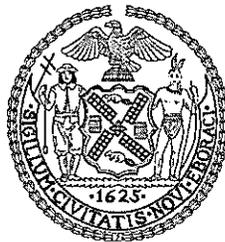


Financial Plan Statements
for
New York City
November 2008



The City of New York



This report contains Financial Plan Statements for November 2008 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on November 12, 2008.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

**THE CITY OF NEW YORK
BY**


Stuart Klein
First Deputy Director
Office of Management and Budget

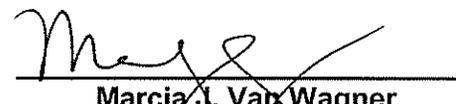

Marcia J. Van Wagner
Deputy Comptroller Budget
Office of the Comptroller

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

Covered Organization Financial Plans are issued quarterly.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2008 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

Debt Service expenditures are net of Transitional Finance Authority (TFA) debt service and City personal income taxes (PIT) are net of PIT deposited into the TFA Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2009 for OTPS purchase orders and contracts expected to be received by June 30, 2009 are treated as expenditures.

(d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2009 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2009.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Report No. 1

Financial Plan Summary

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1**

(MILLIONS OF DOLLARS)

**MONTH: NOVEMBER
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
REVENUES:									
TAXES									
GENERAL PROPERTY TAXES	\$ 6	\$ 37	\$ (31)	\$ 7,945	\$ 7,976	\$ (31)	\$ 14,613	\$ 14,613	\$ -
OTHER TAXES	1,235	1,173	62	7,656	7,594	62	22,265	22,265	-
MISCELLANEOUS REVENUES	360	417	(57)	2,139	2,196	(57)	5,821	5,821	-
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	340	340	-
LESS: INTRA-CITY REVENUES	(25)	(83)	58	(173)	(231)	58	(1,607)	(1,607)	-
DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
SUBTOTAL	1,576	1,544	32	17,567	17,535	32	41,417	41,417	-
OTHER CATEGORICAL GRANTS	20	84	(64)	136	200	(64)	1,075	1,075	-
CAPITAL INTER-FUND TRANSFERS	32	24	8	90	82	8	464	464	-
FEDERAL GRANTS	560	615	(55)	886	941	(55)	5,816	5,816	-
STATE GRANTS	1,181	1,028	153	3,001	2,848	153	11,668	11,668	-
TOTAL REVENUES	\$ 3,369	\$ 3,295	\$ 74	\$ 21,680	\$ 21,606	\$ 74	\$ 60,440	\$ 60,440	\$ -
EXPENDITURES:									
PS	\$ 2,745	\$ 2,662	\$ (83)	\$ 11,704	\$ 11,564	\$ (140)	\$ 34,474	\$ 34,474	\$ -
OTPS	1,794	1,434	(360)	15,077	13,728	(1,349)	25,566	25,566	-
DEBT SERVICE	19	31	12	125	105	(20)	1,707	1,707	-
GENERAL RESERVE	-	-	-	-	-	-	300	300	-
SUBTOTAL	4,558	4,127	(431)	26,906	25,397	(1,509)	62,047	62,047	-
LESS: INTRA-CITY EXPENSES	(25)	(83)	(58)	(173)	(231)	(58)	(1,607)	(1,607)	-
TOTAL EXPENDITURES	\$ 4,533	\$ 4,044	\$ (489)	\$ 26,733	\$ 25,166	\$ (1,567)	\$ 60,440	\$ 60,440	\$ -
SURPLUS/(DEFICIT)	\$ (1,164)	\$ (749)	\$ (415)	\$ (5,053)	\$ (3,560)	\$ (1,493)	\$ -	\$ -	\$ -

Report No. 1A

Month-by-Month Revenue and Obligation Forecast

**NEW YORK CITY
MONTH-BY-MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A**

(MILLIONS OF DOLLARS)

**MONTH: NOVEMBER
FISCAL YEAR 2009**

	ACTUAL					FORECAST								
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR
REVENUES:														
TAXES														
GENERAL PROPERTY TAXES	\$ 6,916	\$ 133	\$ 642	\$ 248	\$ 6	\$ 2,321	\$ 3,004	\$ 28	\$ 658	\$ 323	\$ 26	\$ 25	\$ 283	\$ 14,613
OTHER TAXES	1,047	1,011	3,337	1,026	1,235	2,560	2,541	911	2,235	2,012	913	3,353	84	22,265
MISCELLANEOUS REVENUES	621	306	452	400	360	335	558	336	399	505	662	586	301	5,821
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	-	-	-	-	-	340	-	340
ANTICIPATED REVENUES	-	-	-	-	-	-	-	-	-	-	-	-	-	-
LESS: INTRA-CITY REVENUES DISALLOWANCES	(3)	(4)	(70)	(71)	(25)	(105)	(204)	(116)	(136)	(162)	(122)	(288)	(301)	(1,607)
	-	-	-	-	-	-	-	-	-	-	(5)	(10)	-	(15)
SUBTOTAL	8,581	1,446	4,361	1,603	1,576	5,111	5,899	1,159	3,156	2,678	1,474	4,006	367	41,417
OTHER CATEGORICAL GRANTS	-	14	16	86	20	77	150	45	41	137	41	448	-	1,075
CAPITAL INTER-FUND TRANSFERS	-	14	19	25	32	19	69	22	27	33	42	21	141	464
FEDERAL GRANTS	8	24	116	178	560	478	566	513	499	573	502	487	1,312	5,816
STATE GRANTS	19	3	1,642	156	1,181	922	1,079	1,010	1,042	1,163	1,023	1,178	1,250	11,668
TOTAL REVENUES:	\$ 8,608	\$ 1,501	\$ 6,154	\$ 2,048	\$ 3,369	\$ 6,607	\$ 7,763	\$ 2,749	\$ 4,765	\$ 4,584	\$ 3,082	\$ 6,140	\$ 3,070	\$ 60,440
EXPENDITURES:														
PS	\$ 1,424	\$ 2,371	\$ 2,578	\$ 2,586	\$ 2,745	\$ 2,655	\$ 3,359	\$ 2,659	\$ 2,669	\$ 2,644	\$ 2,762	\$ 3,913	\$ 2,109	\$ 34,474
OTPS	6,375	2,442	2,621	1,845	1,794	1,240	1,715	1,133	1,761	1,110	1,138	1,907	485	25,566
DEBT SERVICE	127	(7)	(17)	3	19	11	14	9	9	78	95	1,366	-	1,707
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	300	300
SUBTOTAL	7,926	4,806	5,182	4,434	4,558	3,906	5,088	3,801	4,439	3,832	3,995	7,186	2,894	62,047
LESS: INTRA-CITY EXPENSES	(3)	(4)	(70)	(71)	(25)	(105)	(204)	(116)	(136)	(162)	(122)	(288)	(301)	(1,607)
TOTAL EXPENDITURES	\$ 7,923	\$ 4,802	\$ 5,112	\$ 4,363	\$ 4,533	\$ 3,801	\$ 4,884	\$ 3,685	\$ 4,303	\$ 3,670	\$ 3,873	\$ 6,898	\$ 2,593	\$ 60,440
SURPLUS/(DEFICIT)	\$ 685	\$ (3,301)	\$ 1,042	\$ (2,315)	\$ (1,164)	\$ 2,806	\$ 2,879	\$ (936)	\$ 462	\$ 914	\$ (791)	\$ (758)	\$ 477	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2**

