

Proposed Consolidated Plan

Annual Performance Report 2012
Volume 1



NYCTM PLANNING
DEPARTMENT OF CITY PLANNING CITY OF NEW YORK

Effective as of June 7, 2013

Proposed Consolidated Plan

2012

Annual Performance Report

Volume 1



Michael R. Bloomberg

Mayor, City of New York

Amanda M. Burden, FAICP

Director, Department of City Planning

NYCPLANNING
DEPARTMENT OF CITY PLANNING CITY OF NEW YORK

Department of City Planning
22 Reade Street, New York, NY 10007-1216

nyc.gov/planning

DCP#13-02

Proposed 2012 Consolidated Plan Annual Performance Report (APR)

June 7, 2013

VOLUME 1

Table of Contents

Preface	i
Introduction	ii
Part I. <u>Annual Performance for the 2012 Consolidated Plan</u>	
A. Assessment of Entitlement Programs	I-1
1. Community Development Block Grant (CDBG)	I-4
Table of CDBG Program Expenditures	I-19
Table of CDBG Program Accomplishments	I-22
Financial Summary Chart for the CDBG	I-26
2. HOME Investment Partnerships (HOME)	I-30
Table of HOME Program Funding Allocations	I-36
Table of HOME Program Funding Commitments	I-37
Table of HOME Program Expenditures	I-38
HOME Match Report	I-40
3. Emergency Shelter Grant (ESG)	I-44
Table of ESG Program Expenditures	I-48
Table of ESG Program Accomplishments	I-49
4. Housing Opportunities for Persons with AIDS (HOPWA)	I-50
<i>Housing Opportunities for Persons with AIDS – Consolidated Plan Performance and Evaluation Report (HOPWA-CAPER)</i>	I-51
B. Other Funding Sources	I-115
Narrative for the Table of Other Funding Sources	I-115
Summary Table of Other Funding Sources (DCP Table #3)	I-122
C. Progress in Providing Affordable Housing	I-124
1. Households and Persons Assisted with Housing by Income Categories	I-124
Table 4: Households and Persons Assisted with Housing by Income by Tenure	I-128
2. Households and Persons Assisted with Housing by Race and Hispanic Ethnicity	I-129
Table 5: The Race and Hispanic Ethnicity of Households and Persons Assisted (Completions (Set ups)) with Department of Housing Preservation and Development-Administered Housing Programs Using HOME Program Funds.	I-130
Table 6: Race and Ethnicity of the Head of Household of Community Development-funded, Department of Housing Preservation and Development-administered, City-owned Housing Stock	I-131
Table 7: Race and Hispanic Ethnicity of Persons Assisted with ESG-Funded Housing Units	I-131

Table 8: Race and Hispanic Ethnicity of Persons Assisted with Federal Public Housing Units	I-132
Table 9: Race and Hispanic Ethnicity of the Population Receiving NYCHA Rental Certificates and Vouchers	I-133
Table 10: Race and Hispanic Ethnicity of the Head of Household Receiving HPD Rental Vouchers and Certificates	I-133

VOLUME 2

Table of Contents (Included in this volume for reference)

Part II. <u>Status of Actions Undertaken in Previous Year</u>	II
A. Continuum of Care	II-1
B. Relevant Public Policies	II-24
1. Barriers to Affordable Housing	II-24
2. New York City Housing Authority Resident Initiatives	II-28
3. Elimination and Treatment of Lead-Based Paint Hazards	II-40
4. Anti-Poverty Strategy	II-49
5. Institutional Structure	II-60
6. Government Coordination	II-60
1. Coordination within the Empowerment Zone	II-60
C. Anti-Displacement Activities	II-64
D. Assessment of HOME Minority Business Enterprises and Women Business Enterprise Outreach-Related Activities	II-66
E. Section 108 Loan Guarantee - Brownfields/Economic Development Initiative (B/EDI) Programs	II-68
F. Monitoring	II-69
Part III. <u>Evaluation of Annual Performance</u>	III-1
Part IV. <u>Citizen Participation</u>	
A. Geographic Distribution	IV-1
Maps of Community Development Eligible Census Tracts, by borough	IV-3
B. Grantee Certification	IV-8
1. Citizen Participation	IV-8
2. Certificates of Consistency	IV-9
3. Non-hinderance of the Consolidated Plan Implementation	IV-9

Credits

PREFACE

This 2012 Proposed Consolidated Plan Annual Performance Report (APR) reports on the City of New York's performance in using the funds from the four U.S. Department of Housing and Urban Development's (HUD) Office of Community Planning and Development Entitlement Programs: Community Development Block Grant (CDBG); HOME Investment Partnerships (HOME); Emergency Shelter Grant (ESG); and Housing Opportunities for Persons with AIDS (HOPWA). The performance is based on funds received, committed and accomplishments made as reported in the 2012 Consolidated Plan whose program year was from January 1, 2012 to December 31, 2012.

Federal regulations require the City to submit an APR to HUD no later than 90 days after the end of the program year. Therefore, this document was scheduled to be submitted to HUD on March 31, 2012 as per federal requirements. *However, as a result of the impacts of Hurricane Sandy the New York City housing development agencies responsible for the formulation of the Performance Report (Department of Housing Preservation and Development, the New York City Housing Authority, and the Department of City Planning) had to reallocate staff and resources to the formulation of the City's federally-funded Hurricane Sandy Disaster Recovery (DR) Grant Action Plan.*

The reallocation of resources necessitated the City of New York to request, and subsequently receive, from HUD a waiver to the federally mandated APR public review and submission deadlines. As a result of this extension, the City's 2012 Proposed Consolidated Plan Annual Performance Report will now be submitted by June 28, 2013.

This is fourteenth year the City of New York has used HUD's budgeting system called, the Integrated Disbursement and Information System (IDIS). As with the City's 1999 APR, the required elements of the 2012 APR come from the reports generated from IDIS.

The public comment period is from June 7, 2013 to June 21, 2013. A letter announcing this comment period was sent to over 2,000 New York City residents, organizations, and public officials. In addition, the public comment period was announced in three local citywide newspapers, one English-language, one Spanish-language and one Chinese-language.

Any questions on the content or substance of the Proposed 2012 Consolidated Plan APR should be directed to:

New York City Consolidated Plan Coordinator

Charles V. Sorrentino
Department of City Planning
(212) 720-3337

CDBG

John Leonard
Office of Management and Budget
(212) 788-6177

ESG

Alyson Zikmund
Department of Homeless Services
(212) 361-0575

HOME

Ted Gallagher
Housing Preservation and Development
(212) 863-8061

HOPWA

John Rojas
Department of Health and Mental Hygiene
(347) 396-7428

Written comments on the Proposed 2012 Consolidated Plan APR should be sent to Mr. Sorrentino at the Department of City Planning, 22 Reade Street 4N, New York, New York 10007.

Written comments may also be submitted via email to: 2012ConPlanAPR@planning.nyc.gov.

Comments received by the end of the comment period (close of business) regarding the City's use of these federal funds will be summarized and Agencies' responses incorporated into this document for submission to HUD.

INTRODUCTION

This Proposed 2012 Consolidated Plan Annual Performance Report (APR) reports on the performance of the four Entitlement Programs: Community Development Block Grant (CDBG); HOME Investment Partnerships (HOME); Emergency Shelter Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA). The activities described occurred from January 1, 2012 to December 31, 2012.

This Report is a five-part document which contains a summary of the programmatic accomplishments for projects and evaluates New York City's performance in meeting its priorities and objectives.

Volume One contains Part I, Annual Performance in its entirety. Part I describes New York City's performance based on the resources available in 2012. The volume also includes the HUD-mandated HOPWA-specific performance report: *Housing Opportunities for Persons with AIDS – Consolidated Annual Performance and Evaluation Report (HOPWA-CAPER)*.

Volume Two contains Part II. The Status of Actions Undertaken in Previous Year, which summarizes the actions taken to implement the Plan, including the City's review of its Continuum of Care. Parts III. Evaluation of Annual Performance; Part IV. Affirmatively Furthering Fair Housing (AFFH); and, Part V. Citizen Participation, are also contained within this volume, respectively.

The Evaluation of Annual Performance assesses the City's effectiveness in meeting the one-year action plan for allocating the funds, assisting households and persons with housing, and providing other services. The Affirmatively Furthering Fair Housing provides a one-year update to the City's activities and actions to promote fair housing choice. Citizen Participation includes the census tract maps of each borough which include the areas of directed assistance and other HUD requirements.

There are five volumes of appendices. Volume III., has been divided into four volumes totaling over 1,000 pages. These four volumes include only data on the Community Development Block Grant Program and contain the "offline" information on site-specific expenditures, accomplishments and site lists. This data was too voluminous to enter into the Integrated Disbursement and Information System's (IDIS) limited accomplishments fields. To request a copy of Volumes II., II.A., II.B., and II.C., please call (212) 788-6152.

The last volume, Volume III, includes only the IDIS reports. The IDIS reports included in this Appendix are: seven reports reporting data on each of the Entitlement Programs; two reports on ESG data only; and three reports on HOME data only. Volume III (parts A, B and C) is approximately 500 pages, and will be provided upon request. Please call 212-720-3337 for this volume.

PART I -- Annual Performance for the 2012 Consolidated Plan

Part I is divided into three sections: A. Assessment of Entitlement Programs; B. Other Funding Sources; and C. Progress in Providing Affordable Housing. These sections describe the City's allocation of the funds received from HUD, the State, private entities, and City capital and expense budgets to address the goals and objectives outlined in the 2010 five-year Consolidated Plan strategic plan. The programs designed to address the five-year Strategy are described in the 2012 Consolidated Plan Action Plan. There are approximately 70 housing, homeless, supportive housing, and community development programs. The City's intention is to provide decent housing, suitable living environments and expand economic opportunities for its residents. The funds allow for the provision of additional housing, homeless services, and supportive services to the inhabitants of New York and assists in meeting our goals to address the needs of the City's population, especially the low-income population.

A. Assessment of Entitlement Programs

This is the fourteenth year that the City is using HUD's Integrated Disbursement and Information System (IDIS) for reporting its performance for the CDBG, HOME, ESG, and HOPWA Programs. Since several IDIS reports are complicated and difficult for the general public to comprehend, the City has provided the essential information on each HUD Entitlement Program, in DCP Tables 1 - 11. Two tables which include the most essential variables are: 1) the Program Expenditures, and 2) Program Accomplishments Tables (a.k.a., DCP Tables # 1 and 2, respectively). These tables provide the information required by HUD. The IDIS reports required for public review are C04PR06 CP Annual Performance and Evaluation Report and C04PR23 Summary of Accomplishments. The reports are located in Volume III: HUD's Integrated Disbursement and Information System (IDIS) Reports. Volume III is approximately 200 pages, and will be provided upon request. Please call 212-720-3337 for this volume. A third report required for public review is the C04PR03 Summary of Activities. The Summary of Activities may be found in Volume II: CD Addenda. Please call (212) 788-6152 to obtain a copy. Only one copy of the Addenda and Appendices will be provided to each individual or organization.

It should be noted that at the end of 2009 HUD completed an upgrade to the IDIS reporting platform. However, as the result of system modifications, there were certain inconsistencies between the data entered by the formula entitlement grant administering agencies and the data presented on the various reports. Therefore, the reader is advised to interpret the data depicted in Volume III with caution. Furthermore, where necessary, the City of New York relied on its background data and not the data generated by the IDIS report.

This Volume, One, provides complete information on the City's 2012 performance with entitlement funds. This Assessment section defines the Entitlement funds received, allocated, committed and expended/drawn down/distributed and accomplishments for the 2012 Consolidated Plan year, January 1, 2012 to December 31, 2012. It includes: assessment of progress in fulfilling the vision of five-year strategy; adjustments in funding; accomplishments; and nature and reasons for changes to program objectives. The City has reviewed, as it does every year, the progress made with the entitlement funds to address the priorities and objectives stated in the five-year strategy as described in the 2010 Consolidated Plan.

In addition, included is the second requirement which is to describe the adjustments made in each program's funding. Each agency that administers an entitlement grant has described the program differences, if any, between the allocation as indicated in the 2012 Consolidated Plan, and the actual funding amounts committed, and any differences in how the funds were expended/drawn down/distributed. It should be noted the 2012 allocation for the proposed activities is based on the actual monies received by the respective formula grant programs for FFY2012. The actual programmatic allocations were incorporated into the amended 2012 Consolidated Plan. The amended plan was released in July 2012. The Program Expenditures Table (a.k.a., DCP Table # 1) lists all the expenditures by program.

For the Community Development Block Grant, HOME Investment Partnership, and Emergency Shelter Grant, each program's accomplishments is reported and the differences between the proposed, amended and the actual accomplishments were explained if the difference was greater than 25 percent. These proposed accomplishments, listed on Program Accomplishments Table (a.k.a., DCP Table #2), were reported in the Proposed 2012 Consolidated Plan and represent the City's estimation for the year, January 1, 2012 to December 31, 2012. The amended accomplishments reflect accomplishments funded by the actual HUD monies received for the respective formula grant programs for FFY2012. However, the accomplishments may have been completed with prior year funding, program income, accruals and disallowances.

In 2007, HUD released new annual performance reporting requirements for the Housing Opportunities for Persons with AIDS program which differed from the HUD Office of Community Planning and Development (CPD) annual performance reporting guidelines used in previous years to assess the provision of housing and supportive housing services to persons living with HIV/AIDS (PLWAs). The new reporting guidelines superseded DCP Tables 1 and 2 (Expenditures and Accomplishments, respectively) with a new HUD-defined accomplishment and expenditure chart, and required localities to assess their accomplishments based on HUD-defined client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care. In addition, the assessment for the HOPWA program differs from previous APRs in that the accompanying HOPWA narrative and charts aggregates the data for New York City and the HOPWA programs of the upstate EMSA Counties of Putnam, Rockland and Westchester. Therefore, the reader is advised to please interpret the data with caution.

Additional income, race, and ethnicity information on the accomplishments for all four entitlement programs can be found in Section C., Progress in Providing Affordable Housing. Lastly, the agencies described any reasons for substantial changes to the program objectives. The definition of a substantial change can be found in the citizen participation section of the 2012 Consolidated Plan. Such a change is defined by a change in any site or area from one borough to another; the deletion or addition of an activity; and the reduction of greater than 50% of any total activity category of funding.

HUD Performance Outcome Measurement System

For the 2012 Consolidated Plan Program Year the City of New York was required to use the U.S. Department of Housing and Urban Development's Performance Outcome Measurement System. The Performance Outcome Measurement System was developed to enable HUD to collect and aggregate standardized performance data on entitlement-funded activities from all entitlement grantees nationwide for use in reporting to Congress on the effectiveness of its formula entitlement programs in meeting the Department's strategic objectives.

The outcome performance measurement system includes objectives, outcome measures and performance indicators that describe the intended outputs of the various entitlement funded activities. Formula entitlement grantees were required to categorize their respective grant program's activities by three (3) federally-defined objectives: creating Suitable Living Environment; providing Decent Affordable Housing; and Creating Economic Opportunities which, combined with the three (3) performance outcome categories, Accessibility/Availability; Affordability; and Sustainability, created nine (9) performance measurement statements. In addition to determining their respective entitlement program's performance outcome measurement, localities were required to collect and enter into the HUD Integrated Disbursement and Information System (IDIS) accomplishment data according to eighteen (18) federally-defined Performance Indicator categories. Performance Indicator categories encompass housing construction and rehabilitation, public services and facilities, business/economic development, and homelessness prevention-related activities.

In the fall of 2006 the City of New York implemented HUD's Performance Outcome Measurement System in preparation for its 2007 Consolidated Program Year-funded activities. Beginning January 1, 2007 the City began collecting data on its programs according to the nine (9) federally-defined performance measurement statements and intended to report on Performance Indicator data in its Proposed 2007 Consolidated Plan Annual Performance Report for the 2007 Program Year's activities (the first full year of collecting Performance Indicator data). However, as mentioned previously there are various report regeneration inconsistencies within

the IDIS system. Therefore, until the IDIS reports with the necessary Performance Indicators accomplishment data become available, the City of New York has formulated its APR for the entire 2011/12 Consolidated Plan Program Year using the reporting requirements and format that were used to report on the City's 2006 Program Year.

2012 Formula Entitlement Program Expenditures by HUD Performance Outcome Objective Statement

As mentioned previously, HUD's Performance Outcome Measurement System required formula entitlement grantees to categorize their respective grant program's activities by three (3) federally-defined objectives: creating Suitable Living Environment; providing Decent Affordable Housing; and Creating Economic Opportunities which, combined with the three (3) performance outcome categories, Accessibility/Availability; Affordability; and Sustainability, created nine (9) performance measurement statements. The nine performance outcome measurement statements are:

- Accessibility for the purpose of providing Decent Affordable Housing
- Affordability for the purpose of providing Decent Affordable Housing
- Sustainability for the purpose of providing Decent Affordable Housing
- Accessibility for the purpose of creating Suitable Living Environments
- Affordability for the purpose of creating Suitable Living Environments
- Sustainability for the purpose of creating Suitable Living Environments
- Accessibility for the purpose of creating Economic Opportunities
- Affordability for the purpose of creating Economic Opportunities
- Sustainability for the purpose of creating Economic Opportunities

For New York City's 2010-2014 Consolidated Plan Five-Year Strategy Plan, the City organized its housing, homelessness, supportive housing and community development strategic objectives according the nine performance outcome measurement statements as per HUD requirements. The objectives are articulated in the 2010 Consolidated Plan, Volume 2, Part II., Five-Year Strategic Plan – Priorities and Actions, HUD Table 1C., Summary of Specific Objectives by Performance Outcome Measurement Code.

As mentioned above, the IDIS reports which report on Performance Indicator data have not been modified to display the outcome objectives/indicators mandated as a result of the performance measurement initiative. However, the following Performance Outcome Objective Statements for the respective formula entitlement programs were formulated by aggregating the respective programs' expenditures by their assigned Outcome Objective Statement.

2012 Formula Entitlement Program Expenditures by HUD Performance Outcome Objective Statement

Community Development Block Grant (CDBG)

- Four programs expended an accumulative total of approximately \$951,000 for the purpose of providing increased or new accessibility to decent affordable housing.
- Eight programs expended an accumulative total of approximately \$42,409,100 for the purpose of providing increased affordability for decent housing.
- Three programs funded for the full program year expended an accumulative total of approximately \$40,170,700 for the purpose of providing sustainability of decent affordable housing.
- Eleven programs expended an accumulative total of approximately \$21,700,500 for the purpose of creating/improving accessibility to suitable living environments. In addition, one program funded for half of the program year expended a total of \$374,999 for the purpose of creating/improving accessibility to suitable living environments.
- One program expended a total of approximately \$3,116,800 for the purpose of creating/improving affordability for suitable living environments.
- Six programs expended approximately \$8,428,200 for the purpose of creating/improving sustainability of suitable living environments.

- Three programs funded for the full program year expended approximately \$4,955,700 for the purpose of creating/improving accessibility to economic opportunity.
- Four programs expended an accumulative total of approximately \$53,969,800 to undertake CDBG-eligible housing and community development non-housing activities for which there is no appropriate HUD Performance Indicator or applicable HUD-defined outcome/objective statement.

HOME Investment Partnerships (HOME)

- Seven programs expended an accumulative total of approximately \$94,317,600 for the purpose of providing increased or new accessibility to decent affordable housing.
- Six programs expended an accumulative total of approximately \$38,240,900 for the purpose of providing increased affordability of decent housing.
- One program expended approximately \$13,788,100 for the purpose of increased sustainability of decent affordable housing.

Emergency Shelter Grant (ESG)

- Four programs expended an accumulative total of approximately \$7,925,600 for the purpose of creating/improving accessibility to suitable living environments.

Housing Opportunities for Persons with AIDS (HOPWA)

For the evaluation of New York City's Housing Opportunities for Persons with AIDS (HOPWA) grant-funded performance in 2012, please refer to Volume 1., Part I.A.4., HOPWA Consolidated Annual Performance and Evaluation Report (CAPER).

1. COMMUNITY DEVELOPMENT BLOCK GRANT (CD or CDBG)

The City's Consolidated Plan 2012 Year (calendar year) is the same as the Thirty-Eighth Community Development Year (CD 38). The City had projected in the 2012 Proposed Consolidated Plan that it would receive \$163,359,000 in FFY '12. To supplement the FFY '12 Entitlement, the City had projected that a total of \$71,168,000 would be available from program income and accruals. Thus, the City projected that a total of \$234,527,000 would be available for allocation to programs in 2012/CD 38. To satisfy HUD's APR reporting requirements, the City also projected that there would be \$911,000 available under the Neighborhood Housing Services (NHS) Revolving Loan Fund (which did not receive a 2012/CD 38 allocation). Therefore, the total 2012/CD 38 budget was projected to be \$235,438,000.

The actual FFY '12 CDBG Entitlement grant for New York City was \$149,703,000 (line 1, column B of the Summary Table of Funding Resources). A total of \$67,952,000 was actually available from program income (including \$691,000 in NHS funds) and accruals to supplement the '12 Entitlement. Thus, the total funds available in 2012/CD 38 were \$217,655,000.

The programs that are reported on in this document are only those that received an allocation in 2012 and relate to the objectives stated in the 2010 Consolidated Plan. Programs that are still spending their remaining funds from allocations made prior to 2012 can be found in the CD Addenda and Appendices. The CD Addenda also provides more detail on program accomplishments. The Addenda includes the "Activity Summary (GPR) for Grantee Number: 364436/0001", a HUD Integrated Disbursement Information System (IDIS) generated report. The Addenda also includes other "offline" information on site-specific expenditures and accomplishments that were too voluminous to enter into IDIS' limited accomplishments fields.

The Appendices also contain other "offline" HUD-required CD information. Volume II, Appendix A contains site addresses for the Emergency Repair Program; Litigation; Private Buildings Seal-Up; and Targeted Code Enforcement (partial list, continued in Volume II, Appendix B). Volume II, Appendix B contains site addresses for three HPD areas of responsibility: Targeted Code Enforcement (balance of sites); Division of Property

Management, and Property Disposition and Finance, formerly known as the Division of Alternative Management. Volume II, Appendix C contains site addresses for DSNY's Vacant Lot Clean-Up Program and DPR's Land Restoration Program and GreenThumb, CD eligibility determinations for census tracts linked to the addresses found in the first two Appendices for the Emergency Repair Program, Litigation, Private Buildings Seal-Up, Targeted Code Enforcement, Division of Property Management, Property Disposition and Finance, Neighborhood Vacant Lot Clean-Up, Land Restoration Program, and GreenThumb.

These volumes total to over 1,000 pages and will only be provided upon request. Please call (212) 788-6152 to obtain a copy of the Addenda or the Appendices. Only one copy of the Addenda and Appendices will be provided to each individual or organization.

The overall goal of the CD Program is that the cumulative actions of the various CD-funded activities will improve the overall conditions and quality of life in low- and moderate-income neighborhoods. Through property rehabilitation, historic preservation, vacant lot clean-up, and the provision of a variety of services to the residents of these areas, CD funds assist in the short- and long-term preservation and improvement of low- and moderate-income neighborhoods.

The 2012 Program Accomplishments Table, (see page I-22) includes the proposed, amended, and actual accomplishments for the CD-funded programs. Those programs that exceeded or failed to meet their proposed accomplishments by 25% or more are also discussed below. Additional information on the performance of the CD programs is contained in the CD Addenda and Appendices.

The CD 38/2012 Program Expenditure Table can be found on page I-19.

Summary of Specific Objectives by Performance Outcome Measurement Code

Following are the five-year CD program objectives, which were identified in the 2010 Consolidated Plan in Table 1C: "Summary of Specific Objectives by Performance Outcome Measurement Code". After each is an indication of how CD funds are currently used to meet those objectives and, if applicable, how those objectives have changed. Programs that have been added or deleted during 2012 are also discussed.

DH-1 Availability/Accessibility for the purpose of providing Decent Affordable Housing

Continue to promote long-term, community-based residential options with supporting services for the elderly who need help with daily living activities, housekeeping, self-care, social services and other assistance in order to continue to live independently in the community.

The New York City Housing Authority operates the Elderly Safe-at-Home and Senior Resident Advisor Programs. Elderly Safe-at-Home's trained paraprofessionals provide the elderly at three NYCHA developments in the Bronx with a variety of services including crime prevention education, crisis intervention, assistance with benefits and entitlements, referral to transportation services and escort to medical facilities. The program proposed that 2,496 people would be served in 2012. A total of 2,521 people were assisted. There were a total of 21,667 units of service provided in 2012.

The Senior Resident Advisor Program operates in 22 NYCHA developments citywide (12 are CD-funded) that are exclusively for elderly and disabled tenants. Advisors provide 24-hour care at selected live-in sites and 9-5 coverage at non-live-in sites. Advisors intervene in crisis situations and provide case management according to a plan devised by the program's Social Work Supervisor. The program estimated that 2,549 people would benefit. A total of 2,550 people were assisted in 2012. There were 27,081 units of service provided.

Continue to fund the removal of architectural barriers in rental dwellings and owner-occupied residences, thereby helping people with disabilities to remain in their homes and to maintain their independence.

The Mayor's Office for People with Disabilities operates Project Open House, which removes architectural barriers from the homes of New York City residents who have mobility impairments. The program collaborates with the Department of Housing Preservation and Development, using their contractors to complete the modifications. The program estimated that 10 rental units and 10 owner-occupied units would be modified. There was one owner-occupied site at which work was completed in 2012. Work consisted of the installation of a new wheelchair lift and new steel frame metal deck and platform with connection to the wheelchair lift. The proposed accomplishment number of 20 projects represented the number of applicants who had met the minimum requirements for the program. However, all of the sites would have needed significant funding to complete. Due to a more complex work scope required at the one project which was completed in 2012, no other projects were completed. MOPD proactively decided to re-focus the program's objectives by attempting to avoid jobs that would require significant funding and time to complete. Instead, MOPD will now concentrate on smaller jobs that will require less funding, which, in turn, will benefit as many of its constituents as possible.

DH-2 Affordability for the Purpose of Providing Decent Affordable Housing

Continue to educate builders, landlords, architects, and people with disabilities about fair housing issues as they relate to people with disabilities.

The Mayor's Office for People with Disabilities' Housing, Information and Education provides information to the public regarding housing accessibility. Information includes that related to housing discrimination, fair housing laws, barrier removal programs, and technical and legal guidance relating to the design and construction of accessible and affordable housing. The program estimated that 34,500 people would be assisted. There were 243,989 units of service in 2012: 1,224 letters were sent, there were 220,722 website hits; 21,997 instances of phone outreach; and 46 walk-in visits. In addition, MOPD hosted an annual Disability Mentoring Day, which paired over 700 disabled students and job-seekers with employers throughout the City as part of the effort to fight for increased employment opportunities for the disabled community.

Preserve and improve the existing supply of both occupied and vacant privately-owned affordable housing. Administer a variety of loan and grant programs through the Department of Housing Preservation and Development (HPD) to enable not-for-profit groups and qualified for-profit owners to rehabilitate and improve the existing supply of occupied and vacant privately-owned residential properties (including the reduction of potential hazards such as lead-based paint poisoning) for very low-, low- and moderate-income New Yorkers.

Pursue a special intervention strategy of education (including education on the City's Lead Paint law), investment, and enforcement for targeted projects that have been identified as in jeopardy of being abandoned.

As part of HPD's Anti-Abandonment efforts, CD and City Capital Budget funds are used under the 7A Program for renovations and for systems repair/replacement in buildings that have been abandoned by their owners. The goal is to ultimately sell the buildings to responsible owners. In 2012, rehabilitation was completed in 3 buildings with a total of 93 units and one project was underway. HPD had projected 79 units would be renovated with CD funds. Program income is generated when loans are repaid by buildings which can support such a repayment.

Maintain the stock of HPD-managed, City-owned buildings until they are ready to be transferred to the Division of Alternative Management Programs (now known as Property Disposition and Finance).

In Rem Property Management

CD funds are used by the Department of Housing Preservation and Development (HPD) to operate and maintain City-owned in rem (tax foreclosed) housing until it can be sold to responsible owners. In 1995, there were over 26,000 units in occupied buildings within HPD's Central Management inventory. The City's disposition efforts have substantially reduced the in rem occupied building inventory. As of 12/31/05, there were 999 units. By 12/31/12 disposition efforts had reduced the inventory to 34 occupied buildings with 176 units (78 occupied and 98 vacant). The CD-funded Superintendent Contract, Material and Management Procurement, Property

Management, Building Maintenance and Repair and Project Support Programs assist in providing for the operation and maintenance of the buildings in HPD's Central Management inventory.

Use CDBG funds to maintain and City Capital funds to rehabilitate and return the stock of City-owned buildings to a range of responsible private owners in order to improve living conditions in these buildings while maintaining affordability for very low-, low-, and moderate-income tenants. Continue, through HPD, to accelerate the sale of its in rem buildings to tenants, nonprofit organizations and selected for-profit owners.

To further the goal of selling City-owned residential (in rem) buildings to tenants and nonprofits, CD funds continue to be used by HPD to pay for fuel and utilities and minor repairs in buildings in the Division of Property Disposition and Finance inventory. As of 12/31/12, there were 244 buildings (197 City-owned and 47 privately-owned) with a total of 3,558 housing units in all of the Property Disposition and Finance programs. Of the 3,558 units, 3,116 were City-owned, (reflected in the Accomplishments Chart). Under the Tenant Interim Lease Program, there were 179 buildings and 2,819 units in the program as of 12/31/12. There were 0 TIL units in which rehabilitation was completed (with City Capital funds). There were 2 TIL buildings sold in 2012, which contained a total of 62 units.

Prevent displacement and reduce cost burdens for low- and moderate-income New Yorkers by finding ways to enable special needs populations, such as youth aging out of foster care and formerly homeless households, to afford to live in permanent housing.

