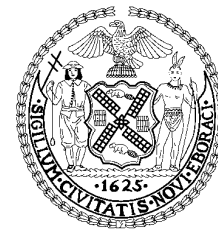
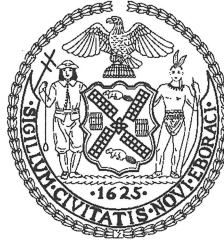


Financial Plan Statements
for
New York City
April 2017



The City of New York



This report contains the Financial Plan Statements for April 2017 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on April 26, 2017.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

THE CITY OF NEW YORK

BY

A handwritten signature in black ink, appearing to read "Charles Brisky", written over a horizontal line.

Charles Brisky

**Deputy Director for Expense
& Capital Budget Coordination**

Mayor's Office of Management and Budget

A handwritten signature in black ink, appearing to read "Preston Niblack", written over a horizontal line.

Preston Niblack

**Deputy Comptroller for Budget
Office of the Comptroller**

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements (FPS) represents the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health + Hospitals but do include the City's subsidy to the system.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2016 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles (GAAP), except for the application of GASB 49 which prescribes the accounting treatment of pollution remediation costs.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy, late payments received within the first two months of the following year, and prior year levies received in the current year. Real estate tax revenue is reduced by actual tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment. Debt Service expenditures for the Transitional Finance Authority (TFA) are recorded when City personal income tax collections are retained by the TFA. Lease debt expenditures are recorded when the respective lease agreement requires City payment in advance of a payment to bondholders.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$35,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2017 for OTPS purchase orders and contracts expected to be received by June 30, 2017 are treated as expenditures.

(d) Risk Management

The City generally assumes the risk of its own losses with respect to most types of risks, including, but not limited to, property damage (both claims against the City and damage to the City's own property), personal injury, and workers' compensation; any losses incurred are paid out of the City's budget. The City's budgets and financial plans include estimates of judgments and claims to be settled annually, but there are no cash reserves for estimated losses incurred. Settlements reached or judgments entered during FY 2017 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2017.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The reporting of actual capital commitments, as well as sources and uses of capital expenditures, are based upon the accounting period of the transaction.

C. Pension Plans

The City maintains five actuarial pension systems, providing benefits for its employees and employees of various independent agencies (including certain Covered Organizations). Such systems consist of the New York City Employees' Retirement System, the Teachers' Retirement System of the City of New York, the New York City Board of Education Retirement System, the New York City Police Pension Fund and the New York City Fire Department Pension Fund. Members of these actuarial pension systems are categorized into Tiers depending on date of membership. The systems combine features of defined benefit pension plans with those of defined contribution pension plans. Three of the five actuarial pension systems are cost-sharing multiple employer systems that include public employees who are not City employees. Each public employer in these multiple employer systems has primary responsibility for funding and reporting in the employer's financial statements on its share of the systems' liabilities.

The City also contributed to other actuarial systems and sponsors non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the major actuarial systems.

Financial Plan Statements can be accessed through the New York City Mayor's Office of Management and Budget's website at: www.nyc.gov/omb

Report No. 1 & 1A

Revenue and Obligation Forecast

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: APRIL
FISCAL YEAR 2017**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	JAN '17 PLAN	BETTER/ (WORSE)	ACTUAL	JAN '17 PLAN	BETTER/ (WORSE)	APR '17 PLAN
REVENUES:							
TAXES							
GENERAL PROPERTY TAX	\$ 540	\$ 569	\$ (29)	\$ 24,354	\$ 24,287	\$ 67	\$ 24,402
OTHER TAXES	2,786	3,161	(375)	24,359	24,677	(318)	30,444
SUBTOTAL: TAXES	\$ 3,326	\$ 3,730	\$ (404)	\$ 48,713	\$ 48,964	\$ (251)	\$ 54,846
MISCELLANEOUS REVENUES	384	416	(32)	5,065	5,143	(78)	7,011
UNRESTRICTED INTGVT. AID	-	-	-	59	59	-	57
LESS: INTRA-CITY REVENUE DISALLOWANCES	(149)	(185)	36	(840)	(947)	107	(2,065)
	-	-	-	-	-	-	613
SUBTOTAL: CITY FUNDS	\$ 3,561	\$ 3,961	\$ (400)	\$ 52,997	\$ 53,219	\$ (222)	\$ 60,462
OTHER CATEGORICAL GRANTS	21	23	(2)	382	392	(10)	976
INTER-FUND REVENUES	83	104	(21)	408	477	(69)	641
FEDERAL CATEGORICAL GRANTS	538	696	(158)	3,854	4,021	(167)	8,937
STATE CATEGORICAL GRANTS	2,733	3,072	(339)	7,527	7,921	(394)	14,437
TOTAL REVENUES	\$ 6,936	\$ 7,856	\$ (920)	\$ 65,168	\$ 66,030	\$ (862)	\$ 85,453
EXPENDITURES:							
PERSONAL SERVICE	\$ 4,467	\$ 4,301	\$ (166)	\$ 33,002	\$ 33,276	\$ 274	\$ 45,010
OTHER THAN PERSONAL SERVICE	1,788	2,080	292	29,782	30,064	282	36,237
DEBT SERVICE	13	41	28	1,916	1,812	(104)	5,971
CAPITAL STABILIZATION RESERVE	-	-	-	-	-	-	-
GENERAL RESERVE	-	-	-	-	-	-	300
SUBTOTAL	\$ 6,268	\$ 6,422	\$ 154	\$ 64,700	\$ 65,152	\$ 452	\$ 87,518
LESS: INTRA-CITY EXPENSES	(149)	(185)	(36)	(840)	(947)	(107)	(2,065)
TOTAL EXPENDITURES	\$ 6,119	\$ 6,237	\$ 118	\$ 63,860	\$ 64,205	\$ 345	\$ 85,453
NET TOTAL	\$ 817	\$ 1,619	\$ (802)	\$ 1,308	\$ 1,825	\$ (517)	\$ -

Note: For additional details on revenues, see Report No. 3. For additional details on expenditures, see Report No. 4 and the corresponding notes.

NOTES TO REPORT #1

The current month and year-to-date data in Report No. 1 are based on the Financial Plan submitted to the Financial Control Board on January 24, 2017. The fiscal year plan data in Report No. 1 reflect the Financial Plan submitted to the Financial Control Board on April 26, 2017.

