

AGENCY QUARTERLY DIVERSITY AND EEO REPORT FY 2020

AGENCY NAME: DEPARTMENT OF TRANSPORATION

☑ 1st Quarter (July -September), due December 13, 2019

2nd Quarter (October - December), due January 30, 2020

□ 3rd Quarter (January -March), due April 30, 2020

4th Quarter (April -June), due July 30, 2020

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Date Submitted: 12/13/2019

FOR DCAS USE ONLY

Date Received:

INSTRUCTIONS FOR FILLING OUT QUARTERLY REPORTS FY 2020

- 1. Please save this file as 'XXXX Quarter X FY 2020 DEEO Quarterly Report' where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Word format. Please do not convert it to PDF.
- 2. Complete the "Diversity and EEO Training Summary" details in the attached Excel file. Under Section 10 ("Other"), include training classes co-organized or co-sponsored by EEO and/or HR that are related to the development of the agency staff in the areas of equal employment, diversity, inclusion, civil rights, workplace culture and behavior, interpersonal relations, and community relations.
- 3. Please save this Excel file as 'XXXX Quarter X FY 2020 DEEO Training Summary", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Excel format. Please do not convert it to PDF.



PART I: NARRATIVE SUMMARY

I. <u>COMMITMENT AND ACCOUNTABILITY STATEMENT BY THE AGENCY HEAD</u>

Distributed to all agency employees? Use, On (Date): No

II. <u>RECOGNITION AND ACCOMPLISHMENTS</u>

The agency recognized employees, supervisors, managers, and units demonstrating superior accomplishment in diversity and equal employment opportunity through the following:

Diversity & EEO Awards

Diversity and EEO Appreciation Events

Public Notices

D Positive Comments in Performance Appraisals

Other	(please	specify): _
	V	

* Please describe D&EEO Awards and/or Appreciation Events below: DOT's Organization of Latinx Americans ERG presented an employee with OLA's first Annual Diversity Award.

III. WORKFORCE REVIEW AND ANALYSIS

1. Agency reminded employees to update self-ID information regarding race/ethnicity, gender, and veteran status. □ Yes, On (Date): □ No



2. The agency conducted a review of the dashboard sent to the EEO Officer with demographic data and trends, including workforce composition by job title, job group, race/ethnicity and gender; new hires, promotions and separation data; and utilization analysis.

⊠ Yes, On (Date): 7/3; 8/6_____ □ No

The review was conducted together with: ⊠ Human Resources ⊠ Agency Head ☑ General Counsel☑ Other Performance Development and Asset Management

IV. <u>EEO, DIVERSITY, INCLUSION, AND EQUITY INITIATIVES FOR FY 2020</u>

Please describe your progress this quarter in implementing the primary goals in Section IV of your Agency Diversity and EEO Plan for FY 2020 - <u>Proactive Strategies to Enhance Diversity, EEO and Inclusion</u>:

A. WORKFORCE:

List the Workforce Goal(s) included in <i>Section IV:</i> <i>Proactive Strategies to Enhance Diversity, EEO</i> <i>and Inclusion,</i> which you set/declared in your FY 2020 Diversity and EEO Plan (e.g., underutilization, workforce planning, succession planning and diverse applicant pool, among others):	 Please describe the steps that your agency has taken to meet the Workforce Goal(s) set/declared in your plan. Include steps that were taken or considered to build an inclusive and sustainable pipeline for your agency across all levels. 	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Workforce: Overall objective is to build a diverse workforce that is reflective of the diverse City it serves by developing strategies to attract and retain high performers that are committed to DOT's organizational mission.	During the 1 st quarter, the Agency's HR Analytics Team and Performance Management Team continued building on its workforce diversity profile report using CEEDS data in conjunction with its own independent workforce analysis to review workforce demographics and to identify areas where underutilization exists within DOT. DOT has been	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 			



