

The City of New York
Executive Budget
Fiscal Year 2001
Rudolph W. Giuliani, Mayor

Office of Management and Budget
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Appendix

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EXHIBIT 1 EXPENDITURE ASSUMPTIONS

Personal Service

The expenditures for personal services in the Executive Budget for 2001 and the three-year projections are as follows:

(\$ in millions)

	2001	2002	2003	2004
Salaries and Wages	\$15,051	\$15,067	\$15,082	\$15,081
Pensions	937	884	818	756
Other Fringe Benefits	3,638	3,761	3,904	4,038
Reserve for Collective Bargaining	157	561	596	576
Total	<u>\$19,783</u>	<u>\$20,273</u>	<u>\$20,400</u>	<u>\$20,451</u>

Salaries and Wages

The baseline projections for salaries and wages reflect all personnel costs associated with current and projected headcount levels. Excluded from these projections is the cost of collective bargaining associated with the 1995-2000 round of bargaining for the less than one percent of employees who have not yet settled for that period.

Pensions and Other Fringe Benefits

The City's pension expenses on behalf of the five major retirement systems for 2001 through 2004 are based on valuation projections prepared by the Chief Actuary. These projections reflect the Actuary's proposed set of revised funding assumptions which substantially incorporate the findings of an independent actuarial audit released in 1999. In particular, the Actuary's projections are based on an 8.0 percent investment return assumption, provision for future improvements in life expectancy, and other demographic and economic adjustments including a market-value restart as of the June 30, 1999 pension asset levels. In addition to the Actuary's baseline valuation estimates, adjustments are made to reflect the projected payroll and staffing levels included in the City's financial plan.

Total pension expenses for the four years are as follows:

(\$ in millions)

	2001	2002	2003	2004
City Actuarial Systems	\$889	\$831	\$764	\$702
Non-City Actuarial	27	32	32	32
Non-Actuarial	21	21	22	22
Total	<u>\$937</u>	<u>\$884</u>	<u>\$818</u>	<u>\$756</u>

The base social security costs for the City are expected to increase by approximately 0.5 percent in 2002, 2003 and 2004. These projections are consistent with the most recent estimates issued by the Social Security Administration for the tax rates and maximum wage ceilings. The base unemployment insurance costs are consistent with statutory maximum weekly benefits and are projected to increase with citywide wage adjustments. Workers' compensation costs are estimated to reflect growth in the payroll and projected increases in medical care costs. Health insurance costs are based on current levels of individual and family contracts with carriers who provide major medical and hospitalization coverage.

Reserve for Collective Bargaining

The Labor Reserve contains funding for the cost of a merit pay plan which provides for salary increases based on merit as measured by performance evaluations. The reserve also reflects a partial offset to these costs through anticipated productivity savings or fringe benefit cost containment that are to be part of any future collective bargaining agreement. In addition, the reserve contains funding for the cost of labor settlements with unions which remain unsettled for the round of bargaining covering 1995 through 2000.

Other Than Personal Service

The following items are included in this category:

(\$ in millions)

	2001	2002	2003	2004
Administrative OTPS	\$9,327	\$9,376	\$9,471	\$9,538
Public Assistance	2,233	2,083	2,011	2,011
Medical Assistance	2,549	2,695	2,855	3,027
Health & Hospitals Corporation	791	812	832	853
Covered Agency Support & Other Subsidies	1,659	1,926	2,058	1,814
City and MAC Debt Service	1,962	2,876	3,509	4,112
General Reserve	200	200	200	200
Total	<u>\$18,721</u>	<u>\$19,968</u>	<u>\$20,936</u>	<u>\$21,555</u>

Administrative OTPS

Administrative OTPS costs in each agency's baseline four-year financial plan includes the ongoing cost of existing programs, planned increases or decreases from PEG initiatives and other adjustments. For 2002 through 2004 the financial plan includes a Citywide appropriation to provide for an increase in OTPS costs resulting from inflation. The inflation adjustment represents a 2.6 percent increase in 2002, a 2.6 percent increase in 2003, and a 2.8 percent increase in 2004.

Energy

The cost of energy is budgeted at a constant level in each agency for 2001 through 2004, with the exception of HPD's In-Rem Program which includes price and usage changes in their agency's four-year plan. As reflected in the following table, energy costs have risen significantly in the last year, mainly due to mid-year oil price increases.

Energy Cost Comparison
(\$ in millions)

Estimate as of:	2000	2001	2002	2003
2000 Adopted Budget	\$482	\$488	\$492	\$499
2001 Executive Budget	508	525	519	514
Difference	<u>\$(26)</u>	<u>\$(37)</u>	<u>\$(27)</u>	<u>\$(15)</u>

The cost of gasoline, fuel oil and heat, light and power is assumed to be constant for 2001 and 2002. Marginal inflation adjustments of \$0.2 million and \$0.6 million are assumed for 2003 and 2004.

