queens Borough President Conference Room, 120-55 Queens Boulevard, 2nd Floor, Kew Gardens, NY 11424.

Accessibility questions: Jeong-ah Choi, (718) 286-2860, jchoi@queensbp.org, by: Monday, July 11, 2016 4:00 P.M.



jy6-11

CITY COUNCIL

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that the Council has scheduled the following public hearings on the matters indicated below:

The Subcommittee on Zoning and Franchises will hold a public hearing on the following matters in the Committee Room, City Hall, New York City, NY 10007, commencing at 9:30 A.M., Tuesday, July 12, 2016:

BROADWAY/SHERMAN AVENUE REZONING MANHATTAN CB - 12 C 150438 ZMM

Application submitted by Acadia Sherman Avenue LLC, pursuant to Section 197-c and 201 of the New York City Charter for the amendment of the Zoning Map, Section No. 3a:

- changing from an R7-2 District to an R9 District property bounded by a line perpendicular to the easterly street line of Broadway distant 100 feet southerly (as measured along the street line) from the point of intersection of the easterly street line of Broadway and the southerly street line of Dongan Place, a line 270 feet southwesterly of Arden Street, Sherman Avenue and Broadway; and
- establishing within a proposed R9 District a C2-4 District bounded by a line perpendicular to the easterly street line of Broadway distant 100 feet southerly (as measured along the street line) from the point of intersection of the easterly street line of Broadway and the southerly street line of Dongan Place, a line 270 feet southerly of Arden Street, a line 100 feet northwesterly of Sherman Avenue, and Broadway.

**BROADWAY/SHERMAN AVENUE REZONING
MANHATTAN CB - 12 N 160164 ZRM**

Application submitted by Acadia Sherman Avenue LLC pursuant to Section 201 of the New York City Charter, for an amendment of the Zoning Resolution of the City of New York, to establish a Mandatory Inclusionary Housing area, Borough of Manhattan, Community District 12.

Matter in underline is new, to be added;
Matter in ~~strikeout~~ is to be deleted;
Matter within # # is defined in Section 12-10;
* * * indicates where unchanged text appears in the Zoning Resolution

* * *

APPENDIX F

Inclusionary Housing Designated Areas and Mandatory Inclusionary Housing Areas

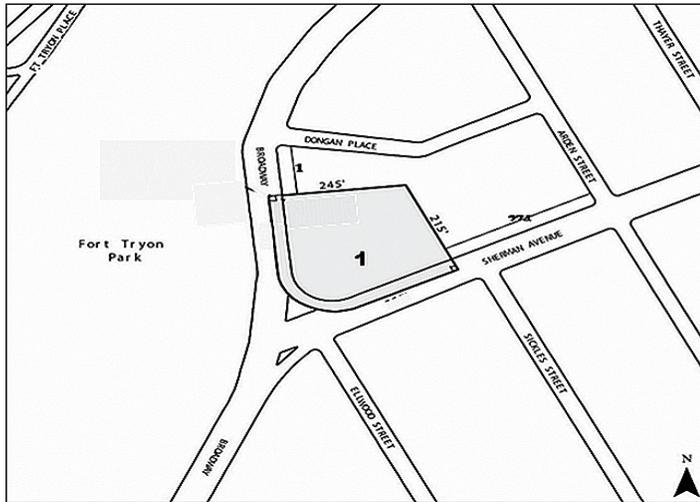
* * *

MANHATTAN

* * *

Manhattan Community District 12
In the R9A and R8X Districts within the area shown on the following Map 1:

Map 1 - [date of adoption]



Mandatory Inclusionary Housing Area (MIHA)
1 [date of adoption] MIH Program Option 2 [Section 23-d(3)]

Portion of Community District 12, Manhattan

* * *

 **Mandatory Inclusionary Housing area see Section 23-154(d)(3)**
Area 1 [date of adoption] – MIH Program Option 2 and Deep Affordability Option

Portion of Community District 12, Manhattan

* * *

Accessibility questions: City Council Land Use Division (212) 482-5175, by: Monday, July 11, 2016 5:00 P.M.



iy6-12

NOTICE IS HEREBY GIVEN that the Council has scheduled the following public hearings on the matters indicated below:

The Subcommittee on Landmarks, Public Siting and Maritime Uses will hold a public hearing on the following matters in the Council Committee Room, 16th Floor, 250 Broadway, New York City, NY 10007, commencing at 11:00 A.M., Monday, July 11, 2016:

**WOODHULL HOSPITAL
BROOKLYN CB - 03 20165648 HHK**

Application submitted by New York City Health and Hospitals Corporation ("HHC"), pursuant to Section 7385(6) of the HHC Enabling Act, for approval to lease approximately 13,000 square feet of land within the parking lot on the campus of Woodhull Hospital and Mental Health Center, located at 179 Throop Avenue to Comunilife,

Inc., to facilitate the development of a six-story building with 89 studio apartments for low-income individuals and low-income individuals living with mental illness.

**WILLIAM H. SCHOFIELD HOUSE
BRONX - CB 10 20165535 HKX (N 160297 HKX)**

The proposed designation by the Landmarks Preservation Commission [DL-487/LP-2395] pursuant to Section 3020 of the New York City Charter of the landmark designation of the William H. Schofield House, located at 65 Schofield Street (Block 5628, Lot 146), as an historic landmark.

**GREEN-WOOD CEMETERY CHAPEL
FORT HAMILTON PARKWAY ENTRANCE
BROOKLYN - CB 7 20165536 HKK (N 160298 HKK)**

The proposed designation by the Landmarks Preservation Commission [DL-487/LP-1233] pursuant to Section 3020 of the New York City Charter of the landmark designation of the Green-Wood Cemetery Chapel and the Fort Hamilton Parkway Entrance, located at 500 25th Street (Block 902, Lot 1 in part), as an historic landmark.

**VAN SICKLEN HOUSE
BROOKLYN - CB 15 20165537 HKK (N 160300 HKK)**

The proposed designation by the Landmarks Preservation Commission [DL-487/LP-2145] pursuant to Section 3020 of the New York City Charter of the landmark designation of the Van Sicklen House, located at 27 Gravesend Neck Road (Block 7123, Lot 64), as an historic landmark.

**57 SULLIVAN STREET HOUSE
MANHATTAN - CB 2 20165538 HKM (N 160293 HKM)**

The proposed designation by the Landmarks Preservation Commission [DL-487/LP-2344] pursuant to Section 3020 of the New York City Charter of the landmark designation of 57 Sullivan Street House, located at 57 Sullivan Street (Block 489, Lot 2), as an historic landmark.

**ST. MICHAEL'S EPISCOPAL CHURCH, PARISH HOUSE
AND RECTORY
MANHATTAN - CB 7 20165539 HKM (N 160292 HKM)**

The proposed designation by the Landmarks Preservation Commission [DL-487/LP-2281] pursuant to Section 3020 of the New York City Charter of the landmark designation of St. Michael's Episcopal Church, Parish House and Rectory, located at 201 West 99th Street (aka 800-812 Amsterdam Avenue, 225 West 99th Street and 227 West 99th Street (Block 1871, Lots 24 and 29), as an historic landmark.

**JOHN WILLIAM AND LYDIA ANN BELL AHLES HOUSE
QUEENS - CB 11 20165540 HKQ (N 160295 HKQ)**

The proposed designation by the Landmarks Preservation Commission [DL-487/LP-2541] pursuant to Section 3020 of the New York City Charter of the landmark designation of John William and Lydia Ann Bell Ahles House, located at 39-24 – 39-26 213th Street (Block 6236, Lot 18), as an historic landmark.

**PEPSI-COLA SIGN
QUEENS - CB 02 20165541 HKQ (N 160294 HKQ)**

The proposed designation by the Landmarks Preservation Commission [DL-488/LP-1653] pursuant to Section 3020 of the New York City Charter of the landmark designation of the Pepsi-Cola Sign, located at 4-09 47th Road, Long Island City (Block 21, Lot 120), as an historic landmark.

**VANDERBILT MAUSOLEUM
STATEN ISLAND - CB 02 20165542 HKR (N 160296 HKR)**

The proposed designation by the Landmarks Preservation Commission [DL-487/LP-1208] pursuant to Section 3020 of the New York City Charter of the landmark designation of the Vanderbilt Mausoleum (Block 934, Lot 250 in part), as an historic landmark.

**PARK SLOPE HISTORIC DISTRICT EXTENSION II
BROOKLYN CB - 06 20165543 HKK (N 160299 HKK)**

The proposed designation by the Landmarks Preservation Commission [DL-487/LP-2558] pursuant to Section 3020 of the New York City Charter of the landmark designation of the Park Slope Historic District Extension II, as an historic district.

**PARK SLOPE HISTORIC DISTRICT EXTENSION II
BOUNDARIES ARE:**

Area I of the Park Slope Historic District Extension II consists of the property bounded by a line beginning at the southeast corner of 6th Avenue and St. Mark's Avenue, extending easterly along the southern curblin of St. Mark's Avenue and southeasterly along the southwestern curblin of Flatbush Avenue, southwesterly and southerly along the northeastern and eastern property lines of 76 St. Mark's Avenue (aka 78 and 80 St. Mark's Avenue and 244 Flatbush Avenue), southerly along a portion of the eastern property line of 87 6th Avenue, easterly along a portion of the northern property line of 87 6th Avenue, southerly along the eastern property lines of 87 through 95 6th

Avenue to the southern curblineline of Prospect Place, easterly along said curblineline, southerly along the eastern property line of 92 Prospect Place, westerly along the southern property lines of 92 through 82 Prospect Place and a portion of the southern property line of 105 6th Avenue, southerly along eastern property line of 95 Park Place (Block 939, Lot 71) to the southern curblineline of Park Place, westerly along said curblineline, southerly along the eastern property line of 117 6th Avenue (aka 80 Park Place), easterly along a portion of the northern property line of 119 6th Avenue, southerly along the eastern property line of 119 6th Avenue, easterly along a portion of the northern property line of 121 6th Avenue, southerly along the western property line of 92 Park Place, easterly along the southern property lines of 92 through 120 Park Place, southerly along the eastern property line of 109 Sterling Place to the northern curblineline of Sterling Place, westerly along said curblineline, southerly across Sterling Place and along the eastern property line of 94-96 Sterling Place, westerly along the southern property lines of 94-96 through 80 Sterling Place, northerly along the western property line of 80 Sterling Place to the southern curblineline of Sterling Place, westerly along said curblineline, crossing 6th Avenue, and continuing along said curblineline, southerly along the western property line of 128 6th Avenue (aka 66 and 70 Sterling Place), westerly along the northern property line of 130 6th Avenue, southerly along the western property lines of 130 through 136 6th Avenue, westerly along the southern property lines of 64 through 12 Sterling Place, northerly along the western property line of 12 Sterling Place to the southern curblineline of Sterling Place, easterly along said curblineline, northerly across Sterling Place and along the western property line of 25 Sterling Place, easterly along the northern property lines of 25 through 31 Sterling Place, northerly along the western property line of 34 Park Place, to the southern curblineline of Park Place, easterly along said curblineline, northerly across Park Place and along the western property lines of 71 Park Place (aka 114 6th Avenue and 71-83 Park Place) through 108 6th Avenue, westerly along the southern property lines of 106 6th Avenue and 64 through 10 Prospect Place, northerly along the western property line of 10 Prospect Place and across Prospect Place, continuing along the western property lines of 9 Prospect Place and 10 St. Mark's Avenue, across St. Mark's Avenue to its northern curblineline, westerly along said curblineline, northerly along the western property line of 7 St. Mark's Avenue, easterly along the northern property lines of 7 through 49 St. Mark's Avenue, southerly along the eastern property line of 49 St. Mark's Avenue, easterly along the northern property lines of 53 and 55 St. Mark's Avenue, southerly along the eastern property line of 55 St. Mark's Avenue, easterly along the northern property line of 57 St. Mark's Avenue, southerly along the eastern property line of 57 St. Mark's Avenue, easterly along the northern property line of 59 St. Mark's Avenue, southerly along the eastern property line of 59 St. Mark's Avenue to the southern curblineline of St. Mark's Avenue, easterly along said curblineline, across 6th Avenue to the eastern curblineline of 6th Avenue, and northerly along said curblineline to the point of the beginning.

Area II of the Park Slope Historic District Extension II consists of the property bounded by a line beginning at the southwest corner of Sterling Place and Flatbush Avenue, extending southerly along the eastern property line of 184 Sterling Place, westerly along the southern property line of 184 Sterling Place, northerly along the western property line of 184 Sterling Place, westerly along the southern property lines of 184 through 148 Sterling Place, northerly along the western property line of 148 Sterling Place, across Sterling Place to its northern curblineline, westerly along said curblineline, northerly along the western property line of 147 Sterling Place, easterly along the northern property lines of 147, 149 and 151 Sterling Place, southerly along the eastern property line of 151 Sterling Place and across Sterling Place to its southern curblineline, easterly along said curblineline to the point of the beginning.

Area III of the Park Slope Historic District Extension II consists of the property bounded by a line beginning at the southwest corner of Plaza Street West and St. John's Place, extending southerly along the western curblineline of Plaza Street West, westerly along the southern property line of 1-3 Plaza Street West (aka 1-5 8th Avenue and 254-266 St. John's Place), across 8th Avenue to the western curblineline of 8th Avenue, northerly along said curblineline to the southwest corner of 8th Avenue and St. John's Place, easterly across 8th Avenue and along the southern curblineline of St. John's Place to the point of the beginning, Borough of Brooklyn. **Area IV** of the Park Slope Historic District Extension II consists of the property bounded by a line beginning at the northwest corner of 6th Avenue and Union Street, extending westerly along the northern curblineline of Union Street, northerly along the western property lines of 204 6th Avenue (aka 787-793 Union Street) through 194 6th Avenue, westerly along the southern property lines of 70 through 12 Berkeley Place, northerly along the western property line of 12 Berkeley Place to the southern curblineline of Berkeley Place, easterly along said curblineline, southerly along the eastern property line of 70 Berkeley Place, easterly along the northern property line of 194 Berkeley Place and across 6th Avenue to its eastern curblineline, southerly along said curblineline, easterly along the northern property line of 201 6th Avenue, southerly along a portion of the eastern property line of 201 6th Avenue, westerly along a portion of the southern property line of 201 6th Avenue, southerly along the eastern property lines of 201 through 207 6th Avenue to the northern curblineline of Union Street, westerly along said curblineline and across 6th Avenue to the point of the beginning.

Area V of the Park Slope Historic District Extension II consists of

the property bounded by a line beginning at the southwest corner of Plaza Street West and Lincoln Place, extending southeasterly along the curving western curblineline of Plaza Street West, across Berkeley Place, continuing along said curblineline to the northwest corner of Plaza Street West and Union Street, westerly along the northern curblineline of Union Street to a point in said curblineline formed by its intersection with a line extending northerly from the eastern property line of 902 Union Street, southerly along said line to the southern curblineline of Union Street, westerly along said curblineline to a point formed by its intersection with a line extending southerly from the western property line of 941 Union Street, northerly across Union Street and along the western property line of 941 Union Street, westerly along the southern property line of 284 Berkeley Place, northerly along the western property line of 284 Berkeley Place and across Berkeley Place to its northern curblineline, westerly along said curblineline, northerly along the western property line of 21 Plaza Street West (aka 21-37 Plaza Street West, 269-279 Berkeley Place, and 266 Lincoln Place) to the southern curblineline of Lincoln Place, and easterly along said curblineline to the point of the beginning.

The Subcommittee on Planning, Dispositions and Concessions will hold a public hearing in the Council Committee Room, 16th Floor, 250 Broadway, New York City, NY 10007, commencing at 1:00 P.M., Monday, July 11, 2016.

Accessibility questions: City Council Land Use Division (212) 482-5175, by: Thursday, July 07, 2016 5:00 P.M.



165-11

CITY PLANNING COMMISSION

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that resolutions have been adopted by the City Planning Commission, scheduling public hearings on the following matters to be held at Spector Hall, 22 Reade Street, New York, NY, on Wednesday, July 13, 2016 at 10:00 A.M.

BOROUGH OF BROOKLYN

No. 1

DUMBO AMENDED BUSINESS IMPROVEMENT DISTRICT

CD 2 **N160374 BDK**
IN THE MATTER OF an application submitted by the Department of Small Business Services on behalf of the DUMBO Business Improvement District pursuant to Section 25-405(a) of Chapter 4 of Title 25 of the Administrative Code of the City of New York, as amended, concerning amending the DUMBO Business Improvement District.

BOROUGH OF MANHATTAN

No. 2

625 WEST 57TH STREET

CD 4 **N 160069 ZRM**
IN THE MATTER OF an application submitted by Durst Pyramid LLC, pursuant to Section 201 of the New York City Charter, for an amendment of the Zoning Resolution of the City of New York, modifying the provisions of Article IX, Chapter 6 (Special Clinton District).

Matter in underline is new, to be added;
 Matter in ~~strikeout~~ is to be deleted;
 Matter within # # is defined in Section 12-10;
 * * * indicates where unchanged text appears in the Zoning Resolution

* * *

Article IX: Special Purpose District

Chapter 6: Special Clinton District

* * *

96-34

Special Regulations in Northern Subarea C1
In Area C1-1, within Within Northern Subarea C1, Special Use Regulations Areas C1-1 and C1-2, as shown on the map in Appendix A, the following of this Chapter, are subject to the special #use# regulations of this Section. In addition, the special Inclusionary Housing regulations, #use# and special permit regulations set forth in this Section shall apply: in Area C1-1.

(a) Inclusionary Housing Program

The boundaries of the #Inclusionary Housing designated area# within the #Special Clinton District# are shown on Map 2 in Manhattan Community District 4, in APPENDIX F of this Resolution. Such area shall be an #Inclusionary Housing designated area#, pursuant to Section 12-10 (DEFINITIONS), for the purpose of making the Inclusionary Housing Program regulations of Section 23-90, inclusive, applicable as modified within the Special District.

Within such #Inclusionary Housing designated area# the following special regulations shall apply. The #residential floor area# of the #zoning lot# may be increased by 1.25 square feet for each square foot of #low income floor area# provided, or by 0.625 square feet for each one square foot of #middle income floor area# provided, up to the maximum #floor area# set forth in Section 23-952 (Floor area compensation in Inclusionary Housing designated areas). However, the amount of #low income floor area# plus half the amount of #middle income floor area# required to receive such #floor area compensation# need not exceed 20 percent of the total #floor area#, exclusive of ground floor non-#residential floor area# on the #compensated zoning lot#, provided that no more than 8,000 square feet of #middle income floor area# may be included within this calculation.

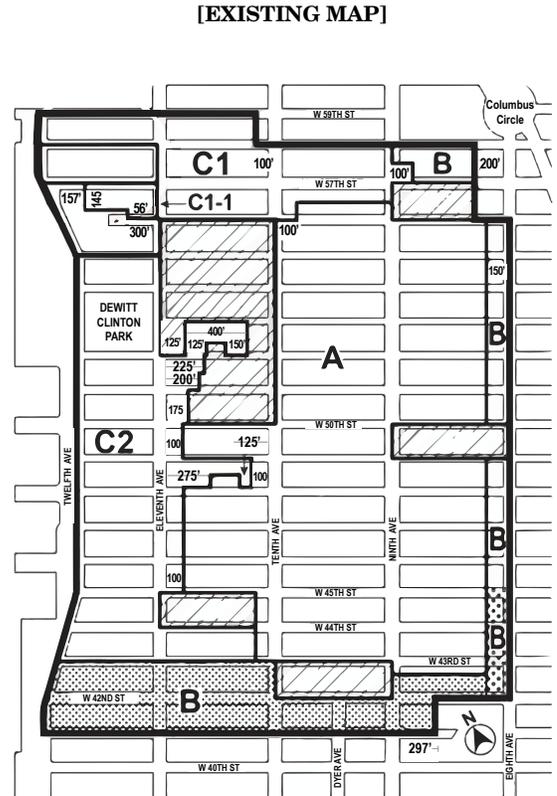
(b) Special #use# regulations

- (1) In Special Use Regulations Areas C1-1 and C1-2, the following #uses# shall be permitted below the level of the lowest floor occupied by #dwelling units#:
 - (i) automobile showrooms or sales with preparation of automobiles for delivery; and
 - (ii) automobile repairs.
- (2) #Transient hotels# shall not be permitted within the portion of Area C1-1 that is located between Eleventh Avenue and a line 250 feet west of Eleventh Avenue, and in the portion located between West 57th Street and a line 100 feet south of West 57th Street, except by special permit of the City Planning Commission, pursuant to the provisions of this paragraph (b)(2).

The City Planning Commission may permit #transient hotels#, resulting from a #development#, #enlargement#, #extension# or change of #use#, provided that the Commission shall find that such #transient hotel# is so located as to not impair the essential character of, or the future use or development of the surrounding area. The Commission may prescribe appropriate conditions and safeguards to minimize adverse effects on the character of the surrounding area.

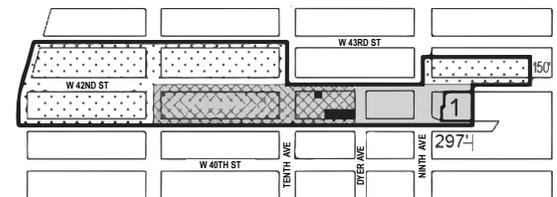
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Appendix A - Special Clinton District Map

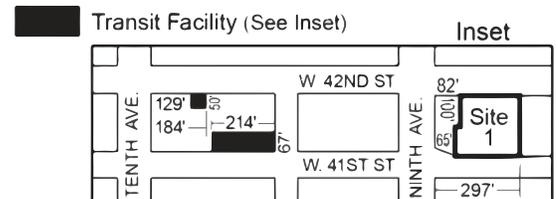


- Special Clinton District Boundary
- Area Boundary
- A** Preservation Area
- B** Perimeter Area
 - Portion of Perimeter Area B also subject to additional 42nd Street Perimeter Area regulations. (See map below)
 - Portion of Perimeter Area B also subject to Article VIII, Chapter I (Special Midtown District)
- C** Other Areas
 - C1 Northern Subarea
 - C1-1 Special Use Regulations Area
 - C2 Western Subarea
- Excluded Area

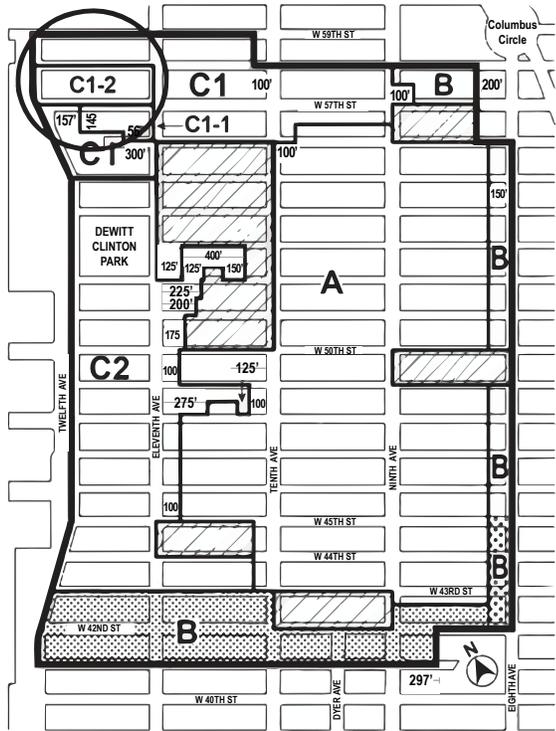
42nd Street Perimeter Area



- Subarea 1 of 42nd Street Perimeter Area
- Subarea 2 of 42nd Street Perimeter Area
 - Portion of Subarea 2 of 42nd St. Perimeter Area where Theater Bonus applies
- Site 1 Where Special Parking Regulations apply (See Inset)

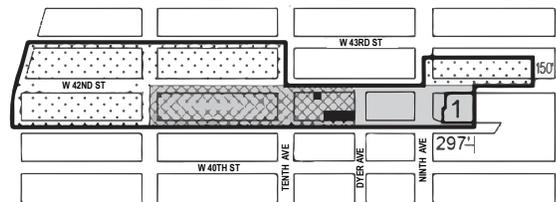


[PROPOSED MAP]

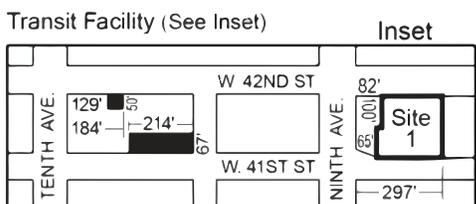


- Special Clinton District Boundary
- Area Boundary
- A** Preservation Area
- B** Perimeter Area
 - Portion of Perimeter Area B also subject to additional 42nd Street Perimeter Area regulations. (See map below)
 - Portion of Perimeter Area B also subject to Article VIII, Chapter I (Special Midtown District)
- C** Other Areas
 - C1 Northern Subarea
 - C1-1 Special Use Regulations Area
 - C1-2 Special Use Regulations Area
 - C2 Western Subarea
 - Excluded Area

42nd Street Perimeter Area



- Subarea 1 of 42nd Street Perimeter Area
- Subarea 2 of 42nd Street Perimeter Area
 - Portion of Subarea 2 of 42nd St. Perimeter Area where Theater Bonus applies
 - Site 1 Where Special Parking Regulations apply (See Inset)



* * *

No. 3

40 RECTOR STREET OFFICE SPACE

CD 1 **N 160381 PXM**
IN THE MATTER OF a Notice of Intent to acquire office space submitted by the Department of Citywide Administrative Services, pursuant to Section 195 of the New York City Charter for use of property, located at 40 Rector Street (Block 55, Lot 1026) (NYPD offices).

No. 4

230 PARK AVENUE

CD 5 **N 160382 PXM**
IN THE MATTER OF a Notice of Intent to acquire office space submitted by the Department of Citywide Administrative Services, pursuant to Section 195 of the New York City Charter for use of property, located at 230 Park Avenue (Block 1300, Lot 1) (Office of Court Administration offices).

BOROUGH OF QUEENS

No. 5

38TH STREET AND 31ST AVENUE REZONING

CD 1 **C 150135 ZMQ**
IN THE MATTER OF an application submitted by the 30-70 Astoria LLC pursuant to Sections 197-c and 201 of the New York City Charter for an amendment of the Zoning Map, Section No. 9a by changing from an R5B District to an R6B District property bounded by a line 140 feet northwesterly of 38th Street, a line 420 feet northeasterly of 31st Avenue, a line midway between 37th Street and 38th Street, and a line 100 feet northeasterly of 31st Avenue, as shown on a diagram (for illustrative purposes only) dated March 28, 2016.

Nos. 6, 7 & 8

BARNETT AVENUE REZONING

No. 6

CD 2 **C 160103 ZMQ**
IN THE MATTER OF an application submitted by Sunnyside-Barnett Associates LLC pursuant to Sections 197-c and 201 of the New York City Charter for an amendment of the Zoning Map, Section Nos. 9b and 9d as follows:

1. changing from an M1-1 District to an M1-1/R6 district property bounded by the southerly boundary line of the Long Island Rail Road Right-of-Way (Main Line), the northerly centerline prolongation of 52nd Street, Barnett Avenue, and the northerly prolongation of the westerly streetline of 50th Street; and
2. establishing a Special Mixed Use District (MX-17) bounded by the southerly boundary line of the Long Island Rail Road Right-of-Way (Main Line), the northerly centerline prolongation of 52nd Street, Barnett Avenue, and the northerly prolongation of the westerly streetline of 50th Street

as shown on a diagram (for illustrative purposes only) March 28, 2016.

No. 7

No. 7 **N160101 ZRQ**

CD 2
IN THE MATTER OF an application submitted by Sunnyside-Barnett Associates LLC pursuant to Section 201 of the New York City Charter, for an amendment of the Zoning Resolution of the City of New York, modifying Appendix F for the purpose of establishing a Mandatory Inclusionary Housing area.

Matter in underline is new, to be added;
 Matter in ~~strikeout~~ is to be deleted;
 Matter within # # is defined in Section 12-10;
 * * * indicates where unchanged text appears in the Zoning Resolution

* * *

APPENDIX F

Inclusionary Housing Designated Areas and Mandatory Inclusionary Housing Areas

* * *

Queens

* * *

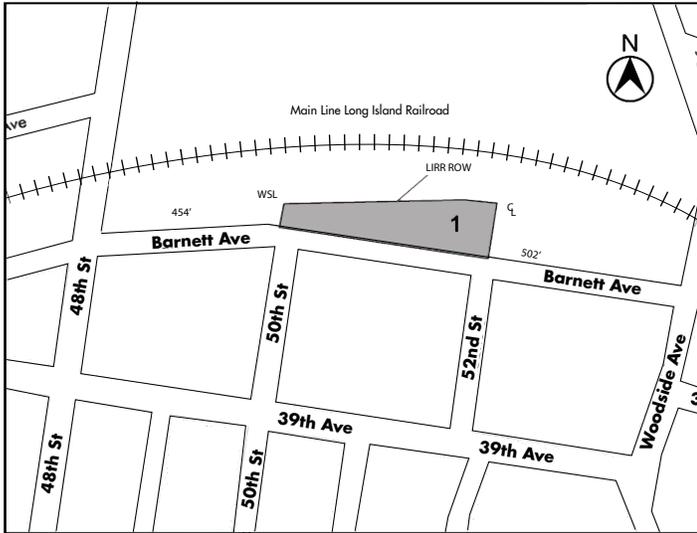
Queens Community District 2

In the R6, R7A and R7X Districts within the areas shown on the following Maps 1, and 2 and 4:

* * *

Map 4 – (date of adoption)

[PROPOSED MAP]



Mandatory Inclusionary Housing area see Section 23-154(d)(3)
 Area 1 (date of adoption) — MIH Program Option 2
 Portion of Community District 2, Queens

No. 8

CD 2 **N160102 ZRQ**
IN THE MATTER OF an application submitted by Sunnyside-Barnett Associates LLC pursuant to Section 201 of the New York City Charter, for an amendment of the Zoning Resolution of the City of New York, to establish that R6 districts in Mandatory Inclusionary Housing areas area allowed a maximum FAR of 3.6, to establish Mixed-Use District MX-17 (M1-1/R6) and to modify height and setback regulations in MX-17 (M1-1/R6).

Matter in underline is new, to be added;
 Matter in ~~strikeout~~ is to be deleted;
 Matter within # # is defined in Section 12-10;
 *** indicates where unchanged text appears in the Zoning Resolution

Article II
RESIDENCE DISTRICT REGULATIONS

Chapter 3
Bulk Regulations for Residential Buildings in Residence Districts

23-10
OPEN SPACE AND FLOOR AREA REGULATIONS
R1 R2 R3 R4 R5 R6 R7 R8 R9 R10

23-15
Open Space and Floor Area Regulations in R6 through R10 Districts
R6 R7 R8 R9 R10

23-154
Inclusionary Housing

For #developments# or #enlargements# providing #affordable housing# pursuant to the Inclusionary Housing Program, as set forth in Section 23-90, inclusive, the maximum #floor area ratio# permitted in R10 Districts outside of #Inclusionary Housing designated areas# shall be as set forth in Paragraph (a) of this Section, and the maximum #floor area ratio# in the #Inclusionary Housing designated areas# existing on March 22, 2016, shall be as set forth in Paragraph (b) of this Section. Special provisions for specified #Inclusionary Housing designated areas# are set forth in Paragraph (c) of this Section. Special #floor area# provisions for #zoning lots# in #Mandatory Inclusionary Housing areas# are set forth in Paragraph (d) of this Section. The maximum #lot coverage# shall be as set forth in Section 23-153 (For Quality Housing buildings) for the applicable zoning district. For the purpose of this Section, defined terms include those set forth in Sections 12-10 and 23-911.

(b) #Inclusionary Housing designated areas#

The #residential floor area# of a #zoning lot# may not exceed the base #floor area ratio# set forth in the table in this Paragraph (b), except that such #floor area# may be increased on a #compensated zoning lot# by 1.25 square feet for each square foot of #low income floor area# provided, up to the maximum #floor area ratio# specified in the table, as applicable. However, the amount of #low income floor area# required to receive such #floor area compensation# need not exceed 20 percent of the total #floor area#, exclusive of ground floor non-#residential floor area#, or any #floor area# increase for the provision of a #FRESH food store#, on the #compensated zoning lot#.

