

Financial Plan Statements
for
New York City
February 2011



The City of New York



This report contains Financial Plan Statements for February 2011 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on February 17, 2011.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

**THE CITY OF NEW YORK
BY**

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2010 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles, except for the application of GASB 49.

Covered Organization Financial Plans are issued quarterly.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2011 for OTPS purchase orders and contracts expected to be received by June 30, 2011 are treated as expenditures.

(d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2011 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2011.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Financial Plan Statements can be accessed through the New York City Office of Management and Budget's website at: www.nyc.gov/omb

Report No. 1

Financial Plan Summary

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: FEBRUARY
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
REVENUES:									
TAXES									
GENERAL PROPERTY TAXES	\$ 99	\$ 107	\$ (8)	\$ 15,457	\$ 15,465	\$ (8)	\$ 16,847	\$ 16,847	\$ -
OTHER TAXES	1,161	1,139	22	13,626	13,604	22	23,135	23,135	-
MISCELLANEOUS REVENUES	411	394	17	3,278	3,261	17	6,161	6,161	-
UNRESTRICTED INTGOVT. AID	1	2	(1)	1	2	(1)	14	14	-
LESS: INTRA-CITY REVENUES DISALLOWANCES	(139)	(132)	(7)	(590)	(583)	(7)	(1,871)	(1,871)	-
	-	-	-	-	-	-	(15)	(15)	-
SUBTOTAL	1,533	1,510	23	31,772	31,749	23	44,271	44,271	-
OTHER CATEGORICAL GRANTS	117	78	39	550	511	39	1,315	1,315	-
CAPITAL INTER-FUND TRANSFERS	43	25	18	310	292	18	559	559	-
FEDERAL GRANTS	798	736	62	2,889	2,827	62	8,197	8,197	-
STATE GRANTS	890	962	(72)	5,517	5,589	(72)	11,565	11,565	-
TOTAL REVENUES	\$ 3,381	\$ 3,311	\$ 70	\$ 41,038	\$ 40,968	\$ 70	\$ 65,907	\$ 65,907	\$ -
EXPENDITURES:									
PS	\$ 2,645	\$ 2,684	\$ 39	\$ 21,027	\$ 21,129	\$ 102	\$ 36,392	\$ 36,392	\$ -
OTPS	765	1,058	293	18,331	18,675	344	26,351	26,351	-
DEBT SERVICE	15	22	7	191	214	23	4,935	4,935	-
GENERAL RESERVE	-	-	-	-	-	-	100	100	-
SUBTOTAL	3,425	3,764	339	39,549	40,018	469	67,778	67,778	-
LESS: INTRA-CITY EXPENSES	(139)	(132)	7	(590)	(583)	7	(1,871)	(1,871)	-
TOTAL EXPENDITURES	\$ 3,286	\$ 3,632	\$ 346	\$ 38,959	\$ 39,435	\$ 476	\$ 65,907	\$ 65,907	\$ -
NET TOTAL	\$ 95	\$ (321)	\$ 416	\$ 2,079	\$ 1,533	\$ 546	\$ -	\$ -	\$ -

Note: For further details on revenue, see Report No. 3 on page 8. For further details on expenditures, see Report No. 4 on page 10 and the corresponding notes on page 13.

Report No. 1A

Month-by-Month Revenue and Obligation Forecast

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: FEBRUARY
FISCAL YEAR 2011

	ACTUAL								FORECAST					TOTAL YEAR
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	
REVENUES:														
TAXES														
GENERAL PROPERTY TAXES	\$ 7,652	\$ 110	\$ 980	\$ 413	\$ 57	\$ 3,842	\$ 2,304	\$ 99	\$ 913	\$ 400	\$ 26	\$ 38	\$ 13	\$ 16,847
OTHER TAXES	915	938	3,075	982	1,095	2,808	2,652	1,161	2,712	2,087	963	3,423	324	23,135
MISCELLANEOUS REVENUES	613	293	433	419	391	361	357	411	599	456	669	629	530	6,161
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	-	1	-	-	-	13	-	14
LESS: INTRA-CITY REVENUES DISALLOWANCES	(3)	(3)	(30)	(70)	(92)	(129)	(124)	(139)	(174)	(158)	(127)	(292)	(530)	(1,871)
SUBTOTAL	9,177	1,338	4,458	1,744	1,451	6,882	5,189	1,533	4,050	2,785	1,526	3,801	337	44,271
OTHER CATEGORICAL GRANTS	6	116	63	34	55	38	121	117	73	74	52	566	-	1,315
CAPITAL INTER-FUND TRANSFERS	-	-	56	38	33	103	37	43	89	31	14	24	91	559
FEDERAL GRANTS	30	28	159	327	526	396	625	798	1,081	589	734	836	2,068	8,197
STATE GRANTS	25	2	1,525	221	922	942	990	890	1,408	783	995	1,332	1,530	11,565
TOTAL REVENUES:	\$ 9,238	\$ 1,484	\$ 6,261	\$ 2,364	\$ 2,987	\$ 8,361	\$ 6,962	\$ 3,381	\$ 6,701	\$ 4,262	\$ 3,321	\$ 6,559	\$ 4,026	\$ 65,907
EXPENDITURES:														
PS	\$ 2,014	\$ 1,971	\$ 2,741	\$ 2,800	\$ 2,672	\$ 2,767	\$ 3,417	\$ 2,645	\$ 2,701	\$ 2,721	\$ 2,687	\$ 5,758	\$ 1,498	\$ 36,392
OTPS	7,123	2,334	2,328	1,461	1,184	1,869	1,267	765	2,189	1,502	1,550	2,443	336	26,351
DEBT SERVICE	95	16	(1)	10	27	(5)	34	15	35	258	83	4,368	-	4,935
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	100	100
SUBTOTAL	9,232	4,321	5,068	4,271	3,883	4,631	4,718	3,425	4,925	4,481	4,320	12,569	1,934	67,778
LESS: INTRA-CITY EXPENSES	(3)	(3)	(30)	(70)	(92)	(129)	(124)	(139)	(174)	(158)	(127)	(292)	(530)	(1,871)
TOTAL EXPENDITURES	\$ 9,229	\$ 4,318	\$ 5,038	\$ 4,201	\$ 3,791	\$ 4,502	\$ 4,594	\$ 3,286	\$ 4,751	\$ 4,323	\$ 4,193	\$ 12,277	\$ 1,404	\$ 65,907
NET TOTAL	\$ 9	\$ (2,834)	\$ 1,223	\$ (1,837)	\$ (804)	\$ 3,859	\$ 2,368	\$ 95	\$ 1,950	\$ (61)	\$ (872)	\$ (5,718)	\$ 2,622	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: FEBRUARY
FISCAL YEAR 2011**

