



THE CITY RECORD

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THE CITY RECORD

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PUBLIC HEARINGS AND MEETINGS

See Also: Procurement; Agency Rules

BOARD MEETINGS

MEETING

City Planning Commission

Meets in Spector Hall, 22 Reade Street, New York, NY 10007, twice monthly on Wednesday, at 10:00 A.M., unless otherwise ordered by the Commission.

City Council

Meets by Charter twice a month in Councilman's Chamber, City Hall,

Manhattan, NY 10007, at 1:30 P.M.

Contract Awards Public Hearing

Meets in Spector Hall, 22 Reade Street, Main Floor, Manhattan, weekly, on Thursday, commencing 10:00 A.M., and other days, times and location as warranted.

Civilian Complaint Review Board

Generally meets at 10:00 A.M. on the second Wednesday of each month at 40 Rector Street, 2nd Floor, New York, NY 10006. Visit <http://www.nyc.gov/html/ccrb/html/meeting.html> for additional information and scheduling changes.

Design Commission

Meets at City Hall, Third Floor, New York, NY 10007. For meeting schedule, please visit nyc.gov/designcommission or call (212) 788-3071.

Department of Education

Meets in the Hall of the Board for a monthly business meeting on the Third Wednesday, of each month at 6:00 P.M. The Annual Meeting is held on the first Tuesday of July at 10:00 A.M.

Board of Elections

32 Broadway, 7th Floor, New York, NY 10004, on Tuesday, at 1:30 P.M. and at the call of the Commissioner.

Environmental Control Board

Meets at 100 Church Street, 12th Floor, Training Room #143, New York, NY 10007 at 9:15 A.M. once a month at the call of the Chairman.

Board of Health

Meets at Gotham Center, 42-09 28th Street, Long Island City, NY 11101, at 10:00 A.M., quarterly or at the call of the Chairman.

Health Insurance Board

Meets in Room 530, Municipal Building, Manhattan, NY 10007, at the call of the Chairman.

Board of Higher Education

Meets at 535 East 80th Street, Manhattan, NY 10021, at 5:30 P.M., on fourth Monday in January, February, March, April, June, September, October, November and December. Annual meeting held on fourth Monday in May.

Citywide Administrative Services

Division of Citywide Personnel Services will hold hearings as needed in Room 2203, 2 Washington Street, New York, NY 10004.

Commission on Human Rights

Meets on 10th Floor in the Commission's Central Office, 40 Rector Street, New York, NY 10006, on the fourth Wednesday of each month,

at 8:00 A.M.

In Rem Foreclosure Release Board

Meets in Spector Hall, 22 Reade Street, Main Floor, Manhattan, Monthly on Tuesdays, commencing 10:00 A.M., and other days, times and location as warranted.

Franchise and Concession Review Committee

Meets in Spector Hall, 22 Reade Street, Main Floor, and other days, times and location as warranted.

Real Property Acquisition and Disposition

Meets in Spector Hall, 22 Reade Street, Main Floor, Manhattan, bi-weekly, on Wednesdays, commencing 10:00 A.M., and other days, times and location as warranted.

Landmarks Preservation Commission

Meets in the Hearing Room, Municipal Building, 9th Floor North, 1 Centre Street in Manhattan on approximately three Tuesday's each month, commencing at 9:30 A.M. unless otherwise noticed by the Commission. For current meeting dates, times and agendas, please visit our website at www.nyc.gov/landmarks.

Employees' Retirement System

Meets in the Boardroom, 22nd Floor, 335 Adams Street, Brooklyn, NY 11201, at 9:30 A.M., on the third Thursday of each month, at the call of the Chairman.

Housing Authority

Board Meetings of the New York City Housing Authority are scheduled for the last Wednesday of each month (except August) at 10:00 A.M. in the Board Room on the 12th Floor of 250 Broadway, New York, NY 10007 (unless otherwise noted). Any changes to the schedule will be posted here and on NYCHA's website at http://www.nyc.gov/html/nycha/html/about/boardmeeting_schedule.shtml to the extent practicable at a reasonable time before the meeting. For additional information, please visit NYCHA's website or contact (212) 306-6088.

Parole Commission

Meets at its office, 100 Centre Street, Manhattan, NY 10013, on Thursday, at 10:30 A.M.

Board of Revision of Awards

Meets in Room 603, Municipal Building, Manhattan, NY 10007, at the call of the Chairman.

Board of Standards and Appeals

Meets at 40 Rector Street, 6th Floor, Hearing Room "E" on Tuesdays at 10:00 A.M. Review Sessions begin at 9:30 A.M. and are customarily held on Mondays preceding a Tuesday public hearing in the BSA conference room on the 9th Floor of 40 Rector Street. For changes in the schedule, or additional information, please call the Application Desk at (212) 513-4670 or consult the bulletin board at the Board's Offices, at 40 Rector Street, 9th Floor.

Tax Commission

Meets in Room 936, Municipal Building, Manhattan, NY 10007, each month at the call of the President. Manhattan, monthly on Wednesdays, commencing 2:30 P.M.

BUILD NYC RESOURCE CORPORATION

■ PUBLIC HEARINGS

SUPPLEMENTAL NOTICE OF PUBLIC HEARING

The Build NYC Resource Corporation (the "Corporation") is a not-for-profit local development corporation organized under Sections 402 and 1411 of the Not-for-Profit Corporation Law of the State of New York. In accordance with the aforesaid law, and pursuant to its certificate of incorporation, the Corporation has the power to issue non-recourse revenue bonds and to make the proceeds of those bonds available for projects that promote community and economic development in The City of New York (the "City"), and to thereby create jobs in the non-profit and for-profit sectors of the City's economy. The Corporation has been requested to issue such bonds for the financings listed below in the approximate dollar amounts respectively indicated. As used herein, "bonds" are the bonds of the Corporation, the interest on which may be exempt from Local and/or State and/or Federal income taxes; and, with reference to the bond amounts provided herein below, "approximately" shall be deemed to mean up to such stated bond amount or a greater principal amount not to exceed 10% of such stated bond amount. All square footage amounts and wage information shown below are approximate numbers.

Company Name(s): Inwood Academy for Leadership Charter School (the "School"), a not-for-profit education corporation, exempt from federal taxation pursuant to Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code"), as borrower, operating a charter school in Inwood, New York and Friends of Inwood Academy for Leadership (the "Foundation"), a not-for-profit corporation seeking exemption from federal income taxation pursuant to Section 501(c)(3) of the Code. **Financing Amount:** Approximately \$18,530,000 in tax-exempt revenue bonds. **Project Description:** Proceeds of the bonds will be used to: (1) finance the renovation, furnishing or equipping of an existing approximately 35,469 square foot building to be leased by the Foundation for further sublease to the School, located

on an approximately 36,500 square foot parcel of land located at 3896 10th Avenue, New York, NY 10034 for general classroom and administrative use (the "Facility"); and (2) pay for certain costs related to the issuance of the bonds. The Facility will be leased to the Foundation for sublease to the School and operated by the School as a charter school providing education services for grades 9-12. **Address:** 3896 10th Avenue, New York, NY 10034. **Type of Benefits:** Tax exempt bond financing and exemption from City and State mortgage recording taxes. **Total Development Cost:** \$18,530,000. **Projected Jobs:** 86.5 full time equivalent jobs currently, 100 full time equivalent jobs projected. **Hourly Wage Average and Range:** \$16.00/hour, estimated range of \$15.00/hour to \$25.00/hour.

For any updates to project information after the date of this notice, please visit the website of New York City Economic Development Corporation ("NYCEDC") at www.nycedc.com/buildnyc-project-info.

The Corporation is committed to ensuring meaningful access to its programs. If you require any accommodation for language access, including sign language, please contact NYCEDC's Equal Access Officer at (212) 312-3602 or at EqualAccess@edc.nyc.

Pursuant to Internal Revenue Code Section 147(f), the Corporation will hold a public hearing on the proposed financings described hereinabove at the offices of the NYCEDC, located at 110 William Street, 4th Floor, New York, New York 10038, commencing at 12:00 P.M. on **Thursday, February 15th, 2018**. Interested members of the public are invited to attend. The Corporation will invite comments at such hearing on the proposed financings. In addition, at such hearing the Corporation will provide the public with an opportunity to review the financing application and the cost-benefit analysis for each of the proposed financings. For those members of the public desiring to review project applications and cost benefit analyses before the date of the hearing, copies of these materials will be made available, starting on or about fourteen (14) days prior to the hearing. Persons desiring to obtain copies of these materials may visit the website of New York City Economic Development Corporation at www.nycedc.com or may call (212) 312-3598. Persons desiring to make a brief statement regarding the proposed financings and transactions should give prior notice to the Corporation at the address or phone number shown below. Written comments may be submitted to the Corporation to the attention of Ms. Frances Tufano at the address shown below. Comments, whether oral or written, must be submitted no later than the close of the public hearing. Please be advised that certain of the aforementioned proposed financings and transactions may possibly be removed from the hearing agenda prior to the hearing date. Information regarding such removals will be available by contacting ftufano@nycedc.com on or about NOON on the Friday preceding the hearing.

Build NYC Resource Corporation
Attn: Ms. Frances Tufano
110 William Street, 5th Floor
New York, New York 10038
(212) 312-3598

Accessibility questions: NYCEDC's Equal Access Officer (212) 312-3602 or at EqualAccess@edc.nyc, by: Thursday, February 15, 2018, 12:00 P.M.



◀ f5

BUSINESS INTEGRITY COMMISSION

■ MEETING

Pursuant to Section 104 of the Public Officers Law, notice is hereby given of an open meeting of the Commissioners of the New York City Business Integrity Commission. The meeting will be held on Tuesday, February 20, 2018, at 11:00 A.M., at 100 Church Street, 2nd Floor, Conference Room 2-160C, New York, NY.

NOTE: You must contact the Commission if you need a reasonable accommodation of a disability at the hearing. You must tell us if you need a sign language interpreter. You can tell us by mail, at 100 Church Street, 20th Floor, New York, NY 10007. You may also tell us by telephone, at (212) 437-0523 or by email, at sarrona@bic.nyc.gov. Advance notice is requested to allow sufficient time to arrange the accommodation. Please tell us by February 13, 2018.

Accessibility questions: Sal Arrona (212) 437-0523, sarrona@bic.nyc.gov, by: Tuesday, February 13, 2018, 5:00 P.M.



f1-5

CITY COUNCIL

PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that the council has scheduled the following public hearings on the matters indicated below:

The Subcommittee on Zoning and Franchises will hold a public hearing on the following matters in the Council Chambers, City Hall, New York, NY 10007, commencing at 9:30 A.M., on Wednesday, February 7, 2018:

350 EAST 88TH STREET MANHATTAN CB - 8 C 180023 ZSM

Application submitted by Advantage Testing, Inc., pursuant to Sections 197-c and 201 of the New York City Charter for the grant of special permit, pursuant to Section 74-711 of the Zoning Resolution to modify the use regulations of Section 22-10 (Uses Permitted As-of-Right) to allow Use Group 6B uses (commercial educational uses); and to modify the rear yard regulations of Section 24-36 (Minimum Required Rear Yards) to allow a 2nd story enclosure and HVAC units within the required rear yard of an existing 4-story building, on a zoning lot containing a landmark designated by the Landmarks Preservation Commission, located at 350 East 88th Street (Block 1550, Lots 31 and 34), in an R8B District.

SEA PARK NORTH REZONING BROOKLYN CB - 13 C 170240 ZMK

Application submitted by SP North of North Limited Partnership, pursuant to Sections 197-c and 201 of the New York City Charter for an amendment of the Zoning Map, Section No. 28d:

- 1. eliminating from within an existing R5 District a C1-2 District bounded by:
a. Neptune Avenue, West 28th Street, a line 150 feet southerly of Neptune Avenue, and West 29th Street; and
b. a line 150 feet northerly of Mermaid Avenue, West 28th Street, Mermaid Avenue, and West 29th Street;
2. changing from an R5 District to an R6 District property bounded by a line 350 feet northerly of Mermaid Avenue, West 28th Street, a line 100 feet northerly of Mermaid Avenue, West 29th Street, a line 250 feet northerly of Mermaid Avenue, and a line midway between West 28th Street and West 29th Street;
3. changing from an R5 District to an R6A District property bounded by a line 100 feet southerly of Neptune Avenue, West 28th Street, a line 350 feet northerly of Mermaid Avenue, and a line midway between West 28th Street and West 29th Street;
4. changing from an R5 District to an R7A District property bounded by:
a. Neptune Avenue, West 28th Street, a line 100 feet southerly of Neptune Avenue, and West 29th Street; and
b. a line 100 feet northerly of Mermaid Avenue, West 28th Street, Mermaid Avenue, and West 29th Street; and
5. establishing within the proposed R7A Districts a C2-4 District bounded by:
a. Neptune Avenue, West 28th Street, a line 100 feet southerly of Neptune Avenue, and West 29th Street; and
b. a line 100 feet northerly of Mermaid Avenue, West 28th Street, Mermaid Avenue, and West 29th Street;

subject to the conditions of CEQR Declaration E-447.

SEA PARK NORTH REZONING BROOKLYN CB - 13 N 170241 ZRK

Application submitted by SP North of North Limited Partnership, pursuant to Section 201 of the New York City Charter, for an amendment of the Zoning Resolution of the City of New York, modifying Appendix F for the purpose of establishing a Mandatory Inclusionary Housing area, Borough of Brooklyn, Community District 13.

Matter underlined is new, to be added;
Matter struck out is to be deleted;
Matter within # # is defined in Section 12-10;
* * * indicates where unchanged text appears in the Zoning Resolution

APPENDIX F

Inclusionary Housing Designated Areas and Mandatory Inclusionary Housing Areas

BROOKLYN

Brooklyn Community District 13

Map 2. [date of adoption]



Portion of Community District 13, Brooklyn

JEROME AVENUE REZONING BRONX CBs - 4, 5, and 7 N 180050 (A) ZRX

Application submitted by New York City Department of City Planning, pursuant to Section 201 of the New York City Charter, for an amendment of the Zoning Resolution of the City of New York establishing the Special Jerome Corridor District (Article XIV, Chapter 1) and modifying Appendix F for the purpose of establishing a Mandatory Inclusionary Housing area, and modifying related Sections. The full zoning text may be viewed at the following website: http://www1.nyc.gov/site/planning/about/cpc-reports/cpc-reports.page.

JEROME AVENUE REZONING BRONX CBs - 4, 5, and 7 C 180051 (A) ZMK

Application submitted by the Department of City Planning, pursuant to Sections 197-c and 201 of the New York City Charter and proposed for modification, pursuant to Section 2-06(c)(1) of the Uniform Land Use Review Procedure for an amendment of the Zoning Map, Section Nos. 3b, 3c, and 3d, changing various zoning districts in the vicinity of Jerome Avenue between McClellan Street and East 184th Street, Community Districts 4, 5, and 7, Borough of the Bronx.

JEROME AVENUE REZONING BRONX CB - 4 C 170305 MMX

Application submitted by the New York City Department of City Planning and the New York City Department of Parks and Recreation, pursuant to Sections 197-c and 199 of the New York City Charter and Section 5-430 et seq. of the New York City Administrative Code for an amendment to the City Map involving:

- the elimination, discontinuance and closing of Corporal Irwin Fischer Place between Nelson Avenue and Shakespeare Avenue;
the establishment of parkland in the area bounded by Nelson Avenue, West 170th Street, Shakespeare Avenue and West 169th Street;
the adjustment of grades and block dimensions necessitated thereby;

including authorization for any acquisition or disposition of real property related thereto in accordance with Map No. 13140 dated August 8, 2017 and signed by the Borough President.

The Subcommittee on Landmarks, Public Siting and Maritime Uses will hold a public hearing on the following matters in the Council Committee Room, 16th Floor, 250 Broadway, New York, NY 10007, commencing at 12:00 P.M., on Tuesday, February 6, 2018:

827-831 BROADWAY BUILDINGS MANHATTAN CB - 2 20185126 HKM (N 180145 HKM)

The proposed designation by the Landmark Preservation Commission [DL-502/LP-2594], pursuant to Section 3020 of the New York City Charter of 827-831 Broadway Buildings, located at 827-829 and 831 Broadway (Tax Map Block 564, Lots 17 and 19 in part), as historic landmarks.

SAMUEL H. AND MARY T. BOOTH HOUSE
BRONX CB - 10 20185148 HKX (N 180166 HKX)

The proposed designation by the Landmark Preservation Commission [DL-502/LP-2488], pursuant to Section 3020 of the New York City Charter of the Samuel H. and Mary T. Booth House, located at 30 Centre Street (Tax Map Block 5626, Lot 414), as an historic landmark.

STAFFORD "OSBORN" HOUSE
BRONX CB - 10 20185149 HKX (N 180169 HKX)

The proposed designation by the Landmark Preservation Commission [DL-502/LP-2479], pursuant to Section 3020 of the New York City Charter of the Stafford "Osborn" House, located at 95 Pell Place, City Island (Tax Map Block 5626, Lot 221), as an historic landmark.

The Subcommittee on Planning, Dispositions and Concessions will hold a public hearing on the following matters in the Council Committee Room, 16th Floor, 250 Broadway, New York, NY 10007, commencing at 2:00 P.M., on Tuesday, February 6, 2018:

HOPKINSON/PARK PLACE
BROOKLYN CB - 16 20185165 HAK

Application submitted by the New York City Department of Housing Preservation and Development for approval of a real property tax exemption, pursuant to Section 577 of Article XI of the Private Housing Finance Law for property, located at Block 1468, Lots 56 and 63, Community District 16, Council District 41.

Accessibility questions: Land Use Division (212) 482-5154, by: Thursday, February 1, 2018, 3:00 P.M.



j31-f7

CITY PLANNING COMMISSION

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that resolutions have been adopted by the City Planning Commission, scheduling public hearings on the following matters to be held at NYC City Planning Commission Hearing Room, Lower Concourse, 120 Broadway, New York, NY 10271, on Wednesday, February 14, 2018, at 10:00 A.M.

BOROUGH OF THE BRONX
Nos. 1, 2 & 3
PARK HAVEN RESIDENCE
No. 1

CD 1 C 180130 HAX
IN THE MATTER OF an application submitted by the Department of Housing Preservation and Development (HPD):

- 1) pursuant to Article 16 of the General Municipal Law of New York State for:
 - a) the designation of property located at 335-349 St. Ann's Avenue and 542-544 East 142 Street (Block 2268, Lots 23, 24, 25, 26, 27, 28, 29, 30, 32, 48 and 50) as an Urban Development Action Area; and
 - b) an Urban Development Action Area Project for such area; and
- 2) pursuant to Section 197-c of the New York City Charter for the disposition of such property, located at 335-349 St. Ann's Avenue and 542-544 East 142 Street (Block 2268, Lots 23, 24, 25, 26, 27, 28, 29, 30, and 32) to a developer to be selected by HPD;

to facilitate a multi-story building containing residential, community facility and commercial space.

Resolution for adoption scheduling February 14, 2018 for a public hearing.

No. 2

CD 1 C 180131 ZMX

IN THE MATTER OF an application submitted by the NYC Department of Housing Preservation and Development pursuant to Sections 197-c and 201 of the New York City Charter for the amendment of the Zoning Map, Section No. 6a:

1. changing from an R6 District to an R7D District property bounded by East 142nd Street; St. Ann's Avenue; East 141st Street; the southeasterly, southwesterly, and northeasterly boundary lines of a playground; and a line 140 feet northwesterly of St. Ann's Avenue; and
2. establishing within the proposed R7D District a C1-4 District bounded by East 142nd Street; St. Ann's Avenue; East 141st Street; the southeasterly, southwesterly, and northeasterly boundary lines of a playground; and a line 140 feet northwesterly of St. Ann's Avenue;

as shown on a diagram (for illustrative purposes only) dated October 30, 2017.

Resolution for adoption scheduling February 14, 2018 for a public hearing.

No. 3

CD 1 N 180132 ZRX

IN THE MATTER OF an application submitted by New York City Department of Housing Preservation and Development, pursuant to Section 201 of the New York City Charter, for an amendment of the Zoning Resolution of the City of New York, modifying Appendix F for the purpose of establishing a Mandatory Inclusionary Housing area.

Matter underlined is new, to be added;
Matter ~~struck out~~ is to be deleted;
Matter within # # is defined in Section 12-10;
* * * indicates where unchanged text appears in the Zoning Resolution.

APPENDIX F
Inclusionary Housing Designated Areas and Mandatory Inclusionary Housing Areas

* * *

THE BRONX
The Bronx Community District 1

* * *

Map 4 – [date of adoption]

[PROPOSED MAP]



Mandatory Inclusionary Housing Program Area
see Section 23-154(d)(3) Area 4 [date of adoption] –
MIH Program Option 2

Portion of Community District 1, The Bronx

* * *

Resolution for adoption scheduling February 14, 2018 for a public hearing.

YVETTE V. GRUEL, Calendar Officer
City Planning Commission
120 Broadway, 31st Floor, New York, NY 10271
Telephone (212) 720-3370



j31-f14

COMMUNITY BOARDS

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that the following matters have been scheduled for public hearing by Community Board:

BOROUGH OF MANHATTAN

COMMUNITY BOARD NO. 03 - Wednesday, February 7, 2018, 6:30 P.M., Henry Street Settlement Youth Services Gymnasium, 302 Henry Street, New York, NY.

#180201 ZMM, N180202 ZRM, 180203 ZSM
East 14th Street and Irving Place

Approval of a zoning map amendment, zoning text amendments, and a special permit to facilitate the redevelopment of a City-Owned site for a 21-story, mixed-use commercial building, located at 124 East 14th Street.

fl-7

NOTICE IS HEREBY GIVEN that the following matters have been scheduled for public hearing by Community Board:

BOROUGH OF QUEENS

COMMUNITY BOARD NO. 11 - Monday, February 5, 2018, 7:30 P.M., M.S. 158, 46-35 Oecania Street, Bayside, NY.

BSA#299-2017-BZ

An application has been submitted to the NYC Board of Standards and Appeals proposing an increase in the degree of a non-conforming shopping center, located within an R4 Zoning District by the creation of 15,181 square feet of floor area, for a Use Group 6 Hardware Store, which shall result in the elimination of 101 shopping center parking spaces, and is contrary to Section 52-41 of the Zoning Resolution, at 242-02 61 Avenue, Douglaston.

j30-f5

EMPLOYEES' RETIREMENT SYSTEM

■ MEETING

Please be advised that the next Regular Meeting of the Board of Trustees of the New York City Employees' Retirement System, has been scheduled for Thursday, February 8, 2018, at 9:30 A.M.

To be held at, the New York City Employees' Retirement System, 335 Adams Street, 22nd Floor, Boardroom, Brooklyn, NY 11201-3751.

Melanie Whinnery, Executive Director.

fl-7

FRANCHISE AND CONCESSION REVIEW COMMITTEE

■ MEETING

PUBLIC NOTICE IS HEREBY GIVEN, the Franchise and Concession Review Committee will hold a public meeting on Wednesday, February 14, 2018, at 2:30 P.M., at 22 Reade Street, Spector Hall, Borough of Manhattan.

NOTE: Individuals requesting Sign Language Interpreters should contact the Mayor's Office of Contract Services, 253 Broadway, 9th Floor, New York, NY 10007 (212) 788-0010, no later than SEVEN (7) BUSINESS DAYS PRIOR TO THE PUBLIC MEETING.

fl-14

LANDMARKS PRESERVATION COMMISSION

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that, pursuant to the provisions of Title 25, Chapter 3 of the Administrative Code of the City of New York (Sections 25-303, 25-307, 25-308, 25-309, 25-313, 25-318, 25-320) on Tuesday, February 13, 2018, a public hearing will be held at 1 Centre Street, 9th Floor, Borough of Manhattan with respect to the following properties and then followed by a public meeting. The final order and estimated times for each application will be posted on the Landmarks Preservation Commission website, the Friday before the hearing. Any person requiring reasonable accommodation in order to participate in the hearing or attend the meeting should contact the Landmarks Commission no later than five (5) business days before the hearing or meeting.

233-25 38th Drive - Douglaston Hill Historic District

LPC-18-1711 - Block 8059 - Lot 29 - **Zoning:** R1-2

CERTIFICATE OF APPROPRIATENESS

A vacant lot created by a sub-division. Application is to construct a new building and install a curb cut.

93 Remsen Street - Brooklyn Heights Historic District

LPC-19-15372 - Block 248 - Lot 1 - **Zoning:** R6

CERTIFICATE OF APPROPRIATENESS

A Greek Revival style house built in 1840. Application is to replace the sidewalk.

855 Park Place - Crown Heights North Historic District II

LPC-18-1600 - Block 1234 - Lot 69 - **Zoning:** R6

CERTIFICATE OF APPROPRIATENESS

A Colonial Revival style residence designed by Hedman & Schoen and built c. 1908. Application is to modify windows installed without Landmarks Preservation Commission permit(s).

140 Grand Street - SoHo-Cast Iron Historic District Extension

LPC-19-20151 - Block 473 - Lot 51 - **Zoning:** M1-5B

CERTIFICATE OF APPROPRIATENESS

A Second Empire style warehouse designed by William Field & Son and built in 1869. Application is to establish a Master Plan governing the future installation of painted wall signs.

75 Varick Street - Individual Landmark

LPC-19-18077 - Block 226 - Lot 1 - **Zoning:** M1-6

CERTIFICATE OF APPROPRIATENESS

A Modern-Classical style manufacturing building designed by Ely Jacques Kahn and built in 1929-1930. Application is to construct a rooftop addition and modify masonry openings.

444 West 22nd Street - Chelsea Historic District

LPC-19-14589 - Block 719 - Lot 67 - **Zoning:** R7B

CERTIFICATE OF APPROPRIATENESS

A Greek Revival style rowhouse built in 1835-36. Application is to replace windows, modify the areaway, relocate the areaway fence, and re-finish the stoop and elements of the façade.

46 West 21st Street - Ladies' Mile Historic District

LPC-19-18071 - Block 822 - Lot 66 - **Zoning:** C6-4A

CERTIFICATE OF APPROPRIATENESS

An early 20th Century Commercial style converted dwelling designed by Otto Reissman, built in 1860-1861, and altered in 1911-1912. Application is to replace the storefront and windows, modify window openings, alter fire escape, and construct a rooftop addition.

505 West End Avenue - Riverside - West End Historic District Extension I

LPC-19-20380 - Block 1246 - Lot 29 - **Zoning:** R10A

CERTIFICATE OF APPROPRIATENESS

An Renaissance Revival style apartment house with alterations, designed by Gaetan Ajello and built in 1920-21. Application is to replace Windows.

730 St. Nicholas Avenue - St. Nicholas Historic District

LPC-19-17961 - Block 2053 - Lot 65 - **Zoning:** R7-2

CERTIFICATE OF APPROPRIATENESS

A High Victorian Romanesque style rowhouse designed by Thom & Wilson and built in 1887-88. Application is to enlarge an existing rear yard addition.

j31-f13

NOTICE IS HEREBY GIVEN that, pursuant to the provisions of Title 25, Chapter 3 of the Administrative Code of the City of New York (Sections 25-303, 25-307, 25-308, 25-309, 25-313, 25-318, 25-320) on Tuesday, February 6, 2018, a public hearing will be held, at 1 Centre Street, 9th Floor, Borough of Manhattan with respect to the following properties and then followed by a public meeting. The final order and estimated times for each application will be posted on the Landmarks Preservation Commission website, the Friday before the hearing. Any person requiring reasonable accommodation in order to participate in the hearing or attend the meeting, should contact the Landmarks Commission no later than five (5) business days before the hearing or meeting.

276 Lafayette Avenue - Clinton Hill Historic District

LPC-19-20411 - Block 1946 - Lot 25 - **Zoning:** R6B

CERTIFICATE OF APPROPRIATENESS

An Italianate style rowhouse built c. 1868. Application is to modify rear windows.

156 Lafayette Avenue - Fort Greene Historic District

LPC-19-16595 - Block 2120 - Lot 25 - **Zoning:** R6B

CERTIFICATE OF APPROPRIATENESS

An Italianate style rowhouse built c. 1857 with later 19th century alterations. Application is to alter the façade of the free-standing associated garage.

452 Henry Street - Cobble Hill Historic District

LPC-19-18027 - Block 322 - Lot 46 - **Zoning:** R6

CERTIFICATE OF APPROPRIATENESS

An Italianate style rowhouse built in 1855. Application is to construct a bulkhead and rear yard addition.

565 9th Street - Park Slope Historic District

LPC-19-19405 - Block 1091 - Lot 45 - **Zoning:** R6B

CERTIFICATE OF APPROPRIATENESS

A Neo-Renaissance style rowhouse designed by Benjamin Driesler and built in 1902-1903. Application is to alter a rear extension.

1015 Grand Concourse - Grand Concourse Historic District

LPC-19-5793 - Block 2471 - Lot 36 - **Zoning:** R8
CERTIFICATE OF APPROPRIATENESS
 A Moderne style building designed by H. Herbert Lilien and built in 1941. Application is to legalize alterations to the secondary facades in non-compliance with Certificate of no Effect 17-8326.

140 Broadway - Individual Landmark
LPC-19-20734 - Block 48 - Lot 1 - **Zoning:** C5-5
CERTIFICATE OF APPROPRIATENESS
 A mid-20th century modern style office tower designed by Skidmore, Owings & Merrill and built in 1964-68. Application is to install planters, paving, and lighting at the plaza.