Workforce: Overall objective is to build a diverse workforce that is reflective of the diverse City it serves by developing strategies to attract and retain high performers that are committed to DOT's organizational mission.During the 1st quarter, DOT's Human Resources division worked diligently to align diversity recruiting, internal candidate development, and equitable selection practices strategically with current employment needs.During the 1st quarter, DOT's Human Resources division worked diligently to align diversity recruiting, internal candidate development, and equitable selection practices strategically with current employment needs.DelayedImage: Image: Image		looking at the composition of its various divisions, as well as drawing comparisons with broader industry and occupational demographics. DOT continues to utilize CEEDS, the Workforce Underutilization Report, and other Workforce Dashboard tools to establish a targeted plan to address underutilization and a working dialogue with Agency leaders and senior staff. DOT also worked diligently with Citywide EEO to make the CEEDS data even more useful with its discussions around new title mapping groups and adding work unit codes to the workforce composition data.			
diverse workforce that is reflective of the diverse City it serves by developing strategiesin addressing are impending remember of employees, DOT Human Resources Division annually reviews the workforceIn Not started Image: Image: Im	diverse workforce that is reflective of the diverse City it serves by developing strategies to attract and retain high performers that are	Resources division worked diligently to align diversity recruiting, internal candidate development, and equitable selection practices strategically with	 □ Not started □ Ongoing □ Delayed □ Deferred ⊠ Ongoing 		
to attract and retain high performers that are committed to DOT's organizational mission. with senior management to identify potentially qualified successors and to discuss the competencies and skills to be developed with training. Deferred I I	diverse workforce that is reflective of the diverse City it serves by developing strategies to attract and retain high performers that are	employees, DOT Human Resources Division annually reviews the workforce with senior management to identify potentially qualified successors and to discuss the competencies and skills to be	 □ Not started ⊠ Ongoing □ Delayed □ Deferred □ Ongoing 		



To address underutilization, DOT did the following this quarter:

- 1. Continued to encourage employees to participate in civil service exams to promote growth towards advancement by sending e-mails with the schedule of exams, providing the link to specific DCAS exams, and posting schedules and exam announcements on the Agency's intranet. HR emails employees the DCAS Monthly Exam Schedule every month and continues to email civil service exam notices to the Agency Personnel Coordinators, who in turn distribute the information to all of their Division's staff. Information on exams is also posted on DOT's kiosks, bulletin boards, and intranet. Information is similarly passed on to those employees serving in lower level titles that are promotable.
- 2. The DOT Human Resources Division emailed employees the DCAS Monthly Exam Schedule every month. HR continues to email civil service exam notices to the Agency Personnel Coordinators, who in turn distribute the information to all of their division's staff. Information on exams is also posted on DOT's kiosks, bulletin boards, and intranet. Information is similarly passed on to those employees serving in the lower titles that are promotable.
- 3. Use the quarterly workforce dashboard (provided by DCAS) to identify specific job groups where underutilization exists to guide recruitment efforts.
- 4. Continued to reach out to non-traditional sources to generate applicant interest for underutilized titles. HR, for underutilized titles of interest, continued to post external job vacancy notices on multiple recruitment websites which target underrepresented populations.
- 5. DOT will continue to participate in job fairs which target underrepresented populations.
- 6. DOT will endeavor to maintain and update a roster of recruitment sources which target underrepresented populations, and will, where possible, send posting notices for titles of interest to those organizations.
- 7. HR Analytics and Performance Management team, each quarter implements its 'Plan to Reduce Underrepresentation and Prohibition against the Use of Criteria that is not Job Related in the Selection Process.' This process includes identifying civil service titles that contribute to underutilization of females and ethnic groups in DCAS designated job groups. HR shares this title of interest list with Division Personnel Coordinators (PCs) on a quarterly basis. During the meetings in which the list is distributed, representatives from HR and EDI will reiterate both what is expected of Divisions with respect to recruitment and selection for these titles and DOT's commitment to equal employment opportunity.