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: APRIL
FISCAL YEAR 2017

	ACTUAL										FORECAST			
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	FISCAL YEAR
REVENUES:														
TAXES														
GENERAL PROPERTY TAX	\$ 11,479	\$ 6	\$ 1,283	\$ 533	\$ 172	\$ 6,286	\$ 2,832	\$ 92	\$ 1,131	\$ 540	\$ 50	\$ 77	\$ (79)	\$ 24,402
OTHER TAXES	1,275	1,375	3,709	1,756	1,533	3,835	2,970	1,695	3,425	2,786	1,575	4,093	417	30,444
SUBTOTAL: TAXES	\$ 12,754	\$ 1,381	\$ 4,992	\$ 2,289	\$ 1,705	\$ 10,121	\$ 5,802	\$ 1,787	\$ 4,556	\$ 3,326	\$ 1,625	\$ 4,170	\$ 338	\$ 54,846
MISCELLANEOUS REVENUES	654	519	716	632	518	340	363	370	569	384	645	964	337	7,011
UNRESTRICTED INTGVT. AID	1	(1)	2	-	-	-	57	-	-	-	-	-	(2)	57
LESS: INTRA-CITY REVENUE DISALLOWANCES	(7)	(11)	(10)	(103)	(112)	(91)	(68)	(114)	(175)	(149)	(258)	(599)	(368)	(2,065)
	-	-	-	-	-	-	-	-	-	-	-	-	613	613
SUBTOTAL: CITY FUNDS	\$ 13,402	\$ 1,888	\$ 5,700	\$ 2,818	\$ 2,111	\$ 10,370	\$ 6,154	\$ 2,043	\$ 4,950	\$ 3,561	\$ 2,012	\$ 4,535	\$ 918	\$ 60,462
OTHER CATEGORICAL GRANTS	20	175	12	29	27	17	49	16	16	21	18	576	-	976
INTER-FUND REVENUES	-	-	51	29	33	39	31	103	39	83	43	59	131	641
FEDERAL CATEGORICAL GRANTS	56	26	248	446	344	417	339	516	924	538	864	951	3,268	8,937
STATE CATEGORICAL GRANTS	38	(7)	69	1,027	921	1,004	148	288	1,306	2,733	2,102	1,179	3,629	14,437
TOTAL REVENUES	\$ 13,516	\$ 2,082	\$ 6,080	\$ 4,349	\$ 3,436	\$ 11,847	\$ 6,721	\$ 2,966	\$ 7,235	\$ 6,936	\$ 5,039	\$ 7,300	\$ 7,946	\$ 85,453
EXPENDITURES:														
PERSONAL SERVICE	\$ 2,105	\$ 2,452	\$ 3,265	\$ 4,011	\$ 3,333	\$ 3,434	\$ 3,414	\$ 3,325	\$ 3,196	\$ 4,467	\$ 3,340	\$ 6,425	\$ 2,243	\$ 45,010
OTHER THAN PERSONAL SERVICE	9,596	5,090	2,077	2,375	1,484	1,705	1,893	1,487	2,287	1,788	2,207	2,324	1,924	36,237
DEBT SERVICE	243	52	394	47	202	6	564	229	166	13	54	3,676	325	5,971
CAPITAL STABILIZATION RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	-
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	300	300
SUBTOTAL	\$ 11,944	\$ 7,594	\$ 5,736	\$ 6,433	\$ 5,019	\$ 5,145	\$ 5,871	\$ 5,041	\$ 5,649	\$ 6,268	\$ 5,601	\$ 12,425	\$ 4,792	\$ 87,518
LESS: INTRA-CITY EXPENSES	(7)	(11)	(10)	(103)	(112)	(91)	(68)	(114)	(175)	(149)	(258)	(599)	(368)	(2,065)
TOTAL EXPENDITURES	\$ 11,937	\$ 7,583	\$ 5,726	\$ 6,330	\$ 4,907	\$ 5,054	\$ 5,803	\$ 4,927	\$ 5,474	\$ 6,119	\$ 5,343	\$ 11,826	\$ 4,424	\$ 85,453
NET TOTAL	\$ 1,579	\$ (5,501)	\$ 354	\$ (1,981)	\$ (1,471)	\$ 6,793	\$ 918	\$ (1,961)	\$ 1,761	\$ 817	\$ (304)	\$ (4,526)	\$ 3,522	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: APRIL
FISCAL YEAR 2017**

	INITIAL PLAN 6/14/2016	1st QUARTER MOD CHANGES	PRELIMINARY BUDGET CHANGES	EXECUTIVE BUDGET CHANGES	ADOPTED BUDGET CHANGES	CURRENT PLAN 4/26/2017
REVENUES:						
TAXES						
GENERAL PROPERTY TAX	\$ 24,025	\$ -	\$ 171	\$ 206	\$ -	\$ 24,402
OTHER TAXES	30,618	(125)	194	(243)	-	30,444
SUBTOTAL: TAXES	\$ 54,643	\$ (125)	\$ 365	\$ (37)	\$ -	\$ 54,846
MISCELLANEOUS REVENUES	6,407	217	211	176	-	7,011
UNRESTRICTED INTGVT. AID	-	-	57	-	-	57
LESS: INTRA-CITY REVENUE DISALLOWANCES	(1,764) (15)	(197) -	(78) 215	(26) 413	- -	(2,065) 613
SUBTOTAL: CITY FUNDS	\$ 59,271	\$ (105)	\$ 770	\$ 526	\$ -	\$ 60,462
OTHER CATEGORICAL GRANTS	853	119	8	(4)	-	976
INTER-FUND REVENUES	646	9	-	(14)	-	641
FEDERAL CATEGORICAL GRANTS	7,673	861	292	111	-	8,937
STATE CATEGORICAL GRANTS	13,673	457	287	20	-	14,437
TOTAL REVENUES	\$ 82,116	\$ 1,341	\$ 1,357	\$ 639	\$ -	\$ 85,453
EXPENDITURES:						
PERSONAL SERVICE	44,846	27	(25)	162	-	45,010
OTHER THAN PERSONAL SERVICE	34,549	1,221	129	338	-	36,237
DEBT SERVICE	2,985	290	2,531	165	-	5,971
CAPITAL STABILIZATION RESERVE	500	-	(500)	-	-	-
GENERAL RESERVE	1,000	-	(700)	-	-	300
SUBTOTAL	\$ 83,880	\$ 1,538	\$ 1,435	\$ 665	\$ -	\$ 87,518
LESS: INTRA-CITY EXPENSES	(1,764)	(197)	(78)	(26)	-	(2,065)
TOTAL EXPENDITURES	\$ 82,116	\$ 1,341	\$ 1,357	\$ 639	\$ -	\$ 85,453

Report No. 3

Revenue Activity by Major Area

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)**

**MONTH: APRIL
FISCAL YEAR 2017**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	JAN '17 PLAN	BETTER/ (WORSE)	ACTUAL	JAN '17 PLAN	BETTER/ (WORSE)	APR '17 PLAN
TAXES:							
GENERAL PROPERTY TAX	\$ 540	\$ 569	\$ (29)	\$ 24,354	\$ 24,287	\$ 67	\$ 24,402
PERSONAL INCOME TAX	1,451	1,458	(7)	9,527	9,405	122	10,958
GENERAL CORPORATION TAX	174	284	(110)	2,715	3,056	(341)	3,869
BANKING CORPORATION TAX	(5)	-	(5)	(78)	(34)	(44)	(75)
UNINCORPORATED BUSINESS TAX	260	518	(258)	1,593	1,656	(63)	2,026
GENERAL SALES TAX	534	557	(23)	5,719	5,725	(6)	6,994
REAL PROPERTY TRANSFER TAX	112	112	-	1,139	1,196	(57)	1,345
MORTGAGE RECORDING TAX	81	81	-	916	910	6	1,074
COMMERCIAL RENT TAX	17	16	1	603	606	(3)	816
UTILITY TAX	34	35	(1)	284	279	5	377
OTHER TAXES	30	22	8	809	801	8	1,253
TAX AUDIT REVENUES	98	78	20	820	765	55	1,251
STAR PROGRAM	-	-	-	312	312	-	556
SUBTOTAL TAXES	\$ 3,326	\$ 3,730	\$ (404)	\$ 48,713	\$ 48,964	\$ (251)	\$ 54,846
MISCELLANEOUS REVENUES:							
LICENSES/FRANCHISES/ETC.	58	61	(3)	627	616	11	738
INTEREST INCOME	10	8	2	50	51	(1)	75
CHARGES FOR SERVICES	51	50	1	789	813	(24)	1,007
WATER AND SEWER CHARGES	-	-	-	1,420	1,420	-	1,389
RENTAL INCOME	9	15	(6)	215	203	12	254
FINES AND FORFEITURES	72	78	(6)	822	819	3	956
MISCELLANEOUS	35	19	16	302	274	28	527
INTRA-CITY REVENUE	149	185	(36)	840	947	(107)	2,065
SUBTOTAL MISCELLANEOUS REVENUES	\$ 384	\$ 416	\$ (32)	\$ 5,065	\$ 5,143	\$ (78)	\$ 7,011
UNRESTRICTED INTGVT. AID	-	-	-	59	59	-	57
LESS: INTRA-CITY REVENUES	(149)	(185)	36	(840)	(947)	107	(2,065)
DISALLOWANCES	-	-	-	-	-	-	613
SUBTOTAL CITY FUNDS	\$ 3,561	\$ 3,961	\$ (400)	\$ 52,997	\$ 53,219	\$ (222)	\$ 60,462