District	Maximum #Residential Floor Area Ratio#	
	Base #floor area ratio#	Maximum #floor area ratio#
R6B	2.00	2.20
R6 ¹	2.20	2.42
R6 ^{2,3} R6A R7-2 ¹	2.70	3.60
R7A R7-2 ²	3.45	4.60
R7-3	3.75	5.0
R7D	4.20	5.60
R7X	3.75	5.00
R8	5.40	7.20
R9	6.00	8.00
R9A	6.50	8.50
R9D	7.5	10.0
R9X	7.3	9.70
R10	9.00	12.00

¹ for #zoning lots#, or portions thereof, beyond 100 feet of a #wide street#

² for #zoning lots#, or portions thereof, within 100 feet of a #wide street#

³ for #zoning lots# in #Mandatory Inclusionary Housing areas#

Article XII
Special Purpose Districts

Chapter 3
Special Mixed Use District

123-60
SPECIAL BULK REGULATIONS

123-63
Maximum Floor Area Ratio and Lot Coverage Requirements for Zoning Lots Containing Only Residential Buildings in R6, R7, R8 and R9 Districts

Where the designated #Residence District# is an R6, R7, R8 or R9 District, the minimum required #open space ratio# and maximum #floor area ratio# provisions of Section 23-151 (Basic regulations for R6 through R9 Districts), shall not apply. In lieu thereof, all #residential buildings#, regardless of whether they are required to be #developed# or #enlarged# pursuant to the Quality Housing Program, shall comply with the maximum #floor area ratio# and #lot coverage# requirements set forth for the designated district in Section 23-153 (For Quality Housing buildings), or Section 23-155 (Affordable independent residences for seniors), as applicable.

However, in #Inclusionary Housing designated areas#, as listed in the table in this Section, the maximum permitted #floor area ratio# shall be as set forth in Section 23-154 (Inclusionary Housing). The locations of such districts are specified in APPENDIX F of this Resolution.

#Special Mixed Use District#	Designated #Residence District#
MX 2 - Community District 2, Brooklyn	R7A R8A
MX 8 - Community District 1, Brooklyn	R6 R6A R6B R7A
MX 11 - Community District 6, Brooklyn	R7-2

MX 13 – Community District 1, The Bronx R6A R7A R7X R8A
 MX 14 - Community District 6, The Bronx R7A R7X
 MX 16 – Community Districts 5 and 16, R6A R7A R7D R8A
 Brooklyn
 MX 17 - Community District 2, Queens R6

**123-66
 Height and Setback Regulations**

**123-662
 All buildings in Special Mixed Use Districts with R6, R7, R8, R9 and R10 District designations**

In #Special Mixed Use Districts# where the designated #Residence District# is an R6, R7, R8, R9 or R10 District, the height and setback regulations of Sections 23-60 and 43-40 shall not apply. In lieu thereof, all #buildings or other structures# shall comply with the height and setback regulations of this Section.

(a) Medium and high density non-contextual districts

- (1) In #Special Mixed Use Districts# where the designated #Residence District# is an R6, R7, R8, R9 or R10 District without a letter suffix, the height of a #building or other structure#, or portion thereof, located within 10 feet of a #wide street# or 15 feet of a #narrow street#, may not exceed the maximum base height specified in Table A of this Section, except for dormers permitted in accordance with Paragraph (c) of this Section. Beyond 10 feet of a #wide street# and 15 feet of a #narrow street#, the height of a #building or other structure# shall not exceed the maximum #building# height specified in Table A. However, a #building or other structure# may exceed such maximum #building# height by four #stories# or 40 feet, whichever is less, provided that the gross area of each #story# located above the maximum #building# height does not exceed 80 percent of the gross area of that #story# directly below it.

Table A
 HEIGHT AND SETBACK FOR ALL BUILDINGS
 IN MEDIUM AND HIGH DENSITY
 NON-CONTEXTUAL DISTRICTS
 (in feet)

District	Maximum Base Height	Maximum #Building# Height
R6	60	110
R7-1 R7-2	60	135
R7-3	85	185
R8	85	210
R9	85	225
R9-1	85	280
R10	110	350

- (2) In #Special Mixed Use District# 15 in the Borough of Manhattan, where the designated #Residence District# is an R7-2 District, the height and setback regulations of Paragraph (a)(1) of this Section shall not apply. In lieu thereof, the height and setback regulations of this paragraph, (a)(2), shall apply.
 - (i) A #building or other structure#, or portion thereof, located within ten feet of a #wide street# or 15 feet of a #narrow street#, shall rise to a minimum height of 60 feet, and may rise to a maximum height of 85 feet, except for dormers permitted in accordance with Paragraph (c) of this Section.
 - (ii) At least 70 percent of the #aggregate width of street walls# shall be located on the #street line# and shall extend to the minimum base height of 60 feet or the height of the #building#, whichever is less. The remaining 30 percent of the #aggregate width of street walls# shall be located within eight feet of the #street line#.
 - (iii) Existing #buildings# may be vertically #enlarged# by up to one #story# or 15 feet without regard to the #street wall# location provisions of this Paragraph, (a)(2). Beyond ten feet of a #wide street# and 15 feet of a #narrow street#, the height of a #building or other structure# shall not exceed a maximum #building# height of 135 feet.

However, a #building or other structure# may exceed a height of 135 feet by four #stories# or 40 feet, whichever is less, provided that the gross area of each #story# located above 135 feet does not exceed 80 percent of the gross area of that #story# directly below it.

- (3) In #Special Mixed Use District# 17 in the Borough of Queens, where the designated #Residence District# is an R6 District, the height and setback regulations of Paragraph (a)(1) of this Section shall be modified such that a #building or other structure#, or portion thereof, located within ten feet of a #wide street# or 15 feet of a #narrow street#, may rise to a maximum base height of 85 feet provided that such #building or other structure# contains #affordable housing# pursuant to Section 23-90 (INCLUSIONARY HOUSING).

**123-90
 SPECIAL MIXED USE DISTRICTS SPECIFIED**

The #Special Mixed Use District# is mapped in the following areas:

#Special Mixed Use District# - 16: (4/20/16)
 Ocean Hill/East New York, Brooklyn

The #Special Mixed Use District# - 16 is established in Ocean Hill and East New York in Brooklyn as indicated on the #zoning maps#.

#Special Mixed Use District# - 17: ([date of adoption])
 Sunnyside, Queens

The #Special Mixed Use District# - 17 is established in Sunnyside in Queens as indicated on the #zoning maps#.

**No. 9
 MITCHELL-LINDEN LIBRARY SITE**

CD 7 C 160247 PQQ
IN THE MATTER OF an application submitted by the Queens Public Library and the Department of Citywide Administrative Services, pursuant to Section 197-c of the New York City Charter, for the acquisition of property, located at 31-32 Union Street (Block 4414, Lots 1333-1339) for continued use as a library.

**No. 10
 ROSEDALE LIBRARY SITE**

CD 13 C 160248 PQQ
IN THE MATTER OF an application submitted by the Queens Public Library and the Department of Citywide Administrative Services, pursuant to Section 197-c of the New York City Charter, for the acquisition of property, located at 144-20 243rd Street (Block 13549, Lot 7) for continued use as a library.

**No. 11
 NYPD VEHICLE STORAGE FACILITY**

CD 2 C 160249 PCQ
IN THE MATTER OF an application submitted by the NYC Police Department and the Department of Citywide Administrative Services, pursuant to Section 197-c of the New York City Charter, for the site selection and acquisition of property, located at 59-64 and 59-66 54th Avenue (Block 2656, Lots 75 and 80) for use as a vehicle storage facility.

YVETTE V. GRUEL, Calendar Officer
 City Planning Commission
 120 Broadway, 31st Floor, New York, NY 10271
 Telephone (212) 720-3370



j28-jy13

COMMUNITY BOARDS

PUBLIC HEARINGS

PUBLIC NOTICE IS HEREBY GIVEN that the following matters have been scheduled for public hearing by Community Board:

BOROUGH OF MANHATTAN

COMMUNITY BOARD NO. 01 Tuesday, July 12, 2016, 1:00 P.M., 22 Reade Street, Spector Hall, New York City, NY.

BSA# 2016-4135-BZ
 20 Pine Street

IN THE MATTER OF a special permit to permit the operation of a physical culture establishment (La Palestra) in portions of the basement and sub-cellar levels of an existing building, C5-5 zoning district.

jy6-12

BOARD OF CORRECTION

■ NOTICE

Please take note that the next meeting of the Board of Correction will be held on July 12th, at 9:00 A.M. The location of the meeting will be 125 Worth Street, New York, NY 10013, in the Auditorium on the 2nd Floor. At that time there will be a discussion of various issues concerning New York City's correctional system.

jy6-12

EMPLOYEES' RETIREMENT SYSTEM

■ MEETING

Please be advised that the next Regular Meeting of the Board of Trustees of the New York City Employees' Retirement System has been scheduled for Thursday, July 14, 2016 at 9:30 A.M. to be held at the New York City Employees' Retirement System, 335 Adams Street, 22nd Floor, Boardroom, Brooklyn, NY 11201-3751.

• jy7-13

FRANCHISE AND CONCESSION REVIEW COMMITTEE

■ MEETING

PUBLIC NOTICE IS HEREBY GIVEN that the Franchise and Concession Review Committee will hold a public meeting on Wednesday, July 13, 2016, at 2:30 P.M., at 2 Lafayette Street, 14th Floor Conference Room, Borough of Manhattan.

NOTE: Individuals requesting Sign Language Interpreters should contact the Mayor's Office of Contract Services, 253 Broadway, 9th Floor, New York, NY 10007 (212) 788-0010, no later than **SEVEN (7) BUSINESS DAYS PRIOR TO THE PUBLIC MEETING.**

jy1-13

LANDMARKS PRESERVATION COMMISSION

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that pursuant to the provisions of Title 25, Chapter 3 of the Administrative Code of the City of New York (Sections 25-303, 25-307, 25-308, 25-309, 25-313, 25-318, 25-320) on Tuesday, July 12, 2016, a public hearing will be held at 1 Centre Street, 9th Floor, Borough of Manhattan with respect to the following properties and then followed by a public meeting. The final order and estimated times for each application will be posted on the Landmarks Preservation Commission website the Friday before the hearing. Any person requiring reasonable accommodation in order to participate in the hearing or attend the meeting should contact the Landmarks Commission no later than five (5) business days before the hearing or meeting.

39-37 47th Street - Sunnyside Gardens Historic District

184038 - Block 148 - Lot 32 - Zoning: R4

CERTIFICATE OF APPROPRIATENESS

A rowhouse with Colonial Revival style details designed by Clarence Stein and Henry Wright and built in 1927. Application is to legalize the rebuilding of the front stoop without Landmarks Preservation Commission permit(s).

39-39 47th Street - Sunnyside Gardens Historic District

183771 - Block 148 - Lot 131 - Zoning: R4

CERTIFICATE OF APPROPRIATENESS

A rowhouse with Colonial Revival style details designed by Clarence Stein and Henry Wright and built in 1927. Application is to legalize the rebuilding of the front stoop without Landmarks Preservation Commission permit(s).

39-36 47th Street - Sunnyside Gardens Historic District

183455 - Block 149 - Lot 50 - Zoning: R4

CERTIFICATE OF APPROPRIATENESS

A rowhouse with Colonial Revival style details, designed by Clarence

Stein, Henry Wright, and Frederick Ackerman and built in 1925. Application is to construct a retaining wall at the front yard.

178-16 Murdock Avenue - Addisleigh Park Historic District

183620 - Block - Lot 6 Zoning: R2

CERTIFICATE OF APPROPRIATENESS

A Colonial Revival style house designed by P. Maher and built in 1926. Application is to legalize the installation of a fence without Landmarks Preservation Commission permit(s).

114 Noble Street - Greenpoint Historic District

181461 - Block 2569 - Lot 19 - Zoning: R6B

CERTIFICATE OF APPROPRIATENESS

A wood frame house built in 1852. Application is to replace the stoop.

130 Montague Street - Brooklyn Heights Historic District

180506 - Block 249 - Lot 26 - Zoning: R7-1/C1-3

CERTIFICATE OF APPROPRIATENESS

A Gothic Revival style rowhouse built in 1850-60 and later altered with storefronts at the basement and parlor floor. Application is to install signage.

315 Vanderbilt Avenue - Clinton Hill Historic District

183206 - Block 1929 - Lot 7 - Zoning: R6B

CERTIFICATE OF APPROPRIATENESS

A commercial building built in the 1940s. Application is to install a barrier-free access ramps and an egress stair.

394-396 Vanderbilt Avenue - Fort Greene Historic District

180372 - Block 1959 - Lot 50 - Zoning: R6B

CERTIFICATE OF APPROPRIATENESS

A pair of transitional Italianate/Neo-Grec style rowhouses designed by Thomas B. Jackson. Application is to construct a rear-yard addition and install a barrier-free access ramp at the areaway.

17 South Elliott Place - Fort Greene Historic District

184546 - Block 2099 - Lot 32 - Zoning: R6B

CERTIFICATE OF APPROPRIATENESS

An Italianate style house built in 1870. Application is to construct a rear yard addition.

347 Adelphi Street - Fort Greene Historic District

186136 - Block 2121 - Lot 10 - Zoning: R6B

CERTIFICATE OF APPROPRIATENESS

An Italianate style rowhouse built c. 1859. Application is to replace windows.

128 Water Street - DUMBO Historic District

185860 - Block 38 - Lot 1 - Zoning: M1-2/R8A

CERTIFICATE OF APPROPRIATENESS

An Industrial neo-Classical style factory building designed by William Higginson and built in 1908. Application is to install storefront infill.

271 Macon Street - Bedford-Stuyvesant/Expanded Stuyvesant Heights Historic District

181255 - Block 1847 - Lot 76 - Zoning: R6B

CERTIFICATE OF APPROPRIATENESS

An Italianate style rowhouse built c. 1872-73. Application is to legalize the installation of windows without Landmarks Preservation Commission permit(s).

332 Macon Street - Bedford-Stuyvesant/Expanded Stuyvesant Heights Historic District

180959 - Block 1669 - Lot 6 - Zoning: R6B

CERTIFICATE OF APPROPRIATENESS

An Italianate style rowhouse built c. 1873. Application is to legalize the installation of entrance infill without Landmarks Preservation Commission permit(s).

96 Decatur Street - Bedford-Stuyvesant/Expanded Stuyvesant Heights Historic District

183193 - Block 1858 - Lot 13 - Zoning: R6A

CERTIFICATE OF APPROPRIATENESS

A Romanesque/Renaissance Revival style rowhouse designed by Henry Olmsted & Sons and built in 1892. Application is to construct a rooftop bulkhead, install a deck and stair, and modify a bay window.

317 Decatur Street - Bedford-Stuyvesant/Expanded Stuyvesant Heights Historic District

184427 - Block 1678 - Lot 76 - Zoning: R6B

CERTIFICATE OF APPROPRIATENESS

A Romanesque/Renaissance Revival style rowhouse designed by G.H. Madigan and built in 1892. Application is to construct a rooftop addition.

121 Congress Street - Cobble Hill Historic District

182470 - Block 295 - Lot 34 - Zoning: R6

CERTIFICATE OF APPROPRIATENESS

An Italianate style rowhouse built in 1850 - 1855. Application is to alter the façade, install windows and install ironwork.

536 1st Street - Park Slope Historic District

168018 - Block 1077 - Lot 13 - Zoning: R7B

CERTIFICATE OF APPROPRIATENESS

A Neo-Renaissance style rowhouse built in 1909. Application is to construct a rear yard addition.

888 St. John's Place - Crown Heights North Historic District II
185424 - Block 1255 - Lot 23 - Zoning: R6
CERTIFICATE OF APPROPRIATENESS

A Romanesque/Renaissance Revival style rowhouse designed by Frederick L. Hine and built circa 1897. Application is to alter the areaway.

576 Bergen Street - Prospect Heights Historic District
178622 - Block 1144 - Lot 16 - Zoning: R6B
CERTIFICATE OF APPROPRIATENESS

A Neo-Grec style rowhouse designed by Benjamin Estes and built c. 1884. Application is to construct rooftop and rear yard additions, alter the areaway and install a railing.

90 Hudson Street - Tribeca West Historic District

183578 - Block 179 - Lot 17 - Zoning: C6-2A
CERTIFICATE OF APPROPRIATENESS

A Romanesque Revival style warehouse building built in 1881-82. Application is to install a platform and barrier-free access ramp.

35 West 10th Street - Greenwich Village Historic District
184437 - Block 574 - Lot 62 - Zoning: R6
CERTIFICATE OF APPROPRIATENESS

A building originally built in 1831-32, and altered in the late-19th century. Application is to construct rooftop and rear yard additions and excavate the rear yard.

85-89 Jane Street - Greenwich Village Historic District

181551 - Block 642 - Lot 70, 72 - Zoning: C4-4A, R6
CERTIFICATE OF APPROPRIATENESS

A stable and carriage house built c. 1885, now a garage and factory building; and a garage building built in 1919. Application is to alter the facades and construct rooftop additions.

422 Hudson Street - Greenwich Village Historic District

185114 - Block 583 - Lot 2 - Zoning: C1-6
CERTIFICATE OF APPROPRIATENESS

An Italianate style rowhouse built in 1853. Application is to legalize painting elements of the façade without Landmarks Preservation Commission permit(s), and to replace windows at the storefront.

246 West 11th Street - Greenwich Village Historic District

184960 - Block 613 - Lot 12 - Zoning: R6
CERTIFICATE OF APPROPRIATENESS

A Greek Revival style rowhouse built in 1842. Application is to remove a studio window dormer, construct rooftop and rear yard additions, excavate the cellar, and alter the areaway and front façade.

83 Horatio Street - Greenwich Village Historic District

178133 - Block 643 - Lot 71 - Zoning: C4-4A, R6
CERTIFICATE OF APPROPRIATENESS

A Greek Revival style house built in 1852-53. Application is to demolish an existing addition, construct rooftop and rear yard additions, perform excavation, and replace the cornice.

30 Grove Street - Greenwich Village Historic District

178333 - Block 588 - Lot 12 - Zoning: R6
CERTIFICATE OF APPROPRIATENESS

A vernacular Greek Revival style townhouse with early Italianate style and transitional features built in 1851-52. Application is to replace entry stairs and areaway fence, and to alter the areaway.

740 Broadway, aka 2 Astor Place - NoHo Historic District

185730 - Block 545 - Lot 26 - Zoning: M1-5B
CERTIFICATE OF APPROPRIATENESS

A Beaux-Arts style loft building designed by Francis H. Kimball and built in 1910-12. Application is to replace entrance infill.

363 Lafayette Street - NoHo Historic District Extension

186969 - Block 530 - Lot 17 - Zoning: M1-5B
CERTIFICATE OF APPROPRIATENESS

A vacant lot. Application is to construct a new building.

120 Prince Street - SoHo-Cast Iron Historic District

186394 - Block 500 - Lot 16 - Zoning: M1-5A
CERTIFICATE OF APPROPRIATENESS

A Neo-Grec style building designed by Fred S. Schlesinger and built in 1892-93. Application is to establish a master plan governing the future installation of painted wall signs.

89 Grand Street aka 36 Greene Street - SoHo-Cast Iron Historic District

186253 - Block 230 - Lot 25 - Zoning: M1-5B
CERTIFICATE OF APPROPRIATENESS

A Neo-Grec style store building designed by W. Hume and constructed in 1877. Application is to modify window openings.

75 Spring Street - SoHo-Cast Iron Historic District Extension

186202 - Block 496 - Lot 40 - Zoning: M1-5B
CERTIFICATE OF APPROPRIATENESS

A Romanesque Revival style store building designed by Robert Lyons and built in 1898. Application is to construct bulkheads, install a fence, and raise a parapet.

339 West 29th Street - Lamartine Place Historic District

164417 - Block 753 - Lot 16 - Zoning: RB8
CERTIFICATE OF APPROPRIATENESS

A Greek Revival style rowhouse with Renaissance Revival style elements originally built in 1846-47, altered in 1951 by Harry Gerson. Application is to modify rooftop and rear additions constructed prior to designation and to alter the façade.

347 West End Avenue - West End - Collegiate Historic District

184951 - Block 1185 - Lot 70 - Zoning: R10A R8B
CERTIFICATE OF APPROPRIATENESS

An Eclectic Renaissance style rowhouse designed by Lamb and Rich and built in 1891. Application is to construct rear yard and rooftop additions and modify masonry openings.

252 West 71st Street - West End - Collegiate Historic District Extension

177750 - Block 1162 - Lot 55 - Zoning: R8B
CERTIFICATE OF APPROPRIATENESS

A Renaissance Revival style rowhouse designed by Thom & Wilson and built in 1892. Application is to construct rooftop and rear yard additions and alter the fenestration.

252 West 76th Street - West End - Collegiate Historic District Extension

182601 - Block 1167 - Lot 59 - Zoning: R8B
CERTIFICATE OF APPROPRIATENESS

A Beaux-Arts style apartment building designed by Ralph S. Townsend and built in 1902-03. Application is to install a barrier-free access ramp, alter the areaway, modify the entrance steps, and install an entrance marquee.

466-468 Columbus Avenue - Upper West Side/Central Park West Historic District

184172 - Block 1213 - Lot 32 - Zoning: C1-8A, EC-2
CERTIFICATE OF APPROPRIATENESS

A commercial building built in 1894, altered by William and Donald Freed in 1961, and altered and enlarged pursuant to Certificate of Appropriateness 06-7569 by Gruzen Samton LLP. Application is to demolish the existing building and construct a new building.

1111 Park Avenue - Park Avenue Historic District

180974 - Block 1518 - Lot 69 - Zoning: 6B
CERTIFICATE OF APPROPRIATENESS

A Colonial Revival style apartment building designed by Schwartz & Gross and built in 1924-25. Application is to establish a master plan governing the future installation of windows.

169 East 71st Street - Upper East Side Historic District

178626 - Block 1406 - Lot 28 - Zoning: R8B
CERTIFICATE OF APPROPRIATENESS

An Italianate style rowhouse designed by John Sexton and built in 1866. Application is to construct rooftop and rear yard additions.

7 East 84th Street - Metropolitan Museum Historic District

184952 - Block 1496 - Lot 8 - Zoning: R8B
CERTIFICATE OF APPROPRIATENESS

A rowhouse built in 1884-85 and redesigned as a Neo-Regency style residence by Augustus N. Allen in 1906, and further modified with a garage at the ground floor installed prior to designation. Application is to alter the front and rear facades.

215 East 61st Street - Treadwell Farm Historic District

186281 - Block 1416 - Lot 8 Zoning: R8B
CERTIFICATE OF APPROPRIATENESS

A rowhouse designed by A. & S. Bussell and built in 1875, and later altered. Application is to install a door enframingent.

34 East 62nd Street - Upper East Side Historic District

182440 - Block 1376 - Lot 48 - Zoning: R8B
CERTIFICATE OF APPROPRIATENESS

A vacant lot. Application is to construct a new building.

1112 Park Avenue - Park Avenue Historic District

184050 - Block 718 - Lot 1 - Zoning: R10 R8B
CERTIFICATE OF APPROPRIATENESS

A Colonial Revival style apartment building designed by Emery Roth and built in 1926-1927. Application is to install chimney flues.

127 East 92nd Street - Carnegie Hill Historic District

178929 - Block 1521 - Lot 13 - Zoning: C1-8X R8B
CERTIFICATE OF APPROPRIATENESS

A Neo-Grec/Queen Anne style rowhouse designed by C. Abbott French & Co. and built in 1886-87. Application is to construct rooftop and rear yard additions.

1150 Fifth Avenue - Expanded Carnegie Hill Historic District

182272 - Block 1602 - Lot 1 - Zoning: R10
CERTIFICATE OF APPROPRIATENESS

A Neo-Georgian style brick apartment building designed by J.E.R. Carpenter and built in 1923-24. Application is to construct a rooftop addition.

267 West 139th Street - St. Nicholas Historic District

181740 - Block 2025 - Lot 1 - Zoning: R7-2

CERTIFICATE OF APPROPRIATENESS

An Eclectic Italianate style apartment house designed by McKim Mead and White and built in 1891-92. Application is to replace fire escapes.

j28-jy12

NOTICE IS HEREBY GIVEN that pursuant to the provisions of Title 25, Chapter 3 of the Administrative Code of the City of New York (Sections 25-303, 25-307, 25-308, 25-309, 25-313, 25-318, 25-320) on **Tuesday, July 19, 2016 at 9:30 A.M.**, a public hearing will be held at 1 Centre Street, 9th Floor, Borough of Manhattan with respect to the following properties and then followed by a public meeting. The final order and estimated times for each application will be posted on the Landmarks Preservation Commission website the Friday before the hearing. Please note that the order and estimated times are subject to change. Any person requiring reasonable accommodation in order to participate in the hearing or attend the meeting should contact the Landmarks Commission no later than five (5) business days before the hearing or meeting.

ITEMS FOR PUBLIC HEARING

East Midtown Properties

Item No. 1

LP-2554

Graybar Building, 420 Lexington Avenue (aka 420-430 Lexington Avenue), Manhattan

Landmark Site: Borough of Manhattan Tax Map Block 1280, Lot 60

Item No. 2

LP-2556

Pershing Square Building, 125 Park Avenue (aka 101-105 East 41st Street; 100-108 East 42nd Street; 117-123 Park Avenue; 127-131 Park Avenue), Manhattan

Landmark Site: Borough of Manhattan Tax Map Block 1296, Lot 1

Item No. 3

LP-2557

Shelton Hotel, 523 Lexington Avenue (aka 523-527 Lexington Avenue; 137-139 East 48th Street; 136-140 East 49th Street), Manhattan

Landmark Site: Borough of Manhattan Tax Map Block 1303, Lot 53

Item No. 4

LP-2555

Beverly Hotel (now Benjamin Hotel), 557 Lexington Avenue (aka 125-129 East 50th Street; 557-565 Lexington Avenue), Manhattan

Landmark Site: Borough of Manhattan Tax Map Block 1305, Lot 20

Item No. 5

LP-2559

Hotel Lexington, 511 Lexington Avenue (aka 509-515 Lexington Avenue; 134-142 East 48th Street), Manhattan

Landmark Site: Borough of Manhattan Tax Map Block 1302, Lot 51

Brooklyn Property

Item No. 6

LP-2575

Empire State Dairy, 2840 Atlantic Avenue (aka 2840-2844 Atlantic Avenue; 181-185 Schenck Avenue), Brooklyn

Landmark Site: Borough of Brooklyn Tax Map Block 3964, Lot 8 in part

Accessibility questions: Lorraine Roach-Steele, 212-669-7815, lroach-steele@pc.nyc.gov, by: Tuesday, July 12, 2016 5:00 P.M.



jy5-18

SMALL BUSINESS SERVICES

■ PUBLIC HEARINGS

NOTICE OF A JOINT PUBLIC HEARING of the Franchise and Concession Review Committee and the New York City Department of Small Business Services to be held on Monday, July 11, 2016, at 2 Lafayette Street, 14th Floor Auditorium, Borough of Manhattan, commencing at 2:30 P.M. relative to:

AMENDMENT of the concession agreement between the New York City Department of Small Business Services and FirstFlight Heliports, LLC d/b/a Saker Aviation Services ("Operator") for the operation of the Downtown Heliport, located at 6 East River Piers, New York, NY. The amendment extends the expiration date of the concession agreement through April 30, 2021 with two (2) one (1) year renewal options exercisable at the sole discretion of the City and establishes new minimum annual guarantee amounts for Year 9, Year 10 and the extended term of the concession agreement. Operator will pay the following minimum annual guarantee amounts:

Year 9:	\$814,855;
Year 10:	\$848,098;
Extended Operating Year 1:	\$882,870.02;

Extended Operating Year 2:	\$919,068.00;
Extended Operating Year 3:	\$956,749.00;
Option Year 1:	\$995,976.00;
Option Year 2:	\$1,036,811.00.

The amended concession agreement will also (i) reduce the maximum number of tourist flights allowed, (ii) require the cessation of tourist flights over land, (iii) require air quality monitoring and (iv) require Operator to actively research additional mitigation of noise and emissions and implement any such technology as it becomes commercially feasible.

A draft copy of the amended concession agreement may be reviewed or obtained at no cost, commencing Wednesday, June 29, 2016, through July 11, 2016, between the hours of 10:00 A.M. and 5:00 P.M., excluding weekends and holidays, at the New York City Economic Development Corporation, located at 110 William Street, 6th Floor, New York, NY 10018.

Individuals requesting sign language Interpreters should contact the Mayor's Office of Contract Services, Public Hearings Unit, 253 Broadway, 9th Floor, New York, NY 10007, (212) 788-7490, no later than SEVEN (7) BUSINESS DAYS PRIOR TO THE PUBLIC HEARING.

TELECOMMUNICATION DEVICE FOR THE DEAF (TDD)
(212) 504-4115

j24-jy11

TRANSPORTATION

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN, pursuant to law, that the following proposed revocable consents, have been scheduled for a public hearing by the New York City Department of Transportation. The hearing will be held at 55 Water Street, 9th Floor, Room 945 commencing at 2:00 P.M. on Wednesday, July 27, 2016. Interested parties can obtain copies of proposed agreements or request sign-language interpreters (with at least seven days prior notice) at 55 Water Street, 9th Floor South West, New York, NY 10041, or by calling (212) 839-6550.

#1 IN THE MATTER OF proposed revocable consent authorizing 33rd Street Investors IV LLC, to construct, maintain and use stairs, together with railing, on the north sidewalk of East 33rd Street, east of Third Avenue, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from Date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule:

From the Approval Date to June 30, 2017 - \$563/annum
For the period July 1, 2017 to June 30, 2018 - \$577
For the period July 1, 2018 to June 30, 2019 - \$591
For the period July 1, 2019 to June 30, 2020 - \$605
For the period July 1, 2020 to June 30, 2021 - \$619
For the period July 1, 2021 to June 30, 2022 - \$633
For the period July 1, 2022 to June 30, 2023 - \$647
For the period July 1, 2023 to June 30, 2024 - \$661
For the period July 1, 2024 to June 30, 2025 - \$675
For the period July 1, 2025 to June 30, 2026 - \$689
For the period July 1, 2026 to June 30, 2027 - \$703

the maintenance of a security deposit in the sum of \$3,000 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#2 IN THE MATTER OF a proposed revocable consent authorizing 807 Manhattan Avenue Holding LLC, to continue to maintain and use nine (9) lampposts, together with electrical conduits in on the sidewalks of Manhattan Avenue and Calyer Street, in the Borough of Brooklyn. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

For the period July 1, 2016 to June 30, 2026 - \$1,350/per annum.

the maintenance of a security deposit in the sum of \$2,000 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#3 IN THE MATTER OF a proposed revocable consent authorizing East 27 Hotel LLC, to construct, maintain and use a stair, together with railing, on the north sidewalk of East 27th Street, east of Fifth Avenue, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from the Date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule:

From the Approval Date to June 30, 2017 - \$3,731/annum
For the period July 1, 2017 to June 30, 2018 - \$3,830
For the period July 1, 2018 to June 30, 2019 - \$3,929
For the period July 1, 2019 to June 30, 2020 - \$4,028

For the period July 1, 2020 to June 30, 2021 - \$4,127
 For the period July 1, 2021 to June 30, 2022 - \$4,226
 For the period July 1, 2022 to June 30, 2023 - \$4,325
 For the period July 1, 2023 to June 30, 2024 - \$4,424
 For the period July 1, 2024 to June 30, 2025 - \$4,523
 For the period July 1, 2025 to June 30, 2026 - \$4,622
 For the period July 1, 2026 to June 30, 2027 - \$4,721

the maintenance of a security deposit in the sum of \$10,000 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#4 IN THE MATTER OF a proposed revocable consent authorizing Federal Reserve Bank of New York, to continue to maintain and use conduits and cables in the existing facilities of the Empire City Subway Company (limited) in Liberty Street, Maiden Lane and John Street, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

For the period July 1, 2016 to June 30, 2017 - \$35,867
 For the period July 1, 2017 to June 30, 2018 - \$36,785
 For the period July 1, 2018 to June 30, 2019 - \$37,703
 For the period July 1, 2019 to June 30, 2020 - \$38,621
 For the period July 1, 2020 to June 30, 2021 - \$39,539
 For the period July 1, 2021 to June 30, 2022 - \$40,457
 For the period July 1, 2022 to June 30, 2023 - \$41,375
 For the period July 1, 2023 to June 30, 2024 - \$42,293
 For the period July 1, 2024 to June 30, 2025 - \$43,211
 For the period July 1, 2025 to June 30, 2026 - \$44,129

the maintenance of a security deposit in the sum of \$44,500 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#5 IN THE MATTER OF a proposed revocable consent authorizing Lincoln Center for the Performing Arts, Inc., to continue to maintain and use a tunnel under and across West 65th Street, west of Broadway, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

For the period July 1, 2016 to June 30, 2017 - \$34,233
 For the period July 1, 2017 to June 30, 2018 - \$35,109
 For the period July 1, 2018 to June 30, 2019 - \$35,985
 For the period July 1, 2019 to June 30, 2020 - \$36,861
 For the period July 1, 2020 to June 30, 2021 - \$37,737
 For the period July 1, 2021 to June 30, 2022 - \$38,613
 For the period July 1, 2022 to June 30, 2023 - \$39,489
 For the period July 1, 2023 to June 30, 2024 - \$40,365
 For the period July 1, 2024 to June 30, 2025 - \$41,241
 For the period July 1, 2025 to June 30, 2026 - \$42,117

the maintenance of a security deposit in the sum of \$40,000 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Five Million Dollars (\$5,000,000) aggregate.