The annual cost projections are as follows:

Energy Costs
(\$ in millions)

	2000	2001	2002	2003	2004
Gasoline	\$28	\$31	\$31	\$31	\$31
Fuel Oil	49	47	47	47	47
HPD-In Rem	18	15	9	4	1
HPD-Emergency Repair	4	4	4	4	4
Heat, Light and Power	409	428	428	428	428
Total	<u>\$508</u>	<u>\$525</u>	<u>\$519</u>	<u>\$514</u>	<u>\$511</u>

Leases

In each agency the cost of leases is budgeted at a constant level from 2001 through 2004. A citywide adjustment for 2002 through 2004 provides for the increasing cost of leases based on a three percent annual inflator and the annualization of 2001 adjustments, as well as known future leases, where applicable.

In total the four-year plan includes \$439 million for leases in 2001, \$459 million in 2002, \$471 million in 2003 and \$486 million in 2004. Of these amounts the citywide adjustment is \$20 million, \$32 million, and \$47 million respectively in 2002 through 2004.

Public Assistance

The average annual public assistance caseload is projected to be 620,191 persons in 2000, declining by 55,648 to an average of 564,543 in 2001. Family Assistance recipients are expected to decline by 46,815 persons to 474,571 in 2001 and Safety Net recipients will decline by 8,833 to 89,972.

Medical Assistance

The financial plan for Medical Assistance assumes a 4.1 percent increase in expenditures in 2001 (excluding expenditures for the Health and Hospitals Corporation, the Department of Health and Administration). Expenditure growth is expected to be 6.2 percent in 2002 and 6.3 percent in 2003 and 2004. The primary contributions to out-year growth are the hospital and pharmacy categories of service.

Health and Hospitals Corporation

Revenue and expenditure projections for 2001 through 2004 include assumptions related to actual collections experience, the impact of rates by third party payors, improved billings, and collections performance through a variety of revenue enhancement efforts. Total collections for fiscal years 2001 through 2004, excluding Medicaid rate appeals, reflect an inflator of 7.9 percent for non-Medicaid payors. Medicaid receipts (which includes fee-for-service as well as managed care) is estimated to decrease by 1.6 percent over the four years of the plan. A growth in expenditures of 3.7 percent is projected for fiscal years 2001 through 2004.

Covered Agency Support and Other Subsidies

Included in this category are the contributions made by the City to the Transit Authority, Housing Authority, Libraries, and various Cultural Institutions. Also included in this category are the estimated projections for the cost of Judgements and Claims.

General Reserve

The general reserve is projected at \$200 million for 2001 through 2004 to provide for uncontrollable increases in expenditures as well as shortfalls in revenues. To allow for any further uncertainties which may occur in the future, the general reserve has been increased above the mandated amount of \$100 million.

Debt Service

Debt Service projections cover payments of debt service on currently outstanding City and MAC debt and future issuances in accordance with the 2000-2004 financing program (See Financing Program). Actual debt service payments in these years will be affected by the timing of City public sales and by market conditions. Estimates of City debt service costs in debt to be issued are based on estimates of the periods of probable usefulness of the capital expenditures for which the debt will be issued.

City debt service estimates also include payments to MAC for amortization and interest on City obligations held by MAC. During 2000-2004, the City estimates that the payments to MAC will be \$476 million, \$0 million, \$488 million, \$490 million, and \$489 million, respectively. MAC debt service funding is shown net of such payments. To the extent that City debt service payments to MAC are from revenues derived from the real property tax, payments to MAC have the effect of reducing MAC's funding requirement from certain State revenues otherwise available to the City for budgetary purposes.

Below are detailed the estimates for debt service for 2000-2004:

(\$ in millions)

	2000	2001	2002	2003	2004
Long-Term Funded Debt	\$638	\$565	\$1,739	\$2,708	\$3,263
Short-Term Debt	13	23	75	75	75
Lease Purchase/City Guaranteed Debt . . .	92	154	229	236	285
Budget Stabilization Account	2,275	1,220	345	- -	- -
Total City Debt Service	\$3,018	\$1,962	\$2,388	\$3,019	\$3,623
MAC Debt Service Funding	476	- -	488	490	489
Total Debt Service	<u>\$3,494</u>	<u>\$1,962</u>	<u>\$2,876</u>	<u>\$3,509</u>	<u>\$4,112</u>

EXHIBIT 2

FISCAL YEAR 2001 EXECUTIVE BUDGET AND PROJECTIONS, FISCAL YEAR 2002 THROUGH FISCAL YEAR 2004

(\$ in thousands)