DESCRIPTION	INITIAL PLAN 7/13/2010	CHANGES FROM INITIAL PLAN	CHANGES FROM PREVIOUS FORECAST
REVENUES:			
TAXES			
GENERAL PROPERTY TAXES	\$ 16,780	\$ 67	\$ -
OTHER TAXES	22,126	1,009	-
MISCELLANEOUS REVENUES	5,912	249	-
UNRESTRICTED INTERGOVERNMENTAL AID	14	-	-
LESS:INTRA-CITY REVENUES	(1,616)	(255)	-
DISALLOWANCES	(15)	-	-
SUBTOTAL	43,201	1,070	-
OTHER CATEGORICAL GRANTS	1,235	80	-
CAPITAL INTERFUND TRANSFERS	558	1	-
FEDERAL GRANTS	6,813	1,384	-
STATE GRANTS	11,352	213	-
TOTAL REVENUES	\$ 63,159	\$ 2,748	\$ -
EXPENDITURES:			
PERSONAL SERVICE	\$ 36,462	\$ (70)	\$ -
OTHER THAN PERSONAL SERVICE	25,783	568	-
DEBT SERVICE	2,093	2,842	-
GENERAL RESERVE	437	(337)	-
SUBTOTAL	64,775	3,003	-
LESS:INTRA-CITY EXPENDITURES	(1,616)	(255)	-
TOTAL EXPENDITURES	\$ 63,159	\$ 2,748	\$ -

Report No. 3

Revenue Activity by Major Area

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)**

**MONTH: FEBRUARY
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
TAXES:									
GENERAL PROPERTY TAXES	\$ 99	\$ 107	\$ (8)	\$ 15,457	\$ 15,465	\$ (8)	\$ 16,847	\$ 16,847	\$ -
PERSONAL INCOME TAX	595	611	(16)	4,868	4,884	(16)	7,477	7,477	-
GENERAL CORPORATION TAX	13	(17)	30	998	968	30	2,415	2,415	-
BANKING CORPORATION TAX	(54)	(69)	15	604	589	15	1,245	1,245	-
UNINCORPORATED BUSINESS TAX	32	27	5	909	904	5	1,705	1,705	-
GENERAL SALES TAX	385	381	4	3,561	3,557	4	5,509	5,509	-
REAL PROPERTY TRANSFER TAX	46	64	(18)	485	503	(18)	768	768	-
MORTGAGE RECORDING TAX	31	40	(9)	275	284	(9)	444	444	-
COMMERCIAL RENT TAX	3	2	1	296	295	1	603	603	-
UTILITY TAX	32	30	2	221	219	2	383	383	-
OTHER TAXES	34	23	11	495	484	11	991	991	-
TAX AUDIT REVENUES *	44	44	-	594	594	-	868	868	-
TAX PROGRAM	-	-	-	-	-	-	-	-	-
STAR PROGRAM	-	3	(3)	320	323	(3)	727	727	-
TOTAL TAXES	\$ 1,260	\$ 1,246	\$ 14	\$ 29,083	\$ 29,069	\$ 14	\$ 39,982	\$ 39,982	\$ -
MISCELLANEOUS REVENUES:									
LICENSES/FRANCHISES/ETC.	69	64	5	351	346	5	498	498	-
INTEREST INCOME	3	2	1	13	12	1	21	21	-
CHARGES FOR SERVICES	41	47	(6)	356	362	(6)	751	751	-
WATER AND SEWER CHARGES	68	57	11	998	987	11	1,314	1,314	-
RENTAL INCOME	14	13	1	151	150	1	239	239	-
FINES AND FORFEITURES	62	62	-	531	531	-	799	799	-
MISCELLANEOUS	15	17	(2)	288	290	(2)	668	668	-
INTRA-CITY REVENUE	139	132	7	590	583	7	1,871	1,871	-
TOTAL MISCELLANEOUS	\$ 411	\$ 394	\$ 17	\$ 3,278	\$ 3,261	\$ 17	\$ 6,161	\$ 6,161	\$ -

* The financial plan as submitted on February 17, 2011 reflects \$868 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2011 PLAN
GENERAL SALES TAX	\$ 1	\$ 12	\$ 21
PERSONAL INCOME TAX	3	15	29
GENERAL CORPORATION TAX	34	388	601
COMMERCIAL RENT TAX	-	14	20
BANKING CORPORATION TAX	4	103	120
UTILITY TAX	-	33	37
UNINCORPORATED BUSINESS TAX	2	22	30
REAL PROPERTY TRANSFER TAX	-	2	4
OTHER TAXES	-	5	6
TOTAL	\$ 44	\$ 594	\$ 868

NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)
(MILLIONS OF DOLLARS)

MONTH: FEBRUARY
FISCAL YEAR 2011

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNRESTRICTED INTGOVT. AID									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	-	-	-
OTHER INTGOVT. AID	1	2	(1)	1	2	(1)	14	14	-
TOTAL UNRESTRICTED INTG.	\$ 1	\$ 2	\$ (1)	\$ 1	\$ 2	\$ (1)	\$ 14	\$ 14	\$ -
OTHER CATEGORICAL GRANTS	117	78	39	550	511	39	1,315	1,315	-
CAPITAL INTER-FUND TRANSFERS	43	25	18	310	292	18	559	559	-
LESS: INTRA-CITY REVENUES	(139)	(132)	(7)	(590)	(583)	(7)	(1,871)	(1,871)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
FEDERAL GRANTS									
COMMUNITY DEVELOPMENT	19	19	-	135	135	-	284	284	-
WELFARE	262	261	1	1,399	1,398	1	2,964	2,964	-
EDUCATION	372	258	114	688	574	114	2,953	2,953	-
OTHER	145	198	(53)	667	720	(53)	1,996	1,996	-
TOTAL FEDERAL GRANTS	\$ 798	\$ 736	\$ 62	\$ 2,889	\$ 2,827	\$ 62	\$ 8,197	\$ 8,197	\$ -
STATE GRANTS									
WELFARE	167	128	39	873	834	39	2,048	2,048	-
EDUCATION	626	715	(89)	4,301	4,390	(89)	7,982	7,982	-
HIGHER EDUCATION	(33)	10	(43)	33	76	(43)	186	186	-
HEALTH AND MENTAL HYGIENE	104	76	28	170	142	28	463	463	-
OTHER	26	33	(7)	140	147	(7)	886	886	-
TOTAL STATE GRANTS	\$ 890	\$ 962	\$ (72)	\$ 5,517	\$ 5,589	\$ (72)	\$ 11,565	\$ 11,565	\$ -
TOTAL REVENUES	\$ 3,381	\$ 3,311	\$ 70	\$ 41,038	\$ 40,968	\$ 70	\$ 65,907	\$ 65,907	\$ -

