## FY 2021 AGENCY QUARTERLY DIVERSITY AND EEO REPORT

AGENCY N	Name: D	EP 826				
	_	er (July -September), due November 6, 202 er (January -March), due April 30, 2021		<ul> <li>2<sup>nd</sup> Quarter (October - December), due January 29, 2021</li> <li>4<sup>th</sup> Quarter (April -June), due July 30, 2021</li> </ul>		
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Date Subi	mitted:					
FOR DCAS	S USE ONLY	: Date Received:				

### **INSTRUCTIONS FOR FILLING OUT QUARTERLY REPORTS FY 2021**

### [NOTE: These forms are cumulative. For Q2, Q3 and Q4 use previous quarter's submission to update]

- 1. Please save this file as 'XXXX Quarter X FY 2021 DEEO Quarterly Report.Part I' where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Word format. Please do not convert it to PDF.
- 2. Complete the "Diversity and EEO Training Summary" details in the attached Excel file. Under Section 10 ("Other"), include training classes coorganized or co-sponsored by your agency EEO and/or HR that are related to the development of the agency staff in the areas of equal employment, diversity, inclusion, civil rights, workplace culture and behavior, interpersonal relations, and community relations.
- 3. Mark progress in check boxes in the column for the current quarter. NOTE: DELAYED = behind schedule; DEFERRED = put off until later when better resources become available.
- 4. Please save the Excel file as 'XXXX Quarter X FY 2021 DEEO Training Summary", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Excel format. Please do not convert it to PDF.

#### PART I: NARRATIVE SUMMARY

#### I. COMMITMENT AND ACCOUNTABILITY STATEMENT BY THE AGENCY HEAD

Distributed to all agency employees?	🛛 Yes, On (Date):August 26, 2019	🗌 No
	🗌 By e-mail	
	Posted on agency intranet	
	Other	

#### II. <u>RECOGNITION AND ACCOMPLISHMENTS</u>

The agency recognized employees, supervisors, managers, and units demonstrating superior accomplishment in diversity and equal employment opportunity through the following:

#### \* Please describe D&EEO Awards and/or Appreciation Events below:

In the past year our agency accomplished the following as part of our commitment to Equal Employment Opportunity, Equity, Diversity, and Inclusion:

1. DEP's employee appreciation program, Always Creating Excellence (A.C.E.) Awards, was implemented in January 2016. The A.C.E. Awards ceremony is held quarterly to recognize individual employees and work teams in the categories of Leadership, Environmental Health & Safety,

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Customer Services, Innovation (Early Careers, Technology, Sustainability and Operations, Administration/Support and Engineering), and the Commissioner's Award. Based on the COVID-19 outbreak and DEP's response efforts, the previously scheduled in-person Awards ceremony was postponed, and DEP issued a special edition of its weekly Newsletter, *Pipeline* "Extra" edition, on April 10, 2020 announcing the A.C.E. award recipients for the first quarter of 2020.

2. As terms like 'Remote Learning', 'Teleworking' and 'Social Distancing become our 'new normal', I, along with the Office of Organizational Development and Human Resources ("OD&HR") reassure our employees that we will continue to provide all DEP employees with resources that we hope will help support during the challenges that we face through these current times. Our team in OD&HR worked diligently behind the scenes to create and identify skills development, and other support resources that can be delivered remotely, or that can be accessed directly on an employee's own time. A series of emails were later provided that included links to videos, articles, podcasts, and other resource. Personalized one-on-one consultations for help with specific workforce development concerns were provided during appointment sessions. A series of TED talks were provided to also help employees navigate through these uncertain times. TED Connects, a free, live daily conversation series that featured experts whose ideas help us to reflect and work through these uncertain times with a sense of responsibility, compassion and wisdom. The series began on March 23<sup>rd</sup> and each conversation is archived and provided on OD&HR's intranet for viewing at any time. Please click the hyperlink to view the series: <a href="https://www.ted.com/talks/susan\_david\_how\_to\_be\_your\_best\_self\_in\_times\_of\_crisis.">https://www.ted.com/talks/susan\_david\_how\_to\_be\_your\_best\_self\_in\_times\_of\_crisis.</a> DEP employees were also provided with access to LinkedIn Learning, a video webinar training repository that offers courses in business, technology and professional development, including preparation for certification exams such as PMP, SHRM-SCP & CP, CompTIA A+ & Security+, among many others. Additional resources were given to provide access to the complete LinkedIn Learning library on the New York</a>

Public Library's (NYPL) website: https://www.nypl.org/collections/articles-databases/lyndacom.

3. Amid the COVID-19 pandemic, employees reportedly faced enormous stress, pressure and anxiety. The killing of George Floyd and the ongoing protests against racism and police violence have added to existing tension. We knew it was essential to support DEP's employees during these very challenging times, and sought to do this by providing them an outlet for addressing these concerns in a positive and effective way. Accordingly, DEP instituted a series of professionally facilitated workforce **Open Discussions** on racial equity, and plans to further these engagements across other important topics, with the goal of making positive changes and continuing to promote equality, diversity and inclusion.