The Housing Litigation Program was renamed Litigation in 2011. When landlords fail to correct code violations, HPD's Housing Litigation Division represents the City in housing code compliance actions instituted by HPD in the Housing Part of the Civil Court. In 2012, a total of 14,832 cases were litigated. They involved heat and hot water, tenant initiated actions, judgment enforcement, anti-harassment, and comprehensive cases. These cases impacted a total of 118,580 units, compared to the 221,215 units that were projected. The program fell short of its accomplishment projection because in 2012 the program changed its methodology for calculating the number of units impacted by its activities. Previously, all the units of a building would be counted regardless of the number of petitioners. Now the number of petitioners per case will determine the number of units impacted. Program income is generated from civil penalties assessed against property owners for violations of the State and City housing codes.

Under the HPD Emergency Shelters Program, HPD provides emergency relocation services to tenants displaced as a result of fires or vacate orders issued by the Department of Buildings, Fire Department, or HPD. In 2012, there were 527 families and 890 adults (1,417 households) that received relocation assistance. A total of 239 families and 327 adults (566 households) were later relocated to permanent housing.

DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing

Preserve and improve the existing supply of both occupied and vacant privately-owned affordable housing. Administer a variety of loan and grant programs through the Department of Housing Preservation and Development (HPD) to enable not-for-profit groups and qualified for-profit owners to rehabilitate and improve the existing supply of occupied and vacant privately-owned residential properties (including the reduction of potential hazards such as lead-based paint poisoning) for very low-, low- and moderate-income New Yorkers. Pursue a special intervention strategy of education (including education on the City's lead paint law) investment, and enforcement for targeted projects that have been identified as in jeopardy of being abandoned.

The Emergency Repair Program (ERP) works to ensure that immediately hazardous "Class C" violations are corrected by private landlords. When there is no voluntary compliance, CD-funded repairs are made by the Emergency Repair Bureau. HPD projected that 15,000 units would be repaired by HPD in 2012. The actual number was 11,916. In addition, HPD projected that there would be 2,500 lead jobs. There were 1,869 lead jobs completed in 2012. HPD also sealed 53 privately-owned buildings. Please note that in Calendar Year 2012 the program also spent \$1,252,322 in funds from the American Recovery and Reinvestment Act of 2009,

assisting an additional 4,150 units. The program generates Program Income when owners pay for work done by the City.

On 7/1/10, CD began paying for Rehabilitation Services within HPD's Asset Management Group. HPD works to ensure that the buildings that HPD had previously assisted continue to be operated and maintained in a sound financial and physical condition. HPD uses CD funds to conduct building inspections to determine which buildings require rehabilitation and prevent building distress and deterioration. In 2012, Building Inspectors performed 468 inspections on 468 properties.

The Alternative Enforcement Program is intended to alleviate the serious physical deterioration of the most distressed buildings in New York City by forcing the owner to make effective repairs (or the City will do so). The repairs are done in a more comprehensive fashion so that emergency conditions are alleviated and the underlying physical conditions related to the emergency housing code violations are addressed. Each year, 200 new buildings (containing an estimated total of 1,000 units) come into the program.

There are a total of 49 sites (affecting 242 housing units) being counted as completions in 2012. Of those 49 sites, work was completed and paid for in 2012 at 40 sites, affecting 187 housing units. There were also 9 sites that were completed in 2011 but paid for in 2012, affecting 55 units. Work must be completely paid to be counted as a completion.

At 23 sites, work was completed in 2012 but will be paid for in 2013. Program income is generated when owners pay for the cost of the work done by the City as well as for management fees.

The Neighborhood Housing Services Revolving Loan Fund (\$691,000 available during 2012; \$450,309 spent in 2012 – see chart below) provides owners of one- to four-family homes with low-interest rehabilitation loans. The revolving loan fund was established with prior years' CD allocations. The loan fund only consists of program income in the form of loan re-payments, interest from notes receivable, and interest from the financial institution in which the revolving loans were held. The program did not receive a new allocation in 2012. Work was completed at 29 sites (52 units). The work benefited 52 households; all 52 were low/mod.

Program Income Received in 2012:	\$92,918	
<u>NHS Cash Balance on 12/31/11:</u>	<u>+598,284</u>	
Total funds available for 2012 =	\$691,202	(rounded to \$691,000)
Admin./Misc.:	\$238,800	
Low/Mod Loans Closed:	\$211,509	
<u>Slums/Blight (Spot) Loans Closed</u>	<u>\$ 0</u>	
Total Funds Spent in 2012 =	\$450,309	

Implement an aggressive and targeted anti-drug effort in multi-unit residential buildings.

SL-1 Availability/Accessibility for the Purpose of Creating Sustainable Living Environments

Provide comprehensive community development services to community residents in low- and moderate-income areas through academic enhancement, recreational, cultural and substance abuse prevention programs.

The Department of Youth and Community Development operates 80 Beacon programs located throughout the City. CD funds 14 Beacon Schools serving low- and moderate-income areas year-round. In addition to the recreation activities offered, services include life skills, community building, academic enhancement, career awareness / school to work transition, and culture / art. The program projected that 16,800 people would be served but served 24,782 persons in 2012. Some of the Beacons sites participated in special pilot programs in 2012. The pilots focused on dance, basketball, civic engagement, or STEM (Science, Technology, Engineering, and Math) and allowed the programs to host special tournaments, which increased community participation.

Improve the quality of life for senior citizens through the rehabilitation of senior centers.

The Department for the Aging's (DFTA) Senior Center Improvements Program completed 11 projects and 3 were underway in 2012. DFTA had projected that 12 centers would be completed. The centers at which renovations were completed provided a total of 27,425 units of service. Please note that the number of persons served is significantly higher than in prior years because prior years' figures were based on the average daily attendance at each center. During 2012, DFTA requested that providers instead report the units of service provided, which includes the number of seniors that use each center on a regular basis and those that only attend special events. CD funds also pay for two positions at DFTA, an Architect and an Associate Space Analyst.

Assist mentally ill homeless persons in and around the Staten Island Ferry Terminal to obtain shelter or housing and treat them for psychiatric or substance abuse problems.

Through the Homeless Outreach and Housing Placement Services Program, Project Hospitality in Staten Island provides homeless outreach and housing placement services to homeless, mentally ill persons who may also have substance abuse/dependence problems. Services included the provision of food, showers, shelter, and counseling as well as referrals for housing, medical, drug and psychiatric treatment. A total of 957 persons were assisted, which resulted in 13,358 units of service. Homeless persons were referred to 792 placement settings including, but not limited to, 341 to drop-in center placement; 32 to substance abuse treatment programs; 48 to inpatient medical and psychiatric settings; and 230 to assessment shelters. There were 12 individuals placed in permanent housing and 38 were placed in Safe Havens.

Assist crime victims through counseling, document replacement, and emergency lock repair.

Safe Horizon provides an array of services to crime victims and their families to reduce the psychological, physical, and financial hardships associated with victimization. Services included the families contacted and referrals made under the Domestic Violence Prevention Program, persons assisted through the Domestic Violence and Crime Victims Hotline, and the court-based services for crime victims assisted at Criminal and Family Court in the Bronx and Brooklyn. In 2012, Safe Horizon provided 120,315 units of service to its clientele, which includes: 235 calls and letters under the Domestic Violence Prevention Program; 86,545 calls answered under the Domestic Violence Hotline; 14,221 calls answered under the Crime Victims Hotline; and 19,314 under the Court-Based Services for Crime Victims, which included 6,560 clients in the Bronx Criminal and Family Courts and 4,832 clients in the Brooklyn Criminal and Family Courts.

Help prevent discrimination based on race, creed, color, national origin, sex, marital status, age, disability, lawful occupation, sexual orientation, familial status, alienage, and citizenship status by enforcing the laws which prohibit such discrimination. Accomplish this goal through the investigation and prosecution of individual and systemic complaints.

The Commission on Human Rights' Law Enforcement Program is responsible for the enforcement of the laws prohibiting discrimination in employment, housing, and public accommodations on the basis of race, color, creed, age, national origin, alienage or citizenship status, gender, sexual orientation, disability, marital status, partnership status, status as a victim of domestic violence, whether children are, may be, or would be residing with a person; conviction or arrest record; lawful occupation; relationship or association, and retaliation. In 2012, 1,395 people sought assistance, 421 persons filed formal complaints, 421 investigations were initiated based on filed complaints, and 451 investigations were carried into 2012 from prior years. To reflect the number of persons served in 2012, the accomplishment number for this program (1,846) is the total of the following activities: 1,395 persons seeking to file a complaint plus 451 investigations carried over into 2012.

Strengthen neighborhoods by fostering positive inter-group relations among residents of diverse racial, ethnic and religious backgrounds.

The Neighborhood Human Rights Program uses CD funds to conduct bias prevention activities, community education and outreach, and intervention into discriminatory real estate practices to address quality of life issues that transcend racial, ethnic, religious, and social differences. Because it is not possible to track each of the individuals who may obtain services, the program uses the total number of "units of service" as its accomplishment indicator. In 2012, there were a total of 107,158 units of service: 70,607 through community education conferences, workshops and trainings; 10,532 in school and youth-based trainings, 13,753 were provided technical assistance; 5,075 in workshops and trainings on Fair Housing presentations, including Equal Access and mortgage/predatory lending; and 7,191 in Fair Housing technical assistance for groups and individuals (i.e. Housing Court tables, individual counseling). Please note that the actual accomplishments were significantly higher than the proposed due to a large increase in 1) the number of street fairs and events, which typically draw large crowds, and 2) the number of school and youth organizations that requested workshops, particularly the new cyberbullying curriculum that was developed by NHRP's Bias Prevention and Response component. Education on cyberbullying was mandated by the NYC Council as part of the City's Human Rights Law of 2011.

Shelter services should be used on an emergency, short-term basis hence, pursue a range of strategies that would reduce the average length of stay.

During 2012, CD funds were expended to house 108 families (which consisted of 358 individuals) without public assistance cases. Services included the provision of shelter as well as meals, money management, consumer awareness, food management, housekeeping, housing search, transportation, educational planning, job skills and child care.

Provide recreational services to low- and moderate-income people by funding staff to coordinate and manage programs at parks facilities.

CD funds are used to provide recreational services at several parks as an eligible public service under the CD regulations. The Prospect Park Administrator's Office in Brooklyn conducted a User's Survey in 2009 and estimated that 8,863,109 people visit the park each year. The Office issued 4,056 special event permits and 4,298 ball field permits in 2012. The park hosted events such as Celebrate Brooklyn, an arts series featuring music, dance, theater and film events (5,000 per show); the Brooklyn Pride Festival (10,000 visitors); a New Year's Eve fireworks display (20,000 people); the Halloween Haunted Walk and Carnival (10,000 visitors); the New York Road Runner's Brooklyn Half Marathon (10,000 participants); Prospect Park Track Club Turkey Trot, a Thanksgiving-themed run for families, well-skilled runners, walkers, and veterans (2,500 participants) and the Little League Opening Day Parade for all youth participating in Little League for the upcoming season (5,000 participants). The various programs offered by the Lefferts Historic House attracted 29,947 visitors. The Audubon Center served 73,985 individuals.

The Van Cortlandt/Pelham Bay Parks Special Administrators' Office coordinates special events, educational and environmental programming; capital projects oversight; natural areas and wildlife management; volunteer activities and community outreach. A User's Survey estimated that approximately 4 million people use the park each year. In 2012, more than 7,400 volunteers provided over 17,000 hours of community service in both parks. Van Cortlandt Park issued 359 special events permits while Pelham Bay Park issued 976 special events permits, a decrease of 58% and 15% respectively from 2011 processed permits. The Parks hosted 10 film shoots. The Parks hosted many events including the 12,000 cross-country runners who participated in the Manhattan College High School Invitational. Approximately 60,000 people swam at the Van Cortlandt Pool.

The Parks Department estimated that a total of 106,260 children would use the CD-funded Minipools. This was based on a very rough estimate of 140 swimmers per day in a 69-day season at 11 sites. The actual usage was 97,240 based on 130 swimmers per day at 11 CD-funded sites during a 68-day season. CD funds paid for 22 Filter Plant Operators, 17 Parks Enforcement Patrol Officers, 23 Lifeguards, and 7 Supervisors. In accordance with HUD's requirement to identify activities that serve a limited clientele not falling within one of the categories of presumed limited clientele low- and moderate-income benefit, the City determined that all

Minipools are eligible for CD funds due to their close proximity to NYCHA Public Housing developments. The Minipools determined to be CD-eligible are used primarily by NYCHA residents and the incomes of NYCHA residents are primarily CD-eligible.

Creating and maintaining neighborhood gardens.

GreenThumb licenses City-owned land to community groups for the establishment of community vegetable and flower gardens. These gardens often replace vacant, littered lots that were a blighting influence on the neighborhood. As of 12/31/12, GreenThumb has 548 Community Garden Projects. During the year, GreenThumb held the GrowTogether Conference which was attended by over 1,000 gardeners. The Harvest Fair was held at El Batey Boricano Community Garden in the Bronx and was co-hosted by the New York Botanical Garden's Bronx Green-up Program and the Department of Transportation's Water on the Go. GreenThumb organized 37 public workshops citywide, attended by 925 people. Average attendance at each workshop was 25 gardeners. It is estimated that 60,000 people benefited from the program in 2012.

SL-2 Affordability for the Purpose of Creating Suitable Living Environments

Support housing and economic development efforts by providing day care services so low- and moderate-income parents may secure employment.

CD funds pay for child care slots for children from low- and moderate-income families. In 2012 CD paid for 340 day care slots at 5 sites.

SL-3 Sustainability for the Purpose of Creating Suitable Living Environments

Continue to promote decent housing and suitable living environments for aging in place, and to help elderly retain their homes and maintain them adequately through a variety of programs that provide assistance with home maintenance, home repair and adaptive modification, and technical assistance regarding residents' rights and protections.

The Elderly Minor Home Repair Program provides for minor repairs to the homes of the elderly. Although minor in nature, these repairs are beyond the abilities of the elderly to complete themselves. Often the repair will help prevent a major problem from developing, which could be financially difficult for the elderly to carry out. In 2012, the Elderly Minor Home Repair Program completed 47,427 repairs in 2,405 homes.

Assist local arts organizations that primarily serve low- and moderate-income areas by providing targeted technical assistance to build capacity and better serve their respective communities.

The Community Arts Development Program (CADP) historically funded improvements in publicly-owned, nonprofit-owned, and privately-owned facilities. In 2008, CADP began a new Capacity Building component which uses consultants to assist arts organizations which serve low- and moderate-income individuals or areas to operate their organizations more efficiently. In 2012, 4 Capacity Building projects were completed and 10 were underway. The Capacity Building projects have proven to require more time to complete as the analysis of the organizations by the consultants is very intensive. Renovation grants are no longer awarded but funding is still in place for two existing electrical upgrade projects (within the same building). In 2012, CADP combined the projects in order to achieve cost efficiencies. The project is being managed by the Lower Manhattan Development Corporation (LMDC) since LMDC is funding part of Battery Dance Company's project. An RFP was issued to identify an electrical engineer who will design the electrical upgrade documents. Pending LMDC review and approval of a contractor, design work and construction is expected to be completed by June of 2013.

Promote the preservation of historic residential and non-residential buildings through grants for façade renovation.

The Landmarks Historic Preservation Grant Program provides grants for façade restoration of residential buildings and those owned or occupied (through a long-term lease) by nonprofit organizations. The 2012 Proposed Consolidated Plan indicated that one “commercial façade treatments” (nonprofit projects) and nine “owner-occupied units rehabilitated or improved” would be completed. Two nonprofit projects were completed and three homeowner projects were completed.

Treat blighted open space in low- and moderate-income areas by removing debris and greening.

The goal of the Bronx River Project is to clean the river and develop amenable facilities along its banks. Among its various activities, the program uses CD funds to issue a bilingual calendar, bi-weekly email newsletter and an annual newsletter, *The Current*, which is mailed to over 1,500 people. The Education Program promotes the river as an educational asset and consists of the following: The Bronx River Classroom which reaches schools with training, tools and other resources; the Bronx River Stewards Volunteer Monitoring Program is a corps of trained, committed volunteers who undertake weekly scientific monitoring of water quality at specific sites on the river; and the Public Education Program reaches people through presentations, canoe trips, slideshows, public events and informational tables. In 2012, the Education Program worked with 24 schools, 150 educators, and 1,400 students on River-related scientific activities. It trained and updated the skills and knowledge of water quality monitoring for over 30 trainees and apprentices from various organizations. The Ecological Restoration and Management Program works to protect, restore and manage the River’s ecology. A Conservation Crew that is recruited from the local community planted 1,700 trees, 400 shrubs and 900 other herbaceous plants and removed 32 blockages to reduce debris and improve habitat. The Bronx River Outreach program drew over 800 volunteers to Bronx River events and activities. In 2012 over 1,800 people paddled the Bronx River with the Bronx River Alliance. It is estimated that a total of 124,378 people participated in the Bronx River Project's outreach, education and restoration activities.

Improve neighborhood quality through the elimination of vacant blighted properties and the promotion of greater community involvement and investment through the provision of new homeownership opportunities and stimulation of concerned local businesses to perform housing management and rehabilitation functions.

Under HPD's Emergency Demolition Program, a total of 34 buildings were demolished in 2012. Although HPD projected that 75 slum and blight demolitions would occur, these demolitions are not planned and are conducted upon a determination of an emergency by the Buildings Department. An additional two buildings were demolished with American Recovery and Reinvestment Act of 2009 (ARRA) funds during 2012. The program generates program income when owners pay for work done by the City.

Help ensure the safety of school children by preventing or rectifying code violations in New York City public schools.

In 2012, the Department of Education used CD funds to complete work that prevented or corrected 130 violations in 113 City schools. Of those, 126 jobs (in 109 schools) were in schools that serve a low- and moderate-income population and 4 were to address slum and blighting conditions. The jobs performed at low- and moderate-income schools served 96,515 youth; an additional 3,225 students were served at schools at which slum and blight projects were completed. The actual accomplishment figures were lower than the projected because the Department performed larger, more costly projects in 2012.

Help prevent hunger by providing food to low- and moderate-income persons.

The Met Council Food Pantry was funded as of 7/1/12. CD funds pay for a food distribution program targeting low- and moderate income residents in New York City. Funds pay for Met Council staff, food, and delivery costs. The program estimated the projected accomplishments as people (168,000). The pantry distributed monthly food shipments to 14,000 households. In all, a total of 56,500 unduplicated households benefitted from the program (approximately 169,500 persons). The 169,500 persons has been entered into the accomplishments chart.

EO-1 Accessibility for the Purpose of Creating Economic Opportunities

Improve the employment and economic opportunities for low-skilled, low-income New Yorkers through the provision of literacy, educational or vocational services.

On July 1, 2006, CD funds were allocated to the Department of Youth and Community Development for the Adult Literacy Program. There are several components to this program.

In 2012, CD funds paid for 2,032 individuals to receive services through the Classroom Component of the Program: 6 organizations provided Adult Basic Education services and 14 organizations provided English for Speakers of Other Languages (ESOL) services.

CD funds were allocated for *We Are New York* (WANY), a low-cost approach to improving the English skills of the City's 1.8 million adults with low English proficiency. The project is comprised of four programs: a nine-part TV show that airs on several TV stations; study materials based on the TV show that are used in ESOL and ABE classrooms; a website www.nyc.gov/LearnEnglish with all material available to download; and a system of volunteer-led, community-based conservation groups. The program provided 331,062 units of service from this component, including 2,500 individuals served at the volunteer-led groups and 328,562 visits to the website where users downloaded over 173,577 program materials. Please note that the accomplishment figure of 331,062 is a combination of persons served (2,500) and units of service (328,562). As of 7/1/12, this program was no longer CD-funded.

CD funds were also allocated to *Re-entry Education Pilot Programs*, which served a total of 1,008 people. The Osborne Association used CD funds to provide employment skills and job placement services to 65 Rikers Island inmates to assist them in obtaining employment upon release. Future Now at Bronx Community College provided career counseling and workshops to 614 Rikers Island inmates, 249 of which visited Future Now following their release. Of those, 158 were placed in pre-GED classes, of which 35 obtained a GED. Additionally, 34 went on to enroll in a six-week college preparation class, 16 enrolled in college, and 19 enrolled in job training classes. The College Initiative and College and Community Fellowship provided incarcerated and recently incarcerated, low-income individuals with college preparation programs, mentoring, financial aid counseling, and application assistance. A total of 638 persons were assisted (355 through College Initiative and 283 through College and Community Fellowship). Additionally, 83 students participated in a summer on-campus college preparatory program and 22 individuals were trained as mentors. CD funds were also used to fund one position that works jointly with the CUNY Research Foundation, which oversees the pilot programs, and the NYC Department of Corrections, which operates the Rikers Island jail. The position helps to strengthen and coordinate linkages between adult education and vocational training programs on Rikers Island and community-based re-entry education programs.

The Adult Literacy Program had estimated that a total of 253,150 persons would benefit in 2012. That was the projection based on the video series being broadcast on NYC TV and the videos being available to libraries, City agency websites, classrooms and community centers for viewing. This also included 1,700 persons expected to be taught in a classroom setting and 1,450 individuals expected to be served through the pilot programs. The total number of persons assisted in 2012 was 334,102 (comprised of 2,032 persons assisted through the Classroom component, 331,062 units of service provided by the TV Program, and 1,008 served through the Pilot component).

Facilitate business creation, development, and growth; provide technical assistance; maximize entrepreneurial development services to vendors and other micro-enterprises by providing courses on business basics, creating alternative markets, and developing vacant storefronts.

CD funds pay for three components of the NYC Business Solutions Program. First, the Business Outreach Teams provide technical assistance to businesses in business planning, financing, government regulations and

licensing, business incentives, marketing, workforce development, government procurement, and real estate. CD funds pay for the assistance to businesses that serve low- and moderate-income areas. There were 0 new businesses and 1,404 existing businesses assisted by the Business Outreach Teams in 2012. The goal of the Vendor Market component is to provide spaces to former street vendors in which they can conduct business legitimately and transition into entrepreneurs. The program currently sanctions the operation of the Flatbush-Caton Market in Brooklyn. A total of 41 vendors were assisted in 2012. Therefore, a total of 1,445 existing businesses were served (1,404 + 41). The Business Basics component provides free courses in business planning, marketing, and computer applications to micro-entrepreneurs and small business owners at the NYC Business Solutions Centers citywide. A total of 3,203 people attended Business Basics training. SBS had projected that 7,000 new businesses, 3,500 existing businesses, and 2,400 persons would be served. The reason for the significant difference is that SBS had been providing information on all businesses served with all funding sources. In the future, SBS will only project accomplishments based on the CD-funded activities. Additionally, the City had projected in the 2012 Amended Consolidated Plan that one public facility (the Flatbush-Caton Market) would be rehabilitated with CD funds. However, the renovation was completed using non-CD funding.

Revitalize commercial streets via façade and security improvement of commercial businesses and through use of market studies, marketing assistance, architectural design, and development strategies.

Avenue NYC promotes the economic viability of neighborhood retail areas by funding local development corporations in low- and moderate-income areas who develop market strategies, development strategies, and architectural design. Twenty-one businesses received assistance through the Façade Improvement component of the Avenue NYC program. CD funds were budgeted for 47 local development/nonprofit organizations in 2012. There were 21 existing businesses assisted in 2012. These are businesses that had a CD-funded consultant assist them in designing a new building façade. The actual cost of the façade renovation is not paid for with CD funds. No new businesses were assisted directly.

N/A Not Applicable

Perform citywide comprehensive community development planning to help formulate long-term development and policy objectives for the City.

Under HPD Program Planning, HPD staff completed eight planning reviews. Under the ULURP/UDAAP actions, HPD conducted analysis on the following:

- Disposition of 130 new construction units through the HUD Section 208/811 Program;
- Disposition of 2,289 new construction units through NYC programs;
- Disposition of 10 gut rehabilitation units through NYC programs; and
- Disposition of 127 new units through the NYS Housing Trust Fund.

HPD's Division of Housing Policy Analysis and Statistical Research plans and conducts major housing-related research. The Division provides housing market data and analyses on the housing inventory, rental vacancy rate, housing and neighborhood conditions, rents and affordability, and household characteristics, including incomes. The Division publishes the Housing Vacancy Survey (HVS) tri-annually. Among the 2012 accomplishments were the following:

- The Division continued and completed its review for reasonableness and consistency of the draft 2011 HVS.
- The Division prepared draft and final tables and text for the report "Selected Initial Findings of the 2011 NYC HVS".
- The Division reviewed and provided comments to the Census Bureau on the draft technical documents and materials associated with the 2011 HVS.

- Provided data to the Director of External Affairs on citywide rental vacancy rates in 2002, 2005, and 2008 to support a grant proposal.
- Provided HVS data by sub-borough area on housing maintenance deficiencies, defects, and board-ups observed on the street for all occupied and renter-occupied units for use in assessing CD-eligible areas for the Targeted Code Enforcement program.
- The Division also prepared and administered the contract with the Rent Guidelines Board.

HPD's Anti-Abandonment Borough Offices are now called Neighborhood Preservation Offices, which identify and develop interventions for residential buildings at risk of abandonment. In 2012, the Division of Neighborhood Preservation reviewed 82,651 properties for the Tax Lien Sale. Of those, 721 were excluded for the Tax Lien Sale. The Division also counseled owners of 273 tax delinquent properties with potential Third Party Transfer Foreclosure Action. Thirty-four properties were removed from Third Party Transfer Foreclosure action by entering into tax repayment agreements with the Department of Finance. There were 69 building assessments conducted on properties where Third Party Transfer Actions were filed. In addition, 25 assessments were conducted of potentially distressed buildings citywide.

LPC Planning's activities include environmental reviews, and architectural, archaeological, and historical analysis. LPC also researches and plans for potential landmark districts. In 2012, the Commission designated a total of 29 individual buildings and 7 historic districts, for a total of 1,040 designated properties. A total of 678 building surveys were completed. The Archaeology Department reviewed 260 projects, which included 17 rezoning actions for the Department of City Planning and the Department of Housing Preservation and Development. Ninety-four percent of these reviews were completed within ten days. The Archaeology Department spent a significant amount of time on the following special planning project reviews: NYU Core Rezoning, Cornell Tech / NYC Project, the phased redevelopment of Governors Island, the Texas Eastern Transmission and Algonquin Gas Transmission pipeline extension project, and Hudson Square Rezoning. The Environmental Review Dept. coordinated 598 project reviews, a 40% increase since last year. Architectural and archaeological surveys totaled 1,239 lots and/or geo-referenced points.

CD pays for the support staff of the Rent Guidelines Board. This administrative and planning staff prepares research regarding the economic condition of the stabilized residential real estate industry, including operating and maintenance costs, the cost of financing, housing supply, and cost of living indices. The research staff produced the 2012 Price Index of Operating Costs (the Price Index of Operating Costs for rent stabilized apartment buildings was 2.8%, for hotels 3.7% and for lofts 3.7%); the 2012 Mortgage Survey (for 2011 lending the average interest rate for new multi-family mortgages declined 1.23percentage points from 5.82% to 4.59%); the 2012 Income and Affordability Study (housing affordability and tenant income: in 2011 NYC's economy grew by 3.2%, the City gained 74,700 jobs, and the unemployment rate was 9.0%); the 2012 Housing Supply Report (in 2011, the number of new housing units completed decreased 41.8% but 8,936 permits were issued for new dwelling units in NYC, an 32.8% increase from the previous year; and the Changes to the Rent Stabilized Housing Stock in New York City in 2011 Report (there was a net estimated loss of 6,096 rent stabilized units in 2011).

The Department of City Planning's Comprehensive Planning covers planning issues such as land use, economic, housing, infrastructure, waterfront and open space, and community facilities. In 2012, DCP engaged in 117 CD-funded planning studies. Of those, 23 were completed, 83 are active, 10 were deferred and 1 was terminated. The Department continued participation in the U.S. Department of Housing and Urban Development's Sustainable Communities Regional Planning Grant Program, advancing three studies under this grant: a coordinated neighborhood planning study in East New York, an evaluation of land use and transportation opportunities near Metro North stations in the Bronx, and several activities to advance citywide strategic planning efforts for building climate resilience. The Department advanced work on the East Midtown Rezoning, a proposal which seeks to protect and strengthen East Midtown as a premiere business address and key job center for the City and region. The Department also advanced a zoning text amendment that modifies parking requirements in Downtown Brooklyn to simplify regulations; encourage affordable and mixed-income

housing by eliminating parking requirements for affordable housing units; and provide more opportunities for use of existing parking spaces by residents, employees, and visitors. The Department also submitted supporting documentation challenging the Census's findings for southern Brooklyn and northwest Queens, under the Census Bureau's Count Question Resolution Program. Also completed were the "Citywide Statement of Needs for Fiscal Year 2014-2015" the "Community District Needs Statement for Fiscal Year 2013" and the "2012 Consolidated Plan".

DCP Information Technology supports the planning activities of the Department of City Planning and other City agencies. The Geographic Systems Section (GSS) is responsible for developing and maintaining specialized geographic data processing capabilities. In 2012, GSS released the Citywide Street Centerline (CSCL), produced Geosupport and Virtual Storage Access Method (VSAM), and the Linear Integrated Ordered Network (LION) for release 12A, 12B, and 12C. It released version 11.3 of the Geosupport System access software. Version 11.3 provides major enhancements and additional data elements and functionality to uses of Geosupport. The Database and Application Development (DAD) unit collects, processes, and provides land use housing, economic, and demographic data that are used for developing neighborhood and community development plans and major citywide tax revenue and economic studies. The unit launched the Interim Management Pre-Application Certification Tracking (imPACT) system which tracks the agency's work on all land use projects in the pre-certification review process. It produced the CDBG Appendices (text file data) to the 2011 Consolidated Plan Annual Performance Report and the Section 8 Appendices to the Comprehensive Housing Assistance Strategy. It also produces the bi-weekly Land Use Application Status Reports that are posted to the City's website. The City Planning Web team launched a new version of the NYC Census Tract Finder, a public-facing GIS application that provides easy access to U.S. Census Bureau population information for New York City. It also provided the public with various descriptions of new and recently adopted zoning proposals and studies. "BYTES of the BIG APPLE" is a line of software, data, and geographic base map files for New York City. CD program income was generated through the sale and license of these products. In 2012, CD-ROMS were distributed to 24 City agencies and purchased by 98 professional organizations. Please note that as of 7/1/2013, the "BYTES of the BIG APPLE" software will be made available to the public free of charge.

