

Proposed Consolidated Plan

Annual Performance Report 2009
Volume II



NYCTM PLANNING
DEPARTMENT OF CITY PLANNING CITY OF NEW YORK

Effective as of March 31, 2010

Proposed Consolidated Plan

2009

Annual Performance Report
Volume II



Michael R. Bloomberg

Mayor, City of New York

Amanda M. Burden FAICP

Director, Department of City Planning

NYC PLANNING
DEPARTMENT OF CITY PLANNING CITY OF NEW YORK

Department of City Planning
22 Reade Street, New York, N.Y. 10007-1216

nyc.gov/planning

DCP # 10-02

Proposed 2009 Consolidated Plan Annual Performance Report (APR)

March 31, 2010

VOLUME 2

Table of Contents

Part II. <u>Status of Actions Undertaken in Previous Year</u> (continued)	
C. Relevant Public Policies	II-67
1. Barriers to Affordable Housing	II-67
2. New York City Housing Authority Resident Initiatives	II-71
3. Elimination and Treatment of Lead-Based Paint Hazards	II-79
4. Anti-Poverty Strategy	II-88
5. Institutional Structure	II-93
6. Government Coordination	II-93
1. Coordination within the Empowerment Zone	II-93
D. Anti-Displacement Activities	II-99
E. Assessment of HOME Minority Business Enterprises and Women Business Enterprise Outreach-Related Activities	II-101
F. Section 108 Loan Guarantee - Brownfields/Economic Development Initiative (B/EDI) Programs	II-103
Part III. <u>Evaluation of Annual Performance</u>	III-1
Part IV. <u>Citizen Participation</u>	
A. Geographic Distribution	IV-1
Maps of Community Development Eligible Census Tracts, by borough	IV-3
B. Grantee Certification	IV-8
1. Citizen Participation	IV-8
2. Certificates of Consistency	IV-9
3. Non-hinderance of the Consolidated Plan Implementation	IV-10
Credits	
Appendix 1: HOPWA Project Sponsor Information	

VOLUME 1

Table of Contents (Included in this volume for reference)

Preface	i
Introduction	ii
Part I. <u>Annual Performance for the 2009 Consolidated Plan</u>	
A. Assessment of Entitlement Programs	I-1
1. Community Development Block Grant (CDBG)	I-4
Table of CDBG Program Expenditures	I-17
Table of CDBG Program Accomplishments	I-19
Table of CDBG Program Expenditures by Performance Outcome Code/Statement	I-23
Financial Summary Chart for the CDBG	I-25
2. HOME Investment Partnerships (HOME)	I-29
Table of HOME Program Expenditures	I-36
Table of HOME Program Accomplishments	I-37
Table of HOME Program Expenditures by Performance Outcome Code/Statement	I-38
HOME Match Report	I-39
3. Emergency Shelter Grant (ESG)	I-44
Table of ESG Program Expenditures	I-48
Table of ESG Program Accomplishments	I-49
Table of ESG Program Expenditures by Performance Outcome Code/Statement	I-50
4. Housing Opportunities for Persons with AIDS (HOPWA)	I-51
Part 2. Sources of Leveraging	I-54
Part 3. Accomplishment Data: Planned Goal and Actual Outputs	I-55
Part 4. Summary of Performance Outcomes	I-57
Part 5. Worksheet Determining Housing Stability Outcomes	I-60
CAPER Part D. Unmet Housing Needs	I-61
B. Other Funding Sources	I-62
Narrative for the Table of Other Funding Sources	I-62
Summary Table of Other Funding Sources (DCP Form #5)	I-70
C. Progress in Providing Affordable Housing	I-72
1. Households and Persons Assisted with Housing by Income Categories	I-72
Table 6: Households and Persons Assisted with Housing by Income by Tenure	I-76
2. Households and Persons Assisted with Housing by Race and Ethnicity	I-77
Table 7: Race and Ethnicity of the Population of HPD-Administered Housing Programs with Federal Funds	I-78
Table 8: Race and Ethnicity of the Householder of Community Development- funded, HPD-Administered, City-owned Housing Stock	I-79
Table 9: Race and Ethnicity of Persons Assisted with ESG-Funded Housing Units	I-80
Table 10: Race and Ethnicity of Persons Assisted with HOPWA-Funded Housing Units	I-81
Table 11: Race and Ethnicity of Persons Assisted with Federal Public Housing Units	I-82
Table 12: Race and Ethnicity of the Population Receiving NYCHA Rental Certificates and Vouchers	I-82

Table 13: Race and Ethnicity of the Population Receiving
HPD Rental Certificates and Vouchers

I-83

Part II. Status of Actions Undertaken in Previous Year

II

A. Affirmatively Furthering Fair Housing

II-1

Summary Table of HUD Fair Housing and Housing Counseling Grants

II-40

Chart of Fair Housing Complaint Process for NYC Residents

II-42

B. Continuum of Care

II-44

C. Relevant Public Policies

The following subsections discuss the required actions undertaken by the City of New York in 2009 with regards to: barriers to affordable housing; resident initiatives within public housing developments; the elimination of lead-based paint; an anti-poverty strategy to assist households of low- and moderate-income; changes to the City's institutional structure and the coordination of efforts between City agencies, not-for-profits and other entities.

1. Barriers to Affordable Housing

The 2009 Consolidated Plan, Volume 2, Chapter II, sub-section B, describes the City's relevant public policies that promote the construction of new low income housing as well as the preservation of existing low income resources which remove or ameliorate negative effects that serve as barriers to affordable housing. No negative effects with regard to the availability of affordable housing were identified as a result of these policies.

In 2004, HUD made removal of regulatory barriers a component of its overall national housing policy. HUD created and incorporated the "Questionnaire for HUD's Initiative on Removal of Regulatory Barriers" into the 2005 SuperNOFA competitive grant funding application process. The Questionnaire was incorporated into the point scoring system for several HUD competitive grant programs under the grant application's section: Removal of Regulatory Barriers to Affordable Housing. Higher points were available to (1) governmental applicants that are able to demonstrate successful efforts in removing regulatory barriers to affordable housing and (2) nongovernmental applicants that are associated with jurisdictions that have undertaken successful efforts in removing barriers.

In response to this policy item, the City of New York formulated a unified response to the Questionnaire's Part A., Local Jurisdictions, Counties Exercising Land Use and Building Regulatory Authority and Other Applicants Applying for Projects Located in such Jurisdiction or Counties, was formulated by the Department of City Planning, Department of Housing Preservation and Development and the Department of Buildings, each providing information on their respective department's area of expertise in an effort to provide one-stop, consistent responses with supporting references and/or data to prospective applicants.

Annually, the respective agencies review their responses and supporting documentation to ascertain if the City has made further progress in the removal of regulatory barriers. In 2008, the Department of Buildings revised the responses on the Questionnaire and Supplement: References to reflect New York City's adoption of the International Building Code (IBC) as the basis for its Building Code. The adoption of the IBC changed a previously negative response to a positive response for eliminating a regulatory barrier to the development of affordable housing. On July 1 2009, all new construction was mandated to conform with the IBC-based Building Code.

The City of New York provided to public, private and not-for-profit entities submitting applications for competitive grant funds under the 2009 HUD SuperNOFA process a unified response to the Department's "Questionnaire for HUD's Initiative on Removal of Regulatory Barriers". The unified response to made available to the prospective applicants upon request or after they were informed of its availability when the applicant requested a Certification of Consistency with the Consolidated Plan from the certifying City agency. The completed Questionnaire with supporting documentation enabled public, private and not-for-profit entities to be awarded the higher point score.

In addition, for informational purposes the Questionnaire and supporting documentation were published in Appendix 7, Volume 3 of the Proposed 2009 Consolidated Plan. The Proposed Plan was first released in October 2008.

New York City Housing Authority

Use of Alternative Development Methods

Current Residential New Construction or Substantial Rehabilitation Projects

The Public Housing Reform Act of 1998 mandates development of public housing units, either replacement or incremental, through mixed-finance transactions.

Completed Projects

Brook Willis*

As part of the continuing collaboration between NYCHA and HPD, under Mayor Bloomberg's New Marketplace Initiative to create affordable housing units, the Brook Willis Apartments in the Mott Haven section of the Bronx started construction in the fall of 2006. This project involved substantial rehabilitation of eight vacant NYCHA buildings to preserve 121 units for low income families earning up to 60% Area Median Income ("AMI"). Eligible Section 8 NYCHA voucher holders have been given rental preference for 42 of the 121 units. Four buildings were completed in 2007 and the remaining buildings were completed in 2009.

* Formerly buildings within the NYCHA developments known as *Betances III and V*

Fabria Houses

Three former Project Base Section 8 properties were rehabilitated and two new buildings constructed on two former City-owned sites located on East 9th and 7th Streets respectively. The developer Phipps Houses entered into a 99-year ground lease with NYCHA for all properties. The development created 65 units. Twenty (20) percent of these units will be affordable to households earning up to 40% Area Median Income ("AMI"), the balance will be rented to families earning up to 60% AMI. First priority will be given to the 31 former residents of Fabria Houses; second priority will be available to households on NYCHA's Section 8 waiting list. The project is scheduled for completion in the spring of 2009.

Projects in Construction

Kips Bay Boys & Girls Club

The existing building (formerly City-owned property known as, "The Hebrew Institute") will be substantially rehabilitated to create a 28,000 square foot community center including: a dance studio, classrooms, a game room, computer lab, gymnasium, locker rooms and office space. The center will serve children of the surrounding community including those living in NYCHA's University Avenue Consolidated buildings and is scheduled for completion in the spring of 2010.

Markham Gardens

In 1943, Markham Garden's was originally built as temporary housing during World War II and had reached the end of its useful life. The buildings with 360 units were demolished in 2007. Redevelopment includes (i) 240 affordable rental units, and (ii) 25, two-family homes for affordable homeownership. All rental housing will be affordable to households earning below 60% Area Median Income ("AMI") and up to 120% AMI. Townhouses will be sold to families earning between 70-130% AMI. Former residents of Markham Gardens will have first preference for 20 of the 25 properties; NYCHA residents living on Staten Island will have second priority before remaining homes are offered through a lottery system to any qualified household. A total of fourteen former Markham Gardens residents have received vouchers to return. Thirteen of the twenty-five homeownership townhouses are under contract.

A final phase of the project will include development of an 80 unit building for seniors. On January 13, 2009, the Sisters of Charity of Saint Vincent de Paul of New York, a national non-profit organization received a

Section 202 grant from HUD to construct and operate the 80 unit building. In order to accommodate seniors who currently occupy units with more bedrooms than the number of registered occupants, HUD approved the sponsor's request to set aside 25% of the units for eligible NYCHA residents. Construction for the senior site is expected to begin in 2010.

East 173rd Street and Vyse Avenue

The project will be developed in three phases and result in 224 units. After subdividing the property into three parcels, NYCHA will convey each site separately as the developer obtains financing. Phase I of the project, which will include 84 units affordable to households earning up to 60% Area Median Income ("AMI") closed in December 2009. The second phase will contain 84 units and the third 56 units. Twenty-five (25) percent of the units will be set-aside for income eligible NYCHA residents or Section 8 Voucher holders from NYCHA's waiting list. Parking spaces and recreational facilities on site will be relocated. Site control of Phases II and III will be provided upon successful completion of Phase I.

University Avenue Consolidated III & IV

University Avenue Consolidated III & IV consists of 10 scattered multi-family buildings (463 units) all located within the University Heights neighborhood of the Bronx. The development will be completed in two simultaneous phases by two developers.

Phase III entails the renovation of four buildings, to provide a total of 173 units of rental housing affordable to households making up to 80% Area Median Income ("AMI"). Phase IV entails the renovation of six buildings and will provide 290 rental units affordable to households making up to 80% AMI. Both phases closed in 2009.

Metro North Rehab

A portion of the original Metro North Rehab buildings located in Manhattan will be demolished and replaced with two nine-story buildings containing 259 units and underground parking at East 102nd and 103rd streets. The new construction component required ULURP and was rezoned from R7A to R8A. The new development will be called Hobbs Court. Five (5) six-story buildings on East 100th Street will be rehabilitated resulting in 81 units. Three hundred (300) units will be affordable to low income households with Section 8 Vouchers. The remaining units are intended for households earning between 60% Area Median Income ("AMI") and 130% AMI. A developer for the project was selected in September 2007. Closing occurred in June 2009 and construction began in August 2009.

Stapleton

The development at Stapleton Houses will consist of an 8-story building with 105 units for low income seniors. The proposed project will provide supportive services including transportation and resident counseling. NYCHA will provide up to 45 Section 8 tenant-based vouchers for residents of Stapleton Houses or other Section 8 voucher holders who choose to move into the new development. Units will be affordable to households earning up to 60% Area Median Income ("AMI"). Closing occurred in May 2009, and construction is underway.

Pre-Development Projects

Forest Houses

The development at Forest Houses in the Bronx will consist of one eight-story building containing 123 affordable units available to households making up to 60% Area Median Income ("AMI"). The development will include landscaped open space, 40 underground parking spaces and the roof space will be developed as the first urban rooftop greenhouse associated with an affordable housing project. Existing amenities (walkways, benches, and basketball court) will be improved as part of the development agreement. A developer for the project was selected in October 2008. Closing is expected in 2010.

Highbridge Gardens

The development at Highbridge Gardens will consist of two buildings, yielding 220 units affordable to households making 60% Area Median Income (“AMI”). In addition, the development will provide approximately 65 surface parking spaces, a community room and other tenant facilities. The development site is a sloping wooded bluff overlooking the Harlem River. A site adjacent to the proposed housing development is currently being considered by the School Construction Authority for a 391-seat school. A developer for the project was selected in October 2008. The first building is scheduled for construction after closing in June 2010.

Soundview Houses

The development at Soundview Houses will consist of two 8-story rental buildings: one for families (120 units); one for seniors (80 units) and 18 two-family townhouses for homeownership. The senior units will be affordable to households making up to 50% Area Median Income (“AMI”), while the other rental units will be affordable for households making up to 60% AMI. The affordability of the townhouses is under review. The developer will also construct the extension of the mapped Bronx River Avenue as part of the development agreement. A developer for the project was selected in October of 2008. Closing is expected in 2011.

Linden & Boulevard Houses

The development at Linden and Boulevard Houses parking lot located in Brooklyn, will consist of fifty-three (53) townhouses (15 two-family and 38 three-family) containing 144 units. All two-family homes will be sold to households at or below 80% Area Median Income (“AMI”). The three-family homes will be sold to households at or below 130% AMI. The new development will include one parking space per unit. Two bulk containers will be replaced by one bulk pre-crusher off-site at Boulevard Houses. One hundred seventy-five (175) of the 440 parking spaces currently on site will be relocated elsewhere in the development. A developer for the project was selected in November 2007.

A final phase of the project will include development of an 80 unit building for seniors. An application for Section 202 funding was submitted to HUD in December 2009.

** The townhouse project is known as Stanley Homes*

Elliott-Chelsea Houses

The development at Elliott-Chelsea Houses located in Manhattan, will consist of one rental building containing 168 apartments, 7,130 SF of commercial space and 27 replacement parking spaces for NYCHA residents. All units will be affordable to households earning up to 195% Area Median Income (“AMI”). Two compactors and one dumpster will be relocated. A developer for the project was selected in September 2007.

Fulton Houses

The development at Fulton Houses located in Manhattan, will consist of one rental building containing 86 apartments and 20 parking spaces for new tenants. All units will be affordable to low income households, and middle and moderate income households earning up to 195% Area Median Income (“AMI”). Two trash compactors and 17 parking spaces currently on site will be relocated. A developer for the project was selected in September 2007.

Harborview Terrace

The development at Harborview Terrace located in Manhattan will consist of two rental buildings. The northern building will contain up to 126 units; half of the floor area will be dedicated to family units and half for senior units.

All units will be affordable to households with incomes between 50-80% Area Median Income (“AMI”). The southern building will contain up to 194 units of which 92 will be affordable to households earning between 80-165% AMI, the balance of the units will be rented at market rate. A walkway between the new buildings and existing Harborview Terrace structure will be landscaped and lighting added. A developer for the project was

selected in September 2007 and an application for modification of building height and setback within a Large Scale Residential Development.

Pomonok

The development at Pomonok Houses located in Queens, funded under the federal Section 202 program, will consist of an 8-story building containing 78 units for low income seniors. The proposed project will include supportive services. Thirty-seven (37) of 96 parking spaces currently on site will be replaced.

Units will be affordable to households earning up to 50% Area Median Income (“AMI”). Twenty-five (25) percent or 19 units will be set aside for NYCHA seniors; elderly residents of Pomonok will have first preference, with second preference available to seniors living in other NYCHA developments. A developer for the project was selected in May 2007. Section 202 funding was secured in October 2007. The project is expected to close in June 2010.

Stapleton

The development at Stapleton Houses will consist of an 8-story building with 105 units for low income seniors. The proposed project will provide supportive services including transportation and resident counseling. NYCHA will provide up to 45 Section 8 tenant-based vouchers for residents of Stapleton Houses or other Section 8 voucher holders who choose to move into the new development. Units will be affordable to households earning up to 60% Area Median Income (“AMI”).

2. New York City Housing Authority Resident Initiatives

The Authority is committed to developing and operating housing in wholesome living environments for low and moderate income households with innovation, sensitivity, and excellence through a partnership with its employees, residents, and communities. Meeting this mandate represents a significant challenge in light of substantially decreased federal operating subsidies and limited modernization dollars.

Within NYCHA, a group of departments coordinate tenant programs, community relations, and initiatives to improve the quality of life of NYCHA's residents. The following is a description of initiatives by department.

1) Department of Resident Employment Services (RES)

The Department of Resident Employment Services (RES) assists residents who are recipients of public assistance, unemployed, or under-employed, to become economically self-sufficient. This is accomplished by developing and administering programs that provide training, business development, supportive services, educational opportunities, and lead to job placement (primarily outside the Authority) and aid in job retention.

Assessment

Staff performs employability assessments, and based on the needs of the client, makes appropriate referrals to educational, vocational and job-placement programs.

In 2009, 2,237 residents were registered for services.

Outreach and Recruitment

The Outreach unit promotes and publicizes departmental programs and services. This involves working with NYCHA's Department of Communications and Management Operations; with resident leaders, and community based organizations; creating flyers, posters and notices for new programs and initiatives and ensuring distribution and mailing; and, attending meetings and functions to publicize the department.

In 2009, the unit coordinated the distribution of 78,161 promotional materials (flyers, letters, etc), 78 RES presentations were conducted city wide; 382 Orientations were conducted at our RES facilities and attended by 2,853 participants.

Job Development Unit (JDU)

The JDU provides free employment services to NYCHA residents and prospective employers. The services to NYCHA's residents include: job referral and placement assistance; resume preparation assistance; job interview preparation; training in searching for jobs on the internet; and access to job training/educational programs. Additionally, the following services are provided to prospective employers: access to NYCHA's large pool of "job-ready" candidates; recruitment and pre-screening of candidates; design of training programs geared to employer's needs; and access to space for job recruitment purposes.

In 2009, RES programs resulted in 662 job placements. Of these 662 placements, 622 were NYCHA public housing or Section 8 residents. These job placements included 265 Section 3 hires for construction projects at NYCHA's developments funded through HUD's Capital Fund program.

The remaining 397 job placements through RES' programs were in various job categories (construction, maintenance, health care support) with outside organizations and businesses. NYCHA public housing or Section 8 residents comprised 386 of these job placements.

Job fairs are held through out the year that are employer specific as well as through collaborations with multi employers, resident leaders, community based organizations, and elected officials.

In 2009, we held 4 job fairs throughout the city that were attended by a total of 703 participants

Section 3 & Resident Employment Program (REP)

Section 3 is the HUD mandate that stipulates employment and other economic opportunities generated by federal assistance to public housing authorities shall, to the greatest extent feasible, be directed to public housing residents and other low and very low-income persons.

Section 3 requires that 30% of all new hires are residents of public housing, or low or very low income residents of the community. NYCHA's first priority for hiring under Section 3 is for residents of its developments. Section 3 goals also apply to contracting with resident-owned businesses.

Resident Employment Program (REP) is a NYCHA-sponsored program that requires that 15% of the labor costs on a contract be expended on resident hiring. With few exceptions, REP applies to construction contracts valued in excess of \$500,000.

In 2009, 432 Section 3 residents were enrolled in job training programs through RES. Of these residents, 259 were enrolled in HUD/ROSS funded training programs and 173 were enrolled in training programs with providers that are partnered with NYCHA.

In 2009, Of the 265 Section 3 construction hires, 236 were a NYCHA public housing or Section 8 resident.

Resident Job Training Programs

- 1) Pre-Apprenticeship Training Program (P-ATP) – This program's purpose was to create permanent, highly skilled, and highly paid trades apprentice jobs for NYCHA residents. The Housing Authority contracted with The Edward J. Malloy Initiative for Construction Skills (CS) to deliver the pre-apprenticeship training. CS provided recruitment, assessment, training and supportive services to qualified Housing Authority residents, both high school students and adults that led to successful and continuing enrollment and retention in State-certified apprenticeship programs. Over the term of the contract- three years with options for two successive one year renewals- CS placed 251 NYCHA residents that successfully completed the pre-apprenticeship training into 28 Union Apprenticeship Programs certified by the New York State Department of Labor. The P-ATP ended on December 31, 2009.

- 2) FY 2005 ROSS/RSDM Family- In partnership with the College of Staten Island (CSI) and LaGuardia Community College (LaGuardia), this program provides training, supportive services, and job placement assistance for 173 NYCHA residents over three years. CSI offers the following training options to 50 residents: Modern Bookkeeping Systems, Legal Assistant, Teacher Assistant, Certified Nursing Assistant, Phlebotomy Technician, Adult Education, Emergent Worker and Hospitality Industry Training. LaGuardia offers the following training options to 123 residents: Emergency Medical Technician, Certified Nurse Aide, Retail Sales, Food Service, Security/Fire Guard, Bank Teller, Hospitality, Pharmacy Technician and GED Prep for Youth and Adults. To date, 195 residents enrolled in courses sponsored by the program; 138 completed training; and, 83 were placed in employment. This grant is scheduled to end February 27th, 2010.

- 3) FY 2006 ROSS/Family and Homeownership Program- In partnership with Year Up, City College of New York and New York Career and Educational Services this program provides training, supportive services, and job placement assistance for 175 NYCHA residents over 3 years. CCNY offers Administrative Assistant training to 100 residents; Year Up offers a one-year intensive education and apprenticeship program for urban young adults, ages 18-24 to 25 residents; and NYCES offers a building maintenance program to 50 residents. To date, 208 residents were enrolled in courses sponsored by the program; 149 completed training; and 87 were placed in employment. This grant is scheduled to end October 9th, 2010.

- 4) FY 2007 ROSS/Family and Homeownership Program - On July 12, 2007, NYCHA submitted an application with City College of New York Adult and Continuing Education (CCNY/ACE) and Year Up as NYCHA's partners to the United States Department of Housing and Urban Development (HUD) for a grant under HUD's Resident Opportunities Self Sufficiency Family and Homeownership Program to provide training and employment placement opportunities in Construction Skills Building Trades, Construction Management, Teachers Assistant and Building Analyst, Medical Office Administration, Bookkeeping/Accounting program for 100 residents who are unemployed or underemployed; 75 residents to be trained by CCNY/ACE and 25 resident youth to be trained by Year Up. The agreement between HUD and NYCHA was executed on June 12, 2008. The term of the grant is three (3) years and the total amount is \$998,775.04. To date, 60 residents have enrolled, 8 have completed and 6 have been placed in jobs. This grant is scheduled to end June 11th, 2011.

JOBS-PLUS

NYCHA, the Center for Economic Opportunity ("CEO"), Manpower Demonstration Research Corporation ("MDRC"), HRA and City University of New York ("CUNY") are collaborating to implement Jobs Plus at Jefferson Houses. Jobs Plus is a development-based, on-site employment and training program to assist public housing residents in becoming economically self-sufficient. Core components include: employment-related services and activities, financial incentives to work, and community support. The program opened its doors on Oct 19th, 2009. In 2009, they have registered 163 participants and have helped place/promote 18.

Human Resources Administration (HRA) Back to Work (BTW) Program

NYCHA is also partnering with HRA to provide the Back to Work resident employment initiative ("BTW") to NYCHA residents. BTW offers job seekers career counseling and a network of employment opportunities. Services provided range from job placement assistance, job readiness or other education or training services, career advancement and financial planning. BTW began in the Bronx in March 2009 and as of August 19th 2009 there are two of HRA's Back to Work contractors (America Works and ARBOR) offering direct job placement assistance to NYCHA residents who are food stamp eligible or non-custodial parents. In 2009, they have registered 420 NYCHA residents, and placed 64 in unsubsidized employment.