**MONTH: NOVEMBER
FISCAL YEAR 2009**

(MILLIONS OF DOLLARS)

DESCRIPTION	INITIAL PLAN 6/30/2008	CHANGES FROM INITIAL PLAN	CHANGES FROM PREVIOUS FORECAST
REVENUES:			
TAXES			
GENERAL PROPERTY TAXES	\$ 13,782	\$ 831	\$ -
OTHER TAXES	22,545	(280)	-
MISCELLANEOUS REVENUES	5,671	150	-
UNRESTRICTED INTERGOVERNMENTAL AID	340	-	-
LESS:INTRA-CITY REVENUES	(1,538)	(69)	-
DISALLOWANCES	(15)	-	-
SUBTOTAL	40,785	632	-
OTHER CATEGORICAL GRANTS	1,029	46	-
CAPITAL INTERFUND TRANSFERS	463	1	-
FEDERAL GRANTS	5,366	450	-
STATE GRANTS	11,526	142	-
TOTAL REVENUES	\$ 59,169	\$ 1,271	\$ -
EXPENDITURES:			
PERSONAL SERVICE	\$ 34,497	\$ (23)	\$ -
OTHER THAN PERSONAL SERVICE	24,619	947	-
DEBT SERVICE	1,291	416	-
GENERAL RESERVE	300	-	-
SUBTOTAL	60,707	1,340	-
LESS:INTRA-CITY EXPENDITURES	(1,538)	(69)	-
TOTAL EXPENDITURES	\$ 59,169	\$ 1,271	\$ -

Report No. 3

Revenue Activity by Major Area

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3**

(MILLIONS OF DOLLARS)

**MONTH: NOVEMBER
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
TAXES:									
GENERAL PROPERTY TAXES	\$ 6	\$ 37	\$ (31)	\$ 7,945	\$ 7,976	\$ (31)	\$ 14,613	\$ 14,613	\$ -
PERSONAL INCOME TAX	688	553	135	2,827	2,692	135	7,186	7,186	-
GENERAL CORPORATION TAX	44	32	12	528	516	12	2,519	2,519	-
BANKING CORPORATION TAX	5	(3)	8	177	169	8	517	517	-
UNINCORPORATED BUSINESS TAX	(30)	6	(36)	346	382	(36)	1,668	1,668	-
GENERAL SALES TAX	354	331	23	1,917	1,894	23	4,749	4,749	-
REAL PROPERTY TRANSFER TAX	54	78	(24)	457	481	(24)	1,030	1,030	-
MORTGAGE RECORDING TAX	42	66	(24)	297	321	(24)	795	795	-
COMMERCIAL RENT TAX	1	3	(2)	145	147	(2)	556	556	-
UTILITY TAX	11	30	(19)	116	135	(19)	388	388	-
OTHER TAXES	34	32	2	243	241	2	923	923	-
TAX AUDIT REVENUES *	32	45	(13)	260	273	(13)	680	680	-
TAX PROGRAM (STAR)	-	-	-	343	343	-	1,254	1,254	-
TOTAL TAXES	\$ 1,241	\$ 1,210	\$ 31	\$ 15,601	\$ 15,570	\$ 31	\$ 36,878	\$ 36,878	\$ -
MISCELLANEOUS REVENUES:									
LICENSES/FRANCHISES/ETC.	79	82	(3)	230	233	(3)	469	469	-
INTEREST INCOME	15	18	(3)	68	71	(3)	90	90	-
CHARGES FOR SERVICES	33	35	(2)	197	199	(2)	619	619	-
WATER AND SEWER CHARGES	59	59	-	669	669	-	1,307	1,307	-
RENTAL INCOME	16	-	16	97	81	16	219	219	-
FINES AND FORFEITURES	57	72	(15)	339	354	(15)	776	776	-
MISCELLANEOUS	76	68	8	366	358	8	734	734	-
INTRA-CITY REVENUE	25	83	(58)	173	231	(58)	1,607	1,607	-
TOTAL MISCELLANEOUS	\$ 360	\$ 417	\$ (57)	\$ 2,139	\$ 2,196	\$ (57)	\$ 5,821	\$ 5,821	\$ -

* The financial plan as submitted on November 12, 2008 reflects \$680 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2009 PLAN
SALES TAX	\$ 1	\$ 9	\$ 20
PERSONAL INCOME TAX	-	11	25
GENERAL CORPORATION TAX	24	90	349
COMMERCIAL RENT TAX	1	6	15
FINANCIAL CORPORATION TAX	1	130	201
UTILITY TAX	2	2	8
UNINCORPORATED BUSINESS TAX	3	11	48
REAL PROPERTY TRANSFER	-	1	6
OTHER TAXES	-	-	8
TOTAL	\$ 32	\$ 260	\$ 680

**REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)**

(MILLIONS OF DOLLARS)