487 Hudson Street - Greenwich Village Historic District
LPC-19-19134 - Block 605 - Lot 31 - **Zoning:** R6
CERTIFICATE OF APPROPRIATENESS
 A Federal style rowhouse built in 1825-26 as part of the St. Luke in the Fields church complex. Application is to alter the rear façade and install mechanical equipment.

249 West 13th Street - Greenwich Village Historic District
LPC-19-11357 - Block 618 - Lot 64 - **Zoning:** C1-6, R6
CERTIFICATE OF APPROPRIATENESS
 An Italianate style rowhouse built in 1854. Application is to legalize the installation of signage and exposed conduit without Landmarks Preservation Commission permit(s).

1 Perry Street - Greenwich Village Historic District
LPC-19-13719 - Block 613 - Lot 61 - **Zoning:** C2-6
CERTIFICATE OF APPROPRIATENESS
 A late Greek Revival style building built in 1844-45. Application is to modify and paint the ground floor and install a storefront, signage, awnings and HVAC equipment.

5-7 Mercer Street - SoHo-Cast Iron Historic District
LPC-19-13435 - Block 230 - Lot 42 - **Zoning:** M1-5B
CERTIFICATE OF APPROPRIATENESS
 A warehouse designed by John B. Snook and built in 1861. Application is to construct a rooftop addition and enlarge the elevator bulkhead.

5-7 Mercer Street - SoHo-Cast Iron Historic District
LPC-19-20348 - Block 230 - Lot 42 - **Zoning:** M1-5B
MODIFICATION OF USE AND BULK
 A warehouse designed by John B. Snook and built in 1861. Application is to request that the Landmarks Preservation Commission issue a report to the City Planning Commission relating to an application for a Modification of Use and Bulk, pursuant to Section 74-711 of the Zoning Resolution.

495 Broadway - SoHo-Cast Iron Historic District
LPC-18-7470 - Block 484 - Lot 24 - **Zoning:** M1-5B
CERTIFICATE OF APPROPRIATENESS
 A Beaux-Arts style store and loft building designed by Alfred Zucker and built in 1892-1893. Application is to replace storefronts and windows, and install signage and lighting.

436 West Broadway - SoHo-Cast Iron Historic District Extension
LPC-19-20175 - Block 502 - Lot 23 - **Zoning:** M1-5A
CERTIFICATE OF APPROPRIATENESS
 A Renaissance Revival style store and tenement building designed by Charles M. Straub and built in 1906-1907. Application is to install signage.

301 Park Avenue - Individual and Interior Landmark
LPC-19-20737 - Block 1304 - Lot 7501 - **Zoning:**
CERTIFICATE OF APPROPRIATENESS
 An Art Deco style hotel skyscraper designed by Schultze & Weaver and built in 1931. Application is to replace windows.

109 East 35th Street - Murray Hill Historic District
LPC-19-10675 - Block 891 - Lot 8 - **Zoning:** C, 1-4
CERTIFICATE OF APPROPRIATENESS
 An Italianate style rowhouse built in 1853-54. Application is to construct rooftop and rear yard additions.

935 Park Avenue - Park Avenue Historic District
LPC-19-19688 - Block 1509 - Lot 69 - **Zoning:** R10
CERTIFICATE OF APPROPRIATENESS
 A Renaissance Revival style apartment building designed by Sugarman, Hess & Burger and built in 1923-24. Application is to modify the existing rooftop addition.

j24-f6

NOTICE OF PUBLIC HEARING
 February 13, 2018

NOTICE IS HEREBY GIVEN that, pursuant to the provisions of Title 25, Chapter 3 of the Administrative Code of the City of New York (Sections 25-303, 25-307, 25-308, 25-309, 25-313, 25-318, 25-320) on Tuesday, February 13, 2018, at 9:30 A.M., a public hearing will be held at 1 Centre Street, 9th Floor, Borough of Manhattan with respect to the following properties and then followed by a public meeting. The final order and estimated times for each application will be posted on the

Landmarks Preservation Commission website, the Friday before the hearing. Please note that the order and estimated times are subject to change. Any person requiring reasonable accommodation in order to participate in the hearing or attend the meeting, should contact the Landmarks Preservation Commission no later than five (5) business days before the hearing or meeting.

ITEMS FOR PUBLIC HEARING

Item No. 1
 LP-2595
 207-215 East 119th Street - Richard Webber Harlem Packing House Manhattan Block 1784 - Lot 5 in part
PM: 11/14/2017 Motion to Calendar
 The proposed designation of a six-story Romanesque-Revival former meat market building, designed by Bartholomew and John P. Walther and built in 1895 for the Richard Webber Harlem Packing Company.

Item No. 2
 LP-2597
 215 East 99th Street - Public School 109 (now El Barrio's Artspace P.S. 109) Manhattan Block 1649 - Lot 9
PM: 11/14/2017 Motion to Calendar
 The proposed designation of a Collegiate Gothic style former Public School building designed by Charles B.J. Snyder, constructed in 1899 and rehabilitated in 2015 as an affordable housing complex for local artists.

Item No. 3
 LP-2596
 260 Pleasant Avenue - aka 500-528 East 116th Street - Benjamin Franklin High School (now The Manhattan School for Science and Math) Manhattan Block 1713 - Lot 1
PM: 11/14/17 Motion to Calendar
 The proposed designation of a 1942 Georgian Revival School, built to house an experimental community-centered high school started by the pioneering educator and sociologist Leonard Covello, that is representative of the social and political engagement of East Harlem in the 20th century.

Accessibility questions: Lorraine Roach-Steele (212) 669-7815, lroach-steele@lpc.nyc.gov, by: Tuesday, February 6, 2018, 5:00 P.M.



j31-f13

BOARD OF STANDARDS AND APPEALS

■ PUBLIC HEARINGS

February 27, 2018, 10:00 A.M.

NOTICE IS HEREBY GIVEN of a public hearing, Tuesday morning, February 27, 2018, 10:00 A.M., in Spector Hall, 22 Reade Street, New York, NY 10007, on the following matters:

SPECIAL ORDER CALENDAR

31-91-BZ
 APPLICANT – Alfonso Duarte, for Frank Mancini, owner.
 SUBJECT – Application April 13, 2017 – Extension of term and amendment (§ 1-07.3(3) (ii) of the Board's Rules of Practice and Procedures for a previously granted Variance (§72-21) which permitted a one story enlargement to an existing non-conforming eating and drinking establishment (Use Group 6) which expired on July 28, 2012. Waiver of the Rules. R6 & R6B zoning districts.
 PREMISES AFFECTED – 173 Kingsland Avenue aka 635 Meeker Avenue, Block 2705, Lot 34, Borough of Brooklyn.
COMMUNITY BOARD #1SI

172-97-BZ
 APPLICANT – Sheldon Lobel, P.C., for Oceana Holding Corp., owner.
 SUBJECT – Application August 28, 2017 – Re-Hearing of a previously approved Variance (§72-21) which permitted the conversion of a portion of the subject building from theater use (UG8) to catering hall (UG 9) which was denied on December 9, 2003. Upon request for an Extension of Term; Amendment to legalize the change in use of a portion of the ground floor from catering hall (UG 9) to a supermarket (UG 6). The remainder of the building remains subject to a variance granted, pursuant to BSA calendar number: 530-32-BZ. C1-3/R6 & R6 zoning district.
 PREMISES AFFECTED – 1029 Brighton Beach Avenue, Block 8709, Lot 60, Borough of Brooklyn.
COMMUNITY BOARD #13BK

247-08-BZ
 APPLICANT – Eric Palatnik, P.C., for 3454 Star Nostrand LLC, owner.
 SUBJECT – Application March 25, 2016 – Extension of Term of a previously approved Special Permit (§73-243) to permit the operation of an accessory drive-thru facility to an eating and drinking establishment (Popeye's), which expired on May 12, 2014; Waiver of the Rules. C1-2/R4 zoning district.
 PREMISES AFFECTED – 3454 Nostrand Avenue, Block 7362, Lot 10,

Borough of Brooklyn.
COMMUNITY BOARD #15BK

February 27, 2018, 1:00 P.M.

NOTICE IS HEREBY GIVEN of a public hearing, Tuesday afternoon, February 27, 2018, 1:00 P.M., in Spector Hall, 22 Reade Street, New York, NY 10007, on the following matters:

ZONING CALENDAR

2017-56-BZ

APPLICANT – Rampulla Associates Architects, LLP, for Block 853, LLC, owner.

SUBJECT – Application February 24, 2017 – Variance (§72-21) to permit construction of a cellar and three (3) story residential condominium with six (6) dwelling units and ten (10) off-street parking spaces contrary to ZR §22-11 (multi-family buildings not permitted in an R1-2 zoning district; ZR §§ 23-00 & 25-00) no bulk or parking regulations for multi-family buildings. R1-2 zoning district. R1-2 Lower Density Growth Management Area.

PREMISES AFFECTED – 1321 Richmond Road, Block 853, Lot(s) 91 & 93, Borough of Staten Island.

COMMUNITY BOARD #2SI

2017-240-BZ

APPLICANT – Troutman Sanders LLP, for Red Rooster Harlem LLC, owner.

SUBJECT – Application August 15, 2017 – Special Permit (§73-244) to permit the legalization of the conversion of the cellar level of an existing eating and drinking establishment without restrictions and no limitation on entertainment and dancing (UG 12A) (Red Rooster Harlem Restaurant, located on the cellar level . C4-4A (Special 125th Street District).

PREMISES AFFECTED – 310 Lenox Avenue, Block 1723, Lot 69, Borough of Manhattan.

COMMUNITY BOARD #10M

2017-245-BZ

APPLICANT – Akerman, LLP for Capital One Financial Corporation, owner.

SUBJECT – Application August 17, 2017 – Re-instatement (§11-411) of a previously approved variance which permitted an extension of a commercial parking, accessory to a bank within a residential district which expired on November 10, 1999; Waiver of the Rules. R2A zoning district.

PREMISES AFFECTED – 32-02 Francis Lewis Boulevard, Block 4940, Lot 1, Borough of Queens.

COMMUNITY BOARD #11Q

Margery Perlmutter, Chair/Commissioner

Accessibility questions: Mireille Milfort (212) 386-0078, mmilfort@bsa.nyc.gov, by: Friday, February 23, 2018, 4:00 P.M.



f2-5



CITYWIDE ADMINISTRATIVE SERVICES

■ SALE

The City of New York in partnership with PropertyRoom.com posts vehicle and heavy machinery auctions online every week at: <https://www.propertyroom.com/s/nyc+fleet>

All auctions are open to the public and registration is free.

Vehicles can be viewed in person by appointment at: Kenben Industries Ltd., 1908 Shore Parkway, Brooklyn, NY 11214. Phone: (718) 802-0022

o11-m29

OFFICE OF CITYWIDE PROCUREMENT

■ NOTICE

The Department of Citywide Administrative Services, Office of Citywide Procurement is currently selling surplus assets on the internet. Visit <http://www.publicsurplus.com/sms/nydcas.ny/browse/home>

To begin bidding, simply click on 'Register' on the home page.

There are no fees to register. Offerings may include but are not limited to: office supplies/equipment, furniture, building supplies, machine tools, HVAC/plumbing/electrical equipment, lab equipment, marine equipment, and more.

Public access to computer workstations and assistance with placing bids is available at the following locations:

- DCAS Central Storehouse, 66-26 Metropolitan Avenue, Middle Village, NY 11379
- DCAS, Office of Citywide Procurement, 1 Centre Street, 18th Floor, New York, NY 10007

j2-d31

POLICE

■ NOTICE

OWNERS ARE WANTED BY THE PROPERTY CLERK DIVISION OF THE NEW YORK CITY POLICE DEPARTMENT

The following list of properties is in the custody of the Property Clerk Division without claimants:

Motor vehicles, boats, bicycles, business machines, cameras, calculating machines, electrical and optical property, furniture, furs, handbags, hardware, jewelry, photographic equipment, radios, robes, sound systems, surgical and musical instruments, tools, wearing apparel, communications equipment, computers, and other miscellaneous articles.

Items are recovered, lost, abandoned property obtained from prisoners, emotionally disturbed, intoxicated and deceased persons; and property obtained from persons incapable of caring for themselves.

INQUIRIES

Inquiries relating to such property should be made in the Borough concerned, at the following office of the Property Clerk.

FOR MOTOR VEHICLES (All Boroughs):

- Springfield Gardens Auto Pound, 174-20 North Boundary Road, Queens, NY 11430, (718) 553-9555
- Erie Basin Auto Pound, 700 Columbia Street, Brooklyn, NY 11231, (718) 246-2030

FOR ALL OTHER PROPERTY

- Manhattan - 1 Police Plaza, New York, NY 10038, (646) 610-5906
- Brooklyn - 84th Precinct, 301 Gold Street, Brooklyn, NY 11201, (718) 875-6675
- Bronx Property Clerk - 215 East 161 Street, Bronx, NY 10451, (718) 590-2806
- Queens Property Clerk - 47-07 Pearson Place, Long Island City, NY 11101, (718) 433-2678
- Staten Island Property Clerk - 1 Edgewater Plaza, Staten Island, NY 10301, (718) 876-8484

j2-d31



“Compete To Win” More Contracts!

Thanks to a new City initiative - “Compete To Win” - the NYC Department of Small Business Services offers a new set of FREE services to help create more opportunities for minority and women-owned businesses to compete, connect and grow their business with the City. With NYC Construction Loan, Technical Assistance, NYC Construction Mentorship, Bond Readiness, and NYC Teaming services, the City will be able to help even more small businesses than before.

- *Win More Contracts at nyc.gov/competetowin*

“The City of New York is committed to achieving excellence in the design and construction of its capital program, and building on the tradition of innovation in architecture and engineering that has contributed to the City’s prestige as a

global destination. The contracting opportunities for construction/construction services and construction-related services that appear in the individual agency listings below reflect that commitment to excellence.”

HHS ACCELERATOR

To respond to human services Requests for Proposals (RFPs), in accordance with Section 3-16 of the Procurement Policy Board Rules of the City of New York (“PPB Rules”), vendors must first complete and submit an electronic prequalification application using the City’s Health and Human Services (HHS) Accelerator System. The HHS Accelerator System is a web-based system maintained by the City of New York for use by its human services Agencies to manage procurement. The process removes redundancy by capturing information about boards, filings, policies, and general service experience centrally. As a result, specific proposals for funding are more focused on program design, scope, and budget.

Important information about the new method

- Prequalification applications are required every three years.
- Documents related to annual corporate filings must be submitted on an annual basis to remain eligible to compete.
- Prequalification applications will be reviewed to validate compliance with corporate filings, organizational capacity, and relevant service experience.
- Approved organizations will be eligible to compete and would submit electronic proposals through the system.

The Client and Community Service Catalog, which lists all Prequalification service categories and the NYC Procurement Roadmap, which lists all RFPs to be managed by HHS Accelerator may be viewed at <http://www.nyc.gov/html/hhsaccelerator/html/roadmap/roadmap.shtml>. All current and prospective vendors should frequently review information listed on roadmap to take full advantage of upcoming opportunities for funding.

Participating NYC Agencies

HHS Accelerator, led by the Office of the Mayor, is governed by an Executive Steering Committee of Agency Heads who represent the following NYC Agencies:

- Administration for Children’s Services (ACS)
- Department for the Aging (DFTA)
- Department of Consumer Affairs (DCA)
- Department of Corrections (DOC)
- Department of Health and Mental Hygiene (DOHMH)
- Department of Homeless Services (DHS)
- Department of Probation (DOP)
- Department of Small Business Services (SBS)
- Department of Youth and Community Development (DYCD)
- Housing and Preservation Department (HPD)
- Human Resources Administration (HRA)
- Office of the Criminal Justice Coordinator (CJC)

To sign up for training on the new system, and for additional information about HHS Accelerator, including background materials, user guides and video tutorials, please visit www.nyc.gov/hhsaccelerator

CITYWIDE ADMINISTRATIVE SERVICES

OFFICE OF CITYWIDE PROCUREMENT

■ SOLICITATION

Goods

WASHING MACHINE AND DRYER, HIGH TEMP - Competitive Sealed Bids - PIN#8571800178 - Due 3-14-18 at 10:30 A.M.

A copy of the bid can be downloaded from the City Record Online at www.nyc.gov/cityrecord. Enrollment is free. Vendor may also request the bid by contacting Vendor Relations via email at dcasdmssbids@dcas.nyc.gov, by telephone at (212) 386-0044.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Citywide Administrative Services, 1 Centre Street, 18th Floor, Bid Room, New York, NY 10007. Benny Zhong (212) 386-0472; bzhong@dcas.nyc.gov

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CULTURAL AFFAIRS

■ SOLICITATION

Services (other than human services)

PRE-DEVELOPMENT SERVICES FOR AFFORDABLE REAL ESTATE FOR ARTISTS (AREA) WORKSPACE INITIATIVE - Negotiated Acquisition - Other - PIN# 12618N0001001 - Due 2-21-18 at 5:00 P.M.

There is a limited number of suppliers available and able to perform the work.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Cultural Affairs, 31 Chambers Street, 2nd Floor, New York, NY 10007. Sei Young Kim (212) 513-9314; skim@culture.nyc.gov

▶ j31-f6

DESIGN AND CONSTRUCTION

AGENCY CHIEF CONTRACTING OFFICER

■ SOLICITATION

Construction / Construction Services

67TH STREET BRANCH LIBRARY HVAC AND ROOF UPGRADE-BOROUGH OF MANHATTAN - Competitive Sealed Bids - PIN#85018B0039 - Due 3-9-18 at 2:00 P.M.

PROJECT NO.: LNCA1167S/DDC PIN: 8502018LN0011C
Bid Document Deposit-\$35.00 per Set-Company Check or Money Order Only-No Cash Accepted-Late bids will not be accepted
There will be an Optional Pre-Bid Walk-Thru on Tuesday, February 20, 2018, at the 67th Street Branch Library, located at 328 East 67th Street, New York, NY 10065.

Special Experience Requirements for bidder and installers
Bid documents are available at: <http://ddcbiddocuments.nyc.gov/inet/html/contrbid.asp>.

Companies certified by the New York City Department of Small Business Services as Minority- or Women- Owned Business Enterprises (“M/WBE”) are strongly encouraged to submit a bid. This procurement is subject to Minority-Owned and Women-Owned Business Enterprises (MWBE) participation goals as required by Local Law 1 of 2013. All respondents will be required to submit an M/WBE Participation Plan with their response. For the MWBE goals, please visit our website, at <http://ddcbiddocuments.nyc.gov/inet/html/contrbid.asp> see “Bid Opportunities”. For a list of companies certified by the NYC Department of Small Business Services, please visit www.nyc.gov/buycertified. To find out how to become certified, visit www.nyc.gov/getcertified or call the DSBS certification helpline at (212) 513-6311.

This contract is subject to the Project Labor Agreement (“PLA”) entered into between the City and the Building and Construction Trades Council of Greater New York (“BCTC”) affiliated Local Unions. For further information, see Volume 2 of the Bid Documents.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Design and Construction, 30-30 Thomson Avenue, 1st Floor, Long Island City, NY 11101. Brenda Barreiro (718) 391-1041; csb_projectinquiries@ddc.nyc.gov

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RECONSTRUCTION OF STREETS IN THE ROSEDALE AREA - PHASE I - BOROUGH OF QUEENS - Competitive Sealed Bids - PIN#85018B0088 - Due 2-28-18 at 11:00 A.M.

PROJECT NO.: HWQ274F1/DDC PIN: 8502018HW0031C
Bid Document Deposit-\$35.00 per Set-Company Check or Money Order Only-No Cash Accepted-Late bids will not be accepted
Special Experience Requirements

Apprenticeship Participation Requirements apply to this contract
Bid documents are available at: <http://ddcbiddocuments.nyc.gov/inet/html/contrbid.asp>.

This procurement is subject to Minority-Owned and Women-Owned Business Enterprises (MWBE) participation goals as required by Local Law 1 of 2013. All respondents will be required to submit an M/WBE Participation Plan with their response. For the MWBE goals, please visit our website at <http://ddcbiddocuments.nyc.gov/inet/html/contrbid>.

asp see "Bid Opportunities". For a list of companies certified by the NYC Department of Small Business Services, please visit www.nyc.gov/buycertified. To find out how to become certified, visit www.nyc.gov/getcertified or call the DSBS certification helpline at (212) 513-6311.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Design and Construction, 30-30 Thomson Avenue, 1st Floor, Long Island City, NY 11101. Brenda Barreiro (718) 391-1041; csb_projectinquiries@ddc.nyc.gov

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ENVIRONMENTAL PROTECTION

■ SOLICITATION

Construction Related Services

LEAD RECLAMATION AND BACKSTOP CONSTRUCTION AT BEERSTON FIRING RANGE - Competitive Sealed Bids - PIN#82618B0034 - Due 3-1-18 at 11:30 A.M.

Contract Number: DEL-427, Document Fee: \$80, Agency Contact: Gene Metti, GMetti@dep.nyc.gov.

There will be a Pre-Bid Meeting to be held on 2/13/18, located at 21852 Route 10, Walton, NY 13856, at 10:00 A.M. Temporary Access Form is required, Beerston Precinct is West of Hudson - Ashokan Dispatch, email form to Ashokancomm@dep.nyc.gov before 2/9/2018.

The procurement is subject to participation goals for MBEs and/or WBEs as required by Local Law 1.

Subcontracting M/WBE goal is 3 percent.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Environmental Protection, 59-17 Junction Boulevard, 17th Floor, Bid Room, Flushing, NY 11373. Fabian Heras (718) 595-3265; fheras@dep.nyc.gov



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■ INTENT TO AWARD

Services (other than human services)

1 YEAR MAINTENANCE AND SUPPORT SERVICES FOR AVANTIS - INVENSYS SOFTWARE - Sole Source - Available only from a single source - PIN#8012025 - Due 2-26-18 at 11:00 A.M.

NYC Environmental Protection intends to enter into a sole source agreement with Schneider Electric Software LLC, for one (1) Year Maintenance and Support Services for Avantis - Invensys Software. Any firm which believes it can also provide one (1) Year Maintenance and Support Services for Avantis - Invensys Software is invited to do so; please indicate by letter or email.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Environmental Protection, 59-17 Junction Boulevard, 17th Floor, Flushing, NY 11373. Ira Elmore (718) 595-3259; Fax: (718) 595-9532; ielmore@dep.nyc.gov

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WATER SUPPLY QUALITY

■ SOLICITATION

Construction Related Services

GRAHAMSVILLE/TANNERSVILLE/PINE HILL SEWER STREAM CROSSING IMPROVEMENTS - Competitive Sealed Bids - PIN#82618B0025 - Due 3-1-18 at 11:30 A.M.

Project Number: DEL-233, Document Fee: \$100, Project Manager: Jaen Henry, HJaen@dep.nyc.gov, Engineers Estimate: \$5,093,200 - \$6,890,800.

There will be a Pre-Bid Meeting to be held on, February 16, 2018, located at 71 Smith Avenue, Training Rooms 1 and 3, Kingston, NY 12401, at 10:00 A.M. Email Site Access Form to Agency Contact. Last day for questions, 2/23/18, email Agency Contact.

This contract is subject to Apprenticeship Program Questionnaire (APQ).

Please be advised that drawings are not included, for a complete set please contact the bid room for purchasing instructions.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Environmental Protection, 59-17 Junction Boulevard, 17th Floor, Bid Room, Flushing, NY 11373. Fabian Heras (718) 595-3265; fheras@dep.nyc.gov



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FIRE DEPARTMENT

FISCAL SERVICES

■ SOLICITATION

Construction Related Services

GENERAL CONTRACTING SERVICES- BROOKLYN AND STATEN ISLAND (ON-CALL) - Competitive Sealed Bids - PIN#05718000648 - Due 3-14-18 at 4:00 P.M.

Provide on-call general contracting services for infrastructure upgrades, repairs, emergency repairs, and renovation and rehabilitation projects at FDNY Facilities in Brooklyn and Staten Island.

There will be a Non-Mandatory Pre-Bid Meeting held on Wednesday, February 14, 2018, at 2:00 P.M., at FDNY Headquarters, 9 MetroTech Center, 1st Floor Auditorium, Brooklyn, NY 11201.

Bidders are hereby advised that this procurement is subject to New York City Local Law 1 of 2013, MWBE Participation Requirements. Bidders are hereby advised that this procurement is subject to New York City Prevailing Wage Requirements.

Bidders are hereby advised that this procurement is subject to the 2015 Project Labor Agreement (PLA).

Vendor Source ID # 92706
EPIN # 05718B0006

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Fire Department, 9 Metrotech Center, 5W-13-K, Brooklyn, NY 11201. Edward Woda (718) 999-8162; Fax: (718) 999-0177; edward.woda@fdny.nyc.gov

Accessibility questions: contracts@fdny.nyc.gov, by: Wednesday, March 14, 2018, 4:00 P.M.



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GENERAL CONTRACTING SERVICES- BRONX, MANHATTAN AND QUEENS (ON-CALL) - Competitive Sealed Bids - PIN#05718000649 - Due 3-14-18 at 4:00 P.M.

Provide on-call general contracting services for infrastructure upgrades, repairs, emergency repairs, and renovation and rehabilitation projects at FDNY Facilities in Bronx, Manhattan and Queens.

There will be a Non-Mandatory Pre-Bid Meeting held on Wednesday, February 14, 2018, at 2:00 P.M., at FDNY Headquarters, 9 MetroTech Center, 1st Floor Auditorium, Brooklyn, NY 11201.

Bidders are hereby advised that this procurement is subject to New York City Local Law 1 of 2013, MWBE Participation Requirements. Bidders are hereby advised that this procurement is subject to New York City Prevailing Wage Requirements.

Bidders are hereby advised that this procurement is subject to the 2015 Project Labor Agreement (PLA).

Vendor Source ID #92713
EPIN # 05718B0007

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Fire Department, 9 Metrotech Center, 5W-13-K, Brooklyn, NY 11201. Edward Woda (718) 999-8162; Fax: (718) 999-0177; edward.woda@fdny.nyc.gov

Accessibility questions: contracts@fdny.nyc.gov, by: Wednesday, March 14, 2018, 4:00 P.M.



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Services (other than human services)

EMS CAD MAINTENANCE AND SUPPORT - Sole Source - Available only from a single source - PIN#057180000943 - Due 2-9-18 at 4:00 P.M.

The New York City Fire Department intends to enter into sole source negotiations with Northrop Grumman Systems Corporation, to provide system maintenance and enhancements for the Emergency Medical Service (EMS) Computer Aided Dispatch (CAD) System and the Starfire Message Switch. Any firm that believes it can provide these services is invited to do so in writing. Written requests shall be sent to: FDNY, 9 MetroTech Center, Room 5W-12-K, Brooklyn, NY 11201, Attn: Shannon Cardone, Tel: (718) 999-2590.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Fire Department, 9 MetroTech Center, Room 5W-12-K, Brooklyn, NY 11201. Shannon Cardone (718) 999-2590; shannon.cardone@fdny.nyc.gov



f2-8

FISCAL-CONTRACT DEVELOPMENT

SOLICITATION

Services (other than human services)

MOBILE DEVICE ELECTRONIC PRE-HOSPITAL CARE REPORTING SYSTEM SUPPORT - Sole Source - Available only from a single source - PIN#057180000970 - Due 2-7-18 at 4:00 P.M.

The Fire Department intends to enter into sole source negotiations with Physio-Control, Inc., to provide all labor, software, software licensing, training and materials necessary for the implementation, hosting, maintenance and technical support of the Mobile Device Electronic Pre-Hospital Care Reporting System/Physio HealthEMS EPCR system as well as providing data capture to support Emergency Medical Services operations, quality improvement activities, patient tracking, billing and collections services. Any firm that believes that it can provide these services, is invited to do so in writing. Written requests shall be sent to: FDNY, 9 MetroTech Center, Room 5W-14-K, Brooklyn, NY 11201, Attn: C. Halliburton, Telephone (718) 999-2845.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Fire Department, 9 MetroTech Center, Brooklyn, NY 11201. Cecily Halliburton (718) 999-2845; hallibc@fdny.nyc.gov

f1-7

HEALTH AND MENTAL HYGIENE

AGENCY CHIEF CONTRACTING OFFICER

INTENT TO AWARD

Services (other than human services)

LIFE TECHNOLOGIES CORPORATION 7500FASTDX INSTRUMENT SERVICE PLAN - Sole Source - Available only from a single source - PIN#19LB004401R0X00 - Due 2-19-18 at 10:00 A.M.

DOHMH intends to enter into a Sole Source contract with Life Technologies Corporation to provide maintenance services on the 7500FastDX instruments, located in the NYC Public Health Laboratory. The 7500FastDx instruments require specific repair and maintenance to remain compliant with FDA regulations. DOHMH determined that Life Technologies Corporation is a sole source provider for these services as they are the only company that provides maintenance and repair of the 7500FastDX instruments. Their personnel possess the requisite product knowledge, instrument parts and specialized training to provide such services to meet the FDA regulations. No other company is authorized to provide maintenance, repair, or replace parts for the 7500FastDX instruments.