Dept. No.	Agency	Fiscal Year 2000				FY 2001 Executive Budget	FY 2002 Estimate	FY 2003 Estimate	FY 2004 Estimate
		FY 1999 Actual Expenditures	Executive Budget	8 Month Actuals July-Feb.	Forecast				
002	MAYORALTY	\$84,558	\$75,993	\$62,170	\$96,676	\$75,957	\$75,957	\$75,957	\$75,957
003	BOARD OF ELECTIONS	44,442	42,735	29,026	44,893	33,608	39,260	39,260	39,260
004	CAMPAIGN FINANCE BOARD.	2,810	7,945	4,128	5,949	9,940	18,510	18,510	18,510
008	OFFICE OF THE ACTUARY	2,466	3,236	1,836	3,168	3,269	3,269	3,269	3,269
010	PRESIDENT,BOROUGH OF MANHATTAN	5,198	5,229	3,418	5,396	5,254	4,928	4,928	4,928
011	PRESIDENT,BOROUGH OF THE BRONX	6,961	7,338	5,014	7,336	7,407	7,052	7,052	7,052
012	PRESIDENT,BOROUGH OF BROOKLYN	6,025	6,677	5,373	7,976	6,433	5,807	5,807	5,807
013	PRESIDENT,BOROUGH OF QUEENS	5,875	5,809	3,637	7,186	5,990	5,471	5,471	5,471
014	PRESIDENT,BOROUGH OF S.I.	4,727	4,959	3,193	5,015	4,985	4,811	4,811	4,811
015	OFFICE OF THE COMPTROLLER ...	52,044	55,015	38,816	56,615	51,820	51,820	51,820	51,820
021	TAX COMMISSION	1,858	2,034	1,250	2,017	2,108	2,108	2,108	2,108
025	LAW DEPARTMENT	86,578	91,236	61,595	91,622	92,507	92,520	92,520	92,520
030	DEPARTMENT OF CITY PLANNING.	16,122	16,662	11,534	19,990	16,721	16,621	16,521	16,521
032	DEPARTMENT OF INVESTIGATION.	19,104	20,087	13,508	20,943	21,816	21,576	21,576	21,576
035	N.Y.P.L.- THE RESEARCH LIBRARY.	14,051	11,494	10,320	14,660	14,450	14,450	14,450	14,450
037	NEW YORK PUBLIC LIBRARY	81,871	68,768	57,378	88,359	88,338	88,338	88,338	88,338
038	BROOKLYN PUBLIC LIBRARY	59,144	49,520	50,101	66,107	66,235	66,135	66,135	66,135
039	QUEENS BOROUGH PUBLIC LIBRARY	57,177	47,662	42,713	62,210	62,075	62,075	62,075	62,075
040	BOARD OF EDUCATION	9,626,397	9,980,750	6,061,060	10,804,854	10,714,315	10,823,559	10,919,124	10,983,772
042	CITY UNIVERSITY	387,467	414,235	251,523	434,254	427,721	429,178	429,297	429,297
054	CIVILIAN COMPLAINT REVIEW BD.	7,144	7,569	5,246	7,747	8,995	8,779	8,779	8,779
056	POLICE DEPARTMENT	2,902,845	3,009,507	2,046,722	3,210,882	3,167,784	3,121,828	3,106,027	3,082,015
057	FIRE DEPARTMENT	1,028,447	1,064,723	713,509	1,077,743	1,060,182	1,061,574	1,061,370	1,061,370
068	ADMIN. FOR CHILDREN SERVICES.	2,054,811	2,089,116	1,806,508	2,239,712	2,220,433	2,203,867	2,229,645	2,231,170
069	DEPARTMENT OF SOCIAL SERVICES	5,181,251	5,013,765	4,225,473	5,471,131	5,329,804	5,391,136	5,479,869	5,651,055
071	DEPT. OF HOMELESS SERVICES ...	392,910	420,440	337,310	443,955	447,251	447,436	448,231	448,231
072	DEPARTMENT OF CORRECTION ...	834,550	872,417	562,189	846,564	860,366	892,014	891,772	891,790
073	BOARD OF CORRECTION	897	975	563	940	976	976	976	976
094	DEPARTMENT OF EMPLOYMENT ..	132,313	138,572	95,402	174,455	138,588	138,588	138,588	138,588
095	CITYWIDE PENSION CONTRIBUTIONS	1,425,970	1,304,337	797,075	747,165	937,419	884,361	818,117	756,191
098	MISCELLANEOUS	3,176,253	3,271,597	1,929,612	3,372,637	3,532,150	4,299,750	4,575,277	4,424,129
099	DEBT SERVICE	3,360,414	1,604,862	458,706	3,018,498	1,962,218	2,388,364	3,018,898	3,622,741
100	M.A.C. DEBT SERVICE	385,900	—	—	475,500	—	487,900	490,300	489,200
101	PUBLIC ADVOCATE	2,534	2,497	1,684	2,672	2,525	2,525	2,525	2,525
102	CITY COUNCIL	34,542	41,710	25,274	42,704	44,982	35,103	35,103	35,103
103	CITY CLERK	2,313	2,352	1,592	2,467	2,417	2,417	2,417	2,417
125	DEPARTMENT FOR THE AGING	191,674	190,091	183,900	225,568	207,869	207,793	207,793	207,793
126	DEPARTMENT OF CULTURAL AFFAIRS	105,194	82,234	79,877	119,539	101,238	101,154	100,996	100,996
127	FINANCIAL INFO. SERV. AGENCY ..	24,775	26,361	19,465	26,913	26,816	27,815	27,728	27,728
130	DEPARTMENT OF JUVENILE JUSTICE	99,382	99,965	48,340	102,610	100,972	100,399	100,602	100,602
131	OFFICE OF PAYROLL ADMIN.	4,947	6,336	3,699	6,118	7,528	8,601	8,554	8,554
132	INDEPENDENT BUDGET OFFICE ...	2,367	2,707	1,474	2,753	2,763	2,753	2,753	2,753
133	EQUAL EMPLOYMENT PRACTICES COM	341	581	291	516	581	581	581	581
134	CIVIL SERVICE COMMISSION	498	430	289	455	657	657	657	657
136	LANDMARKS PRESERVATION COMM.	2,934	3,014	2,237	3,479	3,074	3,074	3,074	3,074