2) Leased Housing Department

Family Self-Sufficiency Program (FSS)

The FSS Program worked with Section 8 families to address career and family issues. An array of social services is provided to each participating family, as well as referrals to vocational and educational training, career counseling and job placement programs. An employability plan was developed in consultation with each participating family and was incorporated into a 3 year Contract of Participation. As the family income rises, the increased portion of the rent was placed in an escrow account that the family could access at the end of the program.

However, due to budgetary constraints across NYCHA, the FSS Program was terminated effective October 31, 2008. Accordingly, those families that had completed the terms of their Contracts of Participation were deemed eligible for escrow funds disbursements.

In 2009, there were 9 active FSS portability cases. These were port-out Section 8 families to housing authorities in Maryland, New Jersey and New York State. These families enrolled in the FSS Program prior to the termination of NYCHA FSS Program in October 2008. Two of the above families graduated from the program in December 2009 with escrow. Four families have established escrow accounts and three had not yet done so. All of these remaining FSS Contracts will expire in 2013.

3) Social Services Department

Elderly Safe at Home

The program provides comprehensive crime prevention education, crisis intervention, and general crime victim's assistance to elderly residents in the South Bronx. The program also offers workshops on entitlements, health and nutrition, conducts monthly meetings to disseminate information on crime prevention and arranges monthly shopping trips to area supermarkets. During 2009, the program provided 12,145 units of support services to approximately 241 residents monthly, and conducted 2,969 home visits.

Service Coordinator Program

The Service Coordinator Program is operated in clusters of developments in upper Manhattan, Brooklyn, Queens and Staten Island. Service Coordinators assist elderly/disabled residents to access government benefits, assistance in daily living, monitoring health care needs, "Meals on Wheels", and other types of assistance as needed.

During 2009, the program provided 25,229 units of supportive services to an average of 987 residents monthly in 24 developments, and conducted 5,326 home visits.

Senior Resident Advisor Program

The Senior Resident Advisor Program consists of trained paraprofessionals (some who live on-site) who provide crisis intervention services and case management coordination. Services provided include assistance in obtaining entitlements, health services, mental health services, assistance in maintaining independent daily living, home care services, senior legal services, outreach, meals for the homebound, and recreational activities (through NYCHA Senior Centers). Each program includes a substantial resident volunteer component (Floor Captains) to ensure daily contact with each elderly resident. NYCHA operates this program at twenty-two senior-only developments.

During 2009, the program provided 71,281 units of support services to an average of 1,652 residents monthly, and conducted 11,282 home visits.

Senior Companion Programs

This program is funded by the Corporation of National Service with twenty-six Senior Companies assigned through the Henry Street Settlement to specific developments in Manhattan, Queens, Staten Island and

Brooklyn. The Senior Companions provide friendly home visits to a specific number of residents, most of whom are frail and socially isolated. They also provide escort and light shopping services. During 2009, this program conducted 3,499 home visits, and provided 180 escort services to approximately 40 elderly residents monthly.

Naturally Occurring Retirement Community (NORC) Program

The NORC Program was developed to address the needs of concentrations of seniors who have aged in place, in non-elderly housing. The program was designed to provide comprehensive support and health care services for well and frail elderly residents, 60 years of age and older, who continue to live independently in their apartments and communities. More than 20% of the NYCHA population is over 60, and not all live in senior-designated buildings.

The NORC program concept is to provide services to the elderly who do not live in units built for the elderly through building community infrastructure support services, which include the following: on-site assessment, information and referral services, case management, counseling, education/prevention/wellness programs, recreational/socialization programs, and volunteerism. One of the key components is the assistance to access needed health care services, which includes nursing, health screenings, in-home assessments, medication management, and home visits by doctors, when needed. Additionally, the program provides ancillary services such as transportation, shopping, financial management, housekeeping, personal care, support groups, and intergenerational activities, among many others.

Based on DFTA’s reports, from January 1, 2009 to December 31, 2009, the NYCHA NORC program provided the following services:

Comprehensive support services to 7,093 residents of nine developments. This included:

- 24,833 hours of case management and assistance;
- 5,435 hours of health care management and assistance, mostly of services that are not reimbursable by Medicare.

In Fiscal Year 2009, DFTA awarded \$1,618,211 to nine NYCHA NORCS, as follows:

Forest Hills	-	\$187,262
Elliot – Chelsea	-	\$218,365
Smith Houses	-	\$142,323
Sheepshead/Nostrand	-	\$260,390
Lincoln/Amsterdam	-	\$254,489
Stanley Isaacs	-	\$203,281
Ravenswood	-	\$211,959
Vladeck	-	\$53,564
Grand Street Settlement	-	\$86,581

Pelham, an existing NYCHA NORC, did not receive base line funding from DFTA, but received \$200,000 in City Council discretionary funds to continue their program.

NORC-type Initiatives

Grand Street Settlement Baruch Elder Services Team (B.E.S.T. Program)

The sponsor is committed to providing age appropriate, culturally sensitive services to senior adults residing in the NYCHA Baruch Houses with the goal of building a strong community of caring in order to foster, support and maximize each members overall personal well-being.

The sponsor is also committed to providing comprehensive services that will improve the quality of life for the seniors, enabling them to remain in their homes and helping them to lead independent, healthy and active lifestyles within their home community. These services include advocacy, health promotions services, social work services and opportunities for socialization.

- Bilingual Social Services – case assistance, case management, entitlement/benefits assistance, service linkage and coordination, crisis intervention, support services, advocacy. This program has a monthly average attendance of 243 residents.
- Recreational Services – group activities, trips, bingo, arts & crafts, physical fitness activities, dance and music activities, light snacks. This program has a daily average attendance of 57 residents.
- Bilingual Health Services – health education services, health screening, health promotion and prevention, linkage to appropriate follow-up services. This program has a monthly average attendance of 135 residents.
- Services For Home-Bound Seniors – friendly visits, telephone reassurance calls, escorts. This program serves a monthly average of 190 residents.

On an average about 57 seniors participate in the daily recreational activities; dance classes, outdoor trips, Social Friday Birthday Activity, poets & writers creative writing session, and holiday celebrations. Due to the comprehensive array of services offered by the sponsor it is a unique challenge to keep providing the same quantity of services in a qualitative manner. Given the current budgetary environment the sponsor is able to still provide these meaningful services and attests that no new additional services have been added at this time.

Designated Senior Public Housing

In conjunction with the services listed above, NYCHA has more than 10,000 public housing apartments designated for seniors only. These apartments are located in 42 NYCHA developments that are for seniors only and 15 seniors-only buildings within mixed-population developments.

NYCHA Operates Senior Centers (Formerly Elderly Tenants Programs and Senior Centers)

NYCHA directly operates 39 senior center facilities and provides educational and preventive service programs. Programs at these centers vary according to the level of staffing, availability of overall funding, of physical space, and funding for meals and/or satellite lunches from nearby DFTA-funded Senior Centers. In some cases, discretionary funds from the City Council Members subsidize expenditures for volunteer lunch programs or center activities. In addition to the food programs, many centers provide music, arts and crafts activities, and exercise classes.—The centers celebrate many holidays, cultural and historical events, such as Thanksgiving, Christmas, Chanukah, Kwanzaa, Hispanic Heritage, Black History Month and Women's Month. They also organize day trips for fishing, shopping and other activities.

NYCHA Community Centers

NYCHA's Community Centers serve as a hub for a great variety of programs and services for residents of public housing and their neighbors in the community. There are 134 Community Centers in NYCHA developments, 67 of which are operated by NYCHA and an additional 67 operated by our community service partners. All of our centers host a wide range of educational, recreational, arts, and cultural activities. Programs offered at our centers include Partners in Reading, a literacy program for children aged six to eight; the Urban Angels Program, an alternative to drugs, gangs, substance abuse and violence that focuses on civic engagement, the development of self-esteem, personal discipline, leadership, and personal empowerment skills through graffiti clean-up initiatives; and a wide variety of other programs. Since 2002, federal funding shortfalls have been reduced for NYCHA by more than \$551 million and have continuously challenged the Authority's two fold mission to preserve public housing and provide comprehensive programs for New York City residents. In late 2008, in an effort to continue to provide much needed services to residents, NYCHA began to have discussions with the Department for Youth and Community Development ("DYCD") to successfully transition 25 community centers to community based organizations. The interim plan involves expanding current capacity of existing DYCD Beacon contracts to create satellites beginning in February 2009 at the 25 locations over the next year; which will allow the two agencies to conduct community outreach and planning leading up to a

solicitation for long term services. Once transitioned, NYCHA will maintain a portfolio of 68 directly run facilities.

I Have a Dream (IHAD)

The IHAD Foundation collaborates with NYCHA, local not-for-profit organizations, learning institutions, and private financial institutions to provide a comprehensive education support program to NYCHA youth. NYCHA provides space and an annual cash grant to the IHAD Foundation for each participating site. The IHAD Program currently operates five (5) programs in four NYCHA developments: Chelsea-Elliott and DeHostos Houses in Manhattan; Melrose Houses I and II (two separate programs) in the Bronx, and Ravenswood Houses in Queens.

The program has “adopted” third-graders from the above-referenced developments and will continue to follow these children’s progress from elementary through high school graduation. The goal of the program is to keep this group of residents (called “Dreamers”), in school. IHAD provides tuition assistance to those Dreamers who graduate from high school and attend college or vocational college. The program also offers the Dreamers social and cultural activities that increase the Dreamers’ chance for success.

As of December 2009, there are 69 Dreamers enrolled at Chelsea-Elliott; 44 at DeHostos; 39 at Melrose I; 47 at Melrose II, and 62 at Ravenswood Houses.

FY2006 ROSS/Neighborhood Networks

NYCHA’s Department of Administration (DOA) is partnering with The City College of New York’s Adult and Continuing Education (CCNY ACE) Department to operate the Computer Training and Career Development (CTCDC) Program. The goal of the CTCDC is to provide access to computer technology and internet accessibility for 720 public housing residents through specialized courses geared toward educational enhancement for teens, unemployed adults and seniors. More specifically, CTCDC offers educational instruction on computers to a range of age groups giving NYCHA residents the opportunity to increase their general working knowledge of computers and computer software; prepare for a General Equivalency Diploma (GED) and plan for a post-secondary education; research databases for homework assignments, internet job search, and for accessing public service information online. Year 2 Phase 4 of the program began in February 2009 at the following six (6) sites: Drew Hamilton and Manhattanville Community Centers in Manhattan; O’Dwyer and Sumner Community Centers in Brooklyn; Ocean Bay Resource Center in Queens; and Adams Resource Center in the Bronx. As of December 2009, 550 residents have benefited from participating in the program.

FY2007 ROSS/Neighborhood Networks

NYCHA’s Department of Administration (DOA) is partnering with The City College of New York’s Adult and Continuing Education (CCNY ACE) Department to operate the Career and Computer Essentials (CACE) Program. The goal of the CACE is to provide access to computer technology and internet accessibility for 720 public housing residents through specialized courses geared toward educational enhancement for teens, unemployed adults and seniors. More specifically, CACE offers educational instruction on computers to a range of age groups giving NYCHA residents the opportunity to increase their general working knowledge of computers and computer software; prepare for a General Equivalency Diploma (GED) and plan for a post-secondary education; research databases for homework assignments, internet job search, and for accessing public service information online. The CACE Program will also offer a comprehensive Music Engineering Program for Teens. The first year of programming began in March 2009 at the following twelve (12) sites: Clinton, DeHostos, Baruch, King Towers and Rutgers Community Centers in Manhattan; Howard and Van Dyke Community Centers in Brooklyn; Boston Secor, Gun Hill and Parkside Community Centers in the Bronx; Hammel Community Center in Queens; and Todt Hill Community Center in Staten Island. As of December 2009, 498 residents have benefited from participating in the program.

5) Department of Resident Support Services

The Department of Resident Support Services engaged in the following initiatives during 2008 to improve and enhance the health status and quality of life of NYCHA residents of all generations.

A. Senior Initiatives

Senior Benefit & Entitlement Fair (SBEF)

The fifth annual Senior Benefit & Entitlement Fair's theme was "*Health & Financial Fitness II*". Over 1,500 seniors attended the event of which, 1,000 were bused from NYCHA developments citywide. There were a series of brief 20 minute workshops held on "Identity Theft" and "How to Make the Most of Your Money". One-on-one financial counseling was provided at private tables. Representatives from banks and financial advocacy organizations were available to present information and answer questions. Health information and screenings were offered, including screenings for Diabetes/Glucose, cholesterol, vision/Glaucoma, blood pressure and flu-shots. Group exercise classes, dancing, massage therapy and cooking demonstrations were also provided. Sixty-two (62) agencies and senior focused organizations participated. Eighty percent of expenses were covered by outside contributions.

Senior Project

Resident Support Services initiated a major study to assess the health needs and requirements of NYCHA's over-65 senior population. Various city agencies and outside organizations including DOHMH and Baruch College's Survey Unit (BCSR) are collaborating in the project. The results will be utilized to provide data and information about conditions impacting NYCHA seniors and to prepare a plan containing recommendations that address these issues. The telephone interviews of 1,036 randomly selected NYCHA seniors were completed June 2009. Staff from DOHMH, BCSR, and NYCHA has met regularly to develop a report of the results.

Senior News

On a monthly basis NYCHA prepares a submission for a special section of the NYCHA Journal entitled "Senior News", focused on issues pertaining to benefits, recreation, health, safety, and others that are important to the NYCHA senior population. The feature has been well-received.

B. HEALTHSTAT

HealthStat is a citywide initiative designed to identify and enroll eligible low and moderate income New Yorkers into health insurance plans such as Child Health Plus and Family Health Plus. During 2009, NYCHA facilitated a total of 26,808 resident enrollments into health insurance plans. For the past 6 years NYCHA has led all other participating NYC agencies in the number of health insurance enrollments.

C. Earned Income Tax Credit (EITC)/Tax Assistance

In 2009, Resident Support Services developed and coordinated NYCHA's annual campaign to maximize the participation of qualified residents in this tax refund program for low income wage earners. Approximately 30,000 NYCHA families are eligible for the program. NYCHA prepared a mailing to each of these families encouraging them to file for the EITC. Information was also provided in the NYCHA Journal and Flyers and posters were disseminated system-wide. Messages were placed on rent bills encouraging residents to call 311 to identify the nearest free tax assistance site.

D. Strategic Alliance Collaboration

DOHMH received a major grant to develop the Strategic Alliance for Health initiative in the South Bronx, East and Central Harlem over a 5 year period, DOHMH's District Public Health Office in East Harlem and in the South Bronx are the hubs for the initiative. NYCHA's Department of Resident Support Services is an active participant given the large number of developments in both areas.

The goal is to provide opportunities for residents in these area to reduce smoking, increase physical activity and improve access to healthy foods in these neighborhoods, which have high rates of poverty and chronic illness. Their methods include; training classroom teachers to lead in-class physical activities, closing streets near schools to increase opportunities for outdoor play, creating walking paths, expanding participation in free school-breakfast programs and reducing tobacco promotion in the target communities.

In 2009 meetings were held to discuss the development of walking paths in NYCHA developments that are in the areas covered by DOHMH District Public Health Offices, in South Bronx, East and Central Harlem and Brooklyn.

E. Baruch Houses Chronic Disease Prevention Program (DOHMH)/Baruch Healthy Neighbors Partnership

This initiative is a collaboration that includes the NYC DOHMH/Bureau of Chronic Disease Prevention and Control/Cancer Prevention and Control Program, the Health & Hospital Corporations/Gouverneur Health Care Services, and NYCHA's Resident Support Services.

The NYC Department of Health and Mental Hygiene (DOHMH) recently received a three year grant from the New York Trust Fund. Under the grant, the DOHMH has contracted with the NYC Health and Hospital Corporation (HHC) in a pilot project utilizing clinical providers and community health workers to intensively manage adults at high risk for cancer, chronic cardio-vascular disease and diabetes at Baruch Houses and Baruch Addition. The Community Health Workers are recruited from the NYCHA resident population. The goal of the intervention is to increase access to appropriate levels of care and to reduce health care costs for those with chronic illnesses.

F. Community Building Initiatives
Family Days

Resident Support Services, through contacts and collaborations with health plans, provides significant sponsorship assistance to many Resident Associations that host Family Days during the summer months. RSS also works with Resident Associations to insure that there is a strong health promotion component for the benefit of participants. In 2009 RSS facilitated support for twenty-five (25) Family Day events.

Community Health Events

Resident Support Services works closely with Resident Associations to support and secure sponsorships for Health Fairs that highlight preventive services and identify available health programs. During 2009, RSS was instrumental in planning community health events throughout the city. Approximately 111 community health events were held that received support through our work.

F. Flu Immunization Program

Resident Support Services and DOHMH collaborate annually to ensure that NYCHA residents have access to the flu vaccine and information pertaining to it. The program directs special focus on high-risk populations (elderly, very young and immuno-compromised). October through December are prime target months for vaccination. NYCHA's health promotion tools include the NYCHA Journal, presentations to resident groups, posters and flyers, and scheduled vaccinations at select NYCHA sites. Additionally, hundreds of NYCHA senior residents are vaccinated at the Senior Benefit & Entitlement Fair where shots were offered.

3. Elimination and Treatment of Lead-Based Paint Hazards

Lead-Based Paint (LBP) abatement activities were conducted by the Department of Housing Preservation and Development, the City's local housing agency, the New York City Housing Authority (NYCHA), responsible for public housing and homeownership developments under its direction, and the Department of Homeless Services, responsible for shelters and transitional housing for homeless individuals and families. Please refer to the 2009 Consolidated Plan Volume 2, Other Actions for a full description of LBP abatement activities undertaken by NYCHA and DHS.

Housing Preservation and Development (HPD)

The City of New York places a high priority on preventing childhood lead poisoning and reducing lead paint hazards. The City is particularly sensitive to the potentially detrimental effects of lead exposure upon children as well as the need to remove lead paint hazards from properties where children with "elevated blood lead levels" (EBLs) reside.

As a result of the tremendous concern regarding this issue, the use of lead paint on interior residential surfaces was banned in New York City in 1960. In 1982 New York City passed one of the first primary prevention laws in the United States by defining all peeling paint in pre-1960 buildings as presumed lead hazards and requiring that owners restore intact surfaces promptly. In 1999, the City Council and the Mayor of the City of New York enacted Local Law #38 of 1999, strengthening Local Law #1 of 1982 by requiring that peeling paint or paint located on a deteriorated subsurface, be repaired using safe work practices. Local Law #38 also mandated the use of the City's Emergency Repair Program to perform repairs that owners failed to do. In February 2004, the New York City Council enacted a lead poisoning prevention law, Local Law #1 of 2004, which requires training of workers and use of safe work practices in units with children under six, where work to repair lead violations or work that otherwise disturbs lead painted surfaces is performed. The law originally applied in units with children under age seven, however, in October 2006, the law was modified to apply in units with children under age six, consistent with federal standards. In order that HPD may secure the appropriate correction of LBP hazards, the law continues to make the existence of peeling paint or paint on deteriorated surfaces in units with children under the age of six a class-C (immediately hazardous) violation under the Administrative Code. The law continues the past mandate that HPD perform lead hazard work when owners fail to correct lead violations. The law is intended to encourage owners to take care of their buildings by encouraging safe work practices to correct LBP hazards in dwelling units of multiple dwellings.

The New York City Department of Health and Mental Hygiene (DOHMH) is the agency responsible for tracking children with EIBLLs. Under LL #1 of 2004, when DOHMH receives a report of a child with a blood lead level of at least 15 micrograms of lead per deciliter of blood, or greater, DOHMH inspects the child's residence to identify possible sources of lead exposure. If lead paint hazards are identified, DOHMH orders the owner of the property to abate any lead paint hazards found. If the landlord fails to correct the condition, a referral is made to HPD's Emergency Repair Program (ERP) Unit. Upon verification that the property owner has failed to comply, ERP assigns a contractor to abate the condition. Both ERP and DOHMH inspect completed work to verify that the condition has been corrected.

The U.S. Department of Housing and Urban Development (HUD) has issued extensive regulations and guidelines under the Residential Lead-Paint Hazard Reduction Act of 1992. In October 1999, HUD issued final rules concerning notification, evaluation and reduction of lead-based paint hazards in housing receiving federal assistance. The City relies upon a variety of federal programs to achieve its housing and community development objectives, including the Community Development Block Grant (CDBG) Program, HOME, HOPWA and the McKinney Homeless Housing programs. The rules became effective on September 15, 2000. However, HUD granted several extensions of time to comply with the rules, and due to the events of September 11, 2001, at the City's request, HUD granted an extension of time to comply with the rules until April 10, 2002.

In addition to requesting and obtaining extensions of time to implement the Title X rules, the City requested that HUD waive applicability of the rule to City-owned *in rem* housing, in consideration of the fact that the City complies with local lead laws in its housing. On July 23, 2001, HUD granted the request of a waiver for *in rem* housing. The initial waiver was to expire at the time that a building underwent substantial rehabilitation, or in three years, whichever was less. In August 2004, HUD extended the waiver for *in rem* housing until July 21, 2007. In July 2007, HUD again extended the waiver through July 21, 2009. In April, 2002, HPD also requested guidance from HUD on its interpretation of the applicability of Subpart J of the Title X rules to HPD's emergency repair program. Subpart J is applicable to rehabilitation of units using federal funds. HPD uses federal funds for its Emergency Repair Program. In its response, HUD agreed that the program met the criteria for the emergency repair exemption from the Title X rules.

One-Year Plan

The City operates several programs to investigate, treat and reduce lead-based paint hazards. The City investigates, abates and removes LBP hazards in City-owned, as well as private, dwellings where owners are unwilling or unable to do so.

The City's Department of Health and Mental Hygiene (DOHMH) provides services for lead poisoned children. Results for Calendar Year 2009 identified 514^[1] children below the age of 18 or above who were identified at the environmental intervention blood lead level (EIBLL). The EIBLL is defined as a single venous test of at least 15 mcg/dL.

Where a lead-poisoned child is identified, the DOHMH orders the owner to abate lead paint hazards. If the owner fails to do so, HPD's Emergency Repair Program (ERP) will do the work and place a lien against the property for the cost. In 2001, HPD expanded its efforts to increase its lead abatement requirements contractor base. In 2009, HPD maintained 6 contracts for lead hazard reduction at a total cost of \$7.2 million. In addition, HPD had 3 contracts for dust wipe analysis at a total cost of \$300,000.

In addition, HPD's ERP unit continues to improve its coordination with DOHMH by establishing protocols for joint inspections where necessary, and by hiring staff to act in a project-management capacity in order to facilitate lead abatement work in cases where the landlord or tenant may impede the performance of such work. In 2009, 284 jobs to abate DOHMH violations were completed by ERP in privately owned buildings.

In order to implement the lead law, which became effective on August 2, 2004, HPD added personnel and modified its operations. The agency also promulgated new lead-based paint rules. Under the law, owners of pre-1960 multiple dwellings continue to be required to provide for a notice, at the time any residential lease is signed, or upon an agreement to lease, or upon the commencement of occupancy, inquiring as to whether any child under the age of six resides or will reside therein. In addition, such owners are required to deliver an annual notice to ascertain the same information. If an owner does not receive a response for the occupant, he or she must inspect the unit to ascertain whether a child lives there. When an owner has received written communication or has inspected and found a child in residence, or otherwise has actual knowledge that a child under six resides in a dwelling unit of the owner's multiple dwelling, the law imposes an affirmative obligation on the owner to inspect for LBP hazards by conducting an annual visual inspection in such dwelling unit. The law requires owners to make records of annual inspections available to unit occupants, and to HPD upon request.

An owner must correct all LBP paint hazards using safe work practices articulated in the law and the rules promulgated by HPD and the NYC Health Code. Workers who perform such work, in addition to any renovation and repair work that disturbs lead paint in units with children, must be trained. Any such work that is performed is subject to a clearance dust test. The law establishes time frames for correction of the hazard. Owners must certify correction of the violation by providing a sworn statement of compliance and including the results of laboratory test results of dust sampling. When an owner fails to correct a lead-based paint hazard violation or when the certification of correction has been invalidated by HPD, HPD will take action to correct such violation. In calendar year 2009, 1,964 LBP jobs were completed by HPD based on Code Enforcement violations.