This engagement was piloted in October 1, 2020, to 75 participants, and received overall favorable reviews.

4. On May 26, 2020, DEP kicked off its first event of its Employee Support Series, "Multitasking Parent." The goal of these sessions is to connect with other DEP employees who are also managing challenges during this challenging time that we face with COVID-19. Other topics included: "Coping with Feelings of Isolation and Loneliness during COVID-19" and "Teleworking, Homeschooling and Managing a Home during COVID-19."

#### III. WORKFORCE REVIEW AND ANALYSIS

1. Agency Headcount as of the last day of the quarter was:

Q1 (9/30/2020): 5847	Q2 (12/31/2020): 5773

Q3 (3/31/2021): 5692 Q4 (6/30/2021): \_\_\_\_\_

2. Agency reminded employees to update self-ID information regarding race/ethnicity, gender, and veteran status.

3. The agency conducted a review of the dashboard sent to the EEO Officer with demographic data and trends, including workforce composition by job title, job group, race/ethnicity and gender; new hires, promotions and separation data; and utilization analysis.

Yes , On (Dates):			February 11, 2021	
The review was conducted with:	<ul> <li>☐ Human Resources</li> <li>☐ Agency Head</li> <li>☐ General Counsel</li> <li>☐ Other</li> <li>☑ Not conducted</li> </ul>	<ul> <li>☐ Human Resources</li> <li>☐ Agency Head</li> <li>☐ General Counsel</li> <li>☐ Other</li> <li>☑ Not conducted</li> </ul>	<ul> <li>☐ Human Resources</li> <li>☐ Agency Head</li> <li>☐ General Counsel</li> <li>☐ Other</li> <li>☑ Not conducted</li> </ul>	<ul> <li>Human Resources</li> <li>Agency Head</li> <li>General Counsel</li> <li>Other</li> <li>Not conducted</li> </ul>

#### IV. EEO, DIVERSITY, INCLUSION, AND EQUITY INITIATIVES FOR FY 2021

Please describe your progress this quarter in implementing the primary goals in Section IV of your Agency Diversity and EEO Plan for FY 2021 - <u>Proactive Strategies to Enhance Diversity, EEO and Inclusion</u>:

## A. WORKFORCE:

Please list the <b>Workforce Goal(s)</b> included in <i>Section</i> <i>IV: Proactive Strategies to Enhance Diversity, EEO and</i> <i>Inclusion,</i> which you set/declared in your FY 2021 Diversity and EEO Plan (e.g., underutilization, workforce planning, succession planning and diverse applicant pool, among others):	<ul> <li>Please describe the steps that your agency has taken to meet the Workforce Goal(s) set/declared in your plan.</li> <li>Include steps that were taken or considered to build an inclusive and sustainable pipeline for your agency across all levels.</li> </ul>	Q1 Update	Q2 Update	Q3 Update	Q4 Update
The Office of EEO & Diversity works with OD&HR and agency managers to ensure that the interview process for hiring and promotion are EEO compliant and consistent with D/I best practices, as well as appropriately considers underutilization and other relevant demographic data.	Using the CEEDS underutilization report, DEP will continue to strive for increasingly diverse applicant pools and talent acquisition. The Office of EEO & Diversity along with the Office of Organizational Development and Human Resources offices continues to provide managers/supervisors and relevant agency personnel with the appropriate leadership competencies, across all facets of their tasks and responsibilities including, but not limited to training in structured interviewing, unconscious bias, reasonable accommodation, conflict resolution strategies, and cross- cultural awareness and etiquette.	<ul> <li>□ Planned</li> <li>□ Not started</li> <li>⊠ Ongoing</li> <li>□ Delayed</li> <li>□ Deferred</li> <li>□ Completed</li> </ul>			
Attract and hire highly qualified, diverse talent.	The Agency continues its commitment to promoting and encouraging consistency and fairness in our employment practices. DEP's managers and supervisors are charged with promoting diversity and encouraging inclusion in all aspects of our business. When presented with the opportunity to hire or promote individuals, the Office of EEO and Diversity,	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			

	as well as Organizational Development & Human Resources, are included in the process to ensure that the recruitment, selection, and leadership development processes are applied consistently and in accordance with established City and Agency policy and procedures. This minimizes the potential for discrimination and bias, and guarantees the selection of the best and brightest that our City's diverse population has to offer.			
The agency has implemented strategies to address the impending retirement of employees and possible loss or gap in talent.	<ul> <li>Job analysis and skills audit.</li> <li>Conduct workforce planning and forecasting.</li> <li>Use the DCAS Retirement Predictor Tools to address the impending retirement of employees and possible loss or gap in talent.</li> <li>Integrate succession planning in the agency activities to develop a pipeline, facilitate a seamless transition and continuity of service.</li> <li>Work to ensure that there will be a diverse applicant pool for the anticipated vacancies.</li> <li>Encourage agency employees to take promotional civil service examinations by:         <ul> <li>Sending emails with schedule of exams;</li> <li>Providing link to specific DCAS exams; and</li> <li>Posting schedules and exam announcements at the agency intranet.</li> </ul> </li> </ul>	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		

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	<ul> <li>DEP's coaching, counseling, mentoring and cross training programs.</li> <li>Identify best practices to retain advanced employees.</li> <li>Implement initiatives to improve the development and training of underrepresented employees.</li> </ul>					
Describe steps that were taken or considered to address underutilization identified through quarterly workforce reports. Please list Job Groups where underutilization exists in the current quarter.						
Underutilization Job Groups: (1) Administrative Engineer (2) Administrative Project Manager (3) Associate Project Manager (4) Mechanical Engineer						
The Agency could not engage recruitment efforts in these titles due to the active civil service lists in the titles.						