Any vendor who believes that they may also be able to provide these services are welcome to submit an expression of interest via email to abuchhalter@health.nyc.gov no later than 10:00 A.M., on 2/19/2018. All questions and concerns should also be submitted via email.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Health and Mental Hygiene, 42-09 28th Street, 17th Floor, Queens, NY 11101-4132. Andrew Buchhalter (347) 396-6704; abuchhalter@health.nyc.gov

f1-7

HOMELESS SERVICES

AWARD

Human Services/Client Services

PROVIDE SUPPORTIVE HOUSING FOR HOMELESS SINGLE ADULTS AT 166-70 W 77TH STREET, NEW YORK, NY 10024

- Required/Authorized Source - Available only from a single source - PIN#07117R0004001 - AMT: \$622,410.00 - TO: Coalition for the Homeless, Inc., 129 Fulton Street, New York, NY 10038. Contract Term: 7/1/2017 - 6/30/2023

PROVISION OF EMERGENCY SHELTER SOCIAL SERVICES IN COMMERCIAL HOTELS FOR HOMELESS ADULT FAMILIES - Negotiated Acquisition - Judgment required in evaluating proposals - PIN#07117N0005007 - AMT: \$62,500,233.00 - TO: Bronx Parent Housing Network, Inc., 1802 Crotona Avenue, Bronx, NY 10457-6621. Contract Term: 7/1/2017 - 6/30/2020.

PROVISION TO PROVIDE TRANSITIONAL RESIDENCES FOR HOMELESS FAMILIES WITH CHILDREN AT 1520 BROOK AVENUE, BRONX, NY 10457 - Competitive Sealed Proposals - Judgment required in evaluating proposals - PIN#07110P0002157 - AMT: \$15,927,980.00 - TO: Children's Rescue Fund/Icahn House, 1520 Brook Avenue, Bronx, NY 10457. Contract Term: 7/1/2017 - 6/30/2022

OPERATE STAND ALONE TRANSITIONAL RESIDENCE FOR HOMELESS FAMILIES (OERFP) AT 94-00 DITMARS BLVD, EAST ELMHURST, NY. - Competitive Sealed Proposals - Judgment required in evaluating proposals - PIN#07110P0002134 - AMT: \$50,356,503.00 - TO: Camba, Inc., 1720 Church Avenue, Brooklyn, NY 11226. Contract Term: 7/1/2017 - 6/30/2022

PROVIDE DROP-IN SHELTER SERVICES FOR HOMELESS ADULTS AT 2402 ATLANTIC AVE., BROOKLYN, NY 11233 - Competitive Sealed Proposals - Judgment required in evaluating proposals - PIN#07110P0002149 - AMT: \$8,000,643.00 - TO: Camba, Inc., 1720 Church Avenue, Brooklyn, NY 11226. Contract Term: 7/1/2017 - 6/30/2020

f5

HUMAN RESOURCES ADMINISTRATION

AGENCY CHIEF CONTRACTING OFFICER

INTENT TO AWARD

Services (other than human services)

CHANGE MACHINE SUBSCRIPTION AND SUPPORT SERVICES - Sole Source - Available only from a single source - PIN#09618S0004 - Due 2-9-18 at 2:00 P.M.

HRA/ITS intends to enter into sole source negotiation for the maintenance and support services of Change Machine Licenses with The Financial Clinic. These services are being requested by EIS. The Financial Clinic will provide an online platform that arms domestic violence (DV) prevention Community Based Organizations (CBOs) with extensive financial coaching tools that will enhance and accelerate DV victim's economic outcomes. The Financial Clinic will also provide 45 Coach Licenses to frontline staff, 9 Manager Licenses for managers and 1 Network License for HRA for a 3 year period. The Change Machine application is proprietary to The Financial Clinic and was crated and is run exclusively through The Financial Clinic. There is no other vendor with the capability or ability to run Change Machine. The Financial Clinic solely owns the software rights which are not transferable. E-PIN: 09618S0004, Term: 4/1/2018 - 3/31/2021.

Vendors that believe they are qualified to provide these services or are interested in similar future procurements may express their interest by filing with the New York City Vendor Enrollment Center at (212) 857-1680 or via email at vendorenrollmen@cityhall.nyc.gov. For Human Service contracts, go to http://www.nyc.gov/html/hhsaccelerator/html/roadmap/roadmap.shtml.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Human Resources Administration, 150 Greenwich Street, Floor 37, New York, NY 10007. Andrea McGill (929) 221-6374; mcgilla@hra.nyc.gov

f2-8

INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS

■ INTENT TO AWARD

Goods

INNOVATIVE MWBE PROCUREMENT (\$20,000 TO \$150,000) - Innovative Procurement - Other - PIN# DOITT2018INN001 - Due 2-28-18 at 2:00 P.M.

The Department of Information Technology and Telecommunications, on behalf of all New York City agencies and entities subject to the New York City Procurement Policy Board (PPB) Rules, will be utilizing the innovative procurement method under Section 3-12 of the Procurement Policy Board Rules. This proposed method will be used to procure goods, standard services and professional services from \$20,000 to \$150,000 exclusively from City-Certified M/WBEs for goods and services. DOITT will be procuring Software Licensing and Support for ThousandEyes Internet Monitoring Solution for an approximate amount of \$85,000 utilizing this method.

The City has implemented its M/WBE program in an effort to remedy the documented disparity in utilization of certain groups of vendors as compared to their willingness and ability to do business with the City. As an additional tool which will allow the City to utilize more M/WBEs in a more efficient way, this method will aid the City in its goal of decreasing and ultimately eliminating this documented disparity against such groups. The method operationalizes the State legislation (S6518) and gives agencies the specific authority granted under such legislation.

The innovative procurement method to be used for these M/WBE purchases will vary in a number of respects from the procedure otherwise applicable, pursuant to the PPB Rules, including but not limited to, Rules §§ 2-01, 2-02, 2-08, 2-09, 2-10, 2-12 and 3-08. Essentially, the M/WBE purchase method will provide a cross-agency, flexible tool for accomplishing awards to City-Certified M/WBEs without competition. As with other noncompetitive purchases, changes to and/or renewals of purchases, pursuant to this method must not bring the total value of the procurement to an amount greater than the M/WBE discretionary buying threshold amount. Key elements of the M/WBE purchase method include the following:

M/WBE purchases for goods and services above \$20,000 up to \$150,000.

No competition will be required for the procurement of goods and services to City-Certified M/WBEs within this limit, except that in making such purchases, agency contracting officers should obtain price or rate quotations from at least three (3) City-certified M/WBE vendors capable of providing the goods or services needed. If, after exercising reasonable efforts, the agency has not received three (3) responses, they may proceed with the award. Documentation of such purchases must identify the vendor the item was purchased from, the item purchased, and the amount paid.

Public notice of solicitation, pre-solicitation review report, Department of Small Business Services Division of Labor Services employment reports, recommendation for award and determinations required pursuant to PPB Rule 2-01, will not be required. Vendor Name Check and VENDEX disclosures through PASSPort also will not be required (unless the aggregate value of purchases, franchises, and concessions awarded to that vendor including this one during the immediately preceding twelve-month period equals or exceeds \$250,000).

Agencies will consider any issues that may affect the responsibility of a vendor before issuing an award. After a vendor has been selected, the contracting officer must issue a contract (CT1), as appropriate, to the successful bidder or offeror. The procurement file must include at a minimum all of the requirements of PPB Rule 3-08(e)(1) – (7), (12) and (14), in addition to the dollar amount of the contract. Contracts awarded, pursuant to this authorization will not need to be submitted to the Comptroller for registration. A notice of award, as required by PPB Rule 3-12(e), must be provided for each purchase made. As with small purchases, pursuant to PPB Rule 3-08, vendor protests will not be permitted.

The proposed method will be evaluated to determine whether it is in the City's best interest to be codified and used within the PPB rules.

DOITT would like to give this opportunity to accept comments and expressions of interest on this proposed method. Comments and expressions of interest may be emailed no later than February 28, to Danielle DeShore at DDeshore@doitt.nyc.gov.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Information Technology and Telecommunications, 15 MetroTech Center,

18th Floor, Brooklyn, NY 11201. Danielle DeShore (718) 403-8505; ddeshore@doitt.nyc.gov; acco@doitt.nyc.gov

f2-8

PARKS AND RECREATION

■ VENDOR LIST

Construction Related Services

PREQUALIFIED VENDOR LIST: GENERAL CONSTRUCTION, NON-COMPLEX GENERAL CONSTRUCTION SITE WORK ASSOCIATED WITH NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION ("DPR" AND/OR "PARKS") PARKS AND PLAYGROUNDS CONSTRUCTION AND RECONSTRUCTION PROJECTS.

DPR is seeking to evaluate and pre-qualify a list of general contractors (a "PQL") exclusively to conduct non-complex general construction site work involving the construction and reconstruction of DPR parks and playgrounds projects not exceeding \$3 million per contract ("General Construction").

By establishing contractor's qualification and experience in advance, DPR will have a pool of competent contractors from which it can draw to promptly and effectively reconstruct and construct its parks, playgrounds, beaches, gardens and green-streets. DPR will select contractors from the General Construction PQL for non-complex general construction site work of up to \$3,000,000.00 per contract, through the use of a Competitive Sealed Bid solicited from the PQL generated from this RFQ.

The vendors selected for inclusion in the General Construction PQL will be invited to participate in the NYC Construction Mentorship. NYC Construction Mentorship focuses on increasing the use of small NYC contracts, and winning larger contracts with larger values. Firms participating in NYC Construction Mentorship will have the opportunity to take management classes and receive on-the-job training provided by a construction management firm.

DPR will only consider applications for this General Construction PQL from contractors who meet any one of the following criteria:

- 1) The submitting entity must be a Certified Minority/Woman Business enterprise (M/WBE)*;
- 2) The submitting entity must be a registered joint venture or have a valid legal agreement as a joint venture, with at least one of the entities in the joint venture being a certified M/WBE*;
- 3) The submitting entity must indicate a commitment to sub-contract no less than 50 percent of any awarded job to a certified M/WBE for every work order awarded.

* Firms that are in the process of becoming a New York City-Certified M/WBE, may submit a PQL application and submit a M/WBE Acknowledgement Letter, which states the Department of Small Business Services has begun the Certification process.

Application documents may also be obtained online at: <http://a856-internet.nyc.gov/nycvendoronline/home.asap>; or <http://www.nycgovparks.org/opportunities/business>.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above. Parks and Recreation, Olmsted Center Annex, Flushing Meadows-Corona Park, Flushing, NY 11368. Alicia H. Williams (718) 760-6925; Fax: (718) 760-6885; dmwbe.capital@parks.nyc.gov

j2-d31

SMALL BUSINESS SERVICES

PROCUREMENT

■ INTENT TO AWARD

Human Services/Client Services

BROOKLYN WORKFORCE 1 CAREER CENTER - Negotiated Acquisition - Other - PIN#80111P0009003N001 - Due 2-12-18 at 3:00 P.M.

The NYC Department of Small Business Services intends to negotiate with DB Grant Associates, Inc., to provide workforce development services, at the Brooklyn Workforce 1 Career Center, and Expansion Center. This negotiated acquisition extension is to ensure the continuity of services and prevent any interruption in services for an additional six (6) months until the current RFP solicitation for Workforce 1 Career Centers is completed and awarded.

Please indicate your interest and qualifications by letter sent via postal mail, which must be received no later than February 12, 2018, 3:00 P.M., to Mr. Daryl Williams, Agency Chief Contracting Officer, New York City Department of Small Business Services, 110 William Street, 7th Floor, New York, NY 10038.

The proposed contractor has been selected by means of Negotiated Acquisition, pursuant to Section 3-04(b)(2)(iii) of the Procurement Policy Board Rules.

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BRONX WORKFORCE 1 CAREER CENTER AND HEALTHCARE SECTOR CENTER - Negotiated Acquisition - Other - PIN#80115X0004CNVN001 - Due 2-12-18 at 3:00 P.M.

The NYC Department of Small Business Services intends to negotiate with Arbor E and T LLC dba ResCare Workforce Services, to provide workforce development services, at the Bronx Workforce 1 Career Center, Expansion center and Healthcare Sector Center. This negotiated acquisition extension is to ensure the continuity of services and prevent any interruption in services for an additional three (3) months, until the current RFP solicitation for Workforce 1 Career Centers is completed and awarded.

Please indicate your interest and qualifications by letter sent via postal mail, which must be received no later than February 12, 2018, 3:00 P.M., to Mr. Daryl Williams, Agency Chief Contracting Officer, New York City Department of Small Business Services, 110 William Street, 7th Floor, New York, NY 10038.

The proposed contractor has been selected by means of Negotiated Acquisition, pursuant to Section 3-04(b)(2)(iii) of the Procurement Policy Board Rules.

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TEACHERS' RETIREMENT SYSTEM

■ SOLICITATION

Human Services/Client Services

CYBER INSURANCE CONSULTING SERVICES - Competitive Sealed Bids - PIN# RFP-870 - Due 3-16-18 at 5:00 P.M.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above. Teachers' Retirement System, 55 Water Street, New York, NY 10041. TRS Procurement Unit (212) 510-3525; Fax: (212) 612-5650; procurement@trs.nyc.ny.us

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TRANSPORTATION

STATEN ISLAND FERRY

■ SOLICITATION

Services (other than human services)

TUGBOAT ASSIST AND TOWING SERVICES FOR NYCDOT VESSELS - Competitive Sealed Bids - PIN#84118MBSI162 - Due 3-2-18 at 11:00 A.M.

A printed copy of the bid can also be purchased. A deposit of \$50.00 is required for the bid documents in the form of a Certified Check or Money Order payable to: New York City Department of Transportation. NO CASH ACCEPTED. Company address, telephone and fax numbers are required when picking up contract documents. (Entrance is located on the South Side of the Building facing the Vietnam Veterans Memorial). Proper government issued identification is required for entry to the building (driver's license, passport, etc.). A Pre-Bid Meeting (Optional) will be held on February 13, 2018, at 2:00 P.M., at 55 Water Street, Ground Floor Conference Room, New York, NY 10041. For additional information, please contact Josiane Destra - Louis at (212) 839-9405.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor pre-qualification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Transportation, Contract Management Unit, 55 Water Street, Ground Floor, New York, NY 10041. Bid Window (212) 839-9435.

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CONTRACT AWARD HEARINGS

NOTE: INDIVIDUALS REQUESTING SIGN LANGUAGE INTERPRETERS/TRANSLATORS SHOULD CONTACT THE MAYOR'S OFFICE OF CONTRACT SERVICES, PUBLIC HEARINGS UNIT, 253 BROADWAY, 9TH FLOOR, NEW YORK, NY 10007, (212) 788-7490, NO LATER THAN TEN (10) BUSINESS DAYS PRIOR TO THE PUBLIC HEARING. TDD USERS SHOULD CALL VERIZON RELAY SERVICES.

YOUTH AND COMMUNITY DEVELOPMENT

■ NOTICE

NOTICE IS HEREBY GIVEN that a Contract Public Hearing will be held on Friday, February 16, 2018, at 2 Lafayette Street, 14th Floor, Hearing Room, Borough of Manhattan, commencing at 10:00 A.M., on the following:

IN THE MATTER OF 15 proposed contracts between the Department of Youth and Community Development and the Contractors listed below, for Youth and Community Development Services. The Contractors, PIN numbers and contract amounts are indicated below. For Fiscal Year 2018, the contract term shall be from July 1, 2017 to June 30, 2018, with no option to renew.

Contract Number	Contractor	Contract Amount	Contract Address
26018032666P	Muslim Community Network	\$188,500.00	239 Thompson Street, New York, NY 10012
26018062091P	Mouse, Inc.	\$170,000.00	55 Broad Street, 16th Floor, New York, NY 10004
26018038042P	New York Common Pantry Inc	\$156,000.00	8 East 109th Street, New York, NY 10029
26018062095P	Older Adults Technology Services, Inc.	\$650,000.00	168 7th Street, Brooklyn, NY 11215
26018062189P	Powermylearning, Inc.	\$480,000.00	520 8th Avenue, 10 th Floor, New York, NY 10018
26018062284P	Sunset Park Health Council, Inc.	\$105,333.00	150 55th Street, Brooklyn, NY 11220
26018038310P	Waterfront Alliance	\$157,254.00	217 Water Street, Suite 300, New York, NY 10038
26018068076P	Where to Turn	\$265,000.00	22 Brienna Court, Staten Island, NY 10309
26018068170	Wnet	\$200,000.00	825 8th Avenue 14th Floor, New York, NY 10019

The proposed contractors are being funded by City Council discretionary funds, pursuant to Section 1-02 (e) of the Procurement Policy Board Rules.

A draft copy of the proposed contracts will be available for public inspection at the Department of Youth and Community Development, Office of the Agency Chief Contracting Officer, at 2 Lafayette Street, 14 Floor, from **February 5th to February 16th**, excluding holidays and weekends, from 9:00 A.M. to 5:00 P.M.

Anyone who wishes to speak at this public hearing should request to do so in writing. The written request must be received by the Agency within 5 days after publication of this notice. Written requests to speak should be sent to Renise Ferguson, Deputy Agency Chief Contracting Officer, 2 Lafayette Street, 14th Floor, New York, NY 10007, refer Ferguson@dycd.nyc.gov. If the Department of Youth and Community Development receives no written requests to speak within the prescribed time, the Department reserves the right not to conduct the public hearing.

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AGENCY RULES

BUILDINGS

■ NOTICE

NOTICE OF ADOPTION OF RULE

NOTICE IS HEREBY GIVEN, pursuant to the authority vested in the Commissioner of the Department of Buildings by Section 643 of the New York City Charter and in accordance with Section 1043 of the Charter, that the Department of Buildings hereby adopts the amendments to Section 101-06 of Chapter 100 of Title 1 of the Official Compilation of the Rules of the City of New York, regarding Special inspectors and special inspection agencies.

This rule was first published on November 15, 2017 and a public hearing thereon was held on December 18, 2017.

Dated: 1/26/18 /s/
New York, NY Rick D. Chandler, P.E.
Commissioner

Statement of Basis and Purpose of Rule

The Department of Buildings (DOB) is amending Section 101-06 of Title 1 of the Rules of the City of New York relating to the duties, registration, renewal and discipline of special inspectors and special inspection agencies. The amendments address the following:

- 1) Clarify definitions of personnel within a special inspection agency;
- 2) Require that all applicants associated with a special inspection agency are subject to the provisions of Article 401 of Chapter 4 of Title 28 of the City Administrative Code;
- 3) Clarify the special inspection agency structure;
- 4) Clarify requirements and limitations for renewal applications of special inspection agencies; and
- 5) Direct that suspension or revocation of registration of a special inspection agency and the refusal to accept filings by a special inspection agency will be in accord with Article 401 of Chapter 4 of Title 28 of the City Administrative Code.

DOB's authority for these rules is found in Sections 643 and 1043(a) of the City Charter and Section 28-115.1 of the City Administrative Code.

New material is underlined.
[Deleted material is in brackets.]

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. Subdivision (a) of Section 101-06 of Title 1 of the Rules of the City of New York is amended to read as follows:

(a) Definitions. For the purposes of this chapter, the following terms will have the following meanings:

- (1) **Accreditation.** Evaluation of agencies, including testing and calibration laboratories, fabricators and inspection bodies, against internationally acceptable standards to demonstrate their performance capability. Such accreditation is required to be conducted by a nationally recognized accreditation agency accrediting to [ASTM E329-14 or ISO 17020-98] ISO 17020-12 international standard, the requirements of this rule, and approved by the department.

(2) **Applicant.** An owner, primary director or technical director.

- (2)[3] **Approved Construction Documents.** For the purpose of this rule approved construction documents will include any and all documents that set forth the location and entire nature and extent of the "work" proposed with sufficient clarity and detail to show that the proposed work conforms to the provisions of this code and other applicable laws and rules. Such documents will include but not be limited to shop drawings, specifications, manufacturer's instructions and standards that have been accepted by the design professional of record or such other design professional retained by the owner for this purpose.
- (13)[4] **Approved Inspection Agency.** This term has the same definition as established in Section 28-101.5 of the Administrative Code.

- (4)[5] **Certification.** Documented acknowledgment by a nationally recognized organization of a technician's competency to perform certain functions.
- (5)[6] **Commissioner.** This term has the same definition as established in Section 28-101.5 of the Administrative Code.
- (6)[7] **Department.** This term has the same definition as established in Section 28-101.5 of the Administrative Code.
- (7)[8] **Floor Area, Gross.** This term has the same definition as established in Section 1002.1 of the Building Code.
- (8)[9] **Full Demolition.** This term has the same definition as established in Section 3302.1 of the Building Code (Demolition, Full).
- (9)[10] **Job.** A construction project that is the subject of one (1) or more department-issued permits.
- (10)[11] **Major Building.** This term has the same definition as established in Section 3302.1 of the Building Code.
- (11)[12] **Materials.** This term has the same definition as established in Section 28-101.5 of the Administrative Code.
- (12)[13] **New York City Construction Codes.** The New York City Plumbing Code, the New York City Building Code, the New York City Mechanical Code, the New York City Fuel Gas Code, the New York City Energy Code, and Title 28, Chapters 1 through 5 of the Administrative Code. Any reference to "this code" or "the code" will be deemed a reference to the New York City Construction Codes as here defined.
- (14) **Owner.** Any person, agent, firm, partnership, corporation or other legal entity having a legal or equitable interest in or control of the special inspection agency.
- (13)[15] **Partial Demolition.** This term has the same definition as established in Section 3302.1 of the Building Code (Demolition, Partial).
- (16) **Primary Director.** An individual possessing one or more of the following licenses: Master Electrician, Master Fire Suppression Piping Contractor, Oil Burning Equipment Installer, Master Plumber, or Registered Design Professional and designated as "Director" in the Department's Special Inspection Agency registration.
- (14)[17] **Registered Design Professional.** A New York State licensed and registered architect (RA) or a New York State licensed and registered professional engineer (PE).
- (15)[18] **Registered Design Professional of Record.** The registered design professional who prepared or supervised the preparation of applicable construction documents filed with the department.
- (16)[19] **Relevant Experience.** Direct participation and practice related to the underlying construction activities that are the subject of the special inspection where such participation has led to accumulation of knowledge and skill required for the proper execution of such inspection.
- (17)[20] **Special Inspection.** Inspection of selected materials, equipment, installation, methods of construction, fabrication, erection or placement of components and connections, to ensure compliance with approved construction documents and referenced standards as required by Chapter 17 of the Building Code or elsewhere in the code or its referenced standards.
- (18)[21] **Special Inspection Agency.** An approved inspection agency employing one (1) or more persons who are special inspectors and that has met all requirements of this rule.
- (19)[22] **Special Inspection Category.** The specific type(s) of special inspection(s) that a special inspection agency may perform in accordance with Appendix A of this rule.
- (20)[23] **Special Inspector.** An individual employed by a special inspection agency, who has the required qualifications set forth in this rule to perform or witness particular special inspections required by the code or by the rules of the department, including but not limited to a qualified registered design professional.
- (21)[24] **Supervise/Supervision.** With respect to a designated Primary Inspector or Inspection Supervisor as indicated in Appendix A, supervision will mean oversight and responsible control by a registered design professional having the necessary qualifications and relevant experience to perform responsibilities associated with the special inspection. Such supervision will include

ensuring training and/or education necessary to qualify the special inspector for his or her duties, including continued training and education necessary to keep pace with developing technology.

[Field supervision will include responsibility for determining competence of special inspectors for the work they are authorized to inspect and on-site monitoring of the special inspection activities at the job site to assure that the qualified special inspector is performing his or her duties when work requiring inspection is in progress.]

Field supervision by the primary inspector or inspection supervisor, per Appendix A, will include responsibility for determining competence of special inspectors for the work they are authorized to inspect and on-site monitoring of the special inspection activities at the job site to ensure that a qualified special inspector is performing his or her duties when work requiring inspection is in progress.

With respect to a primary director or technical director of a Special Inspection Agency, supervision will mean oversight and responsible control by a registered design professional who must ensure that qualified inspectors are dispatched for special inspections, that such special inspectors properly document their activities, and that reports and logs are prepared in accordance with Section 28-114.2 of the Administrative Code. Such supervision will include ensuring training and/or education necessary to qualify the special inspector for his or her duties, including continued training and education necessary to keep pace with developing technology.

If the primary director or technical director of a special inspection agency is not a registered design professional, that special inspection agency shall be limited to performing only those special inspections, per Appendix A, that the special inspection agency's primary director or technical director possesses a department-issued license to perform.

(25) Technical Director: An individual possessing one or more of the following licenses: Master Electrician, Master Fire Suppression Piping Contractor, Oil Burning Equipment Installer, Master Plumber, or Registered Design Professional and designated as "Director" in the Department's Special Inspection Agency registration.

(22)(26) Technician. A person employed by the special inspection agency assigned to perform the inspection or testing of construction activities or materials used in construction or both. See ASTM E 329-14, Paragraph 3.1.8.

(23)(27) Work. The construction activity including techniques, tests, materials and equipment that is subject to special inspection.

§2. Paragraph (4) of Subdivision (b) of Section 101-06 of Title 1 of the Rules of the City of New York is amended to read as follows:

(4) Documentation. A special inspection agency shall maintain records of special inspections on a job-by-job basis for at least six (6) years or for such period as the commissioner shall determine, and shall make such records available to the department upon request. Such records shall include field logs, test results, laboratory reports, notes, noted deficiencies and dates of cures of such deficiencies, photographs and such other information as may be appropriate to establish the sufficiency of the special inspection[.] as per Chapter 17 of the Building Code or elsewhere in the New York City Construction Codes or their referenced standards.

(i) The [supervisor] primary inspector or inspection supervisor, per Appendix A, shall review special inspection progress reports and final reports for conformance with the approved plans, specifications and workmanship provisions of Chapter 17 of the New York City Building Code or elsewhere in the construction codes or their referenced standards. Such supervision and control shall be evidenced by the supervisor's signature and seal upon any required statements, applications and/or reports.

(ii) The [principal] primary director or technical director of the special inspection agency shall file with the department within ten (10) days of satisfactory completion of any special inspection, notice of such completion on the forms and in the manner required by the department.

§3. Paragraphs (1), (3), (4), (5), (6) and (10) of Subdivision (c)

of Section 101-06 of Title 1 of the Rules of the City of New York are amended to read as follows:

(1) General. All agencies performing special inspections must be registered with the department as special inspection agencies. Applicants, both at the time of their approval and at the time of all subsequent renewals, are subject to the provisions of Article 401 of Chapter 4 of Title 28 of the Administrative Code.

(3) Agency Accreditation. For class 1 special inspection agencies only, accreditation is required by the accreditation deadline in order to maintain their class 1 registration status. These agencies will be required to demonstrate accreditation for their intended scope of work by an approved accrediting body accrediting to the standards set forth in this rule and [either ASTM E329-14 or] ISO 17020-12. Accrediting bodies other than federal agencies are required to operate in accordance with ISO 17011-04 and must be members of an internationally recognized cooperation of laboratory and inspection accreditation bodies subject to a mutual recognition agreement.

[Exception: For the following special inspection categories, the accreditation deadline shall be twelve months from the effective date of this rule amendment:

- (i) Mastic and Intumescent Fire-resistant Coatings;
- (ii) High Temperature Hot Water Piping;
- (iii) Post-Installed Anchors (Concrete & Masonry); and
- (iv) Raising and Moving of a Building.]

(4) Qualifications. All special inspection [agencies] agency primary directors and technical directors must ensure that the special inspectors employed by the agency meet the qualification requirements set forth in Appendix A of this rule and perform special inspections only within the area of expertise for which such special inspectors are qualified. A Professional Engineer who is listed in Appendix A as requiring qualification in civil, structural, mechanical, electrical, fire protection, geotechnical or such other designation must have had the education, training and experience that has led to an accumulation of knowledge and skill required for the New York State-licensed Professional Engineer to hold himself/herself out as a professional practicing in that field.

(5) Insurance. All special inspection agencies must have the following insurance coverage:

- (i) Professional liability/errors and omissions insurance policy for the minimum amount of five hundred thousand dollars (\$500,000.00), claim-based, for the term of the registration.

Exception: An agency that is limited to performing:

- (1) fuel-oil storage and fuel-oil piping inspections,
- (2) sprinkler system[s] inspections,
- (3) standpipe system[s] inspections,
- (4) emergency and standby power system[s] inspections,
- (5) private on-site storm water disposal and detention system installation, and/or
- (6) individual on-site private sewage disposal system installation special inspections, and whose primary director or technical director is not a registered design professional, will be exempt from obtaining professional liability insurance coverage. This exception applies so long as the primary director or technical director maintains the insurance requirements required for his/her respective license in accordance with Chapter 4 of Title 28 of the Administrative Code.

- (ii) Insurance required by the provisions of the New York State Workers' Compensation and disability benefits laws.

(6) Agency Structure. A special inspection agency must have one primary director and up to four (4) alternative full-time technical directors who are registered design professionals in charge and all special inspections must be performed under their direct supervision. [The] Neither the primary director nor the technical directors [must not] may be retained by any other agency that provides special inspection or testing services[.], nor shall they be an owner of another special inspection or testing agency or be involved in the supervision of such an agency. The primary director or technical directors

must possess relevant experience in the inspection and testing industry and hold management positions in the agency. The agency structure must comply with all relevant New York State and Federal laws. Notwithstanding anything to the contrary set forth in this paragraph, an agency that is limited to performing:

- (1) fuel-oil storage and fuel-oil piping inspections,
- (2) sprinkler system[s] inspections,
- (3) standpipe system[s] inspections,
- (4) emergency and standby power system[s] inspections,
- (5) private on-site storm water disposal and detention system installation, or
- (6) individual on-site private sewage disposal system installation special inspections may have a primary director and technical directors who satisfy the requirements of primary inspector or inspection supervisor for such tests and inspections as set forth in Appendix A of this rule.

(10) Renewals. [A renewal application must be submitted between sixty (60) and ninety (90) days prior to the expiration date of the registration and must be accompanied by proof that the agency has during the one (1) year period immediately preceding renewal, maintained all certifications/ accreditations and other requirements set forth in this rule and its Appendix.]