**MONTH: NOVEMBER
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNRESTRICTED INTGOVT. AID									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	327	327	-
OTHER INTGOVT. AID	-	-	-	-	-	-	13	13	-
TOTAL UNRESTRICTED INTG.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 340	\$ 340	\$ -
OTHER CATEGORICAL GRANTS	20	84	(64)	136	200	(64)	1,075	1,075	-
CAPITAL INTER-FUND TRANSFERS	32	24	8	90	82	8	464	464	-
LESS: INTRA-CITY REVENUES	(25)	(83)	58	(173)	(231)	58	(1,607)	(1,607)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
FEDERAL GRANTS									
COMMUNITY DEVELOPMENT	16	19	(3)	74	77	(3)	283	283	-
WELFARE	320	206	114	531	417	114	2,548	2,548	-
EDUCATION	113	299	(186)	121	307	(186)	1,758	1,758	-
OTHER	111	91	20	160	140	20	1,227	1,227	-
TOTAL FEDERAL GRANTS	\$ 560	\$ 615	\$ (55)	\$ 886	\$ 941	\$ (55)	\$ 5,816	\$ 5,816	\$ -
STATE GRANTS									
WELFARE	248	157	91	477	386	91	2,004	2,004	-
EDUCATION	861	762	99	2,403	2,304	99	8,517	8,517	-
HIGHER EDUCATION	42	42	-	42	42	-	211	211	-
HEALTH AND MENTAL HYGIENE	2	43	(41)	2	43	(41)	485	485	-
OTHER	28	24	4	77	73	4	451	451	-
TOTAL STATE GRANTS	\$ 1,181	\$ 1,028	\$ 153	\$ 3,001	\$ 2,848	\$ 153	\$ 11,668	\$ 11,668	\$ -
TOTAL REVENUES	\$ 3,369	\$ 3,295	\$ 74	\$ 21,680	\$ 21,606	\$ 74	\$ 60,440	\$ 60,440	\$ -

Report No. 4

Obligation Analysis

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4**

(MILLIONS OF DOLLARS)

**MONTH: NOVEMBER
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES									
POLICE DEPT.	\$ 540	\$ 386	\$ (154)	\$ 1,957	\$ 1,717	\$ (240)	\$ 4,417	\$ 4,417	\$ -
FIRE DEPT.	108	117	9	640	661	21	1,569	1,569	-
DEPT. OF CORRECTION	66	73	7	395	395	-	986	986	-
SANITATION DEPT.	81	185	104	634	694	60	1,282	1,282	-
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	59	115	56	1,732	1,627	(105)	2,734	2,734	-
DEPT. OF SOCIAL SERVICES	1,383	648	(735)	4,464	3,608	(856)	8,533	8,533	-
DEPT. OF HOMELESS SERVICES	25	128	103	544	515	(29)	782	782	-
HEALTH & MENTAL HYGIENE	47	57	10	1,204	1,202	(2)	1,699	1,699	-
OTHER AGENCIES									
HOUSING PRESERVATION & DEV.	49	30	(19)	321	282	(39)	651	651	-
ENVIRONMENTAL PROTECTION	31	57	26	461	451	(10)	1,033	1,033	-
TRANSPORTATION DEPT.	40	47	7	405	416	11	790	790	-
PARKS & RECREATION DEPT.	23	25	2	176	176	-	359	359	-
DEPT. OF CITYWIDE ADMIN. SERVICES	10	16	6	947	1,003	56	1,120	1,120	-
ALL OTHER	121	164	43	1,669	1,809	140	3,155	3,155	-
COVERED ORGANIZATIONS									
DEPT. OF EDUCATION	1,135	1,219	84	6,750	6,450	(300)	17,576	17,576	-
HIGHER EDUCATION	16	41	25	270	279	9	695	695	-
HEALTH & HOSPITALS CORP.	6	(1)	(7)	47	38	(9)	200	200	-
OTHER									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	190	204	14	985	965	(20)	3,346	3,346	-
TRANSIT SUBSIDIES	47	27	(20)	143	62	(81)	388	388	-
JUDGMENTS & CLAIMS	57	36	(21)	250	132	(118)	658	658	-
OTHER	(4)	13	17	242	265	23	1,771	1,771	-
PENSION CONTRIBUTIONS	509	509	-	2,545	2,545	-	6,296	6,296	-
DEBT SERVICE	19	31	12	125	105	(20)	1,707	1,707	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	-	-	-
UNALLOCATED REDUCTIONS	-	-	-	-	-	-	-	-	-
SUB-TOTAL	\$ 4,558	\$ 4,127	\$ (431)	\$ 26,906	\$ 25,397	\$ (1,509)	\$ 61,747	\$ 61,747	\$ -
PLUS GENERAL RESERVE	-	-	-	-	-	-	300	300	-
LESS INTRA-CITY EXPENSES	(25)	(83)	(58)	(173)	(231)	(58)	(1,607)	(1,607)	-
TOTAL EXPENDITURES	\$ 4,533	\$ 4,044	\$ (489)	\$ 26,733	\$ 25,166	\$ (1,567)	\$ 60,440	\$ 60,440	\$ -

Report No. 4A & 4B

Personnel Control Reports

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A**

**MONTH: NOVEMBER
FISCAL YEAR 2009**

(MILLIONS OF DOLLARS)

	FT & FTE POSITIONS			PERSONAL SERVICE COSTS					FT & FTE POSITIONS			PERSONAL SERVICE COSTS					
	CURRENT MONTH			CURRENT MONTH		YEAR-TO-DATE			FISCAL YEAR 2009 PROJECTIONS			FISCAL YEAR 2009 PROJECTIONS					
	ACTUAL	PLAN *		ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)		
UNIFORM FORCES																	
POLICE DEPT.	52,290	52,039	\$ 526	\$ 373	\$ (153)	\$ 1,762	\$ 1,556	\$ (206)	51,210	51,210	-	\$ 4,024	\$ 4,024	\$ -			
FIRE DEPT.	16,473	16,398	98	107	9	536	547	11	16,109	16,109	-	1,414	1,414	-			
DEPT. OF CORRECTION	10,553	11,101	62	64	2	327	323	(4)	10,928	10,928	-	848	848	-			
SANITATION DEPT.	9,839	9,897	63	62	(1)	279	284	5	9,829	9,829	-	739	739	-			
HEALTH & WELFARE																	
ADMIN. FOR CHILD SERVICES	7,010	6,935	31	29	(2)	157	157	-	7,080	7,080	-	391	391	-			
DEPT. OF SOCIAL SERVICES	14,073	15,126	53	52	(1)	272	278	6	15,129	15,129	-	688	688	-			
DEPT. OF HOMELESS SERVICES	2,098	2,078	10	9	(1)	48	46	(2)	2,227	2,227	-	117	117	-			
HEALTH & MENTAL HYGIENE	6,471	6,978	30	30	-	147	159	12	7,046	7,046	-	403	403	-			
OTHER AGENCIES																	
ENVIRONMENTAL PROTECTION	6,204	6,269	34	35	1	165	167	2	6,400	6,400	-	400	400	-			
TRANSPORTATION DEPT.	4,802	4,907	25	26	1	139	129	(10)	5,041	5,041	-	349	349	-			
PARKS & RECREATION DEPT.	6,525	6,332	21	20	(1)	122	118	(4)	7,188	7,188	-	266	266	-			
CITYWIDE ADMIN. SERVICES	2,164	2,256	11	10	(1)	54	50	(4)	2,323	2,323	-	134	134	-			
ALL OTHER	30,964	30,151	139	140	1	747	753	6	30,428	30,428	-	1,895	1,895	-			
COVERED ORGANIZATIONS																	
DEPT. OF EDUCATION	140,199	139,195	943	991	48	3,419	3,486	67	139,114	139,114	-	12,448	12,448	-			
OTHER																	
MISCELLANEOUS BUDGET	-	-	190	205	15	985	966	(19)	-	-	-	4,062	4,062	-			
PENSION CONTRIBUTIONS	-	-	509	509	-	2,545	2,545	-	-	-	-	6,296	6,296	-			
TOTAL	309,665	309,662	\$ 2,745	\$ 2,662	\$ (83)	\$ 11,704	\$ 11,564	\$ (140)	310,052	310,052	-	\$ 34,474	\$ 34,474	\$ -			

* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4B**

**MONTH: NOVEMBER
FISCAL YEAR 2009**

	<u>FULL-TIME POSITIONS</u> <u>CURRENT MONTH</u>			<u>FULL-TIME POSITIONS</u> <u>FISCAL YEAR 2009 PROJECTIONS</u>		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES						
POLICE DEPT.	50,776	50,187	(589)	49,428	49,428	-
FIRE DEPT.	16,402	16,341	(61)	16,050	16,050	-
DEPT. OF CORRECTION	10,502	11,048	546	10,875	10,875	-
SANITATION DEPT.	9,763	9,767	4	9,692	9,692	-
HEALTH & WELFARE						
ADMIN. FOR CHILD SERVICES	6,956	6,879	(77)	7,022	7,022	-
DEPT. OF SOCIAL SERVICES	14,055	15,126	1,071	15,126	15,126	-
DEPT. OF HOMELESS SERVICES	2,096	2,072	(24)	2,221	2,221	-
HEALTH & MENTAL HYGIENE	5,212	5,596	384	5,635	5,635	-
OTHER AGENCIES						
ENVIRONMENTAL PROTECTION	5,913	6,098	185	6,127	6,127	-
TRANSPORTATION DEPT.	4,375	4,647	272	4,807	4,807	-
PARKS & RECREATION DEPT.	3,729	3,581	(148)	3,586	3,586	-
CITYWIDE ADMIN. SERVICES	1,929	1,969	40	2,060	2,060	-
ALL OTHER	26,031	26,341	310	26,700	26,700	-
COVERED ORGANIZATIONS						
DEPT. OF EDUCATION	123,974	122,225	(1,749)	122,144	122,144	-
TOTAL	281,713	281,877	164	281,473	281,473	-

NOTES TO REPORTS NO. 4, 4A AND 4B

The current month, year-to-date and fiscal year plan data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on November 12, 2008.

There are 309,665 filled positions as of November of which 281,713 are full-time positions and 27,952 are full-time equivalent positions. Of the 309,665 filled positions, 268,983 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2009) 281,473 of the 310,052 positions are full-time and 267,151 of the 310,052 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2009 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$(240) million year-to-date variance is primarily due to:

- \$(53) million in accelerated encumbrances, including \$(10) million for special expense, \$(9) million for motor vehicles, \$(8) million for advertising, \$(5) million for general supplies and materials and \$(4) million for motor vehicle fuel.
- \$19 million in delayed encumbrances, primarily for data processing equipment and general contractual services.
- \$(206) million in personal services, including \$(185) million for collective bargaining, \$(49) million for overtime, \$(10) million for differentials and \$(7) million for holiday pay, offset by \$28 million for uniformed full-time normal gross, \$15 million for full-time normal gross, \$9 million for fringe benefits and \$3 million for unsalaried positions.

Fire Department: The \$21 million year-to-date variance is primarily due to:

- \$30 million in delayed encumbrances, primarily for general contractual services and rentals of land, buildings and structures.
- \$(20) million in accelerated encumbrances, primarily for general maintenance and repairs and automotive supplies and materials.

- \$11 million in personal services, including \$11 million for overtime, \$8 million for full-time normal gross offset by \$(7) million for uniformed full-time normal gross.

Department of Sanitation: The \$60 million year-to-date variance is primarily due to:

- \$77 million in delayed encumbrances, including \$64 million for municipal waste export, \$5 million for heat, light, and power, \$3 million for motor vehicle fuel and \$3 million for automotive supplies and materials.
- \$(22) million in accelerated encumbrances, primarily for general supplies and materials and general contractual services.
- \$5 million in personal services.

Administration for Children's Services: The \$(105) million year-to-date variance is primarily due to:

- \$(194) million in accelerated encumbrances, including \$(52) million for children's charitable institutions, \$(39) million for child welfare services, \$(38) million for children day care, \$(17) million for general fixed charges, \$(15) million for special education facilities, \$(10) million for direct foster care of children and \$(4) million for general maintenance and repairs.
- \$89 million in delayed encumbrances, including \$36 million for subsidized adoption, \$26 million for Head Start, \$6 million for rentals of land, buildings and structures and \$4 million for other general expenses.

Department of Social Services: The \$(856) million year-to-date variance is primarily due to:

- \$(909) million in accelerated encumbrances, including \$(810) million for medical assistance, \$(20) million for employment services, \$(15) million for AIDS services, \$(14) million for home care services, \$(10) million for payments for home relief, \$(7) million for professional computer services and \$(6) million for general contractual services.
- \$47 million in delayed encumbrances, primarily for aid to dependent children and homeless family services.
- \$6 million in personal services.

Department of Homeless Services: The \$(29) million year-to-date variance is primarily due to:

- \$(42) million in accelerated encumbrances, primarily for homeless individual services and homeless family services.
- \$15 million in delayed encumbrances, primarily for temporary services.
- \$(2) million in personal services.

Department of Housing Preservation and Development: The \$(39) million year-to-date variance is primarily due to:

- \$(40) million in accelerated encumbrances, including \$(20) million for general contractual services, \$(7) million for general maintenance and repairs, \$(4) million for Federal Section 8 Rent Subsidy and \$(3) million for maintenance costs.
- \$3 million in delayed encumbrances, primarily for heat, light and power, general supplies and materials, and telephone and other communications.
- \$(2) million in personal services.

Department of Citywide Administrative Services: The \$56 million year-to-date variance is primarily due to:

- \$68 million in delayed encumbrances, primarily for heat, light and power.
- \$(8) million in accelerated encumbrances, primarily for general supplies and materials.
- \$(4) million in personal services.

Department of Education: The \$(300) million year-to-date variance is primarily due to:

- \$67 million in personal services, of which \$(84) million represents backpay that will be journaled to prior years and \$151 million represents the current year spending variance.