On July 1, 2005, CD began paying for the Scorecard Program, which evaluates the cleanliness of the City's streets. In 2012 the Department of Sanitation achieved a citywide percentage of acceptably clean streets of 95.4 and a percentage of acceptably clean sidewalks of 96.3. The 2011 percentages were 93.9 and 96.3, respectively. Scorecard inspectors rated the streets and sidewalks of the City's 59 community boards at least once per month. Whenever possible, a second rating was conducted.

Prevent discrimination in housing and promote expanded housing opportunities for racial and ethnic minorities. Provide fair housing counseling services to effectuate compliance in the public and private housing markets, conduct educational workshops about landlord/tenant rights, assist people with disabilities to find accessible housing, identify housing discrimination and provide assistance in determining eligibility or qualifications for tenancy and/or social service programs.

In 2012, the HPD Fair Housing Services Program provided fair housing counseling and educational services through an intergovernmental Memorandum of Understanding between HPD and the New York City Commission on Human Rights (CCHR). In 2012, staff from both HPD and CCHR focused on raising the awareness of building owners and project sponsors who receive financial assistance for HPD of their duty to comply with the Federal Fair Housing Act. HPD and CCHR staff collaborated to conduct two "Fair Housing in Practice" workshops attended by 48 representatives. Additionally, CCHR staff participated in 51 conferences, providing fair housing information to 585 business representatives. The nonprofits were no longer CD-funded in 2012. HPD staff will continue to be CD-funded.

Provide administrative and support services for planning, management, and citizen participation necessary to formulate, implement, and evaluate the City's Community Development Program.

Under CDBG Administration, people within the Office of Management and Budget, Department of City Planning, Landmarks Preservation Commission, and Mayor's Office for People with Disabilities perform functions related to the preparation of environmental reviews, the Consolidated Plan, monitoring of CDBG-funded programs, and liaison with HUD and other federal departments.

The positions funded under HPD Administration are responsible for the oversight of activities that cross two or more CD eligibility categories. For example, the Timekeeping Unit tracks and inputs timekeeping data for all HPD CD-funded employees. Units within the Division of Accounts Payable are responsible for receiving, reviewing and approving all contractor invoices submitted for payment for programs involving both City-owned (*in rem*) and privately-owned properties. Also under the Administration eligibility category, CD pays for some positions related to oversight of the HOME Program.

Housing preservation functions including educating owners in housing-related matters to maintain or restore buildings to a structurally sound and fiscally sound condition. The role of the consultants is to assess buildings to determine if they are distressed, develop and recommend remedial and intervention strategies to prevent owner abandonment, assist owners in improving their properties, and encourage owners to pay their taxes

As part of the Code Enforcement strategy, the Neighborhood Preservation Consultants provide the City with information on residential buildings at risk within CD-eligible areas. They assist HPD's Code Enforcement Unit in the implementation of their comprehensive plans to upgrade and preserve existing privately-owned stock, recommend intervention priorities based on community needs assessments, and coordinate service delivery to owners and tenants. As a result of their efforts, 57 voluntary repair agreements were signed by landlords. There were 18 CD-funded Neighborhood Preservation Consultants under contract in 2012.

01.1 Rehabilitate and return the stock of City-owned buildings to a range of responsible private owners in order to improve living conditions in these buildings while maintaining affordability for very low-, low- and moderate-income tenants. Continue, through HPD, to accelerate the sale of its in rem buildings to tenants, non-profit organizations and selected for-profit owners. This major initiative focuses on clusters of buildings in selected neighborhoods and packages both vacant and occupied properties.

The In Rem Maintenance and Repair Program's Project Support staff processed 92 Pre-qualification Applications for vendors wishing to be placed on the list of approved contractors for Open Market Orders; awarded 1,208 Open Market Orders for maintenance and construction services; conducted 696 monitoring inspections and 696 inspections for contractor payment requests; monitored fuel usage and completed 143 efficiency tests on heating plants and reviewed 145 invoices.

Other (N/I No Appropriate Indicator)

Treat blighted open space in low- and moderate-income areas by removing debris and greening.

The Land Restoration Program (LRP) treats large tracts of vacant, City-owned land by seeding, fertilizing, and mowing these properties. The program helps to improve the image of the surrounding neighborhoods, reduces mugger cover, and makes the property more presentable to the community and potential developers. In 2012, a total of 205.99 acres (rounded to 206 in the accomplishments chart) were initially cleaned, mowed, seeded, fertilized and weed pre-treated. LRP had estimated that 275 acres would be newly treated. The diversification of LRP's activities (LRP also assists the GreenThumb Program and assists other City agencies in open space projects) and the loss of several proposed sites to development resulted in a slight decrease in the number of lots treated. A total of 161.06 acres of tough ground received secondary treatment of seed, fertilizer and weed control. A total of 221.08 acres were mowed at least one time. LRP assisted the GreenThumb Program by cleaning and baiting 85 lots for rodents (4 visits to each site) and by making deliveries of topsoil, compost, fill, mulch and lumber to 219 garden sites (2,186 cubic yards of material). LRP also removed 100,500 square feet of snow and ice from the sidewalks in front of 11 gardens and 3 parks; distributed 150 rodent-proof trash cans that were purchased with non-CD funds to gardens and parks; and delivered trees to 52 school gardens to be planted

on Arbor Day. Additionally, LRP aided the Department of Parks and Recreation with Hurricane Sandy clean-up efforts following the October storm. In total, LRP assisted in cleaning trees from 27 streets, 17 parks, and 25 community gardens. Finally, the Land Restoration Program assisted with the creation of new GreenThumb gardens at 70 public schools throughout the City. Assistance included delivering soil and compost; grading the sites; installing and repairing surrounding fencing; and installing various garden structures. The Program also performed more substantial improvements at 36 other gardens and parks.

Restore sanitary conditions in vacant lots in CD-eligible areas through debris and bulk refuse removal.

The Neighborhood Vacant Lot Clean-Up Program cleans vacant lots to meet the City's Health Code Standards. Cleaning schedules keyed to the community board areas are based on health emergencies, preparatory cleaning for other CD-funded lot-related programs (GreenThumb, Land Restoration Program), and community board requests. The City had estimated that 3,900 lots would be cleaned. In 2012, there were a total of 4,056 lots cleaned: 3,281 in CD-eligible areas and 775 in non-CD-eligible areas (paid for with City Tax Levy funds).

In addition, 2,466.47 tons of debris were removed from CD-eligible areas. The program also cleaned public areas where illegal dumping had occurred. The program generates program income when private owners pay the City for cleaning their lots.

Protect, preserve and improve the existing sound housing, including City-owned (in rem) residential structures, privately-owned buildings in deteriorating neighborhoods, and conventional public housing, so that this housing can remain or become stable tax revenue-generating residential stock.

In an effort to preserve existing housing, CD pays for code enforcement efforts in deteriorating, low- and moderate-income areas. CD pays for the time 311 operators (at the Department of Information Technology and Telecommunication) spend on emergency housing complaints. A total of 409,679 emergency housing complaint calls were referred to HPD. A total of 3,099,478 minutes (51,658 hours) were spent on emergency housing complaint calls by 311 operators. Upon receiving a complaint, HPD will send out a Code Enforcement Housing Inspector to determine if a violation exists (a portion of whose time is also CD-funded). Unfortunately, there is no HUD Accomplishment Indicator that can accurately reflect the number of code violations that are eventually removed as a result of HPD's efforts. In 2012, there were 920,237 code inspections performed, a total of 410,840 violations were issued during inspection and 484,603 code violations were removed by inspection or through administrative removal. Program income is generated when owners pay fees related to registering their buildings with HPD. This program income is cost-allocated between CD and Tax Levy to reflect those owners who live within the CD targeted areas and those outside.

Section 3 Requirements: Community Development Block Grant Program

In 2012, a total of \$13,112,577.20 in Community Development Block Grant (CD) and American Recovery and Reinvestment Act of 2009-funded contracts were subject to the Section 3 requirements. Three businesses qualified as a Section 3 business. There were 7 new hires, of which 5 persons were Section 3 residents.

<u>New Hires/Category</u>	<u># of Section 3 Residents</u>
2 Professionals	1
1 Office/Clerical	1
4 Construction Trades	3

**CDBG
PROGAM EXPENDITURES**

DCP TABLE #1

Proj_Code	Program Name	HUD Code	Revised 2012 Allocation	Amount City Authorized	Amount City Expended/ Drawdown/ Disbursed
	COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)				
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing					
C-CHA-0039	ELDERLY SAFE-AT-HOME	05A	\$225,000	\$456,361	\$224,999
C-CHA-0041	SENIOR RESIDENT ADVISOR PROGRAM	05A	\$450,000	\$747,525	\$450,000
C-MAY-0047	PROJECT OPEN HOUSE FOR DISABLED PERSONS	14A,14B	\$232,000	\$370,727	\$145,799
C-MAY-0048	HOUSING INFORMATION AND EDUCATION	05B	\$128,000	\$259,007	\$130,255
DH-1 Subtotal			\$1,035,000	\$1,833,620	\$951,053
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing					
C-HPD-0085	7A PROGRAM	14B	\$1,377,000	\$4,376,918	\$1,093,918
C-HPD-0012	IN REM SUPERINTENDENT CONTRACT	19E	\$181,000	\$1,855,822	\$139,782
C-HPD-0013	IN REM MATERIAL MANAGEMENT AND PROCUREMENT	19E	\$545,000	\$1,868,085	\$99,857
C-HPD-0014	IN REM PROPERTY MANAGEMENT PROGRAM	19E	\$4,080,000	\$10,286,071	\$2,831,379
C-HPD-0090	IN REM BUILDING MAINTENANCE AND REPAIR PROGRAM	19E	\$1,021,000	\$4,446,170	\$907,792
C-HPD-0207	PROPERTY DISPOSITION AND FINANCE (FORMERLY IN REM ALTERNATIVE MANAGEMENT PROGRAM)	19E	\$17,590,000	\$30,626,784	\$16,269,237
C-HPD-0084	LITIGATION (FORMERLY HOUSING LITIGATION DIVISION)	15,19E	\$7,050,000	\$12,475,986	\$6,714,269
C-HPD-0198	HPD EMERGENCY SHELTERS	08	\$10,729,000	\$18,370,559	\$14,352,902
DH-2 Subtotal			\$42,573,000	\$84,306,395	\$42,409,136
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing					
C-HPD-0009	EMERGENCY REPAIR PROGRAM	06,14B,19E	\$42,608,000	\$49,380,408	\$32,388,161
C-HPD-0206	ALTERNATIVE ENFORCEMENT PROGRAM	14B	\$8,236,000	\$21,373,717	\$7,332,274
C-HPD-0000	NEIGHBORHOOD HOUSING SERVICES	14A,14B,21A	\$698,000	\$691,202	\$450,309
DH-3 Subtotal			\$51,542,000	\$71,445,327	\$40,170,744
SL-1 Availability/Accessibility for the Purpose of Creating Sustainable Living Environments					
C-DYCD-0142	BEACON SCHOOL PROGRAM	05	\$5,949,000	\$10,617,197	\$6,960,206
C-DFA-0183	DFTA SENIOR CENTER IMPROVEMENTS	03A	\$2,045,000	\$5,569,600	\$1,874,072
C-DHS-0046	HOMELESS OUTREACH AND HOUSING PLACEMENT SERVICES	05O	\$553,000	\$1,160,078	\$565,674
C-MOCJC-0037	SAFE HORIZON	05	\$3,430,000	\$4,921,393	\$1,301,333
C-CHR-0040	COMMISSION ON HUMAN RIGHTS LAW ENFORCEMENT PROGRAM	05	\$1,665,000	\$2,558,653	\$1,431,083
C-CHR-0051	COMMISSION ON HUMAN RIGHTS NEIGHBORHOOD HUMAN RIGHTS PROGRAM	05,20,21A,21D	\$3,747,000	\$3,604,976	\$3,461,562
C-DHS-0182	DHS HOMELESS SERVICES (FORMERLY DHS HOMELESS FAMILIES SERVICE)	05	\$3,773,000	\$3,773,000	\$3,772,500

**CDBG
PROGAM EXPENDITURES**

DCP TABLE #1

Proj_Code	Program Name	HUD Code	Revised 2012 Allocation	Amount City Authorized	Amount City Expended/ Drawdown/ Disbursed
C-DPR-0095	MINIPOOLS	05D	\$606,000	\$745,040	\$623,620
C-DPR-0032	PROSPECT PARK SPECIAL ADMINISTRATOR'S OFFICE	05	\$510,000	\$978,564	\$476,596
C-DPR-0033	VAN CORTLANDT/PELHAM BAY PARKS SPECIAL ADMINISTRATOR'S OFFICE	05	\$462,000	\$980,458	\$468,133
C-DPR-0053	GREENTHUMB	05	\$829,000	\$1,351,211	\$765,732
C-DYCD-0174	MET COUNCIL FOOD PANTRY	05	\$375,000	\$750,001	\$374,999
SL-1 Subtotal			\$23,944,000	\$37,010,171	\$22,075,510
SL-2 Affordability for the Purpose of Creating Suitable Living Environments					
C-ACS-0042	DAY CARE CENTER SERVICES	05L	\$3,128,000	\$4,763,280	\$3,116,779
SL-2 Subtotal			\$3,128,000	\$4,763,280	\$3,116,779
SL-3 Sustainability for the Purpose of Creating Suitable Living Environments					
C-DFA-0049	ELDERLY MINOR HOME REPAIR PROGRAM	05A	\$362,000	\$515,602	\$386,525
C-DCA-0079	COMMUNITY ARTS DEVELOPMENT PROGRAM	19C	\$220,000	\$914,272	\$307,085
C-LPC-0052	LANDMARKS HISTORIC PRESERVATION GRANT PROGRAM	16A,16B	\$145,000	\$548,888	\$107,808
C-DPR-0055	BRONX RIVER PROJECT	05	\$198,000	\$388,760	\$204,353
C-DOEd-0165	CODE VIOLATION REMOVAL IN SCHOOLS	03	\$4,750,000	\$4,750,017	\$3,269,664
C-HPD-0171	EMERGENCY DEMOLITION PROGRAM	04, 06, 19E	\$4,393,000	\$9,566,668	\$4,152,767
SL-3 Subtotal			\$10,068,000	\$16,684,207	\$8,428,202
EO-1 Accessibility for the Purpose of Creating Economic Opportunities					
C-VARIOUS-0204	ADULT LITERACY PROGRAM	05, 21B	\$2,043,000	\$4,204,578	\$2,111,599
C-SBS-0200	NYC BUSINESS SOLUTIONS CENTERS	03H,05H,18B,18C	\$867,000	\$2,042,437	\$474,187
C-SBS-0026	AVENUE NYC	18B,19C	\$2,035,000	\$4,714,668	\$2,369,900
EO-1 Subtotal			\$4,945,000	\$10,961,683	\$4,955,686
N/A Not Applicable					
C-DCP-0061	DCP INFORMATION TECHNOLOGY	20	\$3,047,000	\$4,885,501	\$2,944,077
C-DCP-0062	DCP COMPREHENSIVE PLANNING	20	\$12,758,000	\$14,869,444	\$11,624,113
C-HPD-0060	HPD HOUSING POLICY ANALYSIS AND STATISTICAL RESEARCH	20	\$4,414,000	\$8,556,293	\$2,692,089
C-HPD-0166	HPD PROGRAM PLANNING	20	\$2,319,000	\$4,710,850	\$1,856,900
C-HPD-0137	HPD NEIGHBORHOOD PRESERVATION OFFICES	20	\$4,809,000	\$9,506,100	\$4,637,181
C-LPC-0202	LPC PLANNING	20	\$533,000	\$867,843	\$530,919
C-HPD-0199	RENT GUIDELINES BOARD SUPPORT STAFF	20,21A, 21C	\$505,000	\$1,080,341	\$518,182
C-MAY-0203	SCORECARD PROGRAM	20	\$415,000	\$686,042	\$401,046
C-HPD-0024	HPD FAIR HOUSING SERVICES PROGRAM	21D	\$473,000	\$2,306,820	\$333,442
C-VARIOUS-0063	CDBG ADMINISTRATION	21A	\$2,326,000	\$3,994,957	\$2,181,663
C-HPD-0092	HPD ADMINISTRATION	21A	\$4,507,000	\$9,439,803	\$4,215,125

**CDBG
PROGRAM EXPENDITURES**

DCP TABLE #1

Proj_Code	Program Name	HUD Code	Revised 2012 Allocation	Amount City Authorized	Amount City Expended/ Drawdown/ Disbursed
C-HPD-0114	NEIGHBORHOOD PRESERVATION CONSULTANTS	18B	\$803,000	\$4,468,116	\$803,099
C-HPD-0015	IN REM BUILDING MAINTENANCE AND REPAIR PROJECT SUPPORT	19E	\$2,069,000	\$4,123,824	\$2,241,697
Subtotal				\$69,495,934	\$34,979,533
N/I Not Applicable-No Suitable Performance Indicator					
C-HPD-0209	REHABILITATION SERVICES	14H	\$735,000	\$1,748,680	\$1,152,487
C-DPR-0054	LAND RESTORATION PROGRAM	03E,05,06	\$539,000	\$1,140,102	\$596,980
C-DSNY-0031	NEIGHBORHOOD VACANT LOT CLEAN-UP	06	\$19,678,000	\$32,800,144	\$17,355,402
C-HPD-0010	TARGETED CODE ENFORCEMENT	15	\$34,321,000	\$47,701,882	\$34,864,897
Subtotal			\$55,273,000	\$83,390,808	\$53,969,766

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj_Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Amended Accomp_#	Actual Accomp_#
	COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)					
C-CHA-0039	ELDERLY SAFE-AT-HOME	05A	Total number of persons assisted with new/improved access to a service	2,496	2,547	2,521
C-CHA-0041	SENIOR RESIDENT ADVISOR PROGRAM	05A	Total number of persons assisted with new or continuing access to a service or benefit	2,549	2,561	2,550
C-MAY-0047	PROJECT OPEN HOUSE FOR DISABLED PERSONS	14A, 14B	Total rental units made accessible for persons with disabilities	10	0	0
C-MAY-0047			Total number owner-occupied units rehabilitated or improved	10	1	1
C-MAY-0048	HOUSING INFORMATION AND EDUCATION	05B	Total number of persons assisted with new/improved access to a service	34,500	34,500	243,989
C-HPD-0085	7A PROGRAM	14B	Total number of units brought from substandard to standard condition.	79	16	93
C-HPD-0012	IN REM SUPERINTENDENT CONTRACT	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory: occupied & vacant units)	241	188	176
C-HPD-0013	IN REM MATERIAL MANAGEMENT AND PROCUREMENT	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory: occupied & vacant units)	241	188	176
C-HPD-0014	IN REM PROPERTY MANAGEMENT PROGRAM	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory: occupied & vacant units)	241	188	176
C-HPD-0090	IN REM BUILDING MAINTENANCE AND REPAIR PROGRAM	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory: occupied & vacant units)	241	188	176
C-HPD-0207	PROPERTY DISPOSITION AND FINANCE (FORMERLY IN REM ALTERNATIVE MANAGEMENT PROGRAM)	19E	Estimated PDF occupied inventory 1-1-12	2,810		
C-HPD-0207			Actual PDF occupied inventory 7-1-12		3,003	3,116
C-HPD-0084	LITIGATION (FORMERLY HOUSING LITIGATION DIVISION)	15, 19E	Number of Households that received legal assistance to prevent homelessness (# of cases litigated).	221,215	131,000	118,580
C-HPD-0198	HPD EMERGENCY SHELTERS	08	Total number of persons given overnight shelter (# of households)	1,482	1,374	1,417
C-HPD-0009	EMERGENCY REPAIR PROGRAM	06, 14B, 14I, 19E	Total number of units brought from substandard to standard condition	15,000	15,000	11,916
C-HPD-0009			Total number of units brought into compliance with lead-safe housing rule	2,500	2,700	1,869

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj_Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Amended Accomp_#	Actual Accomp_#
C-HPD-0206	ALTERNATIVE ENFORCEMENT PROGRAM	14B	Total number of units brought from substandard to standard condition	1,000	2,000	242
C-HPD-0000	NEIGHBORHOOD HOUSING SERVICES	14A, 14B, 21A	Total number of owner-occupied units brought from substandard to standard condition	25	43	52
C-DYCD-0142	BEACON SCHOOL PROGRAM	05	Total number of persons assisted with new/improved access to a service	16,800	18,639	24,782
C-DFA-0183	DFTA SENIOR CENTER IMPROVEMENTS	03A	Total number of persons assisted with new/improved access to a facility	1,750	1,764	27,425
C-DFA-0183			Public Facilities	12	12	11
C-DHS-0046	HOMELESS OUTREACH AND HOUSING PLACEMENT SERVICES (DROP-IN CENTERS OUTREACH)	05O	Total number of persons assisted with new/improved access to a service	800	729	957
C-MOCJC-0037	SAFE HORIZON	05, 21B	Total number of persons assisted with new or continuing access to a service or benefit	125,000	120,000	120,315
C-CHR-0040	COMMISSION ON HUMAN RIGHTS LAW ENFORCEMENT PROGRAM	05	Total number of persons assisted with new/improved access to a service (Units of service: # of Investigations anticipated to be initiated in 2012 + # of investigations carried over from 2011)	1,800	1,800	1,846
C-CHR-0051	COMMISSION ON HUMAN RIGHTS NEIGHBORHOOD HUMAN RIGHTS PROGRAM	05, 20, 21D, 21A	Total number of persons assisted with new/improved access to a service (Units of service)	85,000	85,000	107,158
C-DHS-0182	DHS HOMELESS SERVICES (formerly DHS HOMELESS FAMILIES SERVICES)	05	Total number of Homeless persons given overnight shelter	480	346	358
C-DPR-0095	MINIPOOLS	05D	Total number of persons assisted with new/improved access to a service	106,260	106,260	97,240
C-DPR-0032	PROSPECT PARK SPECIAL ADMINISTRATOR'S OFFICE	05	Total number of persons assisted with new or continuing access to a service or benefit (Based on a user's survey)	8,863,109	8,863,109	8,863,109
C-DYCD-0174	MET COUNCIL FOOD PROGRAM	05	Total number of persons assisted with new/improved access to a service		168,000	169,500
C-DPR-0033	VAN CORTLANDT/PELHAM BAY PARKS SPECIAL ADMINISTRATORS' OFFICE	05	Total number of persons assisted with new or continuing access to a service or benefit (Based on a user's survey)	4,000,000	4,000,000	4,000,000

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj_Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Amended Accomp_#	Actual Accomp_#
C-DPR-0053	GREENTHUMB	05	Total number of persons assisted with new/improved access to a service	70,000	72,200	60,000
C-ACS-0042	DAY CARE CENTER SERVICES	05L	Total number of persons assisted with new/improved access to a service	388	370	340
C-DFA-0049	ELDERLY MINOR HOME REPAIR PROGRAM	05A	Total number of persons assisted with new/improved access to a service	1,900	2,200	2,405
C-DCA-0079	COMMUNITY ARTS DEVELOPMENT PROGRAM	19C	Organizations	12	5	4
C-LPC-0052	LANDMARKS HISTORIC PRESERVATION GRANT PROGRAM	16A, 16B	Total number of commercial façade treatments	1	0	2
C-LPC-0052			Total number of owner-occupied units rehabilitated or improved	9	8	3
C-DPR-0055	BRONX RIVER PROJECT	05	Total number of persons assisted with new improved access to a service. (Units of Service)	133,000	124,500	124,378
C-DOEd-0165	CODE VIOLATION REMOVAL IN SCHOOLS	03	Total number of persons assisted with new/improved access to a facility	371,719	129,176	96,515
C-DOEd-0165			Public Facilities	266	155	113
C-DOEd-0165			No Suitable HUD Indicator (Number of Code Violations Removed)	361	191	130
C-HPD-0171	EMERGENCY DEMOLITION PROGRAM	04, 06, 19E	Total # of slum and blight demolitions	75	40	34
C-VARIOUS-0204	ADULT LITERACY PROGRAM	05, 21B	Total number of persons assisted with new/improved access to a service	253,150	253,800	334,102
C-SBS-0200	NYC BUSINESS SOLUTIONS CENTER	05H, 18B, 18C	Total number of persons assisted with new/improved access to a service via Business Basics training	2,400	3,000	3,203
C-SBS-0200			Total existing businesses assisted	3,500	3,400	1445
C-SBS-0200			Total new businesses assisted	7,000	6,800	0
			Public Facilities		1	0
C-SBS-0026	AVENUE NYC	18B, 19C	Total existing businesses assisted	17	26	21
C-SBS-0026			Total new businesses assisted	0	0	0
C-DCP-0061	DCP INFORMATION TECHNOLOGY	20	Not Applicable			
C-DCP-0062	DCP COMPREHENSIVE PLANNING	20	Not Applicable			
C-HPD-0060	HPD HOUSING POLICY ANALYSIS AND STATISTICAL RESEARCH	20	Not Applicable			
C-HPD-0166	HPD PROGRAM PLANNING	20	Not Applicable			
C-HPD-0137	HPD NEIGHBORHOOD PRESERVATION OFFICES	20	Not Applicable			

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj_Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Amended Accomp_#	Actual Accomp_#
C-LPC-0202	LPC PLANNING	20	Not Applicable			
C-HPD-0199	RENT GUIDELINES BOARD SUPPORT STAFF	20, 21A, 21C	Not Applicable			
C-MAY-0203	SCORECARD PROGRAM	20	Not Applicable			
C-HPD-0024	HPD FAIR HOUSING SERVICES	21D	Not Applicable			
C-VARIOUS-0063	CDBG ADMINISTRATION	21A	Not Applicable			
C-HPD-0092	HPD ADMINISTRATION	21A	Not Applicable			
C-HPD-0114	NEIGHBORHOOD PRESERVATION CONSULTANTS	18B	Not Applicable			
C-HPD-0015	IN REM BUILDING MAINTENANCE AND REPAIR PROJECT SUPPORT	19E	Not Applicable			
C-HPD-0209	REHABILITATION SERVICES	14H	Number of physical inspections performed	450	450	468
C-DPR-0054	LAND RESTORATION PROGRAM	03E, 05, 06	No appropriate HUD Indicator (Number of Acres Treated)	275	275	206
C-DOS-0031	NEIGHBORHOOD VACANT LOT CLEAN-UP	06	No Suitable HUD Indicator (Number of Vacant Lots Cleaned)	3,900	3,700	3,281
C-HPD-0010	TARGETED CODE ENFORCEMENT	15	No Suitable HUD Indicator (Number of Code Violations Removed)	500,000	550,000	484,603

Financial Summary Chart for the Community Development Block Grant Program

On the pages that follow is the CDBG Financial Summary Chart. This chart reflects available funds and expenditures for the period 01/01/12- 12/31/12. In addition to the CD entitlement received for 2012, revenues also are generated through program income.

Part III: 70% of CD Program funds must benefit low- and moderate-income (low/mod) persons. For calendar year 2012, the percent benefit to low/mod persons is 90.77.

Part IV: 70% of CD Program funds must benefit low- and moderate-income (low/mod) persons. The City has chosen to be evaluated for a three-year period (2011, 2012, and 2013) and has just completed the second year. The percent benefit to low/mod persons is 90.69.

Part V: The Public Service cap is calculated by dividing the sum of the Public Service expenditures and the Public Service unliquidated obligations by the sum of the current year's grant and the prior year's program income. The Public Service cap is 15%. In calendar year 2012, the Public Service percentage is 12.91%.

Part VI: No more than 20% of the CD Entitlement plus program income received in the current year may be expended for planning and administration activities. In 2012, 17.18% of CD funds was expended for these activities.

**FINANCIAL SUMMARY
ANNUAL PERFORMANCE REPORT
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

Name of Grantee: City of New York
Grant Number: B12MC360104
Reporting Period: 01/01/12 - 12/31/12

NOTE: This Financial Summary Report represents calendar year 2012 fiscal data.