## **B. WORKPLACE:**

Please list the <b>Workplace Goal(s)</b> included in <i>Section</i> <i>IV: Proactive Strategies to Enhance Diversity, EEO and</i> <i>Inclusion,</i> which you set/declared in your FY 2021 Diversity and EEO Plan (e.g., job satisfaction/engagement surveys, exit interviews/surveys, and onboarding surveys):	<ul> <li>Please describe the steps that your agency</li> <li>has taken to meet the Workplace Goal(s)</li> <li>set/declared in your plan.</li> <li>Include steps that were taken or considered</li> <li>to create an inclusive work environment</li> <li>that values differences that each of your</li> <li>unique employees brings to work, and to</li> <li>maintain focus on retaining talent across all</li> <li>levels.</li> </ul>	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Training	The Office of EEO & Diversity continues to engage DEP senior and bureau-level staff in identifying specific areas for training delivery and support.	<ul> <li>□ Planned</li> <li>□ Not started</li> <li>⊠ Ongoing</li> <li>□ Delayed</li> </ul>			

		Deferred		
		Completed		
		<b>—</b>	 	
DEP has established two training courses,	C	Planned Not started		
Managing for Excellence (MFE) and Supervision	reinforcing fundamental management	□ Not started ☑ Ongoing		
in Action (SIA): Mastering the Basics, for	strategies and techniques that will help	□ Delayed		
supervisors and managers.	motivate and inspire, create accountability,	□ Deferred		
	and improve morale across the agency.	□ Completed		
	Each course also includes and EEO and D/I			
	module, underscoring the value of these			
	topics to overall leadership competencies.			
	Managing for Excellence (MFE)			
	Essential goals of the MFE Program are:			
	• Focus new managers, and to			
	refocus seasoned managers, on			
	fundamental management skills to			
	increase productivity, improve			
	performance and engage our			
	workforce to greater heights.			
	• To capitalize on the diversity of our			
	managers by creating this opportunity			
	to share, commiserate, strategize and			
	bond so there are stronger ties across			
	the enterprise. Much can be gained by			
	appreciating different, and also same			
	perspectives on management tools and			
	approaches that work – or don't.			
	• To give each of our managers some			
	much deserved and desired space to			
	take a deep breath, to contemplate			
	some next steps, to recharge their			

	<ul> <li>management batteries and to have some fun.</li> <li>To level the playing field by placing the same tools in everybody's tool box <ul> <li>meaning management tools. These are the tools executive and senior staff endorsed as necessary to drive our values and culture in a positive direction.</li> <li>To create more trust among our managers. We told you in our first annual Managerial Professional Development Day that we were investing in you, our managers, and this is only the beginning. We know we need to build greater trust as we go forward by supporting you on the other side of this initial investment.</li> </ul> </li> <li>Supervision in Action (SIA): Mastering the Basics <ul> <li>Provides supervisory skills that will help hone leadership abilities in a 3-day Blended Learning program. Introducing proven supervisory techniques through pre-class online modules, instructor led classroom course work and real-life case study group discussions and activities.</li> </ul> </li> </ul>	Planned		
Always Creating Excellence (A.C.E.) Awards: DEP's appreciation program, A.C.E.	1. DEP's appreciation program, Always Creating Excellence (A.C.E.) was implemented in January 2016. A.C.E. ceremonies	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		

are held on a quarterly basis and include a wide variety of recognition categories: Leadership, Environmental Health & Safety, Customer Services, Innovation (Early Careers, Technology, Sustainability and Operations, Administration/Support and Engineering), and the Commissioner's Award. In consideration of the COVID-19 outbreak and related Centers for Disease Control, the in-person event that was previously scheduled to honor awardees has been postponed. A special edition of Weekly Pipeline "Extra" edition,	
event that was previously scheduled to honor awardees has	

Please specify any other EEO-related activities during the quarter (e.g., postings, meetings, cultural programs promoting diversity, newsletters/articles, etc.) and describe briefly the activities, including the dates when the activities occurred.

• **On January 15, 2021:** An agency blast was sent out to announce our January Awareness Communications to highlighting the following observances: National Mentoring Month, National Glaucoma Awareness, Cervical Health Awareness Month, National Blood Donor Month, and National Braille Literacy Month.

• On February 10, 2021: An agency event was held titled A Year of COVID: Working through Collective Trauma.