(i) Renewal will be precluded where there has been a finding by the commissioner that any special inspection or test conducted by the special inspector or special inspection agency has not been performed in accordance with the requirements set forth in the code, applicable reference standards or the rules of the department, or where there has been a finding by the commissioner of fraud or misrepresentation on any document or report submitted to the department by the special inspector or special inspection agency[.], or where there has been a finding by the commissioner that any special inspection has been performed by an inspector who does not possess the proper qualifications.

(ii) Renewal will be precluded where there has been a finding by the commissioner that the owner, primary director or technical director has not demonstrated good moral character.

(iii) Renewal will be precluded where there has been a finding that the owner or primary director or technical director, after an opportunity to be heard, knowingly or negligently made a false statement or knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this chapter, the code or any rule or regulation of any agency.

(iiiiv) No special inspector or special inspection agency will perform an inspection or test with an expired or lapsed New York State license or department registration. The filing of any report with an expired or lapsed registration, or the filing of any report for any inspection performed with an expired or lapsed registration, shall be considered a false filing, per Article 211 of Chapter 2 of Title 28 of the Administrative Code.

(v) If, after a period of one year from the date of the expiration of its registration, the special inspection agency has failed to apply for late renewal of its registration, the special inspection agency shall be required to register anew subject to the provisions of this Rule.

§4. Paragraphs (1) and (2) of Subdivision (d) of Section 101-06 of Title 1 of the Rules of the City of New York are amended to read as follows:

- (1) Suspension or revocation of registration and refusal to accept filings. The commissioner may, in accordance with the provisions of Article 401 of Chapter 4 of Title 28 of the Administrative Code and the rules of the department, suspend or revoke a special inspection agency registration, with or without the imposition of penalties, for violation of any provision of the [code] New York City Construction Codes or the rules of the department, or any other applicable laws or rules. The commissioner may refuse to accept any application or other document submitted, pursuant to or in satisfaction of any requirement of this rule or of Chapter 17

of the New York City Building Code or any rule or regulation of any agency that bears the signature of any [special inspector] primary director or technical director who has been found, after an opportunity to be heard, to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this chapter, the code or any rule or regulation of any agency.

- (2) Stop [work] Work and Suspension of Permits. Upon any suspension or revocation of registration, pursuant to paragraph (d)(1) of this rule, unless replaced by another registered [special inspector and/or] special inspection agency within five (5) business days of such suspension or revocation, all jobs on which the [special inspector and/or] special inspection agency whose registration was suspended or revoked is designated, shall be stopped and the permits shall be suspended until such time as a duly registered [special inspector and/or] special inspection agency is designated to reinspect such tests or such inspections performed by the disciplined special inspection agency, or until such permits expire.

§5. The row of Section 4 of Appendix A of Section 101-06 of Title 1 of the Rules of the City of New York, relating to Excavation - Sheeting, Shoring, and Bracing, is amended to read as follows:

Special Inspection Category	2014 Code Section	Qualifications ^{1,2}	
		Primary Inspector or Inspection Supervisor	Supplemental Inspector (Alternative 1) - under direct supervision of Inspection Supervisor

4. Structural Materials & Construction Operations

Excavation - Sheeting, Shoring, and Bracing	BC 1704. [19]20.2, 3304.4.1	<ul style="list-style-type: none"> • PE – Geotechnical, Civil, or Structural; and • 1 year relevant experience 	<ul style="list-style-type: none"> • Bachelor's degree in Geotechnical, Civil or Structural; and • 3 years relevant experience 	<ul style="list-style-type: none"> • Technician with 5 years relevant experience
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NOTICE OF ADOPTION OF RULE

NOTICE IS HEREBY GIVEN, pursuant to the authority vested in the Commissioner of the Department of Buildings by Section 643 of the New York City Charter and in accordance with Section 1043 of the Charter, that the Department of Buildings hereby adopts the amendments to Section 102-01 of Subchapter B of Chapter 100 of Title 1 of the Official Compilation of the Rules of the City of New York, regarding Violation Classification and Certification of Correction.

This rule was first published on December 14, 2017 and a public hearing thereon was held on January 16, 2018.

Dated: 1/26/18
New York, NY

/s/
Rick D. Chandler, P.E.
Commissioner

Statement of Basis and Purpose

The proposed rule updates DOB's Buildings Penalty Schedule to better reflect the current construction environment and to encourage construction safety. The last major overhaul of the Buildings Penalty Schedule occurred in 2008.

Because the increased costs of construction have reduced the deterrent effects of the 2008 penalties, the Department reviewed individual infractions and assigned new standard penalties for construction and equipment safety-related violations. The decision to increase these penalties was based on the seriousness of the violation and is intended to discourage unsafe practices in the construction industry. In addition, to ensure greater consistency and simplify its penalty schedule, the Department created standard baseline penalties within each class of violation.

The schedule has also been updated to add new penalties, including for violations related to lift directors and cranes and derricks.

DOB's authority to enforce these rules is found in Sections 643 and

1043(a) of the New York City Charter.

New material is underlined.
[Deleted material is in brackets.]

“Shall” and “must” denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. Subdivisions (d), (f), (g), (i), and (k) of Section 102-01 of Title 1 of the Rules of the City of New York are amended to read as follows:

(d) Mitigated and zero penalties. Mitigated or zero penalties are available in the following circumstances under the following conditions.

(1) Cure¹ An eligible violation may be cured by correction before the first scheduled hearing date at ECB. All violations that are designated as Class 3 violations are eligible for cure. Some, but not all, types of violations that are designated as Class 2 violations are eligible for cure. Those types of Class 2 violations that are eligible for cure will be indicated within the [ECB] Buildings Penalty Schedule found below [in Chapter 31 of Title 15 of the Rules of the City of New York (“ECB Buildings Penalty Schedule”). In order to cure, a certificate of correction acceptable to the Department must be filed at the Department within forty days from the date of the Commissioner’s order to correct set forth in the NOV. A cure constitutes an admission of the violation; dispenses with the need for a hearing at ECB; constitutes a predicate violation for subsequent violations; and, consistent with the provisions of Section 28-204.2, and with the provisions of the [ECB] Buildings Penalty Schedule, results in a zero penalty. A violation that has been charged as an Aggravated II violation is never eligible for a cure, even if there is a “Yes” in the “Cure” column in the [ECB] Buildings Penalty Schedule for that violation description.

(2) Stipulation. An eligible violation may be subject to stipulation where the Commissioner offers to the respondent a stipulation prior to or at a hearing to extend the time for compliance upon such terms and conditions as the Commissioner prescribes. Violations that are eligible for stipulation are indicated as such on the [ECB] Buildings Penalty Schedule. The respondent must admit the violation subject to stipulation and agree to correct it and file an acceptable certification of correction with the Department. The stipulation may be signed and submitted to ECB either before the first scheduled hearing date at ECB or else on the first scheduled hearing date but prior to any actual hearing on that date., in which case it is considered a pre-hearing stipulation, or may be entered into at the first ECB hearing in which case it is considered a hearing stipulation. A reduced penalty will be imposed in connection with a pre-hearing stipulation in an amount indicated for the charge in question in the [ECB] Buildings Penalty Schedule. Specifically, the penalty imposed for that violation will be half of the penalty amount (rounded to the nearest dollar) of the penalty amount that would otherwise have been imposed at a hearing for that particular violation. In connection with a stipulation entered into at a hearing, a hearing penalty will be imposed in an amount indicated for the charge in question in the [ECB] Buildings Penalty Schedule. A stipulation, whether a pre-hearing stipulation or a hearing stipulation, gives the respondent seventy-five days from the first scheduled hearing date within which to correct the violation and file a certificate of correction, failing which any reduced penalty that may have been imposed in connection with a pre-hearing stipulation will be adjusted to the standard hearing penalty set forth in the [ECB] Buildings Penalty Schedule. A stipulation is effective only if it is approved by ECB. A pre-hearing stipulation dispenses with the need for a hearing at ECB. No stipulation must take effect unless, in the case of a pre-hearing stipulation, it is offered by the Department prior to the first scheduled hearing date, signed by respondent prior to the first scheduled hearing date and approved by ECB in writing, or unless, in the case of a hearing stipulation, it is offered by the Department, at the hearing, accepted by the respondent at that hearing, and is approved in writing by ECB. A violation that has been charged as an Aggravated II violation is never eligible for a stipulation, even if there is a “Yes” in the “Stipulation” column in the [ECB] Buildings Penalty Schedule for that violation description.

(3) Mitigation. An eligible violation may be subject to mitigation where the respondent proves at the hearing that the condition was corrected prior to the first scheduled hearing date at ECB. Violations that are eligible for mitigation are indicated as such on the [ECB] Buildings Penalty Schedule. A penalty is imposed on mitigations in accordance with the [ECB] Buildings Penalty Schedule. If a mitigated penalty is imposed, that penalty will

be half of the penalty amount of the penalty amount that would otherwise have been imposed at a hearing for that particular violation. An acceptable certificate of correction must thereafter be filed at the Department. A violation that has been charged as an Aggravated II violation is never eligible for mitigation, even if there is a “Yes” in the “Mitigation” column in the [ECB] Buildings Penalty Schedule for that violation description.

(f) Aggravated penalties. Aggravated penalties may [shall] be imposed in accordance [with the ECB Buildings Penalty Schedule and] with the following provisions. Notice of aggravated penalties may [shall] either be set forth in the NOV or otherwise provided to the respondent prior to the date of the first scheduled hearing at ECB.

(1) Aggravated penalties of the first order. Aggravated penalties of the first order (“Agg. I”) may [shall] be imposed when evidence establishes the same condition or the same charge under the New York City Construction Codes or the predecessor charge under the laws in effect prior to July 1, 2008 in a prior enforcement action against the same owner or responsible party during the previous three years.

(2) Aggravated penalties of the second order. Aggravated penalties of the second order (“Agg. II”) may [shall] be imposed in the following instances:

- (i) When the respondent or defendant is found in violation of any law or rule enforced by the Department where the violation of law is accompanied by or results in an accident, or poses a substantial risk thereof; is accompanied by, or results in a fatality or serious injury, or poses a substantial risk thereof; or where the violating condition affects a significant number of people; or
- (ii) Where the respondent or defendant refuses to give the Department requested information necessary to determine the condition of a building or site; or
- (iii) Where the respondent or defendant has a history of non-compliance with laws or rules enforced by the Department, at one or more locations, including but not limited to a pattern of unreasonable delays in correcting violations, a pattern of failing to obey Stop Work Orders, filing false documents, or multiple defaults.
- (iv) For purposes of this section, “in violation” must mean to be adjudged in violation of any law or rule enforced by the Department following a hearing, to admit the charge, or to sign a stipulation agreement either at or before a hearing before any administrative or judicial tribunal. Failure to appear at a hearing leading to entry of a default order or judgment must also be deemed a finding “in violation.”

(g) Additional Daily and Monthly Penalties. Additional daily penalties may be imposed in connection with certain continuing and uncorrected Class 1 violations. Additional monthly penalties may be imposed in connection with certain continuing and uncorrected Class 2 violations. If the Department seeks such penalties in connection with a particular Class 1 or Class 2 charge, that will be indicated on the NOV. Such daily or monthly penalties, if applicable, are in addition to the set, flat-amount penalty that also is indicated in the [ECB] Buildings Penalty Schedule as applicable to the type of violation in question taking into account the classification level and Aggravated level of the particular violation. Imposition of such additional daily and monthly penalties is authorized, pursuant to Section 28-202.1 of the New York City Administrative Code.

(1) Accrual of Daily Penalties. Daily penalties, if applicable, will accrue at the rate of \$1,000 per day for a total of forty-five days running from the date of the Commissioner’s order to correct set forth in the NOV, unless the violating condition is proved by the respondent at the hearing to have been corrected prior to the end of that forty-five day period, in which case the daily penalties will accrue for every day up to the date of that proved correction.

(2) Accrual of Monthly Penalties. Monthly penalties, if applicable, accrue at the rate of \$250 per month for a total of one month running from the date of the Commissioner’s order to correct set forth in the NOV, unless the violating condition is proved by the respondent at the hearing to have been corrected prior to the end of a month period.

(i) Legal References. The legal references referred to in the table below [], which reflect the classification of violations and the Penalty Schedule, include the following:

- (1) Title 28 of the New York City (NYC) Administrative Code. References to Title 28 of the NYC Administrative Code begin with “28.” (for example, “28-201.1”). The citation “28-Misc.” refers to provisions of Title 28 that are not specifically designated elsewhere in the table.
- (2) Chapter 1 of Title 27 of the NYC Administrative Code (also known as the “1968 Building Code”) and Chapter 3 of the same (also known as the “Electrical Code”). References to these chapters of Title 27 of the NYC Administrative Code begin with “27-” [] (for example, “27-371”). The citation “27-Misc.” refers to provisions of Title 27 that are not specifically designated

1 Section 28-204.2 of the Administrative Code provides for a zero penalty for Lesser violations that are corrected within the prescribed, or cure, period. For purposes of this rule, certain Major violations will also be treated as eligible for cure to the extent that Section 28-202.1 of the Administrative Code specifies no minimum penalty for such violations.

elsewhere in the table.

(3) The "New York City Construction Codes," which consist of:

- The New York City Plumbing Code (PC)
- The New York City Building Code (BC)
- The New York City Mechanical Code (MC)
- The New York City Fuel Gas Code (FGC).
- The New York City Energy Conservation Code (ECC).

References to these New York City Construction Codes are designated by the various abbreviations set out above (for example, "BC3010.1"). The citations "BC-Misc.," "PC-Misc.," "MC-Misc.," "FGC-Misc.," "ECC-R Misc." and "ECC-C Misc." refer to provisions of the New York City Building, Plumbing, Mechanical, Fuel Gas, or Energy Conservation codes that are not specifically designated elsewhere in the table.

(4) Appendices to the New York City Construction Codes. The New York City Construction Codes include all enacted appendices. Administrative Code §28-102.6. References to Appendices are cited by using the abbreviation for the particular Construction Code followed by the applicable Appendix letter (for example, "H") followed by the applicable section number (for example, "BC H103.1").

(5) The NYC Zoning Resolution (ZR) and the Rules of the City of New York (RCNY). References to the Zoning Resolution and to the Rules of the City of New York are designated by the abbreviations "ZR" and "RCNY" (for example, "ZR25-41"; "1 RCNY9-01"). The citations "1 RCNY-Misc." and "ZR-Misc." refer to provisions of 1 RCNY or the Zoning Resolution that are not specifically designated elsewhere in the table.

(6) Reference Standards that pertain to Title 27 of the NYC Administrative Code (RS). References to the Reference Standards are designated by the abbreviation set out above (for example, "RS-16"). The citation "RS-Misc." refers to Reference Standards

that are not specifically designated elsewhere in the table.

(7) Citations to the New York City Construction Codes. Whenever a section or subdivision of the New York City Construction Codes is cited or referred to, subordinate consecutively numbered subdivisions or paragraphs of the cited provision are deemed to be included in such reference unless the context or subject matter requires otherwise.

(8) Electrical Code Technical Standards. References to sections of the National Fire Protection Association NFPA 70 National Electrical Code as adopted and/or amended by New York City begin with "EC" (for example, "EC 250.14"). The citation "EC-Misc" refers to provisions of the Electrical Code Technical Standards that are not specifically designated elsewhere in the table.

(9) 2008 code. References to the 2008 code pertain to the New York City Construction Codes effective on July 1, 2008 and any applicable subsequent amendments prior to December 31, 2014.

(10) 2014 code. References to the 2014 code pertain to the amendments and additions to the New York City Construction Codes effective on December 31, 2014 and any applicable subsequent amendments.

(k) Classification of particular violations. The table below sets forth the penalties imposed in connection with Summonses, as defined in Section 6-01 of Title 48 of the Rules of the City of New York, and/or Notices of Violation returnable to ECB that are issued on or after July 1, 2008. Particular violations shall be classified as indicated in the following table:

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
1 RCNY-Misc., RS-Misc.	Class 1	Miscellaneous violations.	No	No	[\$1,600] \$2,500	No	[\$8,000] \$12,500	[\$4,000] \$6,250	[\$16,000] \$25,000	[\$8,000] \$12,500	\$25,000
1 RCNY-Misc., RS-Misc.	Class 2	Miscellaneous violations.	Yes	Yes	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
1 RCNY-Misc., RS-Misc.	Class 3	Miscellaneous violations.	Yes	Yes	[\$300] \$500	Yes	\$500	\$500	\$500	\$500	\$500
1 RCNY 5-02	Class 2	Failure to meet the requirements of licensing/ identification/ qualification as required by 1 RCNY 5-02.	Yes	No	[\$800] \$2,500	Yes	[\$4,000] \$10,000	[\$2,000] \$6,250	[\$8,000] \$10,000	[\$4,000] \$10,000	\$10,000
1 RCNY 104-20	Class 1	Licensed Rigger designated an unqualified foreman.	No	No	[\$1,600] \$2,500	No	[\$8,000] \$12,500	[\$4,000] \$6,250	[\$16,000] \$25,000	[\$8,000] \$12,500	\$25,000
1 RCNY 104-20	Class 2	Licensed Rigger designated an unqualified foreman.	No	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
1 RCNY 49-03	Class 1	Outdoor Advertising Company failed to comply with Commissioner's sign-related Order.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 101-07	Class 2	Failure of approved agency to comply with requirements of 1 RCNY 101-07.	Yes	No	[\$800] \$2,500	Yes	[\$4,000] \$10,000	[\$2,000] \$6,250	[\$8,000] \$10,000	[\$4,000] \$10,000	\$10,000
1 RCNY 103-04(b) (5)(iii)	Class 2	Removal of public protection from unsafe façade without approval from the department.	No	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
[1 RCNY 3301-02(c)] BC 3301.13.7 thru BC 3301.13.13	Class 1	Construction Superintendent failed to perform duties per [rule] code.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
[1 RCNY 3301-02(c)] <u>BC 3301.13.7 through BC 3301.13.13</u>	Class 2	Construction Superintendent failed to perform duties per [rule] code.	No	No	\$5,000	Yes	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
[1 RCNY 3301-02(c) (7)] <u>BC 3301.13.10</u>	Class 1	Construction superintendent failed to immediately notify the department of conditions as required.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
<u>1 RCNY 3319-02 (c)</u>	Class 1	Equipment User failed to designate a lift director	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
<u>1 RCNY 3319-02 (c)(1)</u>	Class 1	No lift director on site	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
<u>1 RCNY 3319-02(c) (2)</u>	Class 1	Equipment User failed to designate a qualified and competent Lift Director	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
<u>1 RCNY 3319-02 (c) (3)</u>	Class 1	Equipment user failed to designate the proper party as lift director	No	No	\$2,500	Yes	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
<u>1 RCNY 3319-01(i) (6)</u>	Class 1	No Assembly/Disassembly Director on site	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
<u>1 RCNY 3319-01 (i) (6) (i)</u>	Class 1	Unqualified/ Incompetent Assembly/ Disassembly Director on site	No	No	\$5,000	Yes	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
<u>1 RCNY 3319-01 (i)(6)</u>	Class 1	Failed to assemble or disassemble or ensure crane or derrick assembled/ dissembled as per plans	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
<u>1 RCNY 3319-01(i) (6)</u>	Class 2	Failed to assemble or disassemble or ensure crane or derrick assembled/ dissembled as per plans	No	No	\$1,250	No	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
<u>1 RCNY 3319-01 (k) (1)(ii)</u>	Class 1	HMO failed to provide proof of conducting a frequent inspection	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
<u>1 RCNY 3319-01(u)</u>	Class 1	Failed to provide/ maintain the required documents	No	Yes	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
<u>1 RCNY 3319-01 (H)</u>	Class 1	Equipment User failed to maintain crane or derrick log	No	Yes	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
<u>1 RCNY 3319-01(c) (5)</u>	Class 1	Equipment user failed to make the proper notification to the department	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
<u>1 RCNY 3319-01(c) (5)</u>	Class 2	<u>Equipment user failed to make the proper notification to the department</u>	No	Yes	\$5,000	Yes	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
<u>1 RCNY 3319-01 (t)(7)</u>	Class 1	<u>No anemometer/ non-working anemometer on site or in machine</u>	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
<u>1 RCNY 3319-01 (t)(7)</u>	Class 2	<u>No anemometer/ non-working anemometer on site or in machine</u>	No	Yes	\$5,000	Yes	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
<u>1 RCNY 3319-02 (e)</u>	Class 1	<u>Lift director failed to perform duties as required</u>	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
<u>1 RCNY 3319-02 (e)</u>	Class 2	<u>Lift director failed to perform duties as required</u>	No	No	\$5,000	Yes	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
<u>1 RCNY 3319-02(f)</u>	Class 1	<u>Lift director failed to notify the department of a violation</u>	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
<u>1 RCNY3319-02(j)(3)</u>	Class 1	<u>Lift director failed to conduct/ record meeting (pre-shift or subsequent)</u>	Yes	Yes	\$5,000	Yes	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
27-228.5	Class 2	Failure to file an Architect/ Engineer report certifying that exit/directional signs are connected to emergency power source/ storage battery equipment.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
27-369, BC 1020.2 (2008 code), & BC 1023.2 (2014 code)	Class 1	Failure to provide unobstructed exit passageway.	No	No	[\$1,200] \$1,250	No	[\$6,000] \$6,250	[\$3,000] \$3,125	[\$12,000] \$12,500	[\$6,000] \$6,250	\$25,000
27-371, BC 715.3.7 (2008 code) & BC 715.4.8 (2014 code)	Class 2	Exit door not self-closing.	Yes	No	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
27-382 & BC 1006.3	Class 2	Failure to provide power for emergency exit lighting.	Yes	No	[\$500] \$1,250	Yes	[\$2,500] \$6,250	[\$1,250] \$3,125	[\$5,000] \$10,000	[\$2,500] \$6,250	\$10,000
27-383(b), BC 403.16 (2008 code) & BC 403.5.5 (2014 code)	Class 1	Failure to install luminous egress or photoluminescent exit path marking in a high-rise building.	No	No	[\$4,800] \$2,500	Yes	[\$24,000] \$25,000	[\$12,000] \$6,250	\$25,000	[\$24,000] \$25,000	\$25,000
27-391 & BC 3002.3	Class 2	Emergency signs at elevator call stations missing, defective or non-compliant with section requirements.	Yes	Yes	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
27-393, BC 1019.1.7 (2008 code) & BC 1022.8 (2014 code)	Class 2	Stair and/or floor identification signs missing and/or defective.	Yes	Yes	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
27-509, BC 3111.1 (2008 code) & BC 3112.1 (2014 code)	Class 3	Fence exceeds permitted height.	Yes	Yes	[\$200] \$500	Yes	\$500	\$500	\$500	\$500	\$500
27-528, BC 1024.1.3 (2008 code) & BC 1028.1.3 (2014 code)	Class 2	Approved Place of Assembly plans not available for inspection.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
27-901(z) (1) & PC 301.6	Class 2	Piping installed in elevator/ counterweight hoistway.	Yes	No	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
27-904 & FGC 406.6.2	Class 1	Gas being supplied to building without inspection and certification by DOB.	No	No	[\$1,000] \$5,000	No	[\$5,000] \$25,000	[\$2,500] \$12,500	[\$10,000] \$25,000	[\$5,000] \$25,000	\$25,000
27-904 & FGC 406.6.2	Class 2	Gas being supplied to building without inspection and certification by DOB.	No	No	[\$500] \$2,500	Yes	[\$2,500] \$10,000	[\$1,250] \$6,250	[\$5,000] \$10,000	[\$2,500] \$10,000	\$10,000
27-921(a), PC 107.3 (2008 code) & PC 107.4 (2014 code)	Class 1	Failure to have new or altered plumbing system tested.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000
27-921(a), PC 107.3 (2008 code) & PC 107.4 (2014 code)	Class 2	Failure to have new or altered plumbing system tested.	Yes	No	[\$500] \$1,250	Yes	[\$2,500] \$6,250	[\$1,250] \$3,125	[\$5,000] \$10,000	[\$2,500] \$6,250	\$10,000
27-972(h), BC 907.2.12.3 (2008 code) & BC 907.2.13.3 (2014 code)	Class 2	Failure to install an acceptable two-way voice communication system with central station connection.	Yes	No	[\$500] \$2,500	Yes	[\$2,500] \$10,000	[\$1,250] \$10,000	[\$5,000] \$10,000	[\$2,500] \$10,000	\$10,000
27-3017	Class 1	Performed unlicensed electrical work.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
27-3018(b)	Class 1	Electrical work without a permit.	No	No	\$1,600	Yes	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
27-3018(b)	Class 2	Electrical work without a permit.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
27-3018(b)	Class 3	Electrical work without a permit.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500	\$500
27-3018(b)	Class 3	Failure to conspicuously post electrical work permit while work is in progress.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500	\$500
27-3018(b)	Class 1	Electrical work does not conform to approved submittal documents/ amendments.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
27-3018(b)	Class 2	Electrical work does not conform to approved submittal documents/ amendments.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
27-3018(b)	Class 3	Electrical work does not conform to approved submittal documents/ amendments.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
27-3018(i)	Class 2	Installed more than the authorized number of electric meters.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
27-Misc, 28-Misc, BC -Misc	Class 1	Miscellaneous violations.	No	No	[\$1,600] \$2,500	No	[\$8,000] \$12,500	[\$4,000] \$6,250	[\$16,000] \$25,000	[\$8,000] \$12,500	\$25,000
27-Misc, 28-Misc, BC -Misc	Class 2	Miscellaneous violations.	Yes	Yes	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$12,500	[\$4,000] \$6,250	\$10,000
27-Misc, 28-Misc, BC -Misc	Class 3	Miscellaneous violations.	Yes	Yes	[\$300] \$500	Yes	\$500	\$500	\$500	\$500	\$500
28-103.21.1	Class 1	Failed to notify the department of an incident that resulted in a fatality or injury	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-103.21.1	Class 2	Failure to notify the department of an incident that resulted in a fatality or injury in a timely fashion	No	No	\$2,500	No	\$12,500	\$5,000	\$10,000	\$10,000	\$10,000
28-103.21.1	Class 1	Failure to include the information required by 28-103.21 when notifying the department of an incident that resulted in a fatality or injury	No	No	\$2,500	No	\$12,500	\$5,000	\$25,000	\$25,000	\$25,000
28-103.21.1	Class 2	Failure to include the information required by 28-103.21 when notifying the department of an incident that resulted in a fatality or injury	Yes	Yes	\$1,250	No	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-104.2.2	Class 2	Failure to provide approved/ accepted construction documents at job site at time of inspection.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-105.1	Class 1	Work After Hours Without a Variance Permit contrary to 28-105.12.5.	No	No	[\$1,600] \$2,500	Yes	[\$8,000] \$12,500	[\$4,000] \$6,250	[\$16,000] \$25,000	[\$8,000] \$12,500	\$25,000
28-105.1	Class 2	Work After Hours Without a Variance Permit contrary to 28-105.12.5.	No	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
28-105.1	Class 1	Work without a permit.	No	No	\$1,600	Yes	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
28-105.1	Class 2	Work without a permit.	Yes	Yes	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$12,500	[\$4,000] \$6,250	\$10,000
28-105.1	Class 3	Work without a permit.	Yes	Yes	[\$200] \$500	Yes	\$500	\$500	\$500	\$500	\$500

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
28-105.1	Class 1	Construction or alteration work w/o a permit in manufacturing district for residential use.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
28-105.1	Class 2	Construction or alteration work w/o a permit in manufacturing district for residential use.	No	No	[\$1,500] \$2,500	Yes	[\$7,500] \$10,000	[\$3,750] \$6,250	\$10,000	[\$7,500] \$10,000	\$10,000
28-105.1	Class 1	Demolition work without required demolition permit.	No	No	[\$4,800] \$5,000	No	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000	[\$24,000] \$25,000	\$25,000
28-105.1	Class 1	Plumbing work without a permit in manufacturing district for residential use.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
28-105.1	Class 2	Plumbing work without a permit in manufacturing district for residential use.	No	Yes	[\$1,500] \$2,500	Yes	[\$7,500] \$10,000	[\$3,750] \$6,250	\$10,000	[\$7,500] \$10,000	\$10,000
28-105.1	Class 2	Outdoor sign on display structure without a permit.	No	Yes	[\$1,200] \$1,250	Yes	[\$6,000] \$6,250	[\$3,000] \$3,125	\$10,000	[\$6,000] \$6,250	\$10,000
28-105.1	Class 1	Outdoor Advertising Company sign on display structure without a permit.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-105.11	Class 2	Failure to post or properly post permit for work at premises.	Yes	Yes	[\$800] \$625	Yes	[\$4,000] \$3,125	[\$2,000] \$1,563	[\$8,000] \$6,250	[\$4,000] \$3,125	\$10,000
28-105.12.1	Class 2	Outdoor sign permit application contrary to Code and ZR requirements.	No	No	[\$2,400] \$2,500	No	\$10,000	[\$6,000] \$6,250	\$10,000	\$10,000	\$10,000
28-105.12.2	Class 1	Work does not conform to approved construction documents and/ or approved amendments.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000
28-105.12.2	Class 2	Work does not conform to approved construction documents and/ or approved amendments.	Yes	Yes	[\$500] \$1,250	Yes	[\$2,500] \$6,250	[\$1,250] \$3,125	[\$5,000] \$10,000	[\$2,500] \$6,250	\$10,000
28-105.12.2	Class 3	Work does not conform to approved construction documents and/ or approved amendments.	Yes	Yes	[\$200] \$500	Yes	\$500	\$500	\$500	\$500	\$500
28-105.12.2	Class 1	Work does not conform to approved construction documents and/ or approved amendments in a manufacturing district for residential use.	No	No	[\$4,800] \$5,000	No	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000	[\$24,000] \$25,000	\$25,000