Report No. 4

Obligation Analysis

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: FEBRUARY
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES									
POLICE DEPT.	\$ 351	\$ 378	\$ 27	\$ 3,195	\$ 3,246	\$ 51	\$ 4,877	\$ 4,877	\$ -
FIRE DEPT.	124	130	6	1,167	1,223	56	1,784	1,784	-
DEPT. OF CORRECTION	76	75	(1)	684	701	17	1,037	1,037	-
SANITATION DEPT.	87	142	55	1,039	1,068	29	1,402	1,402	-
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	107	181	74	2,116	2,035	(81)	2,761	2,761	-
DEPT. OF SOCIAL SERVICES	250	269	19	5,233	5,224	(9)	8,189	8,189	-
DEPT. OF HOMELESS SERVICES	54	56	2	847	854	7	1,045	1,045	-
HEALTH & MENTAL HYGIENE	44	65	21	1,320	1,361	41	1,676	1,676	-
OTHER AGENCIES									
HOUSING PRESERVATION & DEV.	54	60	6	515	529	14	772	772	-
ENVIRONMENTAL PROTECTION	44	57	13	674	707	33	1,036	1,036	-
TRANSPORTATION DEPT.	48	51	3	609	608	(1)	834	834	-
PARKS & RECREATION DEPT.	27	25	(2)	254	251	(3)	363	363	-
DEPT. OF CITYWIDE ADMIN. SERVICES	1	8	7	960	973	13	1,169	1,169	-
ALL OTHER	170	186	16	2,234	2,316	82	3,394	3,394	-
COVERED ORGANIZATIONS									
DEPT. OF EDUCATION	1,165	1,263	98	10,681	10,772	91	18,820	18,820	-
HIGHER EDUCATION	76	42	(34)	450	471	21	785	785	-
HEALTH & HOSPITALS CORP.	5	10	5	85	73	(12)	185	185	-
OTHER									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	199	215	16	1,876	1,868	(8)	3,958	3,958	-
TRANSIT SUBSIDIES	44	44	-	279	311	32	464	464	-
JUDGMENTS & CLAIMS	(132)	(132)	-	199	199	-	637	637	-
OTHER	19	39	20	285	356	71	1,056	1,056	-
PENSION CONTRIBUTIONS	597	578	(19)	4,656	4,658	2	6,999	6,999	-
DEBT SERVICE	15	22	7	191	214	23	4,935	4,935	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	(500)	(500)	-
SUB-TOTAL	\$ 3,425	\$ 3,764	\$ 339	\$ 39,549	\$ 40,018	\$ 469	\$ 67,678	\$ 67,678	\$ -
PLUS GENERAL RESERVE	-	-	-	-	-	-	100	100	-
LESS INTRA-CITY EXPENSES	(139)	(132)	7	(590)	(583)	7	(1,871)	(1,871)	-
TOTAL EXPENDITURES	\$ 3,286	\$ 3,632	\$ 346	\$ 38,959	\$ 39,435	\$ 476	\$ 65,907	\$ 65,907	\$ -

Report No. 4A & 4B

Personnel Control Reports

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: FEBRUARY
FISCAL YEAR 2011**

	FT & FTE POSITIONS		PERSONAL SERVICE COSTS						FT & FTE POSITIONS		PERSONAL SERVICE COSTS			
	CURRENT MONTH		CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011 PROJECTIONS		FISCAL YEAR 2011 PROJECTIONS			
	ACTUAL	PLAN *	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES														
POLICE DEPT.	50,756	51,220	\$ 325	\$ 322	\$ (3)	\$ 2,903	\$ 2,908	\$ 5	50,502	50,502	-	\$ 4,385	\$ 4,385	\$ -
FIRE DEPT.	15,936	16,140	115	115	-	1,031	1,027	(4)	15,824	15,824	-	1,570	1,570	-
DEPT. OF CORRECTION	9,958	10,305	69	69	-	599	600	1	10,377	10,377	-	907	907	-
SANITATION DEPT.	9,107	9,243	78	76	(2)	564	568	4	9,223	9,223	-	842	842	-
HEALTH & WELFARE														
ADMIN. FOR CHILD SERVICES	5,750	5,947	28	25	(3)	241	241	-	6,059	6,059	-	360	360	-
DEPT. OF SOCIAL SERVICES	13,777	14,480	55	59	4	482	507	25	14,480	14,480	-	773	773	-
DEPT. OF HOMELESS SERVICES	1,874	2,012	9	8	(1)	78	79	1	2,012	2,012	-	120	120	-
HEALTH & MENTAL HYGIENE	6,106	6,513	30	31	1	252	266	14	6,603	6,603	-	410	410	-
OTHER AGENCIES														
ENVIRONMENTAL PROTECTION	5,863	6,065	34	33	(1)	296	301	5	6,128	6,128	-	458	458	-
TRANSPORTATION DEPT.	4,645	4,858	28	26	(2)	256	239	(17)	5,142	5,142	-	381	381	-
PARKS & RECREATION DEPT.	5,053	5,503	19	19	-	195	183	(12)	6,393	6,393	-	277	277	-
CITYWIDE ADMIN. SERVICES	2,191	2,431	12	12	-	95	97	2	2,352	2,352	-	147	147	-
ALL OTHER	30,710	29,865	147	156	9	1,336	1,364	28	30,977	30,977	-	2,070	2,070	-
COVERED ORGANIZATIONS														
DEPT. OF EDUCATION	133,826	134,277	900	942	42	6,167	6,223	56	135,777	135,777	-	12,680	12,680	-
OTHER														
MISCELLANEOUS BUDGET	-	-	199	213	14	1,876	1,868	(8)	-	-	-	4,013	4,013	-
PENSION CONTRIBUTIONS	-	-	597	578	(19)	4,656	4,658	2	-	-	-	6,999	6,999	-
TOTAL	295,552	298,859	\$ 2,645	\$ 2,684	\$ 39	\$ 21,027	\$ 21,129	\$ 102	301,849	301,849	-	\$ 36,392	\$ 36,392	\$ -

* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4B**

**MONTH: FEBRUARY
FISCAL YEAR 2011**

	FULL-TIME POSITIONS CURRENT MONTH			FULL-TIME POSITIONS FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES						
POLICE DEPT.	49,189	49,338	149	48,968	48,968	-
FIRE DEPT.	15,841	16,063	222	15,745	15,745	-
DEPT. OF CORRECTION	9,915	10,255	340	10,327	10,327	-
SANITATION DEPT.	9,050	9,112	62	9,080	9,080	-
HEALTH & WELFARE						
ADMIN. FOR CHILD SERVICES	5,703	5,879	176	5,998	5,998	-
DEPT. OF SOCIAL SERVICES	13,749	14,466	717	14,466	14,466	-
DEPT. OF HOMELESS SERVICES	1,873	2,011	138	2,011	2,011	-
HEALTH & MENTAL HYGIENE	4,776	5,303	527	5,395	5,395	-
OTHER AGENCIES						
ENVIRONMENTAL PROTECTION	5,716	5,955	239	6,007	6,007	-
TRANSPORTATION DEPT.	4,502	4,776	274	4,778	4,778	-
PARKS & RECREATION DEPT.	3,409	3,447	38	3,415	3,415	-
CITYWIDE ADMIN. SERVICES	2,015	2,131	116	2,051	2,051	-
ALL OTHER	25,155	25,284	129	25,692	25,692	-
COVERED ORGANIZATIONS						
DEPT. OF EDUCATION	119,402	118,583	(819)	120,083	120,083	-
TOTAL	270,295	272,603	2,308	274,016	274,016	-

NOTES TO REPORTS NO. 4, 4A AND 4B

The current month, year-to-date and fiscal year data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on February 17, 2011.

There are 295,552 filled positions as of February of which 270,295 are full-time positions and 25,257 are full-time equivalent positions. Of the 295,552 filled positions, 257,237 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2011) 274,016 of the 301,849 positions are full-time and 260,673 of the 301,849 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2011 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$51 million year-to-date variance is primarily due to:

- \$(15) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$61 million in delayed encumbrances, including \$43 million for other services and charges and \$17 million for property and equipment, that will be obligated later in the fiscal year.
- \$5 million in personal services, including \$16 million for full-time normal gross, \$13 million for holiday pay, \$7 million for differentials, \$3 million for unsalaried positions and \$2 million for fringe benefits, offset by \$(27) million for overtime and \$(8) million for other adjustments.