- \$(367) million in OTPS, reflecting accelerated encumbrances of \$(508) million for contract payments, \$(73) million for professional direct educational services, \$(28) million for maintenance and operation of infrastructure, \$(20) million for professional curriculum and development services, \$(18) million for professional computer services, \$(15) million for transportation of pupils, \$(10) million for payments to Fashion Institute of Technology, \$(7) million for city employees training program, \$(7) million for insurance premiums, \$(5) million for telephone and other communications and \$(3) million for general contractual services, offset by delayed encumbrances of \$152 million for general supplies and materials, \$35 million for heat, light and power, \$31 million for other general expenses, \$22 million for food and forage supplies, \$15 million for NYC Transit Authority reduced fares for schoolchildren, \$11 million for fuel oil, \$11 million for data processing equipment, \$10 million for general equipment, \$9 million for other books, \$8 million for tuition payments for foster care, \$5 million for telecommunications maintenance and \$4 million for rentals of land, buildings and structures.

Miscellaneous: The \$(196) million year-to-date variance is primarily due to:

- \$(20) million in fringe benefits for earlier than expected encumbrances.
- \$(81) million in transit subsidies for earlier than expected encumbrances.
- \$(118) million in judgment and claims for prior year charges.
- \$23 million in other, including \$9 million in other general expenditures, \$7 million in other professional services and \$5 million in contractual legal services.

Debt Service: The \$(20) million year-to-date variance is primarily due to:

- \$(35) million in interest exchange agreements and \$(12) million in floating rate support costs for earlier than expected encumbrances.
- \$23 million in general interest on bonds and \$4 million in lease debt for later than expected encumbrances.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: NOVEMBER		FISCAL YEAR: 2009		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$71.3 (C) 0.0 (N)	\$34.6 (C) 0.0 (N)	\$96.1 (C) 32.2 (N)
HIGHWAY AND STREETS	5.9 (C) 0.0 (N)	8.6 (C) 1.0 (N)	80.5 (C) 4.5 (N)	77.8 (C) 5.3 (N)	474.0 (C) 227.4 (N)
HIGHWAY BRIDGES	65.4 (C) 0.0 (N)	2.2 (C) 0.0 (N)	90.3 (C) 0.0 (N)	26.5 (C) 0.0 (N)	679.2 (C) 87.7 (N)
WATERWAY BRIDGES	0.9 (C) 0.0 (N)	0.0 (C) 0.0 (N)	11.6 (C) 0.0 (N)	10.6 (C) 0.0 (N)	274.7 (C) 143.1 (N)
WATER SUPPLY	14.2 (C) 0.0 (N)	0.0 (C) 0.0 (N)	22.7 (C) 0.0 (N)	4.5 (C) 0.0 (N)	312.9 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	119.3 (C) 0.0 (N)	3.1 (C) 0.0 (N)	219.9 (C) 0.0 (N)	23.5 (C) 0.0 (N)	880.2 (C) 0.0 (N)
SEWERS	25.5 (C) 0.0 (N)	4.5 (C) 0.0 (N)	36.7 (C) 0.0 (N)	50.6 (C) 0.0 (N)	206.9 (C) 0.0 (N)
WATER POLLUTION CONTROL	10.4 (C) 0.0 (N)	0.0 (C) 0.0 (N)	836.4 (C) 0.0 (N)	153.7 (C) 0.0 (N)	1,609.8 (C) 3.3 (N)
ECONOMIC DEVELOPMENT	44.9 (C) 2.8 (N)	0.5 (C) 0.0 (N)	112.8 (C) 4.6 (N)	21.9 (C) 1.2 (N)	1,076.0 (C) 339.7 (N)
EDUCATION	4.7 (C) 220.0 (N)	10.0 (C) 100.0 (N)	153.3 (C) 370.0 (N)	160.6 (C) 251.7 (N)	1,119.8 (C) 1,666.2 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: NOVEMBER		FISCAL YEAR: 2009		FISCAL YEAR PLAN
	CURRENT MONTH		YEAR-TO-DATE		
	ACTUAL	PLAN	ACTUAL	PLAN	
CORRECTION	7.4 (C) 0.0 (N)	0.3 (C) 0.0 (N)	21.5 (C) 0.0 (N)	74.2 (C) 3.8 (N)	215.7 (C) 3.8 (N)
SANITATION	18.5 (C) 0.0 (N)	116.1 (C) 0.0 (N)	29.0 (C) 0.0 (N)	301.5 (C) 0.0 (N)	729.3 (C) 5.1 (N)
POLICE	0.8 (C) 0.0 (N)	4.4 (C) 0.0 (N)	12.9 (C) 0.0 (N)	142.6 (C) 0.0 (N)	1,124.5 (C) 0.0 (N)
FIRE	1.0 (C) 0.1 (N)	0.0 (C) 0.0 (N)	14.0 (C) 0.1 (N)	4.3 (C) 0.1 (N)	252.0 (C) 30.7 (N)
HOUSING	6.7 (C) (1.7) (N)	0.0 (C) 0.0 (N)	16.8 (C) (0.0) (N)	12.5 (C) 0.0 (N)	758.5 (C) 186.5 (N)
HOSPITALS	3.9 (C) 0.0 (N)	21.1 (C) 0.0 (N)	171.5 (C) 0.0 (N)	305.3 (C) 0.0 (N)	414.3 (C) 0.0 (N)
PUBLIC BUILDINGS	(0.8) (C) 0.0 (N)	0.0 (C) 0.0 (N)	11.4 (C) 0.0 (N)	5.3 (C) (0.1) (N)	569.3 (C) (0.1) (N)
PARKS	53.7 (C) 0.0 (N)	0.0 (C) 0.0 (N)	123.1 (C) 2.4 (N)	13.1 (C) 0.7 (N)	1,140.9 (C) 235.1 (N)
ALL OTHER DEPARTMENTS	146.3 (C) 12.6 (N)	6.2 (C) 0.0 (N)	382.4 (C) 31.7 (N)	222.4 (C) 33.9 (N)	4,061.1 (C) 304.6 (N)
TOTAL	\$528.7 (C) \$233.8 (N)	\$177.0 (C) \$101.0 (N)	\$2,418.2 (C) \$413.4 (N)	\$1,645.5 (C) \$296.6 (N)	\$15,995.4 (C) \$3,265.5 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: November

Fiscal Year: 2009

City Funds:

Total Authorized Commitment Plan	\$15,996
Less: Reserve for Unattained Commitments Commitment Plan	<u>(4,458)</u>
	<u>\$11,537</u>

Non-City Funds:

Total Authorized Commitment Plan	\$3,266
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u>
	<u>\$3,266</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2009 Adopted Capital Commitment plan of \$15,996 million rather than the Financial Plan level of \$11,537 million. The additional \$4,458 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through November are primarily due to timing differences.