The law contains an expansion of the actions that owners must take upon vacancy of a unit. Under the law, an owner of a vacant dwelling unit in a pre-1960 multiple dwelling and owners of pre-1960 private dwellings that are not owner-occupied, must wet scrape any peeling paint; make floors and window sills and wells smooth and cleanable; and abate friction surfaces on doors and windows, and perform clearance dust testing prior to a new occupancy. Owners must keep records of the work performed, and certify compliance in the notice provided to the new occupant upon lease or commencement of occupancy.

^[1] Estimated number

Under the lead law, the DOHMH has developed a pamphlet describing the dangers of LBP. The pamphlet includes telephone numbers to obtain lead poisoning screening, diagnosis and treatment information, and information on how to correct LBPs. This pamphlet is left by HPD at the premises whenever an inspection is made for lead-based paint hazards, and is available to the public upon request. HPD also sends a notice to a dwelling unit for which a lead-paint hazard violation has been issued, which includes a phone number for DOHMH. HPD has also developed a pamphlet describing the additional measures that owners must use in order to correct lead-based paint hazards or perform renovation and repair work in units occupied by children under age six. This pamphlet is sent to owners in conjunction with the notice of violation, and made available to the public upon request.

HPD's Office of Housing Operations performs additional abatements in City-owned buildings and informs tenants of the dangers of lead-based paint. In addition to the above efforts in which the City is responding to complaints regarding lead-based hazards, HPD also acts affirmatively to alleviate potential hazards by improving conditions in targeted residential properties. First, the City's moderate rehabilitation loan programs serve to reduce lead paint hazards by funding the removal or repair of existing hazards in buildings undergoing rehabilitation. In 2009 alone, the City commenced moderate rehabilitation work on 6,028 units of occupied housing.

In addition to the above-mentioned rehabilitation activities performed through the Agency's capital budget, in recent years HPD has also sought specially targeted funding for lead hazard reduction. As a result, the City received a \$6.75 million HUD grant in 1994 to reduce lead paint hazards and incorporated it into some of its rehabilitation programs to determine the feasibility of combining lead-paint hazard reduction with moderate rehabilitation. This grant was completed in May, 1999, resulting in the lead treatment of 697 units. In September, 1996, HPD and DOHMH were awarded an additional \$1.6 million HUD lead grant and completed 220 units by May, 2000. In March, 2001, HPD and DOHMH received a third HUD lead grant in the amount of \$3 million. Combining this grant with City Capital funds, HPD completed 421 of its planned 397 units in November 2004. Since 2003, city capital funds unrelated to the federal grants supported the completion of OVER 600 units in various City neighborhoods. In 2003, the City received two new federal lead grants: the Lead Education Outreach grant in the amount of \$500,000 focused on lead awareness and lead poisoning prevention in two most at-risk neighborhoods for a period of two years which ended in September, 2005; the City also received a \$2.6 million federal lead grant under the Lead Hazard Reduction Demonstration grant program, a three and one-half year funding initiative which treated 306 units or 6 units above the goal of 300 units in five most at-risk neighborhoods. This initiative was completed in March, 2007.

In October, 2004, the City received two additional federal lead grants: the Lead Education Outreach grant in the amount of \$500,000, which focused on lead awareness and lead poisoning prevention in the two most at-risk neighborhoods for a period of two years, which ended in September, 2006; the City also received a \$4.0 million federal lead grant under the Lead Hazard Reduction Demonstration grant program, a three year funding initiative to treat 398 units in eight of the most at-risk neighborhoods, ending in March, 2008. HPD completed and cleared over 439 units by the end of this grant which was extended to December, 2008. In November 2005, the City received three additional federal lead grants: the Lead Education Outreach grant in the amount of \$500,000, which focused on lead awareness and lead poisoning prevention in two most at-risk neighborhoods for a period of two years, which ended October, 2007; the City received a \$4.0 million federal lead grant under the Lead Hazard Reduction Demonstration grant program, a three and one-half year funding initiative, which treated and cleared 333 units in three most at-risk neighborhoods, and ended in April, 2009, and \$3 million under the Lead Hazard Control grant, which treated and cleared 278 units in three most at-risk areas of Brooklyn, New York, and ended in June, 2009.

Finally, in September 2007, the City was awarded two new additional grants: a \$4.0 million federal lead grant under the Lead Hazard Reduction Demonstration Grant Program, a three-year funding initiative to treat 360 units in targeted areas within three boroughs, and \$3 million under the Lead Hazard Control Grant Program, also a three-year funding initiative to treat 252 units in the same targeted boroughs of the City. Both grants will end in October, 2010.

Recently the federal Environmental Protection Agency finalized rules relating to training and work practices that must be followed when performing renovations in pre-1978 buildings. HPD will incorporate these rules into its emergency repair work as applicable.

Five-Year Plan

The City has proposed the substantial upgrading of its lead-paint related activities.

The City has also been working with the New York State legislature to obtain the passage of a bill to license lead-paint inspectors and contractors. To date no such bill has passed.

Other elements of the City's plan include:

- Continuing efforts to train staff and encourage private contractors to increase capacity to implement the Title X rules.
- Further expansion of lead abatement contracts beyond that mentioned above, if necessary, to meet a projected growth in lead abatement work.
- Discussions locally and at the state level concerning licensing of lead-paint contractors, in part to provide liability protection in New York State for lead abatement companies. Other states have this protection; without it, companies in New York State are reluctant to conduct this work.
- Increasing public awareness of dangers of lead paint through community campaigns in schools and media.
- Petitioning the State and Federal governments to increase funding for lead-paint testing and abatement.
- Improving guidelines used for inspections and test for determining the presence of lead.
- Devising and implementing cost-efficient methods for lead-based paint abatement and removal.
- HPD maintains requirement contracts for Atomic Absorption (dust wipe analysis) to expedite testing.
- Expansion of requirement contracts to complete abatements expeditiously.
- Training and certification, where appropriate, of staff involved in or affected by HPD's lead abatement program, to ensure an informed and professional response to lead abatement issues at all levels of complexity and scope.
- Continuing efforts to enhance interagency communication between HPD and DOHMH, including the exploration of linking both agencies' computer systems in order to provide each agency with access to information necessary to the resolution of lead abatement cases.
- HPD maintains a requirement contract for medical exams and blood tests to monitor the lead levels of all staff that may be exposed to lead paint or dust.

Marketing and Inventory Conditions

ESTIMATE OF UNITS WITH LEAD-BASED PAINT

	Total units	Estimate of percent of units with LBP	Estimated number of units with LBP	LBP units occupied by families less than 50% of median	LBP units occupied by families between 50% and 80% of median	Total LBP units occupied by families less than 80% of median
Year Units Built						
Built after 1959	712,684	0%	0	0	0	0
Built 1947-1959	380,063	80%	304,050	100,040	53,642	153,682
Built before 1847	1,777,709	90%	1,599,938	601,781	287,577	889,358
Total	2,870,457		1,903,989	701,821	341,219	1,043,041

New York City prohibited the use of lead-based paint in residential dwellings in 1960. Therefore, our estimate assumes that housing units built after 1960 do not contain lead-based paint.

New York City has approximately 2.8 million units of housing, the vast majority of which were built before 1960. Since our survey breaks down age of building by pre- and post-1947, we have used the date for estimating purposes rather than 1946.

New York City's Housing and Vacancy Survey for 2005 shows that approximately 37.6% of units built prior to 1947 and 32.9% of units built between 1947 and 1959 are occupied by families earning less than or equal to 50% of the HUD area median income. A further 18% of units built prior to 1947, and 17.6% of units built between 1947 and 1959, are occupied by families earning between 50% and 80% of the area median income. We have used these percentages against the estimated units with lead-based paint to estimate the number of very low-income and low-income families residing in units with lead-based paint.

Progress of Lead-Based Paint Abatement Activities

Based on information reported for the New York City Mayor's Management Report for calendar year 2009, HPD issued 29,418 code violations for lead-based paint conditions in privately owned buildings in New York City. During the same period, 4,241 lead paint violations were removed based on owner certifications and HPD re-inspections. HPD lead hazard remediation work corrected 5,078 HPD lead paint violations and 7,348 presumed lead paint violations were downgraded. The violations removed in 2009 were not necessarily the violations that were written during the 2009 calendar year period, but could have been written earlier.

New York City Housing Authority (NYCHA)

NYCHA complies with Federal, State, and City regulations concerning lead and executes HUD directives regarding lead-based paint (LBP). NYCHA identifies hazards posed by paint, dust and soil, and implements programs designed to control or mitigate such hazards safely and efficiently.

In an effort to prevent lead exposures to the housing population and workforce, NYCHA educates residents and staff on how to live safely with LBP and LBP hazards (e.g., Lead Disclosure Program, lead specific GMs, etc.), and implements a strategic framework for lead hazard control. The framework is a combination of evaluating and controlling LBP hazards, (i.e., any condition that causes exposure to lead from dust-lead hazards, soil-lead hazards, or LBP that is deteriorated or present in chewable surfaces, friction surfaces, or impact surfaces).

NYCHA evaluates LBP hazards through a combination of inspections and Lead-based Paint Reevaluations (Reevaluation). An inspection is a surface-by-surface investigation to determine the presence of LBP; a Reevaluation is an on-site investigation combining visual assessment with collection of environmental samples

to determine if a previously implemented lead-based paint hazard control measure is still effective and if the dwelling remains lead-safe. Reevaluations are required at Developments where LBP hazards were identified during an initial Risk Assessment. A Risk Assessment is an on-site investigation that determines the existence, nature, severity, and location of LBP hazards. At this time NYCHA has performed Risk Assessments at all required Developments.

After LBP hazards have been identified by a Reevaluation or by inspection, NYCHA reduces the hazards through either abatements or interim controls. Abatement is the elimination of LBP hazards using strategies such as paint removal, enclosure or component replacement. Interim controls temporally reduce exposures to lead by correcting LBP hazards and stabilizing LBP through activities such as repainting, specialized cleaning and implementing procedures to reduce lead hazards that may be caused by operation and maintenance activities.

Program Highlights

NYCHA manages various lead hazard reduction programs and projects. The following are brief descriptions of major programs and projects:

Department of Health Violations DOHMH & Litigation Support Program

Children with blood lead levels equal to or greater than 15 micrograms per deciliter ($\mu\text{g}/\text{dl}$) are considered lead-poisoned. If a lead-poisoned child or EBL is identified, the New York City DOHMH will inspect the child's residence for the presence of LBP. The intent of the inspection is to identify if there are any sources of lead within the apartment that may contribute to the child's EBL. If the DOHMH identifies LBP on friction, impact, mouthable or defective surfaces, a Health Code violation for LBP is issued to the landlord. The violation mandates the landlord to make specific corrective actions. The landlord can either implement the corrective actions or contest the violation by testing the cited surfaces. After either correcting the cited conditions or successfully contesting the violation, the DOHMH will dismiss the violation. NYCHA contests each DOHMH LBP violation, and if LBP is present, performs the corrective action specified by the Health Code.

Lead-based Paint Reevaluation Program

Developments constructed before 1980 are assessed for LBP hazards. Consultant firms under contract with NYCHA provide the Reevaluation services and subsequent report, which explains the results of the investigation and options for reducing LBP hazards.

Local Law 1 of 2004

On August 2, 2004, Local Law 1 went into effect, calling for the comprehensive prevention of childhood lead poisoning through the remediation of lead-based paint hazards in housing and day care facilities. Local Law 1 applies to apartments and common areas of all buildings built before 1960, or between 1960 and 1978 if LBP is present, and where a child under 6 years of age lives. NYCHA has identified 89 Developments totaling 84,439 apartments constructed prior to 1960 or between 1960 and 1978 where LBP is present or presumed to be present. NYCHA has submitted a request for exemption of 113 properties built prior to 1960 that were identified as not containing LBP in apartments. 67 developments have been exempted to date.

The Law requires NYCHA to:

- Inquire at initial leasing and at renewal if a child under 6 years old resides in the apartment.
- Notify residents of their rights under the law (Provide DOH Pamphlet at lease signing).
- Send an annual notice to tenants inquiring as to whether there is a child under 6 years old in the apartment.
- Conduct investigations annually, to determine whether there are lead hazards.
- Remediate all lead hazards in common areas and apartments with children under 6 using trained workers; a third party must collect clearance wipes for projects that disturb more than two square feet.
- Make apartments lead safe when they become vacant (abate doors and door frames).

In response to the new regulation, NYCHA has tested over 16,500 apartments and abated approximately 7,700 that tested positive for Lead-Based Paint since 2004. In 2009, 2,401 apartments were tested and 1,018 were abated. The balance was submitted immediately to HPD for exemption.

LBP Inspection & Abatement Program

NYCHA conducts LBP testing in dwelling units and public spaces in all pre-1978 Developments, where children under the age of 6 live or are expected to live. NYCHA will test entire Developments, (i.e., for multifamily housing, only a random sample of dwelling units needs to be inspected to determine if LBP is present.), individual dwelling units, public spaces, and common areas for LBP. The testing is performed in response to HUD mandates, DOHMH Violation, Court Order or requests from any of the following parties:

- Capital Projects
- Development Manager or Resident with a child under the age of 6
- Community Operations
- Facility Planning

LBP Disclosure Program

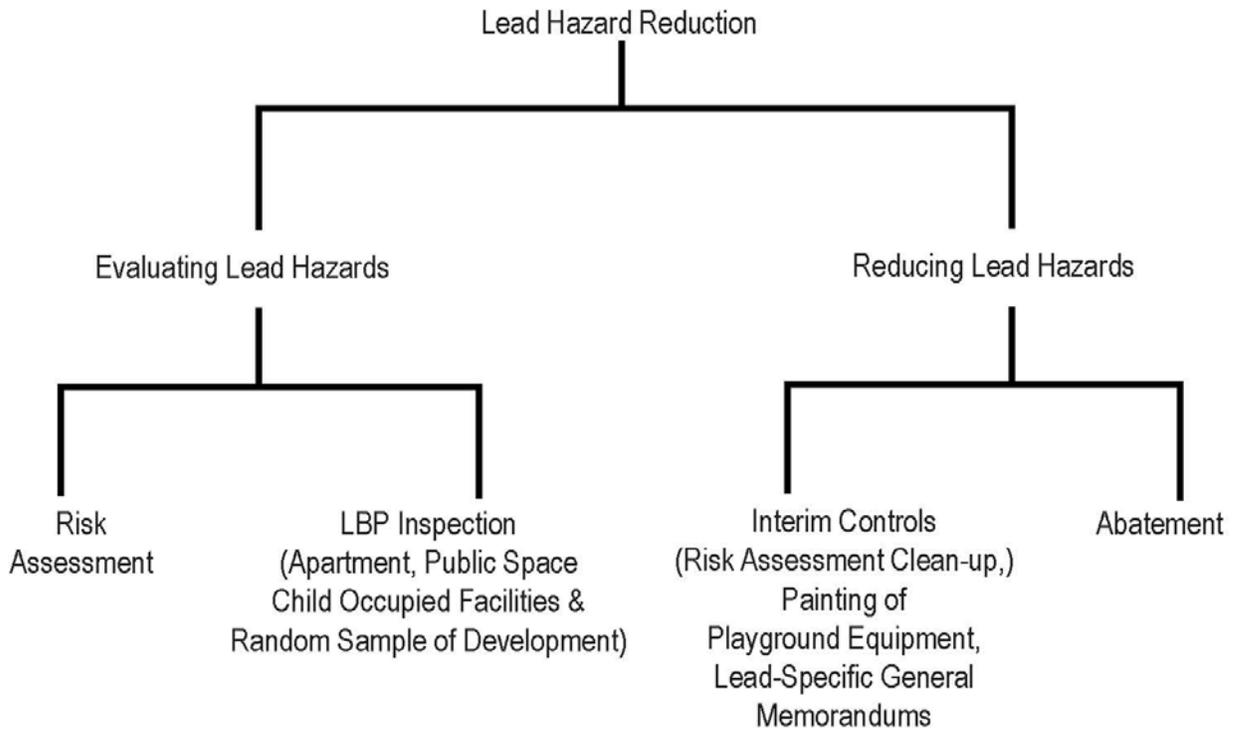
The Residential Lead-Based Paint Hazard Reduction Act of 1992 requires NYCHA to disclose to its tenants any information relevant to LBP and LBP hazards that may exist in housing built before 1978. The program is complex and requires coordination with all NYCHA Departments and Management.

Summary of Activities

Description	2009
New DOH Violations Received*	33
Number Of Violations Dismissed	10
Number Of Violations Rescinded	21
Total Number Of Apartments Abated (LBP)	1,221
Number Of Child Occupied/ Multi-Use Facilities Inspected For LBP (XRF)	1
Total Number Of Apartments Tested For LBP (XRF)	2,669
Total Number Of Public Spaces Tested For LBP (XRF)	1
Number Of Child Occupied/ Multi-Use Facilities Inspected For LBP (Dust Wipes)	142
Number Of Apartments Tested For Elevated Lead Dust Levels (Dust Wipes)	4,686
Number Of Public Spaces Tested For Elevated Lead Dust Levels (Dust Wipes)	7,061
Distribute LBP Disclosure Information To Developments Constructed Prior To 1978 (Development Wide Disclosure)	16
Distribution of Single Family LBP Disclosure Packages In Developments Constructed Prior To 1978	2,299
Development Wide Testing Of Developments Constructed Prior To 1978 For LBP (i.e., Reevaluation)	79
Local Law 1 – Move Out Apartments Tested	2,401
Local Law 1 – Move Out Apartment Lead Abatements Completed	1,018
* (17) of NYCHA’s 2009 violations were received as part of newborn health visits by DOHMH’s District Public Health Offices (DPHO’s). In these instances, an EBL child was not identified, but defective painted surfaces with an initial reading above regulatory thresholds were identified.	

NEW YORK CITY HOUSING AUTHORITY
LEAD DETECTION & ABATEMENT UNIT

Strategic Framework for Lead Hazard Reduction



Department of Homeless Services

The Department of Homeless Services oversees and manages several initiatives to investigate, treat and eliminate lead-based paint (LBP) hazards in homeless shelters that are operated by the agency, or are operated under contract with the agency. All construction, renovation, and repair work at DHS' facilities must be preceded by certain lead-based paint related activity. Such activity may consist of inspecting, sampling, air monitoring, laboratory analysis, encapsulation or abatement. These tasks are contracted out by the agency. The contractors, available on-call, responding on an as-needed basis to emergency situations are used also for planning long-term projects. In-house staff at DHS ensures that all contracted services are conducted in conformance with HUD/EPA established guidelines.

A major component of DHS' policies concerning lead-based paint is risk assessment. Adhering to the goal of the Agency's Office of Technical and Construction Services (OTCS) in providing a safe environment for its staff and clients, lead inspectors conduct lead paint hazard investigations on a routine basis. If lead paint presence is known or detected, remedial steps are taken to eliminate the risk of exposure. DHS will continue its efforts to create comprehensive lead-based paint profiles of each city-owned DHS operated or contracted site, with family shelters being the first priority. This effort is not necessarily limited to facilities operated by DHS, but encompasses all city-owned shelters under the jurisdiction of the agency.

Lead inspectors respond to calls for inspections from DHS shelter staff concerned about possible exposure during renovation, construction, or maintenance activities. An outside contractor is called for bulk sampling, if there are indications of chipping and peeling paint when renovation work or construction work is planned, or if maintenance activities are planned that may disturb existing paint. During activities where lead paint is disturbed, an outside contracted third party air monitor and inspector are also brought on site and an accredited laboratory analyzes dust wipes and/or air samples.

With Local Law 1 of 2004 in effect since August 2004, the Agency has dedicated special attention and concentrated efforts to identify, inspect and remedy lead paint hazards at eighteen (18) DHS family facilities built prior to 1960, where children under seven years of age reside. A follow-up inspection program has been instituted for all dwellings and common areas where lead-based paint has been identified to include re-inspections when tenants move out. It must be noted that not all eighteen (18) family facilities (1,114 units) contained lead. At lead paint containing sites, follow-up inspection results have indicated that an estimated fifty-two (52) of the units required remediation.

The Office of Construction and Technical Services (OCTS) continues to compile a comprehensive 'Lead Paint Hazard Checklist' for all of their owned/operated/contracted facilities where such hazards once identified, are slated for remedial action by licensed and certified contractors. During fiscal year 2009, DHS has responded to lead paint related activities at eleven (10) adult and family facilities on forty-seven (64) occasions.

4. Anti-Poverty Strategy

This section describes the City's goals, policies, and procedures accomplishments for in reducing the number of poverty level households during the last Consolidated Plan Program Year.

The City of New York has engaged a multi-pronged approach: 1) reduce the number of men, women, and children living in poverty in New York City; and 2) diversify and strengthen sectors of the City's economic base in order to decrease its reliance on the financial sector (Wall Street) as the main driver of the local economy.

In late 2006, Mayor Michael Bloomberg established the new Center for Economic Opportunity (CEO) under the leadership of Veronica M. White. CEO is guided by the recommendations made by the New York City Commission for Economic Opportunity. Programs created as a result of the recommendations are aimed at reducing poverty in three key populations throughout the City: the Working Poor, Young Adults 16-24, and Young Children & Families. In December 2007, the Center for Economic Opportunity released its first Strategy and Implementation Report (SIR), and in April 2009, the Center released a report titled "Early Achievements and Lessons Learned.". Together, these reports describe CEO's anti-poverty pilots programs, their

implementation, and early results. Furthermore, several of the respective New York City agencies responsible for administering CEO-initiated programs have reviewed and released their own progress reports to gauge the impact and effectiveness of their programs.

Through 2008 and 2009, the Center successfully continued to design and/or implement a range of programs that addressed each of the Commission's recommendations.

The majority of CEO initiatives are open to residents Citywide but CEO also made an effort to target some of its initiatives to three high-poverty communities within three different boroughs: Bedford-Stuyvesant (Brooklyn), Jamaica (Queens), and Melrose (Bronx).

Initiatives for Working Poor adults

In New York City, approximately 350,000 individuals are working yet remain in poverty, and nearly 50% of all poor households include an employed adult. CEO's workforce programs break down silos within government and incorporate agencies who rarely, if ever, have a workforce development role.

- Community Partners connects job-ready residents of high-poverty communities who are engaged with community-based organizations to the WorkForce1 Career Centers' employment opportunities. In FY09, 2194 job-seekers were placed in employment through the initiative. A CEO evaluation found that Community Partners Program participants are 4.3 times as likely to be placed in a job or receive a promotion that a comparison group served through the general public workforce system.
- Nurse Career Ladders (LPN) initiative develops career prospects for low-wage health workers and other low-income individuals by preparing students in an accelerated program for sustainable careers in nursing; The program is now serving its third cohort of 40 individuals.
- The Sector-Focused Career Center creates a new type of job placement and training center that focuses its services on a single economic sector. The Center meets the needs specific to businesses within the sector as well as provides low-income workers with access to good jobs with career advancement opportunities. An independent data evaluation of the program found that compared to a similar population served by the general public workforce system, participants in the Sector Center are 3 times more likely to be placed in a job or receive a promotion; earn about \$1.90 more per hour; and work, on average, 4 more hours per week.

July 2009 marked the first year of operation for the Workforce1 NYC Transportation Center in Jamaica, Queens. The Center, located in proximity to Kennedy International Airport, serves businesses and job seekers in various airport, bus and other transit related fields.

In October 2009, the Department of Small Business Services and LaGuardia Community College of the City University of New York (CUNY) announced the opening of a Workforce1 Healthcare Career Center on the College's campus to train New Yorkers for more promising careers and higher paying jobs in professions as patient care technicians, emergency medical technicians, paramedics, licensed practical nurses, and registered nurses, among other health-related occupations.

In December 2009, the Department of Small Business Services and the Department of Cultural Affairs announced the selection of Bronx Council on the Arts Development Corporation (BCADC) to administer an Art Handler Training and Placement Program. Individuals selected to the Program are to receive training in art handling methods involving the safety, care, handling, packing, installation and transportation of art and artifacts, and a certification upon completion. The goal of the Program is to create a back office arts-related service industry that trains residents and artists, and places them in meaningful career-oriented jobs.

In January 2010, Mayor Bloomberg in his State of the City address, announced over 25,000 New Yorkers were placed in jobs in 2009 through the various Career Centers.