EXHIBIT 2

FISCAL YEAR 2001 EXECUTIVE BUDGET AND PROJECTIONS, FISCAL YEAR 2002 THROUGH FISCAL YEAR 2004

(\$ in thousands)

Dept. No.	Agency	Fiscal Year 2000				FY 2001 Executive Budget	FY 2002 Estimate	FY 2003 Estimate	FY 2004 Estimate
		FY 1999 Actual Expenditures	Executive Budget	8 Month Actuals July-Feb.	Forecast				
156	TAXI & LIMOUSINE COMMISSION .	20,366	21,185	14,332	21,866	23,717	21,284	21,284	21,284
226	COMMISSION ON HUMAN RIGHTS .	6,692	6,833	5,043	7,405	6,924	6,924	6,924	6,924
260	YOUTH & COMMUNITY DEVELOPMENT	113,518	98,334	90,485	143,158	110,639	110,639	110,639	110,639
312	CONFLICTS OF INTEREST BOARD .	1,290	1,423	852	1,442	1,502	1,502	1,502	1,502
313	OFFICE OF COLLECTIVE BARG. . . .	1,255	1,325	1,007	1,374	1,414	1,414	1,414	1,414
499	COMMUNITY BOARDS (ALL)	9,871	10,772	6,894	10,994	11,098	11,098	11,098	11,098
781	DEPARTMENT OF PROBATION	76,319	75,854	56,077	85,507	84,174	83,870	83,870	83,870
801	DEPT. OF BUSINESS SERVICES.	32,954	153,821	64,010	54,283	46,884	43,944	33,944	33,944
806	HOUSING PRESERVATION & DEV. . . .	386,773	393,495	265,641	444,794	402,465	340,223	340,223	340,223
810	DEPARTMENT OF BUILDINGS	39,568	40,678	29,641	43,379	44,933	41,998	37,871	37,796
816	DEPARTMENT OF HEALTH	502,364	709,604	367,641	821,867	814,279	797,787	798,208	798,629
817	DEPT OF MENTAL HEALTH.	439,041	268,449	401,106	539,973	545,055	529,530	529,530	529,530
819	HEALTH AND HOSPITALS CORP. . . .	784,479	753,516	599,750	775,844	791,367	811,716	831,562	853,025
826	DEPT OF ENVIRONMENTAL PROT. . . .	571,560	654,361	433,562	642,040	666,250	641,015	640,288	640,288
827	DEPARTMENT OF SANITATION	715,628	785,856	603,818	839,505	973,857	1,003,530	1,015,671	1,015,496
829	TRADE WASTE COMMISSION.	2,485	2,359	1,463	2,409	2,849	2,849	2,849	2,849
836	DEPARTMENT OF FINANCE	164,116	165,513	118,008	169,902	196,141	205,067	201,032	200,217
841	DEPARTMENT OF TRANSPORTATION	450,301	461,547	355,615	511,137	469,298	461,160	461,160	461,160
846	DEPT OF PARKS AND RECREATION	186,301	175,468	132,273	192,812	184,625	183,285	183,285	183,285
850	DEPT. OF DESIGN & CONSTRUCTION	71,265	77,160	51,279	78,794	82,302	80,468	80,468	80,468
856	DEPT OF CITYWIDE ADMIN SRVCES	517,779	559,143	432,317	572,617	589,415	590,993	595,325	595,325
858	D.O.I.T.T.	166,384	147,602	138,546	165,227	141,245	141,113	141,113	141,113
860	DEPT OF RECORDS & INFO SERV. . .	3,791	3,597	2,641	4,079	3,685	3,685	3,685	3,685
866	DEPARTMENT OF CONSUMER AFFAIRS	11,928	12,266	8,449	13,317	12,924	12,763	12,763	12,763
901	DISTRICT ATTORNEY - N.Y.	70,254	63,064	48,159	75,287	64,056	62,754	62,754	62,754
902	DISTRICT ATTORNEY - BRONX	39,208	36,836	26,274	40,418	37,643	36,828	36,828	36,828
903	DISTRICT ATTORNEY - KINGS	64,246	61,672	45,437	66,637	62,864	61,679	61,679	61,679
904	DISTRICT ATTORNEY - QUEENS	34,145	31,417	24,487	37,037	32,125	31,370	31,370	31,370
905	DISTRICT ATTORNEY - RICHMOND	6,097	5,555	4,190	6,606	5,720	5,560	5,560	5,560
906	OFF. OF PROSEC. & SPEC. NARC. . . .	14,408	12,906	9,851	15,003	13,264	13,189	13,189	13,189
941	PUBLIC ADMINISTRATOR - N.Y.	634	769	471	774	924	924	924	924
942	PUBLIC ADMINISTRATOR - BRONX	296	325	207	330	330	330	330	330
943	PUBLIC ADMINISTRATOR- BROOKLYN	374	438	269	443	443	443	443	443
944	PUBLIC ADMINISTRATOR - QUEENS	325	339	221	344	344	344	344	344
945	PUBLIC ADMINISTRATOR -RICHMOND	174	188	111	176	176	176	176	176
	PRIOR PAYABLE ADJUSTMENT	—	—	—	(325,000)	—	—	—	—
	GENERAL RESERVE	—	200,000	—	45,017	200,000	200,000	200,000	200,000
	ENERGY ADJUSTMENT	—	—	—	5,600	—	—	194	584
	LEASE ADJUSTMENT	—	—	—	—	—	19,669	32,194	46,719
	OTPS INFLATION ADJUSTMENT	—	—	—	—	—	27,118	54,943	85,687
TOTAL EXPENDITURES		\$37,426,270	\$36,167,212	\$24,404,157	\$39,580,346	\$38,503,468	\$40,241,131	\$41,336,297	\$42,005,809
LESS: INTRA-CITY EXPENDITURES		779,992	840,213	320,688	1,190,143	1,168,923	1,196,770	1,152,422	1,108,073
NET TOTAL EXPENDITURES.		\$36,646,278	\$35,326,999	\$24,083,469	\$38,390,203	\$37,334,545	\$39,044,361	\$40,183,875	\$40,897,736