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)**

**MONTH: APRIL
FISCAL YEAR 2017**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	JAN '17 PLAN	BETTER/ (WORSE)	ACTUAL	JAN '17 PLAN	BETTER/ (WORSE)	APR '17 PLAN
OTHER CATEGORICAL GRANTS	\$ 21	\$ 23	\$ (2)	\$ 382	\$ 392	\$ (10)	\$ 976
INTER-FUND REVENUES	83	104	(21)	408	477	(69)	641
FEDERAL CATEGORICAL GRANTS:							
COMMUNITY DEVELOPMENT	55	99	(44)	355	439	(84)	1,640
WELFARE	268	244	24	1,792	1,926	(134)	3,675
EDUCATION	88	175	(87)	681	606	75	1,702
OTHER	127	178	(51)	1,026	1,050	(24)	1,920
SUBTOTAL FEDERAL CATEGORICAL GRANTS	\$ 538	\$ 696	\$ (158)	\$ 3,854	\$ 4,021	\$ (167)	\$ 8,937
STATE CATEGORICAL GRANTS:							
WELFARE	68	226	(158)	743	977	(234)	1,819
EDUCATION	2,511	2,709	(198)	5,960	6,275	(315)	10,327
HIGHER EDUCATION	134	4	130	190	158	32	286
HEALTH AND MENTAL HYGIENE	1	57	(56)	254	281	(27)	625
OTHER	19	76	(57)	380	230	150	1,380
SUBTOTAL STATE CATEGORICAL GRANTS	\$ 2,733	\$ 3,072	\$ (339)	\$ 7,527	\$ 7,921	\$ (394)	\$ 14,437
TOTAL REVENUES	\$ 6,936	\$ 7,856	\$ (920)	\$ 65,168	\$ 66,030	\$ (862)	\$ 85,453

NOTES TO REPORT #3

The current month and year-to-date data in Report No. 3 are based on the Financial Plan submitted to the Financial Control Board on January 24, 2017. The fiscal year plan data in Report No. 3 reflect the Financial Plan submitted to the Financial Control Board on April 26, 2017.

Report No. 4 & 4A

Obligation Analysis and Personal Service Expenditures

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: APRIL
FISCAL YEAR 2017**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	JAN '17 PLAN	BETTER/ (WORSE)	ACTUAL	JAN '17 PLAN	BETTER/ (WORSE)	APR '17 PLAN
UNIFORMED FORCES							
POLICE	\$ 963	\$ 937	\$ (26)	\$ 4,844	\$ 4,840	\$ (4)	\$ 5,684
FIRE	218	205	(13)	1,678	1,705	27	2,063
CORRECTION	141	151	10	1,121	1,161	40	1,405
SANITATION	120	103	(17)	1,395	1,418	23	1,608
HEALTH & WELFARE							
ADMIN. FOR CHILD SERVICES	181	175	(6)	2,544	2,605	61	3,034
SOCIAL SERVICES	682	930	248	8,452	8,246	(206)	9,690
HOMELESS SERVICES	137	133	(4)	1,481	1,475	(6)	1,752
HEALTH & MENTAL HYGIENE	131	108	(23)	1,434	1,403	(31)	1,679
OTHER AGENCIES							
HOUSING PRESERVATION & DEV.	76	136	60	990	1,083	93	1,340
ENVIRONMENTAL PROTECTION	94	135	41	1,214	1,351	137	1,524
TRANSPORTATION	69	75	6	830	866	36	1,005
PARKS & RECREATION	49	50	1	435	438	3	553
CITYWIDE ADMIN. SERVICES	121	34	(87)	1,069	1,024	(45)	1,189
ALL OTHER	330	431	101	4,417	4,699	282	5,630
MAJOR ORGANIZATIONS							
EDUCATION	1,464	1,414	(50)	17,125	17,107	(18)	23,406
CITY UNIVERSITY	154	124	(30)	760	907	147	1,203
HEALTH + HOSPITALS	4	16	12	138	157	19	430
OTHER							
MISCELLANEOUS BUDGET	546	446	(100)	5,035	5,037	2	9,057
PENSION CONTRIBUTIONS	775	778	3	7,822	7,818	(4)	9,395
DEBT SERVICE	13	41	28	1,916	1,812	(104)	5,971
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	(400)
CAPITAL STABILIZATION RESERVE	-	-	-	-	-	-	-
GENERAL RESERVE	-	-	-	-	-	-	300
SUBTOTAL	\$ 6,268	\$ 6,422	\$ 154	\$ 64,700	\$ 65,152	\$ 452	\$ 87,518
LESS: INTRA-CITY EXPENSES	(149)	(185)	(36)	(840)	(947)	(107)	(2,065)
TOTAL EXPENDITURES	\$ 6,119	\$ 6,237	\$ 118	\$ 63,860	\$ 64,205	\$ 345	\$ 85,453

**NEW YORK CITY
PERSONAL SERVICE EXPENDITURES
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: APRIL
FISCAL YEAR 2017**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	JAN '17 PLAN	BETTER/ (WORSE)	ACTUAL	JAN '17 PLAN	BETTER/ (WORSE)	APR '17 PLAN
UNIFORMED FORCES							
POLICE	\$ 931	\$ 903	\$ (28)	\$ 4,339	\$ 4,286	\$ (53)	\$ 4,987
FIRE	208	200	(8)	1,478	1,474	(4)	1,800
CORRECTION	133	133	-	962	981	19	1,190
SANITATION	106	88	(18)	791	809	18	945
HEALTH & WELFARE							
ADMIN. FOR CHILD SERVICES	56	53	(3)	380	373	(7)	451
SOCIAL SERVICES	94	98	4	656	702	46	831
HOMELESS SERVICES	17	21	4	130	140	10	173
HEALTH & MENTAL HYGIENE	54	53	(1)	361	375	14	455
OTHER AGENCIES							
HOUSING PRESERVATION & DEV.	18	21	3	133	145	12	172
ENVIRONMENTAL PROTECTION	57	57	-	424	431	7	518
TRANSPORTATION	54	54	-	373	373	-	455
PARKS & RECREATION	40	38	(2)	321	312	(9)	392
CITYWIDE ADMIN. SERVICES	21	21	-	148	151	3	186
ALL OTHER	198	214	16	1,433	1,507	74	1,801
MAJOR ORGANIZATIONS							
EDUCATION	1,182	1,183	1	9,477	9,546	69	14,502
CITY UNIVERSITY	72	72	-	617	623	6	783
OTHER							
MISCELLANEOUS BUDGET	451	314	(137)	3,157	3,230	73	5,974
PENSION CONTRIBUTIONS	775	778	3	7,822	7,818	(4)	9,395
TOTAL	\$ 4,467	\$ 4,301	\$ (166)	\$ 33,002	\$ 33,276	\$ 274	\$ 45,010

NOTES TO REPORTS NO. 4 AND 4A

The current month and year-to-date plan data in Reports No. 4 and 4A are based on the Financial Plan submitted to the Financial Control Board on January 24, 2017. The fiscal year plan data in Reports No. 4 and 4A reflect the Financial Plan submitted to the Financial Control Board on April 26, 2017. The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date. In some instances prior year charges are reflected in FY 2017 year-to-date expenses. These will be journaled back to prior years at a later date.