- The Office of Financial Empowerment (OFE) within the Department of Consumer Affairs (DCA) was designed to educate, empower, and protect city residents with low incomes and help them make the best use of their financial resources. OFE helps New Yorkers manage their finances, link them to financial education classes and counselors, as well as provides them with information on how to get out of debt, open a bank account, and spot a scam through the Financial Education Network and Financial Empowerment Centers. In addition, OFE assists New Yorkers get and save financial resources through programs like the Earned Income Tax Credit through the City's Tax Credit Campaign. In December 2009 DCA's Office of Financial Empowerment released a report, *A Progress Report on the First Three Years, 2006–2009*, which details the accomplishments of the Office's effort to educate, empower, and protect New Yorkers with low incomes to help them make the best use of their financial resources to move forward economically.

According to the Report, approximately 1,900 persons attended over 3,000 financial counseling sessions in Financial Empowerment Centers, 70,000 persons have accessed high-quality, low-cost financial education through Financial Education Network services providers; approximately 1,200 households opened SaveNYC accounts; and, approximately 1,800 households opened Opportunity NYC accounts, respectively.

The Progress Report is currently available online at the Department of Consumer Affairs Office of Financial Empowerment's website at:

<http://www.nyc.gov/html/ofe/html/publications/publications.shtml>

Initiatives for Young Adults, Age 16 to 24

With nearly a quarter-million young adults between the ages of 16 and 24 living below the poverty line in New York City, CEO offers educational, employment, and health programs tailored to young adults. To meet the needs of this varied population, CEO programs range from basic literacy to higher education; innovative approaches to pregnancy prevention such as community service opportunities for students; and employment programs for disconnected and court-involved youth. There are approximately 16 programs funded by CEO for the City's at-risk young adults.

- The Teen Action (Achieving Change Together in Our Neighborhood) Program is an after-school service learning initiative offered to youth aged 13-21. Through the program, the youth design and implement meaningful service projects in their communities. The service experience is combined with reflection activities and a learning experience that includes a comprehensive curriculum that emphasizes the development of leadership skills and healthy behaviors. In 2009, 54 programs throughout the City served over 3,500 students. Evaluation results suggest that the program is having a positive effect on education. Teen ACTION participants are more likely to attempt more credits and earn more credits relative to a comparison group of students who are not enrolled in the program.
- Model Young Adult Literacy Program is part of a pilot that began in 2008 with the aim of improving the reading levels of disconnected youth 16-24 years old who are reading at the pre-GED level (4th to 6th grade levels). The initiative includes five community-based programs contracted through DYCD as well as programs at seven sites within the City's three public library systems. In the summer of 2009, participants were offered paid work and community service experiences as an incentive for program attendance. Preliminary evaluation results are promising and the initiative is expected to continue. In its first year, 258 young adults achieved a one or more grade level increase in their reading levels. The summer internship pilot demonstrated that students who had paid internships had increased attendance in the literacy classes, and improved their math scores by a full a grade level compared to students in the program who did not.
- Young Adult Internship Program (YAIP). Fourteen Young Adult Internship Programs established in 2007 are now in their third year and continue to serve approximately 1,360 disconnected youth annually in high-poverty communities. Combining educational and job-readiness workshops, counseling and ongoing support with short-term paid internships, this innovative model is obtaining positive results and achieving national recognition.

- City University of New York (CUNY) Prep offers out-of-school youth between the ages of 16 and 18 with an opportunity for full-time study in order to qualify for admission to college by obtaining a General Equivalency Diploma (GED). CUNY Prep far exceeds the city-wide pass rate on the GED, with approximately 80% of students passing the GED (compared to the city-wide average of 44%).
- CUNY Accelerated Study in Associate Programs (ASAP) provides academic and economic support to help low-income student complete Associate degrees in an accelerated manner, thereby potentially positioning themselves for higher income employment opportunities than those available to young adults with only a high school diploma (traditional and/or GED). In November 2009, the City University of New York (CUNY) released a report, *Early Outcomes Report for City University of New York (CUNY) Accelerated Study in Associate Programs (ASAP)*, which reviewed the performance of the program to meet its short-term objective of having 50% of its students graduate within three years of beginning college. According to the Report, of the students which first enrolled in the program in the fall of 2007, 30.1% graduated within two years, compared to 11.4% of a group of similarly situated students. An additional 325 ASAP students are currently on track to graduate by August 2010, which would result in a three-year graduation rate of nearly 60%. The three-year graduation rate for the comparison group of students not in ASAP was 24%.

ASAP's other early successes include indications that as of fall 2009 more than 90% of the two-year graduates planned to transfer to four-year colleges to work towards bachelor's degrees; and 75% planned to attend a CUNY senior college. ASAP students have cited the program's financial incentives and comprehensive advisement support as key to their completing their associate degrees in two years.

Young Children & Families

The CEO poverty measure estimates that New York City is home to 223,118 young children in poverty, similar to the 213, 574 children counted under the Federal measure. Although poverty alone does not place children's development at risk, children living in poverty are more susceptible to risk factor that can jeopardize their well being and life outcomes. The CEO initiatives for young children and families focus on providing a good start to life and education.

- Child Care Tax Credit (CCTC), initiated in 2007, combines with Federal and State refundable Child and Dependent Care credits to provide low-income households a City tax credit of up to \$1,700 to work while providing young children with quality child care. In 2008, over 40,000 low-income households received credits totalling over \$23 million;
- The Nurse-Family Partnership (NFP) initiative expands the capacity of the existing NFP program, a national home visitation model that seeks to improve the health, well-being, and self-sufficiency of low-income, first-time parents and their children through regular home visits. The program is currently serving over 2,200 first-time mothers and has been shown to improve breast-feeding rates, reduce subsequent pregnancies, and improve childhood immunization rates, amongst several other positive outcomes.

Poverty Research:

In August 2008, CEO published a report documenting the findings and the methodology used to create an alternative poverty measure for New York City, based on a set of recommendations made by the National Academy of Sciences. This report made New York the first local government in the nation to reformulate the official, and antiquated, federal poverty measure.

The CEO poverty measure offers a more realistic standard to assess poverty in New York City. Unlike the official measure, this measure factors in food, clothing shelter and utilities expenditures, takes into account additional financial resources (tax credits and benefits, such as Food Stamps and Section 8 housing subsidies), and adjusts for differing geographic cost factors in housing. The CEO poverty measure estimates that the poverty rate in New York City in 2006 was 23.0 percent. The corresponding poverty rate using the official method is 18.0 percent.

The CEO poverty measure has caught the attention of policy makers nationwide. In the 111th and 110th Congresses, legislation was introduced by Congressman Jim McDermott and Senator Christopher Dodd proposing that the methodology used to calculate the Federal poverty measure be revised based on the same National Academy of Sciences' recommendations that inform the CEO poverty measure.

The Center is consulting with several other localities that want to develop similar poverty measures including: Chicago, Illinois; Los Angeles, California; San Francisco, California; Washington, DC; Philadelphia, Pennsylvania; Oakland, California; San Jose California, along with the states of New York and California.

Outside of the Poverty Commission's initiatives, the City also continues to provide cash assistance and other types of public assistance to qualified low-income New Yorkers. The number of recipients of cash assistance was 346,106 as of June 2009, up approximately two percent from 341,329 as of June 2008.

As the result of the recent economic recession brought on by the collapse of the financial markets, the Mayor's economic diversification program has received increased emphasis. The program, called the Five-Borough Economic Opportunity Plan, originally presented in 2002, attempts to create employment opportunities in fields that New York City either has existing particular competitive advantages or potential advantages which have been identified but not fully exploited, particularly in bioscience, fashion, media/technology, manufacturing/distribution, and tourism. In addition, "green" building design and construction initiatives which promote sustainable business practices have also been identified as areas for further development.

Bioscience

- Support the NYC Bioscience Initiative to grow bioscience and life science industries at locations such as BioBAT (Brooklyn Army Terminal) in Brooklyn and the East River Science Park.

In July 2009, the City announced that Eli Lilly would be the first and anchor tenant of the new Alexandria Center for Science and Technology at the East River Science Park. The first phase of the 1.1-million square-foot science complex is expected to open in 2010. The site will house Eli Lilly's ImClone Research Division, which includes 125 ImClone scientists to support expansion of the company's pipeline.

- Create a New York City \$3 million bio-tech tax credit that would be available to small firms engaged in research and development that meet New York State standards as qualified emerging technology companies. The credit would help a young firm equip a lab, train technicians and fund access to high tech equipment. The new tax credit was enacted in September 2009.

Fashion

- Expand Fashion Week at Damrosch Park (Lincoln Center) and develop strategies that grow the Fashion and Wholesale Retail Sector, which employs more than 175,000 New Yorkers. Strategies include improvements to Buyers' Week and the revitalization of the Garment Center.

In October 2009, Mayor Bloomberg and the Council of Fashion Designers of America (CFDA) launched the NYC Fashion Incubator to help emerging fashion designers grow and sustain their businesses in New York City. The Fashion Incubator would permit emerging designers to have access to low-cost design studio space and support services in New York City's Garment Center. In January 2010, the first twelve (12) designers had been chosen for tenancy in the Incubator and will begin moving into the building in mid-March 2010.

Tourism

- Invest in tourism infrastructure including improved trade show space that can attract more national and international conferences.

- Use the new Visitor Information Center, online resources, and international offices to achieve goal of attracting 50 million visitors by 2012.

In 2009, New York City was the most popular tourist destination in the United States for the first time since 1990. The 45.25 million tourists exceeded the projections. As a result, employment in the leisure and hospitality sector has fully rebounded and surpassed pre-recession levels. Approximately 311,000 people are currently employed in the leisure and hospitality industry in New York City (November 2009 data) - surpassing the 307,000 employed in late 2007 and marking the highest November employment level on record. The gains in employment touched all areas of the sector with employment at food service/restaurants and bars growing by 1.1 percent and employment in hotels growing by 2.6 percent compared to 2008.

5. Institutional Structure

Please refer to the 2010 Consolidated Plan, Volume 4, Part IV, sub-section F. The 2010 Consolidated Plan is the most recent description of the City's institutional structure including private industry, nonprofit organizations, and public institutions through which the City will carry out its affordable and supportive housing strategy. The City needs a stronger commitment from the Federal government to provide aid to the cities; this remains a major impediment to the City's ability to address its affordable and supportive housing needs.

6. Governmental Coordination

There has been extensive cooperation and coordination among the various State, City agencies and private entities to implement the housing strategy. The 2010 Consolidated Plan, Volume 4, Chapter IV, sub-section G., provides the most recent description of the City's coordination efforts. Funds from many federal programs are leveraged with State and City funds in developing specific projects for housing and housing supportive services. In addition, private funds are often included in these joint projects. In particular, the City offers many incentives to encourage the participation of for-profit entities, including real estate developers, banks, insurance companies, utility companies, foundations and nonprofit organizations, all of which help meet the housing needs of New York's residents.

1. Coordination within the New York City Empowerment Zone:

The New York Empowerment Zone (NYEZ) is an economic development initiative that uses public funds and tax incentives to encourage private investment and job creation in Upper Manhattan and the Bronx. The NYEZ's goal is to provide its residents with the necessary tools to revitalize their communities and build new roads to economic self-sufficiency.

Congress enacted the Empowerment Zone Program as part of the Omnibus Budget Reconciliation Act of 1993 to stimulate economic growth in distressed areas. The New York Empowerment Zone began operations in January 1996. New York City has committed \$100 million to the New York Empowerment Zone, as have the State and Federal governments, for an aggregate of \$300 million.

The Zone is governed by The New York Empowerment Zone Corporation, which is maintained by the City and State. The NYEZ Corporation Board of Directors consists of designees of the City, State, 16th Congressional District, 15th Congressional District, the Upper Manhattan Empowerment Zone Development Corporation, and the Bronx Overall Economic Development Corporation.

The fundamental mission of the Corporation is to assist the two local development corporations: The Upper Manhattan Empowerment Zone Development Corporation (UMEZ), representing the Upper Manhattan portion of the Zone, consisting of West, Central and East Harlem, Washington Heights and Inwood, and the Bronx Overall Economic Development Corporation (BOEDC), representing the Bronx portion of the Zone consisting of High Bridge, Mott Haven, Port Morris and Hunts Point. The Corporation facilitates the cooperation and engagement of State and City government entities in order to achieve strategic plan goals with regard to budgeting and payment of committed funds. Since the Zone was designated, the NYEZ Corporation has approved and committed over \$220 million in loans and grants for implementation of initiatives and projects.

Local Development Corporation Responsibilities

The Upper Manhattan Empowerment Zone Development Corporation (UMEZ) and the Bronx Overall Economic Development Corporation (BOEDC) are the two designated Local Development Corporations that develop and administer initiatives in the Zone. Their mission is to expand the range and scope of economic activity, enhance capital opportunity for local businesses and institutions and improve the quality of life for residents, workers and visitors. Their duties include: 1) developing initiatives; 2) evaluating and modifying their Strategic Plans; 3) providing opportunities for involvement of the community; 4) selecting service providers and vendors for Zone programs and projects consistent with procurement rules; and 5) monitoring performance.

Businesses of all sizes in the Zone benefit from its available resources. In addition to attracting large, national retail companies to Harlem and the South Bronx, EZ investments in small businesses increase their access to capital and provide technical assistance, in order to create jobs for local residents and address neighborhood retail needs. The EZ has also targeted the stabilization and growth of cultural institutions in Harlem and the Bronx as part of an overall strategy to re-energize these areas as unique cultural and shopping destinations.

UMEZ and BOEDC have created more than 9,000 jobs in the Empowerment Zone as of December 31, 2010.

UMEZ Activities in the Empowerment Zone

UMEZ is guided by a four-pronged strategy: 1) strengthening arts and cultural organizations to drive tourism, 2) making large-scale business investments in major real estate projects, 3) providing access to capital and technical assistance to small business, and 4) funding workforce development programs. Due to economic conditions in 2009, UMEZ focused on supporting small businesses and not-for-profit organizations.

Small Business Assistance

The NYEZ Board approved three initiatives in FY 2009 to support three organizations that provide support for small businesses in the Empowerment Zone.

○ BRISC

The NYEZ approved a \$1,500,000 loan to recapitalize the BRISC loan fund and a grant of \$510,352 for BRISC's administrative budget. Established first in 1996 and re-established in 2004, BRISC offers low-interest loans ranging from \$50,000 to \$250,000 and provides technical assistance to unbankable small businesses in the EZ.

The BRISC program focuses on small businesses that offer valued products or services that are not provided in the community; that support UMEZ anchor projects; and that are expanding into and/or within the Empowerment Zone. Since the businesses BRISC services often require significant technical assistance, BRISC provides one-on-one consultations, seminars, workshops and training programs.

○ East Harlem Business Capital Corporation

The NYEZ approved a \$530,000 grant over two years for the East Harlem Business Capital Corporation ("EHBCC") to provide technology and business training, business plan development and M/WBE certifications for small businesses in East Harlem.

The UMEZ grant will fund a portion of existing EHBCC salaries and fringe and partial funding for OTPS in the areas of technology, training and certifications, and business plan development to achieve the following annual goals over the next two years:

- Assistance with preparation of 27 business plans for loan packaging per year.
- Five business plans must be packaged to BRISC for consideration.
- 2,150 business planning training modules per year.
- 600 technology training modules per year.

- Assistance with the completion of 100 licenses, permits or certifications per year.

- Audubon Partnership for Economic Development

The NYEZ approved a grant of \$490,000 over two years for the Audubon Partnership for Economic Development (“APED”) to provide technology and business training, business plan development and M/WBE certifications for small businesses in Washington Heights and Inwood.

The UMEZ will fund a portion of existing APED salaries and fringe and partial funding for OTPS in the areas of technology, training and certifications, and business plan development to achieve the following annual goals over the next two years:

- Assistance with preparation of 32 business plans for loan packaging per year.
- Five business plans must be packaged to BRISC for consideration.
- 450 technology training modules per year.
- 600 business training modules per year.
- Assistance with the completion of 65 licenses, permits or certifications.

- Harlem Business Alliance

The NYEZ approved a \$427,000 grant over 18 months to the Harlem Business Alliance (“HBA”) to provide technology and business training and business plan development for small businesses in Central Harlem.

The UMEZ grant will fund a portion of operating costs associated with staffing, administration and rent to achieve the following annual goals over the next two years:

- Assistance with preparation of 30 business plans for loan packaging per year.
- Five business plans must be packaged to BRISC for consideration.
- 2,170 technology and business training modules per year.

- New York Women’s Chamber of Commerce

The NYEZ approved a \$427,000 grant over 18 months for the New York Women’s Chamber of Commerce (“NYWCC”) to provide technology and business training, business plan development and M/WBE certifications for small businesses in West Harlem.

The UMEZ grant will fund a portion of existing NYWCC salaries and fringe and partial funding for OTPS in the areas of technology, training and certifications, and business plan development to achieve the following annual goals over the next two years:

- Assistance with preparation of 30 business plans for loan packaging per year.
- Five business plans must be packaged to BRISC for consideration.
- 2,100 technology and business training modules per year.
- 100 certifications per year.

Not-for-Profit and Cultural Institution Assistance

- Community Works

The NYEZ approved a \$390,780 grant to Community Works (“CW”) as part of a \$1.219 million capacity building project that will support CW’s new programmatic home in Upper Manhattan. The grant will also provide space for two Upper Manhattan-based Arts Service Organizations.

In addition to providing space for local groups, the benchmarks for the project are:

- The hiring of 200 artists from 50 cultural groups to participate in programming in the first year, increasing by 20% by 2011.

- The presentation of 250 days of exhibitions, 40 community events, 50 performances, 6 workshop series, and 180 guided tours in the first year, increasing by 20% by 2011.
- The offering of on-site arts programming to 25 public schools in Upper Manhattan in the first year, increasing to 45 by 2011.

- Raices Latin Music Collection and Museum

The NYEZ approved a \$230,000 grant to the Raices Latin Music Collection and Museum as part of a \$435,000 capacity-building project that will include developing a strategic plan and automating the collection so it can be better managed and shared with other institutions and the public. The project will also help preserve the more than 18,000-piece collection, some of which is very fragile. Raices, the world's first museum dedicated to Latin music, has served more than 50,000 people through public programs including exhibitions festivals and historical concerts.

The project will have a transformative effect on Raices, which is located on 5th Avenue in East Harlem. Direct results will include:

- Creation of a strategic plan that outlines priorities in collections care, public programs and institutional advancement;
- Articulation of staffing and capital needs with a calendared strategy toward addressing those needs;
- Installation and adoption of an automated collections management system.
- Cataloguing, digitization and re-housing of 79- and 45 rpm records, graphic materials, costumes and musical instruments, as well as 2/3 of photographs.

- Franklin H. Williams Caribbean Cultural Center African Diaspora Institute

The NYEZ approved a \$150,000 grant for the Franklin H. Williams Caribbean Cultural Center African Diaspora Institute ("CCCADI") to fund predevelopment costs for the redevelopment of a decommissioned firehouse on East 125th Street. The investment will create four jobs.

In August 2008, the Department of Housing Preservation and Development ("HPD") and the Economic Development Corporation ("EDC") awarded conditional site designation to CCCADI to rehabilitate and redevelop a decommissioned firehouse on East 125th Street as a community and cultural facility. CCCADI's plan will transform the 8,500-square-foot, five-story building into complex with a café, shop, exhibition and performance spaces, media center, offices and meeting/community rooms. The renovated firehouse, which is estimated to cost more than \$5.5 million, will complement the rezoning of 125th Street to the west and the future East Harlem Media/Entertainment/Cultural Center across the street. Funding assistance from UMEZ will allow CCCADI to hire a project manager and capital campaign consultant.

Supermarket Investment

In addition to investments in small businesses and not-for-profits, UMEZ funded a new large supermarket in Central Harlem.

- Best Yet Market

The NYEZ approved a \$1 million, seven-year, 5.75% loan to Best Yet Market to help finance a \$3 million construction and fit-out of a new supermarket in Central Harlem. The market, located on Frederick Douglass Boulevard between 118th and 119th Streets, will create 70-80 jobs and provide quality fruits, vegetables and meats to an underserved and growing community. The project complements the City's Food Retail Expansion to Support Health program and is responsive to the City's "Going to Market" report, which found that Harlem lacked access to fresh produce. Founded in 1994, Best Yet Markets has opened 11 locations serving New York City and Long Island communities.

The supermarket will occupy a total of 24,450 sq ft, making it one of the largest supermarkets in Central Harlem. The supermarket will offer grocery stations that include the following:

- Produce section with large selection of fresh fruits and vegetables
- Full-service deli in which lunch orders can be made

- Butcher shop offering the service of custom-cut USDA choice meat
- Bakery offering an array of fresh breads and pastries
- Seafood shop with a wide variety of fresh fish and other items
- General grocery items consisting of perishables and nonperishable items

BOEDC Activities in the Empowerment Zone

BOEDC continued its focus on supporting environmental initiatives and not-for-profits in the Bronx Empowerment Zone.

Not-for-Profits

- Brownfield Loan Fund

The NYEZ approved the creation of a \$1 million Brownfield Loan Fund for light-to-moderately contaminated Brownfield sites in the Bronx EZ.

The South Bronx is dotted with scores of Brownfield sites, with as many as 140 potentially contaminated sites in Hunts Point alone. The proposed Brownfield Loan Fund (BLF) would offer developers or owners of Brownfield sites loans of up to \$150,000 over 10 years with two-percent interest for environmental remediation. Sites must also be enrolled in the NYC Office of Environmental Remediation's Brownfield Cleanup program. BOEDC will require that the future use of a Brownfield site provide 1 job for EZ residents for every \$65,000 loaned.

- Per Scholas

The NYEZ approved a convertible loan of \$550,000 to fund the build out of Per Scholas's new Technology Training Center in the Bronx Empowerment Zone.

The proposed convertible loan would fund a portion of the construction budget, including soft costs, furniture and fixtures, security and IT infrastructure and moving costs. The loan will convert to a grant if the organization meets five of the following six benchmarks:

- Train 100 EZ residents in CY 2011
- Place 65 EZ residents in jobs in 2011
- Serve 1,250 EZ middle-school children through the Comp2Kids program
- Serve 400 EZ seniors through the Comp2Seniors program
- Serve 400 EZ residents at the community technology center
- Provide 1,000 low-cost computers to EZ residents

Founded in 1995, Per Scholas runs an array of programs and services that provide low-income families and children with access to computer technology and training. The organization also trains low-income New Yorkers as skilled computer technicians through a 15-week, tuition-free program and helps place graduates in technology-related jobs. Since 1995, Per Scholas has distributed more than 65,000 computers, trained over 2,000 community residents as computer technicians and recycled thousands of tons of computer equipment.

- Bronx Council On the Arts

The NYEZ approved a \$180,000 grant to the Bronx Council On the Arts Development Corporation ("BCADC"), to be paid in two \$90,000 installments over two years, to fund BCADC's Bronx Culture-Trolley Program.

The program includes a free trolley-style bus that takes riders on circuit-route to Bronx-based cultural institutions and other venues with BCA-developed arts programming both inside the trolley and at each site visited on the tour. The BCA provides marketing and administration of the program.

The investment will ensure the following:

- The continuation of the existing and highly-successful *First Wednesdays Bronx Culture Trolley* for two seasons corresponding to the grant;

- The formal development and implementation of a minimum of six *Bronx Culture Trolley Saturdays* each year.

The expanded program will increase visitation to the Bronx Empowerment Zone and surrounding neighborhoods and hence increase money spent at cultural institutions and nearby restaurants and shops.

HPD Activities within the Empowerment Zone

In addition to the thousands of units of housing HPD has already assisted in the New York City Empowerment Zone, HPD currently has approximately 30 housing projects in various stages of development located within the zone and 41 parcels that will be programmed. They encompass a broad range of HPD's new construction efforts, including the Cornerstone Program, the Low Income Rental Program, the Mixed Income Rental Program, the Multifamily Homeownership Program, the NYCHA Collaborative, the Supportive Housing Program, the Section 202 Supportive Housing Program for the Elderly, and Habitat for Humanity. The 30 projects will result in approximately 3446 units of housing. In the last ten years, HPD has initiated a total of 368 projects within the Zone, resulting in approximately 27,365 units of housing.

Coordination with Empowerment Zone

City of New York

There is a partnership between the City of New York and the two local development corporations responsible for the development and investment in the Empowerment Zone. A representative of the Mayor's Office has served as part of the New York Empowerment Zone coordination team since its original designation. This representative, currently the Deputy Mayor for Economic Development, oversees full-time staff assigned to the Empowerment Zone from the Office of the Mayor.

UMEZ and BOEDC work closely with City agencies, including the Economic Development Corporation, Department of Small Business Services, Department of Housing Preservation and Development, and the State's Empire State Development Corporation, in the areas of site location/acquisition, business and real estate development, and workforce development.