Fire Department: The \$56 million year-to-date variance is primarily due to:

- \$60 million in delayed encumbrances, including \$34 million for other services and charges, \$14 million for contractual services, \$7 million for property and equipment and \$5 million for supplies and materials, that will be obligated later in the fiscal year.

- \$(4) million in personal services, including \$(8) million for other adjustments, \$(4) million for differentials, \$(4) million for overtime and \$(2) million for holiday pay, offset by \$11 million for full-time normal gross and \$4 million for fringe benefits.

Department of Correction: The \$17 million year-to-date variance is primarily due to:

- \$16 million in delayed encumbrances, including \$7 million for other services and charges, \$4 million for contractual services, \$3 million for supplies and materials and \$2 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$1 million in personal services, including \$8 million for full-time normal gross, \$5 million for differentials, \$4 million for fringe benefits and \$2 million for holiday pay, offset by \$(19) million for overtime.

Sanitation Department: The \$29 million year-to-date variance is primarily due to:

- \$(25) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$50 million in delayed encumbrances, including \$31 million for supplies and materials, \$17 million for other services and charges and \$2 million for property and equipment, that will be obligated later in the fiscal year.
- \$4 million in personal services, including \$7 million for full-time normal gross, offset by \$(3) million for holiday pay.

Administration for Children's Services: The \$(81) million year-to-date variance is primarily due to:

- \$(101) million in accelerated encumbrances, including \$(90) million for contractual services and \$(11) million for social services, that was planned to be obligated later in the fiscal year.

- \$20 million in delayed encumbrances, including \$10 million for fixed and miscellaneous charges and \$9 million for other services and charges, that will be obligated later in the fiscal year.

Department of Social Services: The \$(9) million year-to-date variance is primarily due to:

- \$(58) million in accelerated encumbrances, including \$(44) million for Public Assistance, \$(7) million for contractual services and \$(6) million for social services, that was planned to be obligated later in the fiscal year.
- \$24 million in delayed encumbrances, including \$11 million for other services and charges and \$10 million for Medical Assistance, that will be obligated later in the fiscal year.
- \$25 million in personal services, including \$37 million for full-time normal gross, offset by \$(10) million for differentials and \$(2) million for unsalaried positions.

Department of Health and Mental Hygiene: The \$41 million year-to-date variance is primarily due to:

- \$27 million in delayed encumbrances, including \$16 million for other services and charges, \$5 million for property and equipment and \$4 million for supplies and materials, that will be obligated later in the fiscal year.
- \$14 million in personal services, including \$15 million for full-time normal gross and \$7 million for unsalaried positions, offset by \$(5) million for differentials.

Department of Housing Preservation and Development: The \$14 million year-to-date variance is primarily due to:

- \$12 million in delayed encumbrances, including \$7 million for other services and charges and \$5 million for supplies and materials, that will be obligated later in the fiscal year.

- \$2 million in personal services.

Department of Environmental Protection: The \$33 million year-to-date variance is primarily due to:

- \$28 million in delayed encumbrances, including \$13 million for supplies and materials, \$10 million for fixed and miscellaneous charges and \$5 million for property and equipment, that will be obligated later in the fiscal year.
- \$5 million in personal services, including \$14 million for full-time normal gross, offset by \$(3) million for differentials, \$(2) million for overtime, \$(2) million for other adjustments and \$(2) million for holiday pay.

Department of Transportation: The \$(1) million year-to-date variance is primarily due to:

- \$(7) million in accelerated encumbrances, primarily for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$23 million in delayed encumbrances, including \$13 million for other services and charges and \$10 million for contractual services, that will be obligated later in the fiscal year.
- \$(17) million in personal services, including \$(11) million for overtime, \$(4) million for unsalaried positions, \$(3) million for differentials, \$(2) million for holiday pay and \$(2) million for terminal leave, offset by \$5 million for full-time normal gross.

Department of Parks and Recreation: The \$(3) million year-to-date variance is primarily due to:

- \$9 million in delayed encumbrances, including \$4 million for supplies and materials, \$3 million for other services and charges and \$2 million for property and equipment, that will be obligated later in the fiscal year.
- \$(12) million in personal services, including \$(4) million for other salaried, \$(4) million for differentials and

\$(3) million for overtime.

Department of Citywide Administrative Services: The \$13 million year-to-date variance is primarily due to:

- \$11 million in delayed encumbrances, primarily for contractual services, that will be obligated later in the fiscal year.
- \$2 million in personal services, including \$8 million for full-time normal gross, offset by \$(6) million for overtime.

Department of Education: The \$91 million year-to-date variance is primarily due to:

- \$(216) million in accelerated encumbrances, including \$(166) million for contractual services and \$(50) million for other services and charges, that was planned to be obligated later in the fiscal year.
- \$251 million in delayed encumbrances, including \$188 million for supplies and materials, \$37 million for fixed and miscellaneous charges and \$25 million for property and equipment, that will be obligated later in the fiscal year.
- \$56 million in personal services, including \$51 million for unsalaried positions, \$43 million for per session payments and \$4 million for terminal leave, offset by \$(10) million for backpay that will be journaled to prior years, \$(9) million in full-time normal gross, \$(7) million for differentials, \$(4) million for overtime and \$(4) million for fringe benefits.

Higher Education: The \$21 million year-to-date variance is primarily due to:

- \$11 million in delayed encumbrances, including \$8 million for contractual services and \$3 million for supplies and materials, that will be obligated later in the fiscal year.

- \$10 million in personal services, including \$18 million for fringe benefits, offset by \$(9) million for unsalaried positions.

Health and Hospitals Corporation: The \$(12) million year-to-date variance is primarily due to:

- \$(12) million in accelerated encumbrances primarily for fixed and miscellaneous charges, that was planned to be obligated later in the fiscal year.

Miscellaneous: The \$95 million year-to-date variance is primarily due to:

- \$(8) million in fringe benefits reflecting accelerated encumbrances, that was planned to be obligated later in the fiscal year.
- \$32 million in transit subsidies reflecting delayed encumbrances, that will be obligated later in the fiscal year.
- \$71 million in other, that will be obligated later in the fiscal year.

Debt Service: The \$23 million year-to-date variance is primarily due to:

- \$34 million in delayed encumbrances primarily for payments to counterparties, that will be obligated later in the fiscal year.
- \$(11) million in accelerated encumbrances primarily for costs associated with financing and blended component units, that was planned to be obligated later in the fiscal year.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: FEBRUARY		FISCAL YEAR: 2011		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$35.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$194.0 (C) 32.2 (N)
HIGHWAY AND STREETS	1.0 (C) 5.9 (N)	7.5 (C) 20.9 (N)	173.0 (C) 8.6 (N)	136.8 (C) 29.9 (N)	517.6 (C) 192.5 (N)
HIGHWAY BRIDGES	5.0 (C) 0.0 (N)	0.0 (C) 0.0 (N)	70.5 (C) 0.0 (N)	48.1 (C) 0.0 (N)	203.6 (C) 9.5 (N)
WATERWAY BRIDGES	2.1 (C) 0.0 (N)	0.0 (C) 0.0 (N)	15.7 (C) 0.0 (N)	12.8 (C) 0.0 (N)	13.3 (C) 20.8 (N)
WATER SUPPLY	8.6 (C) 0.0 (N)	0.0 (C) 0.0 (N)	3.4 (C) 0.0 (N)	(6.0) (C) 0.0 (N)	5.1 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	23.1 (C) 0.0 (N)	5.1 (C) 0.0 (N)	347.0 (C) 0.0 (N)	100.8 (C) 0.0 (N)	971.6 (C) 125.5 (N)
SEWERS	29.6 (C) 0.3 (N)	8.6 (C) 0.0 (N)	82.6 (C) 11.8 (N)	141.9 (C) 11.8 (N)	483.7 (C) 67.0 (N)
WATER POLLUTION CONTROL	8.4 (C) 0.0 (N)	0.0 (C) 0.0 (N)	218.3 (C) 7.9 (N)	(12.9) (C) 0.0 (N)	630.8 (C) 8.4 (N)
ECONOMIC DEVELOPMENT	12.4 (C) 9.5 (N)	0.0 (C) 0.0 (N)	108.7 (C) 25.7 (N)	95.4 (C) 86.8 (N)	775.6 (C) 189.5 (N)
EDUCATION	61.4 (C) 85.6 (N)	199.9 (C) 100.0 (N)	732.8 (C) 590.5 (N)	871.2 (C) 604.9 (N)	1,127.8 (C) 847.1 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: FEBRUARY		FISCAL YEAR: 2011		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	1.4 (C) 0.0 (N)	2.5 (C) 0.0 (N)	34.7 (C) 0.0 (N)	105.4 (C) 0.0 (N)	256.4 (C) 0.0 (N)
SANITATION	4.0 (C) 0.0 (N)	118.8 (C) 0.0 (N)	423.7 (C) 0.3 (N)	544.2 (C) 1.9 (N)	576.6 (C) 6.5 (N)
POLICE	16.2 (C) 0.0 (N)	7.4 (C) 0.0 (N)	59.3 (C) 0.0 (N)	57.0 (C) 0.0 (N)	256.3 (C) 0.0 (N)
FIRE	0.5 (C) 0.0 (N)	10.5 (C) 0.0 (N)	27.7 (C) 2.8 (N)	39.2 (C) 1.8 (N)	184.7 (C) 8.9 (N)
HOUSING	32.2 (C) 1.3 (N)	(0.3) (C) (0.2) (N)	97.6 (C) (0.1) (N)	49.8 (C) (4.4) (N)	637.2 (C) 185.7 (N)
HOSPITALS	1.1 (C) 0.0 (N)	14.9 (C) 0.0 (N)	88.7 (C) 0.0 (N)	121.5 (C) 0.0 (N)	292.3 (C) 3.2 (N)
PUBLIC BUILDINGS	5.1 (C) 0.0 (N)	3.2 (C) 0.0 (N)	80.9 (C) 0.0 (N)	49.8 (C) 0.0 (N)	359.3 (C) 0.3 (N)
PARKS	23.1 (C) 0.5 (N)	0.5 (C) 0.0 (N)	226.1 (C) 12.6 (N)	158.1 (C) 3.7 (N)	960.6 (C) 185.0 (N)
ALL OTHER DEPARTMENTS	(26.7) (C) 3.5 (N)	26.8 (C) 2.7 (N)	617.9 (C) 43.6 (N)	460.3 (C) 23.2 (N)	3,078.6 (C) 401.2 (N)
TOTAL	\$208.4 (C) \$106.6 (N)	\$405.3 (C) \$123.4 (N)	\$3,443.6 (C) \$703.9 (N)	\$2,973.2 (C) \$759.7 (N)	\$11,525.0 (C) \$2,283.2 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: February

Fiscal Year: 2011

City Funds:

Total Authorized Commitment Plan	\$11,525
Less: Reserve for Unattained Commitments Commitment Plan	<u>(3,248)</u> <u>\$8,277</u>

Non-City Funds:

Total Authorized Commitment Plan	\$2,283
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u> <u>\$2,283</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2011 February Capital Commitment Plan of \$11,525 million rather than the Financial Plan level of \$8,277 million. The additional \$3,248 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through February are primarily due to timing differences.

- | | | |
|-------------------------|---|---|
| Correction | - | Adolescent Reception Detention Center, Riker’s Island, totaling \$9.5 million, slipped from July and August 2010 to March 2011. Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$62.0 million, slipped from July 2010 thru February 2011 to March 2011. Purchase of equipment for other use by the Department of Correction, totaling \$3.1 million, slipped from July 2010 to March 2011. Acquisition and construction of the supplementary housing program and support facilities, totaling \$1.8 million, slipped from July 2010 to March 2011 and planned deregistration, totaling \$1.2 million, slipped from October 2010 to March 2011. Purchase of computer equipment, totaling \$9.7 million, slipped from July 2010 to March 2011. Rikers Island infrastructure, totaling \$19.0 million, advanced from June 2011 to December 2010. Construction of High Security Institution, Rikers Island, totaling \$2.1 million, slipped from July 2010 to March 2011. Various slippages and advances account for the remaining variance. |
| Education | - | Five-Year Educational Capital Plan, totaling \$138.5 million, slipped from February 2011 to March 2011. |
| Economic
Development | - | Brooklyn Navy Yard, totaling \$11.5 million, advanced from June 2011 to January 2011. Acquisition and site development for commercial redevelopment, City-wide, totaling \$15.5 million, slipped from October 2010 thru January 2011 to March 2011. Commercial Revitalization City-wide, totaling \$5.3 million, advanced from June 2011 to January and February 2011. Modernization and reconstruction of piers, City-wide, totaling \$8.9 million, advanced from June 2011 to December 2010 and January and February 2011. International Business Development, totaling \$2.3 million, advanced from June 2011 to February 2011. Various slippages and advances account for the remaining variance. |

- Fire - Facility improvements, City-wide, totaling \$14.3 million, slipped from January and February 2011 to March 2011 and deregistration of contracts, totaling \$9.7 million occurred in November 2010.

- Highway Bridges - Reconstruction of Madison Avenue Bridge, totaling \$5.0 million, advanced from June 2011 to December 2010. Design cost for bridge facilities, City-wide, totaling \$2.0 million, advanced from June 2011 to December 2010. Bridge Painting, City-wide, totaling \$6.2 million, advanced from June 2011 to November and December 2010. Reconstruction of the Belt Shore Parkway over Fresh Creek, Brooklyn, totaling \$2.8 million, advanced from June 2011 to December 2010 thru February 2011. Various slippages and advances account for the remaining variance.

- Housing - Affordable Housing Recovery Program, totaling \$5.4 million, advanced from June 2011 to December 2010 and February 2011. Computer purchases and upgrade, totaling \$2.0 million, advanced from June 2011 August thru December 2010 and January and February 2011. Supportive housing programs, City-wide, totaling \$4.1 million, advanced from May 2011 to November 2010 and January 2011. Queens West, totaling \$16.8 million, advanced from May 2011 to February 2011. Low income rental, totaling \$13.3 million, advanced from June 2011 to December 2010 and February 2011. Various slippages and advances account for the remaining variance.