#6 IN THE MATTER OF a proposed revocable consent authorizing Lincoln Center for the Performing Arts, Inc., to continue to maintain and use an underground garage under and along the north sidewalk of West 65th Street, east of Amsterdam Avenue, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

For the period July 1, 2016 to June 30, 2017 - \$63,123.16
 For the period July 1, 2017 to June 30, 2018 - \$64,739
 For the period July 1, 2018 to June 30, 2019 - \$66,355
 For the period July 1, 2019 to June 30, 2020 - \$67,971
 For the period July 1, 2020 to June 30, 2021 - \$69,587
 For the period July 1, 2021 to June 30, 2022 - \$71,203
 For the period July 1, 2022 to June 30, 2023 - \$72,819
 For the period July 1, 2023 to June 30, 2024 - \$74,435
 For the period July 1, 2024 to June 30, 2025 - \$76,051
 For the period July 1, 2025 to June 30, 2026 - \$77,667

the maintenance of a security deposit in the sum of \$140,000 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Five Million Dollars (\$5,000,000) aggregate.

#7 IN THE MATTER OF a proposed revocable consent authorizing Pacific Associates LLC, to maintain and use a fenced-in area on the north sidewalk of Pacific Street, between Vanderbilt and Underhill Avenues, at 893 Pacific Street, in the Borough of Brooklyn. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

For the period July 1, 2016 to June 30, 2017 - \$1,890
 For the period July 1, 2017 to June 30, 2018 - \$1,938
 For the period July 1, 2018 to June 30, 2019 - \$1,986

For the period July 1, 2019 to June 30, 2020 - \$2,034
 For the period July 1, 2020 to June 30, 2021 - \$2,082
 For the period July 1, 2021 to June 30, 2022 - \$2,130
 For the period July 1, 2022 to June 30, 2023 - \$2,178
 For the period July 1, 2023 to June 30, 2024 - \$2,226
 For the period July 1, 2024 to June 30, 2025 - \$2,274
 For the period July 1, 2025 to June 30, 2026 - \$2,322

the maintenance of a security deposit in the sum of \$2,400 and the insurance shall be the amount of One Million Dollars (\$1,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#8 IN THE MATTER OF a proposed revocable consent authorizing Pacific Associates LLC, to maintain and use a fenced-in area on the north sidewalk of Pacific Street, between Vanderbilt and Underhill Avenues, at 895 Pacific Street, in the Borough of Brooklyn. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

For the period July 1, 2016 to June 30, 2017 - \$1,890
 For the period July 1, 2017 to June 30, 2018 - \$1,938
 For the period July 1, 2018 to June 30, 2019 - \$1,986
 For the period July 1, 2019 to June 30, 2020 - \$2,034
 For the period July 1, 2020 to June 30, 2021 - \$2,082
 For the period July 1, 2021 to June 30, 2022 - \$2,130
 For the period July 1, 2022 to June 30, 2023 - \$2,178
 For the period July 1, 2023 to June 30, 2024 - \$2,226
 For the period July 1, 2024 to June 30, 2025 - \$2,274
 For the period July 1, 2025 to June 30, 2026 - \$2,322

the maintenance of a security deposit in the sum of \$2,400 and the insurance shall be the amount of One Million Dollars (\$1,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#9 IN THE MATTER OF a proposed revocable consent authorizing The Parkchester South Condominium, to continue to maintain and use conduits under and across certain streets, in the Borough of the Bronx. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

For the period July 1, 2016 to June 30, 2017 - \$63,006
 For the period July 1, 2017 to June 30, 2018 - \$64,619
 For the period July 1, 2018 to June 30, 2019 - \$66,232
 For the period July 1, 2019 to June 30, 2020 - \$67,845
 For the period July 1, 2020 to June 30, 2021 - \$69,458
 For the period July 1, 2021 to June 30, 2022 - \$71,071
 For the period July 1, 2022 to June 30, 2023 - \$72,684
 For the period July 1, 2023 to June 30, 2024 - \$74,297
 For the period July 1, 2024 to June 30, 2025 - \$75,910
 For the period July 1, 2025 to June 30, 2026 - \$77,523

the maintenance of a security deposit in the sum of \$77,600 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#10 IN THE MATTER OF a proposed revocable consent authorizing United Nations, to construct, maintain and use guard booths, electrical conduits, post and portion of street used in connection with loading bays, on the south side of East 48th Street adjacent to the United Nations existing loading dock area, east of Franklin Delano Roosevelt Drive, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from July 1, 2016 to June 30, 2026, and provides among other terms and conditions for compensation payable to the City according to the following schedule:

The annual estimated cost of posting is \$357,000 per year

there is no security deposit and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

• jy7-27



CITYWIDE ADMINISTRATIVE SERVICES

■ SALE

The City of New York, in partnership with PropertyRoom.com, posts vehicle and heavy machinery auctions online every week at:

<http://www.propertyroom.com/s/7300>

All auctions are open to the general public, and registration is free.

Vehicles can be viewed in person by appointment at: KenBen Industries, 364 Maspeth Avenue, Brooklyn, NY 11211. Phone: (718) 802-0022

a28-o6

OFFICE OF CITYWIDE PROCUREMENT

■ NOTICE

The Department of Citywide Administrative Services, Office of Citywide Procurement is currently selling surplus assets on the internet. Visit <http://www.publicsurplus.com/sms/nycdcas.ny/browse/home>

To begin bidding, simply click on 'Register' on the home page.

There are no fees to register. Offerings may include but are not limited to: office supplies/equipment, furniture, building supplies, machine tools, HVAC/plumbing/electrical equipment, lab equipment, marine equipment, and more.

Public access to computer workstations and assistance with placing bids is available at the following locations:

- DCAS Central Storehouse, 66-26 Metropolitan Avenue, Middle Village, NY 11379
- DCAS, Office of Citywide Procurement, 1 Centre Street, 18th Floor, New York, NY 10007

j4-d30

POLICE

■ NOTICE

OWNERS ARE WANTED BY THE PROPERTY CLERK DIVISION OF THE NEW YORK CITY POLICE DEPARTMENT

The following listed property is in the custody of the Property Clerk Division without claimants:

Motor vehicles, boats, bicycles, business machines, cameras, calculating machines, electrical and optical property, furniture, furs, handbags, hardware, jewelry, photographic equipment, radios, robes, sound systems, surgical and musical instruments, tools, wearing apparel, communications equipment, computers, and other miscellaneous articles.

Items are recovered, lost, abandoned property obtained from prisoners, emotionally disturbed, intoxicated and deceased persons; and property obtained from persons incapable of caring for themselves.

INQUIRIES

Inquiries relating to such property should be made in the Borough concerned, at the following office of the Property Clerk.

FOR MOTOR VEHICLES (All Boroughs):

- Springfield Gardens Auto Pound, 174-20 North Boundary Road, Queens, NY 11430, (718) 553-9555
- Erie Basin Auto Pound, 700 Columbia Street, Brooklyn, NY 11231, (718) 246-2030

FOR ALL OTHER PROPERTY

- Manhattan - 1 Police Plaza, New York, NY 10038, (646) 610-5906
- Brooklyn - 84th Precinct, 301 Gold Street, Brooklyn, NY 11201, (718) 875-6675
- Bronx Property Clerk - 215 East 161 Street, Bronx, NY 10451, (718) 590-2806
- Queens Property Clerk - 47-07 Pearson Place, Long Island City, NY 11101, (718) 433-2678
- Staten Island Property Clerk - 1 Edgewater Plaza, Staten Island, NY 10301, (718) 876-8484

j4-d30

PROCUREMENT

"Compete To Win" More Contracts!

Thanks to a new City initiative - "Compete To Win" - the NYC Department of Small Business Services offers a new set of FREE services to help create more opportunities for minority and women-owned businesses to compete, connect and grow their business with the City. With NYC Construction Loan, Technical Assistance, NYC Construction Mentorship, Bond Readiness, and NYC Teaming services, the City will be able to help even more small businesses than before.

- **Win More Contracts at nyc.gov/competetowin**

"The City of New York is committed to achieving excellence in the design and construction of its capital program, and building on the tradition of innovation in architecture and engineering that has contributed to the City's prestige as a global destination. The contracting opportunities for construction/construction services and construction-related services that appear in the individual agency listings below reflect that commitment to excellence."

HHS ACCELERATOR

To respond to human services Requests for Proposals (RFPs), in accordance with Section 3-16 of the Procurement Policy Board Rules of the City of New York ("PPB Rules"), vendors must first complete and submit an electronic prequalification application using the City's Health and Human Services (HHS) Accelerator System. The HHS Accelerator System is a web-based system maintained by the City of New York for use by its human services Agencies to manage procurement. The process removes redundancy by capturing information about boards, filings, policies, and general service experience centrally. As a result, specific proposals for funding are more focused on program design, scope, and budget.

Important information about the new method

- Prequalification applications are required every three years.
- Documents related to annual corporate filings must be submitted on an annual basis to remain eligible to compete.
- Prequalification applications will be reviewed to validate compliance with corporate filings, organizational capacity, and relevant service experience.
- Approved organizations will be eligible to compete and would submit electronic proposals through the system.

The Client and Community Service Catalog, which lists all Prequalification service categories and the NYC Procurement Roadmap, which lists all RFPs to be managed by HHS Accelerator may be viewed at <http://www.nyc.gov/html/hhsaccelerator/html/roadmap/roadmap.shtml>. All current and prospective vendors should frequently review information listed on roadmap to take full advantage of upcoming opportunities for funding.

Participating NYC Agencies

HHS Accelerator, led by the Office of the Mayor, is governed by an Executive Steering Committee of Agency Heads who represent the following NYC Agencies:

Administration for Children's Services (ACS)
 Department for the Aging (DFTA)
 Department of Consumer Affairs (DCA)
 Department of Corrections (DOC)
 Department of Health and Mental Hygiene (DOHMH)
 Department of Homeless Services (DHS)
 Department of Probation (DOP)
 Department of Small Business Services (SBS)
 Department of Youth and Community Development (DYCD)
 Housing and Preservation Department (HPD)
 Human Resources Administration (HRA)
 Office of the Criminal Justice Coordinator (CJC)

To sign up for training on the new system, and for additional information about HHS Accelerator, including background materials, user guides and video tutorials, please visit www.nyc.gov/hhsaccelerator

ADMINISTRATION FOR CHILDREN'S SERVICES

■ AWARD

Human Services/Client Services

ATTACHMENT AND BIO-BEHAVORAL CATCH-UP SERVICES - Required/Authorized Source - Other - PIN# 06816R0002001 - AMT: \$13,708,805.36 - TO: Fund for the City of New York, 121 Avenue of the Americas, New York, NY 10013. Per PPB 10-02(d)

• jy7

CITYWIDE ADMINISTRATIVE SERVICES

OFFICE OF CITYWIDE PROCUREMENT

■ AWARD

Goods

FLASHLIGHT, LED, 2D, RE-AD - Competitive Sealed Bids - PIN# 8571600221 - AMT: \$113,058.00 - TO: Finesse Creations Inc, 3004 Avenue J, Brooklyn, NY 11210.
 ● **GOGGLES SAFETY GLASSES AND MEGAPHONES (BULL HORNS)** - Competitive Sealed Bids - PIN# 8571600236 - AMT: \$43,800.00 - TO: Magid Glove and Safety MFG Co., LLC, 1300 Naperville Drive, Romeoville, IL 60446.

• jy7

SERVICENOW AUTOMATION SUITE - DOT - Other - PIN# 8571600418 - AMT: \$48,240.00 - TO: Carahsoft Technology Corp., 1860 Michael Faraday Drive, Suite 100, Reston, VA 20190-5328.

GSA # GS-35F-01196
 Suppliers wishing to be considered for a contract with the General Services Administration of the Federal Government are advised to contact the Small Business Utilization Center, Jacob K. Javits Federal Building, 26 Federal Plaza, Room 18-130, New York, NY 10278 or by phone: (212) 264-1234.

• jy7

COMPTROLLER

■ SOLICITATION

Goods and Services

CORRECTION: NOTICE OF INTENT TO ENTER INTO NEGOTIATIONS FOR A BOARD PORTAL - Negotiated Acquisition - Other - PIN# 015-16818700 IT - Due 7-18-16 at 12:00 P.M.

CORRECTION: In accordance with Section 3-04 of the New York City Procurement Policy Board Rules, the New York City's Comptroller's Office (the "Comptroller's Office"), as custodian and investment advisor to the five (5) New York City Retirement Systems (combined, the "Systems"), is seeking to enter into negotiations with a firm to deliver a board portal to support the \$161 billion of the total assets it manages.

The Notice of Intent will be available for download from the Comptroller's website at <http://comptroller.nyc.gov/> on or about July 1, 2016. To download the Notice of Intent, select "Forms and RFPs" then "RFPs and Solicitations" then "Asset Management RFPs." Click on link provided to "Register." Expressions of interest are due July 18, 2016 by 12:00 P.M. (ET).

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Comptroller, 1 Centre Street, Room 800 South, New York, NY 10007. Aya Guriel (212) 669-2756; aguriel@comptroller.nyc.gov

jy1-8

BUDGET

■ SOLICITATION

Services (other than human services)

ACTUARIAL SERVICES - Request for Proposals - PIN# 015201726295 - Due 8-8-16 at 3:00 P.M.

The Comptroller of The City of New York (the "Comptroller") is inviting qualified, independent, actuarial firms to submit proposals to conduct two consecutive biennial engagements, comprising of actuarial audits of employer contributions to the City's five actuarial pension systems,

experience studies to review current actuarial assumptions, and related review services.

Proposals from Minority-Owned and Women-Owned businesses or proposals that include partnering arrangements with Minority-Owned or Women-Owned firms are encouraged. Additionally, proposals from small and New York City-based firms are also encouraged.

To be considered, firms submitting proposals must be a qualified actuarial firm and/or a firm with a qualified actuarial component that has performed one or more actuarial experience studies and related services of comparable scope for a municipal/state pension system in the past seven (7) years; and has significant experience during the past seven (7) years providing actuarial services to large public municipal/state pension systems with assets over \$5 billion.

An optional pre-proposal conference will be held on July 22, 2016 at 10:00 A.M. (EDT) at the Office of the Comptroller, 1 Centre Street, Room 1200, New York, NY 10007. If you intend to attend, kindly RSVP at rcharle@comptroller.nyc.gov using "Conference" in the subject line, no later than July 19, 2016.

The RFP will be available for download from the Comptroller's website on July 7, 2016. You must register to download a copy of the RFP, which fully describes the scope or work, minimum requirements, and submission procedures. To download the RFP, click on the following link: <http://comptroller.nyc.gov/forms-n-rfps/rfps-n-solicitations/>. Under "Budget RFPs", select "Register". Questions about the RFP should be transmitted by email to Rosa Charles, Bureau of Budget at rcharle@comptroller.nyc.gov by July 19, 2016.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Comptroller, One Centre Street, New York, NY 10007. Rosa Charles (212) 669-2495; rcharle@comptroller.nyc.gov

• jy7

DESIGN AND CONSTRUCTION

AGENCY CHIEF CONTRACTING OFFICER

■ SOLICITATION

Construction/Construction Services

CROTON WATER TREATMENT PLANT FACILITIES AND LANDSCAPE CONSTRUCTION-BOROUGH OF THE BRONX

- Competitive Sealed Bids - PIN# 85016B0088 - Due 8-17-16 at 2:00 P.M.

PROJECT NO. HED-CLUB/DDC PIN:8502016CT0009C

Bid Document Deposit-\$35.00 per set-Company Check or Money Order Only-No Cash Accepted-Late Bids will not be accepted

Special Experience Requirements

There will be an Optional Pre-Bid Walk-Thru on Thursday, July 22, 2016, at 10:00 A.M., at The Croton Water Treatment Plant, located at 3651 Jerome Avenue, Bronx, NY 10467.

Bid Documents Are Available at: <http://ddcbiddocuments.nyc.gov/inet/html/contrbid.asp>

VENDOR SOURCE# 90681

This procurement is subject to Minority-Owned and Women-Owned Business Enterprises (MWBE) participation goals as required by Local Law 1 of 2013. All respondents will be required to submit an M/WBE Participation Plan with their response. For the MWBE goals, please visit our website at <http://ddcbiddocuments.nyc.gov/inet/html/contrbid.asp> see "Bid Opportunities". For a list of companies certified by the NYC Department of Small Business Services, please visit www.nyc.gov/buycertified. To find out how to become certified, visit www.nyc.gov/getcertified or call the DSBS certification helpline at (212) 513-6311.

This contract is subject to the Project Labor Agreement ("PLA") entered into between the City and the Building and Construction Trades Council of Greater New York ("BCTC") affiliated Local Unions. For further information, see Volume 2 of the Bid Documents.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Design and Construction, 30-30 Thomson Avenue, First Floor, Long Island City, NY 11101. Brenda Barreiro (718) 391-1041; Fax: (718) 391-2615; barreibob@ddc.nyc.gov

• jy7

EDUCATIONAL CONSTRUCTION FUND

FINANCE

■ SOLICITATION

Services (other than human services)

INSURANCE BROKERAGE AND ADVISORY SERVICES

- Request for Proposals - PIN# 7184728000 - Due 7-22-16 at 12:00 P.M.

The New York City Educational Construction Fund ("ECF") is seeking proposals from organizations that are capable of providing insurance brokerage and consulting services to a public benefit corporation organized under State of New York law. A copy of the Request for Proposal (RFP) for Insurance Brokerage and Advisory Services may be obtained from ECF by contacting the Fund at (718) 472-8287. Responses to the RFP must be received at the Fund's offices by 12 NOON, July 22, 2016.

New York City Educational Construction Fund
30-30 Thomson Avenue, 1st Floor
Long Island City, NY 11101

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.
Educational Construction Fund, 30-30 Thomson Avenue, 1st Floor, Long Island City, NY 11101. Helena Viteri (718) 472-8287; Fax: (718) 752-5222; hviteri@nycsca.org

j28-jy12

ENVIRONMENTAL PROTECTION

WATER AND SEWER OPERATIONS

■ AWARD

Services (other than human services)

MOBILE VACTOR-JET FLUSHER MACHINE FOR SEWER AND CATCH BASIN CLEANING WITH OPERATOR AND LABORER, CITYWIDE - Competitive Sealed Bids - PIN# 82616B0017001 - AMT: \$3,987,998.67 - TO: Tully Environmental Inc., 127-50 Northern Boulevard, Flushing, NY 11368. VAC-16

• jy7

HOUSING AUTHORITY

■ SOLICITATION

Construction / Construction Services

COMMUNITY CENTER RENOVATION AT FULTON HOUSES

- Competitive Sealed Bids - PIN# GR1601290 - Due 8-18-16 at 11:00 A.M.

There will be a Pre-Bid Meeting on July 21, 2016, at 11:00 A.M., at 119 Ninth Avenue, NY 10011. Although attendance is not mandatory, it is strongly recommended that you attend. NYCHA staff will be available to address all inquiries relevant to this contract.

Bid documents are available Monday through Friday, 9:00 A.M. to 4:00 P.M., for a \$25.00 fee in the form of a money order or certified check made payable to NYCHA. Documents can also be obtained by registering with I-supplier and downloading documents. Please note that original bid bonds are due at the time of bid opening.

This contract shall be subject to the New York City Housing Authority's Project Labor Agreement if the Bidder's price exceeds \$250,000.00.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Housing Authority, 90 Church Street, New York, NY 10007. Vaughn Banks (212) 306-6727; Fax: (212) 306-5152; vaughn.banks@nycha.nyc.gov



• jy7

HOUSING PRESERVATION AND DEVELOPMENT

■ AWARD

Human Services / Client Services

HOUSING PRESERVATION INITIATIVE - Line Item

Appropriation or Discretionary Funds - Other - PIN# 80616L0049001 - AMT: \$100,000.00 - TO: Agudath Israel of America Community Services, 42 Broadway, New York, NY 10004.

Housing Preservation Initiative

● **CONTRACT POWER BUILDER NET PROGRAMMER FOR HPDINFO** - Competitive Sealed Bids/Pre-Qualified List - Other - PIN# 806161000188 - AMT: \$152,803.00 - TO: Kforce Inc. Kforce Professional Staffing, 140 Broadway, 21st Floor, New York, NY 10005. Power Builder Net Programmer

• jy7

MAINTENANCE

■ AWARD

Construction / Construction Services

IMMEDIATE EMERGENCY DEMOLITION - Emergency Purchase

- Other - PIN# 80616E0033001 - AMT: \$225,000.00 - TO: Slater Associates Inc., 106 Overlook Avenue, Staten Island, NY 10304.

E-4530R/DG00185 Immediate Emergency Demolition

• jy7

HUMAN RESOURCES ADMINISTRATION

■ INTENT TO AWARD

Services (other than human services)

CHANGE MACHINE SUBSCRIPTION AND SUPPORT SERVICES

- Sole Source - Available only from a single source - PIN# 16USMMI30101 - Due 7-11-16 at 2:00 P.M.

HRA/MIS intends to enter into sole source negotiations with "The Financial Clinic" for the change machine subscription and support services which are being requested by EIS. The Financial Clinic will support NYC's "HRA" and its nine Domestic Violence Non-Residential Service Providers through its comprehensive training, technical assistance, and software services. Over the past 4 years, the Clinic has provided training, technical assistance, and tools to support the Non-Res Providers to successfully embed financial security strategies into their work. As a result of these supports, all 9 of the Non-Res Providers staff are addressing financial security barriers with the survivors they serve. The leadership teams at the Non-Res Providers have adopted a robust financial security model and are collecting and leveraging financial security data in marketing materials and fundraising. All materials, data collection systems and other features on Change Machine were developed by Financial Clinic and are not offered by any other provider.

E-PIN: 09616S0002 Term: 4/1/2016 - 3/31/2018 Amount: \$139,510.00

Organizations that believe they are qualified to provide these services or are interested in similar future procurements, may express their interest by letter addressed to Chukus Obicheta, Office of Contracts, 150 Greenwich Street, 37th Floor, New York, NY 10007.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Human Resources Administration, 150 Greenwich Street, 37th Floor, New York, NY 10007. Chukus Obicheta (929) 221-6401; obicheta@hra.nyc.gov

jy1-8

OFFICE OF CONTRACTS**AWARD***Human Services/Client Services*

PROVISION OF ANTI-EVICTION LEGAL SERVICES AND ADVOCACY TO LOW INCOME FAMILIES/INDIVIDUALS IN MANHATTAN - BP/City Council Discretionary - PIN#09616L0045001 - AMT: \$814,056.00 - TO: Goddard Riverside Community Center, 593 Columbus Avenue, New York, NY 10024. Term: 7/1/2015 - 6/30/2016

● **PROVISION OF LEGAL REPRESENTATION FOR UNEMPLOYED INDIVIDUALS WHO HAVE BEEN WRONGFULLY DENIED UNEMPLOYMENT BENEFITS** - BP/City Council Discretionary - PIN#09616L0021001 - AMT: \$500,000.00 - TO: The Legal Aid Society, 199 Water Street, 3rd Floor, New York, NY 10038. Term: 7/1/2015 - 6/30/2016

• jy7

INVESTIGATION**AGENCY CHIEF CONTRACTING OFFICER****INTENT TO AWARD***Services (other than human services)*

ARRANGE FOR DISPLAY OF DOI'S MEDIA CAMPAIGN IN THE SUBWAYS AND ON THE BUSES THROUGHOUT THE CITY - Sole Source - Available only from a single source - PIN#03216S002 - Due 7-8-16 at 9:00 A.M.

DOI intends to enter into negotiations for a sole source procurement with Outdoor Media to arrange the display of our media campaign, "Do What's Right" in the subways and on the buses throughout the City. Any vendor who believes that it can also provide these services is invited to submit an expression of interest.

Pursuant to Section 2-11 of the Procurement Policy Board Rules, anyone who wishes to speak at the public hearing for this procurement should request to do so in writing. The written request must be received by DOI within 5 business days after publication of this notice. Written requests to speak should be sent to Vicki C. Davie, Agency Chief Contracting Officer, at Department of Investigation, Procurement Unit, 80 Maiden Lane, 25th Floor, New York, NY 10038 or email vdavie@doi.nyc.gov or call (212) 825-2875. If DOI receives no written requests to speak within the prescribed time, DOI reserves the right not to conduct the public hearing.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.
Investigation, 80 Maiden Lane, 25th Floor, New York, NY 10038. Vicki C. Davie (212) 825-2875; vdavie@doi.nyc.gov

j30-jy7

PARKS AND RECREATION**VENDOR LIST***Construction/Construction Services*

PREQUALIFIED VENDOR LIST: GENERAL CONSTRUCTION - NON-COMPLEX GENERAL CONSTRUCTION SITE WORK ASSOCIATED WITH NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION ("DPR" AND/OR "PARKS") PARKS AND PLAYGROUNDS CONSTRUCTION AND RECONSTRUCTION PROJECTS

DPR is seeking to evaluate and pre-qualify a list of general contractors (a "PQL") exclusively to conduct non-complex general construction site work involving the construction and reconstruction of DPR parks and playgrounds projects not exceeding \$3 million per contract ("General Construction").

By establishing contractor's qualification and experience in advance, DPR will have a pool of competent contractors from which it can draw to promptly and effectively reconstruct and construction its parks, playgrounds, beaches, gardens and green-streets. DPR will select contractors from the General Construction PQL for non-complex general construction site work of up to \$3,000,000.00 per contract, through the use of a Competitive Sealed Bid solicited from the PQL generated from this RFQ.

The vendors selected for inclusion in the General Construction PQL will be invited to participate in the NYC Construction Mentorship.

NYC Construction Mentorship focuses on increasing the use of small NYC contracts, and winning larger contracts with larger values. Firms participating in NYC Construction Mentorship will have the opportunity to take management classes and receive on-the-job training provided by a construction management firm.

DPR will only consider applications for this General Construction PQL from contractors who meet any one of the following criteria:

- 1) The submitting entity must be a Certified Minority/Woman Business enterprise (M/WBE)*;
- 2) The submitting entity must be a registered joint venture or have a valid legal agreement as a joint venture, with at least one of the entities in the joint venture being a certified M/WBE*;
- 3) The submitting entity must indicate a commitment to sub-contract no less than 50 percent of any awarded job to a certified M/WBE for every work order awarded.

*Firms that are in the process of becoming a New York City-certified M/WBE may submit a PQL application and submit a M/WBE Acknowledgement Letter, which states the Department of Small Business Services has begun the Certification process.

Application documents may also be obtained on-line at: <http://a856-internet.nyc.gov/nycvendoronline/home.asap.>; or <http://www.nycgovparks.org/opportunities/business>

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Parks and Recreation, Olmsted Center, Annex, Flushing Meadows-Corona Park, Flushing, NY 11368. Alicia H. Williams (718) 760-6925; Fax: (718) 760-6781; dmwbe.capital@parks.nyc.gov.

j4-d30

REVENUE**SOLICITATION***Services (other than human services)***OPERATION OF RESTAURANT AND BANQUET FACILITY**

- Competitive Sealed Proposals - Judgment required in evaluating proposals - PIN# Q10-A-R-2016 - Due 8-31-16 at 3:00 P.M.

In accordance with Section 1-13 of the Concession Rules of the City of New York, the New York City Department of Parks and Recreation ("Parks") is issuing, as of the date of this notice, a significant Request for Proposals ("RFP") for the operation, renovation, and maintenance of a restaurant and banquet facility, at 201-10 Cross Island Parkway, Queens.

There will be a recommended site visit on July 27, 2016, at 12:00 P.M. We will be meeting at 201-10 Cross Island Parkway, Bayside, NY 11360, off the Cross Island Parkway between 201st and 202nd Streets in Queens. If you are considering responding to this RFP, please make every effort to attend this recommended site visit. All proposals submitted in response to this RFP must be submitted no later than August 31, 2016, at 3:00 P.M.

Hard copies of the RFP can be obtained, at no cost, commencing on July 1, 2016, through August 31, 2016, between the hours of 9:00 A.M. and 5:00 P.M., excluding weekends and holidays, at the Revenue Division of the New York City Department of Parks and Recreation, which is located at 830 Fifth Avenue, Room 407, New York, NY 10065.

The RFP is also available for download, commencing on June 30, 2016 through August 31, 2016, on Parks' website. To download the RFP, visit www.nyc.gov/parks/businessopportunities, click on the link for "Concessions Opportunities at Parks" and, after logging in, click on the "download" link that appears adjacent to the RFP's description.

For more information or to request to receive a copy of the RFP by mail, prospective proposers may contact Alexander Han, Director of Concessions, at (212) 360-3457 or at Alexander.Han@parks.nyc.gov.

TELECOMMUNICATION DEVICE FOR THE DEAF (TDD)
(212) 504-4115

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Parks and Recreation, The Arsenal, Central Park, 830 Fifth Avenue, Room 407, New York, NY 10065. Alex Han (212) 360-3457; Fax: (917) 849-6642; alexander.han@parks.nyc.gov

jy1-15

OFFICE OF PAYROLL ADMINISTRATION

PROCUREMENT AND CONTRACT ADMINISTRATION

■ SOLICITATION

Services (other than human services)

INSTALLATION, OPERATION, AND MAINTENANCE OF BEVERAGE AND SNACK VENDING MACHINES - Competitive Sealed Bids - PIN# 13116VENDMAC001 - Due 7-21-16 at 3:00 P.M.

The Financial Information Services Agency (FISA) and the Office of Payroll Administration (OPA) on behalf of the City of New York requests bids for the installation, operation, and maintenance of beverage and snack vending machines for the offices located at 450 West 33rd Street in the borough of Manhattan. The solicitation package is available for download, at no cost, from The City Record On-Line (CROL) at <http://www.nyc.gov/cityrecord>. You must register with The City Record in order to download the solicitation. Alternatively, the solicitation package can be downloaded, at no cost, from OPA's website at <http://www.nyc.gov/html/opa/html/home/home.shtml>.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Office Of Payroll Administration 450 West 33rd Street, 4th Floor, New York, NY 10001. Harold Hornstein (212) 742-5941; Fax: (212) 857-1004; hhornstein@payroll.nyc.gov

j24-jy11

CONTRACT AWARD HEARINGS

NOTE: INDIVIDUALS REQUESTING SIGN LANGUAGE INTERPRETERS SHOULD CONTACT THE MAYOR'S OFFICE OF CONTRACT SERVICES, PUBLIC HEARINGS UNIT, 253 BROADWAY, 9TH FLOOR, NEW YORK, N.Y. 10007, (212) 788-7490, NO LATER THAN SEVEN (7) BUSINESS DAYS PRIOR TO THE PUBLIC HEARING. TDD USERS SHOULD CALL VERIZON RELAY SERVICES.

AGING

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Friday, July 15, 2016 at the Department for the Aging, 2 Lafayette Street, 2nd Floor Conference Room, Borough of Manhattan, commencing at 9:30 A.M. on the following:

IN THE MATTER OF one (1) proposed contract between the Department for the Aging of the City of New York and the Contractor listed below, for the provision of senior services to support the Holocaust Survivors Initiative Program. The contract term shall be from July 1, 2015 to June 30, 2016. The contract amount and the Community District in which the program is located is identified below.

No.	Contractor/Address	EPIN/PIN	Amount	Boro/CD
1	Washington Heights Inwood Preservation and Restoration Corporation 121 Bennett Avenue New York, NY 10033	12516L0205001 12516DISC3AU	\$128,760	Manhattan, CD 7

The proposed contract is being funded through discretionary funds, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

Anyone who wishes to speak at this Public Hearing should request to do so in writing. The written request must be received by the Agency within 5 business days after publication of this notice. Written requests to speak should be sent to Betty Lee, Agency Chief Contracting Officer, at the Department for the Aging (DFTA), 2 Lafayette Street, 4th Floor, New York, NY 10007. If DFTA receives no written request to speak within the prescribed time, DFTA reserves the right not to conduct the Public Hearing.