EXHIBIT 3
ACTUAL REVENUE

	Fiscal Year 1996	Fiscal Year 1997	Fiscal Year 1998	Fiscal Year 1999
Taxes:				
Real Property	\$7,100	\$7,291	\$7,239	\$7,631
Personal Income	3,908	4,361	5,118	5,378
General Corporation	1,209	1,478	1,551	1,423
Banking Corporation	361	360	515	388
Unincorporated Business	496	561	671	657
Sales and Use	2,714	2,912	3,052	3,192
Commercial Rent	531	374	358	333
Real Property Transfer	175	215	288	424
Mortgage Recording	147	185	232	408
Utility	214	215	223	222
Stock Transfer	114	114	114	114
All Other	514	581	591	584
Tax Audit Revenue	657	651	458	536
Total Taxes	18,140	19,298	20,410	21,290
Miscellaneous Revenues:				
Licenses, Franchises, Etc.	237	245	273	291
Interest Income	112	160	199	182
Charges for Services	415	428	435	440
Water and Sewer Charges	731	775	823	778
Rental Income	139	143	151	114
Fines and Forfeitures	417	491	468	479
Miscellaneous	683	807	486	408
Intra-City Revenue	644	683	705	780
Total Miscellaneous	3,378	3,732	3,540	3,472
Unrestricted Intergovernmental Aid:				
Federal Revenue Sharing	-	-	-	-
N.Y. State Per Capita Aid	369	322	327	328
Other Federal and State Aid	252	332	295	324
Total Unrestricted Intergovernmental Aid	621	654	622	652
Other Categorical Grants	343	379	412	367
Transfers from Capital Fund:				
Inter Fund Agreements	244	245	251	249
Provision for Disallowance of Categorical Grants	(40)	(36)	(14)	(39)
Less Intra-City Revenue	(644)	(683)	(705)	(780)
Total City Funds	22,042	23,589	24,516	25,211
Federal Categorical Grants:				
CETA/JTPA	105	95	90	116
Community Development	279	264	255	239
Welfare	2,241	2,284	2,344	2,183
Education	887	929	1,014	1,043
Other	682	561	589	681
Total Federal Grants	4,194	4,133	4,292	4,262
State Categorical Grants:				
Welfare	1,720	1,672	1,580	1,442
Education	3,746	3,908	4,155	4,413
Higher Education	118	121	125	128
Health and Mental Health	241	254	269	323
Other	254	309	243	333
Total State Grants	6,079	6,264	6,372	6,639
Total Revenues	\$32,315	\$33,986	\$35,180	\$36,112

EXHIBIT 4
REVENUE ESTIMATES
(\$ in Millions)