PART I: Summary of CDBG Resources

1. Unexpended CDBG funds at end of previous reporting period		182,363,813.00
2. Entitlement Grant from form HUD-7082		149,703,245.00
3. Surplus Urban Renewal Funds		0.00
4. Section 108 Guaranteed Loan Funds (Principal Amount)		0.00
5. Program Income received by		
	Grantee	Subrecipient
	(column A)	(column B)
a. Revolving Funds - NHS	92,918.00	0.00
b. Other (identify below)	0.00	46,675,868.00
Total Program Income (sum of columns A and B)		46,768,786.00
6. Prior period adjustments (if negative, enclose in brackets)		0.00
7. Total CDBG funds available for use during this reporting period		378,835,844.00

PART II: Summary of CDBG Expenditures

8. Total expenditures reported *		211,097,523.00
9. Total expended for Planning & Administration	33,756,878.00	
10. Amount subject to Low/Mod Benefit calculation	177,340,645.00	
11. CDBG funds used for Section 108 principal & interest payments		239,184.00
12. Total expenditures (line 8 plus line 11)		211,336,707.00
13. Unexpended balance (line 7 minus line 12)		167,499,137.00

PART III: Low/Mod credit this Reporting Period

14. Total Low/Mod credit for multi-unit housing expenditures	94,905,401.00
15. Total from all other activities qualifying as Low/Mod expenditures	66,070,577.00
16. Total (line 14 plus line 15)	160,975,978.00
17. Percent benefit to Low/Mod persons (line 16 divided by line 10 this reporting period)	90.77%

PART IV: Low/Mod Benefit for Multi-Year Certifications (Complete only if certification period includes prior years)

Program Years (PY) covered in certification PY '11 PY '12 PY '13

18. Cumulative net expenditures subject to program benefit calculation	367,236,337.00
19. Cumulative expenditures benefiting Low/Mod persons	333,029,392.00
20. Percent benefit to Low/Mod persons (line 19 divided by line 18)	90.69%

PART V: For Public Services Activities only: Public Services Cap Calculation

21. Total Public Service expenditures	25,395,306.00
22. Total Public Service unliquidated obligations	4,639,923.00
23. Sum of line 21 and line 22	30,035,229.00
24. Total Public Service unliquidated obligations reported at the end of the previous reporting period	3,984,678.00
25. Net obligations for public services (line 23 minus line 24)	26,050,551.00
26. Amount of Program Income received in the preceding program year	52,089,586.00
27. Entitlement Grant amount (from line 2)	149,703,245.00
28. Sum of lines 26 and 27	201,792,831.00
29. Percent funds obligated for Public Service Activities (line 25 divided by line 28)	12.91%

* Includes Neighborhood Housing Services (NHS) program.

**FINANCIAL SUMMARY
ANNUAL PERFORMANCE REPORT
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

Name of Grantee: City of New York
Grant Number: B12MC360104
Reporting Period: 01/01/12 - 12/31/12

PART VI: Planning and Program Administration Cap Calculation

30. Amount subject to planning and administration cap (grant amount from line 2 plus line 5)	196,472,031.00
31. Amount expended for planning and administration (from line 9 above)	33,756,878.00
32. Percent funds expended (line 31 divided by line 30)	17.18%

PROGRAM INCOME NARRATIVE

Due to limitations inherent in the IDIS software, a listing of Program Income sources generated by prior years' completed CD programs follows the Financial Summary as Chart A.

Line 5b:

Other Program Income			
Project ID #	Description	Grantee	Subrecipient
207	Tenant Interim Lease	1,022,053.00	0.00
171	Emergency Demolition Program	3,257,001.00	0.00
85	7A Administration	1,617,880.00	0.00
9	Emergency Repair Program	19,377,608.00	0.00
31	Vacant Lot Clean Up	507,790.00	0.00
84	Housing Litigation Division	3,232,634.00	0.00
61	Information Technology	93,072.00	0.00
206	Alternative Enforcement: Repairs	3,467,088.00	0.00
206	Alternative Enforcement: Fees	743,914.00	0.00
9	Emergency Repair Program II	5,988,871.00	0.00
171	Emergency Demolition Program II	648,890.00	0.00
10	CD Multiple Dwelling & Copy Fees	1,346,464.00	0.00
10	CD Dismissal Request	1,416,760.00	0.00
10	Heat & Hot Water Violations	23,750.00	0.00
79	Civil Penalties	260,775.00	0.00
10	Heat & Hot Water Fees	2,149.00	0.00
-	Completed CD programs' revenue streams.	3,669,169.00	0.00
TOTAL:		46,675,868.00	0.00

Line 6: PRIOR PERIOD ADJUSTMENT NARRATIVE

RECONCILIATION OF LINE (S) OF CREDIT (LOC) AND CASH BALANCES TO UNEXPENDED BALANCE OF CDBG FUNDS SHOWN ON THE APR:

Complete the following worksheet and submit with the attachment:

UNEXPENDED BALANCE SHOWN ON FINANCIAL SUMMARY (line 13): **167,499,137.00**

ADD:

LOC balance (s) as of APR date	167,258,244.00
Cash on Hand:	
Grantee Program Account	0.00
Subrecipients Program Accounts	0.00
Revolving Fund Cash Balances	240,893.00
Section 108 Accounts (in contract)	0.00

SUBTRACT:

Grantee CDBG Program Liabilities (include any reimbursements due to the Grantee from program funds)	(0.00) *
Subrecipient CDBG Program Liabilities (Same instructions as above)	(0.00) *

TOTAL RECONCILING BALANCE: **167,499,137.00**

UNRECONCILED DIFFERENCE: **0.00**

* When grantees or subrecipients operate their programs on a reimbursement basis, any amounts due to the grantees or subrecipients should be included in the Program Liabilities.

**ANNUAL PERFORMANCE REPORT
 CD YEAR 38 REVENUE SUMMARY
 (Reporting Period: 01/01/12 - 12/31/12)
 COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

SOURCE AGENCY	REVENUE SOURCE DESCRIPTION	REVENUE AMOUNT
----------------------	-----------------------------------	-----------------------

CHART A

HPD	Sweat Equity	65,058
HPD	Participation Loan	82,746
HPD	Private Ownership Management	258,299
HPD	SRO Rehab Loan	126,670
HPD	Federal Urban Renewal Leases and Rents	1,601,012
Various	Program Income Adjustments	266,857
EDC	EDC Community Development Revenue	14,322
HPD	HPD Federal CD Miscellaneous Revenue	1,254,205
PROGRAM INCOME - COMPLETED PROGRAMS TOTAL:		3,669,169

2. HOME INVESTMENT PARTNERSHIP PROGRAM (HOME)

The New York City Department of Housing Preservation and Development has continued to use its limited resources to fulfill the long-range strategies that were laid out in the FFY 2012 Consolidated Plan. Actual accomplishments for some of the individual programs vary from the proposed accomplishments due to use of HOME funds for long-term construction and rehabilitation purposes. Please refer to the note at the end of this article for a complete discussion explaining the basis for substantial variances between proposed and actual accomplishments.

The City's 2012 HOME award was \$60,338,441 for HOME; it was received on April 30, 2012. It was projected that the majority of the 2012 grant would be targeted to substantial and moderate rehabilitation activities designed to benefit a range of small and large households, homeless families and individuals and elderly families. In addition, some of the 2012 HOME grant may be used toward acquisition, new construction, rental assistance, or down payment assistance. The funds must be committed to projects by June 30, 2014. The City's schedule to commit projects with these funds has not been finalized.

During 2012, after allowing for a 10% administrative cost allowance on the HOME grant, the City committed \$ 54,030,650 of HOME funds for a variety of affordable housing projects. These funds include HOME monies granted in prior years but committed in HUD's Cash Management Information System (CMI) and in HUD's Integrated Disbursement and Information System (IDIS) between January 1, 2012 and December 31, 2012. The funds committed during this time were from 2009, 2010 and 2011 HOME awards. Therefore, the funds committed discussed in this section do not correspond directly to the amounts received from HUD as listed in the Program Expenditures Table (DCP Table # 1). The required IDIS HOME tables are provided in Volume III: C04PR27 Status of HOME Grant; C04PR22 Status of HOME Activities; and C04PR25 Status of CHDO funds. Volume III is over 200 pages in length, and therefore will only be provided upon request. To request a copy, please call (212) 720-3337.

In the Program Accomplishments Table, the column entitled "Proposed Accomplishment Number" was taken from the 2012 Consolidated Plan, which the City published prior to the time the City actually received its 2012 HOME grant. This figure represents the City's original estimate as to the total number of households that will be assisted over time using 2012 HOME funds. The column entitled "Actual Accomplishment Number" indicates the total number of households being assisted in projects that actually committed HOME funds during calendar year 2012. A fuller explanation as to why this methodology was used is at the end of this HOME Section.

The matching requirements for the HOME grant were reduced for many localities in an effort to ease the financial burden on jurisdictions already strapped for funds. As with the City's past HOME allocations, 2012 HOME funds must be matched by non-federal resources (for example, cash, value of waived taxes, value of land, cost of infrastructure improvements) based on the type of activity undertaken. For every federal dollar, 0.125 non-federal dollars must be spent.

It is anticipated that 2012 HOME program dollars will be combined with City capital funds in several HPD programs. These programs are described in detail in the 2012 Consolidated Plan. It is important to note that at least 15% of HOME funds must be reserved for housing to be developed, sponsored, or owned by community housing development organizations (CHDOs). However, given the City's long and extensive history of involvement with not-for-profit organizations in the production, ownership and management for affordable housing, it is again likely that more than the 15% minimum of the HOME awards will be used for projects involving CHDOs. In addition, 10% of HOME funds are set aside for eligible administrative expenses, as is reflected in the HOME/CMI Table and on the HOME Program Expenditure Table.

In the 2010 Consolidated Plan: Five-Year Strategy, the City of New York's agencies that address housing issues identified several housing-related program objectives. Below is an explanation of how HPD has used its resources (federal, state, city, and private funds) to meet those broad objectives.

In all of the City-administered HOME-funded programs that benefited newly assisted households in 2012, the City assisted 2,383 housing units, which is substantially more than its overall goal of benefiting 921 housing units. Nevertheless, variances arise between planned and actual start dates for housing projects. Also, planning of projects is tied to estimations of the dates that projects will be ready for commitment in IDIS, and these estimations are not readily predictable in advance of the program year, when estimations are made. Consequently, on a program-by-program basis, variances between planned and actual goals are explained under the objectives headings in the following article.

Objectives:

DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing

The Supportive Housing Loan Program actually committed \$18,837,038 in 2012, somewhat less than the \$22,020,377 planned, for the acquisition and rehabilitation of properties by not-for-profit organizations for the purpose of developing new permanent housing for homeless and low-income single adults. The program will benefit 162 units, slightly more than the 157 units planned in the 2012 Consolidated Plan. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Supportive Housing Loan Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2012. While the amount of HOME dollars set up for Supportive Housing Loan in Program Year 2012 (utilizing HOME grant funds from earlier years), was within the range expected, the number of units exceeded the target. This is because the average per unit cost for projects committed in Program Year 2012 was lower than that assumed at the time of the Consolidated Plan.

In 2012, HPD committed no HOME funds for the **Third Party Transfer Program**, although \$3,760,521 were planned to be committed to assist 31 housing units in the 2012 Consolidated Plan. As a result, no Third Party Transfer households were reported in the IDIS system to be assisted in 2012. The 2012 Consolidated Plan assumed the utilization of HOME funds in the Third Party Transfer Program, but new sites closed within that program year did not utilize HOME. We anticipate that HOME funds will be used in future years. In this program, the number of buildings that actually receive commitments of HOME funds can vary substantially from HPD's projection at the time that the Consolidated Plan is prepared.

In 2012, HPD planned to use \$2,735,441 in HOME funds for the **Article 8A Loan Program**, which provides loans to finance the replacement of existing systems or removal of substandard conditions which are violations of the multiple dwelling law or local housing code. The loan program is authorized by the New York State Private Housing Law. Some 133 units were planned to benefit from the use of funds. In 2012, HPD actually committed \$2,893,916, slightly above the planned amount. Use of these funds resulted in 876 units benefiting, a substantially greater number than was planned. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Article 8A Loan Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2012. While the amount of HOME dollars set up for the Article 8A Loan in Program Year 2012 (utilizing HOME grant funds from earlier years), was within the range expected, the number of units exceeded the target. This is because the average per unit cost for projects committed in Program Year 2012 was lower than that assumed at the time of the Consolidated Plan.

HPD actually committed \$16,181,768 in HOME funds for the **Low-Income Rental Program**, although \$8,734,179 of HOME funds were planned in the 2012 Consolidated Plan. Nevertheless, 384 units will actually be assisted through the program, although 146 units were estimated in the 2012 Consolidated Plan. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Low Income Rental Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2012. The volume

of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

Also in the 2012 Consolidated Plan, HPD planned to commit \$114,635 in HOME funds for the **Multifamily Homeownership (formerly known as the Cornerstone) Program**, it actually committed \$2,383,591, far more the planned HOME funds amount. While some 4 units were planned in the 2012 Consolidated Plan to be assisted through the program, 93 units will actually be assisted in calendar 2012.

The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Multifamily Homeownership Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2012. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

HPD committed \$2,414,883 in HOME funds for the **HUD Multifamily Program** in 2012, although it had planned to commit \$2,239,796 of HOME funds in the 2012 Consolidated Plan. Some 307 units were assisted through the program, although only 67 units were estimated in the 2012 Consolidated Plan. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the HUD Multifamily Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2012. While the amount of HOME dollars set up for HUD Multifamily in Program Year 2012 (utilizing HOME grant funds from earlier years), was within the range expected, the number of units exceeded the target. This is because the average per unit cost for projects committed in Program Year 2012 was lower than that assumed at the time of the Consolidated Plan.

DH-2 Affordability for the Purpose of Providing Decent Affordable Housing

In 2012, HPD committed no HOME funds to the **Neighborhood Entrepreneurs Program (NEP)**, although the amount of funding planned in the 2012 Consolidated Plan was \$210,321. No units will benefit from the program, although two (2) units were planned in the 2012 Consolidated Plan. During the reporting period, the NEP and NRP programs were being redesigned and consolidated into a new program model. That program, the Multifamily Preservation Loan Program, will have its first closings in the 2013 Program Year. Nevertheless, we budgeted into the Consolidated Plan for the possibility of a leftover project and/or a potential increase but there was no need during Program Year 2012.

Also in 2012, HPD committed \$3,109,754 in HOME funds to the **Neighborhood Redevelopment Program (NRP)**, although the amount of funding planned in the 2012 Consolidated Plan was \$1,772,573. Some 44 units will actually benefit from the program, although 15 units were planned in the 2012 Consolidated Plan. During the reporting period, the NEP and NRP programs were being redesigned and consolidated into a new program model. That program, the Multifamily Preservation Loan Program, will have its first closings in the 2013 Program Year. Nevertheless, we budgeted into the Consolidated Plan for the possibility of a leftover project and/or a potential increase. The actual accomplishment represents an earlier project that closed and was set up against HOME grant funds during Program Year 2012.

HPD committed \$1,500,000 of HOME funds for the **Senior Housing Program (formerly HUD Section 202)** in 2012, although it had planned to commit \$4,465,855 of HOME funds in the 2012 Consolidated Plan. Some 95 units were assisted through the program in 2012, although some 69 units were estimated in the 2012 Consolidated Plan. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Senior Housing Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2012. While the number of units in HOME funded projects was higher than expected, the amount of HOME funds set up for Senior Housing Program in Program Year 2012 (utilizing HOME grant funds from earlier years), was lower than expected. This is because the average per unit cost for projects committed in Program Year 2012 was lower than assumed at the time of the Consolidated Plan.

In 2012, HPD actually assisted 203 households under the **HomeFirst Downpayment Assistance Program**, far higher than the 83 households planned in the 2012 Consolidated Plan. While HPD planned to commit \$1,421,090 of HOME funds to the program, the actual amount of HOME funds committed was \$2,463,659. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the HOMEFIRST Downpayment Assistance Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2012. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

Also in 2012, HPD actually committed HOME funds for the **Multifamily Rental Mixed Income Program**, although it had planned to commit \$1,426,301 of HOME funds in the 2012 Consolidated Plan. While 28 units were planned to be assisted through the program, the actual number of units assisted with HOME funds will be zero. The 2012 Consolidated Plan assumed the utilization of HOME funds in the Multifamily Rental Mixed Income program, but new sites closed within that program year did not utilize HOME. We anticipate that HOME funds will be used in future years. In this program, the number of buildings that actually receive commitments of HOME funds can vary substantially from HPD's projection at the time that the Consolidated Plan is prepared.

In calendar year 2012, HPD anticipated it would transition the majority of **HOME Tenant-Based Rental Assistance (TBRA)** program participants to the New York City Housing Authority's (NYCHA's) Housing Choice Voucher program. However, because of delays in the anticipated timing, additional HOME dollars were needed in order to ensure continuation of assistance until a voucher became available, or the family reached the two-year limit on receiving HOME TBRA. TBRA had planned to support 145 vouchers with \$2,031,843 in HOME funds. TBRA actually supported 461 vouchers in January of 2012, which declined to 129 by December of 2012. HPD actually used \$4,753,208 in HOME to support the above referenced 461 vouchers who received support at any point in the calendar year.

DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing

The City of New York has devoted significant resources to the moderate rehabilitation of privately owned housing. Such programs include the **Participation Loan Program (PLP)**, which in 2012 actually committed \$4,246,041 in HOME funds, substantially more than the planned \$2,771,284, to provide low-interest loans to both for-profit and not-for-profit owners so that occupied and vacant multi-family buildings can undergo rehabilitation, ranging from moderate to substantial. While 37 units were planned to be assisted, the actual number assisted was 148 units. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Participation Loan Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2012. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

Mayor Bloomberg's **New Housing Marketplace Plan (NHMP)** began on July 1, 2003 and was expanded in February 2006 into a \$7.5 billion plan to create affordable housing for over 500,000 New Yorkers, more than the entire population of Atlanta. It is the largest municipal affordable housing plan in the nation's history. The plan uses innovative approaches to find new land and financing to build affordable housing for New York's future. As of December 31, 2012, HPD has created or preserved 141,891 of affordable NHMP housing units, putting the City on track to achieving the Mayor's goal of creating and preserving 165,000 affordable housing units. Since Mayor Bloomberg came to office in January 2002, the City has funded more than 166,443 units of affordable housing.

Separately, in 2012, HPD continued to provide rental (subsidy) assistance through several subsidy programs: **Housing Choice Voucher (HCV); Enhanced Vouchers; Shelter Plus Care (SPC); Project-Based Vouchers (PBV); and Moderate Rehabilitation (MOD)**. These programs consist of both tenant-based as well as project-

based rental assistance. A snapshot view of these programs shows that as of the close of December, 2012, a total of 37,177 households participated, as follows: HCV with 25,781 households; Enhanced Vouchers with 5,922 households; MOD with 2,249 households; SPC with 2,290 households; and Project-Based Vouchers with 935 households. These accomplishments include 2595 HCV households that were newly assisted during the calendar year period.

HOME Completions in 2012

The number of HOME-assisted housing units completed in this category during calendar 2012 using current and prior years grant funds, was 1,871. The following information is a breakdown of the number of HOME-assisted housing completions in 2012 by program.

<u>Program Name</u>	<u>Number of Units</u>
Neighborhood Entrepreneurs Program (NEP)	247
Neighborhood Redevelopment Program (NRP)	265
Participation Loan Program (PLP)	19
Third Party Transfer (TPT)	35
The Supportive Housing Loan Program (formerly known as SRO Loan Program)	497
Multifamily Homeownership (formerly known as the Cornerstone) Program	12
Article 8-A Loan Program	281
Multifamily New Construction	31
HUD Multifamily Program	45
Multifamily Rental Mixed Income Program (MIRP)	52
Low-Income Rental Program (formerly New MIRP)	155
HUD Senior Housing Program (formerly known as HUD Section 202 Program)	29
HomeFirst Down Payment Assistance Program (ADDI)	203
Total	1,871

IMPORTANT NOTE:

The HOME Program is primarily designed to stimulate the development of permanent housing projects, including a variety of substantial (a.k.a., gut) rehabilitation projects as well as new construction projects. By its very nature, the development of permanent housing is an involved process and takes a considerable period of time. In fact, development sites/buildings must be located and acquired, developers selected, market studies performed, architectural drawings and construction scopes of work completed, and financing obtained before most projects can even be committed in HUD's IDIS/CMI system. Once projects are committed, the construction cycle can take as much as another 24 months depending on conditions and the amount of work being performed. In recognition of these facts, the HOME regulations allow grantees up to two years from receipt of the funds to commit them and an additional three years to complete construction and submit the necessary project completion reports. Consequently, it is clearly not possible to produce a complete report on the commitment of 2012 HOME funds, let alone the completion of construction for units assisted with 2012 HOME funds, in the time frame allowed for the 2012 Annual Performance Report, which is required to be completed in early 2012. Instead, under the heading "Actual Accomplishments", HPD has provided information regarding actual HOME commitments made in the CMI/IDIS system during 2012, recognizing that most, if not all, of these commitments were registered using funding from earlier HOME grants. Consequently, the figures provided under this heading will not correspond directly with the figures in the column entitled "Proposed Accomplishments," which represents the Agency's best projections as to how the 2012 HOME grant will be committed over the next two years and how many units will be assisted. Please note also that in compliance with the Annual Performance Report requirements, HPD has provided a full accounting of all units completed in HOME projects during 2012 under the Accomplishments section of this report. Clearly, these figures also

represent units that were funded with earlier HOME awards rather than with the 2012 HOME grant. See the notes on the "Table of HOME Program Expenditures," for an explanation on the use of 2012 HOME funds.

HOME Project Report Summaries

On-site Inspections of Assisted Affordable Rental Housing

During 2012 there were 443 HOME projects under compliance monitoring. The projects included 1,559 buildings containing 13,153 HOME units.

Of the 443 projects, 333 required physical (HQS) inspection in calendar year 2012. A sample of 2,345 apartments was inspected: 2,212 passed or were corrected; 133 failed. Notices of non-compliance have been sent to owners of units that failed, and HPD will continue to seek a satisfactory response.

Section 3 of the Housing and Urban Act of 1968

The City of New York, to the greatest extent feasible, is committed to directing job training and employment opportunities to low- and very low-income New Yorkers, and its programs have increased opportunities for these groups. The Department of Housing Preservation and Development (HPD) has undertaken various affirmative efforts to realize the benefits of Section 3 for local residents and local businesses:

- HPD includes information on Sec. 3 requirements in the equal opportunity packages provided to HPD developers, contractors and their sub-contractors.
- HPD provides a Section 3 Requirements Fact Sheet to all prime and subcontractors attending HPD's mandatory contract pre-award conferences.
- HPD includes the Section 3 clause in its HUD-funded contracts, alerting each entity of the program and its obligations. The clause also requires its placement in every subcontract subject to Section 3 regulations.
- HPD has implemented a quarterly review process for the efficient monitoring of Section 3 activity.
- HPD staff offers individual Section 3 training sessions to HOME funded developers and General Contractors to explain the applicability of the Section 3 requirements to their projects and receive instruction on how to properly complete the Section 3 Project Summary, New Hire Reports, and Business Concern Applications. These training sessions are offered throughout the year on an ongoing basis.
- HPD has created and posted a HUD Section 3 webpage at the HPD website. The webpage contains an explanation of the regulations, reporting forms, a Section 3 Business Concern application, a Business Concerns directory and a listing of employment/training referral sources. The webpage provides firms working with the agency easy access the information they need to comply. Our Section 3 webpage lists referral sources for firms seeking qualified candidates for any construction trade or management related job opportunities that may arise.
- HPD has a Memorandum of Understanding with the NYC Department of Small Business Services (DSBS) under which firms that certify with HPD as Section 3 Business Concerns will obtain business counseling and networking opportunities sponsored by DSBS by enrolling in their Emerging Business Enterprise Program.

HPD tracked 13 HOME funded projects, having a total development cost value of over \$298M in Calendar 2012. Construction employers at work on these development projects filled 73 positions last year, of which 7 (10%) were Section 3 residents.

HPD is committed to implementing the goals of the Section 3 program.

**HOME GRANT ALLOCATIONS
in Calendar 2012**

DCP TABLE #1.1

This chart reflects the final proposed allocation of the federal HOME grant, in this case the calendar 2012 HOME grant, into various HPD programs that will be supported by HOME. The numbers are derived from the final Consolidated Plan, or any substantial amendment to the Consolidated Plan if applicable. In addition to the breakout of funding by HPD programs, this chart also reflects the estimated number of households, or dwelling units, that each program will support with the associated dollar allocation amount.				
Proj_Code	Program Name	HUD Code	Amount City Allocated	Program Dwelling Units Proposed
	HOME INVESTMENT PARTNERSHIPS			
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing				
H-HPD-0006	THE SUPPORTIVE HOUSING PROGRAM	12,14B,14G	\$22,020,741	157
H-HPD-0010	THIRD PARTY TRANSFER	01,12,14B	\$3,760,521	31
H-HPD-0011	MULTIFAMILY HOMEOWNERSHIP (Cornerstone Program)	01,12	\$114,635	4
H-HPD-0012	ARTICLE 8-A LOAN PROGRAM	14B	\$2,735,441	133
H-HPD-0028	HUD MULTIFAMILY PROGRAM	14B,14G	\$2,239,796	67
H-HPD-0029	MULTIFAMILY RENTAL MIXED INCOME	01,12	\$1,426,301	28
H-HPD-0031	LOW INCOME RENTAL PROGRAM (Formerly NEW MIRP)	01,12	\$8,734,179	146
DH-1 Subtotal			\$41,031,614	
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0002	NEIGHBORHOOD ENTREPRENEURS PROGRAM	14B	\$210,321	2
H-HPD-0003	NEIGHBORHOOD REDEVELOPMENT PROGRAM	14B	\$1,772,573	15
H-HPD-0033	SENIOR HOUSING PROGRAMS (Formerly HUD SECTION 202)	12,14B,14G	\$4,465,855	69
H-HPD-0035	HOME TENANT-BASED RENTAL ASSISTANCE	21F	\$2,031,843	145
H-HPD-0036	SPECIAL PROJECTS (Formerly DAMP SPECIAL PROJECTS)	14B	\$600,016	4
H-HPD-0201	HOMEFIRST DOWNPAYMENT ASSISTANCE PROGRAM	13	\$1,421,090	83
DH-2 Subtotal			\$10,501,698	
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0004	PARTICIPATION LOAN PROGRAM (GUT/MOD)	12,13,14B,14G	\$2,771,284	37
DH-3 Subtotal			\$2,771,284	
N/A Not Applicable				
H-HPD-0001	HPD ADMINISTRATION	21E,21H	\$6,033,844	N/A
Subtotal			\$6,033,844	
Programs from Prior Consolidated Plan Program Years				
H-HPD-0028	MULTIFAMILY NEW CONSTRUCTION	12	\$0	
H-HPD-0005	SMALL BUILDINGS LOAN	13, 14A, 14B	\$0	
Subtotal				

**HOME FUNDS ACTUALLY COMMITTED by HPD in Calendar 2012 |
Dwelling Units Actually Started by HPD in Calendar 2012**

DCP TABLE #1.2

This chart reports on the amount of HOME funds that HPD actually committed for each particular HPD program in the 2012 calendar year, as HPD reported in the federal accounting system, IDIS. In addition, this chart reports the number of households, or dwelling units, that each program actually started to support in the calendar year.
It is important to note that HOME funds committed by HPD in calendar 2012 are mostly prior-grant years' awards. HPD always commits its full grant allocation but it can take time to develop and close our construction pipelines, and HOME rules allow for this by permitting localities two years to commit a HOME grant.

Proj_Code	Program Name	HUD Code	Amount City Committed in 2012	Program Dwelling Units Started
	HOME INVESTMENT PARTNERSHIPS			
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing				
H-HPD-0006	THE SUPPORTIVE HOUSING PROGRAM	12,14B,14G	\$18,837,038	162
H-HPD-0010	THIRD PARTY TRANSFER	01,12,14B	\$0	0
H-HPD-0011	MULTIFAMILY HOMEOWNERSHIP (Cornerstone Program)	01,12	\$2,383,591	93
H-HPD-0012	ARTICLE 8-A LOAN PROGRAM	14B	\$2,893,916	876
H-HPD-0028	HUD MULTIFAMILY PROGRAM	14B,14G	\$2,414,883	307
H-HPD-0029	MULTIFAMILY RENTAL MIXED INCOME	01,12	\$0	0
H-HPD-0031	LOW INCOME RENTAL PROGRAM (Formerly NEW MIRP)	01,12	\$16,181,768	384
DH-1 Subtotal			\$42,711,196	
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0002	NEIGHBORHOOD ENTREPRENEURS PROGRAM	14B	\$0	0
H-HPD-0003	NEIGHBORHOOD REDEVELOPMENT PROGRAM	14B	\$3,109,754	44
H-HPD-0033	SENIOR HOUSING PROGRAMS (Formerly HUD SECTION 202)	12,14B,14G	\$1,500,000	95
H-HPD-0035	HOME TENANT-BASED RENTAL ASSISTANCE	21F	\$2,031,843	0
H-HPD-0036	SPECIAL PROJECTS (Formerly DAMP SPECIAL PROJECTS)	14B	\$0	
H-HPD-0201	HOMEFIRST DOWNPAYMENT ASSISTANCE PROGRAM	13	\$2,463,659	203
DH-2 Subtotal			\$9,105,256	
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0004	PARTICIPATION LOAN PROGRAM (GUT/MOD)	12,13,14B,14G	\$4,246,041	148
DH-3 Subtotal			\$4,246,041	
N/A Not Applicable				
H-HPD-0001	HPD ADMINISTRATION	21E,21H		
Subtotal				
Programs from Prior Consolidated Plan Program Years				
H-HPD-0028	MULTIFAMILY NEW CONSTRUCTION	12	\$0	71
H-HPD-0005	SMALL BUILDINGS LOAN	13, 14A, 14B	\$0	
Subtotal			\$0	

**HOME PROGRAM FUNDS
EXPENDED/SPENT DOWN/DISBURSED
in Calendar 2012**

DCP TABLE #1.3

This chart reports HOME funds, granted in this or any prior year, that are actually drawn from the federal accounting system, IDIS during the 2012 calendar year. It is important to note that the amounts reported in this chart do not correspond to either the 2012 HOME grant allocation (in HOME Table # 1.1) or the 2012 HOME commitments (in HOME Table #1.2), as most expenditures represent HOME funds allocated and committed from prior years' awards.