8. Also, we looked specifically at our Civil Engineer title because of attrition in that population and devised a plan to hire suitable candidates right out of school which includes our personnel staff going to college career fairs to speak directly with students.

B. WORKPLACE:

List the Workplace Goal(s) included in <i>Section IV:</i> <i>Proactive Strategies to Enhance Diversity, EEO and</i> <i>Inclusion,</i> which you set/declared in your FY 2020 Diversity and EEO Plan (e.g., job satisfaction/engagement surveys, exit interviews/surveys, and onboarding surveys):	 Please describe the steps that your agency has taken to meet the Workplace Goal(s) set/declared in your plan. Include steps that were taken or considered to create an inclusive work environment that values differences that each of your unique employees brings to work, and to maintain focus on retaining talent across all levels. 	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Workplace: overall objective is to cultivate a flexible, collaborative, and inclusive work environment that leverages diversity and empowers all contributors. Building inclusiveness ensures that all employees feel included, connected, and engaged.	DOT ERG Initiative: On September 21, 2018, EDI invited DOT employees to attend an informational session to learn about DOT's newest Diversity & Inclusion initiative, Employee Resource Groups or ERGs. at the session, EDI explained that ERGs are employer- recognized group of employees who convene to collectively celebrate, promote, and advocate for professional development, cultural connections, diversity and inclusion, and to enhance engagement/morale in the workplace. It acknowledged that ERGs would be instrumental in helping DOT work toward its Strategic Diversity & Inclusion Goals of workforce diversity, workplace inclusion, and community understanding. EDI also told attendees that each ERG would be	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 			



	 responsible for establishing its own mission, goals, and annual activities, which must align with DOT's strategic goals. Since the launch, EDI has established seven ERGs. In furtherance of the ERG initiative, this quarter DOT developed and published 			
Workplace: overall objective is to cultivate a flexible, collaborative, and inclusive work environment that leverages diversity and empowers all contributors. Building inclusiveness ensures that all employees feel included, connected, and engaged.	Heritage Recognition: Throughout the calendar year, the EDI Office has scheduled different cultural and	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 		



atywide Administrative Services				
Workplace: overall objective is to cultivate a	DOT Future Leaders:	□ Planned		
flexible, collaborative, and inclusive work	As mentioned above, in 2017 DOT	□ Not started		
environment that leverages diversity and	launched the DOT Future Leaders	□ Ongoing		
empowers all contributors. Building inclusiveness	Fellowship Program which is a	□ Delayed		
ensures that all employees feel included,	competitive program designed to foster the	Deferred		
connected, and engaged.	next generation of leaders at DOT. This	⊠ Ongoing		
	year-long fellowship provides 30 early to	□ Completed		
	mid-career professionals from across every			
	part of the agency the opportunity to			
	develop networking, communication, and			
	presentation skills and connect their work			
	to the big picture. Chief of Staff to the			
	Commissioner Emily Gallo organizes and			
	leads events for the Future Leaders			
	including guest speakers, panel			
	discussions, interactive workshops, tours,			
	and social events. Through conversations			
	and interviews with your peers, Future			
	Leaders will exchange ideas and			
	experiences and ask questions to learn			
	more about the inner workings of DOT.			
	The program includes up to a month long			
	rotation in another agency division and			
	culminates with group presentations to			
	pitch an idea to senior staff. The Future			
	Leaders program complements the variety			
	of professional development opportunities			
	that DOT already offers. Diversity is one			
	of the factors considered in the selection of			
	candidates.			
	This quarter the Future Leaders			
	participated in a networking event with			
	DOT senior staff.			
L				I



Citywide Administrative Services

Please specify any other EEO-related activities during the quarter (e.g., postings, meetings, cultural programs promoting diversity, newsletters/articles, etc.) and describe briefly the activities, including the dates when the activities occurred.