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28-105.12.2	Class 2	Work does not conform to approved construction documents and/or approved amendments in a manufacturing district for residential use.	No	No	[\$2,400] \$2,500	Yes	\$10,000	[\$6,000] \$6,250	\$10,000	\$10,000	\$10,000
28-105.12.2	Class 1	Place of Assembly contrary to approved construction documents.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000
28-105.12.2	Class 2	Place of Assembly contrary to approved construction documents.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-105.12.2	Class 1	Outdoor Advertising Company sign work does not conform to approved construction documents or amendments.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-110.1(20)	Class 1	Failure to provide evidence of workers attending construction & safety course.	No	No	[\$1,200] \$5,000	No	[\$6,000] \$25,000	[\$3,000] \$12,500	[\$12,000] \$25,000	[\$6,000] \$25,000	\$25,000
28-116.1	Class 2	Failure of permit holder to provide inspection access to and/or expose ongoing construction or work on an active and permitted worksite.	No	No	[\$2,000] \$2,500	Yes	\$10,000	[\$5,000] \$6,250	\$10,000	\$10,000	\$10,000
28-116.2.4.2	Class 2	Failure to conduct or file a final inspection of permitted work with the Department.	Yes	Yes	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
28-116.4.1	Class 2	Operation of service equipment without Certificate of Compliance.	Yes	Yes	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-117.1	Class 1	Operation of a Place of Assembly without a current Certificate of Operation.	No	No	[\$1,600] \$2,500	No	[\$8,000] \$12,500	[\$4,000] \$6,250	[\$16,000] \$25,000	[\$8,000] \$12,500	\$25,000
28-117.1	Class 2	Operation of a Place of Assembly without a current Certificate of Operation.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-118.1	Class 1	Building or open lot occupied without a valid certificate of occupancy.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000

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28-118.3	Class 1	Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000
28-118.3	Class 2	Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2.	Yes	Yes	[\$500] \$1,250	Yes	[\$2,500] \$6,250	[\$1,250] \$3,125	[\$5,000] \$10,000	[\$2,500] \$6,250	\$10,000
28-118.3	Class 1	Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy.	No	No	[\$1,000] \$5,000	No	[\$5,000] \$25,000	[\$2,500] \$12,500	[\$10,000] \$25,000	[\$5,000] \$25,000	\$25,000
28-118.3	Class 2	Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-118.3.2	Class 1	Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records.	No	No	[\$2,400] \$2,500	No	[\$12,000] \$12,500	[\$6,000] \$6,250	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000
28-118.3.2	Class 2	Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records.	Yes	Yes	[\$1,200] \$1,250	Yes	[\$6,000] \$6,250	[\$3,000] \$3,125	\$10,000	[\$6,000] \$6,250	\$10,000
28-118.3.2	Class 3	Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records.	Yes	Yes	[\$400] \$500	Yes	\$500	\$500	\$500	\$500	\$500
28-118.3.2.1	Class 3	Address, block and/or lot, or metes and bounds of zoning lot contrary to Certificate of Occupancy.	Yes	Yes	[\$400] \$500	Yes	\$500	\$500	\$500	\$500	\$500
28-201.1	Class 1	Unlawful acts. Failure to comply with Commissioner's order.	No	No	[\$2,400] \$2,500	No	[\$12,000] \$12,500	[\$6,000] \$6,250	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000
28-201.1; 28-207.4	Class 1	Failure to obey a Vacate Order from the Commissioner per 28-207.4.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
28-201.1	Class 1	Unlawful acts. Failure to comply with a law, rule, or Commissioner's order involving construction and/or equipment safety operations.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-201.1	Class 2	Unlawful acts. Failure to comply with a law, rule, or Commissioner's order involving construction and/or equipment safety operations.	No	No	\$5,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
28-202.1	Class 1	Additional daily penalty for Class 1 violation of 28-210.1 or 28-210.2.	No	No	\$1,000/per day	No	\$45,000	NA	NA	NA	NA
28-202.1	Class 2	Additional monthly penalty for continued violation of 28-210.1.	No	No	\$250/month	No	\$10,000	NA	NA	NA	NA
28-202.1	Class 1	Additional daily civil penalties for continued violations.	No	No	\$1,000/per day	No	\$25,000	NA	NA	NA	NA
28-202.1	Class 2	Additional monthly civil penalties for continued violations.	No	No	\$250/month	No	\$10,000	NA	NA	NA	NA
28-202.1	Class 2	Additional monthly penalty for continued violation of 28-210.2.	No	No	\$250/month	No	\$10,000	NA	NA	NA	NA
28-202.1	Class 1	Additional daily penalty for Class 1 violation of 28-210.3 – permanent dwelling offered/used/converted for other than permanent-residential purposes.	No	No	\$1,000/per day	No	\$45,000	N/A	N/A	N/A	N/A
28-204.4	Class 2	Failure to comply with the [Commissioner's] <u>Commissioner's</u> order to file a certificate of correction with the Department of Buildings.	No	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
28-207.2.2	Class 1	Unlawfully continued work while on notice of a Stop Work Order.	No	No	[\$4,800] 5,000	No	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000	[\$24,000] \$25,000	\$25,000
28-207.2.5	Class 1	Tampered with, removed or defaced a written posted Stop Work Order.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000
28-207.4.4	Class 1	Removed or defaced a written posted Vacate Order.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
28-210.1	Class 2	1- or 2-family residence converted to or maintained as a dwelling [for 4 or more families.] <u>for more than the number of families legally authorized by the C of O or official records - Less than three additional dwelling units</u>	No	No	[\$2,400] \$2,500	No	[\$12,000] \$12,500	[\$6,000] \$6,250	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000
28-210.1	Class 1	Multiple dwelling converted, maintained, or occupied with 3 or more additional dwelling units than legally authorized by the C of O or official records.	No	No	[\$2,400] \$15,000	No	[\$12,000] \$25,000	[\$6,000] \$ \$25,000	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
[28-210.1]	[Class 2]	[Residence altered as a dwelling for more than the number of families legally authorized by the C of O or official records.]	[No]	[No]	[\$1,200]	[No]	[\$6,000]	[\$3,000]	[\$10,000]	[\$6,000]	[\$10,000]
28-210.2	Class 1	Industrial/ manufacturing building converted, maintained, or occupied for residential use for 3 or more additional dwelling units than legally authorized by the C of O or official records.	No	No	[\$3,200] \$15,000	No	[\$16,000] \$25,000	[\$8,000] \$15,000	\$25,000	[\$16,000] \$25,000	\$25,000
28-210.2	Class 2	Industrial/ manufacturing building converted, maintained, or occupied for residential use contrary to the C of O or official records <u>for less than 3 additional dwelling units</u>	No	No	[\$2,400] \$15,000	No	[\$10,000] \$15,000	[\$6,000] \$15,050	[\$10,000] \$15,000	[\$10,000]] \$15,000	[\$10,000] \$15,000
28-210.3	Class 1	Permanent dwelling offered/ used/converted for other than permanent-residential purposes	No	No	[\$3,200] \$5,000	No	[\$16,000] \$25,000	[\$8,000] \$15,000	\$25,000	[\$16,000] \$25,000	\$25,000
28-210.3	Class 2	Permanent dwelling offered/ used/converted for other than permanent-residential purposes	No	No	[\$1,600] \$2,500	Yes	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000	[\$8,000] \$10,000	\$10,000
28-211.1	Class 1	Filed a certificate, form, application etc., containing a material false statement(s).	No	No	[\$4,800] \$5,000	Yes	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000	[\$24,000] \$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
28-211.1	Class 1	Filed a certificate of correction or other related materials containing material false statement (s).	No	No	[\$4,800] \$5,000	No	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000	[\$24,000] \$25,000	\$25,000
28-217.1.1	Class 2	Failure to submit required report of inspection of potentially compromised buildings.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-217.1.6	Class 1	Failure to immediately notify Department that building or structure has become potentially compromised.	No	No	[\$1,200] \$2,500	No	[\$6,000] \$12,500	[\$3,000] \$6,250	[\$12,000] \$25,000	[\$6,000] \$12,500	\$25,000
28-301.1	Class 1	Failure to maintain building in code compliant manner: Lack of required number of means of egress for every floor per BC 1018.1 (2008 code); 27-366; BC 1021.1 (2014 code).	No	No	[\$1,200] \$2,500	No	[\$6,000] \$12,500	[\$3,000] \$6,250	[\$12,000] \$25,000	[\$6,000] \$12,500	\$25,000
28-301.1	Class 2	Failure to maintain building in code compliant manner: Exhaust discharge must be no closer than 10 feet from building openings as per MC 501.2 and RS 13-1 Sec. 2-2.1.4.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner.	No	No	[\$1,000] \$1,250	No	[\$5,000] \$6,250	[\$2,500] \$3,125	[\$10,000] \$12,500	[\$5,000] \$6,250	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner.	Yes	Yes	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-301.1	Class 3	Failure to maintain building in code-compliant manner.	Yes	Yes	[\$200] \$500	Yes	\$500	\$500	\$500	\$500	\$500
28-301.1	Class 1	Failure to maintain building in code-compliant manner: service equipment – boiler.	No	No	[\$1,000] \$1,250	No	[\$5,000] \$6,250	[\$2,500] \$3,125	[\$10,000] \$12,500	[\$5,000] \$6,250	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: service equipment – boiler.	Yes	Yes	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-301.1	Class 3	Failure to maintain building in code-compliant manner: service equipment – boiler.	Yes	Yes	[\$200] \$500	Yes	\$500	\$500	\$500	\$500	\$500

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
28-301.1	Class 1	Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j).	No	No	[\$1,000] \$1,250	No	[\$5,000] \$6,250	[\$2,500] \$3,125	[\$10,000] \$12,500	[\$5,000] \$6,250	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j).	Yes	No	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1; 27-381.	No	No	[\$1,000] \$1,250	No	[\$5,000] \$6,250	[\$2,500] \$3,125	[\$10,000] \$12,500	[\$5,000] \$6,250	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1; 27-381.	Yes	No	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: floor numbering signs missing and/or defective per BC 1019.1.7 (2008 code); 27-392; BC 1022.8 (2014 code).	No	No	[\$1,000] \$1,250	No	[\$5,000] \$6,250	[\$2,500] \$3,125	[\$10,000] \$12,500	[\$5,000] \$6,250	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: floor numbering signs missing and/or defective per BC 1019.1.7 (2008 code); 27-392; BC 1022.8 (2014 code).	Yes	Yes	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: high-rise to provide exit sign requirement(s) within exits per BC 1011.1.1; 27-383.1.	No	No	[\$2,400] \$2,500	No	[\$12,000] \$12,500	[\$6,000] \$6,250	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
28-301.1	Class 2	Failure to maintain building in code-compliant manner: high-rise to provide exit sign requirement(s) within exits per BC 1011.1.1; 27-383.1.	Yes	No	[\$1,200] <u>\$1,250</u>	Yes	[\$6,000] <u>\$6,250</u>	[\$3,000] <u>\$3,125</u>	\$10,000	[\$6,000] <u>\$6,250</u>	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: lack of emergency power or storage battery connection to exit signs per BC 1011.5.3; 27-384(c).	No	No	[\$4,800] <u>\$5,000</u>	Yes	[\$24,000] <u>\$25,000</u>	[\$12,000] <u>\$12,500</u>	\$25,000	[\$24,000] <u>\$25,000</u>	\$25,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: lack of emergency lighting for exits, exit discharges and public corridors per BC 1006.1; 27-542.	No	No	[\$1,000] <u>\$2,500</u>	No	[\$5,000] <u>\$12,500</u>	[\$2,500] <u>\$6,250</u>	[\$10,000] <u>\$25,000</u>	[\$5,000] <u>\$12,500</u>	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: lack of emergency lighting for exits, exit discharges and public corridors per BC 1006.1; 27-542.	Yes	No	[\$500] <u>\$1,250</u>	Yes	[\$2,500] <u>\$6,250</u>	[\$1,250] <u>\$3,125</u>	[\$5,000] <u>\$10,000</u>	[\$2,500] <u>\$6,250</u>	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: failure to provide non-combustible proscenium curtain or stage water curtain per BC 410.3.5; 27-546.	Yes	Yes	[\$500] <u>\$625</u>	Yes	[\$2,500] <u>\$3,125</u>	[\$1,250] <u>\$1,563</u>	[\$5,000] <u>\$6,250</u>	[\$2,500] <u>\$3,125</u>	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: no fire stopping per BC 712.3; 27-345.	No	No	[\$1,000] <u>\$2,500</u>	No	[\$5,000] <u>\$12,500</u>	[\$2,500] <u>\$6,250</u>	[\$10,000] <u>\$25,000</u>	[\$5,000] <u>\$12,500</u>	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: no fire stopping per BC 712.3; 27-345.	Yes	No	[\$500] <u>\$1,250</u>	Yes	[\$2,500] <u>\$6,250</u>	[\$1,250] <u>\$3,125</u>	[\$5,000] <u>\$10,000</u>	[\$2,500] <u>\$6,250</u>	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: Improper exit/exit access doorway arrangement per BC 1014.2 (2008 code); 27-361; BC 1015.2 (2014 code).	No	No	[\$1,200] <u>\$1,250</u>	No	[\$6,000] <u>\$6,250</u>	[\$3,000] <u>\$3,125</u>	[\$12,000] <u>\$12,500</u>	[\$6,000] <u>\$6,250</u>	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
28-301.1	Class 1	Failure to maintain building in code-compliant manner: lack of a system of automatic sprinklers where required per BC 903.2; 27-954.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: lack of a system of automatic sprinklers where required per BC 903.2; 27-954.	No	No	[\$500] \$1,250	Yes	[\$2,500] \$6,250	[\$1,250] \$3,125	[\$5,000] \$10,000	[\$2,500] \$6,250	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner re: installation/maintenance of plumbing materials/equipment per PC102.3; 27-902.	Yes	Yes	[\$500] \$1,250	Yes	[\$2,500] \$6,250	[\$1,250] \$3,125	[\$5,000] \$10,000	[\$2,500] \$6,250	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: Gas vent reduced or undersized as per FGC 504.2; 27-887.	No	No	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: failure to comply with law for water supply system per PC 602.3; 27-908(c).	No	No	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: failure to comply with law for drainage system per PC 702.1; 27-911.	No	No	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: Plumbing fixture(s) not trapped and/or vented per PC 916.1 & PC 1002.1; 27-901(o).	No	No	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: Misc. sign violation by Outdoor Advertising Company as per 27-498 through 27-508 & BC H103.1.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
28-301.1	Class 2	Failure to maintain sign in accordance w Tit.27; Tit.28; ZR; RCNY.	No	Yes	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
28-302.1	Class 1	Failure to maintain building wall(s) or appurtenances.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000
28-302.1	Class 2	Failure to maintain building wall(s) or appurtenances.	Yes	Yes	[\$500] \$1,250	Yes	[\$2,500] \$6,250	[\$1,250] \$3,125	[\$5,000] \$10,000	[\$2,500] \$6,250	\$10,000
28-302.1	Class 3	Failure to maintain building wall(s) or appurtenances.	Yes	Yes	[\$200] \$500	Yes	\$500	\$500	\$500	\$500	\$500
28-302.3	Class 1	Failure of registered design professional to immediately notify the department of unsafe façade condition(s).	No	No	[\$1,200] \$2,500	No	[\$6,000] \$12,500	[\$3,000] \$6,250	[\$12,000] \$25,000	[\$6,000] \$12,500	\$25,000
28-302.4	Class 2	Failure to submit a required report of critical examination documenting condition of exterior wall and appurtenances.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
28-302.5	Class 1	Failure to take required measures to secure public safety – unsafe façade.	No	No	[\$2,400] \$10,000	No	[\$12,000] \$25,000	[\$6,000] \$25,000	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
28-302.5	Class 2	Failure to file an amended report acceptable to this Department indicating correction of unsafe conditions.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
28-303.7	Class 2	Failure to file a complete boiler inspection report.	No	No	[\$500] \$625	No	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-304.1	Class 1	Failure to maintain elevator or conveying system.	No	No	[\$1,000] \$12,500	No	[\$5,000] \$6,250	[\$2,500] \$3,125	[\$10,000] \$12,500	[\$5,000] \$6,250	\$25,000
28-304.1	Class 2	Failure to maintain elevator or conveying system.	Yes	Yes	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
28-304.1	Class 3	Failure to maintain elevator or conveying system.	Yes	Yes	[\$200] \$500	Yes	\$500	\$500	\$500	\$500	\$500
28-304.6	Class 1	Failure to inspect or test elevator or conveying system.	No	No	[\$1,000] \$1,250	No	[\$5,000] \$6,250	[\$2,500] \$3,125	[\$10,000] \$12,500	[\$5,000] \$6,250	\$25,000
28-304.6	Class 2	Failure to inspect or test elevator or conveying system.	Yes	Yes	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
28-304.10	Class 2	Failure to provide notice of elevator to be out of service for alteration work.	No	No	[\$2,000] <u>\$2,500</u>	No	\$10,000	[\$5,000] <u>\$6,250</u>	\$10,000	\$10,000	\$10,000
28-305.4.4	Class 2	Failure to submit required report of condition assessment of retaining wall.	Yes	Yes	[\$800] <u>\$1,250</u>	Yes	[\$4,000] <u>\$6,250</u>	[\$2,000] <u>\$3,125</u>	[\$8,000] <u>\$10,000</u>	[\$4,000] <u>\$6,250</u>	\$10,000
28-305.4.6	Class 1	Failure to immediately notify Department of unsafe condition observed during condition assessment of retaining wall.	No	No	[\$1,200] <u>\$2,500</u>	No	[\$6,000] <u>\$12,500</u>	[\$3,000] <u>\$6,250</u>	[\$12,000] <u>\$25,000</u>	[\$6,000] <u>\$12,500</u>	\$25,000
28-305.4.7.3	Class 2	Failure to file an amended condition assessment acceptable to Department indicating correction of unsafe conditions.	Yes	Yes	[\$800] <u>\$1,250</u>	Yes	[\$4,000] <u>\$6,250</u>	[\$2,000] <u>\$3,125</u>	[\$8,000] <u>\$10,000</u>	[\$4,000] <u>\$6,250</u>	\$10,000
28-317.3	Class 2	Failure to register cooling tower prior to operation.	No	No	[\$2,000] <u>\$2,500</u>	Yes	\$10,000	[\$5,000] <u>\$6,250</u>	\$10,000	\$10,000	\$10,000
28-317.3.1	Class 2	Failure to notify of discontinued use or removal of cooling tower.	Yes	Yes	[\$800] <u>\$1,250</u>	Yes	[\$4,000] <u>\$6,250</u>	[\$2,000] <u>\$3,125</u>	\$10,000	[\$4,000] <u>\$6,250</u>	\$10,000
28-317.5	Class 2	Failure to file an annual certification of cooling tower inspection/ testing/ cleaning/ disinfecting/ maintenance plan per Adm. Code §17-194.1.	No	No	[\$2,000] <u>\$2,500</u>	Yes	\$10,000	[\$5,000] <u>\$6,250</u>	\$10,000	\$10,000	\$10,000
Misc. Chapter 4 of Title 28 – Unlicensed Activity	Class 1	Illegally engaging in any business or occupation without a required license or other authorization.	No	No	[\$2,500] <u>\$5,000</u>	No	[\$12,500] <u>\$25,000</u>	[\$6,250] <u>\$12,500</u>	\$25,000	[\$12,500] <u>\$25,000</u>	\$25,000
28-401.20 & 1 RCNY 3301-02(g)	Class 1	Licensee/ registrant failed to fully and completely cooperate as per section.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-401.9	Class 1	Failure to file evidence of liability &/or property damage insurance.	No	No	[\$2,400] <u>\$5,000</u>	No	[\$12,000] <u>\$25,000</u>	[\$6,000] <u>\$12,500</u>	[\$24,000] <u>\$25,000</u>	[\$12,000] <u>\$25,000</u>	\$25,000
28-401.9	Class 1	Failure to file evidence of compliance with Workers Comp, law and/ or disability benefits law.	No	No	[\$1,250] <u>\$5,000</u>	No	[\$6,250] <u>\$25,000</u>	[\$3,125] <u>\$12,500</u>	[\$12,500] <u>\$25,000</u>	[\$6,250] <u>\$25,000</u>	\$25,000
28-401.19	Class 1	Improper conduct of a licensee or Certificate of Competence Holder.	No	No	[\$4,000] <u>\$5,000</u>	No	[\$20,000] <u>\$25,000</u>	[\$10,000] <u>\$12,500</u>	\$25,000	[\$20,000] <u>\$25,000</u>	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
28-401.19	Class 2	Improper conduct of a licensee or Certificate of Competence Holder.	No	No	[\$2,000] <u>\$2,500</u>	No	[\$4,500] <u>\$6,250</u>	\$10,000	\$10,000	\$10,000	\$10,000
28-404.1	Class 1	Supervision or use of rigging equipment without a Rigger's license.	No	No	[\$4,800] <u>\$5,000</u>	No	[\$24,000] <u>\$25,000</u>	[\$12,000] <u>\$12,500</u>	\$25,000	[\$24,000] <u>\$25,000</u>	\$25,000
28-404.4.1	Class 2	Licensed Master/Special Rigger failed to place appropriate "Danger" sign while using rigging equipment.	Yes	No	[\$800] <u>\$1,250</u>	Yes	[\$4,000] <u>\$6,250</u>	[\$2,000] <u>\$3,125</u>	[\$8,000] <u>\$10,000</u>	[\$4,000] <u>\$6,250</u>	\$10,000
28-405.1	Class 1	Supervision or use of power-operated hoisting machine without a Hoisting Machine Operator's license.	No	No	[\$1,600] <u>\$5,000</u>	No	[\$8,000] <u>\$25,000</u>	[\$4,000] <u>\$12,500</u>	[\$16,000] <u>\$25,000</u>	[\$8,000] <u>\$25,000</u>	\$25,000
28-406.1	Class 1	Unlicensed concrete testing activity.	No	No	[\$1,000] <u>\$5,000</u>	Yes	[\$5,000] <u>\$25,000</u>	[\$2,500] <u>\$12,500</u>	\$25,000	[\$10,000] <u>\$25,000</u>	\$25,000
28-408.1	Class 1	Performing unlicensed plumbing work without a master plumber license.	No	No	[\$2,500] <u>\$5,000</u>	No	[\$12,500] <u>\$25,000</u>	[\$6,250] <u>\$12,500</u>	\$25,000	[\$12,500] <u>\$25,000</u>	\$25,000
28-410.1	Class 1	<u>Performed fire suppression piping work w/o a license</u>	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-415.1	Class 1	Hoisting, lowering, hanging, or attaching of outdoor sign not performed or supervised by a properly licensed sign hanger.	No	No	[\$4,800] <u>\$5,000</u>	No	[\$24,000] <u>\$25,000</u>	[\$12,000] <u>\$12,500</u>	\$25,000	[\$24,000] <u>\$25,000</u>	\$25,000
28-502.2	Class 1	Outdoor Advertising Company engaged in outdoor advertising business without a valid registration.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-502.2.1	Class 1	Outdoor Advertising Company failed to submit complete/accurate information as prescribed in 1 RCNY Chapter 49.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-502.2.2	Class 1	Outdoor Advertising Company failed to post, renew or replenish bond or other form of security.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-502.5	Class 1	Outdoor Advertising Company failed to post required information at sign location.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
28-502.6	Class 1	Misc. sign violation by Outdoor Advertising Company of Title 27; Title 28; ZR; or BC.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-504.1.2[3]	Class 2	Failure to complete/ implement/ amend bicycle access plan or provide request for exception.	No	No	[\$800] \$625	Yes	[\$4,000] \$3,125	[\$2,000] \$1,563	[\$8,000] \$6,250	[\$4,000] \$3,125	\$10,000
28-504. [3(2)]1.2	Class 2	Failure to implement the terms and conditions of bicycle access plan/letter of exception as prescribed in 34 RCNY 2-19.	No	No	[\$800] \$625	Yes	[\$4,000] \$3,125	[\$2,000] \$1,563	[\$8,000] \$6,250	[\$4,000] \$3,125	\$10,000
28-504.1.4[6]	Class 2	Failure to post a bicycle access plan/letter of exception/notice of availability of plan/letter.	No	No	[\$800] \$625	Yes	[\$4,000] \$3,125	[\$2,000] \$1,563	[\$8,000] \$6,250	[\$4,000] \$3,125	\$10,000
28-504.1.4[7]	Class 2	Failure to timely file bicycle access plan or amendment with DOT as prescribed in 34 RCNY 2-19.	No	No	[\$800] \$625	Yes	[\$4,000] \$3,125	[\$2,000] \$1,563	[\$8,000] \$6,250	[\$4,000] \$3,125	\$10,000
BC 105.8.2	Class 2	Temporary Construction Equipment on Site -Expired Permit.	Yes	Yes	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
BC 903.6	Class 2	Failure to paint dedicated sprinkler piping/valves in accordance with section.	No	No	[\$1,600] \$2,500	Yes	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000	[\$8,000] \$10,000	\$10,000
BC 903.6	Class 2	Failure to provide/maintain painting certification of sprinkler and combination sprinkler/standpipe systems in accordance with section.	Yes	No	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
BC 905.11	Class 2	Failure to paint dedicated standpipe/valves in accordance with section.	No	No	[\$1,600] \$2,500	Yes	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000	[\$8,000] \$10,000	\$10,000
BC 905.11	Class 2	Failure to provide/maintain painting certification of standpipe and combination sprinkler/standpipe systems in accordance with section.	Yes	No	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
BC 1016.2	Class 2	Failure to maintain building in code-compliant manner: provide required corridor width per BC 1016.2; 27-369.	Yes	No	[\$500] \$625	Yes	[\$2,500] \$3,125	[\$1,250] \$1,563	[\$5,000] \$6,250	[\$2,500] \$3,125	\$10,000
BC 1704.4	Class 2	Failure to perform special inspections and verifications for concrete construction as required by section and Table 1704.4.	No	No	[\$1,600] \$2,500	No	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000	[\$8,000] \$10,000	\$10,000
BC 1704.21.1 (2008 code) & BC 1704.23.1 (2014 code)	Class 1	Failure to perform successful hydrostatic pressure test of sprinkler system.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 1704.22.1 (2008 code) & BC 1704.24.1 (2014 code)	Class 1	Failure to perform successful hydrostatic pressure test of standpipe system.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 1905.6.3.2 (2008 code) & BC 1905.6.3.3 (2014 code)	Class 2	Failure to comply with ASTM C31 standards for concrete cylinder test samples.	No	No	[\$1,600] \$2,500	No	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000	[\$8,000] \$10,000	\$10,000
BC 3010.1 & 27-1006	Class 1	Failure to promptly report an elevator accident involving personal injury requiring the services of a physician or damage to property.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000
BC 3301.1.3 (2014 code)	Class 1	Failure to comply with manufacturer specifications.	No	No	[\$1,600] \$2,500	No	[\$8,000] \$12,500	[\$4,000] \$6,250	[\$16,000] \$25,000	[\$8,000] \$12,500	\$25,000
BC 3301.1.3 (2014 code)	Class 2	Failure to comply with manufacturer specifications.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
BC 3301.2 & 27-1009(a)	Class 1	Failure to safeguard all persons and property affected by construction operations.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3301.2 & 27-1009(a)	Class 2	Failure to safeguard all persons and property affected by construction operations.	No	No	\$5,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
BC 3301.2 & 27-1009(a)	Class 1	Failure to institute/maintain safety equipment measures or temporary construction - No guard rails.	No	No	[\$2,400] \$5,000	Yes	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
BC 3301.2 & 27-1009(a)	Class 1	Failure to institute/maintain safety equipment measures or temporary construction – No toe boards.	No	No	[\$1,000] \$5,000	No	[\$5,000] \$25,000	[\$2,500] \$12,500	[\$10,000] \$25,000	[\$5,000] \$25,000	\$25,000
BC 3301.2 & 27-1009(a)	Class 1	Failure to institute/maintain safety equipment measures or temporary construction – No handrails.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000
[BC 3301.3 & 1 RCNY 3301-02(b), (c)] BC 3301.13.3	Class 1	Failure to designate and/or have a Construction Superintendent present at site as required.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3301.7 (2014 code)	Class 1	Failure to maintain/display on site documents required by BC Chapter 33.	No	No	[\$1,000] \$2,500	Yes	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000
BC 3301.7 (2014 code)	Class 2	Failure to maintain/display on site documents required by BC Chapter 33.	Yes	No	[\$500] \$1,250	Yes	[\$2,500] \$6,250	[\$1,250] \$3,125	[\$5,000] \$10,000	[\$2,500] \$6,250	\$10,000
BC 3301.8	Class 1	Failure to promptly notify the Department of an accident or damage to adjoining property at construction/demolition site.	No	No	[\$2,500] \$5,000	No	[\$12,500] \$25,000	[\$6,250] \$12,500	\$25,000	[\$12,500] \$25,000	\$25,000
BC 3301.9	Class 2	Project Information Panel/ Sidewalk Shed Parapet Panel/ Construction Sign not provided or not in compliance with section	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
BC 3303.4 & 27-1018	Class 1	Failure to maintain adequate housekeeping per section requirements.	No	No	[\$2,400] \$2,500	No	[\$12,000] \$12,500	[\$6,000] \$6,250	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000
BC 3303.4 & 27-1018	Class 2	Failure to maintain adequate housekeeping per section requirements.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
BC 3303.4.5 & 27-1018	Class 1	Unsafe storage of materials during construction or demolition.	No	No	[\$2,400] \$2,500	No	[\$12,000] \$12,500	[\$6,000] \$6,250	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000
BC 3303.4.6 & 27-1018	Class 1	Unsafe storage of combustible material and equipment.	No	No	[\$2,400] \$2,500	No	[\$12,000] \$12,500	[\$6,000] \$6,250	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000
BC 3303.7.3	Class 1	Smoking at construction/demolition site.	No	No	[\$2,400] \$2,500	No	[\$12,000] \$12,500	[\$6,000] \$6,250	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
BC 3303.7.3	Class 2	Smoking at construction/ demolition site.	No	No	[\$1,200] \$1,250	No	[\$6,000] \$6,250	[\$3,000] \$3,125	\$10,000	[\$6,000] \$6,250	\$10,000
BC 3303.7.3	Class 2	Failure to post No Smoking signs at construction/ demolition sites per Fire Code.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
BC 3303.8.1	Class 1	Failure to provide standpipe or air pressurized alarm system for standpipe system during construction or demolition operation.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 3303.8.1	Class 1	Failure to conduct proper planned removal from service of standpipe system and/or standpipe air pressurized alarm.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 3303.15	Class 2	Failure to perform proper concrete washout water procedures	No	No	[\$1,200] \$2,500	No	[\$6,000] \$10,000	[\$3,000] \$6,250	\$10,000	[\$6,000] \$10,000	\$10,000
BC 3304.3 & 1 RCNY 52-01(a)	Class 1	Failure to notify the Department prior to the commencement of earthwork.	No	No	[\$1,200] \$2,500	No	[\$6,000] \$12,500	[\$3,000] \$6,250	[\$12,000] \$25,000	[\$6,000] \$12,500	\$25,000
BC 3304.3 & 1 RCNY 52-01(b)	Class 2	Failure to notify the Department prior to the cancellation of earthwork.	No	No	[\$1,200] \$1,250	Yes	[\$6,000] \$6,250	[\$3,000] \$3,125	\$10,000	[\$6,000] \$6,250	\$10,000
BC 3304.4 & 27-1032	Class 1	Failure to provide protection at sides of excavation.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 3304.12 (2014 code)	Class 1	Failure to perform slurry operations in accordance with section.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 3305.3.1.2.1 (2014 code)	Class 1	Failure to obtain registered design professional evaluation prior to using existing structure to support formwork loads.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 3305.3.2 (2014 code)	Class 1	No site-specific formwork design drawings present per 3301.7.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000
BC 3305.3.3.2 (2014 code)	Class 1	Failure to perform required formwork observation.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 3306 & 27-1039	Class 1	Failure to carry out demolition operations as required by section.	No	No	[\$2,400] \$5,000	Yes	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 3306.2.1	Class 1	Failure to provide safety zone for demolition operations.	No	No	[\$1,000] \$2,500	No	[\$5,000] \$12,500	[\$2,500] \$6,250	[\$10,000] \$25,000	[\$5,000] \$12,500	\$25,000