• On February 12, 2021: An agency blast email was sent out to announce our February Awareness Communications to highlighting to the following observances: Black History Month, Lunar New Year, and American Heart Month. The e-mail blast also included the launch

of our Reflections and Diversity Inclusion (RDI) Series that serves for an opportunity for continued dialogue, and to hear from you on trending diversity and inclusion topics.

• On February 17, 2021: An agency wide email released the SharePoint Site, *Reflections on Diversity and Inclusion (RDI)*, a virtual workforce engagement series geared at facilitating further healthy dialogue across DEP on trending diversity and inclusion topics. This site included virtual engagement virtual engagement for February 22, 23, 24 and 26 in honor of February observances and employees were asked to submit their response to this month's RDI question: What do diversity and inclusion mean to you, and how will you use your unique strengths or strategies to promote or value or celebrate diversity and inclusion this month?

- On February 17, 2021: An Agency-wide event was held titled Race, Health and You: Separating Facts from Fiction.
- On February 23, 2021: Transformation Tuesday Civil Service 101: Navigating Jobs in the City.
- On March 8, 2021: An agency blast was sent out to announce our March Awareness Communications to highlighting the following observances: International Women's Day, Women History Month, National Developmental Disabilities Awareness Month, St. Patrick's Day, International Transgender Day of Visibility.
- On March 12, 2021: An agency wide email announcing our virtual event, *Reflections on Diversity and Inclusion*

(*RDI*) *event*, Herstory A Virtual Round Table Talk scheduled for March 31<sup>st</sup>. This month's SharePoint Site included a virtual engagement activities in honor of Women's Herstory Month for March 16, 23 & 31. Employees were asked to submit their response to this month's RDI question: What do diversity and inclusion mean to you, and how will you use your unique strengths or strategies to promote or value or celebrate diversity and inclusion this month?

• **On March 31, 2021**: We held our virtual event, *Reflections on Diversity and Inclusion (RDI) event*, Herstory A Virtual Round Table Talk in which was an opportunity for employees to share brave insights, practice tools and talk about woman empowerment and ally ship. There were 25 employees who registered for the event and participated in this event.

C. COMMUNITY:

Please list the <b>Community Goal(s)</b> included in <i>Section</i> <i>IV: Proactive Strategies to Enhance Diversity, EEO</i> <i>and Inclusion,</i> which you set/declared in your FY 2021 Diversity and EEO Plan (e.g., community outreach and engagement, MWBE participation and customer satisfaction surveys):	<ul> <li>Please describe the steps that your agency has taken to meet the Community Goal(s) set/declared in your plan.</li> <li>Include steps that were taken or considered to establish your agency as a leading service provider to the citizens of New York City focused on inclusion and cultural competency, while reflecting the vast communities that are served.</li> </ul>	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Increase outreach to improve recruitment strategies across New York City and upstate New York.	<ul> <li>In FY 2021, the agency will:</li> <li>Continue or plan to promote diversity and EEO community outreach in providing government services</li> <li>Promote participation with minority and women owned business enterprises (MWBEs).</li> <li>Conduct a customer satisfaction survey.</li> <li>Identify best practices for establishing a brand of inclusive customer service.</li> <li>Undertake initiatives to improve community relations, community awareness, and to engage communities being served in recruitment efforts, service development and delivery.</li> </ul>	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			

Vacancies that require special skills and abilities that are deemed 'hard to recruit'.	All postings have been for current City employees in the title, those on the current list or those who took and successfully passed the last DCAS exam and are currently waiting for their official scores.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			
Community Job Fairs	Due to COVID we have not held any community job fairs	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			
Please specify any other Community-directed activities durin fairs, etc.) and describe briefly the activities, including the da		l programs, promot	ion of agenc	y services, cc	ommunity

### A. RECRUITMENT EFFORTS

Please list <b>Recruitment Strategies and Initiatives</b> which you set/declared in your FY 2021 Diversity and EEO Plan (e.g., targeted outreach and outreach, diversity recruitment, social media presence, where jobs are posted, EEO and APO collaboration, evaluation of best recruitment sources, structured interview training and unconscious bias training):	Please describe the steps that your agency has taken to meet the <b>Recruitment Goal(s)</b> set/declared in your plan.	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Recruit a diverse group of interns to develop a pipeline of future talent.	<ul> <li>The actions listed below require internal agency collaboration and are not necessarily executed by the EEO Office.</li> <li>The agency will address underutilization in FY 2021 by:</li> <li>☑ Expanding internal and external applicant pools to address the underutilization through outreach strategies for broader recruitment.</li> <li>☑ Using the quarterly workforce report and dashboard to identify specific job groups where underutilization exists and guide recruitment efforts.</li> <li>The agency will implement the following</li> </ul>	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			

strategies to address the impending		
retirement of employees and possible loss		
or gap in talent:		
$\boxtimes$ Job analysis and skills audit.		
$\boxtimes$ Conduct workforce planning and		
forecasting.		
$\boxtimes$ Integrate succession planning in the		
agency activities to develop a		
pipeline, facilitate a seamless		
transition and continuity of service.		
$\boxtimes$ Ensure that there will be a diverse		
applicant pool for the anticipated		
vacancies.		
$\boxtimes$ Evaluate best sources for diverse		
candidates		
$\boxtimes$ Encourage agency employees to take		
promotional civil service		
examinations.		
The agency will implement the following		
initiatives to develop and retain employees:		
oxtimes Identification of Ready Now &		
High Potential Talent.		
$\boxtimes$ Institute coaching, mentoring and		
cross training programs.		
☑ Institute succession planning for		
top managerial positions.		