A draft copy of the proposed contract is available for public inspection at the office of the Department for the Aging, Contract Procurement

and Support Services, 2 Lafayette Street, 4th Floor, New York, NY 10007, on business days, from July 7, 2016 to July 15, 2016, excluding Holidays, from 10:00 A.M. to 4:00 P.M.

◀ jy7

AGENCY RULES

BUSINESS INTEGRITY COMMISSION

■ NOTICE

NOTICE OF ADOPTION OF FINAL RULES GOVERNING COMMERCIAL RECYCLING AND COLLECTION OF ORGANIC WASTE

NOTICE IS HEREBY GIVEN in accordance with the requirements of Section 1043 of the New York City Charter and exercising the authority vested in the Commission by Sections 1043(a) and 2101(a) and (b)(6) of the New York City Charter of the New York City Charter, and by Section 16-504 of the Administrative Code that the New York City Business Integrity Commission ("BIC" or "Commission") adopts the following rules governing Commercial Recycling and Collection of Organic Waste. BIC published a Notice of Opportunity to Comment on the proposed rules in the *City Record* on May 4, 2016. On June 3, 2016, BIC held a public hearing on the proposed rules.

Statement of Basis and Purpose of Rule

Businesses, also known as commercial establishments, in New York City are required to recycle in accordance with the Department of Sanitation's ("DSNY") commercial recycling rules creating following the passage of Local Law No. 87 of 1992, which amended §16-306 of the Administrative Code ("the Code"). BIC regulates private carters who collect and dispose of putrescible (commonly referred to as "garbage") and non-putrescible (commonly referred to as "recyclables") waste from commercial establishments in New York City that must recycle designated recyclable materials, including paper, cardboard, metal, glass and plastic.

On February 5, 2016, DSNY published in the *City Record* the adopted rules, which revised the City's current commercial recycling rules to simplify the requirements, which makes them more understandable for businesses and easier to follow. Prior to the adopted rules, certain types of businesses were required to recycle different materials than other types of businesses. Under the new rules, eliminating the distinction between businesses types and applying the same rules for all businesses will facilitate greater recycling participation and make recycling easier for businesses. In addition, allowing single stream collection and recycling (when all designated recyclable metal, glass, plastic and paper are placed in the same bags or bins by a business) and co-collection of recyclables (when all designated recyclable metal, glass and plastic is source separated from designated paper by the business, but a private carter places the source separated materials into the same compartment of a waste hauling truck) will help make commercial recycling easier to manage and can significantly increase diversion of recyclables from landfills.

On December 18, 2015, DSNY published in the *City Record* adopted rules governing organic waste generated by commercial establishments. Organic waste makes up approximately one-third of the waste generated by food-generating businesses in New York City. This material can be converted into soil enhancing compost or used as an energy source in aerobic and anaerobic digesters, but most of it is currently disposed of in landfills outside the City. Under Local Law 146 of 2013, codified in §16-306.1 of the Code, the Sanitation Commissioner must evaluate, at least annually beginning July 1, 2015, whether there exists sufficient regional organics waste processing capacity to require that certain food-generating businesses in the City, or a subset of them, arrange with their private carters to engage in alternative methods for handling organic waste separated by the businesses. Following site visits and surveys of active private organics waste processing facilities in the region and an evaluation of organic waste quantities generated by various food industry sectors in the city, DSNY identified that there is organics processing capacity available to a limited extent, and will require a subset of food-generating businesses in the city to separate their organic waste for collection and handling by their private carters.

As a result of the two adopted rules recently enacted by DSNY amending Chapter 1 of Title 16 of the Rules of the City of New York by adding a new Section 1-11 relating to the handling of organic waste

generated by certain commercial establishments, and repealing and adding a new Section 1-10 of Chapter 1 of Title 16 of the Rules of the City of New York, relating to the recycling of private carter collected waste, BIC's rules must reflect these changes. Therefore, BIC is adopting rules detailing procedures that private carters must follow when they provide refuse, recycling and organic waste collection to the commercial establishments.

New material is underlined.
[Deleted material is in brackets.]

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. The definitions as set forth in Section 1-01 of Subchapter A of Chapter 1 of Title 17 of the Rules of the City of New York are amended, and new definitions are added, in alphabetical order, to read as follows:

§ 1-01. Definitions.

For the purposes of this chapter, the following terms [shall] have the following meanings:

Applicant. ["Applicant" shall mean] The term "applicant" means, if a business entity submitting an application for a license, or for exemption from the licensing requirement of Section 16-505 of the Code, or for registration pursuant to this chapter, the entity and each principal thereof.

Code. The term "Code" [shall mean] means the Administrative Code of the City of New York.

Commission. The term "Commission" [shall mean] means the Business Integrity Commission as established by §16-502 of the Code and Section 2100 of the New York City Charter. Except in regard to a determination to refuse to issue a license pursuant to §16-509 of the Code, the term "Commission" shall also refer to an action of the Chair of the Commission, taken under delegation from the Commission pursuant to §16-504 of the Code.

Container. The term "container" means any receptacle that is used to collect waste for disposal and which generally is not disposed of after such use.

Designated Covered Establishment. The following commercial establishments are "designated covered establishments":

- (1) an arena or stadium having a seating capacity of at least fifteen thousand (15,000) persons;
- (2) a food service establishment that (i) is located within a hotel having at least one hundred and fifty (150) sleeping rooms; (ii) operates under common ownership or control of such hotel; and (iii) receives waste collection from the same private carter as such hotel;
- (3) a food manufacturer that has a floor area of at least twenty-five thousand (25,000) square feet; and
- (4) a food wholesaler that has a floor area of at least twenty thousand (20,000) square feet.

Disclosure. The term "Disclosure" [shall mean] means the information to be disclosed pursuant to Section 16-508 of the Code and Section 1-06 of this chapter, contained in the form provided by the Commission to be submitted with the application for a license or registration pursuant to this chapter. Disclosure of fines, penalties or settlements for regulatory violations set forth in clause i of Paragraph (ii) of Subdivision b of Section 16-508 of the Code shall be made when any such fine, penalty, settlement is of an amount of five thousand dollars (\$5,000) or more, or has resulted in the suspension or revocation of a license or other permission. Disclosure of injunctive relief with respect to such violations shall be made when such relief was or will be for a duration of six (6) months or more.

Exempt business. ["Exempt] The term "exempt business" [shall mean] means a business granted an exemption from the licensing requirements of Subdivision a of Section 16-505 of the Code and issued a registration pursuant to Subdivision b of such section.

Former principal. ["Former] The term "former principal" [shall mean] means a person or entity which was formerly a principal of the applicant business at any time during the ten year period preceding the filing of an application or registration pursuant to this chapter.

Licensee. ["Licensee" shall mean] The term "licensee" means a business issued a license by the Commission.

Material change. ["Material] The term "material change" [shall mean] means a change in any information provided in response to an item identified by an asterisk on an application or disclosure form submitted to the Commission.

Organic Waste. The term "Organic Waste" has the same meaning as set forth in Section 16-303 of the Administrative Code of the City of New York, except that organic waste does not include food that is donated to a third party, food that is sold to farmers for feedstock, or meat byproducts that are sold to a rendering company.

Position. ["Position"] The term "position" in a trade association [shall mean] means an officer, member of the board of directors, partner, trustee, shareholder holding ten percent (10%) or more of the outstanding shares of stock in such association, or administrator, business agent or other status involving participation directly or indirectly in the management or control of such association.

Principal. ["Principal" shall mean] The term "principal" means, of a sole proprietorship, the proprietor; of a corporation, every officer and director and every stockholder holding ten percent or more of the outstanding shares of the corporation; of a partnership, all the partners; if another type of business entity, the chief operating officer or chief executive officer, irrespective of organizational title, and all persons or entities having an ownership interest of ten percent (10%) or more; and with respect to all business entities, all other persons participating directly or indirectly in the control of such business entity. Where a partner or stockholder holding ten percent (10%) or more of the outstanding shares of a corporation is itself a partnership, or a corporation, a "principal" [shall] also [include] includes the partners of such partnership or the officers, directors and stockholders holding ten percent (10%) or more of the outstanding shares of such corporation, as is appropriate. For the purposes of this Chapter (1) an individual [shall be] is considered to hold stock in a corporation where such stock is owned directly or indirectly by or for (i) such individual; (ii) the spouse of such individual (other than a spouse who is legally separated from such individual pursuant to a judicial decree or an agreement cognizable under the laws of the state in which such individual is domiciled); (iii) the children, grandchildren and parents of such individual; and (iv) a corporation in which any of such individual, the spouse, children, grandchildren or parents of such individual in the aggregate own fifty percent (50%) or more in value of the stock of such corporation; (2) a partnership [shall be] is considered to hold stock in a corporation where such stock is owned, directly or indirectly, by or for a partner in such partnership; and (3) a corporation [shall be] is considered to hold stock in a corporation that is an applicant as defined in this section where such corporation holds fifty percent (50%) or more in value of the stock of a third corporation that holds stock in the applicant corporation.

Recyclables. ["Recyclables" shall mean] The term "recyclables" means those materials defined in Subdivision i of Section 16-303 of the Code.

Registrant. ["Registrant" shall mean] The term "registrant" means a business required to be registered with the Commission or issued a registration from the Commission. "Class 1 Registrant" [shall mean] means a business required to register pursuant to Subdivision b of Section 16-505 of the Code in order to remove, collect or dispose of trade waste that is generated in the course of operation of such business. "Class 2 Registrant" [shall mean] means a trade waste broker required to register with the Commission and an exempt business issued a registration by the Commission pursuant to such subdivision.

Special trade waste district. ["Special trade waste district" shall mean] The term "special trade waste district" means a district established by the Commission pursuant to Section 16-523 of the Code.

Trade association. ["Trade association" shall mean] The term "trade association" means an entity having as a primary purpose the promotion, advancement or self-regulation of businesses that remove, collect or dispose of trade waste, including but not limited to a corporation, unincorporated association, partnership, trust or limited liability company, whether or not such entity is organized for profit, not-for-profit, business or non-business purposes.

Trade waste or waste. ["Trade] The term "trade waste" or "waste" [shall mean] means:

(1) all putrescible and non-putrescible materials or substances, except as described in Paragraph (2) of this Subdivision, that are discarded or rejected by a commercial establishment required to provide for the removal of its waste pursuant to Section 16-116 of the Code as being spent, useless, worthless or in excess to the owners at the time of such discard or rejection, including but not limited to garbage, refuse, street sweepings, rubbish, tires, ashes, contained gaseous material, incinerator residue, construction and demolition debris, medical waste, offal and any other offensive or noxious material. Such term shall also include recyclable materials as defined in [Subdivision i of] Section 16-303 of the Code that are generated by such commercial establishments.

(2) The following are not "trade waste" or "waste" for purposes of this chapter: sewage; industrial wastewater discharges; irrigation return flows; radioactive materials that are source, special nuclear or by-product material as defined by the Atomic Energy Act of 1954, as amended, 41 U.S.C. § 2011 et seq.; materials subject to in-situ mining techniques which are not removed from the ground as part of the extraction process; and hazardous waste as defined in Section 27-0901 of the Environmental Conservation Law.

Trade waste broker. ["Trade waste broker" shall mean] The term "trade waste broker" means a person or entity who for a fee brokers agreements between consumers and providers of trade waste removal, collection or disposal services or who conducts evaluations or analyses

of the waste stream of such consumers in order to recommend cost efficient means of waste disposal or other changes in related business practices.

§ 2. Section 1-07 of Subchapter A of Chapter 1 of Title 17 of the Rules of the City of New York is amended to read as follows:

§ 1-07. Enforcement and Compliance.

The Commission may conduct lawful inspections to ensure compliance with this chapter. Such inspections may include, but need not be limited to, the inspection and audit of records required to be kept pursuant to this chapter, as well as accompanying a licensee on its collection routes and a licensee or registrant to transfer stations, recycling facilities, organic waste processing facilities, dumps and when transferring waste to other vehicles, in order to determine compliance with the provisions of this chapter and Chapter 1 of Title 16-A of the Code. A licensee shall, upon request by the Commission, provide the Commission with a list of its collection routes and schedules.

§ 3. Section 5-01 of Subchapter E of Chapter 1 of Title 17 of the Rules of the City of New York is amended and renumbered to read as follows:

§ 5-01. Sign or Decal Required; Display of License.

- (a) Every licensee shall provide to every recipient of its services a sign or decal which the licensee shall obtain from the Commission. A licensee shall not provide such a sign or decal to a business unless such licensee has entered into an agreement with such business to provide waste removal services. Such sign or decal shall conspicuously and legibly display the name, address, telephone number, number of license and the day and approximate time of waste collection. Such sign or decal [shall be conspicuously posted as prescribed in Section 16-116(b) of the Code by the owner, lessee or person in control of the commercial establishment which receives the licensee's services. The licensee shall provide each sign or decal to the customer and shall inform the customer of its obligation to post the sign or decal in accordance with the requirements of Subdivision b of Section 16-116 of the Code.] must also identify, by type, each designated recyclable material (as defined in Section 1-01 of Title 16) that will be collected by a licensee and, if applicable, whether a licensee will be using single stream collection and recycling (as defined in Section 1-01 of Title 16) or co-collection of recyclables (as defined in Section 1-01 of Title 16). Any licensee that provides organic waste removal services to a designated covered establishment shall also provide a sign or decal that provides the name of the licensee that collects the designated covered establishment's organic waste. Any licensee that provides organic waste removal services to a designated covered establishment shall also provide the designated covered establishment with a sign or decal that states (i) the name of the licensee that collects the designated covered establishment's organic waste; or (ii) that the licensee transports its organic waste to an entity that provides for beneficial organic waste reuse; or (iii) that the licensee provides for on-site processing of organic waste generated at its premises.
- (b) Such sign(s) or decal(s) shall be conspicuously posted as prescribed in Section 16-116(b) of the Code by the owner, lessee or person in control of the commercial establishment which receives the licensee's services. The licensee shall provide each sign or decal to the customer and shall inform the customer of its obligation to post the sign or decal in accordance with the requirements of Section 16-116(b) of the Code.

- (b) (c) A licensee shall not charge a fee to any business for a sign or decal issued by the Commission.
- (c) (d) A licensee shall conspicuously display its license in its place of business.
- (d) (e) All written communications with a customer or potential customer (including, without limitation, receipts and correspondence), all advertisements and the letterhead of a licensee must contain the license number assigned to the licensee by the Commission. The license number must be clearly identified as a Commission license number. Any listing consisting solely of the name, address and telephone number of the licensee need not include the licensee's license number.

§ 4. Paragraph (2) of Subdivision (g) of Section 5-03 of Subchapter E of Chapter 1 of Title 17 of the Rules of the City of New York is amended to read as follows:

(2) The Customer Register shall state the name and address of each putrescible solid waste transfer station, non-putrescible solid waste transfer station, or other facility used during the period for which the report is submitted. Such report shall also state the total volume or weight and type of designated recyclable materials collected and transported from each customer pursuant to Paragraphs (1) through (3) of Subdivision (c) of Section 5-12 of this [Title] Chapter; and/or the total combined volume or weight and type of designated recyclable materials [and non-designated materials] collected and transported from each customer pursuant to Paragraph (5)

of Subdivision (c) of Section 5-12 of this [Title] Chapter that were delivered to putrescible solid waste transfer stations, nonputrescible solid waste transfer stations, or other facilities during the period for which the report is submitted.

§ 5. Subdivision (r) Section 5-08 of Title 17 of Subchapter E of Chapter 1 of the Rules of the City of New York is renumbered as Subdivisions (s), former Subdivision (s) is renumbered as Subdivision (t) and is amended, and a new Subdivision (r) is added, to read as follows:

- (r) Any container provided by a licensee to a designated covered establishment for the collection of organic waste shall:
- (1) meet the labeling requirements set forth in section 5-11 of this Chapter;
 - (2) have a lid and a latch that keeps the lid closed and is resistant to tampering by rodents or other wildlife; and
 - (3) have the capacity to meet the disposal needs of the designated covered establishment.
- (r) (s) A licensee shall, after removing the waste of a customer, return the receptacle from which such waste was removed to a place inside or in the rear of the premises from which such waste was removed. If this is not feasible, the licensee shall place such receptacle against the building line.
- (s) (t) A licensee [who] that removes, collects or disposes of trade waste shall keep the sidewalk, flagging, curbstone and roadway abutting any area from which waste is removed free from obstruction, garbage, litter, debris and other offensive material resulting from the removal by the licensee of such trade waste.

§ 6. Subdivision (c) of Section 5-11 of Subchapter E of Chapter 1 of Title 17 of the Rules of the City of New York is renumbered as subdivision (d) and amended, former Subdivision (d) is repealed, and new Subdivisions (c) and (e) are added, to read as follows:

- (c) Any container provided by a licensee to a designated covered establishment for the collection of organic waste shall be labeled to indicate that only organic waste may be placed in that container.
- (c) (d) If trade waste is collected from any container [which] that does not have the volume capacity imprinted, the licensee collecting waste from such container shall report the exact location of such container to the Commission within three (3) business days of the time it had actual or constructive knowledge of the improper labeling.
- (d) For the purposes of this section, a container is defined as any receptacle that is used to collect waste for disposal and which is not disposed of after such use generally.]
- (e) If organic waste is collected from a designated covered establishment in a container that does not have a label indicating that the container contains only organic waste, the licensee collecting waste from such container shall report the exact location of such container to the Commission within three (3) business days of the time it had actual or constructive knowledge of the improper labeling.

§7. Section 5-12 of Subchapter E of Chapter 1 of Title 17 of the Rules of the City of New York is amended to read as follows:

§ 5-12. Recycling Requirements for Licensees.

- (a) **Designated recyclable materials.** For purposes of this section, the term [designated recyclable materials, also referred to as designated materials, shall mean] "designated recyclable materials" means materials that have been designated for recycling by the Department of Sanitation in Section 1-10(a) of Title 16.
- (b) **Licensees required to recycle.** A licensee that collects or transports designated recyclable materials that have been source-separated as required in [16 RCNY § 1-10(c)] Section 1-10(b) of Title 16 or materials that have been commingled pursuant to [16 RCNY § 1-10(d)] Section 1-10(c) and Paragraph [(5)] (2) of Subdivision [c] (c) of this section shall transport such materials to putrescible or non-putrescible transfer stations or other facilities that accept such materials for recycling, reuse or sale for reuse. Such licensee shall not bring such materials for disposal, or cause such materials to be brought for disposal to any solid waste disposal facility, whether or not such disposal facility is operated by the Department of Sanitation, in an amount that should have been detected through reasonable inspection efforts by the licensee.
- (c) **Collection restrictions for designated recyclable materials.** [(1) Designated paper materials and textiles: The following collection restrictions apply to high grade office paper, newspaper, magazines, catalogs, phone books, corrugated cardboard (collectively referred to as designated paper materials) and textiles that have been properly separated by customers in

accordance with the requirements of 16 RCNY § 1-10: Designated paper materials and textiles shall not be collected and transported in the same vehicle compartment with non-designated materials, except as otherwise provided in Paragraph (5) of this subdivision; with metal components of bulk waste that are substantially soiled with a contaminating material; or with construction waste.

(2) Designated glass, metal and plastic containers, aluminum foil and aluminum foil products: The following collection restrictions apply to containers made of glass or metal, bottles and jugs made of polyethylene terephthalate plastic (PET, plastic resin #1) or high density polyethylene plastic (HDPE, plastic resin #2) (collectively referred to as designated glass, metal, and plastic containers), and aluminum foil and aluminum foil products that have been properly separated by customers in accordance with the requirements of 16 RCNY § 1-10:

(i) designated glass, metal and plastic containers and aluminum foil and aluminum foil products that have not been separately bagged in transparent or translucent bags shall be collected and transported in a vehicle compartment that contains only such designated materials or materials that have been commingled pursuant to Paragraph (5) of this Subdivision; (ii) designated glass, metal and plastic containers and aluminum foil and aluminum foil products and non-designated glass, metal or plastic materials that have been commingled pursuant to Paragraph (5) of this subdivision and have been separately bagged by customers in transparent or translucent bags shall not be collected and transported in the same vehicle compartment as designated or non-designated construction waste, but may be collected and transported in the same vehicle compartment with any other designated or non-designated materials.

(3) Metal components of bulk waste. The following collection restriction applies to metal components of bulk waste that have been properly separated by customers in accordance with the requirements of 16 RCNY § 1-10: metal components of bulk waste that are substantially soiled with a contaminating material shall not be collected and transported in the same vehicle compartment as designated paper materials or textiles.

(4) Construction waste. The following collection restrictions apply to components of construction waste that have been designated for recycling under 16 RCNY § 1-10: designated construction waste may be collected and transported in the same vehicle compartment as non-designated components of construction waste, but shall not be collected and transported in the same vehicle compartment as any other designated or non-designated materials.

(5) Commingling non-designated materials with designated recyclable materials: Notwithstanding the provisions of Paragraphs (1) and (2) of this subdivision, a licensee may collect and transport in the same vehicle compartment: non-designated paper and wood materials with designated paper materials and textiles, and non-designated glass, metal or plastic materials with designated glass, metal and plastic containers and aluminum foil and aluminum foil products, provided such non-designated materials are not substantially soiled with a contaminating material, and provided further that a written agreement exists between the customer and licensee that provides for the recycling, reuse or sale for reuse of all materials commingled pursuant to this subdivision. Such written agreement shall identify, by type, each non-designated material that will be commingled. The types of non-designated materials are non-designated paper and wood materials, or non-designated glass, metal or plastic materials. Where such an agreement exists between the customer and licensee, the licensee shall dispose of the commingled designated and non-designated materials at putrescible or non-putrescible transfer stations, or other facilities that shall cause such materials to be recycled, reused or sold for reuse.]

(1) Waste that has been source-separated for recycling by the customer.

(i) A licensee collecting materials that have been source-separated by the customer may not commingle in the same vehicle compartment any of the following: (1) designated recyclable paper, (2) designated recyclable metal, glass, and plastic, (3) yard waste, (4) textiles, (5) construction and demolition debris, (6) organic waste, (7) any other materials that have special collection requirements pursuant to applicable local, state or federal law, or (8) other solid waste. Each of these terms is defined in Section 1-01 of Title 16.

(ii) Designated recyclable metal, glass and plastic may be commingled together, but may not be commingled in the same vehicle compartment with designated recyclable paper unless such materials are collected pursuant to single stream collection and recycling as allowed by paragraph (2) of subdivision (c) of this section.

(2) Commingling of certain designated recyclable materials. A licensee may collect waste consisting of designated metal, glass, and plastic commingled with designated recyclable paper if:

(i) it has furnished information to the Commission

demonstrating its ability to use either (a) single stream collection and recycling, or (b) co-collection of recyclables; or

(ii) it will transport its own designated recyclable materials to a central holding location under its control from which such designated recyclable materials will be delivered by the licensee directly to a recycler or collected by a licensee that has furnished information to the Commission demonstrating its ability to use either single stream collection and recycling or co-collection of recyclables.

(3) Collection of organic waste that has been source-separated.

(i) Organic waste shall not be commingled with any trade waste or designated recyclable material. No trade waste or designated recyclable material shall be collected in the same truck compartment as organic waste.

(ii) A licensee that collects or transports organic waste that has been separated as required by the Department of Sanitation under 16 RCNY Section 1-11(b)(1) shall transport such organic waste either:

A) directly to an organic waste processing facility for purposes of composting, aerobic digestion or anaerobic digestion; or

B) to a putrescible transfer station permitted or licensed by the State and the Department of Sanitation to handle source separated organic waste, and that has represented to the licensee that it will deliver such organic waste to an organic waste processing facility.

(iii) Except as otherwise provided under Subparagraph (ii) (B) of this section, any licensee that delivers organic waste directly to an organic waste processing facility shall enter into a written agreement with such facility for the delivery of organic waste that identifies the name and contact information of the organic waste processing facility owner and its location. Such agreement shall be signed by both the licensee and the facility owner, and identify the quantity of estimated organic waste to be delivered on a monthly or quarterly basis. The licensee shall also furnish to the designated covered establishment, upon its request, a copy of such written agreement it has entered into with an organic waste processing facility for the delivery of the organic waste generated by such covered establishment. A copy of such written agreement shall also be provided to the Commissioner upon request.

(d) Contract and bill requirements.

(1) Contracts entered into with customers in accordance with § 5-05 shall state the estimated volume or weight of designated recyclable materials to be collected and transported pursuant to Subdivision (c) of this section. [In the event the customer and licensee agree to commingle designated materials with non-designated materials pursuant to Paragraph (5) of Subdivision (c) of this section, the contract shall include such agreement and shall also provide for the recycling, reuse or sale for reuse of all materials commingled pursuant to such paragraph. Such contract shall also state the total combined volume or weight of commingled designated and non-designated materials to be collected and transported, and shall identify, by type, each non-designated material to be collected and transported. The types of non-designated materials are non-designated paper and wood materials, or non-designated glass, metal or plastic materials.]

(2) Contracts entered into with customers on or after July 19, 2016, shall specify that the licensee will not commingle source-separated organic waste with any trade waste or recyclable material, and such contracts may specify both the estimated volume or weight of organic waste collected and transported (that is separate from the estimated volume or weight of designated recyclable materials).

[(2)] (3) The written bill, statement or invoice provided to customers pursuant to [§] Section 5-05 shall include an itemized list of charges detailing the cost per cubic yard or per 100 pounds and the volume or weight of designated recyclable materials collected and transported pursuant to Paragraphs (1) and (2) of Subdivision (c) of this section; or the cost per cubic yard or per 100 pounds and the volume or weight of designated recyclable materials [and non-designated materials] collected and transported pursuant to Paragraphs [(5)] (1) and (2) of Subdivision (c) of this section[.]; or the cost and volume or weight of organic materials transported pursuant to Paragraph (3) of Subdivision (c) of this section.

(e) Repealed.

§ 8. Section 7-01 of Subchapter G of Chapter 1 of Title 17 of the Rules of the City of New York is amended to read as follows:

§ 7-01. [Posting] Display of Registration.

- (a) A registrant shall conspicuously [post] display the registration issued by the Commission at the registrant's place of business.
- (b) [All written communications with a customer or potential customer (including, without limitation, receipts and correspondence), all advertisements and the letterhead of a registrant must contain the registration number assigned to the registrant by the Commission. The registration number must be clearly identified as a Commission registration number. Any listing consisting solely of the name, address and telephone number of the registrant need not include the registrant's registration number.] Every registrant removing its own waste from its own commercial establishment shall post a sign or decal which the registrant shall obtain from the Commission. Such sign or decal shall conspicuously and legibly display the name, address, telephone number, and number of registration. Such sign must also identify, by type, each designated recyclable material (as defined by the Department of Sanitation in Section 1-01 of title 16) that will be collected and, if applicable, whether a registrant will be using single stream collection and recycling (as defined in Section 1-01 of Title 16) or co-collection of recyclables (as defined in Section 1-01 of Title 16). Such sign or decal shall be prominently displayed as prescribed in Section 16-116(b) of the Code by the owner, lessee or person in control of the commercial establishment.
- (c) Any registrant that is also a designated covered establishment that removes or processes its own organic waste shall post a sign or decal which the registrant shall obtain from the Commission. Such sign or decal shall conspicuously and legibly state (i) that the registrant transports its organic waste to an entity that provides for beneficial organic waste reuse, or (ii) that the registrant provides for on-site processing of organic waste generated at its premises. Such sign or decal shall be prominently displayed as prescribed in Section 16-116(b) of the Code by the owner, lessee or person in control of the commercial establishment.

§ 9. Section 7-04 of Subchapter G of Chapter 1 of Title 17 of the Rules of the City of New York is amended to read as follows:

§ 7-04. Recycling Requirements.

- (a) A registrant shall comply with the requirements for recycling set forth in Subdivisions (a) through (c) of Section 5-12 of this chapter, except that notwithstanding the provisions of Paragraphs (1) and (2) of Subdivision (c) of such section, a registrant may collect and transport in the same vehicle compartment: non-designated paper and wood materials with designated paper materials and textiles, and non-designated glass, metal or plastic materials with designated glass, metal and plastic containers and aluminum foil and aluminum foil products, provided such non-designated materials are not substantially soiled with a contaminating material. The registrant shall dispose of the commingled designated and non-designated materials at putrescible or nonputrescible transfer stations, or other facilities that shall cause such materials to be recycled, reused or sold for reuse).
- (b) A registrant that collects its own waste and receives free dump privileges at Department of Sanitation solid waste disposal facilities shall provide for source separation of designated recyclable materials (as defined in Section 5-12 of this chapter) from solid waste and organic waste, if applicable.
- (c) A registrant that is also a designated covered establishment shall comply with the requirements for disposing of organic waste set forth in subdivisions (a) through (c) of Section 5-12 of this chapter. The registrant shall dispose of the organic waste either directly to an organic waste processing facility for purposes of composting, aerobic digestion or anaerobic digestion, or to a putrescible transfer station permitted or licensed by the State and the Department of Sanitation to handle source separated organic waste, and that has represented that it will deliver such organic waste to an organic waste processing facility.
- (d) Any registrant that is also a designated covered establishment that delivers organic waste directly to an organic waste processing facility shall enter into a written agreement with such facility for the delivery of organic waste that identifies the name and contact information of the organic waste processing facility owner and its location. Such agreement shall be signed by both the registrant and the facility owner, and identify the quantity of estimated organic waste to be delivered on a monthly or quarterly basis. A copy of such written agreement shall be provided to the Commissioner upon request.

- (b)(e) Registrants shall submit to the Commission quarterly reports, which shall state the name and address of each putrescible solid waste transfer station, non-putrescible solid waste transfer station, organic waste processing facility, or other facility used during the quarter for which the report is submitted. Such report shall also state the total volume and

type of designated recyclable materials, and/or the total volume and type of commingled designated and non-designated materials, and/or the total volume and type of organic waste delivered to putrescible solid waste transfer stations, non-putrescible solid waste transfer stations, organic waste processing facilities, or other facilities during the quarter for which the report is submitted. Where applicable, such report shall also state the volume of construction waste delivered to construction and demolition debris transfer stations during the quarter for which the report is submitted. The report for the quarter ending on March 31 shall be due on April 30; the report for the quarter ending on June 30 shall be due on July 30; the report for the quarter ending on September 30 shall be due on October 30; and the report for the quarter ending on December 31 shall be due on January 30. In the event any of those dates falls on a weekend or holiday, the report shall be due the next business day.

§ 10. Section 7-05 of Subchapter G of Chapter 1 of Title 17 of the Rules of the City of New York is amended to read as follows:

§ 7-05. Operations.

A registrant [who] that removes, collects or disposes of trade waste shall keep the sidewalk, flagging, curbstone and roadway abutting any area from which waste is removed free from obstruction, garbage, litter, debris and other offensive material resulting from the removal by the registrant of trade waste and shall comply with the requirements for operation contained in subdivisions (a) through [(p)] (q) of Section 5-08 and Section 5-11 of this chapter.

• jy7

Notice of Public Hearing and Opportunity to Comment on Proposed Rules

What are we proposing? The New York City Business Integrity Commission (the "Commission" or "BIC") is proposing to amend Title 17 of the Rules of the City of New York relating to the delegation of authority to the chair to initiate the rulemaking process under the City Administrative Procedure Act. The Commission will vote on the final rule before it is promulgated.

When and where is the hearing? BIC will hold a public hearing on the proposed rule. The public hearing will take place from 10:00 A.M. to 11:00 A.M. on August 8, 2016. The hearing will be in the 2nd Floor, Conference Room number 2-160B at 100 Church Street, New York, NY 10007.

How do I comment on the proposed rules? Anyone can comment on the proposed rules by:

- **Website.** You can submit comments to BIC through the NYC rules website at <http://rules.cityofnewyork.us>.
- **Email.** You can email written comments to Salvador Arrona at sarrona@bic.nyc.gov
- **Mail.** You can mail written comments to BIC, 100 Church Street, 20th Floor, New York, NY 10007.
- **Fax.** You can fax written comments to BIC at (646) 500-7096.
- **By speaking at the hearing.** Anyone who wants to comment on the proposed rule at the public hearing must sign up to speak. You can sign up before the hearing by calling (212) 437-0523. You can also sign up in the hearing room before the hearing begins on August 8, 2016. You can speak for up to three minutes.

Is there a deadline to submit written comments? Yes, you must submit written comments by August 8, 2016.

Do you need assistance to participate in the hearing? You must contact the Business Integrity Commission if you need a reasonable accommodation because of a disability at the hearing. You must tell us if you need a sign language interpreter. You can tell us by mail at the address given above. You may also tell us by telephone at (212) 437-0523. You must tell us by August 1, 2016.

Can I review the comments made on the proposed rules? You can review the comments made online on the proposed rules by going to the website at <http://rules.cityofnewyork.us>. A few days after the hearing, a transcript of the hearing and copies of the written comments will be available to the public at BIC's offices.

