

**New York City Department of Correction
Quarterly Emergency Lock-In Report
FY21 Quarter 4 (April 1st-June 30th)**

This report provides information regarding the rate of emergency lock-ins that the New York City Department of Correction (DOC or Department) is required to report pursuant to New York City Administrative Code §9-155. This reporting time frame is from April 1st – June 30th, 2021.

1. The number of department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

During this timeframe, the Department did not have a department-wide emergency lock-in.

2. The number of facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

Facility	Lock-In Reasons ¹	# Lock-Ins
AMKC	Other	1
	Search	1
NIC	Investigation-other	1
RNDC	Slashing/Stabbing	1

3. The number of lock-in extensions disaggregated by facility and housing area, in total and disaggregated by housing area type and reason for lock-in extension.

During this timeframe, the Department did not have any lock-in extensions.

4. The number of partial facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

Facility	Lock-In Reasons ²	# Lock-Ins
AMKC	Other	2

¹ Emergency Lock-Ins are tracked using the following categories: Assault on Staff, Escape, Fight, Tension, Search, Red Alert, Slashing/Stabbing, SRG Activity, Tactical Search Operation, and UOF Investigation, Investigation- Other and Other.

² Events that fall into the “Other” category in the housing area emergency lock-ins table include occurrences such as Investigation Insufficient Staffing Levels.

5. The number of housing area emergency lock-ins disaggregated by facility and housing area, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

Facility	HA Type	Lock-In Reasons	# Lock-Ins
AMKC	GP	Investigation-other	3
		Other ³	1
		Slashing/Stabbing	19
		UOF Investigation	1
EMTC	GP	Slashing/Stabbing	1
GRVC	GP	Incarcerated Individual Fight	1
		Investigation-other	6
		Other	1
		Slashing/Stabbing	22
		UOF Investigation	11
	RESTRICTIVE	Investigation-other	11
		Other	10
		Slashing/Stabbing	44
		SRG Activity	1
		UOF Investigation	12
NIC	GP	Slashing/Stabbing	1
OBCC	GP	Investigation-other	1
		Other	2
		Slashing/Stabbing	15
		UOF Investigation	9
	RESTRICTIVE	Investigation-other	1
		Slashing/Stabbing	1
		UOF Investigation	6
RNDC	GP	Assault on Staff	1
		Incarcerated Individual Fight	1
		Investigation-other	15
		Slashing/Stabbing	64
		UOF Investigation	4
VCBC	GP	Investigation-other	1

³ Events that fall into the “Other” category in the housing area emergency lock-ins table include occurrences such as Missing Institutional Razor, Insufficient Staffing Level, and Investigation into a Bomb.

		Slashing/Stabbing	4
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6. The mean and median number of incarcerated individuals housed in areas affected by housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the housing area type.

Facility	HA Type	Incarcerated Individual Count (Mean)	Incarcerated Individual Count (Median)
AMKC	GP	29.21	27.00
EMTC	GP	18.00	18.00
GRVC	GP	39.50	41.00
	RESTRICTIVE	15.56	15.00
NIC	GP	7.00	7.00
OBCC	GP	31.47	35.00
	RESTRICTIVE	37.62	42.00
RNDC	GP	13.87	13.00
VCBC	GP	44.80	44.00

7. (a) The mean and median duration of emergency lock-ins disaggregated by department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (b) facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (c) partial facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (d) and housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and housing area type.

(a) The mean and median duration of department-wide emergency

During this timeframe, the Department did not have a department-wide emergency lock-in.

(b) Mean and median duration of facility emergency lock-in

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	Other	2.00	2.00
	Search	0.50	0.50
NIC	Investigation-other	7.50	7.50
RNDC	Slashing/Stabbing	5.00	5.00

(c) Mean and median duration of partial facility emergency lock-in

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	Other	6.00	6.00

(d) Mean and median duration of housing area (HA) emergency lock-in

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	GP	Investigation-other	3.67	2.00
		Other	4.00	4.00
		Slashing/Stabbing	4.29	4.33
		UOF Investigation	2.00	2.00
EMTC	GP	Slashing/Stabbing	8.00	8.00
GRVC	GP	Incarcerated Individual Fight	2.00	2.00
		Investigation-other	2.71	2.00
		Other	2.00	2.00
		Slashing/Stabbing	4.40	5.00
		UOF Investigation	4.69	5.00
	RESTRICTIVE	Investigation-other	3.53	2.00
		Other	3.86	2.00
		Slashing/Stabbing	3.80	2.83
		SRG Activity	2.00	2.00
		UOF Investigation	4.51	5.00
NIC	GP	Slashing/Stabbing	4.33	4.33
OBCC	GP	Investigation-other	2.00	2.00
		Other	5.67	5.67
		Slashing/Stabbing	3.94	4.62
		UOF Investigation	4.25	3.50
	RESTRICTIVE	Investigation-other	2.00	2.00
		Slashing/Stabbing	3.00	3.00
		UOF Investigation	2.75	2.42
RNDC	GP	Assault on Staff	2.00	2.00