Proj_Code	Program Name	HUD Code	Amount City Expended/Drew down/Disbursed
	HOME INVESTMENT PARTNERSHIPS		
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing			
H-HPD-0006	THE SUPPORTIVE HOUSING PROGRAM	12,14B,14G	\$43,022,380
H-HPD-0010	THIRD PARTY TRANSFER	01,12,14B	\$1,304,297
H-HPD-0011	MULTIFAMILY HOMEOWNERSHIP (Cornerstone Program)	01,12	\$699,562
H-HPD-0012	ARTICLE 8-A LOAN PROGRAM	14B	\$5,947,941
H-HPD-0028	HUD MULTIFAMILY PROGRAM	14B,14G	\$4,770,979
H-HPD-0029	MULTIFAMILY RENTAL MIXED INCOME	01,12	\$4,567,467
H-HPD-0031	LOW INCOME RENTAL PROGRAM (Formerly NEW MIRP)	01,12	\$34,004,970
DH-1 Subtotal			\$94,317,596
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing			
H-HPD-0002	NEIGHBORHOOD ENTREPRENEURS PROGRAM	14B	\$9,464,762
H-HPD-0003	NEIGHBORHOOD REDEVELOPMENT PROGRAM	14B	\$11,127,927
H-HPD-0033	SENIOR HOUSING PROGRAMS (Formerly HUD SECTION 202)	12,14B,14G	\$10,431,315
H-HPD-0035	HOME TENANT-BASED RENTAL ASSISTANCE	21F	\$4,753,208
H-HPD-0036	SPECIAL PROJECTS (Formerly DAMP SPECIAL PROJECTS)	14B	\$0
H-HPD-0201	HOMEFIRST DOWNPAYMENT ASSISTANCE PROGRAM	13	\$2,463,659
DH-2 Subtotal			\$38,240,871
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing			
H-HPD-0004	PARTICIPATION LOAN PROGRAM (GUT/MOD)	12,13,14B,14G	\$13,788,118
DH-3 Subtotal			\$13,788,118
N/A Not Applicable			
H-HPD-0001	HPD ADMINISTRATION	21E,21H	
Subtotal			
Programs from Prior Consolidated Plan Program Years			
H-HPD-0028	MULTIFAMILY NEW CONSTRUCTION	12	\$1,524,407
H-HPD-0005	SMALL BUILDINGS LOAN	13, 14A, 14B	\$7,006
Subtotal			\$1,531,413

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 12/31/2012)

Match Contributions for
Federal Fiscal Year (yyyy) 2012

Part I Participant Identification

1. Participant No. (assigned by HUD) MC36204		2. Name of the Participating Jurisdiction CITY OF NEW YORK		3. Name of Contact (person completing this report) CLINT MEDLEY	
5. Street Address of the Participating Jurisdiction 100 GOLD STREET				4. Contact's Phone Number (include area code) 212 863-5088	
6. City NEW YORK		7. State NY	8. Zip Code 10038		

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$	608,451,612	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$	41,365,983	
3. Total match available for current Federal fiscal year (line 1 + line 2)			\$ 649,817,595
4. Match liability for current Federal fiscal year			\$ 14,672,258
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)			\$ 635,145,337

Part III Match Contribution for the Federal Fiscal Year

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
3413	12/31/2012		169,175					169,175
3744	12/31/2012		189,870	129,143				319,013
3943	12/31/2012		281,802					281,802
1648	12/31/2012		3,070,441				2,059,690	5,130,131
1673	12/31/2012		1,477,091				1,599,303	3,076,394
1919	12/31/2012		8,701,415				4,420,394	13,121,809
2341	12/31/2012		10,331,036				4,128,782	14,459,818
2565	12/31/2012		422,509				100,036	522,545
2825	12/31/2012		2,469,405				456,112	2,925,517
3114	12/31/2012		364,048				79,314	443,362
3743	12/31/2012		390,212				526,205	916,417

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

Instructions for the HOME Match Report

Applicability:

The HOME Match Report is part of the HOME APR and must be filled out by every participating jurisdiction that incurred a match liability. Match liability occurs when FY 1993 funds (or subsequent year funds) are drawn down from the U.S. Treasury for HOME projects. A Participating Jurisdiction (PJ) may start counting match contributions as of the beginning of Federal Fiscal Year 1993 (October 1, 1992). A jurisdiction not required to submit this report, either because it did not incur any match or because it had a full match reduction, may submit a HOME Match Report if it wishes. The match would count as excess match that is carried over to subsequent years. The match reported on this form must have been contributed during the reporting period (between October 1 and September 30).

Timing:

This form is to be submitted as part of the HOME APR on or before December 31. The original is sent to the HUD Field Office. One copy is sent to the

Office of Affordable Housing Programs, CGHF
Room 7176, HUD, 451 7th Street, S.W.
Washington, D.C. 20410.

The participating jurisdiction also keeps a copy.

Instructions for Part II:

1. **Excess match from prior Federal fiscal year:** Excess match carried over from prior Federal fiscal year.
2. **Match contributed during current Federal fiscal year:** The total amount of match contributions for all projects listed under Part III in column 9 for the Federal fiscal year.

3. **Total match available for current Federal fiscal year:** The sum of excess match carried over from the prior Federal fiscal year (Part II, line 1) and the total match contribution for the current Federal fiscal year (Part II, line 2). This sum is the total match available for the Federal fiscal year.

4. **Match liability for current Federal fiscal year:** The amount of match liability is available from HUD and is provided periodically to PJs. The match must be provided in the current year. The amount of match that must be provided is based on the amount of HOME funds drawn from the U.S. Treasury for HOME projects. The amount of match required equals 25% of the amount drawn down for HOME projects during the Federal fiscal year. Excess match may be carried over and used to meet match liability for subsequent years (see Part II line 5). Funds drawn down for administrative costs, CHDO operating expenses, and CHDO capacity building do not have to be matched. Funds drawn down for CHDO seed money and/or technical assistance loans do not have to be matched if the project does not go forward. A jurisdiction is allowed to get a partial reduction (50%) of match if it meets one of two statutory distress criteria, indicating "fiscal distress," or else a full reduction (100%) of match if it meets both criteria, indicating "severe fiscal distress." The two criteria are poverty rate (must be equal to or greater than 125% of the average national family poverty rate to qualify for a reduction) and per capita income (must be less than 75% of the national average per capita income to qualify for a reduction). In addition, a jurisdiction can get a full reduction if it is declared a disaster area under the Robert T. Stafford Disaster Relief and Emergency Act.

5. **Excess match carried over to next Federal fiscal year:** The total match available for the current Federal fiscal year (Part II, line 3) minus the match liability for the current Federal fiscal year (Part II, line 4). Excess match may be carried over and applied to future HOME project match liability.

Instructions for Part III:

1. **Project No. or Other ID:** "Project number" is assigned by the C/MI System when the PJ makes a project setup call. These projects involve at least some Treasury funds. If the HOME project does not involve Treasury funds, it must be identified with "other ID" as follows: the fiscal year (last two digits only), followed by a number (starting from "01" for the first non-Treasury-funded project of the fiscal year), and then at least one of the following abbreviations: "SF" for project using shortfall funds, "PI" for projects using program income, and "NON" for non-HOME-assisted affordable housing. Example: 93.01.SF, 93.02.PI, 93.03.NON, etc.

Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the PJ; the participation threshold requirement applies only in the PJ's first year of eligibility. [§92.102]

Program income (also called "repayment income") is any return on the investment of HOME funds. This income must be deposited in the jurisdiction's HOME account to be used for HOME projects. [§92.503(b)]

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as "affordable housing" under the HOME Program definitions. "NON" funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.
3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ's HOME account to be used for HOME projects. The PJ, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ's HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for replacements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]
4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the

post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]
6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]
7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ's cost estimate procedures. The value of donated or voluntary labor is determined by a single rate ("labor rate") to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]
8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumentality, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ's total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding

bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

Ineligible forms of match include:

1. Contributions made with or derived from Federal resources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax-exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs

3. EMERGENCY SOLUTIONS GRANT (ESG)

In the 2012 Consolidated Plan, the Continuum of Care chapter describes the programs provided by the Department of Homeless Services. In addition to the Emergency Solutions Grant Program, DHS funds a variety of programs from many sources, including City, State, federal and private funds. The Continuum of Care contains the City's long-term strategy as outlined in the 2012 Consolidated Plan which includes outreach to the chronic unsheltered homeless to encourage them to accept shelter and services; diversion programs to prevent homelessness; assessment centers using varying model approaches that assess client needs and first focus on diversion efforts especially for currently employed clients and for others, to make appropriate referrals for services; transitional shelters with supportive services to stabilize an individual or family so that they are able to live independently; next step shelters to provide a very intensive level of social services to households in need with the ultimate goal of permanent housing; and permanent and long-term housing options, both supported and non-supported. The IDIS Reports required for ESG funds are C04PR19 ESG Program for Grantee Statistics; C04PR20 ESG Activity Summary Report; and C04PR12 ESG Financial Summary. The forms are included in Volume III. However, Volume III is over 200 pages, therefore will only be provided upon request, please call 212-720-3337.

While ESG funds comprise a small percentage of total funding for the continuum of care, in 2012 ESG funds were used to continue existing successful programs that are part of the continuum of care. ESG funds can be used for five categories. The City chooses to use the \$7,925,555 awarded in 2010 for two of these categories: services to the homeless and shelter operating costs. All the programs are listed and defined in the 2012 Consolidated Plan.

The following is a status update on the objectives listed in the 2010 Consolidated Plan Five-Year Strategic Plan and Continuum of Care. The accomplishments reflect 2012 DHS/ESG activities and reflect DHS' success in meeting its objectives *in creating/improving accessibility to suitable living environments (SL-1)*.

Provide outreach and engagement services, temporary emergency services, and placement services to reduce the number of people living on the streets.

In 2012, DHS continued its objective of reaching out to homeless people living on the streets, and in parks, transportation centers, and other public spaces through its outreach program - working to encourage homeless people to accept placements directly into housing, Safe Havens (a local low-threshold shelter model operated by non-profit organizations under contract with DHS, not to be confused with Safe Havens funded by HUD through the CoC Program) , shelter and other services. DHS used ESG funds to support outreach services and a drop-in center for single adults.

On January 30, 2012, DHS conducted its annual citywide Homeless Outreach Population Estimate (HOPE) which resulted in an estimate of 3,262 individuals residing in the streets and public spaces in the five boroughs; a 26 percent decrease since the first citywide survey was conducted in 2005. DHS again hosted HOPE on January 28, 2013. The results of HOPE 2013 are expected to be published in late winter 2013.

Provide employment, mental health, substance abuse, and counseling services in shelters to facilitate a return to independent or supported living in the community.

Many of the City's shelters provide services that enhance the continuum of care. In many of our single adult and family facilities, employment programs and/or life skills development help provide incentives and create expectations for the clients to be self sufficient. DHS believes that employment is the cornerstone to independent living and as such used ESG funds in 2012 to help fund four employment counselors at various shelters; a substance-free employment-based shelter; and citywide employment/intake staff. In addition, a variety of social service programs in the adult shelter system received ESG funding. These include services for clients who are mentally ill, as well as substance abuse counselors/services in adult shelters.

Provide housing placement services to assist families and individuals to return to the community and minimize the length of stay in shelter.

In 2012, 7,541 single adults, 547 adult families, and 4,735 families with children were placed into permanent housing. These achievements are attributable to the success of the City's housing placement strategies, a focus on employment as a means to independent living, and provider payment structures that incentive rapid re-housing and a reduced length of stay. ESG funding supported these placement strategies through funding of the single adult system's placement facilitation unit.

Assist homeless persons in shelter with resolving specific issues to facilitate a return to independent or supported living in the community.

Next Step shelters are a more structured and service intensive environment and serve clients who have not been successful in completing the goals of their independent living plan. Highlights of Next Step shelters include the establishment of a detailed independent living plan with clear, concrete deliverables, a rich array of life skills-building workshops and motivational group work, and rewards for compliance and consequences for non-compliance. In 2012, ESG funding supported three Next Step shelters for single adults and placed a total of 447 homeless persons into permanent housing.

Support operations of adult and family shelters.

DHS provides shelter with supportive services to individuals and to those families who have exhausted all other housing options and are therefore experiencing homelessness. ESG funds were used by DHS to help fund the operating costs of several shelters in our system.

Provide recreational services with an integrated educational curriculum to youth in shelters.

Police Athletic League (PAL) Play Streets program provided recreational and educational activities at five shelters serving 150 youth in 2012. Activities included team sports, arts and crafts, counseling and training.

The City was awarded \$14,245,344 in ESG funds for calendar year 2012. No ESG funds were allocated for shelter renovations, as other funding is available for renovations, and renovations are difficult to start and complete within the grant's strict two-year spending period. ESG funds were not used for grant administration.

On the Program Expenditures Table (DCP Table #1), for each ESG activity, only the ESG amounts drawn down from the 2010 grant were inserted. Since ESG grant funds are expended using FIFO accounting practices, the amounts listed in the ESG Expenditure Table2 represent 2010 ESG funds expended in 2012. The City will fully expend the 2012 grant of \$14,245,344 by the year 2014. Because the ESG grant is awarded annually, and each grant is for 2 years, overlap in funding is expected..

In the Program Accomplishments Table, for ESG the number of persons served is an unduplicated count within each activity. For homelessness prevention, the actual accomplishment is the number of units where a household was assisted in maintaining their current housing unit and thus did not enter the shelter system. The activity titled, Services to the Homeless, assisted 19,206 persons who needed a transitional shelter. Shelter Operating Costs were used to assist approximately 15,722 people who needed a transitional shelter.

Reasons for changes to program objectives – Activities not specifically related to the five-year objectives

DHS did not change the original program objectives. Therefore, the nature and reasons for changes in program objectives does not apply this year.

Section 3 Requirements: Emergency Solutions Grant Program

The US Congress directed HUD to take the lead in requiring all Continuum of Care jurisdictions nationwide to have unduplicated client-level data, a Homeless Management Information System (HMIS). In addition to being

a requirement for HUD funding, the NYC Department of Homeless Services (DHS) and the NYC Coalition on the Continuum of Care (NYC-CCoC) – a large group of homeless services providers, consumers, advocates, community representatives and government agencies- saw value in accessing HMIS data that could describe the extent and nature of homelessness and provide a greater understanding of service usage, effectiveness and gaps in service. This information is used to target limited resources and guide planning and policy decisions. The NYC-CCoC and DHS uses HMIS data to demonstrate the size and characteristics of the NYC homeless population, current patterns of service use by population, including access to mainstream services and the need for additional resources to public and private funding sources. HMIS data is also used to understand how to realign housing resources and service delivery within the NYC-CCoC and how to create the links to mainstream programs that are essential to the prevention of homelessness and to sustaining formerly homeless people in permanent housing. Compared to other commonly used methods of gathering information on homeless persons, notably point-in-time census counts, HMIS presents the NYC DHS and NYC-CCoC with the opportunity to obtain significantly better data about homelessness in its community and to analyze that information over time. The NYC HMIS includes the ability to aggregate standardized information from existing systems and provides a solution for those grant recipient providers who do not have an existing system to document who is homeless, what services and support they need, and the ability to utilize information to better manage service delivery and resource allocation more effectively within the NYC-CCoC. It provides maximum protection of individual information and maximum access to aggregate information in accordance with applicable laws, standards and best practices. To date, the NYC HMIS is fully operational with all NYC- CCoC member agencies actively participating in the NYC HMIS. The system now contains over four years’ worth of data, allowing the NYC-CCoC to complete the 2012 Annual Homeless Assessment Report (AHAR utilizing the NYC HMIS).

The City of New York does not use its ESG monies to fund the construction or rehabilitation of emergency or transitional homeless shelters. Therefore, the number of homeless individuals benefiting by training, employment, and contracting opportunities generated by the expenditure of this for shelter construction/rehabilitation (Section 3-related beneficiaries) is zero. However, the following is a breakdown of homeless individuals benefitting by ESG-funded non-Section 3-related employment training and/or placement.

From ESG Program Accomplishments Summary	2012 Actual Accomplishments
E- DHS-0001 Services to the Homeless	
Employment Programs	2618
Harlem / Doe Fund	243
Citywide / Employment /Intake and Assessment	2618
DHS Employment Counselors	1442

DHS Employment Counselor figure = all placements in CY12 for shelters primarily categorized as Employment sites.

Citywide / Employment/ Intake and Assessment figure = all placements in CY12 for shelters primarily categorized as Employment plus all placements for Assessment sites.

- Harlem / Doe Fund – 243 placements (an employment site)
 - Citywide / Employment / Intake and Assessment –
 - o Harlem/Doe Fund – 243 (employment site)
 - o Palace – 119 (employment site)
 - o HELP SEC – 108 (employment site)
 - o Saratoga– 99 (employment site)
 - o Bed Atlantic – 120 (assessment site)

- HELP Women's Center – 152 (assessment site)
- CH Gay Assessment – 415 (assessment site)
- Franklin – 355 (assessment site)
- Porter – 243 (employment site)
- Gates – 50 (employment site)
- Barbara Kleiman Residence – 54
- Bob's Place – 112
- HELP 107th St. Employment Center – 24
- NAICA Bronx Park Ave – 194
- Van Siclen – 196
- 30th St. Men's Assessment - 94
- BRC McGuinness Men's Assessment Shelter - 40
- DHS Employment Counselors –
 - Harlem/Doe Fund – 243(employment site)
 - Palace – 119 (employment site)
 - HELP SEC– 108 (employment site)
 - Saratoga– 99 (employment site)
 - Gates – 50 (employment site)
 - Barbara Kleiman Residence – 54
 - Bob's Place – 112
 - HELP 107th St. Employment Center – 24
 - NAICA Bronx Park Ave – 194
 - Porter - 243
 - Van Siclen – 196

**ESG
PROGRAM EXPENDITURES**

DCP TABLE #1

Proj_Code	Program Name	HUD Code	2012 Allocation	Amount City Committed	Amount City Expended
	EMERGENCY SOLUTION GRANT PROGRAM (ESG)				
SL-1 Availability/Accessibility for the Purpose of Creating Sustainable Living Environments					
E-DHS-0001		05			
E-DHS-0002	SERVICES TO THE HOMELESS	05	\$7,472,947	\$7,481,816	\$7,481,816
	EMPLOYMENT PROGRAM		\$510,391	\$658,999	\$658,999
	INTERIM HOUSING PROGRAM		\$118,550	\$96,453	\$96,453
	MENTAL HEALTH PROGRAM		\$1,190,764	\$1,156,921	\$1,156,921
	OUTREACH PROGRAM		\$781,950	\$741,292	\$741,292
	PROGRAM AND HOUSING PLACEMENT		\$570,384	\$538,242	\$538,242
	CLIENT ADVOCACY		\$486,857	\$381,454	\$381,454
	SAFE HAVEN PROGRAM		\$1,234,959	\$1,285,528	\$1,285,528
	NEXT STEP PROGRAM		\$1,118,336	\$1,026,097	\$1,026,097
	SUBSTANCE ABUSE COUNSELORS		\$656,796	\$571,463	\$571,463
	SUBSTANCE ABUSE PROGRAMS		\$541,460	\$491,455	\$491,455
	DIVERSION SERVICES		\$798,105	\$296,724	\$296,724
	FAMILY SUPPORT SERVICES		\$200,000	\$174,688	\$174,688
	SOCIAL SERVICES		\$62,500	\$62,500	\$62,500
E-DHS-0003	SHELTER OPERATING COSTS	03T	\$906,151	\$443,739	\$443,739
E-DHS-0004	HOMELESSNESS PREVENTION		\$3,313,403	\$0	\$0
E-DHS-0005	RAPID RE-HOUSING: HOUSING RELOCATION AND STABILIZATION SERVICES		\$1,962,843	\$0	\$0
SL-1 Subtotal			\$13,655,344	\$7,925,555	\$7,925,555
N/A Not Applicable					
E-DHS-0006	HMIS		\$430,000	\$0	\$0
E-DHS-0007	ADMINISTRATION		\$160,000	\$0	\$0
Subtotal			\$590,000	\$0	\$0

ESG

Program Accomplishments

Proj_Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Amended Accomp_#	Actual Accomp_#
	EMERGENCY SOLUTIONS GRANT (ESG)					
E-DHS-0002	SERVICES TO THE HOMELESS	05	People	17,081		
			Total number of persons assisted with new/improved access to a service		18,499	19,206
	EMPLOYMENT PROGRAM			1,988	1,988	2,618
	INTERIM HOUSING PROGRAM			620	633	220
	MENTAL HEALTH PROGRAM			502	502	359
	OUTREACH PROGRAM			900	857	562
	PROGRAM AND HOUSING PLACEMENT			8,030	8,030	8,804
	CLIENT ADVOCACY			5,953	5,407	4,280
	SAFE HAVEN PROGRAM			63	69	86
	NEXT STEP PROGRAM			416	416	447
	SUBSTANCE ABUSE COUNSELORS			63	63	54
	SUBSTANCE ABUSE PROGRAMS			294	294	301
	FAMILY SUPPORT SERVICES			140	140	1,015
	DIVERSION PROGRAMS			500	0	1,220
	SOCIAL SERVICES			100	100	150
E-DHS-0003	SHELTER OPERATING COSTS	03T	People	15,269		
			Total number of persons assisted with new/improved access to a service		15,269	15,722
E-DHS-0004	HOMELESSNESS PREVENTION		Total number of persons assisted with new/improved access to a service		600	282
E-DHS-0005	RAPID RE-HOUSING: HOUSING RELOCATION AND STABILIZATION SERVICES		Total number of persons assisted with new/improved access to a service		9,000	9,726
E-DHS-0006	HMIS		Not Applicable		x	N/A
E-DHS-0007	ADMINISTRATION		Not Applicable		x	N/A

4. HOUSING OPPORTUNITES FOR PERSONS with AIDS (HOPWA)

NOTE: HUD requires an annual performance reporting document specifically for the submission and review of HOPWA-grantee activities which assist persons with HIV/AIDS and their families. For continuity, the *HOPWA Consolidated Annual Performance and Evaluation Report (HOPWA-CAPER)* has been incorporated into Part I., Assessment of Entitlement Programs.

In addition, the *HOPWA-CAPER* incorporates several of the existing Consolidated Plan performance reporting requirements, such data on the number of households assisted with housing by income, and by Race/Hispanic ethnicity, which were previously reported in the respective APR subsections. To eliminate redundancy, all HOPWA-related data is reported in the *HOPWA-CAPER*. The reader will be advised in the respective APR subsections to please refer to this specific section.

The *HOPWA-CAPER* begins on the following page.



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

Table of Contents

PART 1: Grantee Executive Summary

1. Grantee Information
2. Project Sponsor Information
3. Administrative Subrecipient Information
4. Program Subrecipient Information
5. Grantee Narrative and Performance Assessment
 - a. Grantee and Community Overview
 - b. Annual Performance under the Action Plan
 - c. Barriers or Trends Overview
 - d. Assessment of Unmet Housing Needs

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

PART 3: Accomplishment Data: Planned Goals and Actual Outputs

PART 4: Summary of Performance Outcomes

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Subsidy Assistance with Supportive Services

PART 5: Worksheet - Determining Housing Stability Outcomes

PART 6: Annual Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)

PART 7: Summary Overview of Grant Activities

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

**Housing Opportunities for Person with AIDS (HOPWA)
Consolidated Annual Performance and Evaluation Report (CAPER)
Measuring Performance Outputs and Outcomes**

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number		Operating Year for this report From (mm/dd/yy) To (mm/dd/yy)	
Grantee Name			
Business Address			
City, County, State, Zip			
Employer Identification Number (EIN) or Tax Identification Number (TIN)			
DUN & Bradstreet Number (DUNS):		Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:	
*Congressional District of Grantee's Business Address			
*Congressional District of Primary Service Area(s)			
*City(ies) and County(ies) of Primary Service Area(s)		Cities:	Counties:
Organization's Website Address		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.	

* Service delivery area information only needed for program activities being directly carried out by the grantee.

NOTE: The Grantee Information and Project Sponsor Information for over 60 sponsors within the New York HOWPA EMSA has been relocated to the end of this section.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency			
Email Address			
Business Address			
City, County, State, Zip,			
Phone Number (with area code)			
Employer Identification Number (EIN) or Tax Identification Number (TIN)		Fax Number (with area code)	
DUN & Bradstreet Number (DUNS):			
Congressional District of Project Sponsor's Business Address			
Congressional District(s) of Primary Service Area(s)			
City(ies) and County(ies) of Primary Service Area(s)		Cities:	Counties:
Total HOPWA contract amount for this Organization for the operating year			
Organization's Website Address			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

NOTE: Due to the high number of HOPWA Project Sponsors within the New York HOPWA EMSA, and to facilitate the review of the EMSA's actions to assist persons with HIV/AIDS and their families, the required Project Sponsor Information for each of the respective sponsors has been relocated to the end of this section.

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name				Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name				Parent Company Name, if applicable
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The NYC Department of Health and Mental Hygiene (DOHMH) is the designated grantee of the HOPWA Program. The Bureau of HIV/AIDS Prevention & Control (BHAPC) within DOHMH serves as the grantee. As the designated grantee for the HOPWA formula grant, BHAPC serves as the coordinator and administrator for the HOPWA program for the entire New York City Eligible Metropolitan Statistical Area (EMSA). The EMSA is comprised of the five boroughs of the City of New York together with Westchester, Putnam, and Rockland Counties in the Lower Hudson Valley. BHAPC works with these three counties and the eligible localities therein to plan and evaluate their use of HOPWA funds and to ensure the consistency of their efforts with those of the rest of the EMSA. In turn, Westchester County acts as the administrator for HOPWA funds received by the cities of Mount Vernon, New Rochelle, and Yonkers. In addition, HOPWA-funded programs are implemented by the New York City Human Resources Administration - HIV/AIDS Services Administration (HASA) and the New York City Department of Health and Mental Hygiene.

Department of Health and Mental Hygiene

Supportive Housing:

Eleven community-based organizations administer 16 supportive housing subcontracts. These adult supportive housing programs identify, secure, and provide appropriate, transitional and permanent housing for the following target HIV/AIDS populations: women with mental illness; women with children; persons with AIDS age 55 and over; difficult to serve individuals; parolees and releasees; dually diagnosed individuals and families in need of harm reduction services; and dually- and/or triply diagnosed individuals and families with mental illness in need of harm reduction services. Other service elements include on-site case management, harm reduction, care coordination, mental health, substance abuse, and other supportive services as needed.

One contract is dedicated to providing supportive housing for at-risk adolescents and young adults. This supportive housing program provides low-threshold short-term housing for HIV-infected and at-risk homeless street youth. This program links homeless young adults to appropriate case management, medical care, and other supportive services. Other services provided include workshops and training in basic skills, basic literacy, and employment readiness.

These organizations are contracted to provide 534 units of congregate and scattered-site supportive housing. In 2012 these programs provided housing subsidies to a total of 631 households.

Housing Placement Assistance:

Six community-based organizations, at least one based in each of New York City's five boroughs, provide housing placement assistance. The housing placement assistance programs provide housing information services and permanent housing placements to persons living with HIV/AIDS (PLWHA). These programs assist PLWHA locate and secure permanent housing. However, transitional housing may be used while permanent housing is being actively sought. Services also include housing-related advocacy to prevent or end homelessness, as needed. In 2012, these organizations provided 852 households with housing information services and provided housing subsidies to help 152 households establish their housing through permanent housing placements.

The Sustainable Living Fund:

One community-based organization provides Short-term Rent, Mortgage and Utility (STRMU) assistance, Tenant Based Rental Assistance (TBRA), and Permanent Housing Placements. Short-term rental subsidies are provided as

emergency assistance to prevent eviction and homelessness. Twenty-four individuals received rental start-up and short-term rental assistance (STRMU) and 360 PLWHA received long-term rental subsidies (TBRA). An additional 117 households received housing subsidies to help establish their housing, permanent housing placement assistance.

HIV/AIDS Services Administration (HASA)

The HIV/AIDS Services Administration (HASA) a division of the NYC Human Resources Administration (HRA), provides public assistance, case management, and housing services to persons in New York City living with AIDS or HIV, and their families, who seek its assistance. Services typically include assistance in receiving assessment and determination of eligibility for Public Assistance, Medicaid, and Food Stamps, as well as assistance in accessing other benefits and services as required by the client's individual circumstances. HASA's intensive case management may include initiating evaluation and treatment of substance abuse and mental illness; home care or homemaking services; or housing services, including temporary emergency placement, as well as transitional, supported, and independent housing options. HASA case managers also assist clients in the referral process of applying for Supplemental Security Income (SSI), Social Security Disability Income (SSDI), and other benefits for which they may qualify. Additionally, they may refer clients to community based resources for a variety of additional services including legal advocacy, medical or dental care, or employment assistance.

HASA periodically reviews and updates clients' plans and service packages. For those who are unable to come to a HASA office for assessment or review, HASA case managers conduct home visits in addition to scheduled, periodic visits. Additionally, all clients in emergency housing are visited in their apartment periodically until a permanent and stable placement is found. HASA's intensive case management for families includes permanency planning to help survivors remain intact should the caregiver die or become unable to provide care. HOPWA funds allowed HASA to provide supportive services to 35,585 individuals in calendar year 2012.

In 2012, the Human Resources Administration's HIV/AIDS Services Administration (HRA/HASA) administered housing contracts with 44 community-based nonprofit organizations to provide 2,737 units of permanent supportive housing for PLWHA in congregate and scattered-site settings. These programs targeted special populations such as persons diagnosed with mental illness or substance abuse. These programs served a total of 3,379 households.

Lower Hudson Valley

The counties of Westchester, Putnam and Rockland receive HOPWA funds to provide supportive housing, rental assistance and supportive services to their clients. In the Lower Hudson Valley, 206 clients received rental assistance, five clients received STRMU assistance, and 46 clients were housed in permanent supportive housing units. Additionally, 286 clients received supportive services, including legal assistance.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

In calendar year 2012, HOPWA funds were used to support 515 households with TBRA, 3,984 households of permanent facility-based housing and 86 households with transitional facility-based housing. All of these accomplishments exceeded projected goals which were 446 households of TBRA, 3,133 households of permanent facility-based housing and 52 transitional units. In addition, HOPWA STRMU funds supported 29 households STRMU, helping these households avoid homelessness and increase housing stability. This also exceeded the projected goal of 10 STRMU supported households.