Disability Pride Parade

July 14, 2019. Marched with the Mayor's Contingent

African American Day Parade

September 15, 2019. DOT's AACAN ERG & other DOT employees marched in the 50th Annual African American Day Parade. This parade was for EVERYONE: people within the African Diaspora, family, friends, and especially allies.

Hispanic Heritage Month

La Organización LatinoAmericana (OLA) and the Office of Equal Employment Opportunity & Inclusion (EDI) invited employees to join them September 15th to October 15th in honor of Hispanic Heritage Month. Participants were invited to attend events highlighting the diversity of cultures of our Latin American and Hispanic/Latinx colleagues. All DOT employees were welcomed and encouraged to attend.

Taíno Exhibit

Guided tour on the works on Native Heritage and Identity in the Caribbean.

- Time: Thursday, September 26th, 11:15 am 12:30 (*arrive 10 minutes earlier*)
- Place: The National Museum of the American Indian, One Bowling Green

Hispanic Heritage Month Cultures Celebration

Cultural expo of food, music and to opportunities to learn about various Latin American cultures.

- Time: Friday, September 27th, 12pm 1:00pm
- Place: Bid Room, 55 Water

Council Member Ydanis Rodriguez & OLA Diversity Recognition Awards

CM Rodriguez spoke about his experience in NYC government and his perspective on diversity & inclusion followed by Q&A from attendees. OLA will then present its first annual Diversity Recognition Award. Time: Friday, October 4th, 1pm – 2pm Place: Bid Room, 55 Water



C. COMMUNITY:

List the Community Goal(s) included in <i>Section IV:</i> <i>Proactive Strategies to Enhance Diversity, EEO and</i> <i>Inclusion,</i> which you set/declared in your FY 2020 Diversity and EEO Plan (e.g., community outreach and engagement, MWBE participation and customer satisfaction surveys):	 Please describe the steps that your agency has taken to meet the Community Goal(s) set/declared in your plan. Include steps that were taken or considered to establish your agency as a leading service provider to the citizens of New York City focused on inclusion and cultural competency, while reflecting the vast communities that are served. 	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Community: overall objective is to facilitate outstanding culturally competent public service while strengthening collaborator and community relations through effective leadership and accountability.	Street Ambassador Program In 2015, DOT launched the Street Ambassador Program to engage New Yorkers on their own terms. The unit is comprised of 10 multi-lingual public engagement specialists who develop outreach plans and provide support for DOT projects. Street Ambassadors target high-volume community locations to expand the public's feedback and knowledge of DOT's Street Improvement Projects. Ambassadors go where New Yorkers go: bustling streets, schools, churches, libraries, senior centers, movie theaters, supermarkets, and shopping centers. This approach allows DOT to establish a presence that builds trust and transparency throughout the planning and implementation process.	 □ Planned □ Not started ⊠ Ongoing □ Delayed □ Deferred □ Ongoing □ Completed 			



				-
Community: overall objective is to facilitate outstanding culturally competent public service while strengthening collaborator and community relations through effective leadership and accountability.	Mobility Management Program The Mobility Management Program (MMP) was established in 2012 to improve transportation access for people with disabilities, older adults, low income, and limited English proficient populations. MMP provides DOT staff with education, guidance, data, and coordinating outreach to these target populations.	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 		
Community: overall objective is to facilitate outstanding culturally competent public service while strengthening collaborator and community relations through effective leadership and accountability.	This quarter the Language Access unit and	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 		



eliminated). All of the previous backlog has been eliminated. Literature requests are now being input into the Agency Response Tracking System (ARTS) to enable tracking and follow-ups of returned envelopes. Expansion of the Verizon Message Boards. We are working on expanding the use of the Verizon Message Boards with plans to install them at DOT Public Service Centers. This will create geographically and demographically targeted communications and increase the Agency's reach. Upgrading 32 Essential Documents – The Unit is reviewing the Agency's key documents. Necessary updates will be made to those documents that have been revised and/or eliminated. All new documents will be converted into plain language, as needed, and translated into the top 10 languages.		