	☑ Implement initiatives to improve the personal and professional development of employees.			
In FY'21, DEP will increase outreach efforts to the Mayor's Office for People With Disabilities (MOPD) and ACCESS VR.	The Diversity Director/Reasonable Accommodation Coordinator will continue to collaborate with Organizational Development/Human Resources to actively promote vacancy announcements to this community and participate at designated career fairs and hiring pools.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		
		<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		

## **B.** INTERNSHIPS/FELLOWSHIPS

<b>DTE:</b> Please update this table			
Type of Internship\Fellowship	Total	Race/Ethnicity* [#s] * Use self-ID data	Gender* [#s] [N-B=Non-Binary; O=Other; U=Unknown] * Use self-ID data
1. Urban Fellows	0		M F N-B O U
2. Public Service Corps	0		M F N-B O U
3. Summer College Interns	0		M F N-B O U

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4. Summer Graduate Interns	0		Μ	_ F	N-B	_0	_ U	
5. Other (specify):			Μ	_ F	_N-B	_0	_U	
Each quarter, the Office of EEO	Each quarter, the Office of EEO & Diversity reviews CEEDS data with OD&HR to address underutilization. A review of policies, procedures,							

Each quarter, the Office of EEO & Diversity reviews CEEDS data with OD&HR to address underutilization. A review of policies, procedures, and practices related to hiring, which also includes vacancy announcements, use of certification lists, and the selection process for mission critical occupations, are also reviewed. There were no interns or Urban fellows due to Covid-19.

#### C. 55-A PROGRAM

The agency uses the 55-a Program to hire and retain qualified individuals with disabilities.

Currently, there are 28 55-a participants.

During the 1st Quarter, 0 new applications for the program were received. During the 1st Quarter 1 participant left the program due to <u>retirement</u>.

During the 2nd Quarter, 0 new applications for the program were received. During the 2nd Quarter 3 participants left the program due to: (1) retirement (2) death (3) appointed to a competitive title.

During the 3rd Quarter, a total of 0 new applications for the program were received. During the 3rd Quarter 2 participants left the program due to [state reasons] (1) retirement, (1) death.

During the 4th Quarter,	a total of _	[number] new app	lications for the pro	ogram were received.
During the 4th Quarter	particip	ants left the program of	due to [state reaso	ns]

The 55-a Coordinator has achieved the following goals:

1.	Disseminated 55-a information – by e-mail: 🛛 Yes 🛛 No
	in training sessions: 🛛 Yes 🗌 No
	on the agency website: 🛛 Yes 🗌 No
	through an agency newsletter: 🛛 Yes 🛛 No

2. \_\_\_\_\_\_

### VI. <u>SELECTION (HIRING AND PROMOTION)</u>

Please review Section VI of your Annual Plan and describe your activities for this quarter below:

Please list additional <b>Selection Strategies and</b> <b>Initiatives</b> which you set/declared in your FY 2021 Diversity and EEO Plan (include use of structured interview, EEO or APO representatives observing interviews, review of placements, review of e-hire applicant data)	Please describe the steps that your agency has taken to meet the <b>Selection (Hiring and</b> <b>Promotion) Goal(s)</b> set/declared in your plan.	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Career Counseling: Advising employees of opportunities for promotion and career development; Notification of promotion/transfer opportunities	<ul> <li>Each week, OD&amp;HR sends out a list of job vacancies and exam schedules to the workforce.</li> <li>A list of promotional and transfer opportunities are posted at each facility and central locations.</li> <li>OD&amp;HR routinely distributes training and development programs to improve skills, performance and career opportunities.</li> <li>OD&amp;HR hold information sessions where employees are provided information on civil service and what it means to become a permanent civil servant.</li> <li>OD&amp;HR provides technical assistance in filing for upcoming civil service exams.</li> </ul>	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			