	Fiscal Year 1999 8 Months Actual	Fiscal Year 2000 Forecast	Fiscal Year 2001	Fiscal Year 2002	Fiscal Year 2003	Fiscal Year 2004
Taxes:						
Real Property	7,310	7,771	7,956	8,467	8,916	9,365
Personal Income	3,608	5,144	4,840	4,726	5,076	5,407
General Corporation	756	1,690	1,498	1,418	1,468	1,492
Banking Corporation	178	351	399	419	431	450
Unincorporated Business	373	759	745	745	795	851
Sale and Use	2,219	3,407	3,395	3,524	3,683	3,853
Commercial Rent	165	333	351	370	390	411
Real Property Transfer	315	473	461	459	506	542
Mortgage Recording	276	412	364	356	392	417
Utility	146	243	231	214	212	222
Stock Transfer	0	114	114	114	114	114
All Other	331	633	600	629	645	654
Tax Audit Revenue	165	378	412	425	425	425
Tax Reduction Program	0	0	(364)	(678)	(816)	(1,073)
State Tax Relief Program	160	260	494	701	726	750
Total Taxes	16,002	21,968	21,496	21,889	22,963	23,880
Miscellaneous Revenue:						
Licenses, Franchises, Etc.	215	317	296	293	293	293
Interest Income	82	156	131	140	138	139
Charges for Services	228	429	417	412	412	412
Water and Sewer Charges	596	820	843	797	802	821
Rental Income	73	145	112	442	292	222
Fines and Forfeitures	298	465	490	485	484	484
Miscellaneous	330	680	799	403	357	312
Intra-City Revenue	245	1,190	1,169	1,197	1,152	1,108
Total Miscellaneous	2,067	4,202	4,257	4,169	3,930	3,791
Unrestricted Intergovernmental Aid:						
N.Y. State Per Capita Aid	0	369	352	352	352	352
Other Federal and State Aid	65	247	237	237	237	237
Total Unrestricted Intergovernmental Aid:	65	616	589	589	589	589
Other Categorical	65	443	347	364	357	347
Inter Fund Agreements	0	281	290	285	285	285
Reserve for Disallowance of Categorical Grants	0	(15)	(15)	(15)	(15)	(15)
Less: Intra-City Revenue	(245)	(1,190)	(1,169)	(1,197)	(1,152)	(1,108)
Total City Funds	17,954	26,305	25,795	26,084	26,957	27,769

REVENUE ESTIMATES

(\$ in Millions)

	Fiscal Year 1999 8 Months Actual	Fiscal Year 2000 Forecast	Fiscal Year 2001	Fiscal Year 2002	Fiscal Year 2003	Fiscal Year 2004
Federal Categorical Grants:						
JTPA	0	153	123	123	123	123
Community Development .	104	318	266	258	258	258
Welfare	1,089	2,523	2,411	2,270	2,221	2,222
Education	229	1,150	1,038	1,038	1,038	1,038
Other	276	650	446	254	248	234
Total Federal Grants	1,698	4,794	4,284	3,943	3,888	3,875
State Categorical Grants:						
Welfare	697	1,535	1,520	1,517	1,501	1,501
Education	2,079	4,885	4,935	5,053	5,115	5,127
Higher Education	31	159	159	159	159	159
Health and Mental Health .	115	364	372	346	346	346
Other	295	348	270	263	268	277
Total State Grants	<u>3,217</u>	<u>7,291</u>	<u>7,256</u>	<u>7,338</u>	<u>7,389</u>	<u>7,410</u>
TOTAL REVENUE ...	<u>22,869</u>	<u>38,390</u>	<u>37,335</u>	<u>37,365</u>	<u>38,234</u>	<u>39,054</u>

EXHIBIT 5
FULL-TIME EMPLOYEES

		2/29/00 Actual		6/30/01 Executive Budget	
		Total	City	Total	City
MAYORAL AGENCIES:					
<i>Uniformed Forces:</i>					
Police	-Uniform	39,286	39,286	40,211	40,211
	-Civilian	9,040	8,408	8,513	8,439
Fire	-Uniform	11,660	11,653	11,196	11,188
	-Civilian	4,407	4,404	4,536	4,528
Sanitation	-Uniform	7,346	7,216	8,806	8,665
	-Civilian	2,177	2,011	2,119	1,909
Correction	-Uniform	10,784	10,041	10,970	10,227
	-Civilian	1,513	1,398	1,817	1,702
<i>Health and Welfare:</i>					
Social Services	13,224	10,724	13,034	9,532
Admin. for Children's Services	7,002	6,921	7,535	7,373
Homeless Services	1,721	1,701	1,711	1,711
Health	3,058	2,050	3,323	2,479
Mental Health	223	137	229	159
<i>Other Mayoral:</i>					
Housing Preservation and Development	2,480	554	2,714	590
Environmental Protection	5,658	270	5,998	286
Finance	2,175	2,175	2,205	2,193
Transportation	3,975	1,902	3,917	1,960
Parks	2,060	1,788	2,049	1,677
Citywide Administrative Services	1,466	1,085	1,552	1,117
All Other Mayoral	9,335	6,379	9,850	6,660
COVERED ORGANIZATIONS:					
Board of Education-Pedagogical	92,608	76,744	93,633	77,725
	-Non-Pedagogical	7,841	5,735	7,838	5,813
City University	-Pedagogical	2,202	2,182	2,202	2,164
	-Non-Pedagogical	1,558	1,557	1,385	1,376
ELECTED OFFICIALS:					
Mayoralty	908	847	1,092	1,017
All Other Elected	5,720	5,252	5,379	5,059
Total	<u>249,427</u>	<u>212,420</u>	<u>253,814</u>	<u>215,760</u>