- Highways - Repaving and resurfacing of streets, City-wide, totaling \$12.7 million, advanced from June 2011 to September thru December 2010. Land acquisition for streets and sewers, totaling \$5.8 million, slipped from December 2010 and February 2011 to March 2011. Sidewalk reconstruction, totaling \$45.8 million, slipped from December 2010 and January 2011 to March 2011. Repaving and resurfacing of streets, in-house, totaling \$102.9 million, advanced from June 2011 to December 2010. Reconstruction of Arthur Kill Road, totaling \$2.0 million, slipped from January 2011 to March 2011. Reconstruction of Peck Slip, Manhattan, totaling \$3.7 million, slipped from February 2011 to March 2011. Reconstruction of Linden Place, totaling \$2.3 million, slipped from January 2011 to March 2011. Reconstruction of City-owned retaining walls, City-wide, totaling \$5.8 million, slipped from November and December 2010 and January 2011 to March 2011. Various slippages and advances account for the remaining variance.

- Parks
- Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$19.7 million, advanced from June 2011 to July thru December 2010 and January and February 2011. Orchard Beach Expansion, totaling \$3.8 million, advanced from June 2011 to September 2010. A planned deregistration for Ferry Point Park, totaling \$1.2 million, slipped from November 2010 to March 2011 and contract registering, totaling \$5.1 million, advanced from June 2011 to September 2010 and February 2011. Roosevelt Island Operating Corp. totaling \$5.0 million, advanced from June 2011 to February 2011. Street and park tree planting, City-wide, totaling \$14.6 million, advanced from June 2011 to October thru December 2010 and January and February 2011. Park improvements, City-wide, totaling \$6.2 million, advanced from June 2011 to December 2010 and January and February 2011. Improvements to Central Park, Manhattan, totaling \$2.9 million, advanced from June 2011 to December 2010. Various slippages and advances account for the remaining variance.
- Public Buildings
- Construction and reconstruction of public buildings, City-wide, totaling \$5.6 million, advanced from April thru June 2011 to November 2010 thru February 2011. Deregistration of contracts for surveys in connection with capital projects, City-wide, totaling \$3.0 million, slipped from January 2011 to March 2011. Reconstruction and improvements to long term leased facilities, City-wide, totaling \$8.9 million, advanced from April thru June 2011 to July and December 2010 thru February 2011. Board of Elections, totaling \$10.1 million, advanced from April and June 2011 to July thru October 2010. Installation of fuel facility vapor control systems, totaling \$10.2 million, advanced from June 2011 to October 2010 and January 2011. Various slippages and advances account for the remaining variance.
- Sanitation
- Purchase of collection trucks and equipment, totaling \$35.4 million, slipped from February 2011 to March 2011. Garages and other facility improvements, City-wide, totaling \$5.5 million, slipped from February 2011 to March 2011. Sites for Sanitation Garage, totaling \$31.9 million, slipped from January and February to March 2011. Purchase of electronic data processing equipment for the Department of Sanitation, totaling \$45.0 million, slipped from December 2010 thru February 2011 to March 2011. Various slippages and advances account for the remaining variance.
- Sewers
- Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$54.8 million, slipped from July 2010 thru February 2011 to March 2011. Construction and reconstruction of storm sewers, City-wide, totaling \$6.7 million, slipped from July 2010 thru February 2011 to March 2011. Acquisition of land,

pursuant to storm water management program, totaling \$9.8 million, advanced from June 2011 to July thru December 2010 and January and February 2011. Guniting of sewers, City-wide, totaling \$5.5 million, slipped from December 2010 and February 2011 to March 2011. Mapping of sewer system, City-wide, totaling \$2.0 million, slipped from January 2011 to March 2011. Various slippages and advances account for the remaining variance.

- Transit - Reconstruction of Transit lines under operation, City-wide, totaling \$35.0 million, advanced from June 2011 to November 2010.
- Water Supply - Additional Water Supply Emergency, totaling \$8.6 million, advance from June 2011 and Fiscal Year 2012 to July 2010 thru February 2011.
- Water Mains - Water main extensions, City-wide, totaling \$23.9 million, slipped from December thru February 2011 to March 2011. Trunk main extensions and improvements, totaling \$76.3 million, advanced from March and June 2011 to December thru February 2011. Construction of the Croton Filtration Plant, totaling \$85.1 million, advanced from June 2011 to July 2010 thru February 2011. Improvements to structures on watersheds outside the City, totaling \$105.0 million, advanced from June 2011 to July 2010 thru February 2011. Water supply improvements, totaling \$3.7 million, advanced from June 2011 and FY 2012 to August thru December 2010 and January 2011. Various slippages and advances account for the remaining variance.
- Water Pollution Control - Deregistration of contracts for the Hunts Point Water Pollution Control Plant, totaling \$4.3 million, occurred in February 2011. Reconstruction of Ward's Island Water Pollution Control Plant, totaling \$12.9 million, advanced from June 2011 to August 2010 thru February 2011. Reconstruction of Water Pollution Control Projects, totaling \$35.7 million, advanced from June 2011 to July 2010 thru February 2011. North River Water Pollution Control Plant, totaling \$4.4 million, advanced from June 2011 to August 2010 thru February 2011. Construction of combined sewer overflow abatement, totaling \$35.9 million, advanced from April and June 2011 to July 2010 thru February 2011. Upgrade of the Twenty Sixth Water Pollution Control Plant, totaling \$11.2 million, advanced from June 2011 to July 2010 thru February 2011. Upgrade of Bowery Bay Ward Water Pollution Control, totaling \$16.8 million, advanced from April and June 2011 to July 2010 thru February 2011. Upgrade of Tallmans Island Ward Water Pollution Control Plant, totaling

\$19.5 million, advanced from April and June 2011 to August 2010 thru February 2011. Construction and reconstruction of pumping stations, City-wide, totaling \$15.0 million, advanced from April and June 2011 to July 2010 thru February 2011. Engineering, architect and other administrative costs associated with Water Pollution Control, totaling \$18.7 million, advanced from April and June 2011 to September 2010 thru February 2011. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$53.2 million, advanced from April and June 2011 to July 2010 thru February 2011. Bionutrient removal facilities, City-wide, totaling \$5.8 million, advanced from June 2011 to August, September and December 2010 and January 2011. Various slippages and advances account for the remaining variance.

Others

- Staten Island Supreme Court Building, totaling \$3.1 million, slipped from July thru November 2010 to March 2011. DASNY managed courts projects, totaling \$62.2 million, occurred in January and February 2011.
- Equipment for ACS, City-wide, totaling \$36.6 million, slipped from December 2010 and January 2011 to March 2011.
- Purchase of EDP equipment for the Department of Information Technology and Telecommunications, totaling \$24.8 million, advanced from June 2011 to January and February 2011. Emergency communications system and facilities, totaling \$13.9 million, advanced from June 2011 to December 2010 thru February 2011.
- Purchase of DEP equipment, totaling \$5.6 million, advanced from June 2011 to July 2010 thru December 2010 and February 2011. Purchase of electronic data processing equipment, totaling \$23.6 million, advanced from June 2011 to July 2010 thru December 2010. Acquisition of leased and owned facilities by the Department of Environmental Protection, totaling \$2.1 million, slipped from February 2011 to March 2011. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$12.8 million, advanced from March thru June 2011 to July 2010 thru February 2011. Contracts for the remedial action at closed landfill, totaling \$12.0 million, advanced from June 2011 and Fiscal Year 2012 to September and November 2010. Installation of water measuring devices, City-wide, totaling \$19.8 million, advanced from June 2011 to July thru December 2010.