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BC 3306.3& 27-195	Class 1	Failure to provide required notification prior to the commencement of demolition.	No	No	[\$1,200] \$2,500	No	[\$6,000] \$12,500	[\$3,000] \$6,250	[\$12,000] \$25,000	[\$6,000] \$12,500	\$25,000
BC 3306.5	Class 1	Mechanical demolition without plans on site.	No	No	[\$1,000] \$5,000	No	[\$5,000] \$25,000	[\$2,500] \$12,500	[\$10,000] \$25,000	[\$5,000] \$25,000	\$25,000
BC 3307.1	Class 2	Pedestrian protection does not meet code specifications.	No	No	\$2,400	No	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
BC 3307.1.1 (2008 code) & BC 3307.4.6 (2014 code)	Class 1	Prohibited Outdoor Advertising Company sign on sidewalk shed or construction fence	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3307.1.1 (2008 code) & BC 3307.4.6 (2014 code)	Class 2	Posting of unlawful signs, information, pictorial representation, business or advertising messages on protective structures.	Yes	No	[\$2,400] \$2,500	Yes	\$10,000	[\$6,000] \$6,250	\$10,000	\$10,000	\$10,000
BC 3307.3 (2008 Code) and BC 3307.1 (2014 Code)	Class 1	Failure to provide pedestrian protection for sidewalks and walkways.	No	No	[\$4,800] \$10,000	No	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000	[\$24,000] \$25,000	\$25,000
BC 3307.3.1 (2008 code), 27-1021(a) & BC 3307.6.2 (2014 code)	Class 1	Failure to provide sidewalk shed where required.	No	No	[\$4,800] \$10,000	No	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000	[\$24,000] \$25,000	\$25,000
BC 3307.6.4 (2008 code) & BC 3307.6.4.11 (2014 code)	Class 2	Sidewalk shed does not meet color specification.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
BC 3307.7	Class 2	Job site fence not constructed or maintained, pursuant to subsection.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 3309.4 & 27-1031	Class 1	Failure to protect adjoining structures during excavation operations.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 3301.3 & BC 3310.5 & BC 3310.5.2	Class 1	Failure to designate and/or have Site Safety Manager or Site Safety Coordinator present at site as required.	No	No	\$10,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3310.8.2 (2008 code) & BC 3310.8.2.1 (2014 code)	Class 1	Site Safety Manager/Coordinator failed to immediately notify the Department of conditions as required.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000

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BC 3310.9.1	Class 1	No Concrete Safety Manager present at site as required.	No	No	[\$2,400] \$5,000	Yes	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 3310.10	Class 1	Failure to conduct a site-specific safety orientation program for all workers.	No	No	[\$1,200] \$5,000	No	[\$6,000] \$25,000	[\$3,000] \$12,500	[\$12,000] \$25,000	[\$6,000] \$25,000	\$25,000
BC 3314.1.1 (2008 code) & 27-1050.1 & BC 3314.4.1.5 (2014 code)	Class 2	Failed to notify Department prior to installation or removal of Suspended Scaffold.	No	No	[\$800] \$2,500	Yes	[\$4,000] \$10,000	[\$2,000] \$6,250	[\$8,000] \$10,000	[\$4,000] \$10,000	\$10,000
BC 3314.2 & 27-1042	Class 1	Erected or installed supported scaffold 40 feet or higher without a permit.	No	No	[\$1,200] \$5,000	No	[\$6,000] \$25,000	[\$3,000] \$12,500	[\$12,000] \$25,000	[\$6,000] \$25,000	\$25,000
BC 3314.4.3.1 (2008 code), 27-1045 & BC 3314.4.3 (2014 code)	Class 1	Failure to perform safe/proper inspection of Suspended Scaffold.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3314.4.3.1 (2008 code), 27-1045(b) & BC 3314.4.3.4 (2014 code)	Class 1	No record of daily inspection of Suspended Scaffold performed by authorized person at site.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 3314.4.5 (2008 code) & BC 3314.4.5.1 (2014 code)	Class 1	Unqualified supervisor or worker performing work on scaffold.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	[\$24,000] \$25,000	[\$12,000] \$25,000	\$25,000
BC 3314.4.6 (2008 code) & BC 3314.4.5.8 (2014 code)	Class 2	Scaffold training certificate card not readily available for inspection.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
BC 3314.6.3 & 27-1009	Class 1	Failure to provide/use lifeline while working on scaffold.	No	No	[\$1,600] \$5,000	No	[\$8,000] \$25,000	[\$4,000] \$12,500	[\$16,000] \$25,000	[\$8,000] \$25,000	\$25,000
BC 3314.6.3 & 27-1009	Class 2	Failure to provide/use lifeline while working on scaffold.	No	No	[\$800] \$2,500	Yes	[\$4,000] \$10,000	[\$2,000] \$6,250	[\$8,000] \$10,000	[\$4,000] \$10,000	[\$10,000] \$10,000
BC 3316.2 & BC 3319.1	Class 1	Inadequate safety measures: Operation of crane/ derrick/ hoisting equipment in unsafe manner.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3319.3	Class 1	Operation of a crane/ derrick without a Certificate of Operation/ Certificate of Approval.	No	No	[\$2,400] \$5,000	No	[\$12,000] \$25,000	[\$6,000] \$12,500	\$25,000	[\$12,000] \$25,000	\$25,000

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BC 3319.3 & 27-1057(d)	Class 2	Operation of a crane/derrick without a Certificate of Onsite Inspection.	No	No	[\$2,400] \$5,000	No	\$10,000	[\$6,000] \$10,000	\$10,000	\$10,000	\$10,000
[0B]BC 3319.8	Class 1	Failure to provide erection, jumping, climbing, dismantling plan for tower/climber crane.	No	No	[\$2,000] \$5,000	No	[\$10,000] \$25,000	[\$5,000] \$12,500	[\$20,000] \$25,000	[\$10,000] \$25,000	\$25,000
BC 3319.8.2	Class 1	Failure to conduct a safety coordination meeting.	No	No	[\$2,000] \$5,000	No	[\$10,000] \$25,000	[\$5,000] \$12,500	[\$20,000] \$25,000	[\$10,000] \$25,000	\$25,000
BC 3319.8.3	Class 1	Failure to conduct a pre-jump safety meeting.	No	No	[\$2,000] \$5,000	No	[\$10,000] \$25,000	[\$5,000] \$12,500	[\$20,000] \$25,000	[\$10,000] \$25,000	\$25,000
BC 3319.8.4	Class 1	Failure to notify the Department prior to pre-jump or safety coordination meeting.	No	No	[\$1,200] \$2,500	No	[\$6,000] \$12,500	[\$3,000] \$6,250	[\$12,000] \$25,000	[\$6,000] \$12,500	\$25,000
BC 3319.8.4.2	Class 1	Failure to provide time schedule indicating erection, jumping, climbing or dismantling of crane.	No	No	[\$1,200] \$1,250	No	[\$6,000] \$6,500	[\$3,000] \$3,125	[\$12,000] \$12,500	[\$6,000] \$6,250	\$25,000
BC 3319.8.6	Class 1	No meeting log available.	No	No	[\$1,200] \$1,250	No	[\$6,000] \$6,250	[\$3,000] \$3,125	[\$12,000] \$12,500	[\$6,000] \$6,250	\$25,000
BC 3319.8.7	Class 1	Failure to file a complete and acceptable tower/climber Installation Report per BC 3319.8.7.	No	No	[\$2,000] \$2,500	No	[\$10,000] \$12,500	[\$5,000] \$6,250	[\$20,000] \$25,000	[\$10,000] \$12,500	\$25,000
BC 3319.8.8	Class 1	Erection, jumping, climbing, dismantling operations of a tower or climber crane not in accordance with 3319.8.8.	No	No	[\$4,000] \$10,000	No	[\$20,000] \$25,000	[\$10,000] \$25,000	\$25,000	[\$20,000] \$25,000	\$25,000
EC-Misc.	Class 1	Miscellaneous violation of the Electrical Code Technical Standards.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
EC-Misc.	Class 2	Miscellaneous violation of the Electrical Code Technical Standards.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
EC-Misc.	Class 3	Miscellaneous violation of the Electrical Code Technical Standards.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.2(A)	Class 1	Unapproved/unsafe/unsuitable electrical equipment, apparatus, materials, devices, appliances or wiring in use.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
EC 110.2(A)	Class 2	Unapproved/ unsafe/ unsuitable electrical equipment, apparatus, materials, devices, appliances or wiring in use.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
EC 110.2(B)	Class 2	Constructed electrical installation without required commissioner's approval per section.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 110.2	Class 2	Failure to use approved conductors and/ or equipment.	No	No	\$1,000	Yes	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
EC 110.2	Class 3	Failure to use approved conductors and/ or equipment.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.12	Class 3	Failure to close unused openings (knockouts) in outlet/panel box.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.14(A)	Class 2	Failure to properly connect conductors to terminals.	No	No	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
EC 110.25	Class 1	Electrical closet not dedicated to electrical distribution equipment only.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
EC 110.25	Class 2	Electrical closet not dedicated to electrical distribution equipment only.	Yes	Yes	\$600	Yes	\$3,000	\$1,500	\$6,000	\$3,000	\$10,000
EC 110.25	Class 3	Electrical closet not dedicated to electrical distribution equipment only.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.26	Class 2	Failure to provide/maintain sufficient access/ work space about electrical equipment.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 210.8	Class 2	[Failure ure] Failure to install Ground-fault circuit interrupter (GFCI) protection as required.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 210.12(B)	Class 2	Failure to provide Arc-fault circuit interrupter (AFCI) protection in dwelling units.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 210.52(A)	Class 3	Failure to provide proper spacing between receptacle outlets.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
EC 230.72(A)	Class 1	Failure to properly group/ label disconnects.	No	No	\$1,000	Yes	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
EC 230.72(A)	Class 2	Failure to properly group/ label disconnects.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 240.3	Class 1	Failure to provide adequate circuit overcurrent protection device per table.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
EC 240.3	Class 2	Failure to provide adequate circuit overcurrent protection device per table.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 240.3	Class 3	Failure to provide adequate circuit overcurrent protection device per table.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
EC 240.4	Class 1	Failure to protect conductor(s) against overcurrent per EC.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
EC 240.4	Class 2	Failure to protect conductor(s) against overcurrent per EC.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 240.21	Class 2	Tap conductors not in compliance with section.	No	No	\$1,000	Yes	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
EC 250.4	Class 1	Failure to ground electrical systems.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
EC 250.4	Class 1	Failure to properly bond electrical systems.	No	No	\$3,000	No	\$15,000	\$7,500	\$25,000	\$15,000	\$25,000
EC 250.4	Class 2	Failure to properly bond electrical systems.	No	No	\$1,500	Yes	\$7,500	\$3,750	\$10,000	\$7,500	\$10,000
EC 250.4	Class 3	Failure to properly bond electrical systems.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
EC 250.4	Class 2	Failure to provide adequate grounding of electrical systems.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 250.64	Class 1	Failure to install grounding electrode conductor in accordance with section.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
EC 300.10	Class 2	Fail to provide effective electrical continuity for metal raceways/ enclosures/cable armor.	No	No	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
EC 300.11	Class 2	Failure to secure/support raceways/cable assemblies/ boxes/cabinets/ fittings.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
EC 314.23	Class 3	Failure to secure electrical device enclosure per section requirement.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
EC 314.25	Class 2	Failure to provide cover/ faceplate/ lampholder/ luminaire canopy for electrical outlet.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 358.12	Class 2	Prohibited use of electrical metallic tubing (EMT).	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 358.30	Class 2	Failure to properly secure/ support electrical metallic tubing (EMT)	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 408.4	Class 3	Failure to provide required circuit directory/ identification.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500	\$500
EC 410.30	Class 2	Luminaires and Lampholders not installed in an approved manner.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 590.4(G)	Class 2	Improper splicing of temporary wiring.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 590.4(J)	Class 1	Failure to provide proper support for temporary wiring.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
EC 590.4(J)	Class 1	Failure to protect temporary wiring from improper contact per section.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
ECC R402.2	Class 2	Insulation placement and/or R values do not meet minimum requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC C502.2 2011 code; C402.2 2014 and 2016 code	Class 2	Insulation placement and/or R values do not meet minimum requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R402.3	Class 2	Fenestration U factor and/ or SHGC does not meet requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC 502.3 2011 code; C402.3 2014 code; C402.4 2016 code	Class 2	Fenestration U factor and/ or SHGC does not meet requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R402.4	Class 2	Air leakage controls were not installed per code requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC C502.4 2011 code; C402.4 2014 code; C402.5 2016 code	Class 2	Air leakage controls were not installed per code requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
ECC R403.1	Class 2	Equipment controls not installed per requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC C503.2.4 2011 code; C403.2.4 2014 and 2016 code	Class 2	Equipment controls not installed per requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R403.2 2011 and 2014 code; R403.3 2016 code	Class 2	Duct insulation and sealing does not meet minimum requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC C503.2.7 2011 code; C403.2.7 2014 code; C403.2.9 2016 code	Class 2	Duct insulation and sealing does not meet minimum requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R403.3 2011 and 2014 code; R403.4 2016 Code	Class 2	Mechanical system piping insulation does not meet minimum requirements.	Yes	Yes	\$500	No	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
ECC C503.2.8 2011 code; C403.2.8 2014 code; C403.2.10 2016 code	Class 2	Mechanical system piping insulation does not meet minimum requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC R403.4 2011 and 2014 code; R403.5 2016 code	Class 2	Hot water piping insulation does not meet minimum requirements.	Yes	Yes	\$500	No	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
ECC C504.5 2011 code; C404.5 2014 code; C404.4 2016 code	Class 2	Hot water piping insulation does not meet minimum requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC R403.5 2011 and 2014 code; R403.6 2016 code	Class 2	Required dampers are not installed/Service water heating equipment efficiency does not meet requirements.	Yes	Yes	\$500	No	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
ECC C503.2.4.4 2011 code; C403.2.4.4 2014 code; ECC C403.2.4.3 2016 code	Class 2	Required dampers are not installed/Service water heating equipment efficiency does not meet requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC R403.6 2011 and 2014 code; R403.7 2016 code	Class 2	HVAC equipment size and/or efficiency does not meet minimum requirements.	Yes	Yes	\$500	No	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
ECC C503.2 2011 code; C403.2 2014 and 2016 code	Class 2	HVAC equipment size and/or efficiency does not meet minimum requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
ECC R404.1	Class 2	Fixtures installed do not meet minimum efficiency requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC R404.2	Class 2	Failure to meter each dwelling unit separately.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC C505.7 2011 code; C405.7 2014 code; C405.6 2016 code	Class 2	Failure to meter each dwelling unit separately.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC C505.2 2011 code; C405.2 2014 and 2016 code	Class 2	Lighting controls installed do not meet code.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC C505.4 2011 code; C405.4 2014 code; C405.3 2016 code	Class 2	Exit signs in excess of five watts per side.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC C 505.5 2011 code; C405.5 2014 code; C405.4 2016 code	Class 2	Failure to meet interior lighting power requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC C505.6 2011 code; C405.6 2014 code; C405.5 2016 code	Class 2	Failure to meet exterior lighting power requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC C405.9.2 2016 Code	Class 2	Escalator or moving walk fails to comply with ASMEA17.1/ CSA or local code when not conveying passengers.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC C405.9.2.1 2016 Code	Class 2	Escalator fails to have a variable frequency regenerative drive as per section requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC - R-Misc.	Class 1	Miscellaneous violation of Energy Conservation Code residential provisions.	Yes	Yes	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
ECC - R-Misc.	Class 2	Miscellaneous violation of Energy Conservation Code residential provisions.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
ECC - R-Misc.	Class 3	Miscellaneous violation of Energy Conservation Code residential provisions.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
ECC - C-Misc.	Class 1	Miscellaneous violation of Energy Conservation Code commercial provisions.	No	No	\$3,200	No	\$16,000	\$8,000	\$25,000	\$16,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
ECC - C-Misc.	Class 2	Miscellaneous violation of Energy Conservation Code commercial provisions.	Yes	Yes	\$1,600	Yes	\$8,000	\$4,000	\$10,000	\$10,000	\$10,000
ECC - C-Misc.	Class 3	Miscellaneous violation of Energy Conservation Code commercial provisions.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
PC-Misc., FGC-Misc., MC-Misc.	Class 1	Miscellaneous violations.	No	No	[\$1,600] \$2,500	No	[\$8,000] \$12,500	[\$4,000] \$6,250	[\$16,000] \$25,000	[\$8,000] \$12,500	\$25,000
PC-Misc., FGC-Misc., MC-Misc.	Class 2	Miscellaneous violations.	Yes	Yes	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
PC-Misc., FGC-Misc., MC-Misc.	Class 3	Miscellaneous violations.	Yes	Yes	[\$300] \$500	Yes	\$500	\$500	\$500	\$500	\$500
RS 6-1	Class 1	Failure to file affidavits and/or comply with other requirements set forth for photoluminescent exit path marking.	No	No	[\$2,400] \$2,500	Yes	[\$12,000] \$12,500	[\$6,000] \$6,250	[\$24,000] \$25,000	[\$12,000] \$12,500	\$25,000
ZR 11-62	Class 1	Violation of discretionary Zoning conditions on privately owned public space (substantial denial of public access).	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 11-62	Class 2	Violation of discretionary Zoning conditions on privately owned public space.	No	No	[\$4,000] \$5,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
ZR 42-543	Class 1	Outdoor Advertising Company sign in M District exceeds height limit.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 22-00	Class 2	Illegal use in residential district.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$12,500	[\$4,000] \$6,250	\$10,000
ZR 22-00	Class 3	Illegal use in residential district.	Yes	Yes	[\$300] \$500	No	\$500	\$500	\$500	\$500	\$500
ZR 22-32	Class 1	Outdoor Advertising Company has impermissible advertising sign in an R District.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 22-342	Class 1	Outdoor Advertising Company sign in R District exceeds height limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 25-41	Class 2	Violation of parking regulations in a residential district.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
ZR 25-41	Class 3	Violation of parking regulations in a residential district.	Yes	No	[\$300] \$500	Yes	\$500	\$500	\$500	\$500	\$500

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
ZR 32-00	Class 2	Illegal use in a commercial district.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
ZR 32-63	Class 1	Outdoor Advertising Company advertising sign not permitted in specified C District.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 32-64	Class 2	Sign(s) in specified C District exceed(s) surface area restrictions.	No	Yes	[\$1,200] \$1,250	Yes	[\$6,000] \$6,250	[\$3,000] \$3,125	\$10,000	[\$6,000] \$6,250	\$10,000
ZR 32-64	Class 1	Outdoor Advertising Company sign(s) in specified C Districts exceed surface area limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 32-652	Class 2	Sign in specified C District extends beyond street line limitation.	No	Yes	[\$1,200] \$1,250	Yes	[\$6,000] \$6,250	[\$3,000] \$3,125	\$10,000	[\$6,000] \$6,250	\$10,000
ZR 32-653	Class 2	Prohibited sign on awning, canopy, or marquee in C District.	No	Yes	[\$1,200] \$1,250	Yes	[\$6,000] \$6,250	[\$3,000] \$3,125	\$10,000	[\$6,000] \$6,250	\$10,000
ZR 32-655	Class 1	Outdoor Advertising Company sign exceeds permitted height for specified C District.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 42-00	Class 2	Illegal use in a manufacturing district.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
ZR 42-52	Class 1	Outdoor Advertising sign not permitted in M District.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 42-53	Class 1	Outdoor Advertising sign in M District exceeds surface area limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 105-20	Class 2	Damaged or removed a tree within a Special Natural Area District without certification, authorization or special permit.	No	No	[\$800] \$1,250	No	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
ZR-Misc.	Class 2	Miscellaneous violations of the Zoning Resolution.	Yes	No	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000
ZR-Misc.	Class 3	Miscellaneous violations of the Zoning Resolution.	Yes	No	[\$300] \$500	Yes	\$500	\$500	\$500	\$500	\$500
ZR-Misc.	Class 1	Misc. sign violation under the Zoning Resolution by an Outdoor Advertising Company.	No	Yes	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR-Misc.	Class 2	Misc. sign violation under the Zoning Resolution.	No	Yes	[\$800] \$1,250	Yes	[\$4,000] \$6,250	[\$2,000] \$3,125	[\$8,000] \$10,000	[\$4,000] \$6,250	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
Misc. - ZR Misc. - Title 28	Class 1	Misc. outdoor sign violation of ZR and/or Building Code.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Misc. - ZR Misc. - Title 28	Class 2	Misc. outdoor sign violation of ZR and/or Building Code.	No	No	[\$2,400] \$2,500	No	\$10,000	[\$6,000] \$6,250	\$10,000	\$10,000	\$10,000
Misc. ZR	Class 2	Misc. violation of condition on as of right privately owned public space.	No	No	[\$4,000] \$5,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000

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Notice of Public Hearing and Opportunity to Comment on Proposed Rules

What are we proposing? The Department of Buildings (DOB) is proposing to amend the course provider rule to address site safety training, add penalties relating to site safety training and add a new rule regarding site safety training.

When and where is the hearing? DOB will hold a public hearing on the proposed rule. The public hearing will take place at 10:00 A.M. on 3/8/18. The hearing will be in the 2nd Floor Auditorium, at 125 Worth Street.

How do I comment on the proposed rules? Anyone can comment on the proposed rules by:

- **Website.** You can submit comments to the DOB through the NYC rules website at <http://rules.cityofnewyork.us>.
- **Email.** You can email comments to dobrules@buildings.nyc.gov.
- **Mail.** You can mail comments to the New York City Department of Buildings, Office of the General Counsel, 280 Broadway, 7th Floor, New York, NY 10007.
- **Fax.** You can fax comments to the New York City Department of Buildings, Office of the General Counsel, at (212) 566-3843.
- **Speaking at the hearing.** Anyone who wants to comment on the proposed rule at the public hearing must sign up to speak. You can sign up in the hearing room before the hearing begins on 3/8/18. You can speak for up to three minutes.

Is there a deadline to submit comments? Yes, you must submit comments by 3/8/18.

What if I need assistance to participate in the hearing? You must tell the Office of the General Counsel if you need a reasonable accommodation of a disability at the hearing. You must tell us if you need a sign language interpreter. You can tell us by mail or email at the addresses given above. You may also tell us by telephone at (212) 393-2085. You must tell us by 2/22/18.

This location has the following accessibility option(s) available: Wheelchair accessibility.

Can I review the comments made on the proposed rules? You can review the comments made online on the proposed rules by going to the website at <http://rules.cityofnewyork.us/>. A few days after the hearing, copies of all comments submitted online, copies of all written

comments and a summary of oral comments concerning the proposed rule will be available to the public at the Office of the General Counsel.

What authorizes DOB to make this rule? Sections 643 and 1043(a) of the City Charter and sections BC 3302.1 and 3321 of the New York City Building Code authorize DOB to make this proposed rule. This proposed rule was not included in the agency’s regulatory agenda because the need for such rule was not anticipated at the time the agenda was published.

Where can I find DOB’s rules? DOB’s rules are in Title 1 of the Rules of the City of New York.

What rules govern the rulemaking process? DOB must meet the requirements of Section 1043 of the City Charter when creating or changing rules. This notice is made according to the requirements of Section 1043(b) of the City Charter.

Statement of Basis and Purpose of Rule

Local Law 196 of 2017 went into effect on October 16, 2017. It created new requirements for construction site safety training courses and course completion cards, beginning March 1, 2018.

The rule would:

- add six new penalties for violating Section BC 3321 to rule Section 102-01;
- amend the course provider rule (Section 105-03) to add requirements for site safety training course providers and course completion cards; and
- add a new rule Section 3321-01 regarding the type and time frames for construction site safety training.

New material is underlined.

[Deleted material is in brackets.]

Section 1. Subdivision (k) of Section 102-01 of Subchapter B of Chapter 100 of Title 1 of the Rules of the City of New York is amended by adding, in numerical order by section of law, six new entries relating to Section 3321 of the New York City Building Code, to read as follows:

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default - Max Penalty
BC3321.1	Class 1	<u>Failed to ensure each worker has successfully completed the required training</u>	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC3321.1	Class 2	<u>Failed to ensure each worker has successfully completed the required training</u>	No	No	\$2,500	Yes	\$10,000	\$5,000	\$10,000	\$10,000	\$10,000

BC3321.2.1	Class 1	Failed to ensure each worker is in compliance with BC3321.1	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC3321.2.2	Class 2	Failed to certify with the department that requirements of 3321.1 have been met	Yes	Yes	\$2,500	No	\$10,000	\$5,000	\$10,000	\$10,000	\$10,000
BC3321.2.3	Class 1	Failed to have or maintain the required daily log	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC3321.2.4	Class 1	Failed to produce daily log for inspection	No	No	\$ 2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000

§ 2. Section 105-03 of Subchapter E of Chapter 100 of Title 1 of the Rules of the City of New York is amended to read as follows:

§ 105-03 Department-Approved Courses.

(a) **Scope.** This section outlines the requirements for Department-approved courses required by the Administrative Code and Department rules for training, license qualification and licensee continuing education. Unless otherwise authorized under the Administrative Code or Department rules, only Department-approved courses may be offered to fulfill Department course requirements.

(b) **Course Provider Application Process.**

- (1) The course provider applicant must submit:
 - (i) an application form provided by the Department; [and]
 - (ii) proof that the applicant has met the requirements of Paragraph (2) of Subdivision [(d)] (e) of this section. Prior to the date provided in that paragraph, an applicant that does not meet the requirements of that paragraph must submit upon application all documentation necessary for the department to determine whether the course would meet all the requirements of this section.; and
 - (iii) a statement attesting that the applicant will ensure that all instructors comply with the requirements of Subdivision (d) of this section and, if applicable, Subdivision (g) of this section. Course providers who are already approved as of the effective date of this subparagraph must comply with its provisions by January 1, 2019.
- (2) A listing of the providers of the approved courses will be available through the Department's website.
- (3) Incomplete or inaccurate applications will be rejected.

(c) **Approved Courses.** The course provider must ensure compliance with the provisions of this Subdivision (c).