What authorizes BIC to make this rule? Sections 1043(a) and 2101(b) of the City Charter authorize BIC to make this proposed rule. This proposed rule was not included in the Commission's regulatory agenda for this Fiscal Year because it was not contemplated when the Commission published the agenda.

Where can I find BIC's rules? BIC's rules are in Title 17 of the Rules of the City of New York.

What laws govern the rulemaking process? BIC must meet the requirements of Section 1043 of the City Charter when creating or

changing rules. This notice is made according to the requirements of Section 1043 of the City Charter.

Statement of Basis and Purpose of Proposed Rule

Under Section 2101 of the New York City Charter, the chair of the Business Integrity Commission (“BIC”) has charge of the organization of the Commission and has authority to employ, assign and superintend the duties of such officers and employees as may be necessary to carry out the Commission’s regulatory duties.

Under this proposed rule, the Business Integrity Commission would delegate authority to the chair to initiate the rulemaking process under the City Administrative Procedure Act. The Business Integrity Commission must still approve, by majority vote, any new rule before it is made final. This proposed rule is necessary for the Business Integrity Commission to continue to efficiently perform its regulatory duties.

BIC’s authority for this rule is found in Sections 1043(a) and 2101(b) of the New York City Charter.

New material is underlined.

Title 17 of the Rules of the City of New York is amended by adding a new Chapter 3, to read as follows:

**CHAPTER 3
RULEMAKING**

§15-01 Proposed Rules

The chair may draft or direct to be drafted such proposed rules of the Commission as he or she may deem necessary to effectuate the provisions of Section 2101 of the New York City Charter and of Title 16-A of the administrative code of the city of New York, and may provide for the publication and distribution of any such proposed rule and for a public hearing on any such proposed rule, all in accordance with the requirements of Chapter 45 of the New York City Charter. No rule of the Commission will be promulgated except by vote of a majority of the Commission, in accordance with Section 1119 of the New York City Charter.

**NEW YORK CITY LAW DEPARTMENT
DIVISION OF LEGAL COUNSEL
100 CHURCH STREET
NEW YORK, NY 10007
212-356-4028**

**CERTIFICATION PURSUANT TO
CHARTER §1043(d)**

RULE TITLE: Authority to Proceed with Agency Rulemaking
REFERENCE NUMBER: 2016 RG 056
RULEMAKING AGENCY: Business Integrity Commission

I certify that this office has reviewed the above-referenced proposed rule as required by Section 1043(d) of the New York City Charter, and that the above-referenced proposed rule:

- (i) is drafted so as to accomplish the purpose of the authorizing provisions of law;
- (ii) is not in conflict with other applicable rules;
- (iii) to the extent practicable and appropriate, is narrowly drawn to achieve its stated purpose; and
- (iv) to the extent practicable and appropriate, contains a statement of basis and purpose that provides a clear explanation of the rule and the requirements imposed by the rule.

/s/ STEVEN GOULDEN
Acting Corporation Counsel

Date: June 9, 2016

**NEW YORK CITY MAYOR’S OFFICE OF OPERATIONS
253 BROADWAY, 10th FLOOR
NEW YORK, NY 10007
212-788-1400**

**CERTIFICATION/ANALYSIS
PURSUANT TO CHARTER SECTION 1043(d)**

RULE TITLE: Authority to Proceed with Agency Rulemaking
REFERENCE NUMBER: BIC-5
RULEMAKING AGENCY: Business Integrity Commission

I certify that this office has analyzed the proposed rule referenced above as required by Section 1043(d) of the New York City Charter, and that the proposed rule referenced above:

- (i) Is understandable and written in plain language for the discrete regulated community or communities;
- (ii) Minimizes compliance costs for the discrete regulated community or communities consistent with achieving the stated purpose of the rule; and

- (iii) Does not provide a cure period because it does not establish a violation, modification of a violation, or modification of the penalties associated with a violation.

/s/ *Francisco X. Navarro*
Mayor’s Office of Operations

June 10, 2016
Date



• jy7

**NOTICE OF ADOPTION OF FINAL RULE GOVERNING
MAXIMUM RATES FOR TRADE WASTE REMOVAL**

NOTICE IS HEREBY GIVEN in accordance with the requirements of Section 1043 of the New York City Charter and exercising the authority vested in the Commission by Sections 1043(a) and 2101 of the New York City Charter, and by Sections 16-504 and 16-519 of Title 16-A of the Administrative Code that the New York City Business Integrity Commission (“BIC” or “Commission”) adopts the following rule governing the maximum rates permitted to be charged by a licensee for the collection, removal, disposal, or recycling of trade waste. BIC published a Notice of Opportunity to Comment on the proposed rule in the *City Record* on February 19, 2016. On March 21, 2016, BIC held a public hearing on the proposed rule.

Statement of Basis and Purpose of Final Rule

Under Section 2101 of the New York City Charter, the Commission is authorized to regulate the trade waste industry and ensure businesses are able to operate in an honest and competitive environment free from the influences of organized crime and criminality. BIC is also authorized under Sections 16-504(b) and (i) and 16-519 of the Administrative Code to set by rule the maximum rates by weight and by volume that trade waste haulers can charge for the removal of putrescible and recyclable commercial waste. Rates were last adjusted in 2013.

In accordance with Section 16-519 of the Administrative Code, any change that BIC proposes to the maximum rates must be based upon a fair and reasonable return to the licensees who provide waste removal services to commercial establishments in New York City while also protecting those using these services from excessive or unreasonable charges. To achieve this balance, BIC established an administrative procedure that provides more transparency, standardization and regularity in the rate-setting process. Pursuant to 17 RCNY § 5-02(f), BIC held a hearing on October 30, 2015, relating to the maximum rates charged by a licensee for the collection, removal, disposal, or recycling of trade waste. The hearing was attended by representatives of the trade waste industry and other interested parties, some of whom testified at the hearing and submitted written testimony.

The Commission has carefully evaluated the evidence provided throughout the process including the oral statements made at the October 30, 2015 and March 21, 2016 hearings and the written statements provided both prior to and at those hearings. Having reviewed the Producer Price Index, as well as the other factors enumerated in 17 RCNY § 5-02(g), BIC will increase the current maximum rate allowed to be charged by the trade waste haulers by 3.3%. The new maximum rates are:

- \$18.87 per cubic yard
- \$12.38 per 100 pounds

New material is underlined.
[Deleted material is in brackets.]

“Shall” and “must” denote mandatory requirements and may be used interchangeably in the text below, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. Subdivision (a) of Section 5-02 of Subchapter E of Chapter 1 of Title 17 of the Rules of the City of New York is amended to read as follows:

(a) A trade waste removal business shall not demand, charge, exact, or accept rates for the collection, removal, disposal, or recycling of trade waste greater than the following maximum rates:

- (1) ~~[\$18.27]~~ \$18.87 per cubic yard.
- (2) ~~[\$11.98]~~ \$12.38 per 100 pounds.
- (3) Exempt Waste. This subdivision shall not apply to the removal of construction and demolition debris, infectious medical waste, covered electronic equipment as defined in § 421 of Chapter 16 of the Code, waste from grease interceptors as defined in § 19-119(a) of Title 15 of the Rules of the City of New York and paper that is collected for the purpose of shredding or destruction by the licensee.

• jy7

CONSUMER AFFAIRS

■ NOTICE

Notice of Public Hearing and Opportunity to Comment on Proposed Rules

What are we proposing? The Department of Consumer Affairs (the "Department") is proposing to repeal and replace Chapter 6 of Title 6 of the Rules of the City of New York in order to effectuate Executive Order No. 18, which transferred adjudications of the Department's administrative tribunal to the Office of Administrative Trials and Hearings.

When and where is the hearing? The Department of Consumer Affairs will hold a public hearing on the proposed rules. The public hearing will take place at 10:00 A.M. on Monday, August 8, 2016. The hearing will be in the Department of Consumer Affairs hearing room at 42 Broadway, 5th Floor, New York, NY 10004.

How do I comment on the proposed rules? Anyone can comment on the proposed rules by:

- **Website.** You can submit comments to the Department of Consumer Affairs through the New York City rules website at <http://rules.cityofnewyork.us>.
- **Email.** You can email written comments to Rulecomments@dca.nyc.gov.
- **Mail.** You can mail written comments to Mary Cooley, Director of Legislative Affairs, New York City Department of Consumer Affairs, 42 Broadway, New York, NY 10004.
- **Fax.** You can fax written comments to the Department of Consumer Affairs, (646) 500-5962.
- **By speaking at the hearing.** Anyone who wants to comment on the proposed rules at the public hearing must sign up to speak. You can sign up before the hearing by calling (212) 436-0095. You can also sign up in the hearing room before the hearing begins on Monday, August 8, 2016. You can speak for up to three minutes.

Is there a deadline to submit written comments? Yes. You must submit any written comments to the proposed rules on or before 5:00 P.M. on Monday, August 8, 2016.

Do you need assistance to participate in the hearing? You must tell the Office of Legislative Affairs if you need a reasonable accommodation of a disability at the Hearing. You must tell us if you need a sign language interpreter. You can tell us by mail at the address given above. You may also tell us by telephone at (212) 436-0095. You must tell us by 5:00 P.M. on Thursday, August 4, 2016.

Can I review the comments made on the proposed rules? You can review the comments made online on the proposed rules by going to the website at <http://rules.cityofnewyork.us/>. A few days after the hearing, a transcript of the hearing and copies of the written comments will be available to the public at the Office of Legal Affairs.

What authorizes the Department of Consumer Affairs to make this rule? Sections 1403, 1048(2), and 2203(f) of the City Charter, Executive Order No. 18 and Section 20-104 of Chapter 1 of Title 20 of the Administrative Code of the City of New York authorize the Commissioner of the Department of Consumer Affairs to make this proposed rule. This proposed rule was not included in the regulatory agenda of the Department of Consumer Affairs for this fiscal year because it was not contemplated when the Department published the agenda.

Where can I find the rules of the Department of Consumer Affairs? The Department of Consumer Affairs' rules are in Title 6 of the Rules of the City of New York.

What rules govern the rulemaking process? The Department of Consumer Affairs must meet the requirements of Section 1043 of the City Charter when creating or changing rules. This notice is made according to the requirements of Section 1043(b) of the City Charter.

Statement of Basis and Purpose of Proposed Rules

Chapter 6 of Title 6 of the Rules of the City of New York, entitled "Administrative Hearings," contains the procedural rules of the Department of Consumer Affairs' ("Department") administrative tribunal. By Executive Order No. 18, dated June 23, 2016, the Mayor transferred adjudications within the jurisdiction of the Department's administrative tribunal to the Office of Administrative Trials and Hearings ("OATH").

Beginning on August 22, 2016 or as soon thereafter as may be practicable, OATH will adjudicate all pending and future violations issued by the Department. Chapter 1 and Chapter 6 of Title 48 of the Rules of the City of New York contain the procedural rules that govern OATH's administrative tribunals.

These proposed rules repeal the procedural rules that governed the Department's administrative tribunal, clarify provisions in the Executive Order and provide guidance to those who want to settle their violations before appearing at OATH. Specifically, these rules:

- Outline the Department's delegation to OATH of certain adjudicatory authority granted to the Department's Commissioner;
- Clarify when OATH will issue a recommended decision and the Department's process for receiving arguments prior to issuing a written decision;
- Provide guidance on how to respond to a pleading letter to settle a violation prior to hearing;
- Clarify the methodology for submitting a proof of cure to the Department and outlining the specific violations for which an opportunity to cure is available;
- Require those entering into settlement agreements to comply with their terms;
- Establish that a settlement agreement constitutes a plea of guilty to the charges in the Notice of Violation, unless otherwise provided;
- Establish that a settlement agreement has the force of an order and that failure to comply will subject a respondent to a civil penalty of up to \$500;
- Establish that a failure to comply with an order of the Commissioner will subject the respondent to sanctions, including a civil penalty of up to \$500 or suspension or revocation of its licenses;
- Set fixed penalties for violations issued by the Department to promote consistency and fairness in decision making and provide predictability for those whose Notices of Violations are decided at OATH; and
- Explain the Department's rulemaking procedures for public hearings.

New text is underlined; deleted material is in [brackets].

Proposed Rules

Section 1. Chapter 6 of Title 6 of the Rules of the City of New York, relating to the adjudication of violations issued by the department, are hereby REPEALED.

§ 2. A new Chapter 6 of Title 6 of the Rules of the City of New York is hereby adopted and shall read as follows:

SUBCHAPTER A: ADJUDICATORY PROCEEDINGS

§ 6-01. Delegation of Authority

- (a) Except as otherwise provided in this subchapter, the adjudicatory powers granted to the Commissioner of the Department of Consumer Affairs ("Commissioner") under the Administrative Code of the City of New York ("Administrative Code") and New York State law to conduct hearings, issue decisions, impose fines and civil penalties, and order any other relief are hereby delegated to the Office of Administrative Trials and Hearings ("OATH").
- (b) Nothing in this rule shall prohibit the Commissioner from exercising powers that the Commissioner may invoke without an adjudication, including the power to suspend a license pursuant to Administrative Code § 20-104(e)(3).

§ 6-02. Recommended Decisions

- (a) OATH shall issue a recommended decision for all violations of the following statutes and of rules or regulations promulgated pursuant to such statutes: Article 13-F of the New York State Public Health Law; Section 194 of the New York State General Business Law; Article 5 of the New York State General Business Law; and Sections 192, 192-a, 192-b, and 192-c of Article 16 of the New York State Agriculture and Markets Law. The Commissioner or the Commissioner's authorized designee may adopt, reverse, remand or modify such recommended decision in whole or in part.
- (b) Within thirty (30) days of the issuance of the recommended decision, the respondent may submit to the Commissioner by regular mail, email, or delivery to the Department at its main office, a written argument why the decision should or should not be followed. The Commissioner may prescribe one or more forms to be used for submission of such argument, which may include a specific email and office address.
- (c) Not less than 60 days after the issuance of the recommended decision, the Commissioner or the Commissioner's authorized designee will issue a written decision affirming, reversing or modifying the recommendation decision, or remanding the matter back to OATH for further proceedings. Except as provided in subdivision d of this section, the Commissioner's

decision adopting, reversing, remanding or modifying the recommended decision shall constitute a final agency determination.

- (d) If the Commissioner or the Commissioner's authorized designee adopts a recommended decision issued by OATH for a finding of default, and thereafter OATH finds sufficient grounds to vacate the default, the default and the Commissioner's original decision shall be vacated and a new hearing shall be allowed on all of the charges in the original notice of violation. The recommended decision resulting from such hearing will be subject to the provisions of this section.

§ 6-03. Pre-Hearing Disposition of Violations

Any person who receives a notice of violation and a pleading letter from the Department may respond in the following ways:

- (a) Payment of the fine prior to the hearing. Any person who receives an Offer of Settlement prior to the hearing date may plead guilty and pay the fine listed in the letter either by mail, online, or in person.
- (1) By Mail. Any person may pay the fine as shown on the Offer of Settlement by sending a check or money order (not cash) payable to the "Department of Consumer Affairs" in the amount of the fine specified in the letter. The payment must be received by the Department prior to the hearing date.
- (2) In person. Any person may pay the fine in person at the Department of Consumer Affairs during the Department's normal business hours.
- (b) Opportunity to Cure. (1) Any person charged with a first-time violation of any of the mandates set forth in paragraph (5) of this subsection will not be subject to a civil penalty if such person proves to the satisfaction of the Department, within thirty days of the issuance of the notice of violation and prior to the commencement of an adjudication of the violation, that the violation has been cured.
- (2) A person seeking to submit proof of a cure must submit a certification in a form approved by the Department. The Department may require submission of such other information, including documentary evidence, reasonably necessary to prove that the violation was cured within thirty days of the issuance of the notice of violation.
- (3) The submission of proof of a cure will constitute an admission of liability for all purposes.
- (4) If the proof that a violation has been cured is not satisfactory, the Department will provide the person who presented the proof with a written notice within five days of receipt that the proof was not satisfactory. A person may seek review of this determination at OATH within fifteen days of receiving written notification that the submitted proof is not satisfactory.
- (5) The following provisions of Title 6 of the Rules of the City of New York constitute the mandates for which the opportunity to cure a first-time violation is available:
- (i) Section 1-03(a), requiring the posting of a sign stating that individuals may complain to the Department about a licensed business;
- (ii) Section 1-03(b), requiring sidewalk cafes to post a sign stating the maximum number of tables and chairs licensed for such sidewalk cafe;
- (iii) Section 2-24, requiring amusement arcades and gaming cafes to post a sign describing age restrictions during certain hours of operation;
- (iv) Section 2-57(f), prohibiting sidewalk cafes from posting signage or advertising except for a sign affixed to the valance of an awning with the name of the establishment in lettering not exceeding eight (8) inches in height on a twelve (12) inch valance in a single horizontal line;
- (v) Section 2-131(s)(4), requiring laundries to distinguish in their advertising between services offered at different prices;
- (vi) Section 2-131(u), requiring an automatic or coin-operated laundry to post a sign on non-functioning machines;
- (vii) Section 2-131(v)(1), requiring a laundry to post a notice that complaints and claims for refunds may be made to a certain person or person;
- (viii) Section 2-131(v)(5), requiring that the information in the sign required by Section 131(v) be in both English and Spanish;

- (ix) Section 2-161(g)(1), requiring that parking lots and garages have separate entrances and exits, with the main entrance clearly designated with illuminated signs marked "entrance" and "exit";
- (x) Section 2-161(g)(2)(v), requiring that parking lot and garage auxiliary signs contain equally sized letters and numbers;
- (xi) Section 2-161(g)(2)(vi), requiring that parking lots and garages post a sign stating:
- (a) the business hours;
- (b) the licensed capacity; and
- (c) the minimum number of bicycle parking spaces;
- (xii) Section 2-161(g)(3)(i), requiring that the parking garage and lot sign required by section 2-161(g)(2) is illuminated, clearly visible and readable;
- (xiii) Section 2-161(h)(1), requiring the posting of a sign that the garage is at full capacity for car parking;
- (xiv) Section 2-161(h)(2), requiring the posting of a sign that the garage is at full capacity for bicycle parking;
- (xv) Section 2-161(u), requiring that parking lots and garages with waivers under Section 20-327.1 of subchapter 17 of Chapter 2 of Title 20 of the Administrative Code of the City of New York post a sign that bicycle parking is not required by law;
- (xvi) Section 2-211(h), requiring a sightseeing bus post a sign on the windshield and near the entrance door of such bus that designates the departure time and destination of such bus;
- (xvii) Section 2-253(a)(3) and (4), requiring that electronic or home appliance service dealers post a notice in the department or area where electronic and home appliances are accepted for repair stating that customers are entitled to written estimates for repairs and other customer rights, and that the regulations
- (xviii) Section 2-275(c), requiring dealers of products for the disabled to post a sign summarizing provisions of the New York City Products for the Disabled Law;
- (xix) Section 3-12, requiring labeling declarations required by subchapter A of Chapter 3 of Title 6 of the Rules of the City of New York to be written in English;
- (xx) Section 3-24(f)(2), requiring stores with weighing and measuring devices for customer use to post a sign informing customer that they may reweigh products using such weighing or measuring device or devices;
- (xxi) Section 5-24, requiring that a business that accepts credit cards post a list of limitations that such business puts on credit card usage at or near the entrance of the business and in all advertising indicating that credit cards are accepted;
- (xxii) Section 5-37, requiring the posting of refund policies;
- (xxiii) Section 5-40(e), prohibiting a sign stating that a business is not liable for its negligence if such a statement is invalid under law;
- (xxiv) Section 5-46(d), requiring a motor vehicle rental business to post a sign not less than twelve (12) inches by eighteen (18) inches in dimension with lettering not less than one (1) inch high providing information about how to complain to the Department and setting forth consumers' rights under the Consumer Protection Law;
- (xxv) Section 5-66(c), requiring that tax preparers post a sign:
- (a) stating his or her name, address, telephone number and qualifications;
- (b) stating that the preparer and taxpayer must sign every tax return;
- (c) stating how his or her fees are calculated;
- (d) stating that he or she or his or her agency will not represent the taxpayer in an audit, if true;

- and
- (e) stating that he or she is not licensed by the state board of public accounting or the New York State bar, or both, if true; and
- (xxvi) Section 5-195, requiring signage at businesses that sell beverages for off-premises consumption in beverage containers that are covered by title ten of article twenty-seven of the Environmental Conservation Law of the State of New York to be placed within a certain distance of cash registers or to be visible to consumers from any specific vantage point.

§ 6-04. Settlement Agreements

- (a) Any respondent entering into a settlement agreement with the Department must comply with the terms of the settlement agreement.
- (b) Unless the terms of a settlement agreement provide otherwise, a settlement agreement shall constitute a plea of guilty to the charges in the notice of violation. A settlement agreement shall have the force of a final order of the Commissioner. In addition to any penalties for a breach set forth in the settlement agreement, failure of a respondent to comply with the terms of a settlement agreement, in whole or in part, will subject the respondent to a civil penalty of up to five hundred dollars.

§ 6-05. Orders of the Commissioner

Failure of a respondent to comply with an order of the Commissioner will subject the respondent to sanctions, including a civil penalty of up to five hundred dollars and suspension or revocation of any and all licenses held by respondent.

SUBCHAPTER B: PENALTIES

§ 6-10. Compliance with New York City Charter

Pursuant to New York City Charter § 2203(h)(1), except to the extent

that dollar limits are otherwise specifically provided, civil penalties shall not exceed five hundred dollars for each violation. The remedies and penalties provided for in this subchapter shall be in addition to any other remedies or penalties provided for the enforcement of such provisions under any other law including, but not limited to, civil or criminal actions or proceedings.

§ 6-11. License Enforcement Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-109	Improper license transfer	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-110	Failure to obtain DCA approval of change of corporate ownership	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-111	Failure to obtain DCA approval of change in a partnership	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-112	Failure to comply with licensee address requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-113	Failure to comply with trade name requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-114	Failure to comply with inspection and license display requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 1-03(a)	Failure to post the license and complaint sign	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 1-03(b)	Failure to post the sidewalk café license and complaint sign	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 1-05	Failure to contain license number in advertisements and other printed matter	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 1-14	Failure to appear to answer a Notice of Hearing or respond to Subpoena Duces Tecum	\$375	\$375	\$500	\$500	\$500	\$500
6 RCNY § 1-15	Failure to satisfy judgment	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 1-16	Failure to comply with record and business premise inspection requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 1-17	Improper wearing of badge	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 1-18	Failure to surrender identification documents issued by the department	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-12. Retail Cigarette Dealer Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York, Title 17 of the Administrative Code of the City of New York, Title 24 of the Rules of the City of New York, or the New York Public Health Law.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For violations of Title 20 of the Administrative Code, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

For violations of §§ 17-704, 705, subdivisions (a) or (b) of 17-706, or 17-715 of Title 17 of the Administrative Code, a second, third or subsequent violation means a violation, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for any combination of such violations, at the same place of business within a three-year period.

At a hearing, points assigned to a respondent's New York State tobacco registration record may be reduced pursuant to New York Public Health Law § 1399-ee(3)(a).

In certain cases, the Department may ask for license suspension, revocation, or sealing as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-202(a)(1)</u>	<u>Engaging in unlicensed cigarette retail dealer activity</u>	<u>\$2,000, plus \$100 per day</u>					
<u>Admin Code § 20-202(a)(2)</u>	<u>Permitting premises to be used for unlicensed cigarette retail dealer activity</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$1,800</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>
<u>Admin Code § 20-202(d)(2)</u>	<u>Failure to display license conspicuously</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$1,800</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>
<u>Admin Code § 20-203</u>	<u>Failure to comply with recordkeeping and inspection requirements</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$1,800</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>
<u>Admin Code § 20-205</u>	<u>Engaged in prohibited sales or purchases</u>	<u>\$1,500</u>	<u>\$2,000</u>	<u>\$1,800</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>
<u>Admin Code § 17-176.1</u>	<u>Selling discounted cigarettes or tobacco products</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 17-703</u>	<u>Operating as a wholesale dealer without a license</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$10,000 (plus revocation)</u>	<u>\$10,000 (plus revocation)</u>	<u>\$10,000 (plus revocation)</u>	<u>\$10,000 (plus revocation)</u>
<u>Admin Code § 17-704</u>	<u>Improper out-of-package sales</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>
<u>Admin Code § 17-705</u>	<u>Failure to comply with age restrictions on handling</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>
<u>Admin Code § 17-706(a)</u>	<u>Unlawful sale of cigarettes, tobacco products, or electronic cigarettes to an individual under 21</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>
<u>Admin Code § 17-706(b)</u>	<u>Unlawful sale of non-tobacco shisha, pipes, or rolling papers to an individual under 18</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>	<u>\$2,000 (plus revocation)</u>

<u>24 RCNY § 28-06</u>	<u>Unlawful sale of tobacco product or non-tobacco product designed for consumption through the inhalation of smoke not in original packaging</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
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§ 6-13. Amusement Devices, Arcades, and Operators Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise stated, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the license suspension or premise sealing period within the date range marked by two asterisks (**).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-212(a)</u>	<u>Unlicensed amusement device operator</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-212(b)</u>	<u>Unlicensed operation of an amusement device or operation</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-212(c)</u>	<u>Unlicensed operation of an arcade or gaming café</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-214</u>	<u>Failure to comply with license requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-216</u>	<u>Improper location of player-operated amusement devices or failure to prohibit minors entering certain premises</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

<u>6 RCNY § 2-23(a)</u>	<u>Failure to file written accident report</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450 (plus 0 to 15 day suspension)**</u>	<u>\$500 (plus 15 day suspension)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>6 RCNY § 2-23(b)</u>	<u>Failure to notify DCA of accident</u>	<u>\$500 (plus 0 to 15 day suspension)**</u>	<u>\$500 (plus 15 day suspension)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>6 RCNY § 2-24(a)</u>	<u>Failure to post required signage</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-24(b)</u>	<u>Sign does not conform to requirements.</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

§ 6-14. Billiard and Pocket Billiard Tables and Billiard and Pocket Billiard Rooms Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law shall also apply to all subsections contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law, within two years of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the license suspension or premise sealing period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-215(a)	Unlicensed operation of a billiard or pocket billiard room	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-217(1)	Permitting gambling or game of chance	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-217(2)	Permitting disorderly premises	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-217(3)	Permitting illegal drugs in premises	\$500 (plus 0 to 15 day suspension)**	\$500 (plus 15 day suspension)	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)
Admin Code § 20-217(4)	Permitting unaccompanied minor to enter or remain in premises	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-15. Sidewalk Cafes Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or the Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second, third, fourth or subsequent violation means a violation on a different day within a two year period at the same place of business, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license suspension or premise sealing period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third Violation	Third Default	Fourth and Subsequent Violation	Fourth and Subsequent Default
Admin Code § 20-224(a)	Operating an unlicensed sidewalk cafe	\$750	\$1,000	\$1,500 (plus 0 to 10 day sealing)**	\$2,000 (plus 10 day sealing)	\$2,000 (plus 0 to 20 day sealing)**	\$2,000 (plus 20 day sealing)	\$2,000 (plus 0 to 30 day sealing)**	\$2,000 (plus 30 day sealing)
Admin Code § 20-224(c)	Sidewalk cafe improperly obstructs means of egress	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
Admin Code § 20-224(e)	Sidewalk cafe serves alcohol but does not provide waiter or waitress service to patrons	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
Admin Code § 20-225(i)	Failure to comply with the terms of the revocable consent for enclosed sidewalk cafes	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
Admin Code § 20-226(g)	Failure to comply with the terms of the revocable consent for unenclosed sidewalk cafes	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)

6 RCNY § 2-46	Failure to comply with sidewalk clearance standards	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-51	Failure to comply with license application requirements	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-52	Failure to comply with sidewalk cafe location criteria	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-53	Failure to comply with physical criteria for sidewalk cafes	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-53(d)	Improper signs on a sidewalk cafe	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-54	Failure to comply with physical criteria for enclosed sidewalk cafes	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-55	Failure to comply with physical criteria for unenclosed sidewalk cafes	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-55(a)	Removable base, wall, or planter exceeds 30 inches in height	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-55(c)	Improper sidewalk cafe awning	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-57	Improper sidewalk cafe operations	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-57(f)	Posting prohibited signage	\$750*	\$1,000*	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)

6 RCNY § 2-57(g)	Improper menu	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)
6 RCNY § 2-57(h)	Improper illumination	\$750	\$1,000	\$1,500	\$2,000	\$4,000 (plus 0 to 30 day suspension)**	\$4,000 (plus 30 day suspension)	\$4,000 (plus 0 to 30 day suspension and 0 to 5 day sealing)**	\$4,000 (plus 30 day suspension and 5 day sealing)

§ 6-16. Sidewalk Stands Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

Unless otherwise specified, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-229	Operating an unlicensed newsstand	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-231	Failure to comply with restrictions and size requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-233(a)	Operating an unlicensed stoop line stand	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-233(b)	Improper use of a stoop line stand	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-237(a) (food items)	Failure to comply with stoop line height, location and size restrictions displaying any food items	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-237(b) (food items)	Failure to comply with specific stoop line stand length and width restrictions on stands displaying any food items	\$375*	\$500*	\$450	\$500	\$500	\$500
Admin Code § 20-237(a) (non-food items)	Failure to comply with stoop line stand location and size restrictions on stands displaying only non-food items	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-237(b) (non-food items)	Failure to comply with specific stoop line stand length and width restrictions on stands displaying only non-food items	\$375*	\$500*	\$450	\$500	\$500	\$500
Admin Code § 20-237(c)	Unlawfully leasing to or permitting another person to use adjacent sidewalk space to display or sell merchandise	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-240	Unlawfully sleeping in stoop line stand	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-65	Failure to comply with newsstand site requirements	\$375	\$500	\$450	\$500	\$500	\$500

6 RCNY § 2-66	Improper operation of a newsstand	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-70.2	Failure to comply with stoop line stand requirements	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-17. Sightseeing Guides Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-243	Operating as an unlicensed sightseeing guide	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-247	Failure to comply with sightseeing guide regulations	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-71	Improper documentation on receipts	\$260	\$350	\$450	\$500	\$500	\$500
6 RCNY § 2-72	Improperly charged additional fees	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-73	Improperly offered tie-in services	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-18. Pedicabs Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within one year of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-250(a)	Operating without DCA pedicab business license	\$375	\$500	\$900	\$1,000	\$4,000	\$4,000
Admin Code § 20-253	Failure to comply with DCA insurance requirements	\$500 (plus 0 to 15 day suspension)**	\$500 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$4,000 (plus revocation)**	\$4,000 (plus revocation)
Admin Code § 20-254	Operating without required equipment in pedicabs	\$375	\$500	\$900	\$1,000	\$4,000	\$4,000
Admin Code § 20-255	Failure to comply with inspection or registration plate requirements	\$375	\$500	\$900 (plus 30 to 60 day suspension)**	\$1,000 (plus 60 day suspension)	\$4,000 (plus one year to 18 month suspension)**	\$4,000 (plus 18 month suspension)
Admin Code § 20-256	Failure to comply with recordkeeping requirements	\$375	\$500	\$900	\$1,000	\$4,000	\$4,000
Admin Code § 20-257(a)	Operating without DCA pedicab driver license	\$375	\$500	\$900	\$1,000	\$4,000	\$4,000

<u>Admin Code § 20-257</u>	<u>Failure to comply with pedicab driver license requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-258(b)</u>	<u>Failure to wear or display visible photo identification card</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-259</u>	<u>Failure to comply with restrictions on the operation of pedicabs</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-259(b)(6)</u>	<u>Driver impaired or intoxicated by alcohol</u>	<u>\$500 (plus 0 to 15 day suspension)**</u>	<u>\$500 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$4,000 (plus revocation)**</u>	<u>\$4,000 (plus revocation)</u>
<u>Admin Code § 20-260</u>	<u>Violated pedicab rate requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>Admin Code § 20-262</u>	<u>Failure to display pedicab registration or pedicab driver's license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-420</u>	<u>Failure to notify DCA of accident</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-424</u>	<u>Improper advertisements placed on pedicab</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-425</u>	<u>Failure to comply with signage requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-428</u>	<u>Failure to comply with recordkeeping requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>
<u>6 RCNY § 2-429</u>	<u>Failure to comply with Pedicab Information Card requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$4,000</u>

§ 6-19. Dealers in Second-Hand Articles Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-265</u>	<u>Operating as a second hand dealer without a license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-267</u>	<u>Failure to report required records to police commissioner</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-268</u>	<u>Failure to comply with specified restrictions pertaining to second hand dealers</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-270</u>	<u>Failure to comply with signage requirements</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-271</u>	<u>Failure to comply with labeling requirements</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-272</u>	<u>Failure to comply with requirements pertaining to lost or stolen property</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-273</u>	<u>Failure to maintain record of purchases and sales</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