Please call the Mayor's Office New York City Empowerment Zone at (212) 788-8422 for more information.

Private Sector

The NYEZ uses its public investment pool of \$300 million to encourage private investment in Upper Manhattan and the South Bronx. As of June 30, 2009, the NYEZ has leveraged more than \$850 million in private investment.

EZ Tracts

The Upper Manhattan portion of the New York Empowerment Zone includes Central, East and West Harlem, Inwood and Washington Heights. The South Bronx portion includes Hunts Point, Port Morris, Mott Haven and the Yankee Stadium/Highbridge area.

Please refer to the map incorporated into the 2009 Consolidated Plan depicting the census tracts which comprise the New York Empowerment Zone.

Coordination with the Consolidated Plan

The NYEZ is fully consistent with the Consolidated Plan. In fact, many of the goals identified in the Empowerment Zone program for Upper Manhattan and the South Bronx are identical to the Plan's basic goals.

D. Anti-Displacement Plan

In accordance with 24 CFR 42.325(a), the City will continue to take all reasonable steps to minimize the displacement of families and individuals from their homes and neighborhoods as a result of a federally assisted project activity assisted with funds provided under (1) the Community Development Block Grant (CDBG) Entitlement Program (24 CFR 570), or (2) the HOME Investment Partnerships Program (24 CFR 92).

Displacement Mitigation

Consistent with 24 CFR Part 42, the City will take the following steps to minimize the displacement of persons from their homes and neighborhoods:

I. New Construction and Substantial Rehabilitation

The production of new units to increase the supply of housing, a major goal of New York City's housing policy, is accomplished primarily through a policy of encouraging: a) new construction on vacant and underutilized sites; and b) rehabilitation or restoration of vacant, abandoned multiple dwellings and small homes. Priority for development of assisted new construction and substantial rehabilitation housing is given to sites that are City-owned and contain vacant land and/or structures.

II. Moderate Rehabilitation and Repair

A key component of the City's preservation housing strategy is to provide assistance to multiple dwelling buildings requiring levels of rehabilitation that can be accomplished with tenants in occupancy. These programs help preserve low income housing and do not result in displacement.

The successful renovation of existing occupied buildings may require that rents be restructured for existing tenants. Such restructured rents generally do not exceed the applicable FMR for existing housing and moderate rehabilitation. Low income tenants who are unable to afford restructured rents resulting from this rehabilitation will be assisted by the City in applying for and obtaining Section 8 Existing Housing Certificates and Vouchers, if available.

III. Tenant-Landlord Relations

As an additional anti-displacement incentive, the City established a program to facilitate the resolution of tenant/landlord disputes through the use of trained mediators. This project is jointly operated by HPD and the Unified Court System and is located in the Brooklyn and Manhattan Housing Courts. By helping landlords and tenants settle their differences, the program helps protect tenants from being displaced from their homes. In addition, to supplement the need to assist pro se litigants who are unfamiliar with court procedures, HPD expanded its technical assistance role by providing a community liaison worker in the Bronx Housing Court Resource Center.

The community liaison worker provides the public with information on court procedures and makes referrals to HRA and other appropriate bodies. For example, tenants, who face eviction because of failure to pay rent are referred to the Income Maintenance Housing Unit, which is located on the housing court premises. This unit is staffed by Human Resources Specialists who are available to refer tenants to HRA so that emergency assistance payments can be expeditiously processed.

The City has also undertaken an initiative to ensure that relocation activities are conducted in accordance with local, state and federal fair housing laws. All HPD relocation managers have been trained by HPD's Fair Housing Unit on basic fair housing laws and will be made aware of the City's fair housing program which provides counseling services for tenants who allege discrimination. A tenant who has been displaced by

government action will also be informed of his/her Fair Housing rights in the Tenants Assistance Policy literature that is part of the informational package given to potential relocatees. This policy delineates the basic fair housing laws and the remedies available for any tenant who believes he or she has encountered discrimination.

Replacement of Lower Income Housing

As described in 24 CFR 42 Subpart C, the City will replace occupied and vacant occupiable lower income housing that is converted to a use other than lower income housing or is demolished as a result of activities paid for in whole or in part with funds provided by HUD under the CDBG Entitlement Program or the HOME program.

To the extent that the specific location of the replacement housing and other data required by paragraphs (c)(4) through (c)(7) of 24 CFR Part 42.375, are not known, the City shall identify the general location of the housing on a map and complete disclosure and submission requirements when the specific data are available.

Relocation Assistance

As described in 24 CFR 42 Subpart C, the City will also provide relocation assistance to lower income persons displaced as a direct result of the conversion of lower income housing or the demolition of any housing as a direct result of activities paid for in whole or in part with funds provided by HUD under the CDBG Entitlement Program or the HOME Program.

Displacement Activities

The City of New York did not displace individuals or families in any federally-assisted entitlement program in 2009.

E. Assessment of HOME Minority Business Enterprises and Women Business Enterprise Outreach-Related Activities

The New York City Department of Housing Preservation & Development (HPD) is committed to a policy of providing equal access to all economic opportunities generated by our role as the primary catalyst for the construction and rehabilitation of affordable housing throughout this City.

The HPD Office of Community Partnerships (OCP) is responsible for creating, implementing and coordinating procurement, training and employment activities in support of the agency's commitment to business development mandates. OCP has implemented a multifaceted Business Opportunity Program in response to public policy mandates that require local participation in agency procurement activities. The initiatives and strategies detailed below are the direct result of a long term and systemic approach to community development.

Our assessment of the affirmative efforts undertaken finds the agency to be in compliance with the business development mandates found in HOME funding regulations. The following summarizes the activities undertaken and the results achieved during this last year to promote the participation of local, minority and women owned businesses in contract opportunities generated by the use of HOME funds:

- Conducting weekly Pre-Award Conferences to review equal opportunity, labor standards, business enterprise and fair housing requirements for HPD contract recipients. They also provide information on becoming certified as a MBE, WBE or LBE (see below). Representatives of over 550 firms attended 52 sessions in calendar year 2009.
- In late December 2005 Mayor Bloomberg signed Local Law 129, which builds on two earlier Executive Orders promoting the utilization of Minority and Women owned Business Enterprises certified by the NYC Dept. of Small Business Services (SBS). SBS is responsible monitoring participation of all mayoral agencies, including HPD. We have assembled a steering committee to respond to the regulations, which will require a yearly forecast of procurements and participation at the prime and subcontract levels. SBS has developed an online directory that can be searched by keywords, such as the good or service needed or a specific company name. SBS certification of MWBE firms makes it easy for City, private and nonprofit buyers to find them for bid and outreach purposes. Purchasing officers using the directory receive a list of vendors and a comprehensive profile of each company that matches their needs, making it easy for MWBEs to inform buyers of their capabilities.
- HPD/OCP staff have represented the agency at numerous outreach events, including those sponsored by the NYC Dept. of Small Business Services, and other partners. HPD/OCP staff conducted a Procurement Workshop at South Bronx Overall Economic Development Corp. in the Bronx and exhibited at the Goods and Services Networking Event sponsored by the NYC Dept. of Small Business Services.
- HPD has created and published a new webpage: <http://www.nyc.gov/html/hpd/html/vendors/local-business-participation.shtml> that provides information on the agency's business counseling and educational services, the Section 3 program, and links to the NYC Dept. of Small Business Services.
- HPD compiled and submitted reports on the participation of locally based, minority and women owned firms as are required by Federal, State and City agencies.

The HPD/OCP Business Opportunity Program is an ongoing effort to ensure that the economic and social benefits of HOME funded contracts are openly and fairly distributed with the maximum participation of locally based, minority and women owned firms.

The following is a snapshot of prime and subcontracts let in calendar year 2009:

Prime Contracts					
		#	%	\$	%
White	Male	13	56.5%	\$503,263,636.00	83.9%
Black	Male	2	8.7%	\$30,754,244.00	5.1%
Hispanic	Male	2	8.7%	\$18,431,852.00	3.1%
Hispanic	Female	3	13.0%	\$20,151,249.00	3.4%
Black	Female	2	8.7%	\$492,235.00	0.1%
White	Female	1	4.3%	\$26,652,196.00	4.4%
		23		\$599,745,412.00	
		MWBE	44.5%	MWBE	16.1%
Subcontracts					
		#	%	\$	%
White	Female	6	3.9%	\$18,589,915.00	2.6%
Black	Female	1	0.6%	\$239,980.00	0.0%
Hispanic	Female	3	1.9%	\$602,422.00	0.1%
Asian	Female	1	0.6%	\$1,025,000.00	0.1%
Asian	Male	16	10.3%	\$7,029,097.00	1.0%
Hispanic	Male	13	8.4%	\$4,171,490.00	0.6%
Black	Male	5	3.2%	\$18,200,573.00	2.5%
White	Male	110	71.0%	\$670,626,983.00	93.1%
		155		\$720,485,460.00	
		MWBE	29.0%	MWBE	6.9%

HPD is committed to an ongoing effort to ensure that the economic and social benefits of HOME funded contracts are openly and fairly distributed. The agency endeavors to achieve the maximum participation of locally based, minority and women owned firms.

F. Section 108 Loan Guarantee - Brownfields/Economic Development Initiative (B/EDI) Programs

Alliance for Neighborhood Commerce, Homeownership & Revitalization (ANCHOR)

Following is a summary of the status of development for projects in HPD's ANCHOR Program that are funded through HUD's Economic Development Initiatives (EDI) Grant Program, HUD's Brownfields Economic Development (BEDI) Program, and HUD's Section 108 Loan Program.

Fulton Ralph Plaza

Fulton Street at Ralph Avenue (Bedford-Stuyvesant, Brooklyn)

Fulton Ralph Plaza, the first ANCHOR project, is a 19,086 sq. ft. retail center that includes four tenants: Rite Aid Pharmacy, State Farm Insurance, Choices Restaurant, and a laundromat. The project provides a mix of national, regional, and local businesses; a local entrepreneur based in Brooklyn operates the restaurant and laundromat. The project created approximately 50 construction jobs and approximately 50 permanent jobs. The Plaza was begun in 1997 and completed in 1999.

The Renaissance

West 116th Street at Malcolm X Boulevard (Harlem, Manhattan)

West 116th Street at Malcolm X Boulevard (Harlem, Manhattan)

The Renaissance is the first mixed-use ANCHOR project to be completed in Harlem. Located within the HUD-sponsored New York City Empowerment Zone and the Mount Morris Homeownership Zone, the Renaissance includes 62,000 sq. ft. of retail space and 241 limited-equity coop units. Retail tenants include Carver Federal Savings Bank, CVS Pharmacy, Ashley Stewart Clothing, Petland Pet Store, Mail Boxes Etc., Rheedlen Learning Center, Pioneer Supermarket, and a laundromat. The project created approximately 271 construction jobs and approximately 117 permanent jobs. The project was begun in 1999 and completed in 2001.

1400 Fifth Avenue

West 116th Street at Fifth Avenue (Harlem, Manhattan)

1400 Fifth Avenue is the next mixed-use ANCHOR project to be developed in Harlem. Located within the HUD-sponsored New York City Empowerment Zone and the Mount Morris Homeownership Zone, the project will include up to 30,000 sq. ft. of retail space and up to 129 condominium units. Retail tenants are expected to include a restaurant, a laundromat, and a health club operated by a local entrepreneur. The project is expected to create approximately 159 construction jobs and approximately 25 permanent jobs. The project began in 2002 and was completed in 2004.

Strivers Gardens

West 135th Street at Frederick Douglass Boulevard (Harlem, Manhattan)

The Strivers is the third mixed-use ANCHOR project planned for Harlem. Located within the HUD-sponsored New York City Empowerment Zone, the project will include up to 40,000 sq. ft. of retail space and up to 170 homeownership units. The project began construction in 2003 and completed in 2005.

Program Income

As of December 2009, program income to this date totaled \$402,212.54 with \$4.01 earned in 2009. Program income includes interest income earned on the Loan Repayment Account as well as principal and interest payments received from the Fulton Ralph Plaza project.

Rehabilitation of Pier A

In 2009, Battery Park City Authority (which has a lease with the City to operate Pier A) focused a significant amount of time ensuring that designs were completed both with respect to the replacement of the pier deck at Pier A as well as will respect to the renovation of the core and shell of the pier shed. Designs for both

components of the renovation were completed by July. In September, the pier deck replacement construction commenced and is expected to be completed in Spring 2010. In November, BPCA issued a request for qualifications for potential tenants of the renovated pier with responses due at the end of January 2010.

Foundation for Dance Promotion Inc., Cultural Facility Project

The New York City Economic Development Corporation (“NYCEDC”) on behalf of the City of New York (the “City”), applied for and was awarded, for the benefit of the Project, a \$3,000,000 Section 108 Loan Guarantee from the United States Department of Housing and Urban Development (“HUD”) to be used in conjunction with a \$2,000,000 Economic Development Initiative (“EDI”) Grant. In September 2006, the City, acting by and through its Department of Small Business Services, entered into several agreements regarding the Project, including a contract with HUD for the Section 108 Loan Guarantee (the “Section 108 Loan Contract”), a grant agreement with HUD regarding the use of the EDI Grant for the Project (the “EDI Grant Agreement”), and a sub-recipient agreement with NYCEDC regarding the disbursements of the EDI Grant and Section 108 Guaranteed Loan Funds (such sub-recipient agreement, the EDI Grant Agreement, and the Section 108 Loan Contract, are collectively the “Funding Documents”).

The Project, approved by HUD, consisted of the acquisition, development and construction by the Foundation for Dance Promotion, Inc. d.b.a. Bill T. Jones/Arnie Zane Dance Company (the “Foundation”) of a 32,000 square foot four-story condominium unit located at 261 West 125th Street, New York, New York above a Grid Properties, Inc. retail development, and included a black box performance space, studios for rehearsal and classes and rental to artists, and administrative offices. The Funding Documents provided that the Section 108 Guaranteed Loan Funds had to be disbursed by the City by January 1, 2008 (the “Disbursement Date”). Due in part to the inability of the Foundation to secure the proposed project site by the Disbursement Date, in November 2007, at the City’s request, the Funding Documents were amended to extend the Disbursement Date to January 1, 2009 (the “Extended Disbursement Date”).

Despite a generous twelve-month extension and NYCEDC’s continued support of and assistance to the Foundation, the Project did not commence and the Extended Disbursement Date passed. Although NYCEDC recognized the Foundation’s efforts in trying to bring the Project to fruition, due to the Foundation’s failure to secure a site and commence the Project by the Extended Disbursement Date, NYCEDC and the City determined not to seek a further extension from HUD for use of the EDI Grant and Section 108 Guaranteed Loan Funds for the Project and commenced termination of the Funding Documents. Notice of termination was delivered to the Foundation and HUD in April 2009.

ANCHOR RETAIL PROGRAM

FUNDS DISTRIBUTION - 1995 EDI GRANT & SECTION 108 LOAN/GUARANTEE

PROJECT	SQ. FT.	TDC	PRIVATE LOAN		EQUITY		MERF LOAN		CITY CAPITAL LOAN		108 LOAN/GUARANTEE ¹		EDI LOAN		EDI RESERVE		108/EDI RATIO
Fulton Ralph Plaza Fulton Street (Brooklyn)	19,000	\$3,754,286	63.33%	\$2,377,722	7.3%	\$273,412	9.1%	\$342,425	13.2%	\$495,364	37.5%	\$892,000	7.1%	\$265,364	5.0%	\$44,584	3:1
The Renaissance² West 116th Street (Manhattan)	62,000	\$14,451,773	75.00%	\$10,160,000	4.8%	\$695,403	13.8%	\$1,987,357	0.0%	\$0	28.9%	\$3,138,000	6.4%	\$919,013	5.0%	\$156,900	3:1
1400 Fifth Avenue West 116th Street (Manhattan)	30,000	\$5,527,506	N/A	N/A	6.4%	\$356,000	16.1%	\$890,000	0.0%	\$0	62.8%	\$3,470,000	14.7%	\$811,506	5.9%	\$203,516	3.5:1
The Strivers² West 135th Street (Manhattan)	40,000 \$177.30	\$11,474,117	N/A	N/A	6.5%	\$750,000	12.8%	\$1,470,000	0.0%	\$0	65.2%	\$7,500,000	15.3%	\$1,754,117	5.9%	\$445,000	3.5:1
TOTALS	151,000	\$35,207,682		\$12,537,722		\$2,074,815		\$4,689,782		\$495,364		\$15,000,000		\$3,750,000		\$850,000	
1995 TOTAL AWARD												\$15,000,000		\$3,750,000		\$850,000	
PROGRAM TOTALS	151,000	35,207,682		12,537,722		2,074,815		4,689,782		495,364		15,000,000		3,750,000		850,000	

1. Section 108 Loan Guarantees were used on Fulton Ralph Plaza and The Renaissance. Direct Section 108 loans will be used on 1400 Fifth Avenue and The Strivers.
2. Total development costs for The Renaissance and The Strivers include underground parking garages.

PART III -- Evaluation of Annual Performance

This section contains the City's assessment of the effectiveness of its performance in meeting the housing, homeless, supportive housing and community development activities outlined in the 2009 Consolidated Plan.

On February 9, 2006, the U.S. Department of Housing and Urban Development published in the Federal Register final modifications to the Consolidated Plan formulation and submission regulations as a result of the Consolidated Plan Improvement Initiative (CPII), particularly the inclusion of HUD's new Outcome Performance Measurement System. The new requirements became effective March 13, 2006. As part of the new System, formula entitlement grantees were required to categorize, collect and report on their respective grant program's activities by three (3) federally-defined objectives which, when combined with the three (3) performance outcome categories, created nine (9) performance outcome objective measurement statements. In addition to determining their respective entitlement program's performance outcome measurement, localities were required to collect and enter into the HUD Integrated Disbursement and Information System (IDIS) accomplishment data according to eighteen (18) federally-defined Performance Indicator categories beginning October 1, 2006. HUD also requested localities formulate and report in their next Annual Performance Report their formula entitlement programs' performance using the Performance Outcome Measurement System's Performance Indicator categories. For the City of New York, its next Annual Performance Report would be the Proposed 2006 Consolidated Plan APR. As part of the new APR reporting requirements the Report would also include a self-evaluation of a locality's respective formula entitlement grant's activities based on a comparison of its proposed Performance Indicator accomplishments against its actual Performance Indicator accomplishments. The evaluation would be derived from information generated from several IDIS reports updated to include performance measurement data. As noted in Section IA., HUD completed an upgrade to the IDIS reporting platform at the end of 2009. However, after system modifications, there were certain inconsistencies between the data entered by the formula entitlement grant administering agencies and the data presented on the various reports. Therefore, the City of New York has continued to formulate its self-evaluation of its past year's performance based on the federal reporting guidelines that were in effect prior to the implementation of the Performance Outcome Measurement System. In addition, the City has already developed and reports Performance Statistics, which are highly similar to HUD's suggested measurements, for both the City's federally-funded and non-federally funded activities within its 2009 City Fiscal Year (CFY) Mayor's Management Report (MMR). Therefore, the reader is requested to please refer to the City's latest Mayor's Management Report.

The latest version of the MMR is available for review on the City's website in Adobe PDF format at: www.nyc.gov/operations.

Office of Management and Budget

For 2009, the Community Development Block Grant Program (CD) had a total of \$263,741,000 available from the FFY '09 Entitlement, program income, and accruals. Total expenditures were \$249,095,000, a 94% expenditure rate. The City believes this is an acceptable expenditure rate for the CD Program.

The high expenditure rate is due to the fact that the CD Program primarily funds ongoing programs. Unlike new programs in which expenditures are delayed because of start-up concerns (staffing, site selection, contract registration, etc.) ongoing programs do not generally experience this lag each year.

Department of Housing Preservation and Development

Mayor Bloomberg's New Housing Marketplace Plan began on July 1, 2003 and was expanded in February 2006 into a \$7.5 billion plan to create affordable housing for over 500,000 New Yorkers, more than the entire population of Atlanta. It is the largest municipal affordable housing plan in the nation's history. The plan uses innovative approaches to find new land and financing to build affordable housing for New York's future. By December 2009, 99,291 units of affordable housing had been started under the plan, putting the City on track to

achieving the Mayor's goal of creating and preserving 165,000 affordable housing units. Since Mayor Bloomberg came to office the City has funded more than 117,841 units of affordable housing.

Department of Homeless Services

Throughout 2009, ESG funds continued to be an integral component of the City's continuum of care for the homeless. These funds have enabled DHS to improve its outreach activities to homeless persons living in public spaces by contracting out with experienced non-profit organizations. In the fall of 2007, DHS implemented new contracts with outreach and housing placement providers. These contracts are performance-based and include evidence-based practices such as the Street to Home model which focuses on placing chronically homeless individuals directly into transitional and permanent housing from the streets. DHS also expanded the low-threshold housing options that exist outside the shelter system including safe haven and stabilization beds. Safe Havens are a low-demand transitional housing programs that allow clients more flexibility. They include features that street homeless clients find desirable such as private or semi-private rooms, fewer rules and no curfew. Stabilization beds are also transitional housing options but have fewer on-site services and are for clients who are closer to being permanently housed.

During 2009 DHS converted one of its Reception Centers operated by the Volunteers of America to an 80-bed Safe Haven with 40 beds being allocated specifically for veterans. This program is also receives supplemental funding from the VA Grant Per Diem Program. The remaining Reception Center operated by BRC (Bowery Residence Committee), continued to work successfully with the chronic street homeless clients. The program serves clients who are mentally ill and/or medically frail. The Reception Centers is small, which enables intensive service delivery in a low-demand environment.

The City's transitional shelter programs continue to be enhanced by programs funded with ESG money. These shelter programs have become central in the evolution of the transitional shelter service system. They provide the resources to successfully address client's barriers to independent living, which decreases clients' length of stay and increases the rate of successful placements from the shelter system into independent or supportive permanent housing.

Part of DHS' ongoing transformation of the shelter system services includes the development of more specialized programs to address certain specific obstacles to independent living such as substance abuse and mental illness that lead to chronic homelessness. ESG continues to fund substance abuse efforts such as DHS substance abuse counselors in adult shelters and a substance abuse program for family members living in the Regent Family Center. ESG funds are also used to help provide mental health services at two transitional shelters, the HELP Women's Center (formerly Brooklyn Women's Center) and the Valley Lodge facility.

ESG funds support the Office of Client Advocacy which was established to assist clients in resolving specific issues that were not being adequately addressed by their case workers or supervisors. Advocacy staff attempt to resolve outstanding client issues by consulting with DHS employees in explaining the rules and procedures of various services and facilities to the clients. The staff also provides training to clients on self-advocacy, organizing client advisory committees, and working with others to improve the shelter system. The broadest objectives of this office are to improve the shelter system, enhance the services provided to clients, and help prepare clients to live independently. This staff of Advocates also maintains a presence at PATH, the family shelter intake facility, and AFIC, the adult family intake facility. In 2009, the Office of Client Advocacy served a total of 5,201 constituents.

DHS's housing placement program is an intensive case management-based outplacement program operating within the adult shelter services system. This program has been highly successful in placing shelter residents in a variety of long-term housing and/or supervised program placements, ranging from substance abuse programs to supervised mental health housing to supportive SRO's, to independent housing. A continuum of assessment and therapeutic programs helps homeless individuals move into and maintain housing, either in an independent living arrangement or a supportive housing environment. In FY 2009, DHS placed a total of 10,039 single adults into permanent housing.

As in other areas of the continuum of care, ESG funds have enabled the City to fill niches in the area of homelessness prevention. The prevention programs include services to establish a comprehensive evaluation process to identify households receiving Section 8 rental subsidies administered by HPD who are at risk of losing their Section 8 voucher and becoming homeless due to: being in arrears on rent; either Housing Assistance Payments (“HAP”) owed by HPD or the tenant portion thereof; Housing Quality Standard (“HQS”) failure (subsidy suspended); failure to recertify; unprocessed rent increases; building foreclosure; or building conversion . Other households eligible for services would be tenants at risk of losing their vouchers due to mental illness, substance abuse, domestic violence or other cognitive problems due to age or health. Other prevention programs include the Tenant and Family Support Program, which approaches prevention on a building by building basis; the Crisis Intervention Program, which provides emergency crisis and case management to formerly homeless families; law enforcement intervention; and assistance to information referral and linkages to community programs, which approach prevention on a neighborhood stabilization basis.