V. <u>RECRUITMENT</u>

List Recruitment Strategies and Initiatives which you set/declared in your FY 2020 Diversity and EEO Plan (e.g., targeted outreach and outreach, diversity recruitment, social media presence, where jobs are posted, EEO and APO collaboration, evaluation of best recruitment sources, structured interview training and unconscious bias training):	Please describe the steps that your agency has taken to meet the Recruitment Goal(s) set/declared in your plan.	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Development of a Structured Interviewing Guide	DOT is in the process and near completion of the development of a comprehensive Structured Interviewing Guide that it will use to eliminate bias in the DOT hiring process, focusing on job analysis, recruitment, panel interviewing, avoidance of improper questions, and post-interview scoring and document retention.	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 			
Implementation of DOT's Recruitment Plan	HR will, on a monthly basis, continue to distribute to relevant organizations DCAS issued Notices of Examinations for underutilized titles of interest. For these titles, HR will continue to post external job vacancy notices on multiple recruitment websites which target underrepresented populations and will maintain and update a roster of recruitment sources. DOT will also continue to participate in job fairs which also target these populations and when pictures are used in recruitment advertisements, diversity will be displayed. All advertisements will	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 			



	include a statement that the City of New York and DOT are equal employment employers and will include the Mayor's Office for People with Disabilities (which has access to DOT vacancies) as an important recruitment source for people with disabilities.			
Implementation of DOT's Recruitment Plan	In some titles where there is underutilization, we have reached out to non-traditional sources for applicants, including the following sources: • Advancing Women In Transportation • Society of Women Engineers • LatPro-Latin Professionals • CUNY Schools • National Society of Black Engineers • Society of Hispanic Professional Engineers • Nontraditional Employment for Women (NEW) In order to expand our diverse recruitment sources, all Agency job openings have been posted to the following websites: • AfricanAmericanHires.com • AllHispanicJobs.com • AsianHires.com • DisabilityJobs.net • DiversityJobs.com • LatinoJobs.org • VeteranJobs.net • WeHireWomen.com	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 		



B. INTERNSHIPS/FELLOWSHIPS

The agency is providing the following internship opportunities in FY 2020: [Demographic information is based on self-identification data]

Type of	Total	Race/Ethnicity [#s]	Gender [#s]
Internship\Fellowship			[N-B=Non-Binary; O=Other; U=Unknown]
1. Urban Fellows	3	Hispanic= 1	M_2F_1N-BOU
		White= 2	
2. Public Service Fellows	6	Asian= 2	M_2F_4N-BOU
		Unknown=2	
		White= 2	
3. Summer College Interns	29	Asian=15	M_14F_15N-BOU
		Black=4	
		Hispanic= 3	
		White= 7	
4. Summer Graduate Interns	19	Asian= 5	M_7_F_12_N-B_O_U_
		Black= 1	
		Hispanic= 3	
		White= 10	
5. College Aides	113	Asian=42	M_69F_44N-BOU
		Black=12	
		Hispanic= 21	
		Two or More= 1	
		White= 37	
6. DYCD Interns (Ladders	21	American Indian= 1	M_13F_8N-BOU
for Leaders, Global Kids,		Asian=4	
Intern & Earn		Black=10	
		Hispanic= 4	
		Two or More= 1	
		White= 1	



Additional Comments:

In FY 2020, the agency has hired an additional 3 Urban Fellows and an additional 5 NYC Public Service Fellows. DOT will participate in the next cohort of both Fellowship programs as well.

In FY 2020, DOT will recruit both College Aides and Summer Interns from local and regional schools, and via posting on the City's website. In addition to participating in career fairs, DOT will also hold agency-specific info sessions at CUNY schools in order to attract a diverse intern candidate pool.