HOPWA funds were also used to provide housing information services to 943 households, exceeding the projected 500 household goal. Additional funds were used to assist 269 households in obtaining permanent housing placement services, exceeding the 122 projected households.

HOPWA also funded supportive services that are vital to housing durability for many PLWHA. In calendar year 2012, 36,801 clients received supportive services and another 1,768 clients received supportive services in addition to their housing subsidy assistance, both exceeding projected goals.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Stable housing is the first, and most important, step for PLWHA to achieve and maintain positive health outcomes. Through technical assistance, the importance of linking all HOPWA clients to primary care providers and maintaining that care has been stressed to all HOPWA providers and integrated into housing plans. The result is that over 97% of HOPWA clients receiving housing subsidy assistance had contact with a primary health care provider during the year. Additionally, 78% of all HOPWA clients have reached viral suppression (≥ 1 VL that is ≤ 400) while enrolled in a HOPWA program.

Additionally, the data demonstrate that HOPWA housing subsidies are leading to stable housing outcomes for clients. Over 90% of clients receiving HOPWA housing subsidy assistance are maintaining their stable living environment throughout the year.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

In calendar year 2012, HOPWA dollars were combined with other federal resources, as well as State and City funding, to support HIV/AIDS housing and other services. HASA used City Tax Levy and matching State and federal dollars to fund case management and support; rental assistance; permanent and transitional congregate housing; and permanent scattered-site housing for individuals and families living with HIV and AIDS. Eligible clients also received medical assistance, homecare, and homemaking services funded with City, State and federal dollars. HOPWA funds distributed to community-based organizations by DOHMH augmented City and State-funded services to persons with mental illness and HIV/AIDS. Almost all of the programs funded through DOHMH – BHAPC received in-kind donations from the community based organizations providing their HOPWA-funded services. In the Lower Hudson Valley portion of the NYC EMSA, State, City and County funds compliment the HOPWA-funded rental assistance and other services provided. Individual-donor and private foundation dollars provide additional support to the community-based organizations funded through HASA and DOHMH.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

The DOHMH provides monitoring to the subcontracted organizations on a monthly basis. Furthermore, the Bureau provides bi-annual on-site monitoring to address any programmatic and/or fiscal issues. The Bureau provides technical assistance through these monitoring visits.

When necessary, DOHMH seeks technical assistance support from Victory Programs. Victory Programs has addressed all needs as they have arisen.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Unfortunately, Hurricane Sandy had a profound effect on many of the NYC EMSA HOPWA programs. First, several HOPWA funded supportive housing units—both congregate and scattered-site buildings—were damaged during the storm. Clients were displaced during the emergency and DOHMH, along with many City agencies and nonprofit organizations, have been working to find displaced clients permanent housing. Second, Hurricane Sandy exacerbated the long-standing problem of finding affordable housing in New York City. Because so many people lost their homes, the housing market has been flooded, and affordable housing within Fair Market Rates has become even more difficult to find. Third, programs providing Housing Information Services and Permanent Housing Placement Services struggled to meet their projected number of housing placements as transportation was seriously disrupted for several weeks, including suspended or limited access to public transportation and major gas shortages, making the search for apartment for clients nearly impossible. New York City is slowly rebuilding and programs are working hard to forge new relationships with brokers and replace damaged housing. However, the lack of affordable, safe and decent housing due to the storm will affect HOPWA program for the foreseeable future.

Several HOPWA programs have also experienced difficulties with bed bugs. The treatment of this issue, which is prevalent in NYC, is time consuming and expensive to the client and place demands on staff time and additional resources from agencies. Apartments must be treated and furniture must be thrown out and replaced. The program staff work diligently with clients and landlords to address and prevent the bed bug issues and encourage clients to do their best to prevent re-infestation. Many programs provide clients with bed bug resistant bed covers and encourage them to report any suspicion of bed bugs immediately so it can be addressed.

HOPWA programs are also adjusting to policies implemented by the City in 2011 that limited the amount of City funds available to pay broker's fees to 50% of the fee and provides landlords with a security deposit voucher as opposed to cash. It has been difficult for programs to find brokers and landlords willing to accept the new policies, at times causing the program to lose out on desirable apartments and increasing the amount of staff time spent to place clients in permanent housing. Programs are working to establish new relationships with brokers in the community and leveraging community relationships to continue to find affordable and suitable housing for clients.

Clients with dual diagnoses, mental health and substance use issues in addition to their HIV/AIDS diagnosis, pose challenges to providers in not only finding housing, but keeping these clients housed. Furthermore, almost all clients come from a history of, and continue to live in, poverty. The everyday stresses and challenges associated with poverty cause unique challenges in providing services to the individual and the community as a whole.

Another barrier experienced by many HOPWA programs are challenges in finding suitable employment for their clients. The lack of employment opportunities, coupled with individual poor financial management skills, makes collecting rent from clients and ensuring housing durability a challenge.

One of the goals of the NYC EMSA HOPWA programs is to work with clients to help them achieve as much independence as possible. This challenge is made even more difficult when working with clients with mental health issues, histories of or current substance use issues, poor financial planning skills and histories of living in poverty. These are the very issues that most HOPWA clients face. Social service staff work to overcome all of these issues and help each person reach the level of independence of which they are capable.

On a macro level, changes in funding streams have affected programs to provide leveraged services for HOPWA clients. In particular, Medicaid redesign has affected funding for many agencies that administer HOPWA programs. New York City is on the forefront of efforts to invest Medicaid related savings into housing.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The NYC Men Who Have Sex with Men (MSM) population displays alarming trends in HIV incidence by age and race/ethnicity. Young MSM (<30 years of age), particularly among those of color, have seen an increase in the number and proportion of new diagnoses of HIV. In 2001, 32% of new HIV (non-AIDS) diagnoses in MSM were among those younger than 30 years old. By 2008, the proportion rose to 44%. White, Hispanic, and Black MSM under 30 years old all experienced growing numbers and proportions of newly diagnosed cases of HIV (non-AIDS), but young Black MSM, who represent 23.8% of all newly diagnosed cases of HIV (non-AIDS) in NYC in 2008, have been particularly affected. In 2008, over half of the 458 newly diagnosed Black MSM were younger than 30 years old, while proportions for Hispanics and Whites were 47% and 29%, respectively.

Through the use of HOPWA funds, DOHMH is working to address these trends. First, almost 10% of all HOPWA index clients are under the age of 30. The vast majority of HOPWA index clients are either Black/African American (59%) or Latino/Hispanic (30%). As indicated, HOPWA funds are being used to help those who are in most need of assistance.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

DOHMH routinely creates status reports on HOPWA clients' medical care status, engagement in care, clinical indicators and housing status/durability.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	3,390
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	3,390
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	0
• Assistance with rental costs	0
• Assistance with mortgage payments	0
• Assistance with utility costs.	0
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	3,390

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

<input checked="" type="checkbox"/> = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
<input checked="" type="checkbox"/> = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
<input type="checkbox"/> = Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
<input type="checkbox"/> = Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
<input checked="" type="checkbox"/> = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
<input checked="" type="checkbox"/> = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$195,594	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$2,096,902	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care	\$48,620	Housing Assistance and Supportive Services	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Emergency Solutions Grant	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: HIV/AIDS Services Administration	\$1,230,918	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: City Tax Levy	\$342,618,072	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: NYS OTDA	\$35,421,000	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Other Federal	\$5,436,000	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: COBRA	\$308,333	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: COF	\$282,730	COF Testing, Anti-Stigma Campaign, Legal Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: SAHSHA	\$3,750	Mental Health Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: LITES	\$6,436	Life Skills, Money Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: EBI	\$25,762	Prevention	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Medicaid	\$404,918	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: NYS AIDS Institute	\$17,847	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Focus	\$128,927	Mental Health	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support

Other Public: NYC DMH/Medicaid-ACT Team	\$17,289	Mental Health	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Rockland County Dept of Social Services	\$25,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Rockland County Dept of Health	\$200,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: OTDA NYSSHP Singles	\$84,000	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: CoC Shallow Rent Assistance	\$6,828	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:	\$20,147	Food Bank	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Private Funding			
Grants	\$39,609	Food pantry, ESL	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
In-kind Resources	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
TOUCH	\$50,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
ARCS	\$50,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:	\$5,195	General Operations	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash	0		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$743,850		
TOTAL (Sum of all Rows)	\$389,467,727		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	\$2,343,512
2.	Resident Rent Payments made directly to HOPWA Program	\$6,076,506
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	\$8,420,018

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	\$6,517,169
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	\$1,902,849
3.	Total Program Income Expended (Sum of Rows 1 and 2)	\$8,420,018

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	446	515	26,600	26,911	\$4,781,003	\$4,825,031
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	3,133	3,984	0	1,774	\$39,497,428	\$36,068,776
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served)	52	86	0	899	\$920,515	\$85,013
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
4.	Short-Term Rent, Mortgage and Utility Assistance	10	29	0	0	\$46,274	\$56,415
5.	Permanent Housing Placement Services	122	269	0	0	\$564,670	\$757,302
6.	Adjustments for duplication (subtract)	772	88	0	0		
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	2,991	4,795	26,600	29,584	\$45,809,890	\$41,792,537
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	0	0	0	0
9.	Stewardship Units subject to 3 or 10 year use agreements	0	0				
10.	Total Housing Developed (Sum of Rows 8 & 9)	0	0	0	0	0	0
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	1,197	1,768			\$2,709,174	\$8,046,492
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	32,500	36,801			\$1,000,000	\$1,062,824
12.	Adjustment for duplication (subtract)	772	0			0	0
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	32,925	38,569			\$3,709,174	\$9,109,316
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services	500	943			\$1,750,000	\$887,744
15.	Total Housing Information Services	500	943			\$1,750,000	\$887,744

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$1,615,105	\$1,616,777
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$1,361,175	\$1,299,634
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					\$2,976,280	\$2,916,411
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$54,245,344	\$54,706,008

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	0
2.	Alcohol and drug abuse services	457	\$799,688
3.	Case management	38,349	\$5,306,920
4.	Child care and other child services	0	0
5.	Education	454	\$641,646
6.	Employment assistance and training	73	\$35,946
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	0	0
8.	Legal services	10	\$50,000
9.	Life skills management (outside of case management)	209	\$333,798
10.	Meals/nutritional services	471	\$485,201
11.	Mental health services	423	\$1,030,290
12.	Outreach	23	\$27,402
13.	Transportation	816	\$398,425
14.	Other Activity (if approved in grant agreement). Specify:	0	0
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	41,285	
16.	Adjustment for Duplication (subtract)	2,716	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	38,569	\$9,109,316

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	29	\$56,415
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	0	
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	28	\$55,912
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	0	
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	1	\$503
g.	Direct program delivery costs (e.g., program operations staff time)		\$0

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	515	454	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	3	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	49	
			6 Institution	1	
			7 Jail/Prison	1	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	1	
			9 Death	6	
Permanent Supportive Housing Facilities/ Units	3,984	3,242	1 Emergency Shelter/Streets	14	<i>Unstable Arrangements</i>
			2 Temporary Housing	10	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	31	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	6	
			5 Other Subsidy	654	
			6 Institution	3	
			7 Jail/Prison	4	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	12	
			9 Death	8	

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	86	29	1 Emergency Shelter/Streets	5	<i>Unstable Arrangements</i>
			2 Temporary Housing	20	<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing	1	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	8	
			5 Other Subsidy	12	
			6 Institution	4	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/unknown	6	
			9 Death	1	

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0
---	---

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
29	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	1	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	0	
	Other HOPWA Housing Subsidy Assistance	18	
	Other Housing Subsidy (PH)	10	
	Institution <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	0	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	0	
	Disconnected	0	
	Death	0	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			0
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	4,795
b. Case Management	1,768
c. Adjustment for duplication (subtraction)	1,768
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	4,795
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> service:	
a. HOPWA Case Management	36,801
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	36,801

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	4,768	36,801	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	4,778	36,801	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	4,651	35,334	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	4,768	36,801	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	4,788	36,801	<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
--	--	--

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
---	--	--

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	512	15

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes
Stable Permanent Housing/Ongoing Participation

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered-site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	4,795

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	3,778
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	16
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	256
4.	Transitional housing for homeless persons	244
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	516
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	24
7.	Psychiatric hospital or other psychiatric facility	2
8.	Substance abuse treatment facility or detox center	3
9.	Hospital (non-psychiatric facility)	26
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	0
12.	Rented room, apartment, or house	342
13.	House you own	0
14.	Staying or living in someone else's (family and friends) room, apartment, or house	80
15.	Hotel or motel paid for without emergency shelter voucher	1
16.	Other	23
17.	Don't Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	4,795

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	81	446

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	4,795
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	30
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	654
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	5,479

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	2	9	0	0	11
2.	18 to 30 years	225	200	8	1	434
3.	31 to 50 years	1,388	826	17	2	2,233
4.	51 years and Older	1,460	656	1	0	2,117
5.	Subtotal (Sum of Rows 1-4)	3,075	1,691	26	3	4,795
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	228	183	0	0	411
7.	18 to 30 years	111	69	0	0	180
8.	31 to 50 years	39	19	0	0	58
9.	51 years and Older	17	18	0	0	35
10.	Subtotal (Sum of Rows 6-9)	395	289	0	0	684
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	3,470	1,980	26	3	5,479

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	6	0	0	0
2.	Asian	14	0	0	0
3.	Black/African American	2,807	140	423	59
4.	Native Hawaiian/Other Pacific Islander	4	0	0	0
5.	White	624	210	138	40
6.	American Indian/Alaskan Native & White	2	0	0	0
7.	Asian & White	2	0	0	0
8.	Black/African American & White	33	0	0	0
9.	American Indian/Alaskan Native & Black/African American	6	0	0	0
10.	Other Multi-Racial	1,297	1,104	123	105
11.	Column Totals (Sum of Rows 1-10)	4,795	1,454	684	204
Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	4,766
2.	31-50% of area median income (very low)	23
3.	51-80% of area median income (low)	6
4.	Total (Sum of Rows 1-3)	4,795

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

--

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered-Sites."

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:			Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:			Date started: Date Completed:
c.	Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:			HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered-site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: 3,347 units by various project sponsors

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling	0					
b.	Community residence	1,256	25	4	0	0	0
c.	Project-based rental assistance units or leased units	230	1,713	80	39	0	0
d.	Other housing facility <u>Specify:</u>	0	0	0	0	0	0

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs	290	\$889,339
b.	Operating Costs	1,581	\$11,753,609
c.	Project-Based Rental Assistance (PBRA) or other leased units	2,605	\$23,510,841
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>	0	0
e.	Adjustment to eliminate duplication (subtract)	406	
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	4,070	\$36,153,789

1. Grantee Information

HUD Grant Number NY-H-12-F002	Operating Year for this report January 1, 2012 – December 31, 2012
Grantee Name New York City Department of Health and Mental Hygiene	
Business Address	42-09 28 th Street, 21 st Floor
City, County, State, Zip	Queens, Queens, NY 11101
Employer Identification Number (EIN)	13-6400434
DUN & Bradstreet Number (DUNs) 08-348-9737	Central Contractor Registration Active? Yes
DUN & Bradstreet Number (DUNs)	08-348-9737
Congressional District of Business Address	12
Congressional District of Primary Service Area(s)	6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19
Zip Code of Primary Service Area(s)	N/A
City(ies) and County(ies) of Primary Service Area(s)	City of New York Counties of Bronx, Brooklyn, New York, Queens, Richmond, Putnam, Rockland, Westchester
Organization's Website Address www.nyc.gov	Does your organization maintain a waiting list? No
Have you prepared any evaluation reports? No	Is the sponsor a nonprofit organization? No