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
		Incarcerated Individual Fight	5.00	5.00
		Investigation-other	3.00	2.00
		Slashing/Stabbing	4.33	5.00
		UOF Investigation	4.10	5.00
VCBC	GP	Investigation-other	2.00	2.00
		Slashing/Stabbing	5.00	4.96

8. The number of times mandated services are affected by an emergency lock-in or lock in extension, disaggregated by service type

MANDATED SERVICE	SERVICE TYPE	MANDATED COUNT
EDUCATIONAL SERVICES	CANCELLED	0
	DELAYED	0
LAW LIBRARY	CANCELLED	7
	DELAYED	0
PHONE CALLS	CANCELLED	30
	DELAYED	88
RECREATION	CANCELLED	24
	DELAYED	73
RELIGIOUS SERVICES	CANCELLED	5
	DELAYED	10
SICK CALL ⁴	CANCELLED	0
	DELAYED	0
VISITS	CANCELLED	25
	DELAYED	46

9. The mean and median duration of continuous lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

⁴ The sick call triage hotline is always available to people during all lock out hours. An emergency lock in would not prevent access to the hotline unless access to phones had been restricted as a part of the emergency lock in measure. Nevertheless, emergency lock ins do not prevent medical services and anyone with a medical need can still access the clinic during an emergency lock in.

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	GP	Investigation-other	3.67	2.00
		Other	4.68	5.00
		Search	0.50	0.50
		Slashing/Stabbing	4.29	4.33
		UOF Investigation	2.00	2.00
	NON-HOUSING AREA	Other	4.67	5.00
		Search	0.50	0.50
EMTC	GP	Slashing/Stabbing	8.00	8.00
GRVC	GP	Incarcerated Individual Fight	2.00	2.00
		Investigation-other	2.71	2.00
		Other	2.00	2.00
		Slashing/Stabbing	4.40	5.00
		UOF Investigation	4.69	5.00
	RESTRICTIVE	Investigation-other	3.53	2.00
		Other	3.86	2.00
		Slashing/Stabbing	3.80	2.83
		SRG Activity	2.00	2.00
		UOF Investigation	4.51	5.00
NIC	GP	Investigation-other	7.50	7.50
		Slashing/Stabbing	4.33	4.33
	NON-HOUSING AREA	Investigation-other	7.50	7.50
OBCC	GP	Investigation-other	2.00	2.00
		Other	5.67	5.67
		Slashing/Stabbing	3.94	4.62
		UOF Investigation	4.25	3.50
	RESTRICTIVE	Investigation-other	2.00	2.00
		Slashing/Stabbing	3.00	3.00
		UOF Investigation	2.75	2.42
RNDC	GP	Assault on Staff	2.00	2.00
		Incarcerated Individual Fight	5.00	5.00
		Investigation-other	3.00	2.00
		Slashing/Stabbing	4.59	5.00

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
		UOF Investigation	4.10	5.00
	NON-HOUSING AREA	Slashing/Stabbing	5.00	5.00
VCBC	GP	Investigation-other	2.00	2.00
		Slashing/Stabbing	5.00	4.96

10. The number of times that the duration of a continuous lock-in exceeds 24 hours, disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.⁵

Facility	HA Type	Lock-In Reasons	# Exceeds 24 Hour Lock-In
GRVC	GP	Slashing/Stabbing	3
		UOF Investigation	1
	RESTRICTIVE	Slashing/Stabbing	7
OBCC	GP	Slashing/Stabbing	1
RNDC	GP	Slashing/Stabbing	3

In comparison to the previous four reporting periods, department-wide lockdowns remained the same. The number of facility emergency lock-ins decreased. The number of lock-in extensions remained the same. The number of partial emergency lock-ins remained the same. The number of mandated services interrupted decreased. The number of continuous lock-in exceeding 24 hours increased.

To review the FY21 Quarter 3, FY21 Quarter 2, FY21 Quarter 1, and FY20 Quarter 4, Emergency Lock-In Reports please visit https://www1.nyc.gov/site/doc/about/emergency_lock-in_Reports.page.

⁵ Pursuant to local law 164, a continuous lock-in as used in this report refers to any period of time during which incarcerated individuals are confined to their cells or beds due to the combination of an emergency lock-in and either a scheduled lock-in or a lock-in extension, or both. For the purposes of this data metric, these 24-hour lock-in periods are continuous lock-ins, including both scheduled lock-ins and emergency-lock-in periods.