6 RCNY § 2-101	<u>Failure to verify and record identity of persons who sell to second-hand dealers</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-102	<u>Failure to comply with requirements pertaining to sale of second-hand furniture</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(a)	<u>Failure to maintain documents recording transfer of title in sale of second-hand automobile</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(b)	<u>Failure to comply with requirements pertaining to deferred payment, collateral and financing terms in sale of second-hand automobile</u>	\$500	\$500	\$500	\$500	\$500	\$500
6 RCNY § 2-103(c)	<u>Covering or concealing of motor or serial number in sale of second-hand automobile or motorcycle</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(d)	<u>Failure of dealer in second-hand automobiles to meet deferred payment commitments in purchase of second-hand automobile from private person</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(e)	<u>Improper statements, representations, promises or acts by dealer in second-hand automobiles or agents</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(f)	<u>Failure of dealer in second-hand automobiles to disclose all terms of undertaking or agreement with purchaser</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(g)(1)(i)	<u>Sale of second-hand automobile not inspected and certified in accordance with Vehicle and Traffic Law</u>	\$500	\$500	\$500	\$500	\$500	\$500
6 RCNY § 2-103(g)(1)(ii)	<u>Failure to provide required Notice to Buyer with contract for sale of second-hand automobile</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(g)(1)(iii)	<u>Contract for sale of second-hand automobile containing prohibited limitations</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(g)(1)(iv)	<u>Failure of dealer in second-hand automobiles to submit form contracts with license application</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(g)(1)(v)	<u>Failure of dealer in second-hand automobiles to display required sign at place of business</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(g)(2)	<u>Misrepresentation of vehicle mileage</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(h)	<u>Failure of dealer in second-hand automobiles to investigate previous use of vehicle or to maintain record of vehicle's previous use and odometer reading</u>	\$500	\$500	\$500	\$500	\$500	\$500
6 RCNY § 2-103(i)	<u>Sale of second-hand automobile at price other than advertised</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(j)	<u>Sale of second-hand automobile from licensed place of business by any person other than licensed dealer in second-hand automobiles</u>	\$375	\$500	\$450	\$500	\$500	\$500

6 RCNY § 2-103(k)(1)	<u>Improperly accepting deposit in sale of second hand automobile</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(k)(2)	<u>Deposit receipt fails to contain required information</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(k)(3)	<u>Failure to keep proper record of deposits in sales of second hand automobiles</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(l)(1)	<u>Failure of dealer in second hand automobiles to keep proper records of income and expenses</u>	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-103(l)(2)	<u>Failure of dealer in second hand automobiles to maintain proper record of cash receipts sand cash disbursements</u>	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-20. Pawnbrokers Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-276</u>	<u>Employed clerk(s) under 16 years old</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-277</u>	<u>Failure to comply with reports and recordkeeping requirements</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 5-222</u>	<u>Improper caveats on pawn tickets</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 5-224</u>	<u>Improper proof of notice of intention to sell pledged property</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 5-226</u>	<u>Failure to meet requirements of acceptable forms of verification of pledgor's identity and required records</u>	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-21. Auctioneers Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-278</u>	<u>Operating without an auctioneer license</u>	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
<u>Admin Code § 20-280</u>	<u>Failure to post license during auction</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-281</u>	<u>Improperly acting as an auctioneer</u>	\$375	\$500	\$450	\$500	\$500	\$500

<u>Admin Code § 20-282</u>	<u>Improper auction advertising</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-284</u>	<u>Failure to comply with the sale of jewelry requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-285</u>	<u>Failure to comply with auctioneer license restrictions</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-286</u>	<u>Failure to comply with sale of real property requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-287</u>	<u>Failure to comply with split fee requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-288</u>	<u>False or fraudulent representation concerning item for sale</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-121</u>	<u>Failure to comply with standards, licenses and application of regulations</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-122</u>	<u>Failure to comply with the requirements and obligations of licensees</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-123</u>	<u>Failure to comply with the reserve price requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-124</u>	<u>Failure to comply with the prohibited practices requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-125(a)</u>	<u>Failure to comply with recordkeeping requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

§ 6-22. Laundries Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-292</u>	<u>Operating a laundry without a license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-295</u>	<u>Transfer of license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-296</u>	<u>Failure to comply with general provisions</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-131</u>	<u>Failure to comply with general requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-131(s)(4)</u>	<u>Failure to distinguish in advertising between services offered at different prices</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-131(u)</u>	<u>Failure to post a sign on non-functioning machines</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-131(v)(1)</u>	<u>Failure to post notice regarding complaints and refunds that complies with sign requirements</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-131(v)(5)</u>	<u>Failure to have sign in both English and Spanish</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

§ 6-23. Locksmiths Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-299</u>	<u>Operating without a locksmith license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>				
<u>Admin Code § 20-301</u>	<u>Failure to comply with locksmith regulations</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-302</u>	<u>Failure to comply with equipment listing requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-303</u>	<u>Failure to comply with key marking requirement</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-304</u>	<u>Failure to display license in required form</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-305</u>	<u>Failure to comply with prohibition for itinerant locksmith</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-307(b)</u>	<u>Failure to comply with apprentice requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-140</u>	<u>Improper posting of locksmith license information</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-141</u>	<u>Failure of locksmith to comply with obtaining and recording information requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-142</u>	<u>Failure to provide customer copy of completed form</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-143</u>	<u>Failure to retain required forms</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-144</u>	<u>Failure to make forms available for inspection</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

§ 6-24. Sales Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-309</u>	<u>Failure to obtain sale license</u>	<u>\$100 per day</u>	<u>\$100 per day</u>				
<u>Admin Code § 20-315</u>	<u>Failure to maintain proper stock records</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-316</u>	<u>Failure to display license in required form</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-317</u>	<u>Failure to meet the requirement(s) for advertising</u>	<u>\$260</u>	<u>\$350</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

Admin Code § 20-318	Violated license requirement(s) by continuing to conduct business at the same premises	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-151(a)	Use of untrue, deceptive, or misleading advertisement	\$260	\$350	\$450	\$500	\$500	\$500
6 RCNY § 2-151(b)	Improper advertising of merchandise without an intent to sell	\$260	\$350	\$450	\$500	\$500	\$500
6 RCNY § 2-151 (c)	Improper offer to procure goods not in the stock listed in inventory	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-151(d)	Failure to provide Department with inventory of stock	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-25. Garages and Parking Lots Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-321	Failure to have garage or parking lot license from DCA	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-324	Failure to meet requirements of rate schedule(s) or change of rates	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-324(b)	Failure to post the required rates sign	\$375*	\$500*	\$450	\$500	\$500	\$500
Admin Code § 20-325	Failure by transferring license to another or using license at another location	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-326	Failure to meet the requirement(s) of claim check(s)	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-327	Failure to meet the requirement(s) for the number of vehicles, maximum capacity or the manner of storage	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-327.1	Failure to meet bicycle parking requirements	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-328	Unauthorized use of customer's vehicle	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-161	Failure to meet the requirement(s) for the operation of garages and parking lots.	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-161(g)(1)	Failure to post the required signs for separate entrances and exits	\$375*	\$500*	\$450	\$500	\$500	\$500

6 RCNY § 2-161(g)(2)(vi)	Failure to post the required signage regarding business hours, capacity, or bicycle parking	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-161(g)(2)(v)	Failure to post the required auxiliary signs	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-161(g)(3)(1)	Failure to illuminate the parking garage and lot sign	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-161(u)	Failure to post the bicycle parking waiver sign	\$375*	\$500*	\$450	\$500	\$500	\$500

§ 6-26. Bingo Licensing Law Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-341	Conducting games by organization other than an authorized organization	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-342	Failure to comply with restrictions upon conduct of bingo games	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-346	Improper form, content or display of license	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-348	Conducting games prior to 6 p.m. on Sunday	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-349	Admission of or participation by persons under eighteen	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-350	Conducting games in excess of permitted frequency or sale of alcohol beverages	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-351	Operating or conducting games by improper persons or with improper equipment or improper expenditures	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-352	Improper charge for admission, participation or game cards; improper award of prizes	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-353	Improper advertising	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-354	Improper filing or failure to file statements and receipts; failure to maintain records or to pay fee based on proceeds	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-358	Failure to comply with other specified requirements	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-27. Public Dance Halls, Cabarets and Catering Establishment Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation or an independent monitor, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-360</u>	<u>Operating as an unlicensed public dance hall, cabaret, or catering hall</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>	<u>\$100 per day</u>
<u>Admin Code § 20-360.1</u>	<u>Improper security guards</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450 (plus 0 to 15 day suspension)**</u>	<u>\$500 (plus 15 day suspension)</u>	<u>\$500 (plus revocation)**</u>	<u>\$500 (plus revocation)</u>
<u>Admin Code § 20-360.2</u>	<u>Failure to comply with additional security measures for cabarets and public dance halls</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-360.2(b)(9)</u>	<u>Failure to comply with video indexing and preservation requirements</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>Admin Code § 20-360.2(b)(10)</u>	<u>Failure to comply with video storage and access requirements</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>Admin Code § 20-360.2(b)(12)</u>	<u>Failure to post video security signs</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>Admin Code § 20-361</u>	<u>Failure to comply license requirements</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-364</u>	<u>License not posted at entrance</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-365</u>	<u>Improper transfer of license</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-366</u>	<u>Failure to provide notice of change in corporate licenses</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-367</u>	<u>Open to the public during unauthorized hours</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-370.2</u>	<u>Failure to report substantiated violations</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-201</u>	<u>Improper charges for food or drink</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-202</u>	<u>Improper accessibility to authorities</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-203</u>	<u>Entrances or exits locked</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-205</u>	<u>Improper security guards</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-206</u>	<u>Improper complaint handling</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 2-207</u>	<u>Improper crowd control</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-63</u>	<u>Improper catering contract</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

§ 6-28. Sight-seeing Buses, Horse-drawn Cabs and Drivers Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-373</u>	<u>Operating sight-seeing bus or horse-drawn cab without a license</u>	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
<u>Admin Code § 20-374</u>	<u>Improper transfer of license for operation of sight-seeing bus; improper transfer of license for operation of horse-drawn cab</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-375</u>	<u>Failure to display license plate or replaceable date tag on sight-seeing bus or horse-drawn cab</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-376</u>	<u>Failure to submit sight-seeing bus for inspection</u>	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)
<u>Admin Code § 20-376.1</u>	<u>Failure to equip sight-seeing bus with headphone-limited sound reproduction system</u>	\$550 per day (plus revocation)**	\$750 per day (plus revocation)	\$675 per day (plus revocation)**	\$750 per day (plus revocation)	\$750 per day (plus revocation)**	\$750 per day (plus revocation)
<u>Admin Code § 20-377.1(b)</u>	<u>Failure of horse-drawn cab licensee to report modification, amendment, cancellation or substitution of required insurance policy</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-380</u>	<u>Collection of fare for use of horse-drawn cab in excess of specified amounts</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-381(a)</u>	<u>Unlicensed operation of horse-drawn cab</u>	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
<u>Admin Code § 20-381(e)</u>	<u>Operation of horse-drawn cab by person who is not licensed driver or apprentice or holder of probationary license</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-381.1</u>	<u>Operating horse-drawn cab at prohibited time or location</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>Admin Code § 20-381.1(d)</u>	<u>Operating horse-drawn cab in violation of notice given by authorized enforcement officer</u>	\$500 (plus revocation)	\$500 (plus revocation)	\$500 (plus revocation)	\$500 (plus revocation)	\$500 (plus revocation)	\$500 (plus revocation)
<u>Admin Code § 20-381.2(b)</u>	<u>Failure to equip horse drawn cab with emergency brake system</u>	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)
<u>Admin Code § 20-382</u>	<u>Unlawful agreement between owner of horse-drawn cab and any hotel, apartment house, restaurant or cafe</u>	\$375	\$500	\$450	\$500	\$500	\$500
<u>6 RCNY § 2-211</u>	<u>Violation of requirements applicable to owners and drivers of sightseeing buses</u>	\$375	\$500	\$450	\$500	\$500	\$500

6 RCNY § 2-211(c)	Failure to post schedule of rates charged for each sightseeing bus trip	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-211(m)	Operation of sightseeing bus by single driver for more than 12 hours in any 24 hour period	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)
6 RCNY § 2-211.1	Failure to comply with recordkeeping requirements applicable to owners of sightseeing buses	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-212	Violation of requirements applicable to owners and drivers of horse-drawn cabs	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-212(q)(3)	Operation of horse-drawn cab by single driver for more than 12 hours in any 20 hour period	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)
6 RCNY § 2-212(q)(6)	Operating horse-drawn cab under influence of intoxicating liquor or drugs	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)	\$500 (plus revocation)**	\$500 (plus revocation)

§ 6-29. Home Improvement Business Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-387	Unlicensed solicitation or performance of home improvement contract	\$1,000, plus \$100 per day	\$1,000, plus \$100 per day				
Admin Code § 20-389	Unauthorized assignment or transfer of license; improper posting of license.	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000
Admin Code § 20-392 (a)(1)	Fraud, misrepresentation, or bribery in securing a license	\$1,000 (plus revocation)**	\$1,000 (plus revocation)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
Admin Code § 20-392(a)(2)	False statement regarding a material matter in license application	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000
Admin Code § 20-392(a)(3)	Management personnel untrustworthy or not of good character	\$500	\$1,000	\$750	\$1,000	\$1,000	\$1,000

<u>Admin Code § 20-392(a)(4)</u>	<u>Failure to timely perform or complete contracts, manipulation of assets or accounts, or fraud or bad faith in business transactions, or unwholesome method or practice of solicitation</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>Admin Code § 20-392(a)(5)</u>	<u>Failure to display license properly</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-392(a)(6)</u>	<u>Failure to comply with lawful demand or requirement of commissioner</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-392(a)(7)</u>	<u>Act of omission, fraud, or misrepresentation by agent or employee approved or known by licensee</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-393</u>	<u>Prohibited practices in operation of home improvement business</u>	<u>\$750 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$900 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>Admin Code § 20-394.1</u>	<u>Failure to provide notice of right of owner to cancel home improvement contract.</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-395</u>	<u>Failure to advise consumer of availability of estimate or failure to provide estimate on request</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-221</u>	<u>Failure to meet requirements for content and cancellation of home improvement contract</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-222</u>	<u>Prohibited advertising or selling practices</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-223</u>	<u>Violation of duties and responsibilities of home improvement prime contractor</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-224</u>	<u>Failure of participant in home improvement business trust fund to meet requirements of participation</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-225</u>	<u>Failure to furnish required bond</u>	<u>\$500</u>	<u>\$1,000</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>

§ 6-30. Process Servers Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Admin Code § 20-417	Failure to comply with the duties of an electronic or home appliance service dealer licensee	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-420	Engaging in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-251	Engaging in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-252	Improper advertising by service dealers	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-253	Failure to display required information	\$260	\$350	\$450	\$500	\$500	\$500
6 RCNY § 2-253(a)(1)	Failure to display required information regarding service dealer's true identity	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-253(a)(2)	Failure to display required information regarding "cash only" or "cash or certified check" only policy	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-253(a)(3)	Failure to display required information regarding the availability for review of the Department's regulations	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-253(a)(4)	Failure to display required notice	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-254	Failure to comply with disclosure required for repairs made on licensee's premises	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-255	Failure to comply with disclosure required for repairs made in the customer's home	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-256	Failure to comply with estimated completion date requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-257	Failure to comply with final bill requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-258	Failure to give or maintain copies of documents	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-259	Improper return of removed parts	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-260	Failure to comply with the picture tubes requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-261	Improper warranty or guarantees	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-262	Improper insurance coverage	\$500 (plus 0 to 15 day suspension)**	\$500 (plus 15 day suspension)	\$500 (plus 0 to 30 day suspension)**	\$500 (plus 30 day suspension)	\$500 (plus license revocation)**	\$500 (plus license revocation)

§ 6-32. Products for the Disabled: Sale, Rental, Repair and Servicing Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
Admin Code § 20-426	Engaged in unlicensed activity	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-428	Engaged in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-430	Failure to comply with the duties of a licensee	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-272	Engaged in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-273	Failure to comply with the written estimate requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-274	Improper delay in delivery	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-275	Improper display of required information	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-275(c)	Failure to post the sign summarizing provision of the products for the disabled law	\$375*	\$500*	\$450	\$500	\$500	\$500
6 RCNY § 2-276	Improper return of removed parts	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-277	Improper final bill and invoice	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-278	Failure to comply with recordkeeping requirements	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-33. Games of Chance Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 9 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
Admin Code § 20-436	Failure to meet requirements of conduct for games of chance	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-436(1)	Engaging in unlicensed games of chance	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-440	Failure to meet requirement(s) for content and display of license	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-441	Engaging in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-442	Permitting under age person to conduct or assist in the conduct of any game of chance	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-443	Failure to meet the requirement(s) for the frequency of the games of chance	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-444	Failure to meet the requirement(s) for the persons operating and conducting games, equipment and other operations	\$375	\$500	\$450	\$500	\$500	\$500

<u>Admin Code § 20-445</u>	<u>Failure to meet the requirement(s) for the charge for admission and participation, amount of prizes and award of prizes</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-446</u>	<u>Failure to meet the requirement(s) for advertising games</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-447</u>	<u>Failure to furnish required documents</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-448</u>	<u>Failure to allow examination of books and records</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>Admin Code § 20-450</u>	<u>Failure to keep the required books and records and failure to meet the requirements for the operation of the business</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

§ 6-34. Storage Warehouses Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-476</u>	<u>Operating without a storage warehouse license</u>	<u>\$1,000 per day</u>	<u>\$1,000 per day</u>	<u>\$1,000 per day</u>	<u>\$1,000 per day</u>	<u>\$1,000 per day</u>	<u>\$1,000 per day</u>
<u>Admin Code § 20-478</u>	<u>Failure to comply with schedule of rates requirement(s)</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>Admin Code § 20-480</u>	<u>Failure to comply with insurance requirement(s)</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>
<u>6 RCNY § 2-322</u>	<u>Failure to comply with oral disclosures</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-323</u>	<u>Failure to comply with written estimate requirement(s)</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-324</u>	<u>Failure to comply with inventory requirement</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-325</u>	<u>Failure to comply with cancellation requirement</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-326</u>	<u>Improper bill for services</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-327</u>	<u>Failure to comply with relocation of household goods requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-328</u>	<u>Failure to meet advertisement requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-329(a)</u>	<u>Failure to provide liability insurance</u>	<u>\$1,000 (plus 0 to 15 day suspension)**</u>	<u>\$1,000 (plus 15 day suspension)</u>	<u>\$1,000 (plus 0 to 30 day suspension)**</u>	<u>\$1,000 (plus 30 day suspension)</u>	<u>\$1,000 (plus revocation)**</u>	<u>\$1,000 (plus revocation)</u>

6 RCNY § 2-329(b)	Failure to meet insurance requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 2-330	Failure to provide a written storage contract before accepting household goods	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
6 RCNY § 2-331	Failure to provide consumer with access to household goods	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
6 RCNY § 2-332	Failure to meet general release requirement(s)	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
6 RCNY § 2-333	Improper sale of consumer goods	\$1,000 (plus 0 to 15 day suspension)**	\$1,000 (plus 15 day suspension)	\$1,000 (plus 0 to 30 day suspension)**	\$1,000 (plus 30 day suspension)	\$1,000 (plus revocation)**	\$1,000 (plus revocation)
6 RCNY § 2-334	Failure to meet requirements to act as an agent	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000

§ 6-35. Electronic Stores Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-485	Operating without an electronic store license	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day	\$100 per day
Admin Code § 20-485.3	Engaging in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-485.4	Improper transfer of license	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-485.5	Failure to comply with the duties of a licensee	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 2-341	Failure to comply with regulations pertaining to gray market merchandise	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-36. Towing Vehicles Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

The parties shall be authorized to present evidence to mitigate the license revocation or suspension period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third Violation	Third Default
Admin Code § 20-496	Unlicensed Activity	\$2,250	\$3,000	\$2,700	\$3,000	\$10,000	\$10,000

<u>Admin Code § 20-500</u>	<u>Failure to comply with insurance requirements</u>	<u>\$2,500 (plus 0 to 15 day suspension)**</u>	<u>\$2,500 (plus 15 day suspension)</u>	<u>\$3,000 (plus 0 to 30 day suspension)**</u>	<u>\$3,000 (plus 30 day suspension)</u>	<u>\$3,500 (plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-501</u>	<u>Improper inspection of tow trucks</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>Admin Code § 20-503</u>	<u>Failure to comply with license plate requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>Admin Code § 20-507</u>	<u>Improper posting of required information</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875(plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-509</u>	<u>Failure to comply with rates requirements</u>	<u>\$2,250</u>	<u>\$3,000</u>	<u>\$2,700</u>	<u>\$3,000</u>	<u>\$10,000 (plus revocation)**</u>	<u>\$10,000 (plus revocation)</u>
<u>Admin Code § 20-509.1</u>	<u>Failure to comply with arterial tow rates requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875(plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-510</u>	<u>Improper authorization to tow vehicles</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875(plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-511</u>	<u>Failure to properly remove vehicle obstructing traffic</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>Admin Code § 20-512</u>	<u>Failure to comply with licensee obligation to perform service</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875(plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-514</u>	<u>Failure to comply with repair requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875(plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-515</u>	<u>Engaging in prohibited acts</u>	<u>\$2,250</u>	<u>\$3,000</u>	<u>\$2,700</u>	<u>\$3,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
<u>Admin Code § 20-516</u>	<u>Improper recordkeeping</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875(plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-517</u>	<u>Improper transfer of license</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>Admin Code § 20-518</u>	<u>Failure to comply with Directed Accident Response Program (DARP) program requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875(plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-518(b)(2)</u>	<u>Unauthorized response</u>	<u>\$2,250</u>	<u>\$3,000</u>	<u>\$2,700</u>	<u>\$3,000</u>	<u>\$10,000 (plus revocation)**</u>	<u>\$10,000 (plus revocation)</u>
<u>Admin Code § 20-519</u>	<u>Failure to comply with Rotation Tow Program (ROTOW) program requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875(plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-520</u>	<u>Improper removal of a disabled vehicle on a highway</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875(plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-520.1(b)</u>	<u>Failure to comply with prerequisite requirements for city towing programs</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875(plus revocation)**</u>	<u>\$3,500 (plus revocation)</u>
<u>Admin Code § 20-527</u>	<u>Failure to comply with credit card requirement</u>	<u>\$2,250</u>	<u>\$3,000</u>	<u>\$2,700</u>	<u>\$3,000</u>	<u>\$10,000 (plus revocation)**</u>	<u>\$10,000 (plus revocation)</u>
<u>Admin Code § 20-528(a)</u>	<u>Improper police precinct notification</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-362</u>	<u>Failure to comply with towing license requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>
<u>6 RCNY § 2-363</u>	<u>Failure to comply with the obligations of a tow truck licensee</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$2,375</u>	<u>\$3,000</u>	<u>\$2,875</u>	<u>\$3,500</u>

6 RCNY § 2-364	Improper application for a tow truck operator's license	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-365	Failure to comply with the obligations of a licensed tow truck operator	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-366	Failure to meet the responsibilities to provide towing services	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-367	Improper authorization to tow	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-367.1	Failure to comply with Consumer Bill of Rights requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-368	Improper rates and charges	\$2,250	\$3,000	\$2,700	\$3,000	\$10,000	\$10,000
6 RCNY § 2-369	Failure to comply with the authorization to repair requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-370	Improper arterial towing	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-371	Failure to comply with Directed Accident Response Program (DARP) requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-372	Failure to comply with Rotation Tow Program (ROTOW) requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-373	Failure to comply with evidence vehicle program requirements	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-374	Improper primary or adjacent zones	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-375	Failure to comply with the requirements of the tow truck industry fund	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-377	Failure to comply with the duties pertaining to the nonconsensual towing of vehicles from private property	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500
6 RCNY § 2-378	Improper maintenance of records in electronic format	\$1,875	\$2,500	\$2,375	\$3,000	\$2,875	\$3,500

§ 6-37. Booting of Motor Vehicles Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-531	Unlicensed booting activity	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-534	Failure to maintain records as required	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000

<u>Admin Code § 20-535</u>	<u>Improper charge for release of vehicle; failure to release vehicle within prescribed time or at prescribed place</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-401</u>	<u>Failure to comply with signage requirements</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-402</u>	<u>Improper form or content of vehicle window stickers</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-403</u>	<u>Improper practices for acceptance of credit card payments</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-404(b)</u>	<u>Failure to disclose name, phone number and address of each officer, director, principal or stockholder</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-404(d)</u>	<u>Failure to notify DCA within 15 days of change of address</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-405</u>	<u>Failure to notify DCA within 10 days of change in offers or directors or transfer of capital stock</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-406</u>	<u>Failure to post license at place of business</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-407</u>	<u>Failure to provide employees with identification badge in required form; failure to maintain record of identification badges issued; failure of employee to display identification made upon request</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-408</u>	<u>Failure to maintain records or to issue receipts in required form</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>
<u>6 RCNY § 2-409</u>	<u>Failure to notify DCA of change in fees charged or properties served</u>	<u>\$750</u>	<u>\$1,000</u>	<u>\$900</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$1,000</u>

§ 6-38. Weights and Measures Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York, Title 6 of the Rules of the City of New York, Article 16 of the New York Agriculture and Markets Law, or Title 1 of the New York Code, Rules, and Regulations.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-591</u>	<u>Improper interference with inspector.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-10</u>	<u>Failure to supplement weight or measure.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-12</u>	<u>Failure to have information in English.</u>	<u>\$75*</u>	<u>\$100*</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-21</u>	<u>Failure to meet the requirements of display of price by quantity.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-24</u>	<u>Failure to meet the requirement(s) for the use of scales or measuring devices in stores and markets.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-24(f)(2)</u>	<u>Failure to post sign informing customer that they may reweigh products</u>	<u>\$75*</u>	<u>\$100*</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>

<u>6 RCNY § 3-25</u>	<u>Failure to retain inspection certificate(s)</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-41</u>	<u>Failure to meet the requirements for markings on prepackaged foods.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-42</u>	<u>Failure to meet the requirements for markings on bread weight.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-54</u>	<u>Failure to meet the requirement(s) for the sale of meat, poultry, and seafood.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-55</u>	<u>Failure to meet the requirement(s) for labeling of frozen, defrosted or thawed and refrozen, meat, poultry, fish and products thereof.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-57</u>	<u>Improper colored lighting on meats</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-72</u>	<u>Failure to meet the requirements of weighing device in laundry.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-81</u>	<u>Failure to meet the requirements for the purchase of gold, silver or precious metal.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
-							
<u>NY Agri & Mkts § 190</u>	<u>Failure to comply with regulations pertaining to the method of sale of food and food products</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 191</u>	<u>Improper standardized packaging</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
-							
<u>1 NYCRR § 221.3</u>	<u>Improper declaration of identity</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.4</u>	<u>Improper declaration of responsibility</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.5</u>	<u>Improper declaration of quantity</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.6</u>	<u>Failure to comply with regulations pertaining to consumer package prominence and placement</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.7</u>	<u>Failure to comply with regulations pertaining to nonconsumer package prominence and placement</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.11</u>	<u>Improper variation</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.12</u>	<u>Improper method of sale of commodities</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.13</u>	<u>Improper method of sale of specific commodities</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.14</u>	<u>Net contents of containers not indicated on the outside</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.15</u>	<u>Improper sales slip</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>
<u>1 NYCRR § 221.16</u>	<u>Improper use of coin-operated devices</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>

§ 6-39. Dealers in Second-Hand Weighing or Measuring Devices Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation shall mean a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-601</u>	<u>Failure to have required license from DCA</u>	<u>\$100 per day</u>	<u>\$100 per day</u>				
<u>Admin Code § 20-604</u>	<u>Failure to give notice regarding repaired devices</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-605</u>	<u>Failure to comply with requirements regarding returning tags on condemned vehicles</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-606</u>	<u>Failure to comply with recordkeeping requirements</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>Admin Code § 20-607</u>	<u>Failure to comply with testing equipment requirements</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-01</u>	<u>Failure to meet requirement(s) regarding the NYC security seal</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-02</u>	<u>Failure to meet requirement(s) regarding the licensee's security seal</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-03</u>	<u>Failure to meet requirements in use of initials, abbreviations, trademarks and logos</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-04</u>	<u>Failure to meet requirements of employee's identification number or letter.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-05</u>	<u>Failure to give notice of new repair or service person</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-06</u>	<u>Failure to meet requirements regarding the cumulative list of employees.</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>

§ 6-40. Etching Acid Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-612</u>	<u>Failure to meet the requirement(s) for the sale of etching acid.</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
<u>Admin Code § 20-613</u>	<u>Failure to meet the requirement(s) of posting notice.</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
<u>Admin Code § 20-614</u>	<u>Failure to meet recordkeeping requirement(s)</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
<u>6 RCNY § 4-100</u>	<u>Failure to meet the requirement(s) of valid photo identification.</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
<u>6 RCNY § 4-101</u>	<u>Failure to meet the requirement(s) of maintaining purchasing records.</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>
<u>6 RCNY § 4-102</u>	<u>Failure to meet the requirement(s) of posting notice.</u>	<u>\$185</u>	<u>\$250</u>	<u>\$225</u>	<u>\$250</u>	<u>\$250</u>	<u>\$250</u>

§ 6-41. Language Assistance in Pharmacies Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
Admin Code § 20-621	Failure to meet the oral interpretation services requirement(s).	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
Admin Code § 20-622	Failure to meet the requirement(s) of written translation services.	\$1,875	\$2,500	\$4,500	\$5,000	\$5,000	\$5,000
Admin Code § 20-623	Failure to meet the requirement(s) for notification relating to language assistance services.	\$375	\$500	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 4-11(a)	Failure to include required statement in language assistance signs	\$375	\$500	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 4-11(b)	Failure to comply with the required form pertaining to language assistance signs	\$375	\$500	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 4-11(c)	Failure to comply with the required display of language assistance signs	\$375	\$500	\$900	\$1,000	\$1,000	\$1,000

§ 6-42. Sales of Petroleum Products Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York; Title 6 of the Rules of the City of New York; Title 1 of the New York Code, Rules, and Regulations, or Article 16 of the New York State Agriculture and Markets Law.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second, third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
Admin Code § 20-672	Failure to comply with petroleum sign, placard, or other display requirements	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
Admin Code § 20-672.1	Failure to comply with sales recordkeeping requirements	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
Admin Code § 20-673	Engaging in fraudulent practices	\$7,500	\$10,000	\$9,000	\$10,000	\$15,000	\$15,000
Admin Code § 20-673.1	Improper sale of unleaded gasoline	\$1,000	\$4,000	\$2,500	\$10,000	\$10,000	\$10,000
Admin Code § 20-673.2	Improper certification, display, or representation of octane	\$500	\$875	\$1,000	\$4,000	\$5,000	\$10,000
Admin Code § 20-673.3	Failure to maintain required records	\$500	\$875	\$1,000	\$4,000	\$5,000	\$15,000
6 RCNY § 3-91	Use of improper delivery ticket	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-92	Improper delivery in excess of marker capacity	\$75	\$100	\$90	\$100	\$100	\$100
6 RCNY § 3-93	Improper oil temperature for sale, offer, or delivery	\$75	\$100	\$90	\$100	\$100	\$100

<u>6 RCNY § 3-94</u>	<u>Use of improper printer tickets</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-95</u>	<u>Improper contents of printer ticket</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-96</u>	<u>Improper handling of printer ticket during delivery</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-97</u>	<u>Failure to leave delivery ticket</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-98</u>	<u>Improper measured liquid diversion device or mechanism</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY-3-99</u>	<u>Use of improper air eliminator</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-100</u>	<u>Improper representation of oil quantities on delivery tickets</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 3-102</u>	<u>Failure to submit truck for inspection</u>	<u>\$75</u>	<u>\$100</u>	<u>\$90</u>	<u>\$100</u>	<u>\$100</u>	<u>\$100</u>
<u>6 RCNY § 4-51</u>	<u>Improper testing or approval of pumps</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-52</u>	<u>Improper priming of pumps</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-53</u>	<u>No official seals on pumps</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-54</u>	<u>Missing or broken security seal on pump</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-55</u>	<u>No "out of order" sign on pumps</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-56</u>	<u>Improper interlock or signage at dispensing devices</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-57</u>	<u>Improper use of bottles and measures</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-58</u>	<u>Improper use of grease measuring devices</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-59</u>	<u>Failure to retain certificate(s) of inspection</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-60</u>	<u>Failure to comply with yard and delivery ticket requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-61</u>	<u>Failure to comply with return and inspection of ticket requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-62</u>	<u>Failure to comply with selector valve requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-63</u>	<u>Failure to comply with signage requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-64</u>	<u>Failure to comply with fill and stick lines - general requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-65</u>	<u>Failure to comply with fill and stick lines - major oil company requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-66</u>	<u>Failure to comply with color sample requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-67</u>	<u>Failure to comply with gauge requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-68</u>	<u>Improper sale of a petroleum product other than gasoline or diesel motor fuel</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>
<u>6 RCNY § 4-69</u>	<u>Failure to comply with requirements regarding water in gas storage tanks</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$15,000</u>