Fire: The \$27 million year-to-date variance is primarily due to:

- \$(2) million in accelerated encumbrances, primarily for property and equipment, that was planned to be obligated later in the fiscal year.
- \$33 million in delayed encumbrances, including \$15 million for other services and charges, \$12 million for contractual services and \$6 million for supplies and materials, that will be obligated later in the fiscal year.
- \$(4) million in personal services.

Correction: The \$40 million year-to-date variance is primarily due to:

- \$(7) million in accelerated encumbrances, including \$(2) million for supplies and materials, \$(2) million for social services and \$(2) million for property and equipment, that was planned to be obligated later in the fiscal year.
- \$28 million in delayed encumbrances, including \$16 million for other services and charges and \$12 million for contractual services, that will be obligated later in the fiscal year.
- \$19 million in personal services, including \$(104) million for overtime and \$(5) million for terminal leave, offset by \$115 million for full-time normal gross and \$14 million for differentials.

Sanitation: The \$23 million year-to-date variance is primarily due to:

- \$(15) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$20 million in delayed encumbrances, including \$13 million for other services and charges and \$7 million for supplies and materials, that will be obligated later in the fiscal year.
- \$18 million in personal services, including \$(14) million for overtime and \$(4) million for holiday pay, offset by \$33 million for full-time normal gross and \$3 million for fringe benefits.

Administration for Children's Services: The \$61 million year-to-date variance is primarily due to:

- \$68 million in delayed encumbrances, including \$28 million for other services and charges, \$21 million for contractual services, \$16 million for social services and \$2 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$(7) million in personal services.

Social Services: The \$(206) million year-to-date variance is primarily due to:

- \$(434) million in accelerated encumbrances, primarily for medical assistance, that was planned to be obligated later in the fiscal year.
- \$182 million in delayed encumbrances, including \$71 million for public assistance, \$67 million for social services, \$27 million for other services and charges, \$14 million for contractual services and \$3 million for supplies and materials, that will be obligated later in the fiscal year.
- \$46 million in personal services, including \$(15) million for differentials, \$(14) million for overtime and \$(3) million for other salaried positions, offset by \$78 million for full-time normal gross.

Health and Mental Hygiene: The \$(31) million year-to-date variance is primarily due to:

- \$(68) million in accelerated encumbrances, including \$(57) million for contractual services and \$(10) million for other services and charges, that was planned to be obligated later in the fiscal year.
- \$23 million in delayed encumbrances, including \$20 million for social services and \$3 million for supplies and materials, that will be obligated later in the fiscal year.
- \$14 million in personal services, including \$(6) million for differentials and \$(3) million for holiday pay, offset by \$21 million for full-time normal gross and \$4 million for other salaried positions.

Housing Preservation and Development: The \$93 million year-to-date variance is primarily due to:

- \$(10) million in accelerated encumbrances, primarily for fixed and miscellaneous charges, that was planned to be obligated later in the fiscal year.
- \$91 million in delayed encumbrances, including \$65 million for contractual services and \$24 million for other services and charges, that will be obligated later in the fiscal year.
- \$12 million in personal services, primarily for full-time normal gross.

Environmental Protection: The \$137 million year-to-date variance is primarily due to:

- \$130 million in delayed encumbrances, including \$78 million for contractual services, \$24 million for fixed and miscellaneous charges, \$24 million for other services and charges and \$2 million for supplies and materials, that will be obligated later in the fiscal year.
- \$7 million in personal services.

Transportation: The \$36 million year-to-date variance is primarily due to:

- \$36 million in delayed encumbrances, including \$24 million for contractual services, \$7 million for other services and charges and \$5 million for property and equipment, that will be obligated later in the fiscal year.

Citywide Administrative Services: The \$(45) million year-to-date variance is primarily due to:

- \$(70) million in accelerated encumbrances, primarily for other services and charges, that was planned to be obligated later in the fiscal year.
- \$22 million in delayed encumbrances, primarily for contractual services, that will be obligated later in the fiscal year.
- \$3 million in personal services.

Education: The \$(18) million year-to-date variance is primarily due to:

- \$(127) million in accelerated encumbrances, including \$(52) million for other services and charges, \$(44) million for property and equipment, \$(25) million for contractual services and \$(6) million for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$40 million in delayed encumbrances, primarily for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$69 million in personal services, including \$(34) million for other salaried positions, \$(28) million for all other, \$(25) million for prior year charges, \$(9) million for differentials and \$(8) million for overtime, offset by \$124 million for full-time normal gross, \$39 million for fringe benefits and \$10 million for terminal leave.

City University: The \$147 million year-to-date variance is primarily due to:

- \$(4) million in accelerated encumbrances, primarily for property and equipment, that was planned to be obligated later in the fiscal year.

- \$145 million in delayed encumbrances, including \$123 million for fixed and miscellaneous charges, \$12 million for supplies and materials and \$9 million for other services and charges, that will be obligated later in the fiscal year.
- \$6 million in personal services.

Health + Hospitals: The \$19 million year-to-date variance is primarily due to:

- \$19 million in delayed encumbrances, primarily for fixed and miscellaneous charges, that will be obligated later in the fiscal year.

Debt Service: The \$(104) million year-to-date variance is primarily due to:

- \$(110) million in accelerated encumbrances, primarily for debt service transfers, that was planned to be obligated later in the fiscal year.
- \$6 million in delayed encumbrances, primarily for contractual services, that will be obligated later in the fiscal year.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: APRIL		FISCAL YEAR: 2017		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$12.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$47.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$262.4 (C) 0.0 (N)
HIGHWAY AND STREETS	91.5 (C) 16.8 (N)	1.5 (C) 1.1 (N)	306.1 (C) 48.4 (N)	100.1 (C) 43.4 (N)	794.8 (C) 195.5 (N)
HIGHWAY BRIDGES	22.1 (C) 0.2 (N)	0.0 (C) 0.0 (N)	59.5 (C) 13.1 (N)	(14.8) (C) 1.2 (N)	602.1 (C) 296.3 (N)
WATERWAY BRIDGES	12.8 (C) 0.0 (N)	0.0 (C) 0.0 (N)	41.9 (C) 0.0 (N)	24.0 (C) 0.0 (N)	197.6 (C) 0.2 (N)
WATER SUPPLY	45.3 (C) 0.0 (N)	0.0 (C) 0.0 (N)	83.8 (C) 0.0 (N)	13.0 (C) 0.0 (N)	225.1 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	154.7 (C) 0.0 (N)	2.5 (C) 0.0 (N)	546.3 (C) 1.4 (N)	384.4 (C) 0.1 (N)	1,001.6 (C) 15.2 (N)
SEWERS	17.1 (C) 0.0 (N)	0.6 (C) 0.0 (N)	384.9 (C) 7.5 (N)	389.7 (C) 8.9 (N)	893.3 (C) 18.4 (N)
WATER POLLUTION CONTROL	(0.7) (C) 0.0 (N)	0.1 (C) 0.0 (N)	180.7 (C) (0.7) (N)	166.7 (C) 0.0 (N)	901.2 (C) 56.6 (N)
ECONOMIC DEVELOPMENT	41.4 (C) 5.8 (N)	0.0 (C) 0.0 (N)	254.2 (C) 0.1 (N)	18.1 (C) 3.8 (N)	1,053.4 (C) 214.4 (N)
EDUCATION	712.7 (C) 0.0 (N)	713.0 (C) 0.0 (N)	2,785.0 (C) 60.3 (N)	2,785.3 (C) 60.3 (N)	3,415.6 (C) 502.0 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: APRIL		FISCAL YEAR: 2017		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	(3.0) (C)	0.0 (C)	51.4 (C)	60.2 (C)	315.4 (C)
	0.0 (N)	0.0 (N)	3.3 (N)	0.8 (N)	73.5 (N)
SANITATION	12.4 (C)	20.1 (C)	221.6 (C)	216.1 (C)	351.4 (C)
	0.2 (N)	0.1 (N)	2.1 (N)	2.6 (N)	3.7 (N)
POLICE	21.9 (C)	0.7 (C)	176.4 (C)	103.8 (C)	605.2 (C)
	0.0 (N)	5.7 (N)	0.0 (N)	5.7 (N)	17.0 (N)
FIRE	15.4 (C)	0.2 (C)	62.6 (C)	1.2 (C)	233.7 (C)
	0.0 (N)	0.0 (N)	0.1 (N)	(0.1) (N)	43.3 (N)
HOUSING	28.5 (C)	0.0 (C)	620.7 (C)	32.4 (C)	1,579.0 (C)
	(0.0) (N)	0.0 (N)	17.5 (N)	0.0 (N)	42.9 (N)
HOSPITALS	9.5 (C)	20.5 (C)	109.9 (C)	87.5 (C)	450.0 (C)
	0.0 (N)	1.2 (N)	57.3 (N)	24.7 (N)	430.9 (N)
PUBLIC BUILDINGS	4.4 (C)	4.9 (C)	51.6 (C)	52.1 (C)	222.3 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	8.3 (N)
PARKS	34.7 (C)	2.3 (C)	423.1 (C)	166.2 (C)	838.3 (C)
	2.3 (N)	0.2 (N)	42.7 (N)	10.3 (N)	133.8 (N)
ALL OTHER DEPARTMENTS	94.5 (C)	16.6 (C)	736.3 (C)	383.7 (C)	2,782.4 (C)
	18.7 (N)	0.3 (N)	261.9 (N)	120.3 (N)	461.3 (N)
TOTAL	\$1,327.1 (C)	\$783.0 (C)	\$7,143.0 (C)	\$4,969.6 (C)	\$16,724.7 (C)
	\$44.0 (N)	\$8.7 (N)	\$515.2 (N)	\$281.9 (N)	\$2,513.2 (N)

SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: April

Fiscal Year: 2017

City Funds:

Total Authorized Commitment Plan	\$16,725
Less: Reserve for Unattained Commitments Commitment Plan	<u>(4,761)</u>
	<u>\$11,964</u>

Non-City Funds:

Total Authorized Commitment Plan	\$2,513
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u>
	<u>\$2,513</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2018 Executive Capital Commitment Plan of \$16,725 million rather than the Financial Plan level of \$11,964 million. The additional \$4,761 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through April are primarily due to timing differences.

- Waterway Bridges - Rehabilitation of Brooklyn Bridge, totaling \$19.8 million, advanced from June 2017 to September 2016 and April 2017. Reconstruction of Macombs Dam Bridge over the Harlem River, slipped from March 2017 to June 2017. Various slippages and advances account for the remaining variance.

- Correction - Communication System Improvements, totaling \$7.5 million, slipped from July 2016 to June 2017. Various slippages and advances account for the remaining variance.

- Economic Development - Acquisition, Site Development, Construction and Reconstruction, City-wide, totaling \$112.2 million, advanced from June 2017 to July 2016 thru April 2017. Brooklyn Navy Yard, totaling \$40.3 million, advanced from June 2017 to August thru November 2016 and March 2017. Commercial Revitalization, City-wide, totaling \$6.9 million, advanced from June 2017 to October 2016 and January thru March 2017. Modernization and Reconstruction of Piers, City-wide, totaling \$74.9 million, advanced from June 2017 to July 2016 thru April 2017. Various slippages and advances account for the remaining variance.

- Fire - Facility Improvements, City-wide, totaling \$37.3 million, advanced from June 2017 to August 2016 thru April 2017. Vehicle Acquisition, City-wide, totaling \$8.9 million, advanced from June 2017 to September 2016 thru April 2017. Sites for Fire Department Capital Projects, totaling \$4.4 million, advanced from June 2017 to November 2016 and January 2017. New Training Center for the New York City Fire Department, totaling \$4.5 million, advanced from June 2017 to July 2016 thru April 2017. Management Information and Control Systems, totaling \$6.1 million, advanced from June 2017 to July 2016 thru April 2017. Various slippages and advances account for the remaining variance.

- Highway Bridges - Improvements to Highway Bridges and Structures, City-wide, totaling \$28.9 million, advanced from June 2017 to July 2016 thru April 2017. Reconstruction of Gerritsen Inlet-BSHP Bridge, Brooklyn, totaling \$2.1 million, advanced from June 2017 to September and December 2016 and March and April 2017. Design Cost for Bridge Facilities, City-wide, totaling \$13.8 million, advanced from June 2017 to January and March 2017. Bridge Painting, City-wide, totaling \$21.9 million, advanced from June 2017 to August 2016 thru April 2017. Deregistration of contracts for Westchester Avenue/Hutchinson River Parkway Bridge, the Bronx, totaling \$9.7 million, occurred in January 2017. Reconstruction of Unionport Road Bridge over Westchester Creek, the Bronx, totaling \$2.9 million, advanced from June 2017 to January 2017. Reconstruction of Atlantic Avenue/LIRR Atlantic Avenue Bridge, Brooklyn, totaling \$6.7 million, advanced from June 2017 to February 2017. Reconstruction of Roosevelt Avenue Bridge over Van Wyck Expressway, Queens, totaling \$2.6 million, advanced from June 2017 to July and October 2016 and March and April 2017. Various slippages and advances account for the remaining variance.
- Highways - Construction and Reconstruction of Highways, City-wide, totaling \$18.9 million, advanced from June 2017 to April 2017. Resurfacing of Streets, City-wide, totaling \$32.8 million, advanced from June 2017 to July 2016 thru April 2017. Sidewalk Construction, City-wide, totaling \$30.1 million, advanced from May and June 2017 to October 2016 thru April 2017. Rehabilitation of Step Streets, totaling \$2.8 million, advanced from June 2017 to April 2017. Repaving and Resurfacing of Streets, In-house Forces, totaling \$90.4 million, advanced from June 2017 to January, February and April 2017. Reconstruction of Pelham Parkway, totaling \$20.2 million, advanced from June 2017 to April 2017. Reconstruction of McLean Avenue, Staten Island, totaling \$3.6 million, slipped from January and February 2017 to June 2017. Construction of Streets, Malls, Squares, Triangles and PlaNYC, totaling \$10.5 million, advanced from June 2017 to September 2016 thru March 2017. Various slippages and advances account for the remaining variance.
- Housing - Housing Authority Projects, totaling \$76.7 million, advanced from June 2017 to July 2016 thru April 2017. Additions to Housing and Development's Capital Budget, totaling \$3.0 million, advanced from June 2017 to October and December 2016. Women's Housing and Economic Development Corporation, totaling \$7.6 million, advanced from June 2017 to December 2016. NYC Partnership Housing Development Fund Corporation, Inc., totaling \$4.0 million, advanced from June 2017 to March and April 2017. First Jamaican Community and Urban Development, totaling \$2.0 million, advanced from June 2017 to March 2017. Low