- (1) Course Requirements.
 - (i) All courses must comply with the Department's course curricula published on its website, which lists the relevant citations for statutory or other requirements listed in this paragraph.
 - (ii) No more than four (4) hours of any curriculum may consist of course materials or credits to be applied to multiple license types.
Exception: Subparagraph (ii) does not apply to course curricula for Master and Special Electrician, Master Plumber and Master Fire Suppression Piping Contractor.
 - (iii) All curricula must include the following information as applicable to the relevant construction trade:
 - (A) Business practices;
 - (B) Relevant [building code] Building Code provisions, rules, and policy and procedure notices enacted or promulgated by the Department;
 - (C) Administrative [code] Code provisions, rules and policy and procedure notices enacted or promulgated by any other [City] City agency;

- (D) Overview of Occupational Safety and Health Standards ("OSHS") for the Construction Industry;
 - (E) Relevant Department of Buildings filing and inspection requirements;
 - (F) Safety/hazardous materials;
 - (G) New technology;
 - (H) Corruption prevention standards; and
 - (I) Other subjects identified by the Commissioner.
- (iv) All courses must reflect any changes in the Department's published course curricula or any applicable laws, rules and regulations within ninety (90) days.
- (2) Notification of Approved Courses.
 - (i) The course provider must have a website and must publish course schedules on its website, including dates, times, and locations, and otherwise make all course schedules available in writing to the Department upon request. The course provider must also notify the Department of a scheduled course at least three (3) business days prior to it being offered. All information on the course provider's website, including the location of courses and the courses taught, must be current.
 - (ii) The course provider must notify the Department of any changes to the course within fourteen (14) days of such change.
 - (3) Course Review. At the end of each course, the instructor(s) must conduct a review of the materials taught. The Department may require that a hands-on or written performance evaluation be given to attendees.
 - (4) Course Instructor(s). Course providers must require that the course instructor(s) demonstrate that he or she is credentialed or trained in instructional methods and learning processes. The instructor(s) must also successfully demonstrate to the course provider his or her ability to solve or resolve problems relating to the subject matter by possession of a recognized degree, certificate, licensure or professional standing, or by extensive knowledge, training, and experience, in the subject matter being taught. To the extent that the course instructor(s) holds, or has held, a trade license issued by the Department, it must be in good standing and not be surrendered to, suspended by or revoked by the Department.
 - (i) For Supported Scaffold, Suspended Scaffold and Mast-Climber training courses, the instructor(s) must also be authorized by the Occupational Safety and Health Administration ("OSHA") as a trainer(s) for its Construction and Outreach Program.
 - (ii) For Hoist Machine Operator courses, the instructor(s) must also hold a valid New York City Hoist Machine Operator's license or hold a national certification for crane operation.
 - (iii) For Master and Special Electrician courses, the instructor(s) must demonstrate credentials or training in instructional methods and learning processes through licensure or certification, including but not limited to, certification as an electrical course provider in jurisdictions following the National Electrical Code/ NFPA 70.]

(5) (4) Course Facilities. The course facilities must:

- (i) Have sufficient room to accommodate all expected attendees and the equipment needed to perform hands-on exercises where required as part of the course;
- (ii) Make provisions for the presentation of training material in all media types (e.g., computer, projectors, video/DVD players[, etc]); and
- (iii) Comply with all applicable laws, rules and regulations relating to occupancy, zoning, egress, fire detection, fire suppression, light, ventilation, cleanliness, sanitary facilities, emergency notification and evacuation procedures.

(6) (5) Attendance Record. The course provider must have in place fraud-resistant procedures for confirming the identity and attendance of individuals taking any course(s), including logs reflecting those in attendance at different times during the course, and be able to produce the logs and any other documentation demonstrating that an attendee attended the course(s).

(7) (6) Course Completion.

- (i) For training courses, the course provider must issue a wallet-size identification card to attendees upon course completion. Such card must contain the following information:
 - (A) The name of the person to whom it was issued;
 - (B) A photograph of the person to whom it was issued;
 - (C) The name and address of the issuing organization;
 - (D) The date of issuance;
 - (E) An indication of the type of training and the number of credit hours completed; and
 - (F) The signature of the course [administrator] provider.
- (ii) For qualification and continuing education courses, the course provider must issue a certificate of completion to the attendees upon course completion. Such certificate must contain the following information:
 - (A) The name of the person to whom it was issued;
 - (B) The name and address of the issuing organization;
 - (C) The date of issuance;
 - (D) An indication of the type of course and the number of credit hours completed; and
 - (E) The signature of the course [administrator] provider.
- (iii) The course provider must maintain a record of all the [names of course attendees and the course they completed] information required on the identification cards and the certificates of completion for a minimum period of seven (7) years from the date of completion. The course provider must make these records available to the Department upon request.

(7) (8) Department Observation and Evaluation. The Department may observe any Department-approved course without prior notification to the course provider or instructor. The Department may evaluate courses taught by course providers through audit or other means at such intervals as it deems necessary and may require additional information as it deems necessary.

(d) Course Instructor(s). Course providers must require that course instructor(s) demonstrate that they are credentialed or trained in instructional methods and learning processes. Course providers must also require that the instructor(s) successfully demonstrate their ability to solve or resolve problems relating to the subject matter by possession of a recognized degree, certificate, licensure or professional standing, or by extensive knowledge, training, and experience, in the subject matter being taught. To the extent that the course instructor(s) holds, or has held, a trade license issued by the Department, such license must be in good standing and not be surrendered to, suspended by or revoked by the Department.

- (1) For Supported Scaffold, Suspended Scaffold and Mast-Climber training courses, the instructor(s) must also be authorized by the Occupational Safety and Health Administration ("OSHA") as a trainer(s) for its Construction and Outreach Program.
- (2) For Hoisting Machine Operator courses, the instructor(s) must also hold a valid New York City Hoisting Machine Operator's license or hold a national certification for crane operation.
- (3) For Master and Special Electrician courses, the instructor(s) must demonstrate credentials or training in instructional methods and learning processes through licensure or certification, including but not limited to,

certification as an electrical course provider in jurisdictions following the National Electrical Code/ NFPA 70.

- (4) The course instructor(s) must comply with all applicable Federal, State and local laws, rules and regulations.
- (5) The course instructor(s) must be in compliance with the Department's Industry Code of Conduct.

[(d)] (e) **Course Provider Requirements.**

- (1) The course provider must be a sole proprietorship, a partnership or a [corporate officer of a course provider] corporation that provides training, [a partner of a course provider partnership,] an educational institution, a governmental agency or authority, a trade union, or a trade association, provided that a governmental agency or authority need not comply with Paragraph (2) of this subdivision.
- (2) [Beginning on August 31, 2014, the] The course provider must provide proof that it is:
 - (i) approved by the New York State Department of Education, such as through a registered New York State Department of Labor vocational, trade or apprenticeship program;
 - (ii) licensed as an educational institution by the New York State Department of Education;
 - (iii) accredited by an accrediting organization recognized by the United States Department of Education or the Council for Higher Education Accreditation; or
 - (iv) certified by an organization accredited by the American National Standards Institute (ANSI) as a Standards Developing Organization with published standards for continuing education and training.

[Upon a determination of good cause, including but not limited to the absence of approved courses needed to meet a Department requirement, the Commissioner may extend the time to meet the requirements of this paragraph beyond August 31, 2014.]

For alternative Mast-Climbing work platform training course provider requirements to those listed in [(d)(2)] Paragraph (2) of Subdivision (e), herein, see Section 3314-01(m).

- (3) Course providers must continuously maintain the qualifications required by Paragraphs (1) and (2) of this subdivision and by Subdivision (h), if applicable, and must provide a copy of such approval, license, accreditation or certification to the Department upon request. The course provider must immediately notify the Department of any lapse or expiration of its approval, license, accreditation or certification, including any qualification required by Subdivision (h).
- (4) The [individual] course provider[, the principals or partners of the course provider corporation or partnership and all course instructors] must comply with all applicable Federal, State and local laws, rules and regulations.
- (5) The course provider must notify the Department of any changes to the course provider's name within fourteen (14) days of such change.
- (6) Course providers must ensure that all their instructors comply with all applicable provisions of this section.
- (7) Course providers must be in compliance with the Department's Industry Code of Conduct.

(f) Notwithstanding the [forgoing] foregoing, the Department may act as a provider, or the sole provider, of any course offered to fulfill a Department course requirement. A listing of available Department courses will be posted on the Department's website.

(g) Site Safety Training (SST) Course Instructors. For the purposes of providing training in accordance with Section 3321.1 of the Building Code and this Section 105-03, a site safety training (SST) provider, as defined in item 1 of Section 3302.1 of the Building Code, means a course instructor as used in this Section 105-03. In addition to the foregoing requirements for course instructors, an SST course instructor must meet one of the following requirements:

- (1) has successfully completed all applicable OSHA or Department requirements for conducting OSHA 10-hour classes and OSHA 30-hour classes and is authorized to conduct such classes, except that such course instructor is not required to possess a degree, certificate, licensure or demonstrate any professional standing as required by Subdivision (d), beyond what is required in this paragraph;

- (2) is providing training through a 100-hour training program; or
- (3) is approved by the Department to conduct a 40-hour Site Safety Manager training course in accordance with article 402 of Chapter 4 of the Administrative Code.

(h) Site Safety Training (SST) Course Providers. A site safety training (SST) provider as defined in item 2 of Section 3302.1 of the Building Code must comply with the requirements for course providers in this Section 105-03 as well as with the applicable requirements of this Subdivision (h).

- (1) If a governmental agency or authority is an SST provider, it must meet the standards set forth by the Department instead of the requirement in paragraph (2) of subdivision (e).
- (2) Beginning on May 1, 2019, or such later date as determined by the Department, but in no event later than September 1, 2020, an SST provider must certify to the Department that it has a language access plan that complies with requirements established by another City agency or office designated by the Mayor.
- (3) Training may be conducted in person or in an actively proctored online format and must comply with the following:
 - (i) If conducted in person in a classroom setting, the SST provider must confirm the identification of the individual taking such training and must ensure that the site of such training is actively observed for the duration of the training.
 - (ii) If the online training is not performed in person, the SST provider must confirm the identification of the individual prior to providing secure access to the online training. The individual must attest that he/she is the individual who received the online access and will complete the training without assistance. The online program must have secure access and monitor participation during the course of training to ensure that the individual receiving the training is present for the entirety of the training.
- (4) Upon completion of the SST course, the SST provider or, if applicable, a third party, must issue an SST card in accordance with subdivision (i) of this section. The provisions of paragraph (6) of subdivision (c) of this section do not apply to SST courses.
- (5) If the SST provider is not the provider of the OSHA course that is required for an SST card, as defined in Section 3302.1 of the Building Code, the SST provider must ensure that the OSHA course was conducted either in person or, if online, was actively proctored before issuing an SST card as provided in subdivision (i) of this section.
- (6) Beginning July 1, 2018, and on October 1, January 1, April 1 and July 1 of each year thereafter, the course provider must report the number of SST, temporary SST, limited SST and supervisory SST cards issued each quarter in a format required by the Department.

(i) SST cards to be issued upon course completion. The Department may require SST course completion cards to be issued by a third party. Until such time as a third party process is instituted, an SST provider must, upon completion of the SST course, issue a temporary SST card, limited SST card, SST card, or SST supervisor card, in accordance with subdivision (h), this subdivision and Section 3302.1 of the Building Code. Such card must be wallet-sized and must contain the following information and security features:

- (1) Document security features designed to deter forgery and counterfeiting, promote an adequate level of confidence in the authenticity of cards, and facilitate detection of fraudulent cards. These cards must not be capable of being reproduced using technologies that are commonly used and made available to the general public.
- (2) Unique identification card number. This cannot be an individual's Social Security Number.
- (3) Photographs of the person to whom it was issued (may be in black and white or in color);
- (4) The printed name and signature of the card holder;
- (5) Date of course completion;
- (6) Expiration date;
- (7) Name and address of provider of issuance;
- (8) A summary description of the type of training and number of credit hours completed; and
- (9) Any additional information the Department may require.

(j) Record-keeping and verification of SST Cards.

- (1) The SST course provider or, if applicable, a third party, must maintain a record of all temporary SST cards, limited SST cards, SST cards, or SST supervisor cards issued for a minimum of seven years.
- (2) The SST course provider or, if applicable, a third party, must maintain a secure on-line verification system containing an up-to-date list of the name of the card holder, the unique identification card number, and the issuance and expiration date of the card. Such verification must be available to the City, including the Department, and contractors, to enable readily accessible confirmation of the names of SST card holders who have completed the SST training through the particular course provider.

(e) (k) Suspension and Revocation of Approval.

- (1) Following notice and an opportunity to be heard, the Department may (suspend or revoke approval of a course provider's courses based on the failure to comply with a Department requirement) take corrective action, including probation, suspension, or revocation of a course provider's authority to conduct classes and to distribute course completion cards, or for failure to comply with the requirements of any applicable law, rule, or regulation. However, when the public safety may be imminently jeopardized, the Commissioner may, pending an opportunity to be heard and Department determination, suspend approval for a period not exceeding thirty (30) days.
- (2) The basis for the suspension or revocation of a course approval may form the basis for the suspension or revocation of any or all of that course provider's approved courses.
- (3) The Department will post on its website that the approval of a course or course provider has been suspended or revoked.

§3. Chapter 3300 of Title 1 of the Rules of the City of New York is amended by adding a new Section 3321-01 to read as follows:

§3321-01 Construction Site Safety Training.

(a) Required training. Permit holders at buildings sites for which a construction superintendent, site safety manager or site safety coordinator is required must ensure that each worker at the site has completed the site safety training provided by approved course providers, pursuant to Section 105-03 of these rules and as required by Section 3321 of the Building Code, as follows:

- (1) Beginning March 1, 2018, workers must have completed either:
 - (i) An OSHA 10-hour class, as defined in Section 3302.1 of the Building Code;
 - (ii) An OSHA 30-hour class, as defined in Section 3302.1 of the Building Code; or
 - (iii) A 100-hour training program that DOB determines meets or exceeds the training in (i) or (ii).
- (2) By December 1, 2018, or June 1, 2019 if the Department determines that there is insufficient capacity to provide the training required by Section 3321 of the Building Code, workers must have completed either (i), (ii), (iii), or (iv) of this Paragraph (2):
 - (i) Temporary Site Safety Training (SST) Card as defined in Section 3302.1 of the Building Code, for workers who provide a written statement that they have not ever been issued a Limited SST, Temporary SST or SST Card;
 - (ii) Limited Site Safety Training (SST) Card as defined in Section 3302.1 of the Building Code;
 - (iii) Site Safety Training (SST) Card as defined in Section 3302.1 of the Building Code; or
 - (iv) if serving as a site safety manager, site safety coordinator, concrete safety manager, construction superintendent or a competent person at such site, a Site Safety Training Supervisor Card as defined in Section 3302.1 of the Building Code.
- (3) By May 1, 2019, or September 1, 2020 if the Department determines that there is insufficient capacity to provide the training required by Section 3321 of the Building Code, workers must have either (i), (ii), or (iii):
 - (i) Site Safety Training (SST) Card;
 - (ii) Temporary Site Safety Training (SST) Card; or
 - (iii) if serving as a site safety manager, site safety coordinator, concrete safety manager, construction superintendent or a competent person at such site, a Site Safety Training Supervisor Card as defined in

Section 3302.1 of the Building Code.

(b) **Additional training.** By December 1, 2018, workers must also have completed any additional training recommended by the SST Task Force established by Section 28-103.28 of the Administrative Code and approved by the Commissioner.

NEW YORK CITY MAYOR'S OFFICE OF OPERATIONS
253 BROADWAY, 10th FLOOR
NEW YORK, NY 10007
(212) 788-1400

CERTIFICATION/ANALYSIS
PURSUANT TO CHARTER SECTION 1043(d)

RULE TITLE: Construction Site Safety Training Rules
REFERENCE NUMBER: DOB-107
RULEMAKING AGENCY: Department of Buildings

I certify that this office has analyzed the proposed rule referenced above as required by Section 1043(d) of the New York City Charter, and that the proposed rule referenced above:

- (i) Is understandable and written in plain language for the discrete regulated community or communities;
- (ii) Minimizes compliance costs for the discrete regulated community or communities consistent with achieving the stated purpose of the rule; and
- (iii) The violation for failure to certify that the training requirements have been met is curable by providing proof of such training.

/s/ Francisco X. Navarro
Mayor's Office of Operations

January 18, 2018
Date

NEW YORK CITY LAW DEPARTMENT
DIVISION OF LEGAL COUNSEL
100 CHURCH STREET
NEW YORK, NY 10007
(212) 356-4028

CERTIFICATION, PURSUANT TO
CHARTER §1043(d)

RULE TITLE: Construction Site Safety Training Rules
REFERENCE NUMBER: 2018 RG 001
RULEMAKING AGENCY: Department of Buildings

I certify that this office has reviewed the above-referenced proposed rule as required by Section 1043(d) of the New York City Charter, and that the above-referenced proposed rule:

- (i) is drafted so as to accomplish the purpose of the authorizing provisions of law;
- (ii) is not in conflict with other applicable rules;
- (iii) to the extent practicable and appropriate, is narrowly drawn to achieve its stated purpose; and
- (iv) to the extent practicable and appropriate, contains a statement of basis and purpose that provides a clear explanation of the rule and the requirements imposed by the rule.

/s/ STEVEN GOULDEN
Acting Corporation Counsel

Date: January 18, 2018

Accessibility questions: Andrea Maggio (212) 393-2085, amaggio@buildings.nyc.gov, by: Thursday, February 22, 2018, 5:00 P.M.



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**Notice of Public Hearing and Opportunity to
Comment on Proposed Rules**

What are we proposing? The Department of Buildings (DOB) is proposing to amend 1 RCNY 104-09 to update the physical fitness, experience, and national certification requirements for licensed hoisting machine operators.

When and where is the hearing? DOB will hold a public hearing on the proposed rule. The public hearing will take place at 10:00 A.M. on 3/12/18. The hearing will be in the 3rd Floor Conference Room, at 280 Broadway.

How do I comment on the proposed rules? Anyone can comment on the proposed rules by:

- **Website.** You can submit comments to the DOB through the NYC rules website at <http://rules.cityofnewyork.us>.
- **Email.** You can email comments to dobrules@buildings.nyc.gov.
- **Mail.** You can mail comments to the New York City Department of

Buildings, Office of the General Counsel, 280 Broadway, 7th Floor, New York, NY 10007.

- **Fax.** You can fax comments to the New York City Department of Buildings, Office of the General Counsel, at (212) 566-3843.
- **Speaking at the hearing.** Anyone who wants to comment on the proposed rule at the public hearing must sign up to speak. You can sign up in the hearing room before the hearing begins on 3/12/18. You can speak for up to three minutes.

Is there a deadline to submit comments? Yes, you must submit comments by 3/12/18.

What if I need assistance to participate in the hearing? You must tell the Office of the General Counsel if you need a reasonable accommodation of a disability at the hearing. You must tell us if you need a sign language interpreter. You can tell us by mail or email at the addresses given above. You may also tell us by telephone at (212) 393-2085. You must tell us by 2/26/18.

This location has the following accessibility option(s) available: Wheelchair accessibility.

Can I review the comments made on the proposed rules? You can review the comments made online on the proposed rules by going to the website at <http://rules.cityofnewyork.us/>. A few days after the hearing, copies of all comments submitted online, copies of all written comments and a summary of oral comments concerning the proposed rule will be available to the public at the Office of the General Counsel.

What authorizes DOB to make this rule? Sections 643 and 1043(a) of the City Charter and Section 28-401.14 and Article 405 of Chapter 4 of Title 28 of the New York City Administrative Code authorize DOB to make this proposed rule. This proposed rule was not included in DOB's regulatory agenda for this Fiscal Year because it was not contemplated when DOB published the agenda.

Where can I find DOB's rules? DOB's rules are located in Title 1 of the Rules of the City of New York.

What rules govern the rulemaking process? DOB must meet the requirements of Section 1043 of the City Charter when creating or changing rules. This notice is made according to the requirements of Section 1043(b) of the City Charter.

Statement of Basis and Purpose of Rule

1 RCNY 104-09 establishes licensing criteria for hoisting machine operators, including relating to physical fitness, experience, and national certification.

The proposed amendments:

- Update the physical fitness requirements to conform to the latest requirements in ASME B 30.5-2014
- Consolidate existing national certification requirements into a new subdivision (d). 1 RCNY 104-09 currently requires Class A and C licensed hoisting machine operators to possess "one or more" certifications, and requires Class B licensed hoisting machine operators to possess "all" certifications. In the years since 1 RCNY 104-09 was first promulgated, the number of available national certifications has increased
- Provide further specificity (in subdivision (d)) as to exactly which certifications are required for each licensing class
- Add language regarding derricks, for which no national certification is currently available
- Specify that applicants for a Class A or Class C hoisting machine operator license must obtain experience on specific relevant types of machinery
- Incorporate a recent New York State Supreme Court ruling in *Christian v. City et al.* that Class A experience must be obtained within New York City
- Clarify that experience for a Class A hoisting machine operator license must have been obtained under the supervision of a Class A or Class B licensed hoisting machine operator
- Make editorial clarifications throughout the document

The Department of Buildings' authority for these rules is found in Sections 643 and 1043 of the New York City Charter and Section 28-401.14 and Article 405 of Chapter 4 of Title 28 of the New York City Administrative Code.

New material is underlined.

[Deleted material is in brackets.]

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. Subdivision (a) of Section 104-09 of Title 1 of the Rules of the City of New York is amended to read as follows:

§104-09 Hoisting Machine Operators.

- (a) Qualifications. In addition to the qualification requirements set forth in the Administrative Code, an applicant for a [Class A, B or C] Hoisting Machine Operator license shall satisfy the following requirements:
- (1) Driver's license. An applicant for a [Class A, B or C] Hoisting Machine Operator license shall possess a valid driver's license, without conditions or restrictions which the Department has determined may impact the safe operation of hoisting machinery, effective for the term of the Hoisting Machine Operator license sought.
 - (2) Physical fitness. An applicant for a [Class A, B or C] Hoisting Machine Operator license shall provide evidence on a form prescribed by the Commissioner that he or she [has passed a physical exam that complies with the standards of ASME B 30.5-2007, or the most recent version approved by the Department, and that he or she has complied with the substance abuse testing provisions and standards of ASME B 30.5-2007, or the most recent version approved by the Department] meets the physical qualifications of Section 5-3.1.2(a) of ASME B 30.5-2014, and has passed a physical exam and a substance abuse test to verify such physical qualification. Such evidence shall include the name, address and telephone number of the laboratory that performed the tests and consent to the release by such laboratory of the test results to the Department upon request of the Department.
- (3) An applicant for a Class A, B or C Hoisting Machine Operator license shall satisfy the examination requirements of the Administrative Code by complying with the following requirements:
- (i) For a Class A or C applicant, providing to the Department one or more certifications issued by an organization accredited to offer crane certifications by the National Commission for Certifying Agencies (NCCA) or the American National Standards Institute (ANSI) and acceptable to the Commissioner. The certification shall authorize operation of the type of equipment for which the applicant seeks a license. The applicant shall provide to the Department a copy of the certification, a copy of future re-certifications and documentation of new specialties acquired. The applicable certification shall be maintained continuously for the duration of the license term.
 - (ii) For a class B applicant, providing to the Department all crane operator certifications issued by an organization accredited to offer tower, mobile, and crawler crane operator certifications by the National Commission for Certifying Agencies (NCCA) or the American National Standards Institute (ANSI) and acceptable to the Commissioner. The applicant must have passed at least one of the certification exams on a friction crane. The applicant must provide to the Department a copy of the certifications, a copy of future re-certifications and documentation of new specialties acquired. The applicable certifications must be maintained continuously for the duration of the license term.]
- (3) Certifications. An applicant for a hoisting machine operator license must provide copies of certifications required by subdivision (d) of this section.
- (4) Experience qualifications. In order to satisfy the experience qualifications as set forth in Section 28-405.3 of the New York city administrative code, the experience must have been obtained in accordance with the following:
- (i) Class A license applicants. An applicant for a Class A Hoisting Machine Operator license must provide proof demonstrating that the three (3) years of experience required by Section 28-405.3 of the New York city administrative code was acquired operating hoisting machines in New York City under the supervision of a licensed Class A or Class B Hoisting Machine Operator. The experience must have been obtained on hoisting machines of a type, size, and capacity authorized to be operated by a Class A licensed hoisting machine operator. For applications submitted on or after July 1, 2019, at least two (2) years of the required three (3) years of experience must have been in the operation of mobile cranes with a manufacturer's rated capacity in excess of 50 tons (45.36 t) or in the operation of tower cranes.
 - (ii) Class C license applicants. An applicant for a Class C Hoisting Machine Operator license must provide proof [of experience] demonstrating [at least] that the two (2) years of [work within the three (3) years prior to application under the direct and continuing] experience

required by Section 28-405.3 of the New York city administrative code was acquired operating hoisting machines under the supervision of a Hoisting Machine Operator licensed by the Department or by another jurisdiction within the United States that regulates crane operators. The experience must have been obtained on hoisting machines of a type, size, and capacity authorized to be operated by the Class C Hoisting Machine Operator license sought. At least one (1) year of the [qualifying work] experience must have been undertaken in the City of New York or in an urban area of comparable density within the United States, as determined by the Commissioner, and, for applications for a Class C1 Hoisting Machine Operator license submitted on or after July 1, 2019, have been in the operation of wheel mounted cranes with a manufacturer's rated capacity in excess of 3 tons (2.72 t).

- (5) Outrigger setup. For a Class A or C Hoisting Machine Operator license, the qualifying [work] experience as specified in Paragraph (4) of this subdivision shall include outrigger placement incorporating at least one hundred (100) crane set-ups. Such set-ups must occur at a jobsite, crane yard, training center, or other location acceptable to the commissioner. For a Class A Hoisting Machine Operator license, at least 25 of the set-ups must have occurred on machinery for which a Class A license is required. Where an applicant for a Class A Hoisting Machine Operator license already possesses a Class C Hoisting Machine Operator license, the requirement for the remaining 75 set-ups on non Class A machinery is waived.
- (6) Additional qualification requirements. In addition to the qualification requirements set forth in the Administrative Code and in this subdivision (a), all Hoisting Machine Operator [Class A, B, and C] license applicants shall complete a forty- (40) hour training course, approved by the Department, covering those provisions of local law and regulation that are unique to New York City for the operation of a hoisting machine and the unique hazards of operating a hoisting machine within New York City.

§2. Subdivision (b) of Section 104-09 of Title 1 of the Rules of the City of New York is amended to read as follows:

- (b) Fitness requirements for renewal and reinstatement. As a condition of license renewal or reinstatement, a licensed or previously licensed [Class A, B or C] Hoisting Machine Operator shall provide evidence of fitness to perform the work authorized to be performed by a holder of the license held or once held by the applicant. Such evidence shall consist of:
- (1) [For Class A, B and C Hoisting Machine Operators, evidence] Physical fitness. Evidence on a form prescribed by the Commissioner that the licensee [has passed a physical exam that complies with the standards of ASME B 30.5-2007, or the most recent version approved by the Department, and that the licensee has complied with the substance abuse testing provisions and standards of ASME B 30.5-2007, or the most recent version approved by the Department] meets the physical qualifications of Section 5-3.1.2(a) of ASME B 30.5-2014, and has passed a physical exam and a substance abuse test to verify such physical qualification. Such evidence shall include the name, address and telephone number of the laboratory that performed the tests and consent to the release by such laboratory of the test results to the Department upon request of the Department.
 - (2) Valid national certification(s) as follows:
 - (i) For Class A Hoisting Machine Operators whose license is renewed or reinstated on or after July 1, 2015, one or more valid certification(s) issued by an organization accredited to offer crane operator certifications by the National Commission for Certifying Agencies (NCCA) or the American National Standards Institute (ANSI) and acceptable to the Commissioner. For Class A Hoisting Machine Operators with licenses renewed or reinstated prior to July 1, 2015, such certification must be obtained within one year of renewal. The certification(s) shall authorize the operation of the type of equipment for which the license is held or sought and the licensee shall provide to the Department a copy of the certification(s), a copy of future re-certifications and documentation of new specialties acquired. The applicable certification(s) must be maintained continuously for the duration of the license term.
 - (ii) For Class B Hoisting Machine Operators whose license is renewed or reinstated on or after July 1, 2015, all crane operator certifications issued by an organization accredited to offer tower, mobile and crawler crane operator certifications by the National Commission for

Certifying Agencies (NCCA) or the American National Standards Institute (ANSI) and acceptable to the Commissioner. For Class B Hoisting Machine Operators with licenses renewed or reinstated prior to July 1, 2015, such certification must be obtained within one year of renewal. The applicant must have passed at least one of the certification exams on a friction crane. The licensee must provide to the Department a copy of the certifications, a copy of future re-certifications and documentation of new specialties acquired. The applicable certifications must be maintained continuously for the duration of the license term.

(iii) For Class C Hoisting Machine Operators, one or more valid certification(s) issued by an organization accredited to offer crane operator certifications by the National Commission for Certifying Agencies (NCCA) or the American National Standards Institute (ANSI) and acceptable to the Commissioner. The certification(s) shall authorize the operation of the type of equipment for which the license is held or sought and the licensee shall provide to the Department a copy of the certification(s), a copy of future re-certifications and documentation of new specialties acquired. The applicable certification(s) must be maintained continuously for the duration of the license term.]

- (2) Certifications. Copies of certifications as required by subdivision (d) of this section.
- (3) Driver's license. A renewal applicant shall possess a valid driver's license, without conditions or restrictions which the Department has determined may impact the safe operation of hoisting machinery, effective for the term of the renewal sought.
- (4) Renewal. A renewal applicant shall satisfy the requirements of this rule at every subsequent renewal.