- Purchase of equipment for use by the Homeless, City-wide, totaling \$2.5 million, advanced from June 2011 to July, October and November 2010 and January and February 2011.
- City University improvements, City-wide, totaling \$6.2 million, advanced from June 2011 to July thru December 2010 and January and February 2011. Deregistration of contracts for Community College improvements, totaling \$76.2 million, occurred in February 2011.
- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for Queens's libraries, totaling \$10.9 million, slipped from July thru December 2010 to March 2011.
- Purchase of electronic data processing equipment, totaling \$34.8 million, advanced from June 2011 to July thru December 2010 and January and February 2011. Purchase of electronic data processing equipment for FISA, totaling \$4.7 million, advanced from June 2011 to July thru December 2010 and January and February 2011. Financing capital expenditures, totaling \$14.3 million, occurred in October and December 2010 and January 2011. Energy efficiency and sustainability, totaling \$9.6 million, advanced from April thru June 2011 to January and February 2011.
- Installation of traffic signals, City-wide, totaling \$27.0 million, advanced from June 2011 to September thru December 2010 and January and February 2011. Bus rapid transit, City-wide, totaling \$3.4 million, slipped from October and December 2010 and February 2011 to March 2011.

3. Variances in year-to-date commitments of non-City funds through February occurred in the Department of Education, the Department of Business Services, the Department of Parks and Recreation, the Department of Environmental Protection and the Department of Transportation.

Education - Five-Year Educational Capital Plan, totaling \$14.4 million, slipped from February 2011 to March 2011.

- Economic Development - Acquisition, site development, construction and reconstruction related to Economic Development, totaling \$73.1 million, slipped from January 2011 to March 2011. Brooklyn navy yard, totaling \$2.5 million, advanced from June 2011 to January 2011. Industrial and commercial development totaling \$9.2 million, advanced from June 2011 to February 2011. Various slippages and advances account for the remaining variance.

- Highways - Private portion for Highway projects City-wide, totaling \$14.8 million, slipped from December 2010 thru February 2011 to March 2011. Reconstruct all streets related to WTC and cleanup, City-wide, totaling \$4.8 million, slipped from September 2010 thru February 2011 to March 2011.

- Parks - Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$7.0 million, advanced from June 2011 to August 2010 thru February 2011.

- Water Pollution Control - Reconstruction of Water Pollution Control Plant Projects, totaling \$8.1 million, advanced from June 2011 to October 2010. Various slippages and advances account for the remaining variance.

- Others - Installation of traffic signals, totaling \$15.3 million, advanced from June 2011 to December 2010 thru February 2011.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: FEBRUARY	FISCAL YEAR: 2011	FISCAL YEAR
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	PLAN
TRANSIT	\$11.1 (C) 0.0 (N)	\$61.7 (C) 0.0 (N)	\$79.6 (C) 5.5 (N)
HIGHWAY AND STREETS	13.4 (C) 3.5 (N)	161.5 (C) 23.3 (N)	283.0 (C) 59.2 (N)
HIGHWAY BRIDGES	13.1 (C) 13.2 (N)	134.2 (C) 85.2 (N)	224.3 (C) 116.4 (N)
WATERWAY BRIDGES	11.0 (C) 5.0 (N)	112.6 (C) 61.1 (N)	214.8 (C) 115.5 (N)
WATER SUPPLY	12.1 (C) 0.0 (N)	75.3 (C) 0.0 (N)	170.8 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	65.0 (C) 0.0 (N)	842.6 (C) 0.0 (N)	1,093.3 (C) 22.5 (N)
SEWERS	10.6 (C) 0.2 (N)	120.6 (C) 1.1 (N)	209.0 (C) 13.0 (N)
WATER POLLUTION CONTROL	79.4 (C) 8.3 (N)	763.9 (C) 34.1 (N)	950.5 (C) 47.8 (N)
ECONOMIC DEVELOPMENT	8.4 (C) 4.3 (N)	151.4 (C) 41.5 (N)	242.6 (C) 63.4 (N)
EDUCATION	0.0 (C) 0.0 (N)	1,215.7 (C) 384.6 (N)	1,738.4 (C) 648.2 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: FEBRUARY	FISCAL YEAR: 2011	FISCAL YEAR
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	PLAN
CORRECTION	6.7 (C)	26.0 (C)	78.7 (C)
	0.0 (N)	0.0 (N)	0.0 (N)
SANITATION	10.9 (C)	256.0 (C)	321.7 (C)
	0.1 (N)	1.4 (N)	2.3 (N)
POLICE	9.9 (C)	114.6 (C)	257.0 (C)
	0.0 (N)	0.0 (N)	0.0 (N)
FIRE	7.7 (C)	75.1 (C)	84.5 (C)
	0.2 (N)	9.6 (N)	9.5 (N)
HOUSING	12.9 (C)	162.4 (C)	208.2 (C)
	2.2 (N)	27.9 (N)	59.8 (N)
HOSPITALS	3.4 (C)	109.2 (C)	121.5 (C)
	0.0 (N)	0.0 (N)	0.5 (N)
PUBLIC BUILDINGS	15.2 (C)	99.3 (C)	133.8 (C)
	0.0 (N)	0.0 (N)	0.1 (N)
PARKS	32.1 (C)	426.0 (C)	506.3 (C)
	0.7 (N)	14.3 (N)	45.9 (N)
ALL OTHER DEPARTMENTS	126.3 (C)	1,065.5 (C)	1,605.5 (C)
	9.5 (N)	86.0 (N)	133.6 (N)
TOTAL	\$449.2 (C)	\$5,973.5 (C)	\$8,523.5 (C)
	\$47.3 (N)	\$770.1 (N)	\$1,343.4 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