Income Housing Tax Credit (LIHTC) Projects, totaling \$9.8 million, advanced from June 2017 to September thru December 2016 and March 2017. Small Homes Development, Scatter Site, totaling \$5.4 million, advanced from June 2017 to December 2016. Computer Purchases and Upgrades, totaling \$3.6 million, advanced from June 2017 to July 2016 thru April 2017. Article 8A Loan Program, totaling \$7.9 million, advanced from June 2017 to December 2016. Supportive Housing Rehabilitation, totaling \$50.5 million, advanced from June 2017 to August 2016 and March and April 2017. Participation Loan Program (PLP) Rehabilitation, totaling \$7.8 million, advanced from June 2017 to August and October 2016. Assisted Living and Senior Housing, totaling \$6.2 million, advanced from June 2017 to December 2016. Low and Mixed Income Rental Programs, totaling \$418.2 million, advanced from June 2017 to July 2016 thru April 2017, and a deregistration, totaling \$25.1 million, occurred in April 2017. Various slippages and advances account for the remaining variance.

- Hospitals
 - Hospital Improvements, City-wide, totaling \$10.2 million, slipped from January thru April 2017 to June 2017. Emergency Medical Services Equipment, totaling \$32.2 million, advanced from May and June 2017 to August 2016 thru April 2017. Various slippages and advances account for the remaining variance.

- Parks
 - Miscellaneous Parks, Parkways, Playgrounds and Structures, City-wide, totaling \$35.1 million, advanced from May and June 2017 to July 2016 thru April 2017. Street and Park Tree Planting, City-wide, totaling \$14.1 million, advanced from June 2017 to September 2016 and January thru April 2017. Purchase of Equipment for the Department of Parks and Recreation, City-wide, totaling \$8.4 million, advanced from June 2017 to March and April 2017. Acquisition of Property for Playgrounds and Parks, totaling \$100.0 million, advanced from June 2017 to March 2017. Park Improvements, City-wide, totaling \$96.2 million, advanced from June 2017 to August 2016 and January thru April 2017. Construction and Reconstruction of Roofing Systems, City-wide, totaling \$2.3 million, advanced from June 2017 to October 2016 thru March 2017. Various slippages and advances account for the remaining variance.

- Police
 - Deregistration of contracts for Purchase of Vehicles and Equipment, City-wide, totaling \$2.0 million, slipped from November 2016 to June 2017. Ultrahigh Frequency Radio Telephone Equipment, totaling \$8.5 million, advanced from June 2017 to April 2017. Improvements to Police Department Property, totaling \$14.0 million, advanced from May and June 2017 to October 2016 thru April 2017. New 40th Precinct Station House, totaling \$6.4 million, advanced from June 2017 to February 2017. Acquisition and

Installation of New Computer Equipment, City-wide, totaling \$38.4 million, advanced from May and June 2017 to August 2016 thru April 2017. Vehicles of at least \$35,000 after November 1999, totaling \$10.4 million, advanced from May and June 2017 to January thru April 2017. Construction of a New Police Training Facility, City-wide, totaling \$9.3 million, slipped from July 2016 to June 2017. Various slippages and advances account for the remaining variance.

- Sanitation - Collection Trucks and Equipment, totaling \$3.4 million, advanced from June 2017 to April 2017. Garage and Other Facilities Improvements, City-wide, totaling \$6.2 million, slipped from August 2016 thru April 2017 to June 2017. Construction and Reconstruction of Marine Transfer Stations, totaling \$7.6 million, advanced from June 2017 to February thru April 2017. Various slippages and advances account for the remaining variance.
- Water Supply - City Tunnel Number 3, Stage 1, totaling \$44.1 million, advanced from June 2017 to April 2017. City Tunnel Number 3, Stage 2, totaling \$25.0 million, advanced from June 2017 to February thru April 2017. Various slippages and advances account for the remaining variance.
- Water Mains - Water Main Extensions, City-wide, totaling \$44.5 million, advanced from May and June 2017 to April 2017. Trunk Main Extensions and Improvements, totaling \$52.4 million, advanced from May and June 2017 to April 2017. Construction of Croton Filtration, totaling \$7.2 million, advanced from June 2017 to July 2016 thru April 2017. Improvements to Structures including Equipment on Water Sheds outside New York City, totaling \$57.7 million, advanced from June 2017 to October 2016 thru April 2017. Various slippages and advances account for the remaining variance.
- Water Pollution Control - Reconstruction of Water Pollution Projects, totaling \$11.7 million, advanced from June 2017 to February thru April 2017. Combined Sewer Overflow Abatement Facilities, City-wide, totaling \$2.0 million, advanced from June 2017 to August 2016 thru April 2017, and a deregistration, totaling \$3.4 million, occurred in April 2017. Deregistration of contracts for the Upgrade Bowery Bay Water Pollution Control Project, totaling \$2.1 million, occurred in August 2016. Engineering, Architecture, Administration and Other Costs for the Department of Water Resources, totaling \$4.6 million, advanced from June 2017 to February thru April 2017. Deregistration of contracts for the upgrade of the Newtown Creek Water Pollution Control

Plant, totaling \$12.4 million, occurred in February thru April 2017. Bionutrient Removal Facilities, City-wide, totaling \$2.7 million, advanced from June 2017 to September 2016 thru April 2017. Various slippages and advances account for the remaining variance.

Others

- Purchase of Equipment for the Department of Environmental Protection Agency, totaling \$7.7 million, advanced from June 2017 to September 2016 thru April 2017. Acquisition, Reconstruction, Construction of Leased and Owned Facilities by DEP, totaling \$2.2 million, slipped from December 2016 thru April 2017 to June 2017. Mandated Payments for Private Gas, totaling \$6.1 million, advanced from June 2017 to January thru April 2017.
- Private Ferry Facilities, Boats and Floating Equipment, totaling \$2.1 million, advanced from June 2017 to August and November 2016 thru March 2017. Reconstruction of Ferry Vessels, Staten Island to Manhattan Service, totaling \$111.2 million, advanced from June 2017 to February 2017.
- Congregate Facilities for Homeless Single Adults, totaling \$19.3 million, advanced from June 2017 to July 2016 thru April 2017.
- Construction and Improvements to CUNY Community Colleges, totaling \$7.9 million, advanced from June 2017 to July 2016 thru April 2017. Construction and Improvements to CUNY Senior Colleges, totaling \$9.2 million, advanced from June 2017 to August 2016 thru April 2017. Alterations and Renovations to Hunter College Campus School, totaling \$6.2 million, advanced from June 2017 to March 2017.
- Improvements to Structures for use by the Department of Social Services, totaling \$6.1 million, advanced from June 2017 to July 2016 thru April 2017. Computer Equipment for the Department of Human Resources, totaling \$18.7 million, advanced from June 2017 to July 2016 thru April 2017.
- Purchase of Electronic Data Processing Equipment, City-wide, totaling \$26.0 million, advanced from June 2017 to March and April 2017. Energy Efficiency and Sustainability, totaling \$16.7 million, advanced from May and June 2017 to April 2017.