Reviewing the methods by which candidates are selected for promotion or to fill vacancies (new hires), especially for mid- and high-level discretionary positions	Each quarter, the Office of EEO & Diversity reviews CEEDS data with OD&HR to address underutilization. A review of policies, procedures, and practices related to hiring, which also includes vacancy announcements, use of certification lists, and the selection process for mission critical occupations, are also reviewed.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		
Reviewing the methods by which candidates are selected for promotion or to fill vacancies (new hires) filled through civil service lists.	Each quarter, the Office of EEO & Diversity reviews CEEDS data with OD&HR to address underutilization. A review of policies, procedures, and practices related to hiring, which also includes vacancy announcements, use of certification lists, and the selection process for mission critical occupations, are also reviewed.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		
Describe the role of agency EEO Officer and other EEO staff in the selection of candidates for appointment or promotion (pre- and post- appointment).	<ul> <li>The agency's EEO Officer ensures that hiring managers are trained in structured interviewing techniques to avoid unintentional biases in the hiring process;</li> <li>Reviews questions for structured interviews; and</li> <li>Participates in interview panels and reviews promotional packages.</li> </ul>	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>		
Analyzing the impact of layoffs or terminations on racial, gender and age groups.	The agency analyzes the impact of layoffs and terminations on racial, gender and age groups.	<ul> <li>□ Planned</li> <li>□ Not started</li> <li>⊠ Ongoing</li> <li>□ Delayed</li> <li>□ Deferred</li> <li>□ Completed</li> </ul>		

Other Selection Strategies and Initiatives: Ongoing collaboration with OD&HR	The Office of EEO & Diversity continues to work alongside OD&HR to recruit and train a diverse workforce. EEO participates in recruitment strategies, interview panels and new hire orientation training sessions to ensure that new employees are equipped with information on their rights and responsibilities under the EEO Policy and the diversity programs and initiatives that are at their reach.	<ul> <li>Planned</li> <li>Not started</li> <li>Ongoing</li> <li>Delayed</li> <li>Deferred</li> <li>Completed</li> </ul>			
During this Quarter the Agency activities included:	# of Posting Vacancies # of Agency Vacancies: # of New Hires # of New Promotions	# 8 # 412 # 3 # 1	# 16 # 485 # 1 # 12	# 125 # 427 # 1 # 11	# # #

### VII. TRAINING

Please provide your training information in Part II of the report "DIVERSITY AND EEO TRAINING SUMMARY" (in MS Excel).

#### VIII. REASONABLE ACCOMMODATION

Please report all reasonable accommodation requests and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: <u>https://mspwva-dcslnx01.csc.nycnet/Login.aspx</u>

### IX. COMPLIANCE AND IMPLEMENTATION OF REQUIREMENTS UNDER EXECUTIVE ORDERS AND LOCAL LAWS

A. EXECUTIVE ORDER 16: TRAINING ON TRANSGENDER DIVERSITY AND INCLUSION

Please provide E.O. 16 Training Information in Part II of the report "DIVERSITY AND EEO TRAINING SUMMARY" (in MS Excel).

B. LOCAL LAW 92: ANNUAL SEXUAL HARASSMENT PREVENTION TRAINING

Please provide Sexual Harassment Prevention Training Information in Part II of the report "DIVERSITY AND EEO TRAINING SUMMARY" (in MS Excel).

## C. LOCAL LAW 97: ANNUAL SEXUAL HARASSMENT REPORTING

0	ency has enter ormation as tl			nt Complaint Data	in the D	CAS Citywide Complaint Tracking System and updates		
Q1 🛛		ຊ2໌ 🛛		$\boxtimes$	Q4 🗆			
0	ency has enter occur.	red <b>a</b>	all types of complain	its in the DCAS City	ywide Co	omplaint Tracking System and updates the information		
$oxedsymbol{\boxtimes}$ The agency ensures that complaints are closed within 90 days.								
Report all complaints and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: <u>https://mspwva-dcslnx01.csc.nycnet/Login.aspx</u>								

#### D. LOCAL LAW 101: CLIMATE SURVEY

Please provide a short description of your efforts to analyze the results of climate survey in your agency.

Describe any follow-up measures taken to address the results of the climate survey:

Based on the climate survey data, DEP has enhanced its EEO related training curriculum to, among other things, increase and deepen workforce awareness of EEO policies, processes, and best practices. Also, DEP's EEO, Human Resources, and Labor Management/Disciplinary professionals consistently partner with each other and DEP's leadership to identify and implement strategies for ensuring fair and equitable employment outcomes. Further efforts are ongoing to implement a multifaceted equity campaign, including toolkit resources for identifying and eliminating inequities and driving equitable outcomes in core agency decision-making and procedures, and to ensure cultural agility as a core leadership competency.

#### X. AUDITS AND CORRECTIVE MEASURES

Please choose the statement that applies to your agency.

The agency is <u>NOT</u> involved in an audit conducted by NYC EEPC or another governmental agency specific to our EEO practices.

The agency is involved in an audit; please specify who is conducting the audit: EEPC.

Attach the audit recommendations by NYC EEPC or the other auditing agency.

The agency has submitted or will submit to OCEI an amendment letter, which shall amend the agency plan for FY 2021.