<u>6 RCNY § 4-70</u>	<u>Improper octane ratings</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>6 RCNY § 4-71</u>	<u>Improper octane labeling</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>6 RCNY § 5-51</u>	<u>Failure to comply with regulations pertaining to retail sale of gasoline</u>	<u>\$260</u>	<u>\$350</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Agri & Mkts § 181</u>	<u>Improper interference with the powers and duties of municipal directors</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 182</u>	<u>Improper notification or use of weighing and measuring devices</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 183</u>	<u>Improper removal, obliteration, or defacing of official or security seal</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 184</u>	<u>Failure to comply with the requirements pertaining to the condemnation, seizure, or repair of false devices</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 185</u>	<u>Failure to comply with stop-use, stop-removal, or removal order</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 186</u>	<u>Improper possession or use of false device</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 189</u>	<u>Failure to comply with the requirements pertaining to the method of sale of commodities</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 192</u>	<u>Failure to comply with the requirements pertaining to the sale or delivery of petroleum products</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 192(5)</u>	<u>Failure to comply with the signage requirements for selling or offering to sell motor fuel for use in internal combustion engines</u>	<u>\$75</u>	<u>\$100</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Agri & Mkts § 192(6)</u>	<u>Failure to provide functioning motor driven air compressors</u>	<u>\$25 per day</u>					
<u>NY Agri & Mkts § 192-a</u>	<u>Failure to comply with fuel octane labeling requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>NY Agri & Mkts § 192-b</u>	<u>Failure to comply with fuel lead content labeling and requirements</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>NY Agri & Mkts § 192-c</u>	<u>Failure to comply with motor fuel standards and labeling; cetane rating of diesel fuel; alcohol content</u>	<u>\$500</u>	<u>\$875</u>	<u>\$1,000</u>	<u>\$4,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
<u>NY Agri & Mkts § 192-c(4)(c)</u>	<u>Failure to maintain the required records</u>	<u>\$200</u>	<u>\$200</u>	<u>\$400</u>	<u>\$400</u>	<u>\$400</u>	<u>\$400</u>
<u>NY Agri & Mkts § 192-e</u>	<u>Failure to comply with the regulations pertaining to the sale or delivery of liquefied petroleum gas</u>	<u>\$450</u>	<u>\$600</u>	<u>\$1,080</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 193</u>	<u>Improper packaging or labeling of containers</u>	<u>\$450</u>	<u>\$600</u>	<u>\$900</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 194</u>	<u>Improper use of false labels</u>	<u>\$450</u>	<u>\$600</u>	<u>\$900</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>NY Agri & Mkts § 195</u>	<u>Failure to comply with the duties of weigh masters</u>	<u>\$450</u>	<u>\$600</u>	<u>\$900</u>	<u>\$1,200</u>	<u>\$1,200</u>	<u>\$1,200</u>
<u>1 NYCRR § 220.1</u>	<u>Used a prohibited false or unapproved device</u>	<u>\$300</u>	<u>\$400</u>	<u>\$720</u>	<u>\$800</u>	<u>\$800</u>	<u>\$800</u>

§ 6-44. Sale of Prepackaged Meat Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-682	Failure to meet the requirement(s) for the sales of prepackaged meat	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 4-41	Failure to meet the requirement(s) of custom meat grinding	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 4-42	Failure to meet the requirement(s) for sale of hamburger	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-45. Perishable Foods Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-685	Failure to meet the requirement(s) for the sale of perishable food	\$125	\$250	\$225	\$250	\$250	\$250
6 RCNY § 4-112	Failure to meet the requirement(s) for display	\$125	\$250	\$225	\$250	\$250	\$250
6 RCNY § 4-113	Failure to meet requirement(s) for labeling of perishable foods	\$125	\$250	\$225	\$250	\$250	\$250

§ 6-46. Price Displays Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Each consecutive day a violation of this section is continued shall constitute a separate first violation of the law pursuant to section 20-692 of the Administrative Code.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-691	Failure to meet the requirements of price displays	\$110	\$150	\$135	\$150	\$150	\$150

§ 6-47. Consumer Protection Law Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
Admin Code § 20-700	<u>Engaged in an unlawful deceptive or unconscionable trade practice</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-23	<u>Failure to meet the requirement(s) for layaway plans</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-24	<u>Failure to meet requirement(s) for credit card limitations</u>	\$260*	\$350*	\$315	\$350	\$350	\$350
6 RCNY § 5-32	<u>Failure to meet the requirement(s) for documentation of transactions</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-36	<u>Failure to meet the requirement(s) for sale of used items</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-37	<u>Failure to comply with disclosure of refund policy requirements</u>	\$260*	\$350*	\$315	\$350	\$350	\$350
6 RCNY § 5-39	<u>Failure to meet the requirements for cancellation of home appointment</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-40	<u>Improper limit or disclaimer of liability for negligence</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-40(e)	<u>Improper posting of sign that business is not liable for negligence</u>	\$260*	\$350*	\$315	\$350	\$350	\$350
6 RCNY § 5-46	<u>Failure to meet the requirement(s) for a car rental business</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-46(d)	<u>Failure to post notice of consumer protection law</u>	\$260*	\$350*	\$315	\$350	\$350	\$350
6 RCNY § 5-47	<u>Failure to meet the requirement(s) for jewelry sellers and appraisers</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-51	<u>Failure to meet the requirement(s) for retail sale of gasoline</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-54	<u>Failure to meet the requirement(s) for repairs of consumer goods</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-55	<u>Failure to meet the requirement(s) for meat and poultry advertising</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-56	<u>Failure to meet the requirement(s) for window gates</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-57	<u>Failure to meet the requirement(s) for utility bill payments</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-58	<u>Improper offer of sale of food in damaged containers</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-59	<u>Improper imposition of restaurant surcharges</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-60	<u>Failure to meet the requirement(s) for franchises</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-61	<u>Failure to meet the requirement(s) for public performance seats</u>	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-63	<u>Failure to meet the requirement(s) for catering contracts</u>	\$260	\$350	\$315	\$350	\$350	\$350

6 RCNY § 5-68	Failure to meet the requirements for dealers at flea markets	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-69	Failure to meet the requirements of blood pressure reading services	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-70	Failure to meet the requirements for retail service establishments	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-73	Failure to meet the requirement(s) for the sale of box cutters	\$350	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-75	Failure to post the Buyer's Guide when selling or offering to sell any used automobile	\$260	\$350	\$315	\$350	\$350	\$350

§ 6-48. Truth in Pricing Law

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-708	Failure to display total selling price by tag or sign	\$185	\$250	\$225	\$250	\$250	\$250
Admin Code § 20-708.1(b)	Improper item pricing	\$18 (for first 20 violations)	\$500	\$45 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)	\$50 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)
Admin Code § 20-708.1(d)	Improper scanner accuracy	\$260	\$350	\$500	\$500	\$500	\$500
Admin Code § 20-708.1(e)	Improper price accuracy	\$18 (for first 20 violations)	\$500	\$45 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)	\$50 (for first 20 violations within a two-week period)	\$1,000 (within a two-week period)
Admin Code § 20-709	Failure to display price per measure	\$185	\$250	\$225	\$250	\$250	\$250
6 RCNY § 5-113	Failure to meet the requirements of calculation and display price per measure	\$185	\$250	\$225	\$250	\$250	\$250
6 RCNY § 5-115	Failure to meet guidelines on multiple pricing	\$185	\$250	\$225	\$250	\$250	\$250

§ 6-49. Posting of Prescription Drug Prices

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-713	Improper display of prescription drug prices	\$375	\$500	\$675	\$750	\$750	\$750
6 RCNY § 5-121	Failure to meet the requirements for posting prescription drug prices	\$375	\$500	\$675	\$750	\$750	\$750

6 RCNY § 5-122	Failure to meet the requirements for displaying information relating to emergency contraception	\$375	\$500	\$675	\$750	\$750	\$750
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§ 6-50. Representations in Advertising Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-723.2	Failure to meet requirements for business promoting credit counseling services.	\$3,750	\$5,000	\$4,500	\$5,000	\$5,000	\$5,000

§ 6-51. Availability for Sale of Advertised Merchandise Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-727	Improper rain check issuance disclosure	\$75*	\$100*	\$90	\$100	\$100	\$100

§ 6-52. Information with Respect to Funeral Costs Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-731	Failure to comply with the casket and outer interment receptacle information requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-732	Failure by provider of funeral services to furnish required statement	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-733	Engaging in prohibited practices	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-734	Failure to comply with merchandise and service selection requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
Admin Code § 20-736	Failure to provide price information over telephone	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 5-161	Improper casket and outer interment receptacle information	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 5-162	Failure by provider of funeral services to comply with general price list requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 5-163	Improper display of merchandise	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 5-164	Failure to give required price information over telephone	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000

6 RCNY § 5-165	Failure to comply with display of ownership information requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000
6 RCNY § 5-166	Failure to comply with sale of monuments requirements	\$750	\$1,000	\$900	\$1,000	\$1,000	\$1,000

§ 6-53. Income Tax Preparers Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-740(a)	Failure to post identification and qualification statement	\$375*	\$500*	\$675	\$750	\$750	\$750
Admin Code § 20-740(b)	Failure to provide customer with receipt	\$375	\$500	\$675	\$750	\$750	\$750
Admin Code § 20-740.1	Improper consumer bill of rights	\$375	\$500	\$675	\$750	\$750	\$750
Admin Code § 20-741	Improper records	\$375	\$500	\$675	\$750	\$750	\$750
Admin Code § 20-741.1	Failure to comply with refund anticipation loan requirements	\$375	\$500	\$675	\$750	\$750	\$750
6 RCNY § 5-66	Improper tax preparation practices	\$260	\$350	\$315	\$350	\$350	\$350
6 RCNY § 5-66(c)	Failure to post the required tax preparation signs	\$260*	\$350*	\$315	\$350	\$350	\$350
6 RCNY § 5-171	Failure to comply with sign location requirement	\$375	\$500	\$675	\$750	\$750	\$750
6 RCNY § 5-172	Improper sign form and content	\$375	\$500	\$675	\$750	\$750	\$750

§ 6-54. Posting of Prices in Retail Service Establishments Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-750(a)	Improper display of service prices	\$185	\$250	\$450	\$500	\$500	\$500
Admin Code § 20-750(b)	Improper regular price list display	\$185	\$250	\$450	\$500	\$500	\$500
Admin Code § 20-750(c)	Disclosure of differing prices based on gender	\$185*	\$250*	\$450	\$500	\$500	\$500
Admin Code § 20-751	Failure to comply with base fee disclosure requirements	\$185	\$250	\$450	\$500	\$500	\$500

§ 6-55. Motorized Scooters Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-762(b)</u>	<u>Failure to comply with motor scooter requirements</u>	<u>\$1,000</u>	<u>\$1,000</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>	<u>\$2,000</u>

§ 6-56. Immigration Assistance Service Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Title 20, Chapter 5 of the Administrative Code contains two subchapters numbered 14 that include § 20-770, § 20-771, § 20-772, § 20-773, and § 20-774.

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-771</u>	<u>Engaging in prohibited conduct</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-772</u>	<u>Improper written agreement</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-773</u>	<u>Failure to post sign or improper sign</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-774</u>	<u>Improper advertisements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-775</u>	<u>Improper document retention</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>Admin Code § 20-776</u>	<u>Failure to comply with surety requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>6 RCNY § 5-260</u>	<u>Failure to comply with location or availability of records requirement</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>6 RCNY § 5-261</u>	<u>Failure to comply with written agreement requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>6 RCNY § 5-262</u>	<u>Failure to complete form preparation declaration</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>6 RCNY § 5-263</u>	<u>Failure to comply with sign posting requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>
<u>6 RCNY § 5-264</u>	<u>Failure to comply with disclosure of surety requirements</u>	<u>\$1,875</u>	<u>\$2,500</u>	<u>\$4,500</u>	<u>\$5,000</u>	<u>\$5,000</u>	<u>\$5,000</u>

§ 6-57. Tenant Screening Report Disclosure Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

For the fine amounts marked by a single asterisk, if the respondent timely submits the appropriate proof of having cured a first-time violation, the respondent will not be subject to a civil penalty pursuant to Local Law 153 of 2013.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

<u>Citation</u>	<u>Violation Description</u>	<u>First Violation</u>	<u>First Default</u>	<u>Second Violation</u>	<u>Second Default</u>	<u>Third and Subsequent Violation</u>	<u>Third and Subsequent Default</u>
<u>Admin Code § 20-809</u>	<u>Failure to post sign or improper sign</u>	<u>\$375*</u>	<u>\$500*</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>6 RCNY § 5-265</u>	<u>Failure to comply with the requirements for posting signs about tenant screening reports</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

§ 6-58. Prohibition on the Sale of Expired Over-The-Counter-Medication Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>Admin Code § 20-822(a)</u>	<u>Sale of expired over-the-counter medication</u>	<u>\$250</u>	<u>\$250</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

§ 6-59. Employment Agency Penalty Schedule

All citations are to Chapter 20, Article 11 of the New York General Business Law, Title 20 of the Administrative Code of the City of New York, or Title 6 of the Rules of the City of New York.

Unless otherwise stated, the penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

In certain cases, the Department may ask for license suspension or revocation, as permitted by statute. If a respondent is found in violation of multiple provisions that require a suspension period, the suspension periods shall run concurrently.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

Title 20, Chapter 5 of the Administrative Code contains two subchapters numbered 14 that include § 20-770, § 20-771, § 20-772, § 20-773, and § 20-774.

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
<u>NY Gen Bus § 172</u>	<u>Unlicensed activity</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 175</u>	<u>Failure to notify the Department of changes in license or ownership of the agency</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 176</u>	<u>Improper assignment or transfer of license, change of location, or addition of a location</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 179</u>	<u>Failure to maintain adequate registers and other records</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 181</u>	<u>Failure to maintain adequate contracts or receipts</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 182</u>	<u>Failure to provide identification card to nurse or maintain records of investigation and verification of nurses</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 184</u>	<u>Failure to comply with requirements pertaining to recruitment of domestic or household employees who are residents of other states</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 184-a</u>	<u>Failure to comply with requirements pertaining to recruitment of domestic or household employees from outside the continental United States</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 185</u>	<u>Charging or accepting an unlawful fee</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 185-a</u>	<u>Failure to comply with requirements pertaining to domestic dayworkers who are transported to the place of employment</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 186</u>	<u>Failure to comply with the requirements pertaining to the return of fees</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 187</u>	<u>Engaging in prohibited activities or conduct</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
<u>NY Gen Bus § 188</u>	<u>Failure to post the required copies of law</u>	<u>\$375</u>	<u>\$500</u>	<u>\$450</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>

Admin Code § 20-771	Failure to provide a statement of employee rights and employer obligations under city, state and federal law	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-772	Failure to provide a statement of job conditions or maintain required records	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-241	Improper financial records	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-242	Failure to comply with corporate applicant requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-243	Failure to comply with trade name and partnership certificate requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-245	Failure to comply with premises requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-246	Failure to comply with referral card requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-247	Improper recruitment of domestic or household employees	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-248	Engaging in prohibited practices	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-250	Failure to display sign in required form	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-251	Improper display of license	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-252	Failure to comply with the notice of hearing and subpoena duces tecum requirement	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-253	Failure to comply with change of address requirement	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-254	Failure to comply with judgment requirements	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-255	Failure to respond to consumer complaints	\$375	\$500	\$450	\$500	\$500	\$500
6 RCNY § 5-257	Failure to comply with lost or mutilated license requirements	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-60. Domestic Workers & Household Employees Penalty Schedule

All citations are to Title 20 of the Administrative Code of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation means a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law, within two years of the prior violation(s).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 20-771	Failure to meet the requirements for providing the statement of employee rights and employer obligations under city, state and federal law	\$375	\$500	\$450	\$500	\$500	\$500
Admin Code § 20-772	Improper statement of job conditions or failure to keep records	\$375	\$500	\$450	\$500	\$500	\$500

§ 6-61. Public Safety Penalty Schedule

All citations are to Title 10 of the Administrative Code of the City of New York or Title 6 of the Rules of the City of New York.

The penalties set forth for each section of law or rule shall also apply to all subdivisions, paragraphs, subparagraphs, clauses, items, or any other provision contained therein. Each subdivision, paragraph, subparagraph, clause, item, or other provision charged in the Notice of Violation shall constitute a separate violation of the law or rule.

Unless otherwise specified by law, a second or third or subsequent violation shall mean a violation by the same respondent, whether by pleading guilty, being found guilty in a decision, or entering into a settlement agreement for violating the same provision of law or rule, within two years of the prior violation(s).

The parties shall be authorized to present evidence to mitigate the premise sealing period within the date range marked by two asterisks (**).

Citation	Violation Description	First Violation	First Default	Second Violation	Second Default	Third and Subsequent Violation	Third and Subsequent Default
Admin Code § 10-131(g)	Unlawful sale of a toy or imitation firearm	\$5,000	\$5,000	\$8,000	\$8,000	\$8,000 (plus 0 to 5 day sealing)**	\$8,000 (plus 5 day sealing)
NYC Admin Code § 10-160(b)	Failure to comply with required security measures at ATM facilities	\$250	\$250	\$250	\$250	\$250	\$250
Admin Code § 10-134.2	Failure to comply with the requirements pertaining to the sale of laser pointers	\$300	\$300	\$500	\$500	\$1,000	\$1,000
6 RCNY § 4-10	Improper sign content regarding laser pointers	\$300	\$300	\$500	\$500	\$500	\$500
6 RCNY § 4-11	Improper size of sign regarding laser pointers	\$300	\$300	\$500	\$500	\$500	\$500
6 RCNY § 4-12	Improper posting of sign regarding laser pointers	\$300	\$300	\$500	\$500	\$500	\$500
6 RCNY § 4-15	Improper number of signs regarding laser pointers	\$300	\$300	\$500	\$500	\$500	\$500
6 RCNY § 4-16	Sign not in required language	\$300	\$300	\$500	\$500	\$500	\$500
6 RCNY § 5-73	Failure to comply with requirements pertaining to the sale of box cutters	\$350	\$350	\$500	\$500	\$500	\$500

SUBCHAPTER C: PUBLIC HEARINGS

§ 6-80. Authority

Where required by statute, the Department shall provide for an open public hearing. An open public hearing may also be held at the discretion of the Commissioner for any lawful purpose.

§ 6-81. Notice

- (a) Notice shall be provided in the manner prescribed by the statute authorizing the Department to hold the hearing.
- (b) Unless otherwise provided by law, notice shall be reasonable and afford all parties who are affected by the proceeding an opportunity to present their views.

§ 6-82. Location

The hearing may be held at the Department's main office or at any other appropriate location. The precise location shall be specified in the notice of the public hearing.

§ 6-83. Procedures

- (a) The hearing shall be presided over by any employee of the Department designated by the Commissioner to serve as the presiding officer.
- (b) The presiding officer shall have authority to determine the order in which testimony is presented and evidence received.

At the conclusion of the hearing, the presiding officer shall cause a transcript of the hearing to be made and prepare a written report containing a summary of the proposal and the evidence submitted.

**NEW YORK CITY LAW DEPARTMENT
DIVISION OF LEGAL COUNSEL
100 CHURCH STREET
NEW YORK, NY 10007
212-356-4028**

**CERTIFICATION PURSUANT TO
CHARTER §1043(d)**

RULE TITLE: Adjudicatory Proceedings and Penalties
REFERENCE NUMBER: 2016 RG 060
RULEMAKING AGENCY: Department of Consumer Affairs

I certify that this office has reviewed the above-referenced proposed rule as required by Section 1043(d) of the New York City

Charter, and that the above-referenced proposed rule:

- (i) is drafted so as to accomplish the purpose of the authorizing provisions of law;
- (ii) is not in conflict with other applicable rules;
- (iii) to the extent practicable and appropriate, is narrowly drawn to achieve its stated purpose; and
- (iv) to the extent practicable and appropriate, contains a statement of basis and purpose that provides a clear explanation of the rule and the requirements imposed by the rule.

/s/ STEVEN GOULDEN
Acting Corporation Counsel

Date: June 27, 2016

**NEW YORK CITY MAYOR'S OFFICE OF OPERATIONS
253 BROADWAY, 10th FLOOR
NEW YORK, NY 10007
212-788-1400**

**CERTIFICATION/ANALYSIS
PURSUANT TO CHARTER SECTION 1043(d)**

RULE TITLE: Adjudicatory Proceedings and Penalties
REFERENCE NUMBER: DCA-54
RULEMAKING AGENCY: Department of Consumer Affairs

I certify that this office has analyzed the proposed rule referenced above as required by Section 1043(d) of the New York City Charter, and that the proposed rule referenced above:

- (i) Is understandable and written in plain language for the discrete regulated community or communities;
- (ii) Minimizes compliance costs for the discrete regulated community or communities consistent with achieving the stated purpose of the rule; and
- (iii) Does not provide a cure period because it does not establish a violation, modification of a violation, or modification of the penalties associated with a violation.

/s/ Francisco X. Navarro
Mayor's Office of Operations

June 27, 2016
Date



Notice of Adoption of Rule

Notice of Adoption of a new Rule regarding the collection of sales taxes on goods and services that are not subject to such tax laws.

NOTICE IS HEREBY GIVEN PURSUANT TO THE AUTHORITY VESTED IN the Commissioner of the Department of Consumer Affairs (the "Department") by Section 2203 of the New York City Charter and Section 20-702 of Subchapter 1 of Chapter 5 of Title 20 of the New York City Administrative Code, and in accordance with the requirements of Section 1043 of the New York City Charter, that the Department promulgates and adopts Section 5-41 of Subchapter A of Chapter 5 of Title 6 of the Rules of the City of New York, declaring that the collection of sales taxes on goods and services that are not subject to such tax laws shall be a deceptive trade practice.

The rule was proposed and published on April 9, 2015. The public hearing was held on May 11, 2015. The comment period concluded on May 29, 2015. After the hearing, which no one attended, one written comment was submitted.

Statement of Basis and Purpose of Rule

Section 2203 of Chapter 64 of the Charter of the City of New York authorizes the Commissioner to enforce laws prohibiting deceptive trade practices, and, upon notice and hearing, to impose civil penalties for the violation of such laws. Section 2203(h)(3) provides that no act or practice shall be deemed a deceptive trade practice unless it has been declared as such, and described with reasonable particularity in a law or rule promulgated by the Commissioner.

Pursuant to Title 20 of the New York City Administrative Code, the Department regulates merchants in New York City and promulgates rules and regulations to protect consumers in the marketplace. Article 28 of the New York State Tax law specifies the categories of goods and services that are subject to sales and use taxes. The proposed rule is necessary to declare that collection of sales taxes on goods and services that are not subject to such tax laws shall be a deceptive trade practice for purposes of Section 20-701 of Subchapter 1 of Chapter 5 of Title 20 of the New York City Administrative Code.

New material is underlined.
[Deleted material is in brackets.]

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Rule

Part 4 of Subchapter A of Chapter 5 of Title 6 of the Rules of the City of New York is amended by adding a new Section 5-41 to read as follows:

§5-41 Unlawful Sales Tax.

It is a deceptive trade practice for any seller to collect sales tax on the sale of any good or service that is not subject to such tax under Article 28 of the New York State Tax Law or the rules and regulations promulgated thereunder.

◀ jy7

TAXI AND LIMOUSINE COMMISSION

■ NOTICE

Notice of Promulgation of Rules

NOTICE IS HEREBY GIVEN in accordance with Section 1043(b) of the New York City Charter ("Charter") that the Taxi and Limousine Commission ("TLC") promulgates amendments to its rules regarding retirement dates for Black Cars, penalties for illegal street hails, fare estimates for Black Car and Luxury Limousine bases, and information security and use of personal information policies.

These rules are promulgated pursuant to Sections 1043 and 2303 of the Charter and Section 19-503 of the Administrative Code of the City of New York.

These rules were published on May 24, 2016, for public comment in the City Record. On June 23, 2016, a public hearing was held by the TLC at its offices, at 33 Beaver Street, 19th Floor, New York, NY 10004.

Statement of Basis and Purpose of Rule

On April 21, 2016, New York City Mayor Bill De Blasio signed four bills which were enacted into law as Local Laws numbers 43, 49, 50 and 52 of 2016. These local laws amended provisions of the Administrative Code of the City of New York governing the New York City Taxi and Limousine Commission ("TLC"). These rule amendments bring TLC's Rules into alignment with the changes made by these local laws. Specifically, these rules:

- Eliminate the vehicle retirement requirement for Black Cars

- Increase penalties for illegal pickups made by for-hire vehicles within the Hail Exclusionary Zone
- Require all Black Car and Luxury Limousine Bases to provide a fare estimate on request
- Prohibit Black Car and Luxury Limousine Bases from charging more than 120 percent of any fare estimate they give to a passenger
- Require all TLC licensees and authorized service or equipment providers that collect a passenger's personal information or geolocation information, including FHV bases, TPEP and LPEP Providers, E-Hail Providers, and Dispatch Service Providers, to file with the TLC an Information Security and Use of Personal Information Policy and comply with that policy.

The Commission's authority for these rules in found in Section 2303 of the New York City Charter and Sections 19-507, 19-544, 19-545, and 19-546 of the Administrative Code. These rules are exempt for the Law Department and Mayor's Office of Operations review and certification process pursuant to Section 1043(d)(4)(iv) of the New York City Charter.

New material is underlined.
[Deleted material is in brackets.]

Section 1. Subdivision (a) of Section 55-19 of Title 35 of the Rules of the City of New York is amended to read as follows:

(a) *Limitations on Driver Solicitation of Passengers.*

- (1) A Driver, other than a Driver of a Street Hail Livery as defined in Subdivision (c) of Section 82-03 of these Rules, must not solicit or pick up Passengers other than by prearrangement through a licensed Base of the class that can dispatch the Driver's For-Hire Vehicle or Dispatch Service Provider, or by dispatch of an Accessible Vehicle.

§55-19(a)(1)	Fine: First Violation: \$500 Second Violation in 24 months: \$1500 Third Violation in 36 months: Revocation	Appearance REQUIRED
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- (2) Hail Exclusionary Zone. If a Driver other than a Driver of a Street Hail Livery as defined in subdivision (c) of Section 82-03 of these Rules solicits or picks up Passengers other than by prearrangement through a licensed Base of the class that can dispatch the Driver's For-Hire Vehicle or Dispatch Service Provider, or by dispatch of an Accessible Vehicle in Manhattan south of East 96th Street and West 110th Street or at the New York City Airports, the Driver will be subject to the below enhanced penalties.

§55-19(a)(2)	Fine: \$2,000 for the first violation; \$4,000 for the second violation in 24 months; \$10,000 and revocation for third violation in 120 months	Appearance REQUIRED
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Section 2. Subdivision (b) of Section 59A-25 of Title 35 of the Rules of the City of New York, relating to compliance by black car owners with black car retirement requirements, is REPEALED.

Section 3. Subdivision (d) of Section 59A-28 of Title 35 of the Rules of the City of New York, relating to required black car retirement, is REPEALED.

Section 4. Subdivision (g) of Section 59B-21 of Title 35 of the Rules of the City of New York is amended, Subdivision (h) is relettered as Subdivision (i) and amended, and a new Subdivision (h) is added, to read as follows:

- (g) Privacy and Security Policies Information Security and Use of Personal Information Policy. If the Base collects [and] or maintains passenger "[Private] Personal Information," as defined by New York General Business Law §899-aa(1)(b)a, [of] or if the Base collects [and] or maintains passenger geolocation data, the Base Owner must file with the Commission a current detailed [privacy and security policies meeting industry best practices] information security and use of personal information policy. Such policy must include, a minimum:

- (1) a statement of internal access policies relating to passenger and driver personal information for employees, contractors, and third party access, if applicable;
- (2) a statement that, except to the extent necessary to provide credit, debit, and prepaid card services and services for any application that provides for electronic payment, personal information will only be collected and used with such

passenger's affirmative express consent and that such personal information will not be used, shared, or disclosed, except for lawful purposes;

- (3) procedures for notifying the Commission and affected parties of any breach of the security of the system, pursuant to Section 899-aa of the General Business Law;
- (4) a statement that any credit, debit, or prepaid card information collected by the Base or a credit, debit, or prepaid card services provider is processed by the Base or such provider in compliance with applicable payment card industry standards, and;
- (5) a statement of the Base's policies regarding the use of passenger geolocation information, which must include, at a minimum, a prohibition on the use, monitoring, or disclosure of trip information, including the date, time, pick-up location, drop-off location, and real-time vehicle location and any retained vehicle location records, without such passenger's affirmative express consent.

(h) Compliance with Information Security and Use of Personal Information Policy. Any Base that files with the TLC an information security and use of personal information policy must comply with the terms of such policy.

(i) Security Breach: If the Base is required to make disclosures under New York State or Federal law regarding security breaches, including the New York State Information Security Breach and Notification Act (General Business Law §899-aa), the Base Owner must inform the Commission immediately following such disclosure(s).

§59B-21(h) and (i)	Penalty: \$1,000 [fine per day and suspension until compliance]	Appearance REQUIRED
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Section 5. Section 59B-23 of Title 35 of the Rules of the City of New York is amended to add a new Subdivision (g) to read as follows:

- (g) For Black Car and Luxury Limousine Bases: Fare Estimates. Each time a prospective Passenger contacts a Base for transportation, regardless of the means by which the Passenger contacts the Base, the Base must provide, upon request, an estimate of the total fare in dollars and cents, inclusive of all fees and any price multiplier, for the specific trip requested, prior to dispatching the trip, subject to the following requirements:
 - (1) Each Passenger requesting service must be notified of the passenger's right to receive a fare estimate.
 - (2) A Base must ask any Passenger requesting a fare estimate to specify a destination.
 - (3) The fare estimate may be expressed in a range in dollar and cents, provided that the higher price in such range shall not be more than 150 percent of the lower price in such range.
 - (4) A Base may not charge a Passenger a fare that is more than 120 percent of the fare estimate unless the Passenger takes any action to alter the estimated route, including, but not limited to, changing the location of the pick-up, destination, number of stops, or the vehicle type requested, or requests a route change requiring the payment of a toll.
 - (5) If the fare estimate is expressed in a range, a Base may not charge the Passenger more than 120 percent of the highest price included in that range.
 - (6) The provisions of this subdivision shall not apply to Black Car Bases while providing line work, as that term is defined in Section 19-545 of the Administrative Code.
 - (7) Affirmative Defense. A Base can offer an affirmative defense to a summons issued under Paragraphs (3) or (4) of this subdivision if the Base can demonstrate that the Base reimbursed the Passenger the portion of the fare charged that exceeded 120 percent of the given fare estimate within 10 business days of receiving a request for reimbursement from the Passenger.

§59B-23(g)	Fine: \$500	Appearance NOT REQUIRED
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Section 6. Subdivision (i) of Section 59B-25 of Title 35 of the Rules of the City of New York is amended to read as follows:

- (i) For Black Car and Luxury Limousine Bases: Price Multipliers. If a price multiplier or variable pricing policy is in effect at the time at which a customer requests Black Car service or Luxury Limousine service, [the following requirements apply:
 - (1) A Base must provide, upon request, an estimate of the total fare in dollars and cents for the specific trip requested, inclusive of all fees and any price multiplier.

- (2) A] a Base must require the customer to affirmatively acknowledge and accept the price multiplier or variable pricing policy prior to dispatching a Vehicle to the customer.