In FY 2019, the agency participated in the DYCD (Department of Youth and Community Development) Ladders for Leaders Program, Young Adult Internship Program, and the Transportation Career Mentoring Program. Currently, 6 former DYCD interns are now DOT College Aides, and we anticipate additional conversion during the remainder of FY 2020. DOT will continue to participate in all three of these DYCD programs during FY 2020.

In FY 2020, DOT will also participate in the CUNY Service Corps Program, which focuses on undergraduate students from diverse academic, socioeconomic, and racial/ethnic backgrounds, while providing training and support services.



C. 55-A PROGRAM

The agency uses the 55-a Program to hire and retain qualified individuals with disabilities.	🛛 Yes	🗆 No

Currently, there are 25 55-a participants.

During this Quarter, a total of __1_ [number] new applications for the program were received.

During this Quarter _0_ participants left the program due to [state reasons] _____.

The 55-a Coordinator has achieved the following goals:

1. Disseminated 55-a information through:

e-mail	🛛 Yes	🗆 No
training sessions	🛛 Yes	🗆 No
agency website	🛛 Yes	🗆 No
agency newsletter	□ Yes	🛛 No

- **3.** Ensured that all competitive job postings include the 55-a language.



VI. <u>SELECTION (HIRING AND PROMOTION)</u>

Please review Section VI of your Annual Plan and describe your activities for this quarter below:

List additional Selection Strategies and Initiatives which you outlined in your FY 2020 Diversity and EEO Plan (include use of structured interviewing, EEO or APO representatives observing interviews, review of placement demographics, review of e-hire applicant data).	Please describe the steps that your agency has taken to meet the Selection (Hiring and Promotion) Goal(s) set/declared in your plan.	Q1 Update	Q2 Update	Q3 Update	Q4 Update
Career Counseling: Advising employees of opportunities for promotion and career development;	Just as HR passes information regarding civil service exams to the Agency's Personnel Coordinators, HR ensures that information is similarly passed on to those employees serving in lower titles that are promotable. All job vacancy notices are posted on DOT's website. The Career Counselor and the Training and Development Office continue to advise employees regarding promotional opportunities and career development.	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 			
Reviewing the methods by which candidates are selected for promotion or to fill vacancies (new hires), especially for mid- and high-level discretionary positions;	While it is a best practice for all interviews to be conducted by a diverse panel of at least two people, with respect to interview panels of titles where underutilization exist, panels MUST be comprised of at least two people and MUST, except in very unusual circumstances, be diverse (that is, the panel must be comprised of at least one male and female, and must be comprised of individuals of different ethnicities).	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 			



Reviewing the methods by which candidates are selected for promotion or to fill vacancies (new hires) filled through civil service lists;	To fill positions through civil service lists HR's goal is to increase the number of employees taking civil service exams and will advertise such opportunities by emailing employees DCAS Monthly Exam Schedule every month and will continue to email civil service exam notices to DOT Personnel Coordinators who then distribute to all staff within their division. Information regarding exams is also posted on DOT kiosks, bulletin boards, and intranet. The Agency continues to increase participation in hiring pools, as well as pickup-and-transfer opportunities with other agencies.	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 		
Describe the role of agency EEO Officer and other EEO staff in the selection of candidates for appointment or promotion (pre- and post- appointment);	Divisions are encouraged to submit proposed interview questions to EDI and HR for review before interviews. EDI representatives also participate in interviewers as observers on occasion.	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 		
Analyzing the impact of layoffs or terminations on racial, gender and age groups;	There were no layoffs or terminations due to fiscal/operational reasons during the 1 st quarter of FY 2020.	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 		