EXHIBIT 5A
FULL-TIME EMPLOYEES CITY FUNDS

		Actual		Executive Budget
		12/31/93	2/29/00	6/30/01
MAYORAL AGENCIES:				
<i>Uniformed Forces:</i>				
Police	-Uniform [1]	36,340	39,286	40,211
	-Civilian [1]	10,082	8,408	8,439
Fire	-Uniform	11,241	11,653	11,188
	-Civilian [1]	4,636	4,404	4,528
Sanitation	-Uniform	7,756	7,216	8,665
	-Civilian	2,812	2,011	1,909
Correction	-Uniform	11,077	10,041	10,227
	-Civilian	2,133	1,398	1,702
<i>Health and Welfare:</i>				
Social Services [2]		15,616	10,724	9,532
Admin. for Children's Services [2]		8,215	6,921	7,373
Homeless Services		3,202	1,701	1,711
Health		3,161	2,050	2,479
Mental Health		248	137	159
<i>Other Mayoral:</i>				
Housing Preservation and Development		1,296	554	590
Environmental Protection [1]		437	270	286
Finance [3]		2,816	2,175	2,193
Transportation [1,3]		2,837	1,902	1,960
Parks		2,899	1,788	1,677
Citywide Administrative Services [4]		2,281	1,085	1,117
All Other Mayoral		8,145	6,379	6,660
COVERED ORGANIZATIONS:				
Board of Education-Pedagogical		69,002	76,744	77,725
	-Non-Pedagogical	6,093	5,735	5,813
City University -Pedagogical		2,422	2,182	2,164
	-Non-Pedagogical	1,420	1,557	1,376
ELECTED OFFICIALS:				
Mayoralty		1,274	847	1,017
All Other Elected		5,395	5,252	5,059
Total		<u>222,836</u>	<u>212,420</u>	<u>215,760</u>

1. The 12/93 actual has been adjusted to reflect HAPD and TAPD mergers, the Traffic Enforcement transfer and the EMS transfer. The 12/93 actual for DEP has been adjusted to reflect the reclassification of Water and Sewer positions.
2. The 12/93 actual has been restated to include the portion of HRA that transferred to Social Services and Social Services has been adjusted for the estimated number of employees which transferred to Admin. for Children's Services.
3. The 12/93 actual for DOF has been restated to reflect the PVB and City Sheriff transfers and DOT actual has been restated to reflect the transfer of PVB and Traffic Enforcement.
4. Previously Dept. of General Services. Restated to include Dept. of Personnel.

EXHIBIT 6
FY 2001 EXECUTIVE BUDGET
CITY GAP CLOSING PROGRAM - 5 YEAR VALUE
(City \$ in 000's)

	2000	2001	2002	2003	2004
MAYORAL AGENCIES:					
<i>Uniformed Forces:</i>					
Police	\$31,505	\$33,196	\$16,578	\$16,908	\$16,908
Fire	6,028	7,327	6,341	6,372	6,347
Correction	61,285	37,287	2,405	2,405	2,405
Sanitation	12,941	41,863	52,587	52,587	52,587
<i>Health and Welfare:</i>					
Admin. for Children Services	19,848	38,994	33,411	33,411	33,411
Social Services	6,402	24,548	24,814	19,787	19,787
Homeless Services	4,305	11,032	2,856	2,856	2,856
Health	8,037	8,864	8,864	8,864	8,864
Mental Health	—	3,050	3,050	3,050	3,050
<i>Other Mayoral:</i>					
Cultural Affairs	—	7,334	7,334	7,334	7,334
Housing Preservation & Dev.	15,668	26,208	4,208	4,208	4,208
Environmental Protection	1,332	60	60	60	60
Finance	30,386	38,695	4,308	5,120	5,120
Transportation	33,341	18,981	7,410	7,144	7,144
Parks	8,440	20,836	15,378	15,258	15,108
Citywide Admin. Services	15,170	33,219	7,133	2,801	2,801
Other	111,950	77,300	37,936	47,267	47,167
COVERED ORGANIZATIONS:					
Board of Education	—	4,986	4,986	4,986	4,986
Health and Hospitals Corp.	—	2,853	2,853	2,853	2,853
OTHER:					
Miscellaneous Budget	3,657	4,837	5,204	5,251	5,301
Procurement Savings	—	24,799	24,799	24,799	24,799
Subtotal Agency Programs	\$370,295	\$466,269	\$272,515	\$273,321	\$273,096
CITYWIDE INITIATIVES:					
Federal & State Actions	—	100,000	100,000	100,000	100,000
Labor Productivity Plan	—	250,000	265,000	280,000	300,000
Total Program	\$370,295	\$816,269	\$637,515	\$653,321	\$673,096

Technical Note:

Gap closing program includes initiatives from the November 19, 1999 and the January 27, 2000 Financial Plans and the April 18, 2000 Executive Budget.