- Construction, Reconstruction, Improvements, Acquisition, Outfit and Equipment of Library Branches, City-wide, totaling \$8.7 million, advanced from June 2017 to July 2016 thru April 2017.
- Construction, Improvements and Acquisition to all Cultural Institutions, totaling \$4.8 million, advanced from June 2017 to September 2016 thru April 2017. Improvements to Snug Harbor, totaling \$9.0 million, slipped from March and April 2017 to June 2017. Dancewave, Inc., totaling \$2.3 million, slipped from February and April 2017 to June 2017. Jazz Lincoln Center, totaling \$5.3 million, advanced from June 2017 to March 2017. New York Historical Society, totaling \$10.6 million, advanced from June 2017 to March 2017. Improvements to New York Zoological Society, totaling \$2.2 million, slipped from December 2016 to June 2017. Improvements to Brooklyn Academy of Music, totaling \$2.0 million, advanced from June 2017 to March 2017. Queens Theater in the Park Improvements, totaling \$2.1 million, advanced from June 2017 to April 2017.
- Improvements to Transit System, totaling \$4.0 million, advanced from June 2017 to April 2017. Miscellaneous Reconstruction to Lines Under Operation, totaling \$35.0 million, advanced from June 2017 to November 2016. Additional MTA Capital Funds, totaling \$7.0 million, advanced from June 2017 to April 2017.
- Purchase of Equipment for use by the Department of Transportation, totaling \$12.5 million, advanced from June 2017 to July 2016 thru April 2017.
- Street Lighting, City-wide, totaling \$9.7 million, advanced from June 2017 to September 2016 and February thru April 2017. Installation of Street-Surface Markings and Traffic Signals, City-wide, totaling \$7.1 million, advanced from June 2017 to September 2016 and February and April 2017. Traffic Installation for Bridge, Highway and Street Projects, City-wide, totaling \$3.5 million, advanced from June 2017 to January thru April 2017. Off Street Parking Facilities, totaling \$9.3 million, advanced from June 2017 to September 2016 thru March 2017.

3. Variances in year-to-date commitments of non-City funds through April occurred in the Department of Housing Preservation and Development, the Department of Transportation, Hospitals, the Department of Parks and Recreation, the Police Department and Others.

- Highway Bridges - Westchester Avenue/Hutchinson River Parkway Bridge, the Bronx, totaling \$9.8 million, advanced from June 2017 to January 2017. Various slippages and advances account for the remaining variance.
- Housing - Assisted Living and Senior Housing, City-wide, totaling \$12.1 million, advanced from June 2017 to December 2016. Supportive Housing, totaling \$8.2 million, advanced from June 2017 to December 2016. Various slippages and advances account for the remaining variance.
- Hospitals - Hospital Improvements, City-wide, totaling \$30.5 million, advanced from May and June 2017 to July 2016 thru April 2017. Emergency Medical Equipment, totaling \$2.2 million, advanced from May and June 2017 to March 2017. Various slippages and advances account for the remaining variance.
- Parks - Park Improvements, City-wide, totaling \$32.2 million, advanced from June 2017 to July 2016 thru April 2017. Various slippages and advances account for the remaining variance.
- Police - Improvements to the Police Department, totaling \$5.7 million, slipped from April 2017 to June 2017. Various slippages and advances account for the remaining variance.
- Others - Reconstruction of Ferry Vessels, Staten Island to Manhattan Service, totaling \$137.4 million, advanced from June 2017 to February 2017.
- Improvements of Structures for use by the Department of Social Services, totaling \$3.2 million, advanced from June 2017 to August 2016 thru March 2017. Computer Equipment, totaling \$5.4 million, advanced from June 2017 to July 2016 thru April 2017.
- Installation of Street-Surface Markings and Traffic Signals, City-wide, totaling \$11.5 million, slipped from February 2017 to June 2017.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

MONTH: APRIL

FISCAL YEAR: 2017

DESCRIPTION	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C) 0.0 (N)	\$24.0 (C) 0.0 (N)	\$63.7 (C) (0.4) (N)
HIGHWAY AND STREETS	44.5 (C) 18.1 (N)	258.8 (C) 80.9 (N)	338.2 (C) 67.2 (N)
HIGHWAY BRIDGES	12.6 (C) 12.1 (N)	141.9 (C) 184.2 (N)	200.1 (C) 23.3 (N)
WATERWAY BRIDGES	3.6 (C) 0.0 (N)	103.5 (C) 30.2 (N)	121.3 (C) 10.9 (N)
WATER SUPPLY	8.2 (C) 0.0 (N)	88.5 (C) 0.0 (N)	266.7 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	33.1 (C) 0.2 (N)	381.9 (C) 1.2 (N)	487.8 (C) 4.3 (N)
SEWERS	31.4 (C) 0.1 (N)	288.9 (C) 2.1 (N)	362.7 (C) 8.8 (N)
WATER POLLUTION CONTROL	40.4 (C) 0.0 (N)	389.3 (C) 2.1 (N)	506.7 (C) (4.7) (N)
ECONOMIC DEVELOPMENT	10.6 (C)	202.1 (C)	249.1 (C)
EDUCATION	100.1 (C) 0.0 (N)	1,666.5 (C) 699.2 (N)	1,960.3 (C) 783.7 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: APRIL		FISCAL YEAR: 2017	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
CORRECTION	7.7 (C)		79.6 (C)	94.6 (C)
	0.2 (N)		0.4 (N)	18.8 (N)
SANITATION	11.1 (C)		240.4 (C)	228.3 (C)
	0.0 (N)		0.0 (N)	(2.7) (N)
POLICE	11.7 (C)		123.1 (C)	144.8 (C)
	0.0 (N)		0.6 (N)	(2.8) (N)
FIRE	12.4 (C)		93.1 (C)	91.3 (C)
	0.0 (N)		0.7 (N)	13.3 (N)
HOUSING	111.5 (C)		849.3 (C)	824.7 (C)
	1.6 (N)		30.2 (N)	33.7 (N)
HOSPITALS	5.0 (C)		83.0 (C)	89.2 (C)
	2.0 (N)		9.8 (N)	106.0 (N)
PUBLIC BUILDINGS	6.0 (C)		71.3 (C)	90.4 (C)
	0.0 (N)		0.0 (N)	0.6 (N)
PARKS	18.9 (C)		363.8 (C)	247.4 (C)
	5.9 (N)		87.9 (N)	48.5 (N)
ALL OTHER DEPARTMENTS	54.1 (C)		696.9 (C)	1,122.6 (C)
	12.0 (N)		75.6 (N)	161.1 (N)
TOTAL	\$523.0 (C)		\$6,145.9 (C)	\$7,490.0 (C)
	\$57.9 (N)		\$1,222.2 (N)	\$1,314.4 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