In 2007, the DHS neighborhood-based homeless prevention initiative expanded into a citywide program, now serving all neighborhoods in New York City. Taking the lessons learned from the community based homelessness prevention programs, DHS continued to collaborate with qualified community-based organizations charged with assisting those at-risk of homelessness, particularly individuals and families at the front door of shelter and households exiting the shelter system through targeted services and financial assistance. Through the end of CY 2009, the non-profit providers have made significant improvements in identifying and serving target populations. They are using their community knowledge and resources to meet the needs of these at-risk individuals and families. By ‘networking’ at local businesses, income support centers, schools, police precincts, and local churches, the providers have served 17,700 families and single adults with services such as family and landlord mediation, entitlements advocacy, employment training, and household budgeting skills. Providers have served a diverse group of clients. Over 20% of the client population has had prior shelter histories. Of all the families and single adults served, 90% had not entered the shelter system. Over 20% of all clients have received financial assistance for payment of rent arrears, deposits and broker fees, furniture and household expenses, rent contributions, and educational and work expenses.

On January 26, 2009, DHS conducted its annual citywide Homeless Outreach Population Estimate (HOPE) which resulted in an estimate of 2,328 individuals residing in the streets and public spaces in the five boroughs; a 30 percent decrease in unsheltered individuals from 2008, and a 47 percent decrease since the first citywide survey was conducted in 2005. DHS again hosted HOPE on January 25, 2010. The results of HOPE 2010 are expected to be published in late winter 2010.

NYC Department of Health and Mental Hygiene – Bureau of HIV/AIDS Prevention and Control
During 2009, New York City used HOPWA funds to continue innovative housing programs, maintain desperately needed services, and fill identified gaps in the HIV/AIDS continuum of care. This has resulted in significant benefits for persons living with HIV/AIDS, evidenced by the accomplishments of the agencies providing services to persons living with HIV/AIDS—the Department of Health and Mental Hygiene (DOHMH) – Bureau of HIV/AIDS Prevention & Control (BHIV), the Human Resources Administration’s HIV/AIDS Services Administration (HRA/HASA), the Department of Housing Preservation & Development (HPD), and the DOHMH – Division of Mental Hygiene. These accomplishments include over 43,647 households assisted, 201 new units of permanent supportive housing for persons with AIDS began development and/or construction during the year (of which 129 were funded with HOPWA and 72 were funded with other resources), and a wide variety of ancillary and supportive services initiated and continued.

During 2009, DOHMH – BHIV directly oversaw and administered the following programs: housing placement assistance programs for homeless persons and families living with HIV/AIDS; outreach to homeless at-risk and HIV-infected street youth; outreach to persons with AIDS living in commercial SRO Hotels; emergency and transitional housing for individuals released from City or State correctional institutions; transitional and permanent housing for individuals who are dually diagnosed and in need of harm reduction services; permanent housing for women with children; permanent housing for women with mental illness; permanent housing for

seniors age 55 and over; permanent housing for difficult to serve individuals; emergency/transitional housing for HIV+ or at risk homeless adolescents; and, the administration of a sustainable living fund that provides emergency and long-term rental assistance.

In 2009, HASA continued to operate a large rental assistance program for both individuals and families, in which at least one household member has advanced HIV illness or AIDS. Costs are funded primarily by the City and State, with a federal contribution for TANF-eligible cases. In December 2009, the agency was providing rental assistance to 25,026 cases, or 80.5 percent of the HASA caseload.

During 2009, HASA used HOPWA funds to support 2,443 units of supportive housing.

During 2009, the Department of Health and Mental Hygiene – Division of Mental Hygiene (DOHMH – DMH) managed five (5) subcontracts with non-profit, community based organizations to provide 125 units of scattered site housing for persons living with HIV/AIDS with a history of mental illness and/or substance abuse. One of these programs provides 30 housing units for HIV-positive women with mental illness and/or substance use histories, including both single women and women with children. Two programs each operate 23 units for single adults with HIV/AIDS and mental illness and/or substance abuse histories. The remaining two programs combined provide 49 units of permanent housing, for single adults as well as families that are headed by an adult with HIV/AIDS and who also have mental illness and/or substance abuse histories.

The number of clients served by contracts under the DOHMH – BHIV exceeded the Consolidated Plan projection of 3,000 households, with a total of 3,886 actual households served. Since 2005, these 15 non-profit community-based organizations have focused on the delivery of direct housing services to clients. This improved focus has resulted in HOPWA funds being better targeted to housing programs and housing related supportive services, allowing for a greater number of clients to be served.

The New York City Department of Housing Preservation and Development (HPD) used a combination of HOPWA, HOME funding and City capital investment, and other ancillary sources such as Low Income Housing tax credits and Federal Home Loan Bank funds to create new units of HIV/AIDS housing. With a mix of funding, the HPD Supportive Housing Loan Program enabled non profit organizations to begin or continue the development process for an additional 201 new congregate units.

Eligible Metropolitan Statistical Area (EMSA) Grantee Requirements

Westchester County

The County of Westchester provided rental assistance, assistance with home health care, food stamps, homebound meals, relocation assistance, case management, health coordination, advocacy, and referral to legal services to 88 households with a total of 55 family members that benefited from this assistance. In addition, 2 households received short-term housing assistance with an additional 3 family members who benefited from these services.

Westchester County also administered and monitored HOPWA activities within two cities in the County: Mount Vernon and Yonkers.

The City of Mount Vernon provided assistance to 64 households with case management services and 13 households with tenant-based rental subsidy assistance. The tenant-based rental subsidy assistance benefited an additional 5 persons in the same family units. Under the Nutrition Program, which is administered by two (2) local non-profit community-based organizations, 54 households with HIV/AIDS were assisted with nutritional meals and supportive services and an additional 54 family members benefited from this program.

The City of Yonkers, through the Sharing Community, Inc., provided short-term financial assistance to 4 clients for rent arrears and/or utilities payments and tenant-based rental subsidies and case management services for 16 households under the Housing Retention Program.

The City of Yonkers also provided HOPWA funds to Greyston Health Services, Inc. for screening and assessment information services, referral services, and housing placement assistance to 49 new applicants for Issan House, a congregate facility for adults living with HIV/AIDS. This facility has 35 housing units and HOPWA funds were used towards operational costs. Greyston provided case management, housing related counseling, and entitlements/benefits assistance to 19 adults enrolled in the on-site Maitri Day Program, applicants to the Maitri Program, residents of Issan House, and persons on the waiting list for housing at Issan House. Finally, Greyston provided nutritional services to 31 adult residents of Issan House.

Rockland County

Rockland County provided assistance to 31 families (comprising a total of 47 people) through a tenant based rental assistance program. These families also received case management support, and legal assistance as needed in regards to any housing issues.

Putnam County

Putnam County served a total of five (5) households comprised of five persons with HIV/AIDS and seven (7) additional family members during the 2009 year. These five households received tenant-based rental assistance. Over the course of the year one of the persons died.

Over the last several years, Putnam County has continued to see a decline in the number of applicants for HOPWA housing assistance. This may be the result of the high rental rates in Putnam County coupled with the limited public transportation. Transportation has and continues to be an important issue for people who are trying to relocate without owning their own vehicle. Lack of readily available public transportation puts additional stressors on this population in all aspects of their lives, from receiving treatment to providing for basic needs.

All of our requests for assistance have originated through AIDS Related Community Services. Over the past year they have attempted to make referrals for people from the surrounding counties without finding adequate housing in Putnam County for their clients. This remains the biggest problem facing those individuals with HIV/AIDS in Putnam County.

PART IV -- Citizen Participation

A. Geographic Distribution

The City's basic policies regarding the targeting of housing assistance to specific geographic areas have not changed significantly since the publication of its initial five year Consolidated Plan. As noted in the 2002 Consolidated Plan, the City itself has not excluded any particular area or neighborhood from receiving housing assistance. However, while most programs are offered on a City-wide basis, some areas are, by regulation, prohibited from receiving certain forms of Federal funding. Other programs, such as the Section 8 certificate and voucher programs, must, by law, be made available on a City-wide basis. Services to the homeless are offered throughout all five boroughs and clients come to shelters from all areas of the City.

Notwithstanding the City's goal of making its assistance available to a broad spectrum of households in a wide variety of neighborhoods, the majority of housing funds continue to be concentrated in those neighborhoods which have the greatest need and the lowest incomes.

The attached revised Community Development Block Grant Eligibility maps show those 2000 census tracts in which Community Development (CD) funds may be used for an activity, the benefits of which are available to all the residents in a particular area, where at least 51% of the residents are low- and moderate-income persons ("CD area benefit" or "CD eligible area") according to the 2000 Census. Low- and moderate-income persons are defined as persons living in households with incomes below 80 percent of the median household income (\$47,100 for a 4-person household in 2000) of the Primary Metropolitan Statistical Area (PMSA), which includes the five boroughs, and Putnam County.

The U.S. Department of Housing and Urban Development (HUD) released the revised New York City CD Eligible Census Tracts data based on the 2000 Census at the end of March 2007. The revised data supersedes the CD Eligible Census Tract data for New York City originally released in February 2003. As a result of the data revision, the City of New York has generated updated CD Eligible Census Tract maps to replace the maps previously created in March 2003.

The revisions to the CD Eligible Census Tract data are the result of changes in the metropolitan area definitions used to calculate HUD median family income limits and estimates. The new definitions are based on the current U.S. Office of Management and Budget metropolitan statistical area (MSA) definitions.

HUD encouraged local municipalities which receive Community Development Block Grant funds to begin using the new data to determine area eligibility as early as possible. The City of New York began to use the revised 2000 Census data to determine CD area eligibility commencing with City Fiscal Year 2008 (CFY08) CD-funded activities, which began July 1, 2007.

Many of the areas selected are also areas of minority concentration as identified in the 2003 Consolidated Plan maps. These are also areas where the City encourages revitalization through the City's capital program.

The boroughs with the largest number of areas receiving directed assistance are the Bronx, Brooklyn and Manhattan.

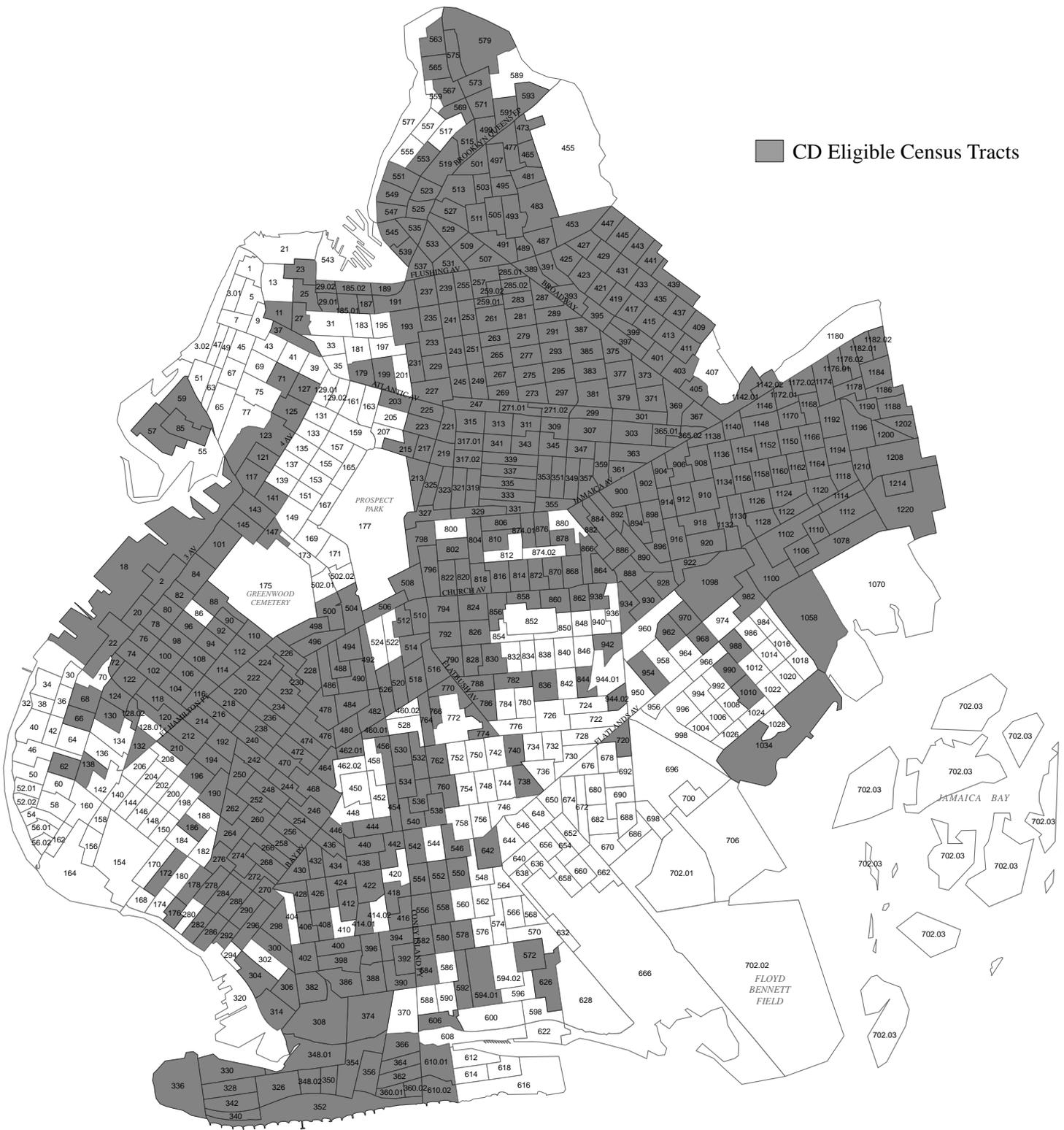
In the Bronx, more than two-thirds of the residential areas are low and moderate income. This includes the south and west Bronx.

The principal areas for directed assistance in Brooklyn are northern Brooklyn, including Bedford Stuyvesant, Williamsburg, Bushwick, Crown Heights and other neighborhoods. In the south, Coney Island is included.

In Manhattan, the areas for directed assistance include most of Manhattan north of 96th Street and parts of the Lower East Side.

The primary areas of directed assistance in Queens are mainly in Jamaica, Rockaway and Astoria. The primary area of directed assistance in Staten Island is on the northern perimeter of the island.

Community Development Eligible Census Tracts* Brooklyn, 2000

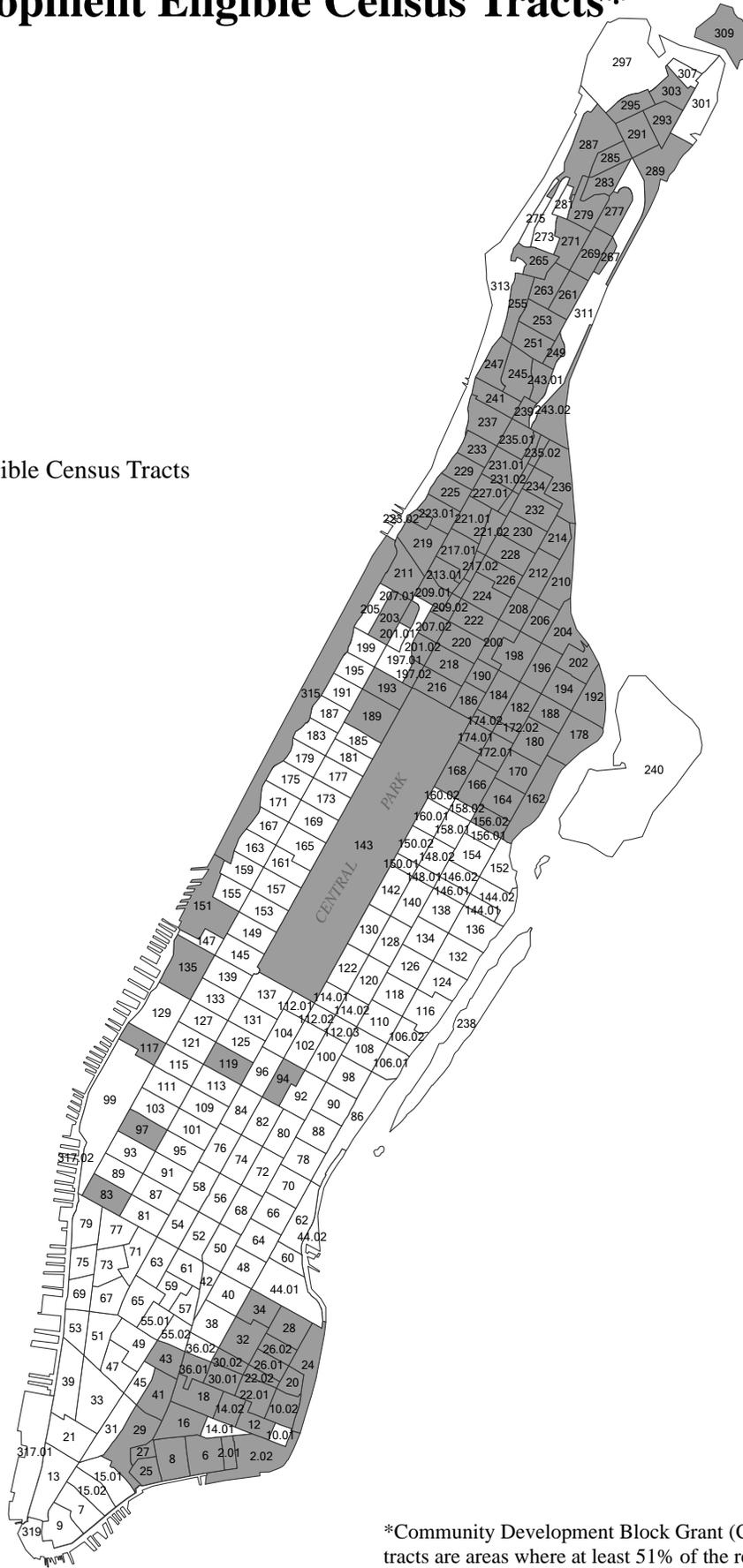


*Community Development Block Grant (CDBG) eligible census tracts are areas where at least 51% of the residents are low- and moderate-income persons (less than 80% of the 2000 Census Median Family Income, or \$47,100 for a family of four).

Source: U.S. HUD Estimates based on 2000 Census SF3
Population Division - New York City Department of City Planning

Community Development Eligible Census Tracts* Manhattan, 2000

■ CD Eligible Census Tracts

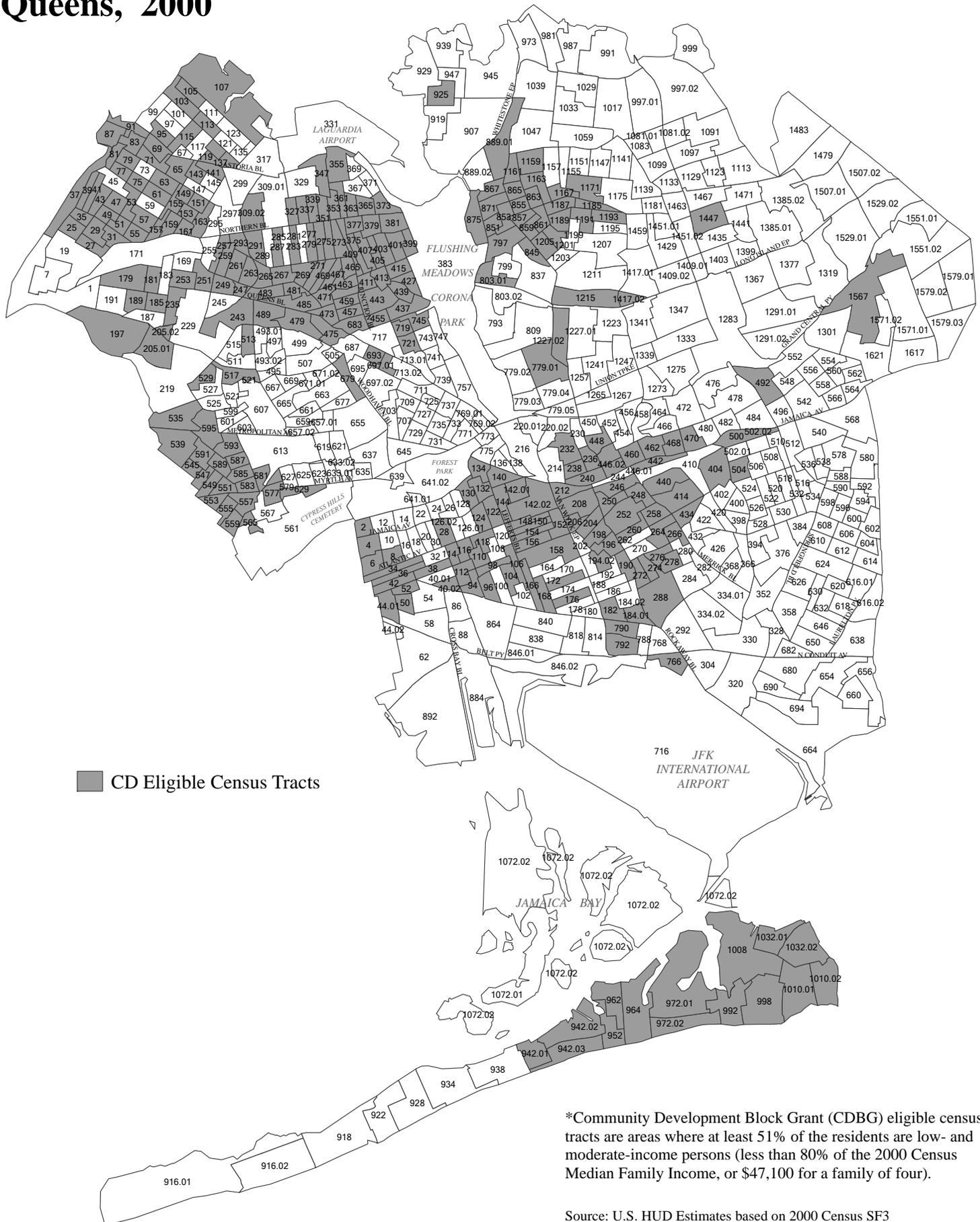


*Community Development Block Grant (CDBG) eligible census tracts are areas where at least 51% of the residents are low- and moderate-income persons (less than 80% of the 2000 Census Median Family Income, or \$47,100 for a family of four).

Source: U.S. HUD Estimates based on 2000 Census SF3 Population Division - New York City Department of City Planning

Community Development Eligible Census Tracts*

Queens, 2000



Community Development Eligible Census Tracts*

Staten Island, 2000



*Community Development Block Grant (CDBG) eligible census tracts are areas where at least 51% of the residents are low- and moderate-income persons (less than 80% of the 2000 Census Median Family Income, or \$47,100 for a family of four).

Source: U.S. HUD Estimates based on 2000 Census SF3 Population Division - New York City Department of City Planning

B. Grantee Certifications

1. Citizen Participation

Outreach

Please refer to the 2010 Consolidated Plan Volume 4, Part IV, Section A., Citizen Participation Plan. This section of the Plan is the most recent description of the citizen participation outreach activities conducted by the Consolidated Plan Committee member agencies relating to their own agency's area of expertise.

APR Public Comment Period

The public comment period was from March 12, 2010 to March 26, 2010. Citizens were notified of the comment period in several ways. A letter announcing the public comment period was sent to approximately 2,000 New York City residents, organizations and public officials. Second, a notice of the public comment period was published in three newspapers with city-wide circulation, an English-language, a Spanish-language and a Chinese-language. The notices included news about the availability of information and data contained in the Consolidated Plan APR to better facilitate informed comments.

In addition, copies of the *Proposed 2009 Consolidated Plan APR* were mailed to each Chairperson and District Manager of the City's fifty-nine (59) Community Boards for examination.

Copies of the *Proposed 2009 Consolidated Plan APR* could be obtained in person at the City Planning Bookstore, 22 Reade Street, New York, New York 10007, Monday 12 pm to 4:00 pm, Tuesday through Friday 10:00 am to 1:00 pm, or the following City Planning offices:

Bronx Office

1 Fordham Plaza, 5th Fl.
Bronx, New York 10458
Contact: Kim Canty (718) 220-8500

Queens Office

120-55 Queens Blvd.
Queens, New York 11424
Contact: Brunilda Rivera (718) 286-3169

Brooklyn Office

16 Court Street, 7th Fl.
Brooklyn, New York 11241
Contact: Gleno Holder (718) 780-8280

Staten Island Office

130 Stuyvesant Place, 6th Fl.
Staten Island, New York 10301-2511
Contact: Patti Thode-Nolan (718) 556-7240

In addition, copies of the Proposed APR were available for review at the following public libraries:

Bronx

Bronx Reference Center
2556 Bainbridge Avenue
Bronx, N.Y. 10458
(718) 579-4257

Brooklyn

Central Library
Grand Army Plaza
Brooklyn, N.Y. 11238
(718) 230-2100

Manhattan

Science, Industry and Business Library
188 Madison Avenue at 34th Street
New York, N.Y. 10016
(212) 592-7000

Mid-Manhattan Library
455 Fifth Avenue (at 40th Street)
New York, N.Y. 10016
(212) 340-0863

NYC Municipal Reference & Research Center (*"the City Hall Library"*)
31 Chambers Street, Suite 110
New York, N.Y. 10007
(212) 788-8590

Queens
Central Library
89-11 Merrick Boulevard
Jamaica, N.Y. 11432
(718) 990-0778/0779/0781

Staten Island
St. George Library Center
5 Central Avenue
Staten Island, N.Y. 10301
(718) 442-8560

Lastly, an Adobe PDF version of the Proposed Annual Performance Report was made available for free downloading from the internet via the Department of City Planning's website at: www.nyc.gov/planning.