Section 7. Subparagraph (i) of Paragraph (2) of Subdivision (b) of Section 75-05 of Title 35 of the Rules of the City of New York is amended to read as follows:

- (2) Security Testing Documentation
 - (i) [A security test plan that uses information technology industry testing tools, techniques, and methodologies designed to comprehensively test whether the TPEP and related services comply with all of the requirements set forth in §75-25(f) and §76-03 of these Rules, or as such requirements may be waived or modified by the Commission pursuant to Subdivision (g) of this section] An information security and use of personal information policy that includes, at a minimum, the following information:
 - (A) a statement of internal access policies relating to passenger and driver Personal Information for employees, contractors, and third party access, if applicable;
 - (B) a statement that, except to the extent necessary to provide credit, debit, and prepaid card services and services for any application that provides for electronic payment, personal information will only be collected and used with such passenger's affirmative express consent and that such personal information will not be used, shared, or disclosed, except for lawful purposes;
 - (C) procedures for notifying the Commission and affected parties of any breach of the security of the system, pursuant to section 899-aa of the General Business Law;
 - (D) a statement that any credit, debit, or prepaid card information collected by the TPEP Provider or a credit, debit, or prepaid card services provider is processed by the TPEP Provider or such provider in compliance with applicable payment card industry standards, and
 - (E) a statement of the TPEP Provider's policies regarding the use of passenger geolocation information, which must include, at a minimum, a prohibition on the use, monitoring, or disclosure of trip information, including the date, time, pick-up location, drop-off location, and real-time vehicle location and any retained vehicle location records, without such passenger's affirmative express consent.

Section 8. Subdivision (a) of Section 75-23 of Title 35 of the Rules of the City of New York is amended to read as follows:

- (a) [The TPEP Provider must collect, use, and process Personal Information [provided to it or to which it has access in connection with the activities authorized under this Chapter solely for the purposes of performing such authorized activities and in compliance with all applicable privacy and data protection laws] in accordance with the information security and use of personal information policy it has on file with the TLC pursuant to §75-05(b)(2)(i).
 - [(b) Except to the extent necessary to provide Credit, Debit, and Prepaid Card Services and services for any E-Hail App that provides for E-Payment, and any Digital Wallet Application, the TPEP Provider must not collect any Personal Information on any Passenger without such Passenger's express, informed and documented consent. Such prohibition includes but is not limited to collecting Personal Information through any cookie, applet, web bug, beacon or similar technology.
 - (c) The TPEP Provider must ensure that Personal Information collected by a credit/debit/prepaid card services provider engaged by the TPEP Provider is stored in database management systems maintained and administered by the credit/debit/prepaid card services provider or its processing agent in compliance with the applicable PCI Standards.
 - (d) Except as may be permitted in the contract between a Medallion Owner and the TPEP Provider or as otherwise required by law, a TPEP Provider must not disseminate, and must not permit its agents to disseminate, to any other person or entity any Taxicab location-based information regarding a Taxicab while it is off-duty.]
- Section 9. Subdivision (f) of Section 75-25 of Title 35 of the Rules of the City of New York is amended to read as follows:
- (f) Security.

[(1)]All features of the TPEP, including the collection, transmission and maintenance of data by the TPEP Provider, required by this section must conform to the following security standards:

- [(i)] (1) Applicable PCI Standards;
- [(ii)] (2) Department of Information Technology and Telecommunications Citywide Information Security Policy for Service Providers and Encryption Standards (“DOITT Standards”), currently located on the web at <http://www.nyc.gov/html/doitt/html/business/security.shtml>; and
- [(iii)] All security standards contained in Chapter 76 of the TLC Rules.

(2) Access to all data required to be collected, transmitted and maintained by this section must be by log-in identification and password controls or other equivalent security measures, all of which must be approved by the Commission.]

(3) The information security and use of personal information policy the TPEP Provider has on file with the TLC pursuant to §75-05(b)(2)(i).

Section 10. Paragraph (1) of Subdivision (p) of Section 75-25 of Title 35 of the Rules of the City of New York is amended to read as follows:

(1) Firmware updates and security patches must be regression tested against the existing TPEP functionality to ensure no disruption in service[, as required in Chapter 76 of these Rules];

Section 11. Chapter 76 of Title 35 of the Rules of the City of New York, relating to information security rules for taxicab technology service providers, is REPEALED.

Section 12. Subdivision (l) of Section 77-03 of Title 35 of the Rules of the City of New York is relettered Subdivision (m), and a new Subdivision (l) is added, to read as follows:

(l) Personal Information has the same meaning as the term as it is defined in New York General Business Law §899-aa(1)(a) and includes such information pertaining to passengers and drivers.

Section 13. Subdivision (d) of Section 77-05 of Title 35 of the Rules of the City of New York is amended to read as follows:

(d) [Privacy] Information Security and Use of Personal Information Policy. The Applicant must submit with its License application [a current detailed privacy policy meeting industry best practices] an information security and use of personal information policy that includes, at a minimum, the following information:

- (1) a statement of internal access policies relating to passenger and driver Personal Information for employees, contractors, and third party access, if applicable;
- (2) a statement that, except to the extent necessary to provide credit, debit, and prepaid card services and services for any application that provides for electronic payment, personal information will only be collected and used with such passenger’s affirmative express consent and that such personal information will not be used, shared, or disclosed, except for lawful purposes;
- (3) procedures for notifying the Commission and affected parties of any breach of the security of the system, pursuant to Section 899-aa of the General Business Law;
- (4) a statement that any credit, debit, or prepaid card information collected by the Applicant or a credit, debit, or prepaid card services provider is processed by the Applicant or such provider in compliance with applicable payment card industry standards, and
- (5) a statement of the Applicant’s policies regarding the use of passenger geolocation information, which must include, at a minimum, a prohibition on the use, monitoring, or disclosure of trip information, including the date, time, pick-up location, drop-off location, and real-time vehicle location and any retained vehicle location records, without such passenger’s affirmative express consent.

Section 14. Subdivision (e) of Section 77-05 of Title 35 of the Rules of the City of New York, relating to the security policy of an applicant for a dispatch service provider license, is REPEALED, and Subdivisions (f) through (j) are relettered subdivisions (e) through (i).

Section 15. The introductory paragraph of Section 77-20 of Title 35 of the Rules of the City of New York is amended to read as follows:

No Dispatch Service Provider will be approved by the Commission pursuant to this Chapter unless the manner in which it provides Dispatch Service complies with the all of the requirements set forth in this section or as such requirements may be waived or modified by the Commission pursuant to §77-05[(j)]i of these Rules.

Section 16. Subdivision (f) of Section 77-20 of Title 35 of the Rules of the City of New York is amended to read as follows:

- (f) *Security.*
 - (1) If the Dispatch Service Provider accepts credit card, debit card, or pre-paid card payment, the Dispatch Service Provider must conform to applicable PCI Standards.
 - (2) The Dispatch Service Provider must comply with the [security standards outlined in the Security Policy] Information Security and Use of Personal Information Policy filed with the TLC pursuant to §77-05[(e)]d of these Rules.

§77-20(f)	Penalty: \$[500] <u>1,000</u> fine and/ or suspension up to 60 days or revocation	Appearance REQUIRED
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Section 17. Subdivisions (q) through (s) of Section 78-03 of Title 35 of the Rules of the City of New York are relettered Subdivisions (r) through (t), and a new subdivision (q) is added, to read as follows:

(q) Personal Information has the same meaning as the term as it is defined in New York General Business Law §899-aa(1)(a) and includes such information pertaining to passengers and drivers.

Section 18. Subdivisions (b) and (c) of Section 78-05 of Title 35 of the Rules of the City of New York are amended to read as follows:

(b) *Documentation for E-Hail Application Approval.* The Applicant must submit with its License application a detailed description of its E-Hail Application’s functionality and its compliance with the requirements set forth in §78-21 [and §78-22] of these Rules, as well as a list of all third-party designees the E-Hail Provider will use in offering E-Hail or E-Payment services. If the Application includes E-Payment, the Applicant must submit with its License application the documentation described in paragraphs (1) through (4)[3] of this Subdivision. All documentation pertaining to an independent third party must be accompanied by a signed authorization from the Applicant authorizing the Commission to contact the independent third party directly and authorizing the independent third party to respond to inquiries from the Commission.

- (1) An acceptance test plan that uses information technology industry testing tools, techniques and methodologies designed to comprehensively test whether the E-Hail Application and related services comply with all of the requirements set forth in §78-21 of these Rules, or as such requirements may be waived or modified by the Commission pursuant to Subdivision (f) of this section;
- (2) Documentation demonstrating that an independent third party that is accredited by the American National Standards Institute-American Society of Quality National Accreditation Board (“ANAB”) to perform International Organization for Standardization (“ISO”) 9001 certifications has performed acceptance testing consistent with the acceptance test plan, and the successful results of the acceptance testing; and
- (3) Documentation, to be renewed and resubmitted to the TLC every twelve (12) months, demonstrating that an independent third party that is a Qualified Security Assessor (“QSA”) company has performed security testing of the E-Hail Application and related services to determine compliance with the security standards set forth in §78-21(f) of these Rules, or as such standards may be waived or modified by the Commission pursuant to subdivision (f) of this section, and the successful results of the security testing. [; and
- (4) Documentation, to be renewed and resubmitted to the TLC every twelve (12) months, demonstrating that an independent third party that is either a QSA company or a company accredited by ANAB to certify ISO 27001 has performed security testing of the E-Hail Application and related services to determine compliance with the security standards set forth in §78-22 of these Rules, or as such standards may be waived or modified by the Commission pursuant to subdivision (f) of this section, and the successful results of the security testing;]

(c) [Privacy] Information Security and Use of Personal Information Policy. The Applicant must submit with its License application [a detailed privacy policy meeting industry best practices that describes the specific privacy risks associated with the Applicant’s E-Hail Application and mitigations the Applicant has developed to address those risks.] an information security and use of personal information policy that includes, at a minimum, the following information:

- (1) a statement of internal access policies relating to passenger and driver Personal Information for employees, contractors, and third party access, if applicable;
- (2) a statement that, except to the extent necessary to provide credit, debit, and prepaid card services and services for any

application that provides for electronic payment, Personal Information will only be collected and used with such passenger's affirmative express consent and that such personal information will not be used, shared, or disclosed, except for lawful purposes;

- (3) procedures for notifying the Commission and affected parties of any breach of the security of the system, pursuant to Section 899-aa of the General Business Law;
- (4) a statement that any credit, debit, or prepaid card information collected by the Applicant or a credit, debit, or prepaid card services provider is processed by the Applicant, or such provider in compliance with applicable payment card industry standards, and
- (5) a statement of the Applicant's policies regarding the use of passenger geolocation information, which must include, at a minimum, a prohibition on the use, monitoring, or disclosure of trip information, including the date, time, pick-up location, drop-off location, and real-time vehicle location and any retained vehicle location records, without such passenger's affirmative express consent.

Section 19. Section 78-22 of Title 35 of the Rules of the City of New York, relating to information security requirements for e-hail app providers, is REPEALED.

Section 20. Section 83-05 of Title 35 of the Rules of the City of New York is amended to add a new Subdivision (h) to read as follows:

- (h) Information Security and Use of Personal Information Policy. The Applicant must submit with its License application an information security and use of personal information policy that includes, at a minimum, the following information:
 - (1) a statement of internal access policies relating to passenger and driver Personal Information for employees, contractors, and third party access, if applicable;
 - (2) a statement that, except to the extent necessary to provide credit, debit, and prepaid card services and services for any application that provides for electronic payment, Personal Information will only be collected and used with such passenger's affirmative express consent and that such personal information will not be used, shared, or disclosed, except for lawful purposes;
 - (3) procedures for notifying the Commission and affected parties of any breach of the security of the system, pursuant to Section 899-aa of the General Business Law;
 - (4) a statement that any credit, debit, or prepaid card information collected by the Applicant or a credit, debit, or prepaid card services provider is processed by the Applicant, or such provider in compliance with applicable payment card industry standards, and
 - (5) a statement of the Applicant's policies regarding the use of passenger geolocation information, which must include, at a minimum, a prohibition on the use, monitoring, or disclosure of trip information, including the date, time, pick-up location, drop-off location, and real-time vehicle location and any retained vehicle location records, without such passenger's affirmative express consent.

Section 21. Section 83-16 of 35 of the Rules of the City of New York is amended to add a new Subdivision (c) to read as follows:

- (c) Security Breach: The Applicant must inform the Commission if they are required to make disclosures under State or Federal law regarding security breaches including the New York State Information Security Breach and Notification Act (General Business Law §899-aa).

§83-16(c)	Penalty: \$1,000	Appearance REQUIRED
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Section 22. Subdivision (a) of Section 83-26 of Title 35 of the Rules of the City of New York is amended to read as follows:

- [(a) The LPEP Provider must collect, use, and process Personal Information [provided to it or to which it has access in connection with the activities authorized under this Chapter solely for the purposes of performing such authorized activities and in compliance with all applicable privacy and data protection laws] in accordance with the information security and use of personal information policy it has on file with the TLC pursuant to §83-05(h).
- [(b) Except to the extent necessary to provide Credit, Debit, and Prepaid Card Services, the LPEP Provider must not collect any Personal Information on any Passenger without such Passenger's express, informed and documented consent. Such prohibition includes but is not limited to collecting Personal Information through any cookie, applet, web bug, beacon or similar technology.
- (c) The LPEP Provider must ensure that Personal Information collected by a credit/debit/prepaid card services provider engaged by the LPEP Provider is stored in database management systems

maintained and administered by the credit/debit/prepaid card services provider or its processing agent in compliance with the applicable PCI Standards.

- (d) Except as may be permitted in the contract between a Street Hail Livery Licensee and the LPEP Provider or as otherwise required by law, an LPEP Provider must not disseminate, and must not permit its agents to disseminate, to any other person or entity any Street Hail Livery location-based information regarding a Street Hail Livery while it is off-duty.]

§83-26[(a)-(d)]	Penalty: \$500-\$1,000 fine and suspension for each subdivision violated	Appearance REQUIRED
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Section 23. Subdivision (f) of Section 83-31 of Title 35 of the Rules of the City of New York is amended to read as follows:

- (f) *Security.*
 - [(1)] All features of the LPEP, including the collection, transmission and maintenance of data by the LPEP Provider, required by this section must conform to the following security standards:
 - [(i)] (1) Applicable PCI Standards;
 - [(ii)] (2) Department of Information Technology and Telecommunications Citywide Information Security Policy for Service Providers and Encryption Standards ("DOITT Standards") at <http://www.nyc.gov/html/doitt/html/business/security.shtml>; and
 - [(iii)] (3) The information security and use of personal information policy the TPEP Provider has on file with the TLC pursuant to §83-05(h). [All security standards contained in Chapter 84 of these Rules.
 - (2) Access to all data required to be collected, transmitted and maintained by this section must be by log-in identification and password controls or other equivalent security measures.]

Section 26. Chapter 84 of Title 35 of the Rules of the City of New York, relating to information security rules for street hail livery technology system providers, is REPEALED.

• jy7



COMPTROLLER

■ NOTICE

NOTICE OF ADVANCE PAYMENT OF AWARDS PURSUANT TO THE STATUTES IN SUCH cases made and provided, notice is hereby given that the Comptroller of the City of New York, will be ready to pay, at 1 Centre Street, Room 629, New York, NY 10007 10/1/2016 to the person or persons legally entitled an amount as certified to the Comptroller by the Corporation Counsel on damage parcels, as follows:

Damage Parcel No.	Block	Lot
121-3,121D	11556	16
17 & 17A	11535	128
86 & 86A	11552	36
61 & 61A	11549	24
8 & 8A	11534	19
128 & 128A	11556	63
116 & 7 116A	11555	55
106 & 106A	11553	40
107 & 107A	11553	41
96 & 96A	11552	51
10 & 10A	11534	22
62 & 62A	11549	26
84 & 84A	11552	32
89 & 89A	11552	39
90 & 90A	1552	41
51 & 51A	11547	63
82 & 82A, 83&83A	11552	30,31

Acquired in the proceeding entitled: Albert Road (Ozone Park Area Street) subject to any liens and encumbrances of record on such property. The amount advanced shall cease to bear interest on the specified date above.

Scott M. Stringer
Comptroller

☛ jy7-20

NOTICE OF ADVANCE PAYMENT OF AWARDS PURSUANT TO THE STATUTES IN SUCH cases made and provided, notice is hereby given that the Comptroller of the City of New York, will be ready to pay, at 1 Centre Street, Room 629, New York, NY 10007, on 9/30/16 to the person or persons legally entitled an amount as certified to the Comptroller by the Corporation Counsel on damage parcels, as follows:

Damage Parcel No.	Block	Lot
18 & 18A	11535	129
6 & 6A	11534	17
134 & 134A	11557	1
97 & 97A	11552	54
102 & 102A	11553	34
121, 121-1		
121A & 121B	11556	14
66, 66A, BC, D&E	11549	1001-1060
59, 59A	11549	12
129, 129A	11556	64
131, 131A	11556	66
3, 3A	11534	11
4, 4A	11534	12
130, 130A	11556	65
122, 122A	11556	1

Acquired in the proceeding entitled: Albert Road (Ozone Park Area Street) subject to any liens and encumbrances of record on such property. The amount advanced shall cease to bear interest on the specified date above.

Scott M. Stringer
Comptroller

jy5-18

NOTICE OF ADVANCE PAYMENT OF AWARDS PURSUANT TO THE STATUTES IN SUCH cases made and provided, notice is hereby given that the Comptroller of the City of New York, will be ready to pay, at 1 Centre Street, Room 629, New York, NY 10007, on 9/26/2016 to the person or persons legally entitled an amount as certified to the Comptroller by the Corporation Counsel on damage parcels, as follows:

Damage Parcel No.	Block	Lot
11 & 11A	11534	36
15 & 15A	11535	119
16 & 16A	11535	121
20 & 20A	11535	133
21 & 21A	11535	135
22 & 22A	11535	136
67 & 67A	11551	5
68 & 68A	11551	6
69 & 69A	11551	10
70 & 70A	11551	12
71 & 71A	11551	14
98	11552	91
99 & 99A		94
100 & 100A		95
101	11552	100
133, 133A, 133 & 133B	11556	100-1083
174 & 174 11561	11561	22
187 & 187A	11562 168	168
188,189 & 189A	11562	175, 179
191	11562	188

Acquired in the proceeding entitled: Albert Road (Ozone Park Area Streets) subject to any liens and encumbrances of record on such property. The amount advanced shall cease to bear interest on the specified date above.

Scott M. Stringer
Comptroller

jy5-18

NOTICE OF ADVANCE PAYMENT OF AWARDS PURSUANT TO THE STATUTES IN SUCH cases made and provided, notice is hereby given that the Comptroller of the City of New York, will be ready to

pay, at 1 Centre Street, Room 629, New York, NY 10007 on 9/27/2016, to the person or persons legally entitled an amount as certified to the Comptroller by the Corporation Counsel on damage parcels, as follows:

Damage Parcel No.	Block	Lot
123 & 123A	11556	22
124 & 214A	11556	23
34, 34A & B	11544	1001-1048
72 & 72A	11551	16
73 & 73A	11551	17
74 & 74A	11551	18
75 & 75A	11551	21
76 & 76A	11551	22
77 & 77A	11551	23
78 & 78A	11551	25
79 & 79A	11551	26
80 & 80A	11551	27
19 & 19A	11551	131
12, 12A & B	11535	1
112 & 112A	11555	42
127 & 127A	11556	57
41 & 41A	11546	18
50 & 50A	11547	59
40 & 40A	11545	35
111 & 111A	11554	6
1 & 1A	11534	8
5 & 5A	11534	14

Acquired in the proceeding entitled: Albert Road (Ozone Park Area Streets) subject to any liens and encumbrances of record on such property. The amount advanced shall cease to bear interest on the specified date above.

Scott M. Stringer
Comptroller

jy1-15

YOUTH AND COMMUNITY DEVELOPMENT

■ NOTICE

In accordance with Section 3-16 (j) of the Procurement Policy Board Rules, the Department of Youth and Community Development (DYCD) will be issuing a Concept Paper for Comprehensive Services for Immigrant Families. The Immigrant Families program will deliver comprehensive services to immigrant families living in low income neighborhoods throughout New York City. Services will include, but not limited to, education, healthcare and housing.

The Concept Paper can be found on DYCD's website at www.nyc.gov/dycd under the Resources for non-profits link starting July 14, 2016. Following release of this concept paper, DYCD will issue request for proposals (RFP), through the HHS Accelerator system, seeking to find qualified organizations to implement this program.

Please email comments to DYCD at CP@dycd.nyc.gov no later than August 5, 2016. Please enter "Services for Immigrant Families" in the subject line.

☛ jy7-13

In accordance with Section 3-16 (j) of the Procurement Policy Board Rules, the Department of Youth and Community Development (DYCD) will be issuing a Concept Paper for the Fatherhood Initiative. The Fatherhood Initiative helps fathers increase engagement and responsibility in his relationship with his child/children and provide material and financial support to his child/children.

The Concept Paper can be found on DYCD's website at www.nyc.gov/dycd under the Resources for non-profits link starting July 14, 2016. Following release of this concept paper, DYCD will issue request for proposals (RFP), through the HHS Accelerator system, seeking to find qualified organizations to implement this program.

Please email comments to DYCD at CP@dycd.nyc.gov no later than August 5, 2016. Please enter "Fatherhood Concept Paper" in the subject line.

☛ jy7-13

CHANGES IN PERSONNEL

BOARD OF ELECTION POLL WORKERS
FOR PERIOD ENDING 06/17/16

NAME	TITLE	NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
MASOETSA	NKHETHEL D	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MASTROIANNI	ANNA MAR M	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MATA II	ADRIANA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MCCULLOCH	CHRISTIN A	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MCDONALD	NATASHA A	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MCFADDEN	ALPHONSO	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MCHEE	SHANTI	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MCKENZIE	CHANTE C	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MCKINNON	AMY	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MCNAMARA	SILENNY	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MEJIA	ELIDARLE M	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MENDOZA	ARISTIDE	9POLL	\$1.0000	APPOINTED	YES	06/07/16	300
MEYERS	SHELLY	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MIGUEL	ANGELA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MIKULA	GARY P	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MILANO	NALANIE S	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MILLER	CARMEN E	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MILLER	DEVON	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MILLER	PETER	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MILLS	TONNA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MILLS JR	DAVID E	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MIMOSE	CHARLES	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MINGO	RUBY C	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MINIER	JAZMIN G	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MIRARCHI	LUCY M	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MITCHELL	GREGORY K	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MITCHELLE	ANDRE	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MONDAL	SHYAMAL	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MONDESIR	CLAUDETT	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MONTANEZ	REYNALDO	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MONTANEZ	SANDRA S	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MONTELEONE	JOHN	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MONTFLEURY	RACHELLE	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MOORE	ERICA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MOORE	JARBARRY	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MOORE WILLIAMS	NKACY C	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MORALES	MARCO A	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300

BOARD OF ELECTION POLL WORKERS
FOR PERIOD ENDING 06/17/16

NAME	TITLE	NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
MORALES	NORMA S	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MORAN	BERNARDA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MORETA	ROSA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MORGAN	CRYSTAL	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MORILLO	MICHELLE	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MORTON	RONALD T	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MOWATT	CAMILLE G	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MOZON	DEBORAH	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MULLINGS	LORREY A	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MUNIZ	ROSA L	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MUNROE	SKYE C	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MURRAY	MAXINE	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
MYERS	JACORY	9POLL	\$1.0000	APPOINTED	YES	06/02/16	300
MYRTHIL	JEAN PAU P	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
NASIM	KHUNDKER A	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
NAYIM	MOHAMMED	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
NECKLES	VERONICA P	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
NEGRON	RAFAEL	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
NEWBOLD	TENNILLE	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
NGUYEN	DANIEL	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
NICHOLLS	CAROLINE L	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
NIEVES-JOHNSON	VANESSA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
NUNEZ	ELANGIE M	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
NUNEZ	JESSICA L	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
OLIVER	BETTRINA N	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
OLOWE	KEHINDE	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
ORTEGA	XAVIER	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
ORTIZ	WIGBERTO J	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
OTT	HARRY	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
OTTO	SORIA F	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
OUTRAM	NELLY	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PALMA	VICTORIA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PARKER	NAYDEEN	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PARRIS	DOMINIQUE S	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PASCASIO	CESAR	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PATERSON	GEORGETT Y	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PAYANO	MERCEDES	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PAYNE	ANTHONY J	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PEACE	CHARISSE L	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PEART	SHERYLL A	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PEPPERS	MARK	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PERDOMO	VIRGINIA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PEREZ	ANA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PERVIN	SULTANA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PETERS	EVELYN V	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PETERSON	BRITNEY C	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PICARD	VANIQUA J	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PIERETTI	WANDA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PIERRE	WILBY	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PITT	SHARELLE H	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
POLANCO	LISSA M	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300

BOARD OF ELECTION POLL WORKERS
FOR PERIOD ENDING 06/17/16

NAME	TITLE	NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
POND	SHAMIANA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
POYOTTE	ANGELA T	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
POZARYCKI	ALAN S	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PRINCE	ARETHA G	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PRINGLE	TRACY A	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
PUIJOLS	LESLIE K	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
QUINIONES	LUIS D	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
RAHMAN	ARAF	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
RAHMAN	MOHAMMED A	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
RAHMAN	RAFEA	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
RAMOS	MARIA C	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
RANDOLPH	TORREY	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
RESNICK	CHARLES	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
REYES	MAXIMO	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300
REYES	MIGUEL A	9POLL	\$1.0000	APPOINTED	YES	01/01/16	300

LATE NOTICE

CONTRACT AWARD HEARINGS

NOTE: INDIVIDUALS REQUESTING SIGN LANGUAGE INTERPRETERS SHOULD CONTACT THE MAYOR'S OFFICE OF CONTRACT SERVICES, PUBLIC HEARINGS UNIT, 253 BROADWAY, 9TH FLOOR, NEW YORK, N.Y. 10007, (212) 788-7490, NO LATER THAN SEVEN (7) BUSINESS DAYS PRIOR TO THE PUBLIC HEARING. TDD USERS SHOULD CALL VERIZON RELAY SERVICES.

HUMAN RESOURCES ADMINISTRATION

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Monday, July 11, 2016, at 150 Greenwich Street, 37th Floor Bid Room, Borough of Manhattan, commencing at 10:00 A.M. on the following:

IN THE MATTER OF twenty-one (21) proposed contracts between the Department of Homeless Services of the City of New York and the contractor listed below, to operate Supportive Housing Program Single Room Occupancy for previously homeless individuals. The term of these contracts will be from July 1, 2016 to June 30, 2022.

Vendor / Site Address	Site Address	E-PIN	Amount
912 East 178th Street HDFC 902 Broadway, 13 th Floor New York, NY 10010	Honeywell Residence 912 East 178th Street Bronx, NY 10460	07116R0001020	\$187,578
Black Veterans for Social Justice 665 Willoughby Avenue Brooklyn, NY 11221	Wazobia House 31-39 Van Buren Street Brooklyn, NY 11206	07116R0001001	\$741,000
Buckingham, HDFC 56 Bay Street Staten Island, NY 10301	Buckingham, SRO 11-15 Port Richmond Avenue Staten Island, NY 10302	07116R0001002	\$542,652
Clinton Housing Development Corporation 403 West 40 th Street New York, NY 10018	353 West 30th Street 353-355 West 30th Street New York, NY 10001	07116R0001003	\$757,536

Common Ground Management Corporation dba Breaking Ground Management 505 8 th Avenue, 15 th Floor New York, NY 10018	Brook Avenue Residence 458 East 148 Street Bronx, NY 10455	07116R0001004	\$1,847,382
Community Access, Inc. 2 Washington Street, 9 th Floor New York, NY 10004	Franklin Avenue Apartments 1363 Franklin Avenue Bronx, NY 10456	07116R0001014	\$580,440
Community Access, Inc. 2 Washington Street, 9 th Floor New York, NY 10004	James A. Polite 1022 Reverend James Polite Avenue Bronx, NY 10459	07116R0001015	\$716,910
Community Access, Inc. 2 Washington Street, 9 th Floor New York, NY 10004	Dorothy McGowan Houses 518-20 West 159 Street New York, NY 10032	07116R0001016	\$705.57
	Dorothy McGowan Houses 555 West 159 Street New York, NY 10032		
	Dorothy McGowan Houses 569 West 159 Street New York, NY 10032		
Community Action for Human Services, Inc. 2225 Lodovick Avenue Bronx, NY 10469	JHB Housing 1316 Boston Road Bronx, NY 10456	07116R0001021	\$592,254
Fifth Avenue Committee, Inc. 621 DeGraw Street Brooklyn, NY 11217	575 5th Avenue, SRO 575 5th Avenue Brooklyn, NY 11215	07116R0001005	\$684,294
Heritage Health & Housing, Inc. 416 West 127 th Street New York, NY 10027	Harmony Court 1305 Morris Avenue Bronx, NY 10456	07116R0001006	\$735,870
Kenmore, HDFC 461 Park Avenue South New York, NY 10016	Kenmore Hall SRO 145 East 23rd Street New York, NY 10010	07116R000001007	\$3,620,022
Palladia, Inc. 305 7 th Avenue New York, NY 10001	Hill House 1616 Grand Avenue Bronx, NY 10453	07116R0001008	\$243,054
Post Graduate Center for Mental Health 158 East 35 th Street New York, NY 10016	Bronx Park East 2330 Bronx Park East Bronx, NY 10467	07116R0001009	\$765,582
Progress of People's Management Corporation 191 Joralemon Street Brooklyn, NY 11201	Mercy Gardens 249 Classon Avenue Brooklyn, NY 11205	07116R0001010	\$970,512
Progress of People's Management Corporation 191 Joralemon Street Brooklyn, NY 11201	Caring Communities St. Joseph SRO 683 Dean Street Brooklyn, NY 11238	07116R0001011	\$2,798,664
	Caring Communities Most Holy Trinity SRO 157 Graham Avenue Brooklyn, NY 11206		
	Caring Communities Our Lady of God Counsel SRO 800 Madison Brooklyn, NY 11221		

St. John's Community HDFC 475 Riverdale Avenue New York, NY 10015	St. John's House "1" SRO 165 Washington Avenue Bronx, NY 10456	07116R0001012	\$720,384
VIP Community Services 1910 Arthur Avenue Bronx, NY 10457	Abraham Apartments 1790 Clinton Avenue Bronx, NY 10460	07116R0001013	\$445,644
Volunteers of America Greater New York, Inc. 340 West 85 th Street New York, NY 10024	Richard Salyer SRO West 165th Street New York, NY 10035	07116R0001018	\$1,084,722
Volunteers of America of Greater New York, Inc. 340 West 85 th Street New York, NY 10024	Webster Avenue SRO 1075 Webster Avenue Bronx, NY 10456	07116R0001017	\$1,437,221
Volunteers of America of Greater New York, Inc. 340 West 85 th Street New York, NY 10024	Commonwealth 1150 Commonwealth Avenue Bronx, NY 10472	07116R0001019	\$1,154,955

The proposed contractors have been selected through the Required Authorized Source Method, pursuant to Section 1-02 (d)(2) of the Procurement Policy Board (PPB) Rules.

Draft copies of the proposed contracts are available for public inspection at the Department of Homeless Services, 33 Beaver Street, New York, NY 10004 on business days, from July 7, 2016 to July 11, 2016, between the hours of 10:00 A.M. and 5:00 P.M., excluding Saturdays, Sundays and holidays.

If you need to schedule an inspection appointment and/or additional information. Please contact Donna Wilson at (929) 221-6351.

• jy7

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will held on Monday, July 11, 2016 at 150 Greenwich Street, 37th Floor Bid Room, Borough of Manhattan, commencing at 10:00 A.M. on the following:

IN THE MATTER OF two (2) proposed contracts between the Department of Homeless Services of the City of New York and the contractors listed below, to operate a transitional housing program for families. The term of these contracts will be from **July 1, 2016 to June 30, 2021**, with one four-year option to renew from **July 1, 2021 to June 30, 2025**.

<u>Contractor/ Address</u>	<u>E-PIN</u>	<u>Amount</u>	<u>Service Area</u>	<u>Site Address</u>
Bronx Parent Housing Network 1171 Washington Avenue Bronx, NY 10456	07110P0002106	\$1,480,261	Citywide	1138 Teller Avenue Bronx, NY 10456
Project Renewal 200 Varick Street New York, NY 10014	07110P0002104	\$41,089,872	Citywide	249 Varet Street Brooklyn, NY 11206

The proposed contractors were selected through the Competitive Sealed Proposal Method (Open Ended Request for Proposals), procurement method, pursuant to Section 3-03 (b)(2) of the Procurement Policy Board (PPB) Rules.

Draft copies of the proposed contracts will be available for public inspection at the Department of Homeless Services 33 Beaver Street, New York, NY 10004 on business days, from July 7, 2016 to July 11, 2016, between the hours of 10:00 A.M. and 5:00 P.M., excluding Saturdays, Sundays and holidays.

If you need to schedule an inspection appointment and/or additional information. Please contact Donna Wilson at (929) 221-6351.

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