de Administrative Services			 	
Other Selection Strategies and Initiatives:	In June 2018, DOT established a Promotion Review Committee (PRC) that is made up of the Executive Deputy Commissioner, the Chief Operations Officer (COO), the COO's Chief of Staff, the Commissioner's Chief of Staff, the Deputy Commissioner for Human Resources and Facilities Management, and the Assistant Commissioner for Equal Employment, Diversity and Inclusion. The PRC meets monthly to review all promotions for positions earning salaries of \$100,000 or more, considers and evaluates whether the promotions conform with agency policies and goals for a diverse and inclusive workforce, confirms that the promotions are equitable among staff with comparable job titles and functions across divisions, and certifies that the cost of the promotions are covered within the agency's budget.	 Planned Not started Ongoing Delayed Deferred Ongoing Completed 		
Other Selection Strategies and Initiatives: Structured Interviewing	DOT is in the process and near completion of the development of a comprehensive Structured Interviewing Guide that it will use to eliminate bias in the DOT hiring process, focusing on job analysis, recruitment, panel interviewing, avoidance of improper questions, and post-interview scoring and document retention.	 □ Not started ⊠ Ongoing □ Delayed □ Deferred 		



VII. <u>TRAINING</u>

Provide your training information in the "DIVERSITY AND EEO TRAINING SUMMARY" on the separate Excel template.

VIII. <u>REASONABLE ACCOMMODATION</u>

Please report your reasonable accommodation requests for this quarter and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: https://mspwva-dcslnx01.csc.nycnet/Login.aspx

All R/A requests in the current quarter are up-to-date in the DCAS Citywide Tracking System.

□ There were no new R/A requests in the current quarter.

IX. <u>COMPLIANCE AND IMPLEMENTATION OF REQUIREMENTS UNDER EXECUTIVE ORDERS AND</u> <u>LOCAL LAWS</u>

A. EXECUTIVE ORDER 16: TRAINING ON TRANSGENDER DIVERSITY AND INCLUSION

Provide E.O. 16 Training Information in the "DIVERSITY AND EEO TRAINING SUMMARY" on the separate Excel template.

B. EXECUTIVE ORDER 21: PROHIBITION ON INQUIRY REGARDING JOB APPLICANT'S PAY HISTORY

☑ The agency has reviewed its practices (including application and interview forms) regarding prohibition on inquiry about pay history.

□ All personnel involved in job interviews is required to go through structured interview training.



C. LOCAL LAW 92: ANNUAL SEXUAL HARASSMENT PREVENTION TRAINING

Provide Sexual Harassment Prevention Training Information in the "DIVERSITY AND EEO TRAINING SUMMARY" on the separate Excel template.

D. LOCAL LAW 93: RISK ASSESSMENT SURVEY

Please provide a short description of planning and progress in implementation of strategies aimed to reduce/minimize the risk of sexual harassment in your agency.

Within the timeframe provided in your Annual Plan, provide any progress on the following, and if none write N/A:
Risk 1: Homogenous Workplace: N/a
<i>Risk 2: Cultural and Language Differences in the Workplace:</i>
Risk 3: Workplaces with Significant Power Disparities: N/a
Risk 4: Isolated Workplaces: N/a
Risk 5: Decentralized Workplaces:



N/a			

E. LOCAL LAW 97: ANNUAL SEXUAL HARASSMENT REPORTING

- The agency has entered the sexual harassment Complaint Data in the DCAS Citywide Complaint Tracking System and updates as they occur.
- The agency has entered **all types of complaints** in the DCAS Citywide Complaint Tracking System and updates as they occur.
- \Box The agency ensures that complaints are closed within 90 days.

Report all complaints and reasonable accommodation requests through DCAS/CDEEO Complaint Tracking System by logging into your CICS account at: <u>https://mspwva-dcslnx01.csc.nycnet/Login.aspx</u>

F. LOCAL LAW 101: CLIMATE SURVEY

Provide a short description of your efforts to analyze the results of climate survey in your agency.



X. AUDITS AND CORRECTIVE MEASURES

Please choose the statement that applies to your agency.

The agency is <u>NOT</u> involved in an audit conducted by NYC EEPC or another governmental agency specific to our EEO practices.