### **APPENDIX: DEP 826 EEO PERSONNEL DETAILS**

#### EEO PERSONNEL FOR \_\_\_\_\_ First\_\_\_ QUARTER, FISCAL YEAR 2021

#### A. PERSONNEL CHANGES

7

Personnel Changes this Quarter: 🛛 No Changes		Number of Additio	ns:	Number of Deletions:			
Employee's Name & Title							
Nature of change	□ Addition □ Deletion		□ Addition	Deletion	□ Addition	□ Deletion	
Date of Change in EEO Role	Start Date or Termination Da	te:	Start Date or Termina	ation Date:	Start Date or Terr	Start Date or Termination Date:	
NOTE: Please attach CV/Resume of new staff to this report							
For New EEO Professionals:							
Name & Title	Danielle Barrett, Assistant Commissioner		Darlene Martinez, I Coordinator	Diversity Director/RA	Sandra Rhabb Campbell, EEO Office Manager		
EEO Function	☑ EEO Officer       □ EEO Counselor         □ EEO Trainer       □ EEO Investigator         □ 55-a Coordinator       □ Other: (specify)		<ul> <li>EEO Officer</li> <li>EEO Trainer</li> <li>55-a Coordinator</li> <li>Coordinator</li> </ul>	<ul> <li>EEO Counselor</li> <li>EEO Investigator</li> <li>Other: RA</li> </ul>	<ul> <li>□ EEO Officer</li> <li>□ EEO Trainer</li> <li>⊠ 55-a Coordina</li> <li>□ Other:</li> </ul>	<ul> <li>EEO Counselor</li> <li>EEO Investigator</li> <li>itor/Office Manager</li> <li>(specify)</li> </ul>	
Proportion of Time Spent on EEO Duties	⊠ 100% □ Other:	(specify %):	⊠ 100% □	Other: (specify %):	⊠ 100%	□ Other: (specify %):	
Completed Trainings: EEO Awareness Diversity & Inclusion IgbTq: The Power of Inclusion Sexual Harassment Prevention Unconscious Bias	<ul> <li>☑ Yes</li> <li>☑ Yes</li> <li>☑ Yes</li> <li>☑ No</li> <li>☑ Yes</li> <li>☑ No</li> <li>☑ Yes</li> <li>☑ No</li> <li>☑ Yes</li> <li>☑ No</li> </ul>		⊠ Yes ⊠ Yes ⊠ Yes ⊠ Yes ⊠ Yes	<ul> <li>□ No</li> <li>□ No</li> <li>□ No</li> <li>□ No</li> <li>□ No</li> </ul>	⊠ Yes ⊠ Yes ⊠ Yes ⊠ Yes ⊠ Yes	□ No □ No □ No □ No □ No	

Training Source(s):         Image: DCAS         Image: Agency         Image: Other         Image: DCAS         Image: Agency         Image: Other	🗆 DCAS 🛛 Agency 🖾 Other
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#### B. CONTACT INFORMATION (Please list ALL current EEO professionals)

#### DIVERSITY AND EEO STAFFING IN DEP 826 AS OF First QUARTER FY 2021 \*

EEO\Diversity Role	Name	<u>Civil Service Title</u>	<u>% of Time</u> <u>Devoted to EEO</u> <u>&amp; Diversity</u> <u>Functions</u>	Office E-mail Address	Telephone #	
<b>Diversity &amp; Inclusion Officer</b>						
EEO Officer/Director	Danielle Barrett	<u>Assistant</u> <u>Commissioner</u>	<u>100%</u>	<u>dbarrett@dep.nyc.gov</u>	<u>718-595-</u> <u>3432</u>	
Deputy EEO Officer						
ADA Coordinator						
Disability Rights Coordinator Disability Service Facilitator	Darlene Martinez	Executive Assistant to the Commissioner			<u>718-595-</u> <u>5742</u>	
Diversity Liaison	<u>Gloria Rodriguez</u>	Community Coordinator	<u>100%</u>	grodriguez@dep.nyc.gov	<u>718-595-</u> <u>4549</u>	
55-a Coordinator	Sandra Rhabb Campbell	Principal Administrative Associate	<u>100%</u>	scampbell@dep.nyc.gov	<u>718-595-</u> <u>6558</u>	
Career Counselor						
EEO Counselor						
EEO Investigator	Lana Yang	Agency Attorney	<u>100%</u>	lyang@dep.nyc.gov	<u>718-595-</u> <u>3451</u>	
EEO Counselor\ Investigator						
Investigator/Trainer	Candice Sylvester	Agency Attorney Intern	<u>100%</u>	csylvester@dep.nyc.gov		
EEO Training Liaison						
Other (describe)						

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EEO Intake Specialist	Zachary Taylor	<u>Community</u> Coordinator	<u>100%</u>	<u>ztaylor@dep.nyc.gov</u>	<u>718-595-</u> 7301				
			1		7301				
* Plassa nota changes (now porsen	Please note changes (new personnel filling the specified role). You may insert additional entries as peeded. Title refers to the sivil service title. If there is an								

<u>\* Please note changes (new personnel filling the specified role). You may insert additional entries as needed. Title refers to the civil service title. If there is an EEO\Diversity role that your staff performs that is not on the list above you may indicate it on the chart.</u>

## FY 2021 QUARTERLY AGENCY REPORT – PART II: DIVERSITY AND EEO TRAINING SUMMARY

### **INSTRUCTIONS**

### **REMINDERS AND REQUESTS:**

- \* Please fill out all identifying information on lines 8, 13 and 14.
- \* Please DO NOT INPUT information in **PINK-SHADED CELLS**.
- \* Do not change the formatting and layout of the worksheet.
- \* To prevent inadvertent modifications, certain lines and columns of the worksheet have been protected and cannot be modified.