This document was submitted to HUD on March 31, 2010. Any questions may be directed to Charles V. Sorrentino, New York City Consolidated Plan Coordinator, at (212) 720-3337. Written comments on the *Proposed 209 Consolidated Plan APR* were to be sent to Mr. Sorrentino by close of business March 26, 2010 at the Department of City Planning, 22 Reade Street 4N, New York, New York 10007.

Comments Received During the Public Comment Period on the Proposed 2009 Consolidated Plan Annual Performance Report, March 12, 2010 through March 26, 2010

No comments on the Proposed 2009 Consolidated Plan Annual Performance Report were received during the Public Comment Period.

2. Certificates of Consistency

In 2009, the City provided certificates of consistency to public, private and not-for-profit entities requesting certificates in a fair and impartial manner.

To facilitate public awareness and understanding of the request process, the City incorporated an informational chart into the 2009 Consolidated Plan, Volume 2, Part II. Other Actions, Section J. Certificate of Consistency.

The chart contained: 1) the types of certificates of consistency that are required for each program; 2) the applicants that may apply for the programs; and 3) the lead agency. The lead agency is responsible for providing the certificate of consistency letter to an applicant. Agency contact persons with their respective telephone numbers were identified on the chart.

In 2009, the City of New York worked with HUD to improve its certification process by: 1) including the City's informational chart, and an informational sheet indicating the internet website addresses for the Consolidated Plan's Housing and Community Profile, Five-Year Strategic Plan, and Five-Year Affirmatively Furthering Fair Housing Statement in Notice of Funding Availability (NOFA) packages for competitive funds; and 2) informing the appropriate City agency of the NOFA conferences for all HUD competitive programs. This allowed the agencies to provide technical guidance to prospective applicants at the outset of the respective funding application periods.

HUD continued to make removal of regulatory barriers a component of its overall national housing policy. In response to this policy item, the City of New York provided to public, private and not-for-profit entities submitting applications for competitive grant funds under the 2009 HUD SuperNOFA process a unified response to the Department's "Questionnaire for HUD's Initiative on Removal of Regulatory Barriers". The Questionnaire was incorporated into the point scoring system for several HUD competitive grant programs under the grant application's section: Removal of Regulatory Barriers to Affordable Housing. Higher points were available to (1) governmental applicants that are able to demonstrate successful efforts in removing regulatory barriers to affordable housing and (2) nongovernmental applicants that are associated with jurisdictions that have undertaken successful efforts in removing barriers.

The City's unified response to the Questionnaire's Part A., Local Jurisdictions, Counties Exercising Land Use and Building Regulatory Authority and Other Applicants Applying for Projects Located in such Jurisdiction or Counties, was formulated by the Department of City Planning, Department of Housing Preservation and

Development and the Department of Buildings, each providing information on their respective department's area of expertise in an effort to provide one-stop, consistent responses with supporting references and/or data to prospective applicants. The completed Questionnaire with supporting documentation enabled public, private and not-for-profit entities to be awarded the higher point score.

The unified response was made available to prospective applicants upon request or after they were informed of its availability when the applicant requested a Certification of Consistency with the Consolidated Plan from the certifying City agency.

Lastly, the federal government has required public, private and not-for-profit entities applying for competitive grant funds under the HUD SuperNOFA to apply for the funds electronically using the federal government's "E-grant" system. In 2009, the City of New York assisted public, private and not-for-profit entities in the electronic submission of their application for competitive grant funds by providing those with grant applications that were consistent with the City's Consolidated Plan both the signed Certifications and the unified response Questionnaire in Adobe .PDF format. The PDF versions are the electronic versions the hard copy materials and are acceptable formats for application attachments in the E-grant system.

3. Non-hindrane of the Consolidated Plan Implementation

The Consolidated Plan Committee is comprised of over 12 member agencies. Each Committee member agency complied with the federal regulations pertaining to implementation of the Consolidated Plan. Each agency contact person is responsible for attending the coordinating committee meetings. At these meetings, the HUD regulations and the guidelines are discussed along with other business. The agency representative is required to collect the appropriate information from her or his agency. This information is submitted to the Department of City Planning (DCP). DCP prepares the information for final publication and public review.

CREDITS

DEPARTMENT OF CITY PLANNING

Robert C. Lieber, Deputy Mayor for Economic Development

Amanda M. Burden, FAICP, Director

Richard Barth, Executive Director

Sandy Hornick, Deputy Executive Director, Strategic Planning

Eric Kober, Director, Housing, Economic and Infrastructure Planning

Barry Dinerstein, Deputy Director, Housing, Economic and Infrastructure Planning

Charles V. Sorrentino, New York City Consolidated Plan Coordinator - 212-720-3337

Word Processing:

Karisse Enniss

Graphics:

E. Michael Pilgrim, Director

Computer Maps:

Drew Minert

Alathia Ashman

Administrative Services:

Antonio Mendez, Director

Raymond Figueroa,

Deputy Director

Gerald Anderson

Kirk Talton

Alfredo Vias

Mailings:

Raymond Figueroa

Gerald Anderson

Alfredo Vias

Agencies that report directly to the Mayor

NEW YORK CITY OFFICE OF MANAGEMENT AND BUDGET

Mark Page, Budget Director

Paul Cataldo, Director of Community Development

John Leonard, Deputy Assistant Director, Community Development (212-788-6177)

Ellen Levine, Assistant Director, Social Services Task Force

Mellanie Choi, Assistant Director, Health Task Force

Julie E. Marino, Supervising Analyst, Community Development Program Unit

Arturo Rodriguez

NEW YORK POLICE DEPARTMENT

Raymond W. Kelly, Commissioner

Joseph J. Esposito, Chief of Department

Agencies that report to Robert C. Lieber, Deputy Mayor for Economic Development

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

Rafael Cestero, Commissioner

Diane Lipset, Deputy Commissioner, Division of Budget, Fiscal Affairs and

Engineering Audit

Alexandra M. Sewell, Chief of Staff / Associate Commissioner for Communications and Federal

Affairs

Clint Medley, HOME Administrator

Ted Gallagher, Consolidated Plan Agency Representative (212-863-8061)
Kimberly Hardy, Deputy Commissioner, Office of Community Partnerships
Reginald Evans, Director of Operations

MAYOR'S OFFICE OF THE EMPOWERMENT ZONE

Angela Sung

Agencies that report to Edward Skyler, Deputy Mayor for Operations

DEPARTMENT OF BUILDINGS

Robert D. LiMandri, Commissioner
Richard Barnard, Director of Program and Management Analysis

DEPARTMENT OF FINANCE

David M. Frankel, Commissioner
Fran Josephs, Director of Property Research

DEPARTMENT OF ENVIRONMENTAL PROTECTION

Caswell Holloway, IV, Commissioner
Warren Liebold, Director, Technical Services\Conservation, Bureau of Customer Service

Agencies that report to Linda I. Gibbs, Deputy Mayor for Health and Human Services

DEPARTMENT OF HOMELESS SERVICES

Robert Hess, Commissioner
Merih Anil
Bernice McCallum
Lula Urquhart

DEPARTMENT OF HEALTH and MENTAL HYGIENE

Thomas Farley, M.D., M.P.H., Commissioner
John Rojas, Director of Housing, Bureau of HIV/AIDS Prevention and Control (212-788-3692)
Robert Shiau, HOPWA Administrator, Bureau of HIV/AIDS Prevention and Control
(212-788-4371)
Laura Grund, Director of Housing Services, Division of Mental Hygiene (212-226-3266)
Jeffrey Seward, LCSW, Program Specialist

HUMAN RESOURCES ADMINISTRATION

Robert Doar, Commissioner/Administrator
Robert Taliaferro, Director of Legislative Relations
Tracey Thorne, Director of Program and Policy Analysis, Office of Domestic Violence and
Emergency Intervention Services
Jenny Roper, Office of Program and Policy Analysis
Edmund Dejowski, Consolidated Plan Agency Representative (212-331-6016)

DEPARTMENT FOR THE AGING

Lilliam Barrios-Paoli, Commissioner

Karen Shaffer, Assistant Commissioner, Bureau of Long-Term Care and Active Aging

Alan Hom, Deputy Director, Bureau of Long-Term Care and Active Aging

ADMINISTRATION FOR CHILDREN'S SERVICES

John B. Mattingly, Commissioner

Gabriel Brodbar, Director, Housing Policy and Development

Elysia Carnevale, Director of Intergovernmental Affairs

Miguel A. Quiñones, Jr. Director of Housing Support Services (212) 676-6831

HEALTH AND HOSPITALS CORPORATION

Alan D. Aviles, President

Shantih E. Clemans, Office of Clinical Affairs

Agencies that report to Dennis M. Walcott, Deputy Mayor for Education and Community Development

NEW YORK CITY HOUSING AUTHORITY

John B. Rhea, Chair

Michael Kelly, General Manager

Celeste Morgan Glenn, Managing Director for Research, Policy and Planning Support

Anne-Marie Flatley, Director, Research and Management Analysis (212-306-8202)

Fredrika Wilson, Director, Equal Opportunity

Celeste T. Segure, Assistant Director, Fair Housing Investigation

Yvette K Andino, Deputy to Managing Director for Research, Policy and Planning Support

Angel Lopez, Associate Staff Analyst

DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT

Jeanne B. Mullgrav, Commissioner

Michael Ognibene, Chief of Staff

Lisa Gulick, Assistant Commissioner for Planning, Research and Program Development
(212-676-8100)

Agencies that report to Carol A. Robles-Roman, Deputy Mayor for Legal Affairs/Mayor's Counsel

COMMISSION ON HUMAN RIGHTS

Patricia L. Gatling, Commissioner/Chair

Alexander Korkhov, Executive Director, Community Relations Bureau

Peggy Crull, Director of Research (212-306-7646)

MAYOR'S OFFICE FOR PEOPLE WITH DISABILITIES

Matthew P. Sapolin, Commissioner

Robert Piccolo, Deputy Commissioner/Administrative Architect

Jason R. Mischel, General Counsel (212-788-2830)

MAYOR'S OFFICE TO COMBAT DOMESTIC VIOLENCE

Yolanda B. Jimenez, Commissioner

Monique Vinet Imbert, Deputy Commissioner

Tracy Weber, MPH, Assistant Commissioner for Administration (212-341-9098)

Edward Hill

MAYOR'S OFFICE OF IMMIGRANT AFFAIRS

Fatima A. Shama, Commissioner

Matilde Roman, General Counsel (212-788-6779)

Appendix 1: HOPWA Project Sponsor Information

1. Grantee Information

HUD Grant Number NY-H-09-F002	Operating Year for this report January 1, 2009 – December 31, 2009
Grantee Name New York City Department of Health and Mental Hygiene	Parent Company City of New York
Type of Grantee	Formula
Business Address	40 Worth Street, Room 1502
City, State, Zip, County	New York, NY 10013, New York
Employer Identification Number (EIN)	13-6400434
DUN & Bradstreet Number (DUNs) 08-348-9737	Central Contractor Registration Active? Yes
DUN & Bradstreet Number (DUNs)	08-348-9737
Congressional District of Business Address	8
Congressional District of Primary Service Area(s)	6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19
Zip Code of Primary Service Area(s)	N/A
City(ies) and County(ies) of Primary Service Area(s)	City of New York Counties of Bronx, Brooklyn, New York, Queens, Richmond, Putnam, Rockland, Westchester
Organization's Website Address www.nyc.gov	Does your organization maintain a waiting list? No
Have you prepared any evaluation reports? No	Is the sponsor a nonprofit organization? No

2. Project Sponsor Information¹

Project Sponsor Agency Name 163rd Street Improvement Council		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Charlie Jones, Executive Director	
Email Address	rjones@163rdstreetint.org	
Business Address	490 East 167th Street	
City, County, State, Zip	Bronx, Bronx, NY 10456	
Phone Number 718-620-6007	Fax Number 718-620-6006	
Employer Identification Number (EIN):	13-2564957	
DUN & Bradstreet Number: 95-860-8171	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16	
Congressional District(s) of Primary Service Area(s)	7, 16	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx

¹ For organizations in New York City, the Total HOPWA Subcontract Amount listed may include multiple contracts with more than one city agency. For example, a single organization may have contracts with the Department of Health and Mental Hygiene, the Department of Housing Preservation and Development, and/or the Department of Human Resources Administration. Additional information about each project sponsor can be obtained by contacting the New York City Department of Health and Mental Hygiene – Bureau of HIV/AIDS Prevention & Control.

Total HOPWA Contract Amount for this Organization	\$783,051	
Organization's Website Address	N/A	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Actors Fund		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Joseph Benincasa, Director of Housing Program Services		
Email Address	jbenincasa@actorsfund.org		
Business Address	729 7th Avenue		
City, County, State, Zip	New York, New York, NY 10019		
Phone Number 212-489-2020	Fax Number 212-489-1116		
Employer Identification Number (EIN):	13-1635251		
DUN & Bradstreet Number: 07-324-9484	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	12, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$511,692		
Organization's Website Address	www.actorsfund.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Addicts Rehabilitation Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Reginald Williams, CEO		
Email Address	rwilliams@arcfoundationinc.org		
Business Address	201 West 122nd Street		
City, County, State, Zip	New York, New York, NY 10027		
Phone Number 212-427-6960	Fax Number 212-427-1095		
Employer Identification Number (EIN):	13-3729152		
DUN & Bradstreet Number:	Central Contractor Registration Active?		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	12, 14, 15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	

Total HOPWA Contract Amount for this Organization	\$550,527
Organization's Website Address	N/A
Is the sponsor a nonprofit organization?	Yes
Faith-based?	No
Grassroots?	No
Does the organization maintain a waiting list?	No

Project Sponsor Agency Name African Services Committee		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Mulusew Bekele, Director of Health Programs	
Email Address	mulusewb@africanservices.org	
Business Address	429 W 127th. Street	
City, County, State, Zip	New York, New York, NY 10027	
Phone Number 212-222-3882	Fax Number 212-222-7067	
Employer Identification Number (EIN):	13-3749744	
DUN & Bradstreet Number: 79-608-7047	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15	
Congressional District(s) of Primary Service Area(s)	15	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization	\$387,950	
Organization's Website Address	www.africanservices.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name AIDS Center of Queens County		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Kevin Blank, Director of Housing	
Email Address	kblank@acqc.org	
Business Address	42-57 Hunter Street	
City, County, State, Zip	Long Island City, Queens, NY 11101	
Phone Number 718-472-9400	Fax Number 718-472-5486	
Employer Identification Number (EIN):	11-2837894	
DUN & Bradstreet Number: 17-726-7978	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	9	
Congressional District(s) of Primary Service Area(s)	6, 9, 14	
Zip Code(s) of Primary Service Area(s)	N/A	

City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Queens
Total HOPWA Contract Amount for this Organization	\$2,949,500	
Organization's Website Address	www.acqc.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name AIDS Service Center		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Brenda Starks-Ross, Deputy Executive Director/COO	
Email Address	brenda@ascnyc.org	
Business Address	41 East 11th Street	
City, County, State, Zip	New York, New York, NY 10003	
Phone Number 212-645-0875	Fax Number 212-645-8712	
Employer Identification Number (EIN):	13-3562071	
DUN & Bradstreet Number: 62-538-5596	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8	
Congressional District(s) of Primary Service Area(s)	8, 11, 16	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings
Total HOPWA Contract Amount for this Organization	\$425,000	
Organization's Website Address	www.ascnyc.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Ali Forney Center		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Daniel Babigian, Director of Emergency Housing	
Email Address	dbabigian@aliforneycenter.org	
Business Address	224 West 35th Street	
City, County, State, Zip	New York, New York, NY 10001	
Phone Number 212-222-3427	Fax Number 212-222-5861	
Employer Identification Number (EIN):	30-0104507	
DUN & Bradstreet Number: 14-501-8110	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8	
Congressional District(s) of Primary Service Area(s)	8, 10, 11	

Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, Kings, New York, Queens, Richmond
Total HOPWA Contract Amount for this Organization	\$1,032,000	
Organization's Website Address	www.aliforneycenter.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Bailey House		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Regina Quattrochi, CEO	
Email Address	rquattrochi@baileyhouse.org	
Business Address	275 7th Avenue	
City, County, State, Zip	New York, New York, NY 10001	
Phone Number 212-633-2500	Fax Number 212-633-2932	
Employer Identification Number (EIN):	13-3165181	
DUN & Bradstreet Number: 12-250-6736	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8	
Congressional District(s) of Primary Service Area(s)	8, 12, 14	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	New York
Total HOPWA Contract Amount for this Organization	\$985,762	
Organization's Website Address	www.baileyhouse.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Bowery Residents Committee		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Muzzy Rosenblatt, Executive Director	
Email Address	muzzyr@brc.org	
Business Address	138-41 Avenue D	
City, County, State, Zip	New York, New York, NY 10009	
Phone Number 646-602-0706	Fax Number 646-602-1844	
Employer Identification Number (EIN):	13-2736660	
DUN & Bradstreet Number: 08-041-3338	Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor	12	

Congressional District(s) of Primary Service Area(s)	8, 12, 14	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	New York
Total HOPWA Contract Amount for this Organization	\$382,778	
Organization's Website Address	www.brc.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Bronx AIDS Services		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Richard Kahn, Legal Director	
Email Address	rkahn@basnyc.org	
Business Address	540 East Fordham Road	
City, County, State, Zip	Bronx, Bronx, NY 10458	
Phone Number 718-295-5598	Fax Number 718-329-0735	
Employer Identification Number (EIN):	13-3599121	
DUN & Bradstreet Number: 93-280-7902	Central Contractor Registration Active?	Yes
Congressional District of Business Location of Sponsor	16	
Congressional District(s) of Primary Service Area(s)	16, 17, 7	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx
Total HOPWA Contract Amount for this Organization	\$30,000	
Organization's Website Address	www.basnyc.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Brooklyn AIDS Taskforce		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Eileen Sunshine, Director of Housing	
Email Address	esunshine@batf.org	
Business Address	145 Utica Ave.	
City, County, State, Zip	Brooklyn, Kings, NY 11238	
Phone Number 917-204-9655	Fax Number 717-623-1158	
Employer Identification Number (EIN):	11-3031208	
DUN & Bradstreet Number: 01-500-8589	Central Contractor Registration Active?	Yes

Congressional District of Business Location of Sponsor	11	
Congressional District(s) of Primary Service Area(s)	11	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Brooklyn
Total HOPWA Contract Amount for this Organization	\$350,000	
Organization's Website Address	www.batf.net	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name CAMBA		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Bradley Heller, Program Director	
Email Address	bradleyh@camba.org	
Business Address	19 Winthrop Street	
City, County, State, Zip	Brooklyn, Kings, NY 11225	
Phone Number 718-462-8654	Fax Number 718-703-7210	
Employer Identification Number (EIN):	11-2480339	
DUN & Bradstreet Number: 16-071-5983	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11	
Congressional District(s) of Primary Service Area(s)	11	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Kings
Total HOPWA Contract Amount for this Organization	\$2,795,650	
Organization's Website Address	www.camba.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Catholic Charities Neighborhood Services		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Richard Slizeski, Clinical Manager	
Email Address	rslizeski@ccbq.org	
Business Address	267 Hewes Street	
City, County, State, Zip	Brooklyn, Kings, NY 11211	
Phone Number 718-218-7890	Fax Number 718-218-8264	
Employer Identification Number (EIN):	11-2047151	

DUN & Bradstreet Number: 05-692-6215		Central Contractor Registration Active? Yes
Congressional District of Business Location of Sponsor	12	
Congressional District(s) of Primary Service Area(s)	6 - 17	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization	\$1,344,159	
Organization's Website Address	www.ccbq.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	Yes	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Center for Urban Community Services		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Anthony Hannigan, Executive Director	
Email Address	tonyh@cucs.org	
Business Address	198 East 121st Street	
City, County, State, Zip	New York, New York, NY 10035	
Phone Number 212-801-3300	Fax Number 212-801-3325	
Employer Identification Number (EIN):	13-3687891	
DUN & Bradstreet Number: 83-304-2349	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15	
Congressional District(s) of Primary Service Area(s)	8, 12, 15	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	New York
Total HOPWA Contract Amount for this Organization	\$1,344,402	
Organization's Website Address	www.cucs.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name CitiWide Harm Reduction		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Edwin Santiago, Director of Outreach	
Email Address	esantiago@citiwidehr.org	
Business Address	226 East 144th. Street	
City, County, State, Zip	Bronx, Bronx, NY 10451	
Phone Number 718-292-7718	Fax Number 718-292-0500	

Employer Identification Number (EIN):		13-4009817	
DUN & Bradstreet Number: 04-731-0474		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$425,000		
Organization's Website Address	www.citiwidehr.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name City of Mount Vernon		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Danielle Scholar, Director		
Email Address	dscholar@cmvny.org		
Business Address	One Roosevelt Square - 3rd floor		
City, County, State, Zip	Mount Vernon, Westchester, NY 10550		
Phone Number 914-699-7230	Fax Number 914-665-0823		
Employer Identification Number (EIN):		13-2574843	
DUN & Bradstreet Number: 98-220-0981		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	17		
Congressional District(s) of Primary Service Area(s)	17		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	Mount Vernon	Westchester	
Total HOPWA Contract Amount for this Organization	\$385,000		
Organization's Website Address	www.cmvny.com		
Is the sponsor a nonprofit organization?	No		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Coalition for the Homeless		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Mary Brosnan, Executive Director		
Email Address	mbrosnan@cfthomeless.org		
Business Address	129 Fulton Street		
City, County, State, Zip	New York, New York, NY 10038		

Phone Number 212-776-2163		Fax Number 212-964-0952	
Employer Identification Number (EIN): 17-797-2494		13-3072967	
DUN & Bradstreet Number: 17-797-2494		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$457,010		
Organization's Website Address	www.coalitionforthehomeless.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Comunilife		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Rosa Gil, President		
Email Address	rgil@comunilife.org		
Business Address	2064 Boston Road		
City, County, State, Zip	Bronx, Bronx, NY 10460		
Phone Number 718-617-6400		Fax Number 718-620-4427	
Employer Identification Number (EIN): 78-112-3005		13-3530299	
DUN & Bradstreet Number: 78-112-3005		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	5, 7, 8, 11, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, Kings, Queens	
Total HOPWA Contract Amount for this Organization	\$4,146,182		
Organization's Website Address	www.comunilife.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name DOMI-Turning Point		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Raymond Figueroa, Executive Director		
Email Address	rfigueroa@tpdomi.org		
Business Address	5220 4th Avenue		

City, County, State, Zip	Brooklyn, Brooklyn, NY 11220	
Phone Number 718-439-0077	Fax Number 718-439-3963	
Employer Identification Number (EIN):	13-3072967	
DUN & Bradstreet Number: 78-139-0554	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	12	
Congressional District(s) of Primary Service Area(s)	8, 9, 10, 12	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings
Total HOPWA Contract Amount for this Organization	\$464,162	
Organization's Website Address	www.tpdomi.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name FACES, NY		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Violet Tabor, Executive Director	
Email Address	violettabor@aol.com	
Business Address	123 West 115th Street	
City, County, State, Zip	New York, New York, NY 10026	
Phone Number 212-283-9180	Fax Number 212-283-9195	
Employer Identification Number (EIN):	13-3449087	
DUN & Bradstreet Number: 63-221-8856	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15	
Congressional District(s) of Primary Service Area(s)	12, 14, 15	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	New York
Total HOPWA Contract Amount for this Organization	\$1,086,797	
Organization's Website Address	www.facesny.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Federation, Employment, and Guidance Services		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Dan Connor, Associate Vice President	
Email Address	dconnor@fegs.org	