Report No. 6 & 6A

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: FEBRUARY
FISCAL YEAR 2011

	JUL	AUG	SEP	ACTUAL				FORECAST			12	ADJUST-	TOTAL		
				OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Months	MENTS	
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$3,122	\$110	\$980	\$413	\$57	\$3,842	\$2,304	\$99	\$913	\$400	\$26	\$2,510	\$14,776	\$2,071	\$16,847
OTHER TAXES	444	953	2,896	1,142	1,070	2,774	2,621	1,280	2,536	2,228	954	3,480	22,378	757	23,135
FEDERAL GRANTS	107	431	(77)	406	101	890	8	698	827	791	711	977	5,870	2,327	8,197
STATE GRANTS	361	67	840	189	522	992	53	284	3,458	96	1,658	1,176	9,696	1,869	11,565
OTHER CATEGORICAL	48	218	60	108	11	166	1	69	30	50	21	293	1,075	240	1,315
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	1	-	14	-	(5)	(10)	-	(1)	(1)
MISCELLANEOUS REVENUES	610	290	403	349	299	232	233	272	425	298	542	337	4,290	-	4,290
CAPITAL INTER-FUND TRANSFERS	-	-	56	38	33	103	37	43	89	31	14	24	468	91	559
SUBTOTAL	4,692	2,069	5,158	2,645	2,093	8,999	5,258	2,745	8,292	3,894	3,921	8,787	58,553	7,354	65,907
PRIOR															
OTHER TAXES	760	232	-	-	-	-	-	-	-	-	-	-	992	-	992
FEDERAL GRANTS	325	378	725	71	326	125	277	153	211	163	23	115	2,892	672	3,564
STATE GRANTS	19	498	534	289	116	(17)	318	3	62	229	82	240	2,373	1,728	4,101
OTHER CATEGORICAL	(3)	126	18	11	81	11	42	24	57	3	6	3	379	56	435
UNRESTRICTED	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
MISC. REVENUE/CAPITAL IFA	2	32	53	-	-	-	-	-	-	-	-	-	87	(87)	-
SUBTOTAL	1,103	1,266	1,330	371	523	119	637	180	330	395	111	358	6,723	2,369	9,092
CAPITAL															
CAPITAL TRANSFERS	605	1,251	1,029	1,017	554	619	796	906	599	881	804	958	10,019	(1,495)	8,524
FEDERAL AND STATE	3	4	68	19	366	10	42	148	46	22	44	571	1,343	-	1,343
OTHER															
SENIOR COLLEGES	288	2	1	143	256	1	-	1	722	25	5	700	2,144	(287)	1,857
HOLDING ACCT. & OTHER ADJ.	-	-	1	-	1	(4)	10	1	-	(9)	-	-	-	-	-
OTHER SOURCES	1	320	-	233	-	161	79	-	257	-	-	42	1,093	-	1,093
TOTAL INFLOWS	\$6,692	\$4,912	\$7,587	\$4,428	\$3,793	\$9,905	\$6,822	\$3,981	\$10,246	\$5,208	\$4,885	\$11,416	\$79,875	\$7,941	87,816
CASH OUTFLOWS															
CURRENT															
PS	\$1,436	\$1,971	\$2,659	\$3,333	\$2,712	\$2,353	\$3,209	\$2,752	\$2,762	\$3,338	\$2,687	\$4,267	\$33,479	\$2,913	\$36,392
OTPS	1,188	1,643	1,754	1,758	1,855	1,984	1,751	1,233	1,974	1,650	1,682	2,842	21,314	3,266	24,580
DEBT SERVICE	57	144	14	21	96	118	216	273	258	317	134	3,287	4,935	-	4,935
SUBTOTAL	2,681	3,758	4,427	5,112	4,663	4,455	5,176	4,258	4,994	5,305	4,503	10,396	59,728	6,179	65,907
PRIOR															
PS	1,784	780	33	9	30	4	41	7	92	40	50	30	2,900	815	3,715
OTPS	958	395	3	13	166	65	113	580	127	200	40	40	2,700	2,228	4,928
OTHER TAXES	82	179	-	-	-	-	-	-	-	-	-	-	261	-	261
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,093	1,093
SUBTOTAL	2,824	1,354	36	22	196	69	154	587	219	240	90	70	5,861	4,136	9,997
CAPITAL															
CITY DISBURSEMENTS	905	764	1,061	537	848	629	780	449	619	619	741	572	8,524	-	8,524
FEDERAL AND STATE	114	52	56	29	194	38	240	47	212	67	206	88	1,343	-	1,343
OTHER															
SENIOR COLLEGES	215	57	134	126	128	95	231	117	169	248	168	169	1,857	-	1,857
OTHER USES	-	-	62	-	191	-	-	840	-	-	-	-	1,093	-	1,093
TOTAL OUTFLOWS	\$6,739	\$5,985	\$5,776	\$5,826	\$6,220	\$5,286	\$6,581	\$6,298	\$6,213	\$6,479	\$5,708	\$11,295	\$78,406	\$10,315	\$88,721
NET CASH FLOW	(\$47)	(\$1,073)	\$1,811	(\$1,398)	(\$2,427)	\$4,619	\$241	(\$2,317)	\$4,033	(\$1,271)	(\$823)	\$121	\$1,469	(\$2,374)	(\$905)
BEGINNING BALANCE	\$4,659	\$4,612	\$3,539	\$5,350	\$3,952	\$1,525	\$6,144	\$6,385	\$4,068	\$8,101	\$6,830	\$6,007	\$4,659		
ENDING BALANCE	\$4,612	\$3,539	\$5,350	\$3,952	\$1,525	\$6,144	\$6,385	\$4,068	\$8,101	\$6,830	\$6,007	\$6,128	\$6,128		

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6A
(MILLIONS OF DOLLARS)

MONTH: FEBRUARY
FISCAL YEAR 2011

	ACTUAL								FORECAST				12 Months	ADJUST- MENTS	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN			
SENIOR COLLEGES															
SENIOR COLLEGES COST (OUTFLOW)	(215)	(57)	(134)	(126)	(128)	(95)	(231)	(117)	(169)	(248)	(168)	(169)	(1,857)	-	(1,857)
SENIOR COLLEGES INFLOW - CURRENT	-	-	-	-	-	-	-	-	560	25	5	700	1,290	567	1,857
SENIOR COLLEGES INFLOW - PRIOR	288	2	1	143	256	1	-	1	162	-	-	-	854	(854)	-
NET SENIOR COLLEGES	73	(55)	(133)	17	128	(94)	(231)	(116)	553	(223)	(163)	531	287	(287)	-
CAPITAL															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	200	1,050	300	675	1,070	1,525	913	1,275	241	400	-	784	8,433	-	8,433
(INC)/DEC RESTRICTED CASH	200	72	254	77	(666)	(1,056)	(217)	(469)	223	416	769	174	(223)	(1,495)	(1,718)
SUBTOTAL	400	1,122	554	752	404	469	696	806	464	816	769	958	8,210	(1,495)	6,715
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	150	250	250	-	-	-	-	-	-	-	-	650	-	650
(INC)/DEC RESTRICTED CASH	205	(21)	225	15	150	150	100	100	135	65	35	-	1,159	-	1,159
SUBTOTAL	205	129	475	265	150	150	100	100	135	65	35	-	1,809	-	1,809
TOTAL CITY CAPITAL TRANSFERS	605	1,251	1,029	1,017	554	619	796	906	599	881	804	958	10,019	(1,495)	8,524
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	3	4	68	19	98	10	42	134	46	22	44	571	1,061	282	1,343
PRIOR	-	-	-	-	268	-	-	14	-	-	-	-	282	(282)	-
TOTAL FEDERAL AND STATE INFLOWS	3	4	68	19	366	10	42	148	46	22	44	571	1,343	-	1,343
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(905)	(764)	(1,061)	(537)	(848)	(629)	(780)	(449)	(619)	(619)	(741)	(572)	(8,524)	-	(8,524)
FEDERAL AND STATE	(114)	(52)	(56)	(29)	(194)	(38)	(240)	(47)	(212)	(67)	(206)	(88)	(1,343)	-	(1,343)
TOTAL OUTFLOWS	(1,019)	(816)	(1,117)	(566)	(1,042)	(667)	(1,020)	(496)	(831)	(686)	(947)	(660)	(9,867)	-	(9,867)
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	(300)	487	(32)	480	(294)	(10)	16	457	(20)	262	63	386	1,495	(1,495)	-
NET NON-CITY CAPITAL	(111)	(48)	12	(10)	172	(28)	(198)	101	(166)	(45)	(162)	483	-	-	-
NET TOTAL CAPITAL	(411)	439	(20)	470	(122)	(38)	(182)	558	(186)	217	(99)	869	1,495	(1,495)	-

NOTES TO REPORT #6/6A

1. **Beginning Balance**

The July 2010 beginning balance is consistent with the FY 2010 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2011 ending balance includes deferred revenue from FY 2012 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds, NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.