#### FY 2021 QUARTERLY REPORT Part II: DIVERSITY AND EEO TRAINING SUMMARY

AGENCY NAME: NYC DEP

**3rd Qtr FY 2021** 

**NOTE:** FILL OUT YOUR TRAINING TARGETS IN GREEN FIELDS!

INCLUDE PRIOR QUARTERS' DATA IN THE CURRENT QUARTER REPORT.

DO NOT ATTEMPT TO MAKE ANY ENTRIES IN PINK-SHADED CELLS

#### SAVE THIS FILE UNDER THE NAME: [AGENCY ACRONYM] Quarter X FY 2021 DEEO TRAINING SUMMARY

SUBMITTED BY [TITLE]: Sandra Rhabb Campbell							
DATE SUBMITTED: 5/5/2021	E-MAIL: Scampbell@d	TEL #: (718) 5	95-6558				

1st Quarter (July-September) <u>DUE October 30, 2020</u>; 2nd Quarter <u>DUE Februry 1, 2021</u>; 3rd Quarter (January-March) <u>DUE April 30, 2021</u>; 4th Quarter (April-June) <u>DUE July 30, 2021</u>.

ALL EEO-RELATED TRAINING (ALL MODALITIES)	ANNUAL TARGET from FY 2021 Agency D&EEO Plan	1st Qtr (July - Sept. 2020)	2nd Qtr (Oct Dec. 2020)	3rd Qtr (Jan March 2021)	4th Qtr (April - June 2021)	YEAR TO DATE
TOTAL DIVERSITY & EEO TRAINING	0	1538	1089	5054	0	7681

	CORE DIVERSITY A	ND EEO TRAI	NING (All M	odalities)		
TOTAL CORE EEO TRAINING (ALL MODALITIES)	0	551	94	3740	0	4385
1. EEO Awareness	0	8	4	25	0	37
Administered by DCAS [Copy data from DCAS Learning & Development report]						0
Administered by Agency		8	4	25		37
2. D&I "Everybody Matters"	0	2	1	19	0	22
Administered by DCAS [Copy data from DCAS Learning & Development report]						0
Administered by Agency		2	1	19		22
3. IgbTq: The Power of Inclusion	0	21	2	3626	0	3649
Administered by DCAS [Copy data from DCAS Learning & Development report]						0
Administered by Agency		21	2	3626		3649
4. Sexual Harassment Prevention	0	520	87	70	0	677
Administered by DCAS [Copy data from DCAS Learning & Development report]						0
Administered by Agency		520	87	70		677
5. Disability Etiquette	0	0	0	0	0	0
Administered by DCAS [Copy data from DCAS Learning & Development report]						0
Administered by Agency		0	0	0		0

ALL EEO-RELATED TRAINING (ALL MODALITIES)	ANNUAL TARGET from FY 2021 Agency D&EEO Plan	1st Qtr (July - Sept. 2020)	2nd Qtr (Oct Dec. 2020)	3rd Qtr (Jan March 2021)	4th Qtr (April - June 2021)	YEAR TO DATE
ОТ	HER DIVERSITY AI	ND EEO TRAII	NING (All Mo	odalities)		
ALL OTHER DIVERSITY & EEO TRAINING	0	987	995	1314	0	3296
6. New Employee Orientation (Only if it includes EEO Component) TOTAL PARTICIPANTS TRAINED	NOTE: Do not make en	tries here if new O	employees recei 0	ved CORE EEO tra	ining as part of t	heir onboarding O
	NOTE: Including combi				aining	
TOTAL PARTICIPANTS TRAINED		0	0	0		0
8. Unconscious Bias	NOTE: Do not make en above	tries here if Unco	onscious Bias was	s included in Struc	tured Interviewi	ng training reported
TOTAL PARTICIPANTS TRAINED		0	0	0		0
9. Other Diversity/EEO Related	Specify topic:	EEO Semi Ann	ual Briefing			
TOTAL PARTICIPANTS TRAINED		42	160	6		208
10. Other Diversity/EEO Related	Specify topic:	Supervision in	Action - Mast	tering the Basic	cs	
TOTAL PARTICIPANTS TRAINED		89	173	148		410
11. Other Diversity/EEO Related	Specify topic:	Executive Ord	er 16			
TOTAL PARTICIPANTS TRAINED		20	1	875		896
12. Other Diversity/EEO Related	Specify topic:	EEO Fundame				
TOTAL PARTICIPANTS TRAINED		5	0	0		5
13. Other Diversity/EEO Related	Specify topic:	,	•	ninannual revi	ew)	
TOTAL PARTICIPANTS TRAINED		613	633	275		1521
14. Other Diversity/EEO Related	Specify topic:	EEO Briefing				
TOTAL PARTICIPANTS TRAINED		218	28	10		256
15. Other Diversity/EEO Related	Specify topic:					
TOTAL PARTICIPANTS TRAINED						0