- | | | |
|-------------------------|---|--|
| Correction | - | Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$20.1 million, slipped from July and August 2008 to December 2008. Purchase of Vehicles for the Department of Correction, totaling \$2.5 million, slipped from July 2008 to December 2008. Purchase of computer equipment, totaling \$11.1 million, slipped from July and August 2008 to December 2008. Riker's Island Infrastructure, totaling \$17.6 million, slipped from July 2008 to December 2008. Various slippages and advances account for the remaining variance. |
| Education | - | Construction contracts for the Department of Education, totaling \$10.0 million, slipped from November 2008 to April 2009. Various slippages and advances account for the remaining variance. |
| Economic
Development | - | Acquisition and site development for commercial redevelopment, City-wide totaling \$35.9 million, advanced from June 2009 to September thru November 2008. Commercial revitalization, City-wide, totaling \$5.7 million, advanced from June 2009 to September and November 2008. Modernization and reconstruction of piers, City-wide, totaling \$43.5 million, advanced from June 2009 to August thru November 2008. International Business Development, totaling \$ 2.5 million, advanced from June 2009 to September and October 2008. Various slippages and advances account for the remaining variance. |
| Fire | - | Acquisition of vehicles for the Fire Department, totaling \$2.3 million, advanced from May and June 2009 to July thru November 2008. Fire Department facility improvements, City-wide, totaling \$7.2 |

million, advanced from December 2008 thru May 2009 to July thru November 2008. Various slippages and advances account for the remaining variance.

- Highway Bridges - Design cost for bridge facilities, City-wide, totaling \$2.1 million, slipped from August 2008 to December 2008. Bridge painting, City-wide, totaling \$2.0 million, slipped from November 2008 to December 2008. Improvements to the ramp from Third Avenue Bridge to Bruckner Boulevard, totaling \$63.6 million, advanced from March 2009 to November 2008. Reconstruction of Hamilton Bridge over the Gowanus Canal, Brooklyn, totaling \$3.2 million, advanced from June 2009 to October 2008. Various slippages and advances account for the remaining variance.

- Parks - Construction and reconstruction of playgrounds and recreation facilities, totaling \$3.3 million, advanced from June 2009 to August and September 2008. Miscellaneous parks and playgrounds, City-wide, totaling \$17.1 million, advanced from May and June 2009 to August thru November 2008. Deregistration of construction and reconstruction contracts for Ferry Point Park, totaling \$11.1 million, occurred in November 2008. Street and park tree Planting City-wide, totaling \$15.9 million, advanced from April and June 2009 to August thru November 2008. Purchase of equipment for the use by Department of Parks and Recreation, totaling \$2.4 million, advanced from May 2009 to August thru November 2008. Improvements to Downing Stadium, totaling \$23.0 million, advanced from June 2009 to August and November 2008. Computer equipment for the Department of Parks and Recreation, totaling \$2.8 million, advanced from May and June 2009 to July thru October 2008. Park improvements City-wide, totaling \$2.4 million, advanced from May and June 2009 to July thru October 2008. Construction of Central Park, Manhattan, totaling \$3.6 million, advanced from June 2009 to October 2008. Construction of Roberto Clemente State Park, totaling \$10.0 million, slipped from August 2008 to December 2008. Infrastructure improvements in the area of the new Yankee Stadium, totaling \$50.8 million, advanced from June 2009 to August thru November 2008. Various slippages and advances account for the remaining variance.

- Police - Purchase of ultra high frequency radio telephone equipment, totaling \$58.4 million, slipped September and October 2008 to December 2008. Improvement to Police Department property, totaling \$7.7 million, slipped from September thru November 2008 to December 2008. Equipment for the Harbor Unit, totaling \$3.3 million, slipped from September and October 2008 to December 2008. Acquisition of computer equipment, totaling \$45.2 million, slipped from October and November 2008 to December 2008. Purchase of vehicles of at least \$35,000, totaling \$9.9 million,

slipped from September 2008 to December 2008. Purchase of new equipment for the Police Department, totaling \$ 2.2 million, advanced from June 2009 to October and November 2008. Construction of a new police training facility, City-wide, totaling \$1.0 million, slipped from July 2008 to December 2008 and a deregistration of \$1.5 million, occurred in October 2008. Construction of a new public safety answering center, totaling \$3.7 million, slipped from October 2008 to December 2008. Various slippages and advances account for the remaining variance.

- Public Buildings - Construction and reconstruction of public buildings, City-wide, totaling \$2.1 million, advanced from June 2009 to July thru October 2008. Various slippages and advances account for the remaining variance
- Sanitation - Purchase of collection trucks and equipment, totaling \$14.5 million, advanced from January 2009 to November 2008. Improvements to garages and other facilities, totaling \$7.2 million, slipped from July and September thru November 2008 to December 2008. Construction of sanitation garage for District 13 & 15, Brooklyn, \$9.3 million, slipped from October 2008 to December 2008. Sites for sanitation garages, City-wide, totaling \$107.7 million, slipped from October 2008 to December 2008. Construction and reconstruction of marine transfer stations, totaling \$118.5 million, slipped from October and November 2008 to December 2008. Construction of sanitation garage for District 6/8/8A, totaling \$6.6 million, slipped from July thru September 2008 to December 2008. Construction of sanitation garage for District 1/2/5, totaling \$26.0 million, slipped from August thru October 2008 to December 2008. Construction of sanitation garage for District 4/4A/7, totaling \$4.0 million, slipped from July and October 2008 to December 2008. Construction of salt sheds, City-wide, totaling \$4.0 million, slipped from July thru October 2008 to December 2008. Various slippages and advances account for the remaining variance.
- Sewers - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$7.0 million, slipped from July thru November 2008 to December 2008. City-wide mapping of sewer system, totaling \$3.3 million, slipped from July 2008 to December 2008. Sewer contracts in conjunction with the Department of Transportation, totaling \$3.5 million, slipped from July thru November 2008 to December 2008. Various slippages and advances account for the remaining variance.
- Transit - Miscellaneous reconstruction of lines under operation, totaling \$35.0 million, advanced from June 2009 to October 2008. Various slippages and advances account for the remaining variance.