§3. Subdivision (c) of Section 104-09 of Title 1 of the Rules of the City of New York is amended to read as follows:

(c) [Hoisting Machine Operator Class A and B licensees shall provide to the Department no later than six months after the effective date of this section, or upon application, renewal, or reinstatement, as applicable, the information required in Paragraph (1) of Subdivision (b) of this section. The Commissioner may for cause, following notice and an opportunity to be heard, accelerate the date by which holders of Hoisting Machine Operator Class A and B licenses must satisfy the requirements of this subdivision and in such case, upon failure to satisfy such condition, the license shall lapse.] Reserved.

§4. Subdivision (d) of Section 104-09 of Title 1 of the Rules of the City of New York is repealed and a new subdivision (d) is added to read as follows:

(d) Certifications for a hoisting machine operator license. Where a certification for the operation of a type of hoisting machine has been established by Table 1 of this subdivision, no licensed hoisting machine operator may operate such type of machinery until the licensee possesses a certification for that type of hoisting machine and such certification has been listed on the hoisting machine operator's license by the department.

Exception: The licensee is operating the hoisting machine as a learner in accordance with Section 104-23 of these rules.

(1) Certifications to be acceptable and accredited. The certification must be acceptable to the commissioner and be issued by a hoisting machine operator certification program that is accredited by the National Commission for Certifying Agencies (NCCA) or the American National Standards Institute (ANSI) for the specific type of hoisting machine to be operated.

(2) Licensee to inform department of changes to certification. The licensed hoisting machine operator must immediately notify the department if a certification identified in Table 1 of this subdivision and held by the licensee is suspended, revoked, not renewed, or otherwise lapses. The licensed hoisting machine operator must provide a copy of re-certifications and new certifications identified in Table 1 of this subdivision to the department within 30 days.

(3) Friction cranes or derricks. No person who holds a Class A or Class C hoisting machine operator license may operate a friction crane or a friction derrick, or supervise the operation of a learner on a friction crane or a friction derrick, until the licensee has passed at least one of the practical certification exams listed in Table 1 on a friction crane, and such authorization to operate a friction crane or a friction derrick has been listed on the hoisting machine operator's license by the department. For a Hoisting Machine Operator Class B applicant, one of the practical certification exams must, in accordance with the requirements of

Table 1 of this subdivision, be on a friction crane.

(4) Derricks. No licensed hoisting machine operator may operate a derrick, or supervise the operation of a learner on a derrick, unless the licensee possesses a tower crane certification (not self-erecting), and such certification has been listed on the hoisting machine operator's license by the department.

Table 1: Certifications by licensing class

Certification Name	Hoisting machine operator licensing class				
	A	B	C1	C2	C3
Fix cab telescopic boom mobile crane ¹	Required	Required	Required		
Swing cab telescopic boom mobile crane	Required	Required	Required		
Lattice boom truck crane ²	Required	Required			
Lattice boom crawler crane ²	Required	Required			
Tower crane ³	Additional	Required			
Self-erecting tower crane ⁴	Additional	Additional			
Articulating boom mobile crane ⁵	Additional	Additional	Additional		
Dedicated pile driver	Additional	Additional	Additional		
Boom truck ¹				Required ⁶	Required ⁶

Legend to Table 1:

- "Required" means must possess.
- "Additional" means not mandated, but required if licensee intends to operate listed type of hoisting machinery.
- Blank indicates not authorized for the class of license, except where otherwise indicated by footnote 1.

Footnotes to Table 1:

- ¹ Individuals who hold a "Fix cab telescopic boom mobile crane" certification can also operate "boom trucks" and do not need a separate certification.
- ² For a Hoisting Machine Operator Class B applicant, the practical certification exam for either the lattice boom truck crane or the lattice boom crawler crane must be on a friction crane.
- ³ The practical certification exam cannot be on a self-erecting tower crane.
- ⁴ The practical certification exam must be on a self-erecting tower crane.
- ⁵ The certification exam must include a component on the operation of an articulating boom crane with a winch.
- ⁶ Licensees who prior to the effective date of this section hold a certification for a "Fix cab telescopic boom mobile crane" must provide a certification for "Boom truck" upon renewal or reinstatement of their license.

§5. Subdivision (e) of Section 104-09 of Title 1 of the Rules of the City of New York is amended to read as follows:

(e) Additional requirements. The provisions of this rule shall be in addition to the qualification, renewal and reinstatement requirements prescribed by Articles 401 and 405 of Title 28 of the Administrative Code.

§6. Subdivision (f) of Section 104-09 of Title 1 of the Rules of the City of New York is amended to read as follows:

(f) Obligation to keep documents. In addition to any other documents required to be maintained on site by a licensed [Class A, B or C] Hoisting Machine Operator, such Operator shall have on site at all times the following documents:

- (1) The certification(s) issued to him or her by [an organization accredited by the National Commission for Certifying Agencies (NCCA) or the American National Standards Institute (ANSI) and acceptable to the Commissioner] the certifying entity; and

- (2) The hoisting machine operator license issued to him or her by the Department.

**NEW YORK CITY LAW DEPARTMENT
DIVISION OF LEGAL COUNSEL
100 CHURCH STREET
NEW YORK, NY 10007
(212) 356-4028**

**CERTIFICATION, PURSUANT TO
CHARTER §1043(d)**

RULE TITLE: Amendment of Licensing Requirements for Hoisting Machine Operators

REFERENCE NUMBER: 2017 RG 106

RULEMAKING AGENCY: Department of Buildings

I certify that this office has reviewed the above-referenced proposed rule as required by Section 1043(d) of the New York City Charter, and that the above-referenced proposed rule:

- (i) is drafted so as to accomplish the purpose of the authorizing provisions of law;
- (ii) is not in conflict with other applicable rules;
- (iii) to the extent practicable and appropriate, is narrowly drawn to achieve its stated purpose; and
- (iv) to the extent practicable and appropriate, contains a statement of basis and purpose that provides a clear explanation of the rule and the requirements imposed by the rule.

/s/ STEVEN GOULDEN
Acting Corporation Counsel

Date: 1/10/18

**NEW YORK CITY MAYOR'S OFFICE OF OPERATIONS
253 BROADWAY, 10th FLOOR
NEW YORK, NY 10007
(212) 788-1400**

**CERTIFICATION, PURSUANT TO
CHARTER §1043(d)**

RULE TITLE: Amendment of Licensing Requirements for Hoisting Machine Operators

REFERENCE NUMBER: DOB-104

RULEMAKING AGENCY: Department of Buildings

I certify that this office has analyzed the proposed rule referenced above as required by Section 1043(d) of the New York City Charter, and that the proposed rule referenced above:

- (i) Is understandable and written in plain language for the discrete regulated community or communities;
- (ii) Minimizes compliance costs for the discrete regulated community or communities consistent with achieving the stated purpose of the rule; and
- (iii) Does not provide a cure period because a cure period is not practicable under the circumstances.

/s/ Lindsay Fuller
Mayor's Office of Operations

January 10, 2018

Accessibility questions: Andrea Maggio (212) 393-2085, amaggio@buildings.nyc.gov, by: Monday, February 26, 2018, 5:00 P.M.



f5

SPECIAL MATERIALS

COMPTROLLER

■ NOTICE

NOTICE OF ADVANCE PAYMENT OF AWARDS, PURSUANT TO THE STATUTES IN SUCH cases made and provided, notice is hereby given that the Comptroller of the City of New York, will be ready to pay, at 1 Centre Street, Room 629, New York, NY 10007, 5/25/2018 to the person or persons legally entitled an amount, as certified to the Comptroller by the Corporation Counsel on damage parcels, as follows:

Damage Parcel No.	Block	Lot
1 AND 1A	1270	1
2 AND 2A	1270	165
3 AND 3A	1270	12

Acquired in the proceeding SOUTH AND FORES, subject to any liens and encumbrances of record on such property. The amount advanced shall cease to bear interest on the specified date above.

Scott M. Stringer
Comptroller

f5-16

CHANGES IN PERSONNEL

HRA/DEPT OF SOCIAL SERVICES FOR PERIOD ENDING 01/12/18									
NAME	TITLE	NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY		
COOMBS	AUSPHA	O	10104	\$35140.0000	APPOINTED	NO	12/26/17	069	
COOPER-UPSHAW	ANEVA		10251	\$38956.0000	DISMISSED	NO	12/29/17	069	
COSTELLO	A		52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
DELA ROSA	MARTHA		10104	\$39338.0000	RETIRED	NO	12/31/17	069	
DESIR	SANDRA		31113	\$44409.0000	RESIGNED	NO	12/12/17	069	
DICKERSON	YOLANDA	M	52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
DONOHUE	JAMES	J	52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
DORCE	JEAN	F	52314	\$45378.0000	INCREASE	NO	12/24/17	069	
DROBENARE	HELENE	F	1002A	\$85731.0000	RETIRED	NO	12/28/17	069	
DUDLEY	JACQUELI		95800	\$173072.0000	INCREASE	YES	12/17/17	069	
EADY	SHARON	L	52304	\$44564.0000	RETIRED	NO	12/31/17	069	
ESCOBAR	NATALIE	L	12627	\$75591.0000	APPOINTED	NO	12/26/17	069	
ETINGIN	ANASTASI		52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
ETKINS	MARGARET	R	12627	\$79651.0000	RETIRED	NO	12/31/17	069	
FAROQI	BISMA		52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
FLOY	MYRTLE	A	12627	\$80037.0000	RETIRED	NO	01/02/18	069	
FOSTER	TIFFANY	M	52314	\$47250.0000	APPOINTED	NO	12/24/17	069	
FRASER	STEPHANI	M	52304	\$38617.0000	APPOINTED	NO	12/26/17	069	
FREELAND	SHAVONNE	L	52304	\$38617.0000	INCREASE	NO	12/24/17	069	
GAO	KONG JI		12627	\$75591.0000	APPOINTED	NO	12/26/17	069	
GLOVER	JANICE		10104	\$40900.0000	RETIRED	NO	12/31/17	069	
GOLDSON	GAIL	P	52316	\$55774.0000	RETIRED	NO	12/31/17	069	
GOLTZMAN	IRINA		52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
GOODSELL	IKELYLA	A	52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
GORDON	SINDY	F	56057	\$35683.0000	APPOINTED	YES	01/02/18	069	
GREENLEY	NISAA	N	52314	\$54720.0000	APPOINTED	NO	12/26/17	069	
HILL	PRINCE		52304	\$44762.0000	RETIRED	NO	09/02/17	069	
HOLDER	LORNA	E	50960	\$95418.0000	APPOINTED	YES	01/02/18	069	
HOLT-KNIGHT	DEBORAH	A	10056	\$173072.0000	INCREASE	NO	12/17/17	069	
HONORE CADETT	NATASHA	K	52304	\$38617.0000	APPOINTED	NO	12/26/17	069	
HORSFORD	STACEY	C	52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
IOPE	ALLA		52304	\$38617.0000	APPOINTED	NO	12/26/17	069	
IRBY	SHATRESH Y		10124	\$56798.0000	INCREASE	NO	12/24/17	069	
ISRAEL	TIFFANY	J	52314	\$39459.0000	RESIGNED	NO	01/03/18	069	
JALLOH	NENEH	H	52304	\$55999.0000	APPOINTED	NO	12/24/17	069	
JENKINS	IRISH	S	52311	\$55088.0000	RETIRED	NO	01/06/18	069	
KADIR	FAMIDA		52304	\$38617.0000	APPOINTED	NO	12/26/17	069	
KEY	LINDA		10124	\$50790.0000	RETIRED	NO	12/31/17	069	
KHIMEY	IVANNA		52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
KNOX	ISHARAH		56058	\$57916.0000	INCREASE	YES	12/17/17	069	
LACROIX	CAITLIN	E	13383	\$90000.0000	APPOINTED	YES	12/26/17	069	
LIONEL	RASHEEDA	T	52304	\$38617.0000	INCREASE	NO	12/24/17	069	
LIVATHINOPOULOS	MICHAEL	T	52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
LU	GEORGE		52304	\$38617.0000	APPOINTED	NO	12/26/17	069	
LUX	PO SANG		13652	\$87731.0000	INCREASE	NO	12/24/17	069	
MAGLOIRE	RICHARD		31113	\$44409.0000	APPOINTED	NO	12/10/17	069	
MAK	WAI LING A		1002A	\$61031.0000	TRANSFER	NO	11/05/17	069	
MARROQUIN	VERONICA X		31113	\$44409.0000	APPOINTED	NO	12/10/17	069	
MCCAIN	LATANYA	R	52304	\$55999.0000	APPOINTED	NO	12/24/17	069	
MILKHIKER	INNA		52304	\$44409.0000	RETIRED	NO	12/31/17	069	
MINAYA	ANN	M	56057	\$52788.0000	RESIGNED	YES	12/31/17	069	

HRA/DEPT OF SOCIAL SERVICES FOR PERIOD ENDING 01/12/18									
NAME	TITLE	NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY		
MINGO	NATHAN	K	52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
MONASTYRSKY	IGOR		52314	\$45378.0000	DISMISSED	NO	12/28/17	069	
MOORE	PATRICE		52311	\$55060.0000	RETIRED	NO	01/02/18	069	
OGUNDEKO	ADIJAT		52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
PALMA	ANNABEL		95679	\$155000.0000	APPOINTED	YES	12/31/17	069	
PRESSLEY-COLLIE	SHARON	F	52312	\$64432.0000	RETIRED	NO	12/31/17	069	
RADINSKY	IRINA		52316	\$55720.0000	RETIRED	NO	01/02/18	069	
RAYMOND	DIANA		52304	\$38617.0000	APPOINTED	NO	12/26/17	069	
RICHARDSON	SHANESE		52304	\$38617.0000	APPOINTED	NO	12/26/17	069	
SANAULLAH	MOHAMMAD		10104	\$31828.0000	DECREASE	NO	10/29/17	069	
SANCHEZ	JAZMIN		52314	\$50160.0000	APPOINTED	NO	12/24/17	069	
SCULLY	ROSEMARY		10104	\$40625.0000	RETIRED	NO	01/01/18	069	
SHANNON	TAVASHA	M	52304	\$38617.0000	APPOINTED	NO	12/26/17	069	
SHEPARD	MICHELLE		13632	\$98762.0000	RETIRED	NO	01/02/18	069	
SINGH	BHANMATT N		30086	\$57944.0000	APPOINTED	YES	01/02/18	069	
STAPLETON	SHARRITA L		52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
STEVENS	TONY		52311	\$55088.0000	RETIRED	NO	12/31/17	069	
SURIN	MICHAEL		52304	\$38617.0000	APPOINTED	NO	12/26/17	069	
TABAKOVA	MARINA		52314	\$39459.0000	APPOINTED	NO	12/26/17	069	
TEAGUE	SHONTOIN		31118	\$64651.0000	RETIRED	NO	12/31/17	069	

TIAN	YUAN	52304	\$38617.0000	APPOINTED	NO	12/26/17	069
TOOMBS	NORMAN	S 10024	\$84720.0000	RETIRED	YES	12/31/17	069
TRIMINO	RAUL	10124	\$62910.0000	RETIRED	NO	12/31/17	069
TUGULTSCHINOW	MARISA	A 13383	\$135000.0000	RESIGNED	YES	12/17/17	069
TYSON	DEBORAH	S 52314	\$39459.0000	APPOINTED	NO	12/26/17	069
VALENTIN	JULIO	10124	\$62834.0000	INCREASE	NO	12/31/17	069
WATKINS	JOSEPH	M 13622	\$82054.0000	RETIRED	YES	12/24/17	069
WATKINS	JOSEPH	M 13621	\$76372.0000	RETIRED	NO	12/24/17	069
WATSON	CAROL	10124	\$62834.0000	INCREASE	NO	12/17/17	069
WHITTAKER	MERLE	D 52304	\$44409.0000	APPOINTED	NO	12/24/17	069
WILLIAMS	BERNETTA	10251	\$39267.0000	RETIRED	NO	12/30/17	069
YAU	KEVIN	C 13631	\$82556.0000	RETIRED	NO	01/03/18	069
YUN	EUN BEE	51110	\$56387.0000	APPOINTED	YES	12/26/17	069
ZHENG	YAN	52314	\$39459.0000	APPOINTED	NO	12/26/17	069
ZIMMERMAN	MADELEIN	N 56057	\$41036.0000	APPOINTED	YES	12/26/17	069

DEPT. OF HOMELESS SERVICES
FOR PERIOD ENDING 01/12/18

TITLE							
NAME		NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
AMELYANCHYK	VIKTAR	12627	\$75591.0000	APPOINTED	NO	12/26/17	071
ANTHONY	JALBAN	56058	\$57916.0000	DECREASE	YES	12/28/17	071
ARROYO	RICHARD	10056	\$58926.0000	INCREASE	NO	12/03/17	071
BORK	DIANA	E 12627	\$75591.0000	APPOINTED	NO	12/26/17	071
CHAMBERLAIN	KAREN	F 12627	\$65731.0000	APPOINTED	NO	12/24/17	071
DIAZ	ELIZABET	N 52613	\$54681.0000	APPOINTED	YES	12/31/17	071
DIAZ	SAMANTHA	M 70810	\$46737.0000	RESIGNED	NO	12/15/17	071
EDWARDS	CLAUDETT	52613	\$47549.0000	APPOINTED	YES	09/18/16	071
EDWARDS	SEAN	M 56056	\$30273.0000	APPOINTED	YES	12/10/17	071
ESCOBAR JR	CARLOS	31113	\$43116.0000	TRANSFER	NO	11/01/15	071
FISHER	LAVONNE	70810	\$33498.0000	RESIGNED	NO	01/05/18	071
FLOYD	JATINA	70810	\$32426.0000	RESIGNED	YES	10/31/17	071
GORDON-KOVEN	LILLIAN	12627	\$75591.0000	APPOINTED	NO	12/26/17	071
HERNANDEZ	JAIME	J 56058	\$57916.0000	RESIGNED	YES	12/27/17	071
HOLT	KEVIN	52312	\$64586.0000	RETIRED	NO	12/30/17	071
KASHIMAWO	WALIU	O 56057	\$35683.0000	APPOINTED	YES	12/26/17	071
LACROIX	CAITLIN	E 13383	\$90000.0000	APPOINTED	YES	12/26/17	071
LAWRENCE	RASHEEM	M 56056	\$30273.0000	APPOINTED	YES	12/26/17	071
LEZAMA	CARL	D 52275	\$66920.0000	RETIRED	NO	12/31/17	071
MALDONADO	XENIA	10056	\$139763.0000	INCREASE	NO	12/24/17	071
MARTINEZ	MANUEL	56058	\$50362.0000	RESIGNED	YES	01/02/18	071
MERCED	RICHARD	91212	\$37200.0000	APPOINTED	NO	12/26/17	071
NIELSEN	YUMI	L 10056	\$139763.0000	INCREASE	NO	12/24/17	071
SALMON LUE-HING	TAHISHA	J 12912	\$139763.0000	INCREASE	YES	12/24/17	071
SHEPHERD	MARCELLE	E 12626	\$68286.0000	TRANSFER	NO	10/29/17	071
SMILE	MARVIN	E 70810	\$32426.0000	RESIGNED	YES	11/01/17	071
THRUN	KEVIN	10056	\$139763.0000	INCREASE	NO	12/24/17	071
TUGULTSCHINOW	MARISA	A 13383	\$135000.0000	APPOINTED	YES	12/17/17	071
VELOVIC	ENVER	12627	\$75591.0000	APPOINTED	NO	12/26/17	071
WALKER	JOE	R 52311	\$55234.0000	RETIRED	NO	12/31/17	071

DEPARTMENT OF CORRECTION
FOR PERIOD ENDING 01/12/18

TITLE							
NAME		NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
ANDRADES	A	F 10251	\$37251.0000	APPOINTED	NO	12/17/17	072
APONTE	ANGEL	R 70410	\$82808.0000	DISMISSED	NO	01/02/18	072
BENOIT	RAYMOND	70410	\$43042.0000	RESIGNED	NO	12/26/17	072
BLAKE	JOHN	M 70410	\$82808.0000	DISMISSED	NO	01/02/18	072
BRITZ	FRANCISC	70410	\$43042.0000	RESIGNED	NO	12/15/17	072
BROWN	CONNIE	R 70410	\$46962.0000	RESIGNED	NO	01/01/18	072
BUCHANAN	RICARDO	A 70410	\$82808.0000	RESIGNED	NO	12/30/17	072
CALDERON	RAY	70410	\$82808.0000	DISMISSED	NO	01/02/18	072
CIRINO	NORMA	56057	\$35683.0000	APPOINTED	YES	12/31/17	072
COOLEY	REGINA	T 56058	\$63695.0000	INCREASE	YES	12/17/17	072
DURGAPRASAD	RESHEE	13621	\$65806.0000	APPOINTED	YES	12/31/17	072

DEPARTMENT OF CORRECTION
FOR PERIOD ENDING 01/12/18

TITLE							
NAME		NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
ELLIS	NANCY	70410	\$82808.0000	RETIRED	NO	01/01/18	072
ESQUINA	LUIS	70410	\$43042.0000	RESIGNED	NO	01/04/18	072
FELICIANO	GINETTE	56058	\$61800.0000	RESIGNED	YES	11/21/17	072
GARRETT	CASSANDR	7048C	\$123403.0000	RETIRED	NO	01/02/18	072
GLOVER	WILLIE	70410	\$82808.0000	RETIRED	NO	01/01/18	072
GLUCK	TRAVIS	C 70410	\$43042.0000	RESIGNED	NO	08/11/17	072
HABIB	MUHAMMAD	S 70410	\$43042.0000	RESIGNED	NO	12/23/17	072
HAN	RACHAEL	A 56058	\$50362.0000	RESIGNED	YES	11/26/17	072
HARALAMIDIS	PANTELEI	70410	\$43042.0000	RESIGNED	NO	11/27/17	072
INSARDI	JANET	M 95005	\$133900.0000	RESIGNED	YES	12/17/17	072
JUBEL	MOHAMMED	70410	\$43042.0000	RESIGNED	NO	12/24/17	072
LEBEC	JEAN-CLA	L 52620	\$145000.0000	APPOINTED	YES	12/31/17	072
MENDEZ	TERRENCE	70410	\$43042.0000	RESIGNED	NO	12/30/17	072
MONTGOMERY	TERON	56058	\$50362.0000	INCREASE	YES	12/31/17	072
NICHOLSON	MICHAEL	M 70410	\$82808.0000	RESIGNED	NO	12/28/17	072
O'KANE	ALEX	70410	\$43042.0000	RESIGNED	NO	12/30/17	072
OPARA	IJOMA	70410	\$43042.0000	RESIGNED	NO	12/21/17	072
PEREZ	JUANITA	E 1002A	\$98712.0000	TRANSFER	NO	12/26/17	072
PETRONELLI	LUCILLE	M 10251	\$37251.0000	APPOINTED	NO	12/17/17	072
PIENKOWSKI	MATTHEW	70410	\$43042.0000	RESIGNED	NO	12/25/17	072
POUNDER	DARLENE	70410	\$82808.0000	DISMISSED	NO	01/02/18	072
REBELLO	JESSE	J 70410	\$46962.0000	RESIGNED	NO	12/30/17	072
RUSSO	ELISA	60948	\$52724.0000	RESIGNED	NO	01/03/18	072

BOARD OF CORRECTION
FOR PERIOD ENDING 01/12/18

TITLE							
NAME		NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
AUSTIN-BEST	NICOLE	N 52620	\$154500.0000	RESIGNED	YES	09/08/17	073

MAYORS OFFICE OF CONTRACT SVCS
FOR PERIOD ENDING 01/12/18

TITLE							
NAME		NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
ELLIS	DANIEL	0527A	\$110000.0000	APPOINTED	YES	12/24/17	082
VERDEJO	RAFAEL	05277	\$50000.0000	APPOINTED	YES	01/02/18	082

CITY COUNCIL
FOR PERIOD ENDING 01/12/18

TITLE							
NAME		NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
AMPRY-SAMUEL	ALICKA	T 30177	\$148500.0000	APPOINTED	YES	12/31/17	102
ASKEW	JASMINE	N 94074	\$45000.0000	RESIGNED	YES	12/24/17	102
AYALA	DIANA	30177	\$148500.0000	INCREASE	YES	12/31/17	102
BESSLER	ABIGAIL	K 94074	\$47000.0000	APPOINTED	YES	01/02/18	102
BRANNAN	JUSTIN	L 30177	\$148500.0000	APPOINTED	YES	12/31/17	102
CANTARERO	MAX	I 94074	\$63000.0000	RESIGNED	YES	12/30/17	102
CARRILLO	PEDRO	L 94074	\$65000.0000	APPOINTED	YES	01/02/18	102
CEILEMA	MARILYN	I 94074	\$36400.0000	APPOINTED	YES	01/02/18	102
CHEI	JOHNATHA	94055	\$70000.0000	APPOINTED	YES	01/02/18	102
DEJESUS	PRISCILL	94074	\$31200.0000	APPOINTED	YES	01/02/18	102
DIAZ	RUBEN	30177	\$148500.0000	APPOINTED	YES	12/31/17	102
DICKENS	CORINE	94074	\$37000.0000	APPOINTED	YES	01/02/18	102
ENG	LINDA	Y 94074	\$36050.0000	RETIRED	YES	01/01/18	102
ERVIN	KANA	A 94074	\$35000.0000	APPOINTED	YES	01/02/18	102
FERRERAS	JULISSA	30177	\$148500.0000	RESIGNED	YES	01/01/18	102
FREEMAN	ASHER	M 94074	\$60000.0000	APPOINTED	YES	12/31/17	102
GJONAJ	MARK	30177	\$148500.0000	APPOINTED	YES	12/31/17	102
GJONBALAJ	DARDAN	94074	\$36400.0000	APPOINTED	YES	01/02/18	102
GONZALEZ	ISHRAEL	M 94074	\$31200.0000	APPOINTED	YES	01/02/18	102
GREENFIELD	DAVID	G 30177	\$148500.0000	RESIGNED	YES	01/01/18	102
HENDERSON	JAMELL	94074	\$35000.0000	APPOINTED	YES	01/02/18	102
HOLDEN	ROBERT	F 30177	\$148500.0000	APPOINTED	YES	12/31/17	102
JACOBS	BENJAMIN	H 94074	\$47000.0000	APPOINTED	YES	01/02/18	102
JOHNSON	COREY	D 94449	\$164500.0000	INCREASE	YES	01/03/18	102
JOSEPH	JENNIFER	A 94074	\$52000.0000	APPOINTED	YES	01/02/18	102
KLAUS	JENNA	A 94074	\$47000.0000	APPOINTED	YES	01/02/18	102
MARTE	ARIANIE	94074	\$25000.0000	APPOINTED	YES	01/03/18	102
MCCREIGHT	CHRISTOP	M 94074	\$75000.0000	APPOINTED	YES	01/02/18	102
MCMANUS	JOSEPH	A 94074	\$11000.0000	APPOINTED	YES	01/02/18	102
MITCHELL	GREGORY	W 94074	\$80000.0000	APPOINTED	YES	01/02/18	102
MJEKU	VERA	94074	\$60000.0000	APPOINTED	YES	01/02/18	102
MOURE PUNNETT	RAFAEL	J 94074	\$50000.0000	RESIGNED	YES	12/30/17	102
MOYA	FRANCISC	P 30177	\$148500.0000	APPOINTED	YES	12/31/17	102
MURRELL-ROBINSON	LIBA	K 94074	\$40000.0000	APPOINTED	YES	01/02/18	102
NUZZO	STEPHEN	94074	\$55000.0000	RESIGNED	YES	12/31/17	102
OSORNO	DAVID	94074	\$55000.0000	APPOINTED	YES	01/02/18	102
PALMA	ANNABEL	30177	\$148500.0000	RESIGNED	YES	12/31/17	102
PEKIC	LILYANNA	94074	\$20800.0000	APPOINTED	YES	01/02/18	102
PETERS	ELIZABET	S 94074	\$47000.0000	APPOINTED	YES	01/02/18	102

CITY COUNCIL
FOR PERIOD ENDING 01/12/18

TITLE							
NAME		NUM	SALARY	ACTION	PROV	EFF DATE	AGENCY
POWERS	KEITH	T 30177	\$148500.0000	APPOINTED	YES	12/31/17	102
RIVERA	CARLINA	L 30177	\$148500.0000	APPOINTED	YES	12/31/17	102
SHELDON	MICHAEL	T 94074	\$30000.0000	APPOINTED	YES	01/02/18	102
SMITH	WILLIAM	P 94074	\$20800.0000	RESIGNED	YES	12/24/17	102
SMITH JR	EVERTON	A 94074	\$55000.0000	APPOINTED	YES	01/02/18	102
STOLL	CHRISTIN	J 94074	\$35000.0000	RESIGNED	YES	12/28/17	102
SYTCHEVA	ELENA	94074	\$60000.0000	RESIGNED	YES	12/31/17	102
TADIO	MEGHAN	C 94074	\$105000.0000	APPOINTED	YES	01/02/18	102
TOWELL	KATHERIN	V 94440	\$41200.0000	RESIGNED	YES	12/27/17	102
TUCKER	ETHAN	M 94074	\$36000.0000	RESIGNED	YES	12/24/17	102
VACCA	JAMES	30177	\$148500.0000	RETIRED	YES	01/01/18	102
VAICHUNAS	ALICIA	B 94074	\$35000.0000	APPOINTED	YES	01/02/18	102
WALSH	EMILY	R 94074	\$67000.0000	APPOINTED	YES	01/02/18	102
WONG	MILLY	94074	\$16425.0000	APPOINTED	YES	01/02/18	102
WONG	SALLY	94074	\$27375.0000	APPOINTED	YES	01/02/18</	