2. Project Sponsor Information

Project Sponsor Agency Name African Services Committee		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Mulusew Bekele, Director of Health Programs	
Email Address	mulusewb@africanservices.org	
Business Address	429 West 127th Street	
City, County, State, Zip	New York, New York, NY 10027	
Phone Number 212-222-3882	Fax Number 212-222-7067	
Employer Identification Number (EIN):	13-3749744	
DUN & Bradstreet Number: 79-608-7047	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15	
Congressional District(s) of Primary Service Area(s)	15	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization	\$350,000	
Organization's Website Address	www.africanservices.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name AIDS Center of Queens County		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Harriet Cohen, Director of Housing		
Email Address	hcohen@acqc.org		
Business Address	161-21 Jamaica Avenue, 7th Floor		
City, County, State, Zip	Jamaica, Queens, NY 11432		
Phone Number 718-896-2500	Fax Number 718-472-5486		
Employer Identification Number (EIN):	11-2837894		
DUN & Bradstreet Number: 17-726-7978	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	9		
Congressional District(s) of Primary Service Area(s)	6, 9, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Queens	
Total HOPWA Contract Amount for this Organization	\$2,234,741		
Organization's Website Address	www.acqc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Ali Forney Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Corby Serrano, Director of Emergency Housing		
Email Address	cserrano@aliforneycenter.org		
Business Address	224 West 35th Street		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-222-3427	Fax Number 212-222-5861		
Employer Identification Number (EIN):	30-0104507		
DUN & Bradstreet Number: 14-501-8110	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 10, 11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, Kings, New York, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$432,000		
Organization's Website Address	www.aliforneycenter.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Brooklyn AIDS Taskforce		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Eugene Aronowitz, Interim Executive Director	
Email Address		earonowitz@batf.net	
Business Address		502 Bergen Street, 2nd Floor	
City, County, State, Zip		Brooklyn, Kings, NY 11217	
Phone Number 917-204-9655		Fax Number 717-623-1158	
Employer Identification Number (EIN):		11-3031208	
DUN & Bradstreet Number: 01-500-8589		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Brooklyn	
Total HOPWA Contract Amount for this Organization	\$693,360		
Organization's Website Address	www.batf.net		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name CAMBA		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Joanne Oplustil, Executive Director	
Email Address		joanneo@camba.org	
Business Address		1720 Church Avenue	
City, County, State, Zip		Brooklyn, Kings, NY 11226	
Phone Number 718-287-2600		Fax Number 718-287-0857	
Employer Identification Number (EIN):		11-2480339	
DUN & Bradstreet Number: 16-071-5983		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Kings	
Total HOPWA Contract Amount for this Organization	\$4,136,278		
Organization's Website Address	www.camba.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Catholic Charities Neighborhood Services		Parent Company Name, if applicable Catholic Charities, Diocese of Brooklyn	
Name and Title of Contact at Project Sponsor Agency		Richard Slizeski, Director of Field Operations	
Email Address		rslizeski@ccbq.org	
Business Address		267 Hewes Street	
City, County, State, Zip		Brooklyn, Kings, NY 11201	
Phone Number 718-218-7890		Fax Number 718-218-8264	
Employer Identification Number (EIN):		11-2047151	
DUN & Bradstreet Number: 05-692-6215		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		12	
Congressional District(s) of Primary Service Area(s)		6,7,8,9,10,11,12,13,14	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Bronx, New York, Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization		\$1,561,866	
Organization's Website Address		www.ccbq.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		Yes	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name CitiWide Harm Reduction		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Julie Pena, Director of Human Services	
Email Address		jpena@citiwidehr.org	
Business Address		226 East 144th Street	
City, County, State, Zip		Bronx, Bronx, NY 10451	
Phone Number 718-292-7718		Fax Number 718-292-0500	
Employer Identification Number (EIN):		13-4009817	
DUN & Bradstreet Number: 47310474		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		15	
Congressional District(s) of Primary Service Area(s)		15	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Bronx
Total HOPWA Contract Amount for this Organization		\$820,000	
Organization's Website Address		www.citiwidehr.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Federation, Employment, and Guidance Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Dan Connor, Associate Vice President		
Email Address	dconnor@fegs.org		
Business Address	315 Hudson Street		
City, County, State, Zip	New York, New York, NY 10013		
Phone Number 212-366-0037	Fax Number 212-366-0095		
Employer Identification Number (EIN):	13-1624000		
DUN & Bradstreet Number: 04-007-4502	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	5,6,7,9,11,12,14,15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Kings, Queens	
Total HOPWA Contract Amount for this Organization	\$447,240		
Organization's Website Address	www.fegs.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Fortune Society		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Stanley Richards, Senior Vice President		
Email Address	srichards@fortunesociety.org		
Business Address	29-76 Northern Boulevard		
City, County, State, Zip	Long Island City, Queens, NY 11101		
Phone Number 212-691-7554	Fax Number 212-633-6845		
Employer Identification Number (EIN):	13-2645436		
DUN & Bradstreet Number: 07-329-9901	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$475,697		
Organization's Website Address	www.fortunesociety.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Gay Men's Health Crisis		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Joe Megie, Director of Finance, Budget and Management	
Email Address		joem@gmhc.org	
Business Address		446 West 33rd Street	
City, County, State, Zip		New York, New York, NY 10001	
Phone Number (212) 367-1566		Fax Number 212-367-1546	
Employer Identification Number (EIN):		13-3130146	
DUN & Bradstreet Number: 11-298-5254		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$3,764,472		
Organization's Website Address	www.gmhc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Harlem United Community AIDS Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Kelsey Louie, Chief Program Officer	
Email Address		klouie@harlemunited.org	
Business Address		306 Lenox Avenue, 3rd Floor	
City, County, State, Zip		New York, New York, NY 10027	
Phone Number 212-803-2857		Fax Number 212-803-2899	
Employer Identification Number (EIN):		13-3461695	
DUN & Bradstreet Number: 61-709-6862		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Bronx	
Total HOPWA Contract Amount for this Organization	\$4,536,599		
Organization's Website Address	www.harlemunited.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Institute for Community Living		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Marlyn Reynolds, Program Director		
Email Address	mreynolds@iclinc.net		
Business Address	2581 Atlantic Avenue		
City, County, State, Zip	Brooklyn, New York, NY 11207		
Phone Number 718-290-8100	Fax Number 718-290-8114		
Employer Identification Number (EIN):	13-3306195		
DUN & Bradstreet Number: 17-330-6457	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	10,11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$910,251		
Organization's Website Address	www.iclinc.net		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name MTI Residential Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Shea Gregory, Director of Residential Services		
Email Address	sgregory@mtiresidentialservices.org		
Business Address	333 Kosciuszko Street, Cellar Floor		
City, County, State, Zip	Brooklyn, Kings, NY 11221		
Phone Number 718-492-1733	Fax Number 718-492-2093		
Employer Identification Number (EIN):	11-3163261		
DUN & Bradstreet Number: 18-596-5964	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	7		
Congressional District(s) of Primary Service Area(s)	5, 7, 15, 16, 17,18		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$265,000		
Organization's Website Address	www.mtiresidentialservices.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Osborne Association		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Jenny Bardales, Coordinator, Contract Management and Quality Assurance	
Email Address		jbardales@osborneny.org	
Business Address		809 Westchester Avenue	
City, County, State, Zip		Bronx, Bronx, NY 10455	
Phone Number 718-707-2600		Fax Number 718-707-3102	
Employer Identification Number (EIN):		13-5563028	
DUN & Bradstreet Number: 08-464-1000		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$350,000		
Organization's Website Address	www.osborneny.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Project Hospitality		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Rev. Terry Troia, Executive Director	
Email Address		tetroia@projecthospitality.org	
Business Address		100 Park Avenue	
City, County, State, Zip		Staten Island, Richmond, NY 10302	
Phone Number 718-448-1544		Fax Number 718-720-5476	
Employer Identification Number (EIN):		13-3234441	
DUN & Bradstreet Number: 60-332-6992		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	13		
Congressional District(s) of Primary Service Area(s)	13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Richmond	
Total HOPWA Contract Amount for this Organization	\$2,155,480		
Organization's Website Address	www.projecthospitality.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		Yes	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Services for the Underserved		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Mark D. Collins, Director of HOPWA Program	
Email Address		mcollins@susinc.org	
Business Address		503 Fifth Avenue, 5th Floor	
City, County, State, Zip		Brooklyn, Kings, NY 11215	
Phone Number 718-852-0587		Fax Number 718-852-0631	
Employer Identification Number (EIN):		13-3586129	
DUN & Bradstreet Number: 10-367-5559		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$657,540		
Organization's Website Address	www.susinc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name 163rd Street Improvement Council		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Cassandra Perry, Executive Director	
Email Address		cperry@163council.org	
Business Address		490 East 167th Street	
City, County, State, Zip		Bronx, Bronx, NY 10456	
Phone Number 718-620-6007		Fax Number 718-620-6006	
Employer Identification Number (EIN):		13-2564957	
DUN & Bradstreet Number: 95-860-8171		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$326,655		
Organization's Website Address	www.163council.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Actors Fund		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Joseph Benincasa, Director of Housing Program Services	
Email Address		jbenincasa@actorsfund.org	
Business Address		729 7th Avenue	
City, County, State, Zip		New York, New York, NY 10019	
Phone Number 212-489-2020		Fax Number 212-489-1116	
Employer Identification Number (EIN):		13-1635251	
DUN & Bradstreet Number: 07-324-9484		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	12, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$349,951		
Organization's Website Address	www.actorsfund.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Addicts Rehabilitation Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Reginald Williams, CEO	
Email Address		rwilliams@arcfoundationinc.org	
Business Address		57 East 128th Street	
City, County, State, Zip		New York, New York, NY 10035	
Phone Number 212-427-6960		Fax Number 212-427-1095	
Employer Identification Number (EIN):		13-3729152	
DUN & Bradstreet Number: 08-040-1748		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$673,674		
Organization's Website Address	N/A		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Bailey House		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Regina Quattrochi, CEO		
Email Address	rquattrochi@baileyhouse.org		
Business Address	275 7th Avenue		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-633-2500	Fax Number 212-633-2932		
Employer Identification Number (EIN):	13-3165181		
DUN & Bradstreet Number: 12-250-6736	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$1,131,957		
Organization's Website Address	www.baileyhouse.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Bowery Residents Committee		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Muzzy Rosenblatt, Executive Director		
Email Address	muzzy@brc.org		
Business Address	131 West 25th Street, 12th Floor		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-803-5700	Fax Number 212-533-1893		
Employer Identification Number (EIN):	13-2736659		
DUN & Bradstreet Number: 08-041-3339	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$380,867		
Organization's Website Address	www.brc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Center for Urban Community Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Anthony Hannigan, Executive Director		
Email Address	tonyh@cucs.org		
Business Address	198 East 121st Street		
City, County, State, Zip	New York, New York, NY 10035		
Phone Number 212-801-3300	Fax Number 212-801-3325		
Employer Identification Number (EIN):	13-3687891		
DUN & Bradstreet Number: 83-304-2349	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	8, 12, 15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$1,174,074		
Organization's Website Address	www.cucs.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Coalition for the Homeless		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Mary Brosnan, Executive Director		
Email Address	mbrosnan@cfthomeless.org		
Business Address	129 Fulton Street		
City, County, State, Zip	New York, New York, NY 10038		
Phone Number 212-776-2163	Fax Number 212-964-0952		
Employer Identification Number (EIN):	13-3072967		
DUN & Bradstreet Number: 17-797-2494	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$480,000		
Organization's Website Address	www.coalitionforthehomeless.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Common Ground Management Corporation		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Brenda Rosen, Executive Director		
Email Address	brosen@commonground.org		
Business Address	505 Eighth Avenue, 15th Floor		
City, County, State, Zip	New York, New York, NY 10018		
Phone Number 212-389-9356	Fax Number 212-389-9311		
Employer Identification Number (EIN):	13-3871134		
DUN & Bradstreet Number: 62-522-1189	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	10,12,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, New York, Bronx	
Total HOPWA Contract Amount for this Organization	\$387,807		
Organization's Website Address	www.commonground.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Comunilife		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Rosa Gil, President		
Email Address	rgil@comunilife.org		
Business Address	214 West 29th Street, 8th Floor		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-219-1618	Fax Number 212-643-0634		
Employer Identification Number (EIN):	13-3530299		
DUN & Bradstreet Number: 78-112-3005	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	11, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, Kings, Queens	
Total HOPWA Contract Amount for this Organization	\$4,230,677		
Organization's Website Address	www.comunilife.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Community Access		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Steve Coe, Executive Director		
Email Address	steve@cairn.org		
Business Address	2 Washington Street, 9th Floor		
City, County, State, Zip	New York, New York, NY 10004		
Phone Number 212-780-1400	Fax Number 212-780-1412		
Employer Identification Number (EIN):	23-7399839		
DUN & Bradstreet Number: 09-8897523	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$220,324		
Organization's Website Address	www.communityaccess.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name DOMI-Turning Point		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Carlton Mitchell, Interim Executive Director		
Email Address	cmitchell@tpdomi.org		
Business Address	5220 4th Avenue		
City, County, State, Zip	Brooklyn, Brooklyn, NY 11220		
Phone Number 718-439-0077	Fax Number 718-439-3965		
Employer Identification Number (EIN):	11-2838138		
DUN & Bradstreet Number: 78-139-0554	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	12		
Congressional District(s) of Primary Service Area(s)	8, 9, 10, 12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$930,269		
Organization's Website Address	www.tpdomi.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name FACES, NY		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Violet Tabor, Executive Director		
Email Address	vtabor@facesny.org		
Business Address	317 Lenox Avenue, 10th Floor		
City, County, State, Zip	New York, New York, NY 10027		
Phone Number 212-283-9180	Fax Number 212-864-1614		
Employer Identification Number (EIN):	13-3449087		
DUN & Bradstreet Number: 62-321-8856	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$370,613		
Organization's Website Address	www.facesny.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Flemister Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Timothy Mitchell, Executive Director		
Email Address	tmitchell@flemisterhouse.org		
Business Address	527 West 22nd Street		
City, County, State, Zip	New York, New York, NY 10011		
Phone Number 212-604-0124	Fax Number 212-604-0177		
Employer Identification Number (EIN):	13-3725394		
DUN & Bradstreet Number: 83-187-0964	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$413,511		
Organization's Website Address	N/A		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Friends House		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Annie Soriano, Executive Director		
Email Address	asoriano@friendshousenyc.org		
Business Address	130 East 25th Street		
City, County, State, Zip	New York, New York, NY 10010		
Phone Number 212-995-5000	Fax Number 212-995-5317		
Employer Identification Number (EIN):	13-3374465		
DUN & Bradstreet Number: 61-185-2760	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$316,235		
Organization's Website Address	www.friendshousenyc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Harlem Congregations for Community Improvement		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Derek Broomes, President & CEO		
Email Address	dbroomes@hcci.org		
Business Address	2854 Frederick Douglass Boulevard		
City, County, State, Zip	New York, New York, NY 10039		
Phone Number 212-281-4887	Fax Number 212-281-8102		
Employer Identification Number (EIN):	13-3516262		
DUN & Bradstreet Number: 80-453-5433	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$569,306		
Organization's Website Address	www.hcci.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	Yes		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Heartshare Human Services of New York		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	William Guarinello, President/CEO		
Email Address	william.guarinello@heartshare.org		
Business Address	12 Metrotech Center		
City, County, State, Zip	Brooklyn, Kings, NY 11201		
Phone Number 718-422-4200	Fax Number 718-522-4506		
Employer Identification Number (EIN):	11-1633549		
DUN & Bradstreet Number: 80-186-2772	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10, 11, 13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$310,586		
Organization's Website Address	www.heartshare.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Heritage Health and Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Alvaro Simmons, Ph. D, Interim Executive Director		
Email Address	asimmons@heritagenyc.org		
Business Address	416 West 127th Street		
City, County, State, Zip	New York, New York, NY 10027		
Phone Number 212-866-2600	Fax Number 212-864-5549		
Employer Identification Number (EIN):	13-2661509		
DUN & Bradstreet Number: 82-537-3855	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	8, 12, 15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$405,199		
Organization's Website Address	www.heritagehousing.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name HOGAR		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Noris Colon, President		
Email Address	nmColon@hogar-inc.org		
Business Address	751 Dawson Street		
City, County, State, Zip	Bronx, New York, NY 10455		
Phone Number 718-742-7646	Fax Number 718-742-7672		
Employer Identification Number (EIN):	13-3887707		
DUN & Bradstreet Number: 19-8047289	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$254,440		
Organization's Website Address	www.hogar-inc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Housing & Services Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	James Dill, Executive Director		
Email Address	jdill@hsi-ny.org		
Business Address	461 Park Avenue South, 6th Floor		
City, County, State, Zip	New York, New York, NY 10016		
Phone Number 212-252-9377	Fax Number 212-252-9322		
Employer Identification Number (EIN):	51-0201833		
DUN & Bradstreet Number: 18-927-9276	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$690,481		
Organization's Website Address	www.hsi-ny.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Housing Works, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Daniel Fleischman, Director of Housing Development	
Email Address		d.fleischman@housingworks.org	
Business Address		57 Willoughby Street, 2nd Floor	
City, County, State, Zip		Brooklyn, Brooklyn, NY 11201	
Phone Number 347-473-7407		Fax Number 347-476-7464	
Employer Identification Number (EIN):		13-3584089	
DUN & Bradstreet Number: 62-785-3146		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 9, 10, 11, 12, 13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, New York	
Total HOPWA Contract Amount for this Organization	\$381,255		
Organization's Website Address	www.housingworks.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Iris House		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Ingrid Floyd, Executive Director	
Email Address		lcarter@irishouse.org	
Business Address		2348 Adam Clayton Powell Jr. Boulevard	
City, County, State, Zip		New York, New York, NY 10030	
Phone Number 646-548-0100		Fax Number 646-548-0200	
Employer Identification Number (EIN):		13-3699201	
DUN & Bradstreet Number: 94-616-2104		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$583,167		
Organization's Website Address	www.irishouse.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Lantern Community Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Alissa Kampner Rudin, Chief Operating Officer	
Email Address		arudin@lanterngroup.org	
Business Address		49 West 37th Street, 12th Floor	
City, County, State, Zip		New York, New York, NY 10018	
Phone Number 212-398-3073		Fax Number 212-398-3071	
Employer Identification Number (EIN):		13-3987841	
DUN & Bradstreet Number: 07-137-3224		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	10,15,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Kings, Bronx	
Total HOPWA Contract Amount for this Organization	\$2,092,726		
Organization's Website Address	www.lanterngroup.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Lower East Side Service Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Herbert Barish, Chief Executive Officer	
Email Address		hbarish@lesc.org	
Business Address		80 Maiden Lane, 2nd Floor	
City, County, State, Zip		New York, New York, NY 10038	
Phone Number 212-566-5372		Fax Number 212-732-5224	
Employer Identification Number (EIN):		13-3648597	
DUN & Bradstreet Number: 12-2608115		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	12		
Congressional District(s) of Primary Service Area(s)	12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$72,260		
Organization's Website Address	www.lesc.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Narrangansett		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	James Dill, Executive Director		
Email Address	jdill@hsi-ny.org		
Business Address	461 Park Avenue South, 6th Floor		
City, County, State, Zip	New York, New York, NY 10016		
Phone Number 212-252-9377	Fax Number 212-252-9322		
Employer Identification Number (EIN):		13-3685749	
DUN & Bradstreet Number: 01-967-4271		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$544,742		
Organization's Website Address	www.hsi-y.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Odyssey Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Peter Provet, Chief Executive Officer		
Email Address	pprovet@odysseyhousing.org		
Business Address	95 Pine Street		
City, County, State, Zip	New York, New York, NY 10005		
Phone Number 212-361-1600	Fax Number 212-361-1666		
Employer Identification Number (EIN):		13-4054564	
DUN & Bradstreet Number: 82-694-8700		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$328,917		
Organization's Website Address	www.odysseyhouseinc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Palladia, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Lynda Jones-Johnson, Director		
Email Address	lyndajones@palladiainc.org		
Business Address	1616 Grand Avenue		
City, County, State, Zip	New York, New York, NY 10453		
Phone Number 718-294-1700	Fax Number 718-294-7316		
Employer Identification Number (EIN):	23-7089380		
DUN & Bradstreet Number: 07-860-6761	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	7, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$290,821		
Organization's Website Address	www.palladiainc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Pratt Area Community Council, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Deborah Howard, Executive Director		
Email Address	deb_howard@prattarea.org		
Business Address	201 DeKalb Avenue		
City, County, State, Zip	New York, Brooklyn, NY 11205		
Phone Number 718-522-2613	Fax Number 718-222-3292		
Employer Identification Number (EIN):	11-2451752		
DUN & Bradstreet Number: 15-881-7213	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$382,768		
Organization's Website Address	www.prattarea.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name PRAXIS Housing Initiatives		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Svein Jorgensen, Executive Director		
Email Address	sjorgensen@praxishousing.org		
Business Address	17 Battery Place, Suite 307		
City, County, State, Zip	New York, New York, NY 10004		
Phone Number 212-293-8404	Fax Number 212-293-8420		
Employer Identification Number (EIN):		13-3832223	
DUN & Bradstreet Number: 88-398-7752		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	11, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, Bronx	
Total HOPWA Contract Amount for this Organization	\$582,660		
Organization's Website Address	www.praxishousing.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Project Renewal, Inc.		Parent Company Name, if applicable Project Renewal Fund, Inc.	
Name and Title of Contact at Project Sponsor Agency	Mitchell Netburn, President/CEO		
Email Address	mitchell.netburn@projectrenewal.org		
Business Address	200 Varick Street, 9th Floor		
City, County, State, Zip	New York, New York, NY 10014		
Phone Number 212-620-0340	Fax Number 212-633-9044		
Employer Identification Number (EIN):		13-2602882	
DUN & Bradstreet Number: 05-784-1710		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$247,553		
Organization's Website Address	www.projectrenewal.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Ryer Avenue		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Sis. Dorothy Sheahan, Executive Director	
Email Address		acasamento@ryerhdfc.org	
Business Address		2386 Ryer Avenue	
City, County, State, Zip		Bronx, Bronx, NY 10458	
Phone Number 718-329-0600		Fax Number 718-309-0101	
Employer Identification Number (EIN):		13-3604402	
DUN & Bradstreet Number: 80-789-5453		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	7, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$487,317		
Organization's Website Address	www.ryerhdfc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name St. Mary's Supportive Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Marianne DiTommaso, Vice President	
Email Address		mditomaso@svwsjmc.org	
Business Address		1216 Bay Street	
City, County, State, Zip		Staten Island, Richmond, NY 10305	
Phone Number 718-982-4740		Fax Number 718-982-4741	
Employer Identification Number (EIN):		75-3144518	
DUN & Bradstreet Number: 18-327-0193		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$192,916		
Organization's Website Address	N/A		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name St. Nicks Alliance Corporation		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Cindy Ross, Director of Finance		
Email Address	cross@stnicksalliance.org		
Business Address	2 Kingsland Avenue, 1st Floor		
City, County, State, Zip	Brooklyn, Kings, NY 11211		
Phone Number 347-856-5520	Fax Number 718-486-5982		
Employer Identification Number (EIN):		51-0192170	
DUN & Bradstreet Number: 09-376-4231		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	10, 11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$1,744,908		
Organization's Website Address	www.stnicksalliance.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name The DOE Fund, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Yarmila Gabron, Director of Supportive Housing Services		
Email Address	ygabron@doe.org		
Business Address	232 East 84th Street		
City, County, State, Zip	New York, New York, NY 10028		
Phone Number 646-672-4311	Fax Number 212-860-8126		
Employer Identification Number (EIN):		13-3412540	
DUN & Bradstreet Number: 60-964-3598		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$310,464		
Organization's Website Address	www.doe.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Unique People Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Yvette Brisset-Andre, Executive Director	
Email Address		yvetteba@uniquepeopleservices.org	
Business Address		4234 Vireo Avenue	
City, County, State, Zip		Bronx, Bronx, NY 10470	
Phone Number 718-231-7711		Fax Number 718-231-7720	
Employer Identification Number (EIN):		13-3636555	
DUN & Bradstreet Number: 84-791-2466		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	6,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$1,453,248		
Organization's Website Address	www.uniquepeopleservices.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name University Consultation Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		J.W. Smith, Program Manager	
Email Address		N/A	
Business Address		1020 Grand Concourse, Suite 7	
City, County, State, Zip		New York, New York, NY 0	
Phone Number 718-293-8400		Fax Number 718-293-1461	
Employer Identification Number (EIN):		13-1944395	
DUN & Bradstreet Number: 08-305-9865		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	7, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$511,174		
Organization's Website Address	N/A		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Volunteers of America Greater New York		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Avia Rose, Director of Finance		
Email Address	arose@voa-gny.org		
Business Address	340 West 85th Street		
City, County, State, Zip	New York, New York, NY 10024		
Phone Number 212-873-2600	Fax Number 212-874-3441		
Employer Identification Number (EIN):	58-1959781		
DUN & Bradstreet Number: 36-115-7287	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	14, 15, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$2,166,875		
Organization's Website Address	www.voa-gny.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Westside Federation for Senior Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Laura Jervis, Executive Director		
Email Address	ljervis@wsfssh.org		
Business Address	2345 Broadway		
City, County, State, Zip	New York, New York, NY 10024		
Phone Number 212-721-6032	Fax Number 212-721-6043		
Employer Identification Number (EIN):	13-2926433		
DUN & Bradstreet Number: 17-887-7254	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$152,635		
Organization's Website Address	N/A		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Putnam Department of Social Services		Parent Company Name, if applicable Putnam County	
Name and Title of Contact at Project Sponsor Agency		Renne Jarrett, Case Supervisor	
Email Address		renee.jarrett@dfa.state.ny.eus	
Business Address		110 Old Rt. 6, Building 2	
City, County, State, Zip		Carmel, Putnam, NY 10512	
Phone Number 845-808-1500		Fax Number 845-225-8635	
Employer Identification Number (EIN):		14-60002759	
DUN & Bradstreet Number: 07-270-9553		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	19		
Congressional District(s) of Primary Service Area(s)	19		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	N/A		Putnam
Total HOPWA Contract Amount for this Organization	\$66,511		
Organization's Website Address	www.putnamcountyny.com		
Is the sponsor a nonprofit organization?	No		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Rockland County Office of Community Development		Parent Company Name, if applicable Rockland County	
Name and Title of Contact at Project Sponsor Agency		Cinthia Santiago, Assistant to the Director	
Email Address		santiagc@co.rockland.ny.us	
Business Address		50 Sanatorium Road, Building K	
City, County, State, Zip		Pomona, Rockland, NY 10907	
Phone Number 845-364-3939		Fax Number 845-364-3940	
Employer Identification Number (EIN):		13-6007344	
DUN & Bradstreet Number: 07-543-7848		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	17		
Congressional District(s) of Primary Service Area(s)	17, 18, 19		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	N/A		Rockland
Total HOPWA Contract Amount for this Organization	\$409,458		
Organization's Website Address	www.co.rockland.ny.us		
Is the sponsor a nonprofit organization?	No		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Grace Church Community Center, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Barbara Bento-Fleming, Housing Director		
Email Address	bbento-fleming@gracecommunitycenter.org		
Business Address	35 Orchard Street		
City, County, State, Zip	White Plains, Westchester, NY 10605		
Phone Number 914-949-0925	Fax Number 914-686-3077		
Employer Identification Number (EIN):	13-3121606		
DUN & Bradstreet Number: 6253758111	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	0		
Congressional District(s) of Primary Service Area(s)	Westchester County		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	N/A	Westchester County	
Total HOPWA Contract Amount for this Organization	\$1,244,408		
Organization's Website Address	www.gracecommunitycenter.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name City of Mount Vernon		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Carmen Sylvester, Executive Director		
Email Address	csylvester@ci.mount-vernon.ny.us		
Business Address	One Roosevelt Square - 2nd Floor.		
City, County, State, Zip	Mount Vernon, Westchester, NY 10550		
Phone Number 914-699-7230	Fax Number 914-699-1435		
Employer Identification Number (EIN):	13-2574843		
DUN & Bradstreet Number: 098220981	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	17		
Congressional District(s) of Primary Service Area(s)	Mount Vernon		
Zip Code(s) of Primary Service Area(s)	10550		
City(ies) and County(ies) of Primary Service Area(s)	Mount Vernon	Westchester County	
Total HOPWA Contract Amount for this Organization	\$357,246		
Organization's Website Address	www.cmvny.com		
Is the sponsor a nonprofit organization?	No		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name The Sharing Community, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Robert L. Zoft, Executive Director		
Email Address	robz@thesharingcommunity.org		
Business Address	1 Hudson Street		
City, County, State, Zip	Yonkers, Westchester, NY 10701		
Phone Number 914-963-2626	Fax Number 914-969-7877		
Employer Identification Number (EIN):	13-3186666		
DUN & Bradstreet Number: 150865087	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	18		
Congressional District(s) of Primary Service Area(s)	Yonkers		
Zip Code(s) of Primary Service Area(s)	10701		
City(ies) and County(ies) of Primary Service Area(s)	Yonkers	Westchester County	
Total HOPWA Contract Amount for this Organization	\$178,500		
Organization's Website Address	www.thesharingcommunity.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Greyston Health Services		Parent Company Name, if applicable Greyston Foundation	
Name and Title of Contact at Project Sponsor Agency	Matthew Shelley, Senior Vice-President of Health & Human Services		
Email Address	matthews@greyston.org		
Business Address	21 Park Avenue		
City, County, State, Zip	Yonkers, Westchester, NY 10701		
Phone Number 914-376-3900	Fax Number 914-376-1333		
Employer Identification Number (EIN):	13-3668065		
DUN & Bradstreet Number: 361509743	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	18		
Congressional District(s) of Primary Service Area(s)	Yonkers		
Zip Code(s) of Primary Service Area(s)	10701		
City(ies) and County(ies) of Primary Service Area(s)	Yonkers	Westchester County	
Total HOPWA Contract Amount for this Organization	\$178,500		
Organization's Website Address	www.greyston.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Westchester County Department of Planning		Parent Company Name, if applicable County of Westchester	
Name and Title of Contact at Project Sponsor Agency		Dana Sanchez, Program Administrator	
Email Address		das5@westchestergov.com	
Business Address		148 Martine Avenue - Room 414	
City, County, State, Zip		White Plains, Westchester, NY 10601	
Phone Number 914-995-4602		Fax Number 914-995-9093	
Employer Identification Number (EIN):		13-6007353	
DUN & Bradstreet Number: 11-747-7302		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		17	
Congressional District(s) of Primary Service Area(s)		17, 18, 19, 20	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		N/A	Westchester
Total HOPWA Contract Amount for this Organization		\$60,575	
Organization's Website Address		www2.westchestergov.com	
Is the sponsor a nonprofit organization?		No	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

B. Other Funding Sources

This section, Other Funding Sources, is divided into two parts: a narrative and a table. The narrative describes the funds received from HUD competitive programs, the State, City tax-levy funds, and other federal (non-HUD) funding. A description of the programs listed in the table can be found in the 2012 Consolidated Plan. The funds the City actually received in 2012 for the four formula entitlement grants are outlined on Table 1, in the previous section, I.A.

DCP Form 3, **Summary Table of Other Funding Sources**, has been divided into six parts: **Part i.** New York City Housing Authority Funds, which includes NYCHA's Public Housing Capital Fund, Public Housing Drug Elimination Program, and HOPE VI Program; **Part ii.** HUD Competitive Programs, includes the Section 202 and Section 811 Programs; **Part iii.** State Funds, includes funds that are provided to match federal and city funds, and non-matching funds; **Part iv.** City Funds, includes city funds to match federal funds, plus non-matching funds; and **Part v.** Total Funding Sources is the aggregation of Parts i-iv.

In addition, the allocations are presented in two columns, A. and B., which indicate how funds will be received: if Column A is designated, the funds will come directly through a City agency; if Column B is selected the money will be received by another entity in the City, such as NYCHA or a not-for-profit organization. The figures in both columns refer to funds awarded in calendar year 2012, not to funds which were previously awarded and are still available.

For the most part, the allocations provided here follow the Consolidated Plan year for the four formula programs, CDBG, ESG, HOME and HOPWA. However, it is important to note that not all of the estimates on this table are presented in terms of the Consolidated Plan Year. Since each governmental entity (i.e., federal, state, and city) uses a different 12 month period to define its fiscal year, the various estimates are based on the fiscal year of the relevant level of government. For example, the projections for the City's contributions are based on the City's fiscal year (July 1 to June 30), while the State figures are reported according to the State fiscal year (April 1 to March 31). In the case of Federal funds, the fiscal year is October 1 to September 30.

i. New York City Housing Authority Funds

In addition to the HUD formula/entitlement programs, the New York City Housing Authority (NYCHA) receives HUD funds to operate its facilities. Please refer to the 2012 Consolidated Plan for a description of NYCHA's programs.

Line 1. Public Housing Capital Fund Program

In 2012, NYCHA received from HUD, through the Capital Fund Program, approximately **\$270,369,594** for building modernization. These funds will be primarily devoted to moderate rehabilitation activities that benefit low- and very low- income households in Federally subsidized housing projects. NYCHA obligated (awarded contracts) **\$217,600,000** in Capital Fund Program funds during 2012.

Line 2. HOPE VI

NYCHA decided not to apply for HOPE VI in 2012. Therefore, **\$0** has been entered on line 2, column B.

i. Subtotal - New York City Housing Authority Funds (lines 1-2) for column B, **\$270,369,594**.

ii. HUD Competitive Programs

HUD releases notices of funding availability (NOFAs) several times a year; the allocation of these funds is made on a competitive basis. In addition to the City of New York, NYCHA and not-for-profit organizations are eligible to apply for funds. In some cases, the City of New York has applied for this money and been awarded funds. Listed below are the funds awarded to the City during 2012. Column A lists the funds that City agencies received directly, and column B lists the funds NYCHA, a non-for-profit organization, or another entity in the City received.

Line 3. Continuum of Care Super-NOFA

Section 8 Moderate Rehab SRO, Shelter Plus Care and Supportive Housing

The HUD Continuum of Care Notice of Funding Availability (NOFA) is administered in New York City by the New York City Coalition on the Continuum of Care (NYC CCoC) which coordinates and prepares applications for Shelter Plus Care rental assistance and Supportive Housing Program grants. The Shelter Plus Care Program provides rental assistance for hard-to-serve homeless persons with disabilities. The Supportive Housing Program is designed to promote the development of supportive housing and supportive services to assist homeless persons to live independently.

The 2012 HUD NOFA competition was not completed in the 2012 program year. The Bonus projects for 2011 NOFA year were, however, announced in 2012 and NYC CCoC's three new projects were awarded a combined total of \$6,228,566.

Therefore, a combined total of **\$6,228,566** has been entered on line 3, column B.

Line 4. Section 202 Supportive Housing for the Elderly

No funding for Section 202 projects was included in the 2012 NOFA, therefore no new Section 202 funds were awarded in 2012.

Therefore, **\$0** is entered on line 4, column B.

Line 5. Section 811 Supportive Housing for People with Disabilities

*An update to the status of the New York Region's competitive grant applications was unavailable at the time the Proposed 2012 Consolidated Plan Annual Performance Report went to print. Therefore, **TBD** has been entered on line 5, column B.*

Line 6. Mainstream Housing Opportunities for Persons with Disabilities

In 2012, HUD did not issue a new Notice of Funding Availability (NOFA) for the Mainstream Housing Opportunities for Persons with Disabilities Program (Section 8 Mainstream Program). NYCHA was funded for 120 units. The 60 months of funding for the 20 units awarded in May 2006 was completed in 2011. Therefore, **\$0** has been entered on line 6, column B.

Line 7. Section 8 Family Self-Sufficiency (FSS) Coordinators

HPD applied for this HUD grant in April 2012. In September, 2012 HUD awarded HPD an initial grant of \$2,129,080, which was later adjusted in February 2013 by HUD to \$1,373,600 (line 7, column A). The grant will fund the hiring of 20 FSS Coordinators.

Line 8. Family Unification Program

NYCHA did not receive any funding for this program. **\$0** has been entered on line 8, column B.

For a description of NYCHA's existing Family Unification Program, please see Part II. B., Continuum of Care.

Line 9. Housing Opportunities for Persons with AIDS

The competitive grant provides states, localities and nonprofit organizations with resources and incentives to devise strategies for meeting the housing and related supportive service needs of low-income persons with HIV/AIDS and their families. Funds are divided into three categories: (1) grants for Special Projects of National Significance (SPNS); and (2) grants for projects that are part of Long Term Comprehensive Strategies (Long Term); and (3) grants for Renewal of Permanent Supportive Housing.

In March 2012, HUD awarded one NYC not-for-profit an SPNS permanent supportive housing renewal grant of **\$1,081,922** (Reported in line 9, column B).

Line 10. Lead Hazard Reduction Demonstration Grant Program

In November, 2011 HUD announced a Notice of Funding Availability (NOFA) for the 2012 Lead Hazard Reduction Demonstration Grant. HPD submitted an application for the grant and in March 2012 was awarded **\$3.0 million** (line 10, column A). The goal is to complete 240 units within targeted areas in the Bronx, Queens and Brooklyn. This 36-month grant started June 1, 2012 and will end on May 31, 2015.

ii. Subtotal - Competitive Programs (lines 3 –10) is **\$4,373,600** for column A, and approximately **\$7,310,500** for column B.

Total Federal (HUD) Funds The total for Federal HUD funds (New York City Housing Authority Funds and Competitive Grants) received in 2012 by New York City agencies, and other entities within the City are approximately: **\$280,311,600** (Lines 1–10, column A, and Federal Formula/Entitlement Programs; complete description in Section A), and approximately **\$283,775,900** (lines 1–10, column B), respectively.

iii. State Funds

The State of New York provides funding through City agencies for housing, homeless and supportive housing services. The State funds listed in column A are administered by a New York City agency. Column B lists the programs which are operated by NYCHA and not-for-profit entities. The following programs have received State funds:

Line 11. Affordable Homeownership Development Program

The Affordable Homeownership Development Program is administered by the New York State Affordable Housing Corporation, a subsidiary of the New York State Housing Finance Agency. In 2012, HPD was awarded **\$2,040,000** (line 11, column A) for 3 projects consisting of the new construction and home improvement of 113 homeownership units for low and moderate income households.

Line 12. Homeless Housing Assistance Program

The Homeless Housing and Assistance program expands the supply of housing for homeless persons through the provision of capital grants and loans for housing development and preservation. Grants are provided to not-for-profit organizations and may be used to develop emergency, transitional and permanent housing for the homeless. Eligible costs include land/building acquisition; capital improvements (rehabilitation and new construction); professional fees (i.e. architectural, legal); and other costs associated with project development. Approved projects must be operated as homeless housing for a period of not less than twenty-five years and rents cannot exceed the public assistance shelter allowance or 30% of income. In SFY '11-'12 **\$18,227,880** (line 12, column B) was allocated for

New York City projects, including \$4,500,000 specifically dedicated for the development of housing for persons living with AIDS. There were 98 units of permanent self-contained housing for families, 0 emergency units for families, and 148 units of SRO housing completed in SFY '11-'12. In addition 140 units were completed toward the NY/NY III Agreement with New York City.

Line 13. Low Income Housing Tax Credit (LIHTC)

In 2012, HPD awarded a total of **\$14.6 million** in federal Low Income Housing Tax Credits for 19 developments under the competitive funding round for 2012. HPD's authority is negotiated annually with the State of New York, which in turn receives a fixed amount determined by a per capita formula from the U.S. Department of the Treasury (IRS). These credits will help build or rehabilitate 926 apartments of which 875 will be affordable for low-income families in Manhattan, the Bronx, Queens and Brooklyn.

Line 14. Low Income Housing Trust Fund Program (LIHFT)

This program was created to address the shortage of decent, affordable housing for low-income people. It provides funding to non-profit corporations, municipalities, counties, housing authorities, private developers and partnerships to build or rehabilitate housing or convert non-residential properties to house low-income homesteaders, tenants, tenant cooperators or condominium owners. It provides housing for the homeless and those with special needs, large families, the elderly and disabled, and persons with incomes with income less than 80 percent of median in New York City. Applications for funding are processed through the Division of Housing and Community Renewal's Unified Funding Application Process. The actual amount of funds approved by the HTFC Board for New York City projects in SFY '11-'12 was **\$2,870,900** (line 14, column B). Funding commitments were executed with awardees in NYC in the amount of \$9,427,856. There were 148 units completed in 7 projects in New York City in SFY '11-'12.

Line 15. RESTORE

RESTORE, administered by the New York State Housing Trust Fund Corporation, is targeted to low-income elderly homeowners and provides grants and loans of up to \$7,500 per unit for emergency home repairs. Funds are made available to not-for-profit organizations and municipalities for projects. Contracts were limited to \$75,000 to each entity, with a maximum of \$7,500 per unit. Applications are processed through a NOFA (notice of funds available). A total of \$2,040,000 was made available for 391 units in SFY '10-'11. For RESTORE 2011-12 contracts in the NYC area, there were 4 awardees that assisted a total of 57 units. Therefore, **\$300,000** has been entered on line 15, column B.

iii. Total State Funds

The total State of New York funds the City of New York allocated for housing, homeless and supportive housing services is **\$16,640,000** (lines 11-15, column A). NYCHA, not-for-profit agencies and other entities expect to allocate approximately **\$21,398,800** (lines 11-15, column B) of state funds within the City of New York.

iv. City Funds

Column A

Line 16. DHS City Funds

a. DHS Expense with Federal Funds

DHS used approximately \$870.759 million in City Fiscal Year 2012 to administer the Continuum of Care program for the homeless. Not including CDBG and ESG funds used by DHS to provide homeless services, the total is approximately \$861.307 million. Of this, approximately **\$548,734,672** (line 16a, column A) was used in conjunction with Federal funds. This includes approximately \$7.9 million in City Tax Levy funds used to match ESG funds

received from HUD. Excluding this ESG Match, the \$541.085 million comes from three funding sources, approximately 48% City tax levy, 13% State of New York and 40% from Federal funds. The primary Federal funding source is the U.S. Department of Health and Human services (HHS) Temporary Assistance to Need Families (TANF) program. The New York State funds represent the State's match of TANF funds.

- b. **DHS Capital without Federal Funds**
The City expended approximately **\$25,663,000** in City Capital funds for DHS programs (line 16b, column A).
- c. **DHS Expense without Federal Funds**
In addition to the approximately \$7.9 million in City Tax Levy funds used for the ESG match, the City used approximately **\$312.573 million** (line 16c, column A) in CFY 2012 for the provision of homeless services without Federal Funds. This represents, in addition to the ESG match, the City's contribution to the Continuum of Care that does not involve Federal funding. City tax levy funds are matched with two types of funding from New York State, funding to reimburse localities for local adult shelter expenditures and SRO operating subsidies. The **\$312.573 million** does not include CD or ESG funds used by DHS to administer homeless services.

Line 17. HPD City Funds

- a. HPD's total capital budget actuals for 2012 from all funding sources (including HUD) is approximately 287,961,000. Of that amount \$189,350,000 comes from the City. Of the City funds, **\$101,958,000** (line 17a, column A) are scheduled for programs that use City funds in conjunction with Federal funds (CDBG, HOME, etc.). The remaining \$87,392,000 of City funds are used in programs that do not receive Federal funds.

The City uses a portion of this \$101,958,000 figure to meet its 12.5% requirement to match HOME funds, in addition to using the appraised value of tax exemptions. Please refer to the HOME Match Report located in Section A. for a description of the City's matching contribution to federally funded HOME Investment Partnership projects.

In 2012, the HOME Program Income generated was \$8,437,255.