□ The agency is involved in an audit; please specify who is conducting the audit: ______.

□ Attach or list below audit recommendations.

The agency has submitted or will submit to OCEI an amendment letter, which shall amend the agency plan for FY 2020.



APPENDIX: DOT EEO PERSONNEL DETAILS

EEO PERSONNEL FOR _1___ QUARTER, FISCAL YEAR 2020

A. PERSONNEL CHANGES

Personnel Changes this Quart	er: 🛛 No Changes		Number of Additio	oms:	Number of Deletio	ns:
Employee's Name & Title						
Nature of change	□ Addition □ Deletion	l	□ Addition	□ Deletion	□ Addition	Deletion
Date of Change in EEO Role	Start Date or Termination Date	:	Start Date or Termina	tion Date:	Start Date or Termination Date:	
NOTE: Please attach CV/Resum	e of new staff to this report					
For Current EEO Professiona	ls:					
Name & Title						
EEO Function	□ EEO Trainer □ EEO) Counselor) Investigator er: (specify)	 EEO Officer EEO Trainer 55-a Coordinator 	 EEO Counselor EEO Investigator Other: (specify) 	 EEO Officer EEO Trainer 55-a Coordinator 	 EEO Counselor EEO Investigator Other: (specify)
Proportion of Time Spent on EEO Duties	□ 100% □ Other: (s	specify %):		Other: (specify %):		Other: (specify %):
Attended EEO Professional On-Boarding at DCAS	□ Yes □ No		□ Yes	□ No	□ Yes	□ No
Completed Trainings: EEO Diversity & Inclusion lgbTq: The Power of Inclusion Structured Interviewing and Unconscious Bias	□ Yes □ No □ Yes □ No □ Yes □ No □ Yes □ No		□ Yes □ Yes □ Yes □ Yes	□ No □ No □ No □ No	□ Yes □ Yes □ Yes □ Yes	□ No □ No □ No □ No
Sexual Harassment Prevention Training Source(s):	□ Yes □ No □ DCAS □ Agency □ 0	Other	□ Yes □ DCAS □ Agen	□ No cy □ Other	□ Yes □ DCAS □ Agen	□ No cy □ Other



B. <u>CONTACT INFORMATION (Please list ALL current EEO professionals)</u>

DIVERSITY AND EEO STAFFING IN [AGENCY NAME] AS OF QUARTER (X) FY 2019 *							
Name	<u>Civil Service Title</u>	EEO\Diversity Role	% of Time Devoted to EEO & Diversity <u>Functions</u>	Office E-mail Address	<u>Telephone #</u>		
EDI Office							
James L. Hallman	Executive Agency Counsel	EEO Officer/Director	100%	jhallman@dot.nyc.gov	(212) 839-6603		
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Vincent DiGennaro	Community Coordinator	Lead Trainer	100%	vdigennaro@dot.nyc.gov	(212)839 4151		
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Melissa Quiros	Principal Administrative Associate Level 1	Administrative Assistant	100%	mquiros@dot.nyc.gov	(212) 839-6608		
HR/Executive Staff who perform EEO/Diversity and Inclusion work							
Ciera T. Hearn	Confidential Strategy Planner	Equity and Inclusion Research Manager	100%	chearn@dot.nyc.gov	(212) 839-9451		
Lianne Palacios	Administrative Staff Analyst	55-a Coordinator	15%	lpalacios@dot.nyc.gov	(212) 839-9516		
Peter Scavetta	Administrative Staff Analyst	Career Counselor	25%	pscavetta@dot.nyc.go v	(212) 839-9452		
Vacant		ADA Coordinator					
Vacant		Disability Rights Coordinator					



* Please indicate changes (i.e. if new personnel is filling a specified role.) You may insert additional entries as needed. "Title" refers to the civil service title. If there is an EEO\Diversity role that your staff performs that is not on the list above please indicate it on the chart.