EXHIBIT 6A
FY 2001 EXECUTIVE BUDGET
CITY GAP CLOSING PROGRAM - 5 YEAR VALUE
(City \$ in 000's)

	2000	2001	2002	2003	2004
OTHER:					
Mayoralty	\$36,767	\$31,141	\$1,141	\$1,141	\$1,141
Board of Elections	1,000	156	313	313	313
Campaign Finance Board	1,472	—	—	—	—
Office of the Actuary	75	—	—	—	—
Tax Commission	25	—	—	—	—
Law	2,500	1,550	100	100	100
Investigation	209	240	480	480	480
City University	—	6,500	6,500	6,500	6,500
Civilian Complaint Rev. Bd.	350	—	—	—	—
Board of Correction	35	—	—	—	—
FISA	1,246	2,366	1,078	824	824
Juvenile Justice	819	1,188	349	247	247
Payroll Admin.	491	1,390	13	—	—
Independent Budget Office	—	191	—	—	—
Equal Employment Comm.	65	—	—	—	—
Civil Service Commission	25	—	—	—	—
Taxi & Limo	8,525	2,337	2,337	2,337	2,337
Youth & Community Development	857	14,536	14,536	14,536	14,536
Conflicts of Interest	10	—	—	—	—
Office of Collective Bargaining	20	—	—	—	—
Probation	799	1,343	1,343	1,343	1,343
Dept. of Business Services	20,525	(8,077)	(8,077)	1,923	1,923
Buildings	5,850	7,076	4,100	3,800	3,700
Trade Waste Commission	80	180	80	80	80
DOITT	29,780	9,658	8,258	8,258	8,258
DORIS	35	—	—	—	—
Consumer Affairs	390	359	219	219	219
Subtotal	<u>\$111,950</u>	<u>\$72,134</u>	<u>\$32,770</u>	<u>\$42,101</u>	<u>\$42,001</u>
OTHER ELECTED:					
BP - Brooklyn	—	305	305	305	305
Comptroller	—	4,861	4,861	4,861	4,861
Subtotal	<u>—</u>	<u>\$5,166</u>	<u>\$5,166</u>	<u>\$5,166</u>	<u>\$5,166</u>
Total Other	<u>\$111,950</u>	<u>\$77,300</u>	<u>\$37,936</u>	<u>\$47,267</u>	<u>\$47,167</u>

Technical Note:

Gap closing program includes initiatives from the November 19, 1999 and the January 27, 2000 Financial Plans and the April 18, 2000 Executive Budget.

EXHIBIT 6B
FY 2001 EXECUTIVE BUDGET
CITY GAP CLOSING PROGRAM - BY EXPENSE AND REVENUE
(City \$ in 000's)

	Expense	Revenue	Total
MAYORAL AGENCIES:			
<i>Uniformed Forces:</i>			
Police	\$28,144	\$5,052	\$33,196
Fire	7,327	—	7,327
Correction	37,287	—	37,287
Sanitation	40,588	1,275	41,863
<i>Health and Welfare:</i>			
Admin. for Children Services	38,994	—	38,994
Social Services	24,548	—	24,548
Homeless Services	11,032	—	11,032
Health	8,864	—	8,864
Mental Health	3,050	—	3,050
<i>Other Mayoral:</i>			
Cultural Affairs	7,334	—	7,334
Housing Preservation & Dev.	26,208	—	26,208
Environmental Protection	(540)	600	60
Finance	695	38,000	38,695
Transportation	15,351	3,630	18,981
Parks	11,701	9,135	20,836
Citywide Admin. Services	11,779	21,440	33,219
Other	26,870	50,430	77,300
COVERED ORGANIZATIONS:			
Board of Education	4,986	—	4,986
Health and Hospitals Corp.	2,853	—	2,853
OTHER:			
Miscellaneous Budget	4,837	—	4,837
Procurement OTPS Savings	24,799	—	24,799
Subtotal Agency Programs	\$336,707	\$129,562	\$466,269
CITYWIDE INITIATIVES:			
Federal & State Actions	75,000	25,000	100,000
Labor Productivity Plan	250,000	—	250,000
Total Program	\$661,707	\$154,562	\$816,269

Technical Note:

Gap closing program includes initiatives from the November 19, 1999 and the January 27, 2000 Financial Plans and the April 18, 2000 Executive Budget.