- b. **HPD Expense with Federal Funds**
HPD's total expense budget actuals for 2012 from all funding sources (including HUD) was \$663,266,173. Of that amount, \$65,625,023 comes from the City (tax levy, Inter-Fund Agreement (IFA), and Intra-City). Of the City funds, approximately **\$31,864,014** (line 17b, column A) is scheduled for programs that use City funds in conjunction with Federal funds (CDBG, HOME, etc.). The remaining approximately \$33,761,010 of City funds are used in programs that do not receive Federal funds.
- c. **HPD Capital without Federal Funds**
In 2012 HPD committed approximately **\$87,392,000** (line 17c, column A) in programs that receive no Federal funds.
- d. **HPD Expense without Federal Funds**
In 2012, HPD budgeted approximately **\$33,761,010** (line 17d, column A) in programs that receive no Federal funds.

HPD Capital and Expense

As the primary housing agency in the City of New York, HPD has used both City capital and tax levy funds to develop housing programs to address the needs of low and moderate income households.

Although the funds received from the federal government are an essential element in the City's housing policy, HPD has created 20 housing programs with no federal funds for the purposes of increasing housing production and maintaining the existing housing stock through the following activities: new construction, substantial and moderate rehabilitation, code enforcement, operating and maintenance costs, planning and administration, homeless prevention, infrastructure improvements, public service improvements, homeless assistance, rental assistance and other activities.

Arverne Urban Renewal Area (URA) Special Projects
Edgemere URA
Gateway Estates
Home Improvement Program (HIP)
Housing Education Program (HEP)
Inclusionary Housing Program
In-Rem Lead
Melrose Commons URA
Nehemiah Gateway Estates at Spring Creek
New York City Partnership New Homes Program

Senior Citizen Home Assistance Program (SCHAP)
Taxable "80/20" Program
Tenant Support Services
Urban Renewal Associated Costs
Multifamily Rental – Mod/Mid
Year 15 / Low-Income Housing Tax Credit Program (LIHTC)
Mortgage Assistance Program
West Bushwick URA
Broadway Triangle URA

Line 18.HRA City Funds

HRA HASA Services are funded with a combination of the funding sources outlined below. In City Fiscal Year 2013 the total HASA budget was \$223.2 million for case management, housing, support services, and expenses for the design and implementation of HASA's Model offices.

In City fiscal year 2013, projected City tax levy funding for HASA was approximately **\$101.1 million** (line 18, column A).

HASA received the following additional money not listed in the HUD Variables chart. The amounts are approximately \$39.4 million in New York State matching funds; and \$82.7 million in federal funds. The numbers do not include expenditures for rental assistance and enhanced rental assistance, housing related special grants, or nutrition and transportation benefits paid through public assistance.

Column B

Line 19. NYCHA City Capital without Federal Funds

The City allocates Capital funds for the modernization of NYCHA developments. In CFY 2012 the following funds were allocated for modernization work at NYCHA developments: Mayoral Capital funds in the amount of **\$5.26** million, City Council funds in the amount of **\$12.57** million and Borough President Discretionary Capital funds in the amount of **\$0.95** million. Therefore, the total of the three sources is entered on line 19, column B as **\$18.78 million**.

v. Total City Funds

The Total Funds the City of New York expects to allocate for housing, homeless and supportive housing services is approximately **\$1,243,045,600** column A, and the amount the City is expected to provide to NYCHA is represented in column B, **\$18.78 million**.

vi. Total Funding Sources

Line 20. Federal Sources

In column A, the City received federal funds from three funding sources:

- a. \$ 275,938,007 Federal Formula/Entitlement Programs (see Section A for a complete description);
- c. \$ 4,373,600 subtotal HUD Competitive Programs (part ii)
- d. \$ 0 subtotal HUD Fair Housing and Housing Counseling (see AFFH Statement)
- e. **\$280,311,607 Total Federal Sources Received by the City**

In column B, NYCHA and other entities received federal funds from three funding sources:

- b. \$ 270,369,594 subtotal New York City Housing Authority Funds (Part i)
- c. \$ 7,310,488 subtotal HUD Competitive Programs (Part ii)
- d. \$ 6,095,775 subtotal HUD Fair Housing and Housing Counseling (see AFFH Statement)
- e. **\$ 283,775,857 Total Federal Sources Received by Other Entities**

Line 21. Total State Sources

In column A, approximately **\$16,640,000** in State funds was provided directly to City agencies for housing, homeless, and supportive housing services. In column B, approximately **\$21,398,800** in state funds was provided to other entities, such as NYCHA or not-for-profit organizations.

Line 22. Total City Sources

In column A, approximately **\$1,243,045,600** of City tax-levy dollars was used in the 2012 Consolidated Plan. In column B, **\$18.78 million** was used by NYCHA.

Line 23. Total Private Sources

In column B, approximately **\$317,169,413** in private funds were received in 2012. This figure only includes private funds to be used in conjunction with federal HOME funds. It includes private bank loans and tax credit equity.

Total All Sources

In column A, approximately **\$1,539,997,200** in Federal, State, and City funds (lines 20, 21, and 22, respectively) was administered by City agencies for housing, homeless, supportive housing services and community development needs.

In column B, approximately **\$641,124,100** in Federal, State, City and Private funds (lines 20, 21, 22 and 23, respectively) were received by NYCHA and not-for-profit organizations.

In the 2012 Consolidated Plan over **\$2,181,121,300** (Total All Sources, column A plus column B) was used by City agencies, NYCHA, and not-for-profit organizations to meet the housing, homeless, supportive housing services and community development needs within the City of New York.

Line	Funding Source	Amount City Received in 2012 (A)	Amount Received by Other Entities in 2012 (B)
i.	New York City Housing Authority Funds		
1	Public Housing Capital Fund Program		\$270,369,594
2	HOPE VI		\$0
i.	Subtotal-New York City Housing Authority Funds		\$270,369,594
ii.	HUD Competitive Funds		
3	Homeless Continuum of Care SuperNOFA		\$6,228,566
	Section 8 Moderate Rehab SRO		
	Shelter Plus Care		
	Supportive Housing		
4	Section 202 Supportive Housing for the Elderly		\$0
5	Section 811 Supportive Housing for Persons with Disabilities		TBD
6	Mainstream Housing Opportunities for Persons with Disabilities		\$0
7	Section 8 Family Self-Sufficiency (FSS) Coordinators	\$1,373,600	\$0
8	Family Unification Program		\$0
9	Housing Opportunities for Persons with AIDS (SPNS)		\$1,081,922
10	Lead Hazard Reduction Demonstration Grant Program	\$3,000,000	
ii.	Subtotal-HUD Competitive Program	\$4,373,600	\$7,310,488
iii.	State Funds		
11	Affordable Homeownership Development Program	\$2,040,000	
12	Homeless Housing and Assistance Program		\$18,227,880
13	Low Income Housing Tax Credit	\$14,600,000	
14	Low Income Housing Trust Fund Program		\$2,870,900
15	RESTORE Program		\$300,000
iii.	Subtotal-State Funds	\$16,640,000	\$21,398,780

Line	Funding Source	Amount City Received in 2012 (A)	Amount Received by Other Entities in 2012 (B)
iv.	City Funds		
16	DHS City Funds		
	a. DHS City Expenses with Federal Funds	\$548,734,672	
	b. DHS City Capital in Programs that receive no Federal Funds	\$25,663,000	
	c. DHS City Expense in Programs that receive no Federal Funds	\$312,572,895	
17	HPD City Funds		
	a. HPD City Capital with Federal Funds	\$101,958,000	
	b. HPD City Expense with Federal Funds	\$31,864,014	
	c. HPD City Capital in programs that receive no Federal Funds	\$87,392,000	
	d. HPD City Expense in programs that receive no Federal Funds	\$33,761,010	
18	HRA City Funds		
	HRA City Expense with no Federal Funds	\$101,100,000	
19	New York City Housing Authority Capital Funds		\$18,780,000
iv.	Subtotal-City Funds	\$1,243,045,591	\$18,780,000
v.	TOTALS		
20	Total Federal Sources		
	a. Formula Entitlement Programs (See Section A)		
	CDBG	\$149,703,000	
	HOME	\$60,338,441	
	ESG	\$14,146,420	
	HOPWA	\$51,750,146	
	b. New York City Housing Authority		\$270,369,594
	c. HUD Competitive Programs	\$4,373,600	\$7,310,488
	d. HUD Fair Housing and Housing Counseling	\$0	\$6,095,775
	e. Total	\$280,311,607	\$283,775,857
21	Total State Sources	\$16,640,000	\$21,398,780
22	Total City Sources	\$1,243,045,591	\$18,780,000
23	Total Private Sources		\$317,169,413
v.	TOTAL ALL SOURCES	\$1,539,997,198	\$641,124,050

C. Progress in Providing Affordable Housing

The section has been divided into two parts: 1. Households and Persons Assisted with Housing by Income; and 2. Households and Persons Assisted with Housing by Race and Hispanic Ethnicity. The first section will report on the total number of extremely low-, low-, and moderate-income renter and owner households, homeless families and persons, and persons and non-homeless persons with special needs who were assisted with housing during 2012. The second section provides the race and ethnicity for those programs where such data was collected. In addition, the section reports on the race and ethnicity for persons receiving rental assistance through the Section 8 Rental Certificate and Voucher programs.

1. Households and Persons Assisted with Housing by Income Categories

Introduction

This Section describes **Table 4, Households and Persons Assisted with Housing**, which estimates the number of extremely low-, low-, and moderate-income renter and owner households, homeless families and persons, and non-homeless persons with special needs (including persons with HIV/AIDS and their families) who were assisted with housing during 2012. In reviewing the information provided for **Table 4** several important caveats must be considered.

Methodology

First, the total number of households and persons to be assisted is based upon a distinct category of funding. In accordance with the Performance Report instructions, the tables provide data **only** for households and persons who have been assisted with Federal funds (whether wholly or in part), and in no way comprises the total universe of households and persons that have been assisted by the City in 2012. The specific Federal programs used to compile these estimates are cited below. It is important to note that, while Federal funds are critical to the City's housing goals and have an increasingly significant impact on the types of activities that can be done and the range of households and persons to be assisted, these funds account for a limited amount of the City's overall housing assistance budget. As mentioned earlier in this report a substantial amount of housing production and assistance activities are undertaken solely with local financial resources, or a combination of local and state resources. This assistance is not reflected in this table.

Second, the estimates found in Table 4 are based on 2012 accomplishments irrespective of when these funds used to support the accomplishments were made available. For example, even if all or part of the funds were expended in the fiscal year(s) prior to calendar year 2012, if the household moved into a dwelling unit in calendar year 2012, the household will be counted as "assisted in 2012" for the purposes of this report. For renter households, a renter is considered to have benefited if the household or individual takes occupancy of affordable housing that is newly acquired, newly rehabilitated, or newly constructed, and/or receives rental assistance. For Homeowners, an existing homeowner is benefited during the year if the home's rehabilitation is completed. A homebuyer is benefited if a home is purchased during the reporting year. For the homeless, the accomplishments count homeless families and persons as having been assisted with housing if they became the occupant of transitional or permanent housing in 2012. The numbers reported are based upon information provided by HUD regional staff, as well as information and input provided by the program staff at HPD, NYCHA, DHS, HRA and other city agencies. It is important to note, however, that while all of the households and persons listed here are receiving some federal assistance, the majority also receive assistance from the City.

Third, in formulating its estimate of those households and persons "to be assisted" the City used the definition outlined in the HUD instructions which specified the conditions under which a household or person is benefited through the investment of Federal funds and specific categories found in Table 4. These categories are as follows: 1) Total Renters; 2) Total Homeowners; 3) Homeless, Individuals and Families; and 4) Non-Homeless persons with Special Needs. These activities and initiatives are targeted to increase the number of renter and ownership units available, and make those units accessible to the greatest number of residents, while serving the full range of household types and persons, and ensuring that economic and racial integration is maintained. The

category "Support Services" are defined and included as, "Federal funds, or activities assisting homeless and non-homeless persons with special needs not linked to the provision of supportive, transitional or permanent housing, may be reported separately, but shall not be included in the estimate of households and persons assisted with housing." The programs specified in each of these categories which received Federal funds have been defined in the 2012 Consolidated Plan.

Due to the above limitations only a few of the City's CDBG-funded programs could be included in the charts which identify the total numbers of households and persons assisted. For instance, all of the ongoing repair work in the in-rem units goes to benefit the many thousands of people residing in this housing. However, since each individual repair does not constitute a completed rehabilitation it is not proper to count it here. Consequently, the numbers of the households and persons positively impacted by the City's efforts are actually much higher than can be identified under the Performance Report criteria.

In addition, the instructions for completing the 2012 Consolidated Plan required that the City report on assistance for three categories of income: extremely low-income (0-30 percent of the area median family income (MFI)); low-income (31-50 percent of MFI); and moderate (51-80 percent of MFI). While attempting to comply with these instructions when providing performance projections in the 2012 Consolidated Plan, the City also noted that, since many of the Federal programs do not distinguish between extremely low-income households with incomes below 30 percent of the MFI and those with income above 30 percent of MFI, this information is only provided if the information exists. It is significantly easier to provide information concerning the split between households earning below 50 percent of MFI and those earning above 50 percent of MFI, because many Federal programs use this point as an eligibility cut-off. However, even in this case, it is not always possible to accurately apportion beneficiaries. In fact, in some Federal programs like the Low Income Housing Tax Credit, families with incomes between 50 percent and 60 percent of MFI are often treated in the identical manner as families with incomes below 50 percent of MFI. As a result, while the 2012 Consolidated Plan estimates for total households assisted are relatively accurate, the specific projections for each of the income categories are not completely reliable and should be used with caution.

With these caveats in mind, the City has attempted to present the most complete and accurate information possible concerning the use of Federal funds to assist households and individuals in 2012. The program description for each program is detailed in the 2012 Consolidated Plan.

NOTE: *Data regarding the number of non-homeless special needs households assisted with housing through New York City's Housing Opportunities for Persons with AIDS (HOPWA) grant-funded activities is now located in Part I.A.4., HOPWA Consolidated Annual Performance and Evaluation Report (CAPER). Therefore, the reader is advised to interpret the following data with caution.*

Table 4 - HOUSEHOLDS AND PERSONS ASSISTED WITH HOUSING BY INCOME AND TENURE

RENTERS

Column E: Renters

Line 1(E): Extremely-Low Income and Low-Income (0 to 50% of MFI) Households: On line 1, column E, a total of **6,665** households were assisted in the following programs: Federal Public Housing Development program (**3,253**); NYCHA Section 8 Rental Housing Choice Vouchers (**1,960**); HPD Rental Vouchers (**465**); HOME-assisted Neighborhood Redevelopment Program (**29**); HOME-assisted Participation Loan Program (**68**); HOME-assisted Supportive Housing Program – New Construction (**4**); HOME-assisted Article 8A Loan Program (**714**); HOME-assisted HUD Multifamily Program (**22**); HOME-assisted HUD Multifamily Program – New Construction (**71**); HOME-assisted Low Income Rental (**53**); and, HOME-assisted Section 202 Program (Senior Housing) (**26**).

Line 3 (E): Moderate-Income (51 to 80% of MFI) Households: On line 3, column E, a total of **1,397** households have been assisted with funds from the following programs: Federal Public Housing Development Program (**569**); HPD Rental Vouchers (**4**); HOME-assisted Neighborhood Redevelopment Program (**15**); HOME-assisted Participation Loan Program (**80**); HOME-assisted Supportive Housing – New Construction (**26**); HOME-assisted Article 8A Loan Program (**104**); HOME-assisted HUD Multifamily Program (**285**); HOME-assisted Low Income Rental (**245**); and, HOME-assisted Section 202 Program (Senior Housing) (**69**).

Line 4 (E): Total Low/Moderate-Income Households: This line is a total of the above defined categories of assisted households which equals **8,062** households.

OWNERS

Column I: Homeowners:

Line 1 (I): Extremely-Low Income and Low-Income Households (0 to 50% of MFI): On line 1, column I, **7** extremely low-income and low-income households have been assisted with funds from the HOME-assisted Homefirst Downpayment Assistance Program (**7**).

Line 3 (I): Moderate-Income (51 to 80% of MFI): On line 3, column I, **289** other low-income households have been assisted with funds from the following programs: HOME-assisted Homefirst Downpayment Assistance Program (**196**); and HOME-assisted Multifamily Homeownership Program (**93**).

Line 4 (I): Total Low/Moderate-Income: Lines 1 and 3 of Column I, equal **296** homeowners.

HOMELESS

Column J: Homeless Individuals:

Line 1 (J): Extremely Low-Income and Low-Income Households (0 to 50% of MFI): On Line 1, column J, is a total of extremely low-income and low-income individuals (0 to 50% of MFI): This column is a total of **26,165** individuals which includes the Emergency Shelter Grant (**24,865**); Federal Public Housing Development Program (**280**); HPD Rental Vouchers (**980**); and, HOME-assisted Low Income Rental Program (**40**).

Line 3 (J): Moderate Income Households (51% to 80% of MFI): On line 3, column J, a total of **91** individuals were assisted through the Federal Public Housing Development Program (**7**); HOME-assisted Supportive Housing – New Construction (**80**); and, HPD Rental Vouchers (**4**).

Total of column J, on line 4: A total of **26,256** Homeless Individuals were assisted with housing in 2012.

Column K: Homeless Families:

Line 1 (K): Extremely Low-Income and Low-Income Households (0 to 50% of MFI): On line 1, column K, a total of **1,987** families which includes Emergency Shelter Grant (**941**) (families with approximately **3,189** individuals in those families); Federal Public Housing Development Program (**477**); HPD Rental Vouchers (**465**); HOME-assisted Article 8A Loan Program (**58**); and, HOME-assisted Low Income Rental Program (**46**).

Line 3 (K): Moderate Income Households (51% to 80% of MFI): On line 1, column K, a total of **75** families were assisted through the Federal Public Housing Development Program (**19**); HPD Rental Vouchers (**4**); and, HOME-assisted Supportive Housing – New Construction (**52**).

Total of column K, on line 4: A total of **2,062** Homeless families were assisted with housing in 2012.

NON-HOMELESS PERSONS WITH SPECIAL NEEDS

Column L: Non-Homeless Special Needs:

Line 1 (L): Extremely Low-Income and Low-Income Households (0 to 50% of MFI): On line 1, column L, is a total of **1,730** households which includes Federal Public Housing Development Program (**705**); NYCHA Section 8 Rental Housing Choice Vouchers (**742**); Section 202 Supportive Housing for the Elderly (**283**); and, Section 811 Supportive Housing for the Disabled (**x**).

Column L: Non-Homeless Special Needs:

Line 3 (L): Moderate Households (51% to 80% of MFI): On line 3, column L, is a total of **43** households assisted through the Federal Public Housing Development Program.

Total of Column L on line 4: A total of **1,773** households with Special Needs were assisted

TOTALS

Column M: Total Goals: The numbers in this column represent the sum of the numbers from columns A through L. The number of extremely low-income households and persons assisted were **36,547**. The number of moderate-income households assisted was **1,606**. The total of all households and persons assisted in 2012 is **38,153**.

Column N: Total Section 215 Goals: **799** of the **38,153** households assisted met the Section 215 Goals as outlined in the National Affordable Housing Act of 1990.

TABLE 4

Consolidated Plan Households and Persons Assisted with Housing		U.S. Department of Housing and Urban Development Office of Community Planning and Development							Annual Performance Report (APR)					
Name of Jurisdiction: NEW YORK, NEW YORK		Fiscal Year: 2012												
Household Income (All Households)	Renters					Owners			Homeless Persons		Non-Homeless Persons with Special Needs	Totals		
	Elderly 1 & 2 Member Households (A)	Small Related Households (2 to 4) (B)	Large Related Households (5 or more) (C)	All Other Households (D)	Total Renters (E)	Existing Homeowners (F)	1st-Time with Children (G)	All Others (H)	Total Homeowners (I)	Indvls. (J)		Families (K)	All Households Assisted (M)	Section 215 Households (N)
1. Extremely Low 0 to 30% MFI*					6,665				7	26,165	1,987	1,730	36,547	799
2. Low 31 to 50% MFI*														
3. Moderate 51 to 80% MFI*					1,397				289	91	75	43	1,606	
4. Total Low/Moderate Income					8,062				296	26,256	2,062	1,773	38,153	799

* Or, based upon HUD adjusted income limits, if applicable.

2. Households and Persons Assisted with Housing by Race and Hispanic Ethnicity

This section documents the race and ethnicity of all households or persons who were assisted with housing during 2012. In the previous chapter, the same households or persons assisted with housing were reported by income, see Table 4 Households and Persons Assisted with Housing by Income.

In late September 2002, HUD release guidance on the collection, analysis, and reporting using new federally-defined race and ethnicity categories. Race data will be described using five federally-defined single race categories (American Indian or Alaska Native; Asian; Black or African-American; Native Hawaiian or Other Pacific Islander; and White) and six additional multiple race categories (American Indian or Alaska Native *and* White; Asian *and* White; Black or African American *and* White; American Indian or Alaska Native *and* Black or African American; Any other (multiple) race combination that comprises more than 1 percent of the population; and Balance of individuals reporting more than one race, respectively). In addition, persons of Hispanic or Latino ethnicity shall be reported as a subcategory within each of the respective race categories.

Please note: if one of the aforementioned categories is not listed in a table, no persons of that race/ethnic group were registered in that category and the table has been collapsed for the sake of reporting brevity. In addition, the Race and Ethnicity data are not collected for every program that the City of New York administers. Such data has been summarized for the programs where data is available. HUD does not make race and ethnicity data available, therefore, such data for Section 202 and Section 811 are not provided.

HUD's implementation date was January 1, 2003. Therefore, the City collected race and ethnicity data for Consolidated Plan program year 2012 activities using the new categories. The data has been reported in the Proposed 2012 Consolidated Plan Annual Performance Report.

Department of Housing Preservation and Development Programs

The following information represents the race/ethnicity of households and persons assisted with housing by HPD programs (CDBG, HOME, and other federal funds).

The renter programs include: HOME-assisted Neighborhood Redevelopment Program; HOME-assisted Participation Loan Program (PLP); HOME-assisted Supportive Housing Program – New Construction; HOME-assisted Article 8A Program; HOME-assisted Multifamily Program; HOME-assisted Senior Housing Program; HOME-assisted HUD Multifamily New Construction; and Low-Income Rental Program.

Homeownership programs include: HOME-assisted Homefirst Downpayment Assistance Program; and HOME-assisted Multifamily Homeownership.

Lastly counted in this table are homeless households and persons assisted through the following programs: HOME-assisted Article 8A Program; HOME-assisted Low-Income Rental Program; HOME-assisted Supportive Housing Program – New Construction.

TABLE 5: The Race and Hispanic Ethnicity of Households and Persons Assisted (Completions (Set ups)) with Department of Housing Preservation and Development-Administered Housing Programs Using HOME Program Funds. (1)

RACE	TOTAL RENTERS (A)	TOTAL HOME-OWNERS (B)	HOMELESS INDIVIDUALS (C)	HOMELESS FAMILIES (D)	TOTAL ASSISTED (A+B+C+D)
American Indian or Alaska Native	51	1	4	4	60
Asian	200	60	5	6	271
Native Hawaiian or Other Pacific Islander	75	0	4	4	83
Black or African American	796	84	68	81	1,029
White	601	91	35	54	781
Other (Multi-)racial	88	60	4	7	159
TOTAL	1,811	296	120	156	2,383
HISPANIC ETHNICITY	TOTAL RENTERS (A)	TOTAL HOME-OWNERS (B)	HOMELESS INDIVIDUALS (C)	HOMELESS FAMILIES (D)	TOTAL ASSISTED (A+B+C+D)
Hispanic Households Assisted	885	65	55	71	1,076

Notes:

- 1 The distributions shown reflect both survey-based information from occupants and estimates. Caution is recommended in interpreting this data.
- 2 "Other" includes unknown or not available.
3. Some of the above data includes middle-income households assisted by the respective programs described above.

In addition, HPD is responsible for maintaining and preserving the City-owned residential housing stock (a.k.a., *in-rem* housing). An assessment of the racial/ethnic composition of the tenants living in *in-rem* housing was undertaken based on the 2008 New York City Housing and Vacancy Survey. The survey found that the racial and ethnic composition of the *in-rem* tenants was as follows:

TABLE 6: Race and Ethnicity of the Head of Household of Community Development-funded, Department of Housing Preservation and Development-administered, City-owned Housing Stock, New York City 2008

RACE/HISPANIC ETHNICITY	PERCENTAGE
Black, non Hispanic	48.5%
Hispanic	43.9%
White, non-Hispanic	6.7%*
Asian, non-Hispanic	**
Other, non-Hispanic	**
TOTAL	100%

* Due to survey and estimation errors, percentage should be used with caution.

** Too few individuals to report.

Emergency Solutions Grant (ESG) Funded Programs

The following numbers demonstrate the racial and ethnic composition of the homeless families that benefit from the City's shelter programs. The funds from the HUD entitlement program, the Emergency Solutions Grant (ESG), provides services to the homeless for three activities: prevention, services, and shelter operating costs. A description of the ESG funded programs can be found in the 2011-12 Consolidated Plan.

The table describes only the number of persons in families who were assisted with housing in 2011/12. The number of persons in families assisted by DHS homeless prevention activities do not access housing and, therefore are omitted from both tables: 1) the Households and Persons Assisted with Housing by Income Categories, and 2) the Households and Persons Assisted with Housing by Race and Ethnicity. In addition, the data represented in the Services to the Homeless and Shelter Operations columns are unduplicated within each column category. Due to the fact a family may be assisted by both homeless services and shelter operations, there is an overlap in the data between column categories. The reader is advised to interpret the data with caution.

TABLE 7: The Race and Hispanic Ethnicity of Persons in Families Assisted with ESG-funded Housing Units

RACE/ETHNICITY	SERVICES TO THE HOMELESS (TOTAL)		SERVICES TO THE HISPANIC HOMELESS		SHELTER OPERATIONS (TOTAL)		SHELTER OPERATIONS HISPANIC	
American Indian or Alaska Native	2	.6%	0	0%	41	2%	0	0%
Asian	7	2%	0	0%	18	1%	0	0%
Native Hawaiian or Other Pacific Islander	2	.6%	0	0%	27	2%	0	0%
Black or African American	293	90%	0	0%	1,424	84%	0	0%
White	19	6%	0	0%	166	9%	0	0%
Balance	3	1%	145	100%	17	1%	1,025	100%
Total	326	100%	145	100%	1,693	100%	1,025	100%

HOPWA Funded Programs

Data regarding the number of non-homeless special needs households assisted with housing by race and Hispanic ethnicity through New York City's Housing Opportunities for Persons with AIDS (HOPWA) grant-funded activities is now located in Volume 1., Part I.A.4., HOPWA Consolidated Annual Performance and Evaluation Report (CAPER).

New York City Housing Authority - Federal Public Housing Funded Program

In 2012, NYCHA provided 5,353 newly assisted persons with housing through the federal public housing funds that are used to operate the authority. The Federal Public Housing Development Program is designed to assist in the production of affordable housing for lower-income tenants. The tenants assisted were taken from the normal waiting list turnover of units (for example, units vacated through transfer or households who have permanently left the Program). It should be noted tenants taken from the list may include applicants granted a higher priority due to federal preferences (eg., victims of domestic violence, homelessness or other emergencies, such as fires). The following is a breakdown of persons assisted by race and ethnicity in 2012.

TABLE 8: Race and Hispanic Ethnicity of the Persons Assisted with Federal Public Housing Units

RACE/ETHNICITY	TOTAL ASSISTED	# OF HISPANIC ASSISTED
American Indian or Alaska Native	24	14
Asian	501	5
Native Hawaiian or Other Pacific Islander	63	53
Black or African American	2,718	626
White	2,043	1,796
Other	4	3
TOTAL	5,353	2,497

Section 8 Tenant-based - Rental Housing Choice Vouchers Program

The City of New York has two Public Housing Authorities (PHA's) which receive Section 8 Rental Housing Choice Vouchers: the New York City Housing Authority (NYCHA); and the Department of Housing Preservation and Development (HPD). Both agencies provide tenant-based assistance to very low-income households. Tenant assistance is in the form of vouchers or certificates. The following is a breakdown of persons assisted by race and ethnicity in 2012, for Housing Choice Vouchers issued by NYCHA followed by a second table of those issued by HPD.

TABLE 9: Race and Hispanic Ethnicity of the Population Receiving NYCHA Rental Housing Choice Vouchers

RACE/HISPANIC ETHNICITY	TOTAL ASSISTED	# OF HISPANIC ASSISTED
American Indian or Alaska Native	20	17
Asian	16	5
Native Hawaiian or Other Pacific Islander	18	12
Black or African American	1,482	313
White	1,079	884
Other	15	14
TOTAL*	2,630	1,245

*Does not include 72 households with missing Race/Ethnicity

TABLE 10: Race and Hispanic Ethnicity of the Head of Household Receiving HPD Rental Assistance Vouchers and Certificates

NOTE: Hispanic Renters, Homeless Individuals, and Homeless Families are subcounts of their respective Total Households by Tenure Categories

Category	RENTERS		HOMELESS INDIVIDUAL		HOMELESS FAMILY		TOTAL	
	TOTAL (A)	HISPANIC (a)	TOTAL (B)	HISPANIC (b)	TOTAL (C)	HISPANIC (c)	ASSISTED (A+B+C)	HISPANIC ASSISTED (a+b+c)
American Indian or Alaska Native	0	0	4	0	3	0	7	0
Asian	50	0	13	0	3	0	66	0
Black or African-American	362	32	597	8	279	9	1,241	49
Native Hawaiian or Other Pacific Islander	2	0	3	0	3	0	8	0
White	725	1,083	367	265	181	158	1,275	1,506
TOTAL	1,142	1,115	984	273	469	167	2,595	1,555