Report No. 6

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: APRIL
FISCAL YEAR 2017

	ACTUAL										FORECAST		12	ADJUST-	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Months	MENTS	
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$ 3,979	\$ 6	\$ 1,283	\$ 533	\$ 172	\$ 5,786	\$ 3,332	\$ 92	\$ 1,131	\$ 540	\$ 50	\$ 7,577	\$ 24,481	\$ (79)	\$ 24,402
OTHER TAXES	608	1,363	3,426	1,986	1,556	3,794	2,929	1,846	3,207	2,907	1,614	4,200	29,436	1,008	30,444
FEDERAL CATEGORICAL GRANTS	130	165	257	293	449	356	202	413	821	397	702	940	5,125	3,812	8,937
STATE CATEGORICAL GRANTS	358	128	1,107	22	582	1,053	219	209	4,026	302	1,759	951	10,716	3,721	14,437
OTHER CATEGORICAL GRANTS	32	181	19	25	27	23	32	24	27	35	14	31	470	506	976
UNRESTRICTED (NET OF DISALL.)	1	(1)	2	-	-	-	57	-	-	-	-	-	59	611	670
MISCELLANEOUS REVENUES	647	508	706	529	406	249	295	256	394	235	387	365	4,977	(31)	4,946
INTER-FUND REVENUES	-	-	51	29	33	39	31	103	39	83	43	59	510	131	641
SUBTOTAL	\$ 5,755	\$ 2,350	\$ 6,851	\$ 3,417	\$ 3,225	\$ 11,300	\$ 7,097	\$ 2,943	\$ 9,645	\$ 4,499	\$ 4,569	\$ 14,123	\$ 75,774	\$ 9,679	\$ 85,453
PRIOR															
TAXES	754	263	-	-	-	-	-	-	-	-	-	-	1,017	-	1,017
FEDERAL CATEGORICAL GRANTS	188	385	510	464	173	40	99	159	468	68	76	121	2,751	1,174	3,925
STATE CATEGORICAL GRANTS	128	265	86	425	9	85	79	(45)	346	13	17	9	1,417	679	2,096
OTHER CATEGORICAL GRANTS	55	9	5	(10)	1	-	2	6	25	10	2	185	290	122	412
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-	-	-	-	-	-	-	-	4	4
MISC. REVENUE/IFA	28	96	-	-	-	-	-	-	-	-	-	-	124	(124)	-
SUBTOTAL	\$ 1,153	\$ 1,018	\$ 601	\$ 879	\$ 183	\$ 125	\$ 180	\$ 120	\$ 839	\$ 91	\$ 95	\$ 315	\$ 5,599	\$ 1,855	\$ 7,454
CAPITAL															
CAPITAL TRANSFERS	129	2,104	599	631	586	309	1,156	304	193	161	1,334	129	7,635	(145)	7,490
FEDERAL AND STATE	18	52	83	60	52	79	139	91	96	47	-	-	717	597	1,314
OTHER															
SENIOR COLLEGES	-	-	-	401	-	-	225	-	732	-	-	770	2,128	229	2,357
HOLDING ACCT. & OTHER ADJ.	(22)	3	7	19	(3)	57	(57)	(1)	11	9	(23)	-	-	-	-
OTHER SOURCES	155	-	527	65	42	36	270	-	-	-	-	-	1,095	-	1,095
TOTAL INFLOWS	\$ 7,188	\$ 5,527	\$ 8,668	\$ 5,472	\$ 4,085	\$ 11,906	\$ 9,010	\$ 3,457	\$ 11,516	\$ 4,807	\$ 5,975	\$ 15,337	\$ 92,948	\$ 12,215	\$ 105,163
CASH OUTFLOWS															
CURRENT															
PERSONAL SERVICE	2,426	2,761	3,205	3,325	3,279	4,019	3,666	3,291	3,326	3,500	3,340	6,319	42,457	2,553	45,010
OTHER THAN PERSONAL SERVICE	1,849	2,387	2,282	2,622	2,251	2,395	2,199	2,310	2,600	2,370	2,311	2,864	28,440	6,032	34,472
DEBT SERVICE	704	18	3	120	199	5	910	11	192	72	219	3,539	5,992	(21)	5,971
SUBTOTAL	\$ 4,979	\$ 5,166	\$ 5,490	\$ 6,067	\$ 5,729	\$ 6,419	\$ 6,775	\$ 5,612	\$ 6,118	\$ 5,942	\$ 5,870	\$ 12,722	\$ 76,889	\$ 8,564	\$ 85,453
PRIOR															
PERSONAL SERVICE	1,096	917	46	7	9	34	82	59	10	258	63	465	3,046	983	4,029
OTHER THAN PERSONAL SERVICE	998	677	73	3	191	222	507	219	97	49	299	151	3,486	3,036	6,522
TAXES	5	41	-	-	-	-	-	-	-	-	-	-	46	-	46
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,111	1,111
SUBTOTAL	\$ 2,099	\$ 1,635	\$ 119	\$ 10	\$ 200	\$ 256	\$ 589	\$ 278	\$ 107	\$ 307	\$ 362	\$ 616	\$ 6,578	\$ 5,130	\$ 11,708
CAPITAL															
CITY DISBURSEMENTS	791	434	645	370	652	470	1,093	393	776	523	764	579	7,490	-	7,490
FEDERAL AND STATE	210	70	196	45	209	75	183	45	131	58	92	-	1,314	-	1,314
OTHER															
SENIOR COLLEGES	101	145	165	226	160	644	1	167	224	177	191	156	2,357	-	2,357
OTHER USES	-	439	-	-	-	-	-	10	27	42	-	-	518	599	1,117
TOTAL OUTFLOWS	\$ 8,180	\$ 7,889	\$ 6,615	\$ 6,718	\$ 6,950	\$ 7,864	\$ 8,641	\$ 6,505	\$ 7,383	\$ 7,049	\$ 7,279	\$ 14,073	\$ 95,146	\$ 14,293	\$ 109,439
NET CASH FLOW	\$ (992)	\$ (2,362)	\$ 2,053	\$ (1,246)	\$ (2,865)	\$ 4,042	\$ 369	\$ (3,048)	\$ 4,133	\$ (2,242)	\$ (1,304)	\$ 1,264	\$ (2,198)	\$ (2,078)	\$ (4,276)
BEGINNING BALANCE	\$ 11,719	\$ 10,727	\$ 8,365	\$ 10,418	\$ 9,172	\$ 6,307	\$ 10,349	\$ 10,718	\$ 7,670	\$ 11,803	\$ 9,561	\$ 8,257	\$ 11,719		
ENDING BALANCE	\$ 10,727	\$ 8,365	\$ 10,418	\$ 9,172	\$ 6,307	\$ 10,349	\$ 10,718	\$ 7,670	\$ 11,803	\$ 9,561	\$ 8,257	\$ 9,521	\$ 9,521		

NOTES TO REPORT #6

1. **Beginning Balance**

The July 2016 beginning balance is consistent with the FY 2016 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2017 ending balance includes deferred revenue from FY 2018 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds, NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.

5. **Cash Flow Realignment**

Taxes reflect revenue net of refunds which may result in a negative cash flow in certain months. For Federal, State and Other Categorical Grants, a negative cash flow may result from corrections to certain grants that occur after the monthly accounting close in which cash is moved between grants and/or between current year/prior year classification. These negative cash flow transactions are accounting transactions reflected in the City's Financial Management System. For Debt Service, the negative cash flow represents real estate tax collections transferred from the Debt Service fund due to an over-retention in the prior year.