Business Address	315 Hudson Street	
City, County, State, Zip	New York, New York, NY 10013	
Phone Number 212-366-0037	Fax Number 212-366-0095	
Employer Identification Number (EIN):	13-1624000	
DUN & Bradstreet Number: 04-007-4502	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8	
Congressional District(s) of Primary Service Area(s)	5,6,7,9,11,12,14,15	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Kings, Queens
Total HOPWA Contract Amount for this Organization	\$447,240	
Organization's Website Address	www.fegs.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Flemister		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Timothy Mitchell, Executive Director	
Email Address	timflemister@aol.com	
Business Address	527 West 22nd Street	
City, County, State, Zip	New York, New York, NY 10011	
Phone Number 212-604-0124	Fax Number 212-604-0177	
Employer Identification Number (EIN):	13-3796429	
DUN & Bradstreet Number: 93-187-0964	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8	
Congressional District(s) of Primary Service Area(s)	8, 12, 14	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	New York
Total HOPWA Contract Amount for this Organization	\$466,798	
Organization's Website Address	N/A	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Fortune Society		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Stanley Richards, Senior Vice President	

Email Address	srichards@fortunesociety.org	
Business Address	29-76 Northern Boulevard	
City, County, State, Zip	Long Island City, Queens, NY 11101	
Phone Number 212-691-7554	Fax Number 212-633-6845	
Employer Identification Number (EIN):	13-2645436	
DUN & Bradstreet Number: 07-329-9901	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8	
Congressional District(s) of Primary Service Area(s)	8, 15	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization	\$475,697	
Organization's Website Address	www.fortunesociety.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Friends House		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Annie Soriano, Executive Director	
Email Address	asoriano@friendshousenyc.org	
Business Address	130 East 25th Street	
City, County, State, Zip	New York, New York, NY 10010	
Phone Number 212-995-5000	Fax Number 212-995-5317	
Employer Identification Number (EIN):	13-374465	
DUN & Bradstreet Number: 61-185-2760	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14	
Congressional District(s) of Primary Service Area(s)	14	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	New York
Total HOPWA Contract Amount for this Organization	\$236,911	
Organization's Website Address	www.friendshousenyc.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Gay Men's Health Crisis	Parent Company Name, if applicable
---	---

Name and Title of Contact at Project Sponsor Agency		Gregg Weinberg, Director of Health Informatics	
Email Address		greggw@gmhc.org	
Business Address		119 West 2th Street	
City, County, State, Zip		New York, New York, NY 10011	
Phone Number 212-367-1525		Fax Number 212-367-1289	
Employer Identification Number (EIN):		13-3130146	
DUN & Bradstreet Number: 11-298-5254		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$3,784,476		
Organization's Website Address	www.gmhc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Grace Church Community Center, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Barbara Bento-Fleming, Program Director, Housing Services	
Email Address		bbento-fleming@gracecommunitycenter.org	
Business Address		35 Orchard Street	
City, County, State, Zip		White Plains, Westchester, NY 10603	
Phone Number 914-949-0925		Fax Number 914-686-3077	
Employer Identification Number (EIN):		13-3121606	
DUN & Bradstreet Number: 62-537-5811		Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor	17		
Congressional District(s) of Primary Service Area(s)	17,18, 19, 20		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	White Plains	Westchester	
Total HOPWA Contract Amount for this Organization	\$1,430,983		
Organization's Website Address	www.gracecommunitycenter.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	Yes		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Greyston Health Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Matthew Shelly, Senior Vice President of Health and Human Services	
Email Address		matthews@greyston.org	
Business Address		21 Park Avenue	
City, County, State, Zip		Yonkers, Westchester, NY 10703	
Phone Number 914-376-3900		Fax Number 914-376-1333	
Employer Identification Number (EIN):		13-3668065	
DUN & Bradstreet Number: 36-150-9743		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	18		
Congressional District(s) of Primary Service Area(s)	18		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	Yonkers	Westchester	
Total HOPWA Contract Amount for this Organization	\$178,500		
Organization's Website Address	www.greyston.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Harlem Congregations for Community Improvement		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Lucille McEwen, Executive Director	
Email Address		lmcewen@hhci.org	
Business Address		2844 Fred Douglass Blvd	
City, County, State, Zip		New York, New York, NY 10039	
Phone Number 212-283-5266		Fax Number 212-283-7243	
Employer Identification Number (EIN):		13-3516262	
DUN & Bradstreet Number: 80-453-5433		Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	12, 14, 15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$421,924		
Organization's Website Address	www.hcci.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	Yes		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Harlem United Community AIDS Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Kelsey Louie, Vice President of Supportive Housing	
Email Address		klouie@harlemunited.org	
Business Address		306 Lenox Avenue, 3rd. Floor	
City, County, State, Zip		New York, New York, NY 10027	
Phone Number 212-803-2857		Fax Number 212-803-2899	
Employer Identification Number (EIN):		13-3461695	
DUN & Bradstreet Number: 61-709-6862		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Bronx	
Total HOPWA Contract Amount for this Organization	\$3,680,236		
Organization's Website Address	www.harlemunited.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Heartshare		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Betty Picott, Senior Director	
Email Address		betty-picott@heartshare.org	
Business Address		1069 Liberty Ave	
City, County, State, Zip		Brooklyn, Kings, NY 11208	
Phone Number 718-647-0118		Fax Number 718-647-9763	
Employer Identification Number (EIN):		11-1633549	
DUN & Bradstreet Number: 80-186-2772		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10, 11, 13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Brooklyn	
Total HOPWA Contract Amount for this Organization	\$202,018		
Organization's Website Address	www.heartshare.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Heritage Health and Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Jorge Abreu, Executive Director	
Email Address		jabreu@heritagehousing.org	
Business Address		416 West 127th Street	
City, County, State, Zip		New York, New York, NY 10027	
Phone Number 212-866-2600		Fax Number 212-864-5549	
Employer Identification Number (EIN):		13-2661509	
DUN & Bradstreet Number: 82-537-3855		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		15	
Congressional District(s) of Primary Service Area(s)		8, 12, 15	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	New York
Total HOPWA Contract Amount for this Organization		\$350,400	
Organization's Website Address		www.heritagehousing.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name HIV Law Project		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Tracy Welsh, Executive Director	
Email Address		twelsh@hivlawproject.org	
Business Address		15 Maiden Lane, 18th. Floor	
City, County, State, Zip		New York, New York, NY 10038	
Phone Number 212-577-3001		Fax Number 212-577-3192	
Employer Identification Number (EIN):		13-3730564	
DUN & Bradstreet Number: 83-768-4430		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		8	
Congressional District(s) of Primary Service Area(s)		8	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	New York, Bronx
Total HOPWA Contract Amount for this Organization		\$60,012	
Organization's Website Address		www.hivlawproject.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	

Does the organization maintain a waiting list?	No
--	----

Project Sponsor Agency Name HOGAR Housing Development Fund Corporation		Parent Company Name, <i>if applicable</i> HOGAR, Inc.	
Name and Title of Contact at Project Sponsor Agency		Norris Colon, Executive Director	
Email Address		nmcolon@horgar-inc.org	
Business Address		2191 Washington Avenue	
City, County, State, Zip		Bronx, Bronx, NY 10457	
Phone Number 718-742-7646		Fax Number 718-742-7649	
Employer Identification Number (EIN):		75-3226585	
DUN & Bradstreet Number: 19-804-7289		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		16	
Congressional District(s) of Primary Service Area(s)		16	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		Bronx	Bronx
Total HOPWA Contract Amount for this Organization		\$3,500,000	
Organization's Website Address		www.hogar-inc.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Housing & Services Inc.		Parent Company Name, <i>if applicable</i>	
Name and Title of Contact at Project Sponsor Agency		James Dill, Executive Director	
Email Address		jdill@hsi-ny.org	
Business Address		461 Park Avenue South	
City, County, State, Zip		New York, New York, NY 10025	
Phone Number 212-316-1108		Fax Number 212-316-9569	
Employer Identification Number (EIN):		13-3685749	
DUN & Bradstreet Number: 01-997-4271		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		15	
Congressional District(s) of Primary Service Area(s)		12, 14, 15	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	New York
Total HOPWA Contract Amount for this Organization		\$646,342	
Organization's Website Address		hsi-ny.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	

Grassroots?	No
Does the organization maintain a waiting list?	No

Project Sponsor Agency Name Housing Works		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Kenneth Robinson, Vice President, Housing Operations	
Email Address		RobinsonK@housingworks.org	
Business Address		320 West 13th Street, 4th Floor	
City, County, State, Zip		New York, New York, NY 11201	
Phone Number 212-645-8111		Fax Number 212-645-8750	
Employer Identification Number (EIN):		13-3584089	
DUN & Bradstreet Number: 85-951-0245		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 9, 10, 11, 12, 13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, New York	
Total HOPWA Contract Amount for this Organization	\$396,199		
Organization's Website Address	www.housingworks.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Institute for Community Living		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Marlyn Reynolds, Program Director for Supported Housing for Women	
Email Address		mreynolds@iclinc.net	
Business Address		2581 Atlantic Avenue	
City, County, State, Zip		Brooklyn, Kings, NY 11207	
Phone Number 718-290-8100		Fax Number 718-290-8114	
Employer Identification Number (EIN):		13-3306195	
DUN & Bradstreet Number: 17-330-6457		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	10		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$706,249		
Organization's Website Address	www.iclinc.net		
Is the sponsor a nonprofit organization?	Yes		

Faith-based?	No
Grassroots?	No
Does the organization maintain a waiting list?	No

Project Sponsor Agency Name Iris House		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Ingrid Floyd, Director of Scatter Site Housing Program	
Email Address		floydi@irishouse.org	
Business Address		2348 Adam Clayton Powell Jr. Boulevard	
City, County, State, Zip		New York, New York, NY 10030	
Phone Number 646-548-0100		Fax Number 646-548-0200	
Employer Identification Number (EIN):		13-3699201	
DUN & Bradstreet Number: 94-616-2104		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	8, 12, 14, 15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$581,471		
Organization's Website Address	www.irishouse.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Lantern Group		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Jessica Katz, Executive Director	
Email Address		jkatz@hudsonpreservation.com	
Business Address		690 8th Avenue	
City, County, State, Zip		New York, New York, NY 10036	
Phone Number 212-398-3073		Fax Number 212-398-3071	
Employer Identification Number (EIN):		13-3960661	
DUN & Bradstreet Number: 01-614-2684		Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 9, 10		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$1,853,654		
Organization's Website Address	www.lanterngroup.org/		

Is the sponsor a nonprofit organization?	Yes
Faith-based?	No
Grassroots?	No
Does the organization maintain a waiting list?	No

Project Sponsor Agency Name Manhattan Legal Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Julie Hall, Director of HIV/AIDS Law Project		
Email Address	jhall@mls.ls-nyc.org		
Business Address	55 West 125th. Street, 10th. Floor		
City, County, State, Zip	New York, New York, NY 10027		
Phone Number 212-348-5982	Fax Number 212-348-4093		
Employer Identification Number (EIN):	13-2613958		
DUN & Bradstreet Number: 78-367-2827	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$58,854		
Organization's Website Address	www.mls.legalservicesnyc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name MTI Residential Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Carol Lashley, Chief Operating Officer		
Email Address	clashley@mtiresidentialservices.org		
Business Address	476 48th Street		
City, County, State, Zip	Brooklyn, Kings, NY 11220		
Phone Number 718-492-1733	Fax Number 718-492-1224		
Employer Identification Number (EIN):	11-3163261		
DUN & Bradstreet Number: 18-596-5964	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	7		
Congressional District(s) of Primary Service Area(s)	5, 7, 15, 16, 17,18		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$265,000		

Organization's Website Address	www.mtiresidentialservices.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name New York Council on Adoptable Children		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Joann Buttarro, Program Director		
Email Address	jbuttarro@coac.org		
Business Address	589 8th. Avenue, 15th. Floor		
City, County, State, Zip	New York, New York, NY 10018		
Phone Number 212-475-0222	Fax Number 212-714-2838		
Employer Identification Number (EIN):		23-7269678	
DUN & Bradstreet Number: 08-258-6322		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Bronx, Kings, Staten Island, Queens	
Total HOPWA Contract Amount for this Organization	\$19,015		
Organization's Website Address	www.coac.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name North General		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Samuel Daniel, President		
Email Address	daniels@northgeneral.org		
Business Address	1879 Madison Avenue		
City, County, State, Zip	New York, New York, NY 10035		
Phone Number 212-423-4000	Fax Number N/A		
Employer Identification Number (EIN):		13-2996345	
DUN & Bradstreet Number: 96-704-9322		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	

Total HOPWA Contract Amount for this Organization	\$179,769	
Organization's Website Address	N/A	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Odyssey Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Peter Provet, Chief Executive Officer		
Email Address	pprovet@odysseyhousing.org		
Business Address	95 Pine Street		
City, County, State, Zip	New York, New York, NY 10005		
Phone Number 212-361-1600	Fax Number 212-361-1666		
Employer Identification Number (EIN):	13-4054564		
DUN & Bradstreet Number: 82-694-8700	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$193,047		
Organization's Website Address	www.odysseyhouseinc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Osborne Association		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Jacqueline Payne, Coordinator, Contract Management and Quality Assurance		
Email Address	jpayne@osborneny.org		
Business Address	809 Westchester Avenue		
City, County, State, Zip	Bronx, Bronx, NY 10455		
Phone Number 718-707-2600	Fax Number 718-707-3102		
Employer Identification Number (EIN):	13-5563028		
DUN & Bradstreet Number: 08-464-1000	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		

City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx
Total HOPWA Contract Amount for this Organization	\$350,000	
Organization's Website Address	www.osborneny.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Palladia		Parent Company Name, <i>if applicable</i>
Name and Title of Contact at Project Sponsor Agency	Lynda Jones-Johnson, Director	
Email Address	lyndajones@palladiainc.org	
Business Address	1616 Grand Avenue	
City, County, State, Zip	New York, New York, NY 10453	
Phone Number 718-294-1700	Fax Number 718-294-7316	
Employer Identification Number (EIN):	23-7089380	
DUN & Bradstreet Number: 07-860-6761	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16	
Congressional District(s) of Primary Service Area(s)	7, 16	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx
Total HOPWA Contract Amount for this Organization	\$219,962	
Organization's Website Address	www.palladiainc.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Pratt Area Council		Parent Company Name, <i>if applicable</i>
Name and Title of Contact at Project Sponsor Agency	Lori Cottrell, Executive Director	
Email Address	lcottrell@pacc.org	
Business Address	201 DeKalb Avenue	
City, County, State, Zip	New York, Brooklyn, NY 11201	
Phone Number 718-522-2613	Fax Number 718-522-2604	
Employer Identification Number (EIN):	11-2451752	
DUN & Bradstreet Number: 15-881-7213	Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor	10	
Congressional District(s) of Primary Service Area(s)	9, 10, 11, 12	

Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings
Total HOPWA Contract Amount for this Organization	\$262,800	
Organization's Website Address	www.pacc.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Project Hospitality		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Gale Alwill, Director of Housing Services	
Email Address	gale_alwill@projecthospitality.org	
Business Address	100 Park Avenue	
City, County, State, Zip	Staten Island, Richmond, NY 10302	
Phone Number 718-448-1544	Fax Number 718-448-0421	
Employer Identification Number (EIN):	13-3234441	
DUN & Bradstreet Number: 60-332-6992	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	13	
Congressional District(s) of Primary Service Area(s)	13	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Richmond
Total HOPWA Contract Amount for this Organization	\$2,073,611	
Organization's Website Address	www.projecthospitality.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Putnam Department of Social Services		Parent Company Name, if applicable Putnam County
Name and Title of Contact at Project Sponsor Agency	Andrew Pattie, Director of Social Services	
Email Address	Andrew.Pattie@dfa.state.ny.us	
Business Address	110 Old Rt. 6	
City, County, State, Zip	Carmel, Putnam, NY 10512	
Phone Number 845-225-7040	Fax Number 845-225-8635	
Employer Identification Number (EIN):	14-60002759	
DUN & Bradstreet Number: 07-270-9553	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	19	

Congressional District(s) of Primary Service Area(s)	19	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	N/A	Putnam
Total HOPWA Contract Amount for this Organization	\$70,412	
Organization's Website Address	www.putnamcountyny.com	
Is the sponsor a nonprofit organization?	No	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Rockland County Office of Community Development		Parent Company Name, if applicable Rockland County
Name and Title of Contact at Project Sponsor Agency	Karey Lynch, HOME Program Coordinator	
Email Address	lynchk@co.rockland.ny.us	
Business Address	185 North Main Street, Room 211	
City, County, State, Zip	Spring Valley, Putnam, NY 10977	
Phone Number 845-574-4850	Fax Number 845-574-4860	
Employer Identification Number (EIN):	13-6007344	
DUN & Bradstreet Number: 07-543-7848	Central Contractor Registration Active?	Yes
Congressional District of Business Location of Sponsor	17	
Congressional District(s) of Primary Service Area(s)	17, 18, 19	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	N/A	Rockland
Total HOPWA Contract Amount for this Organization	\$432,050	
Organization's Website Address	www.co.rockland.ny.us	
Is the sponsor a nonprofit organization?	No	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Ryer Avenue		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Patrick Lochrane, President	
Email Address	plochrane@ryerhdfc.org	
Business Address	2386 Ryer Avenue	
City, County, State, Zip	Bronx, Bronx, NY 10458	
Phone Number 718-508-7004	Fax Number N/A	
Employer Identification Number (EIN):	13-3604402	
DUN & Bradstreet Number: 80-789-5453	Central Contractor Registration Active?	Yes

Congressional District of Business Location of Sponsor	16	
Congressional District(s) of Primary Service Area(s)	7, 16	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx
Total HOPWA Contract Amount for this Organization	\$346,251	
Organization's Website Address	www.ryerhdfc.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Safe Horizon		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Liza Zaretsky, Director of Residential Services	
Email Address	lzaretsky@safehorizon.org	
Business Address	1868 Amsterdam Avenue	
City, County, State, Zip	New York, New York, NY 10031	
Phone Number 917-507-1562	Fax Number 917-507-5870	
Employer Identification Number (EIN):	13-2946970	
DUN & Bradstreet Number: 07-648-7909	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15	
Congressional District(s) of Primary Service Area(s)	15	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization	\$1,140,000	
Organization's Website Address	www.safehorizon.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Services for the Underserved		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Mark D. Collins, Director of HOPWA Program	
Email Address	mcollins@susinc.org	
Business Address	408 Jay Street, 3rd Floor	
City, County, State, Zip	Brooklyn, Kings, NY 11201	
Phone Number 718-852-0587	Fax Number 718-852-0631	
Employer Identification Number (EIN):	13-3586129	

DUN & Bradstreet Number: 10-367-5559		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$1,639,506		
Organization's Website Address	www.susinc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name South Brooklyn Legal Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Cathy Bowman, HIV Project Director		
Email Address	cathyb@sbcls.org		
Business Address	105 Court Street		
City, County, State, Zip	Brooklyn, Kings, NY 11201		
Phone Number 718-237-5571	Fax Number 718-855-4189		
Employer Identification Number (EIN):	13-2605605		
DUN & Bradstreet Number: 78-301-9557		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$57,720		
Organization's Website Address	www.sbls.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name St. Nicholas Neighborhood 1		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Michael Rochford, Executive Director		
Email Address	mrochfordf@stnicksnpc.com		
Business Address	11 Catherine St.		
City, County, State, Zip	New York, Kings, NY 11211		
Phone Number 718-388-5454	Fax Number 718-388-7955		

Employer Identification Number (EIN):		51-0192170	
DUN & Bradstreet Number: 09-376-4231		Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor	12		
Congressional District(s) of Primary Service Area(s)	10, 11, 12, 13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$1,417,705		
Organization's Website Address	www.stnicksnpc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Steinway Child & Family Services, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Mary Redd, Director of AIDS Services		
Email Address	mredd@steinway.org		
Business Address	22-15 43rd Avenue		
City, County, State, Zip	Queens, Queens, NY 11101		
Phone Number 718-389-5100	Fax Number 718-752-4803		
Employer Identification Number (EIN):		11-2326974	
DUN & Bradstreet Number: 08-980-4942		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	6, 7, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Queens	
Total HOPWA Contract Amount for this Organization	\$1,014,575		
Organization's Website Address	www.steinway.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name The Family Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Jan Hudis, Deputy Executive Director		
Email Address	jhudis@thefamilycenter.org		
Business Address	315 West 36th. Street, 4th. Floor		
City, County, State, Zip	New York, New York, NY 10018		

Phone Number 212-766-4522		Fax Number 212-766-1696	
Employer Identification Number (EIN):		13-3910716	
DUN & Bradstreet Number: 84-205-2557		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	5 to 18		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, New York, Queens, Bronx	
Total HOPWA Contract Amount for this Organization	\$20,716		
Organization's Website Address	www.thefamilycenter.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name The Legal Aid Society		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Elizabeth Hay, Attorney in Charge		
Email Address	eahay@legal-aid.org		
Business Address	230 East 106th. Street		
City, County, State, Zip	New York, New York, NY 10029		
Phone Number 212-426-3028		Fax Number 212-876-5365	
Employer Identification Number (EIN):		13-5562265	
DUN & Bradstreet Number: 07-329-7541		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	8, 10, 11, 15, 16, 17		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Bronx, Kings	
Total HOPWA Contract Amount for this Organization	\$55,644		
Organization's Website Address	www.legal-aid.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name The Sharing Community, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Robert L. Zopf, Executive Director		
Email Address	robz@thesharingcommunity.org		
Business Address	P.O. Box 657		

City, County, State, Zip	Yonkers, Westchester, NY 10702	
Phone Number 914-963-2626	Fax Number 914-969-7877	
Employer Identification Number (EIN):	13-3186666	
DUN & Bradstreet Number: 15-086-5087	Central Contractor Registration Active? No	
Congressional District of Business Location of Sponsor	17	
Congressional District(s) of Primary Service Area(s)	17	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	Yonkers	Westchester
Total HOPWA Contract Amount for this Organization	\$178,500	
Organization's Website Address	www.thesharingcommunity.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	Yes	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name UCC Ehrlich		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	J.W. Smith, Program Manager	
Email Address	N/A	
Business Address	1020 Grand Concourse, Suite 7	
City, County, State, Zip	New York, New York, NY 0	
Phone Number 718-293-8400	Fax Number 718-293-1461	
Employer Identification Number (EIN):	13-1944395	
DUN & Bradstreet Number: 08-305-9865	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16	
Congressional District(s) of Primary Service Area(s)	7, 16	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx
Total HOPWA Contract Amount for this Organization	\$226,426	
Organization's Website Address	N/A	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Unique People Services		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Yvette Brisset-Andre, Executive Director	
Email Address	ybrisset-andre@earthlink.net	

Business Address	232 East 84th St	
City, County, State, Zip	New York, New York, NY 10470	
Phone Number 718-231-7711	Fax Number 718-231-7720	
Employer Identification Number (EIN):	13-3636555	
DUN & Bradstreet Number: 84-791-2466	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	17	
Congressional District(s) of Primary Service Area(s)	16, 17	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx
Total HOPWA Contract Amount for this Organization	\$1,194,493	
Organization's Website Address	www.uniquepeopleservices.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Volunteers of America Greater New York		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Richard Motta, President	
Email Address	rmotta@voa-gny.org	
Business Address	340 West 85th St	
City, County, State, Zip	New York, New York, NY 10451	
Phone Number 212-865-7000	Fax Number 212-865-1772	
Employer Identification Number (EIN):	58-1859781	
DUN & Bradstreet Number: 36-115-7287	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16	
Congressional District(s) of Primary Service Area(s)	7, 16	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx
Total HOPWA Contract Amount for this Organization	\$1,546,059	
Organization's Website Address	voa-gny.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name Westchester County Department of Planning		Parent Company Name, if applicable County of Westchester
Name and Title of Contact at Project Sponsor Agency	Dana Sanchez, Program Administrator	

Email Address	das5@westchestergov.com	
Business Address	148 Martine Avenue - Room 414	
City, County, State, Zip	White Plains, Westchester, NY 10601	
Phone Number 914-995-4602	Fax Number 914-995-9093	
Employer Identification Number (EIN):	13-6007353	
DUN & Bradstreet Number: 11-747-7302	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	17	
Congressional District(s) of Primary Service Area(s)	17, 18, 19, 20	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	N/A	Westchester
Total HOPWA Contract Amount for this Organization	\$64,959	
Organization's Website Address	www2.westchestergov.com	
Is the sponsor a nonprofit organization?	No	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	