- Water Supply - Additional water supply emergency and permanent, totaling \$25.9 million, advanced from June 2009 to September thru November 2008. City tunnel number 3, stage 2, totaling \$4.5 million, slipped from July 2008 to February 2009 and contract deregistrations, totaling \$3.7 million, occurred in July thru September 2008. Various slippages and advances account for the remaining variance
- Water Mains - Water main extensions, City-wide, totaling \$2.8 million, slipped from September and October 2008 to December 2008. Trunk main extensions and improvements, totaling \$12.8 million, slipped from July thru November 2008 to December 2008. Construction of the Croton Filtration Plant, totaling \$124.6 million, advanced from January and April thru June 2009 to September thru November 2008. Improvements to structures on watersheds outside the City, totaling \$85.0 advanced from June 2009 to July thru November 2008. Improvements to water supply, City-wide, totaling \$2.4 million, advanced from June 2009 to August thru November 2008. Various slippages and advances account for the remaining variance.
- Water Pollution Control - Hunts Point Water Pollution Control project, totaling \$3.7 million, advanced from June 2009 to July thru November 2008. Ward's Island Water Pollution Control Plant, totaling \$11.8 million, advanced from June 2009 to July thru November 2008. Reconstruction of water pollution control projects, City-wide, totaling \$20.2 million, advanced from December 2008 and June 2009 to July thru November 2008. Construction of combined sewer overflow abatement, totaling \$11.4 million, advanced from April and June 2009 to September thru November 2008. Twenty Sixth Ward Water Pollution Control Plant, totaling \$3.0 million, advanced from June 2009 to July thru November 2008. Spring Creek Water Pollution Control Plant, totaling \$2.2 million, advanced from June 2009 to July thru November 2008. Engineering, architecture and administrative cost associated with construction at the Department of Environmental Protection, totaling \$3.5 million, advanced from June 2009 to August and September 2008. Construction and reconstruction of pumping stations, City-wide, totaling \$2.6 million, advanced from June 2009 to August thru October 2008. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$609.2 million, advanced from June 2009 to July thru November 2008. Bionutrient removal facilities, City-wide, totaling \$12.2 million, advanced from June 2009 to August thru November 2008. Various slippages and advances account for the remaining variance.

Others

- Manhattan Criminal Court Facility, totaling \$2.7 million, slipped from August and September 2008 to December 2008. Bronx Criminal Court Facility, totaling \$15.9 million, slipped from October 2008 to December 2008. Various slippages and advances account for the remaining variance.
- Improvements of structures for the Administration for Children's Services, City-wide, totaling \$4.7 million, slipped from August and September 2008 to December 2008. Equipment for the Administration for Children's Services, totaling \$6.5 million, slipped from October and November 2008 to June 2009. Various slippages and advances account for the remaining variance.
- Purchase of EDP equipment, totaling \$14.0 million, advanced from June 2009 to July thru November 2008. Emergency communication system and facilities, totaling \$37.2 million, advanced from June 2009 to October 2008. Various slippages and advances account for the remaining variance.
- Deregistration of mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$5.5 million, occurred in September 2008. Installation of water measuring devices, City-wide, totaling \$30.6 million, advanced from June 2009 to July thru November 2008.
- Renovations and construction of the New York Public Libraries Central Branch, totaling \$29.0 million, advanced from June 2009 to October and November 2008. Construction, reconstruction, improvements, acquisitions, outfitting and equipment for libraries in Queens, totaling \$12.8 million, slipped from July and August 2008 to December 2009. Deregistration of contracts for the construction, reconstruction improvements, acquisitions, initial outfitting and the purchase of equipment to Branch Libraries, totaling \$3.2 million, occurred in October 2008 and \$3.1 million, slipped from November 2008 to January 2009. Various slippages and advances account for the remaining variance.
- Improvements to health facilities, totaling \$7.6 million, advanced from December 2008 and January 2009 to July thru November 2008.

- Funding for the 52nd Street Project, totaling \$9.9 million, slipped from September 2008 to January 2009. Alliance of Resident Theaters, totaling \$6.5 million, slipped from August 2008 to January 2009. Improvements to the Brooklyn Museum, totaling \$10.0 million, slipped from August 2008 to January 2009. Improvements to WNYC New York Public Radio, City-wide, totaling \$10.1 million, slipped from October 2008 to December 2008. Improvements to Lincoln Center, totaling \$7.0 million, slipped from August 2008 to January 2009. The Weeksville Master Plan, totaling \$6.8 million, slipped from September 2008 to January 2009.
- Purchase of electronic data processing equipment, totaling \$142.4 million, advanced from June 2009 to July thru November 2008. Purchase of electronic data processing equipment for FISA, totaling \$13.3 million, advanced from June 2009 to July thru November 2008. Financing capital expenditures, totaling \$5.6 million, occurred in August and September 2008.
- Installation of street lighting, City-wide, totaling \$5.3 million, slipped from July and August 2008 to December 2008.

3. Variances in year-to-date commitments of non-City funds through November occurred in the Department of Education, the Department of Transportation and the Department of Information Technology and Telecommunications.

- | | | |
|-----------|---|---|
| Education | - | State funding for the current five year educational plan, totaling \$118.3 million, advanced from December 2008 and January 2009 to November 2008. |
| Others | - | Installation of street lighting, City-wide, totaling \$18.8 million, slipped from July and August 2008 to December 2008. Replacement of parking meters, totaling \$8.4 million, advanced from June 2009 to November 2008. |
| | - | The installation and construction of the ECTP Emergency Communication Systems and facilities, totaling 8.4 million, advanced from June 2009 to September 2008. |

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: NOVEMBER		FISCAL YEAR 2009	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$9.0 (C) 0.0 (N)		\$9.9 (C) 0.0 (N)	\$38.0 (C) 8.1 (N)
HIGHWAY AND STREETS	27.6 (C) 0.4 (N)		106.3 (C) 8.5 (N)	294.2 (C) 73.3 (N)
HIGHWAY BRIDGES	8.5 (C) 2.1 (N)		59.3 (C) 2.7 (N)	317.3 (C) 26.1 (N)
WATERWAY BRIDGES	10.9 (C) 0.7 (N)		66.0 (C) 35.2 (N)	221.6 (C) 144.3 (N)
WATER SUPPLY	7.1 (C) 0.0 (N)		52.2 (C) 0.0 (N)	162.2 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	109.0 (C) 0.0 (N)		509.1 (C) 0.0 (N)	1,084.5 (C) 0.1 (N)
SEWERS	14.1 (C) 0.0 (N)		66.6 (C) 0.1 (N)	95.8 (C) 0.2 (N)
WATER POLLUTION CONTROL	93.7 (C) 1.9 (N)		424.2 (C) 5.0 (N)	992.9 (C) 14.8 (N)
ECONOMIC DEVELOPMENT	13.4 (C) 4.4 (N)		60.5 (C) 20.8 (N)	254.3 (C) 106.2 (N)
EDUCATION	20.3 (C) 379.7 (N)		49.5 (C) 1,150.5 (N)	469.8 (C) 2,464.7 (N)

SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: NOVEMBER		FISCAL YEAR 2009	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
CORRECTION	10.1 (C)		42.3 (C)	90.6 (C)
	0.0 (N)		0.0 (N)	1.0 (N)
SANITATION	14.3 (C)		98.0 (C)	295.0 (C)
	0.0 (N)		0.4 (N)	2.3 (N)
POLICE	9.3 (C)		33.2 (C)	232.7 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
FIRE	12.1 (C)		52.7 (C)	118.2 (C)
	2.5 (N)		5.6 (N)	12.0 (N)
HOUSING	9.6 (C)		111.2 (C)	293.7 (C)
	1.4 (N)		23.3 (N)	82.3 (N)
HOSPITALS	20.6 (C)		56.2 (C)	111.9 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
PUBLIC BUILDINGS	5.9 (C)		37.7 (C)	189.4 (C)
	0.0 (N)		0.5 (N)	0.5 (N)
PARKS	21.8 (C)		160.9 (C)	501.6 (C)
	1.5 (N)		11.9 (N)	71.0 (N)
ALL OTHER DEPARTMENTS	75.0 (C)		496.7 (C)	1,485.3 (C)
	1.3 (N)		27.6 (N)	108.6 (N)
TOTAL	\$492.0 (C)		\$2,492.6 (C)	\$7,249.2 (C)
	\$396.0 (N)		\$1,292.0 (N)	\$3,115.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

Report No. 6 & 6A

Month-by-Month Cash Flow Forecast

**NEW YORK CITY
MONTH-BY-MONTH CASH FLOW FORECAST
REPORT NO. 6**

(MILLIONS OF DOLLARS)

**MONTH: NOVEMBER
FISCAL YEAR 2009**

	ACTUAL					FORECAST							12	ADJUST-	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Months	MENTS	
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$3,835	\$133	\$642	\$248	\$6	\$2,321	\$3,004	\$28	\$658	\$323	\$26	\$2,825	\$14,049	\$564	\$14,613
OTHER TAXES	489	1,048	3,201	1,217	1,269	2,547	2,472	991	2,094	2,122	916	3,477	21,843	422	22,265
FEDERAL GRANTS	157	88	205	179	336	371	360	402	514	533	396	472	4,013	1,803	5,816
STATE GRANTS	150	317	841	269	462	671	327	189	3,394	647	1,485	998	9,750	1,918	11,668
OTHER CATEGORICAL	51	77	35	25	29	71	142	35	49	97	53	93	757	318	1,075
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	-	-	-	-	(5)	(10)	(15)	340	325
MISCELLANEOUS REVENUES	618	302	382	329	335	230	354	220	263	343	540	298	4,214	-	4,214
CAPITAL INTER-FUND TRANSFERS	-	14	19	25	32	19	69	22	27	33	42	21	323	141	464
SUBTOTAL	5,300	1,979	5,325	2,292	2,469	6,230	6,728	1,887	6,999	4,098	3,453	8,174	54,934	5,506	60,440
PRIOR															
OTHER TAXES	504	231	17	-	-	-	-	-	-	-	-	-	752	-	752
FEDERAL GRANTS	302	180	320	276	113	106	115	33	42	67	28	86	1,668	293	1,961
STATE GRANTS	120	293	449	160	106	144	71	132	157	35	66	76	1,809	796	2,605
OTHER CATEGORICAL	6	12	67	6	3	4	13	26	10	8	8	44	207	122	329
UNRESTRICTED	-	-	63	-	-	179	-	-	-	-	-	-	242	-	242
MISC. REVENUE/CAPITAL IFA	101	-	-	-	-	-	-	-	-	-	-	-	101	(101)	-
SUBTOTAL	1,033	716	916	442	222	433	199	191	209	110	102	206	4,779	1,110	5,889
CAPITAL															
CAPITAL TRANSFERS	514	110	1,194	803	631	639	523	725	859	734	468	726	7,926	(677)	7,249
FEDERAL AND STATE	273	227	91	359	332	64	80	413	425	391	133	492	3,280	(165)	3,115
OTHER															
SENIOR COLLEGES	419	1	-	1	290	1	123	252	252	316	1	429	2,085	(418)	1,667
HOLDING ACCT. & OTHER ADJ.	5	(1)	(3)	23	(14)	(10)	-	-	-	-	-	-	-	-	-
OTHER SOURCES	-	33	182	135	-	-	-	-	-	-	-	-	350	-	350
TOTAL INFLOWS	\$7,544	\$3,065	\$7,705	\$4,055	\$3,930	\$7,357	\$7,653	\$3,468	\$8,744	\$5,649	\$4,157	\$10,027	\$73,354	\$5,356	\$78,710
CASH OUTFLOWS															
CURRENT															
PS	\$1,378	\$1,819	\$2,513	\$3,095	\$2,600	\$2,655	\$2,805	\$2,659	\$2,669	\$2,644	\$3,316	\$3,913	\$32,066	\$2,408	\$34,474
OTPS	1,170	1,496	1,837	1,896	1,668	1,934	2,028	1,940	2,431	1,980	1,877	2,657	22,914	1,345	24,259
DEBT SERVICE	33	11	12	14	31	14	16	12	12	137	59	1,356	1,707	-	1,707
SUBTOTAL	2,581	3,326	4,362	5,005	4,299	4,603	4,849	4,611	5,112	4,761	5,252	7,926	56,687	3,753	60,440
PRIOR															
PS	1,307	1,249	41	25	195	20	186	107	50	50	35	35	3,300	-	3,300
OTPS	948	335	35	-	157	45	80	200	50	50	50	50	2,000	-	2,000
OTHER TAXES	88	221	-	-	-	-	-	-	-	-	-	-	309	-	309
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,115	1,115
SUBTOTAL	2,343	1,805	76	25	352	65	266	307	100	100	85	85	5,609	1,115	6,724
CAPITAL															
CITY DISBURSEMENTS	490	495	485	531	492	615	671	772	512	743	606	837	7,249	-	7,249
FEDERAL AND STATE	445	22	403	27	396	438	70	443	65	442	110	254	3,115	-	3,115
OTHER															
SENIOR COLLEGES	103	164	80	256	107	113	130	130	130	129	195	130	1,667	-	1,667
OTHER USES	123	-	-	-	186	-	-	-	-	-	-	41	350	-	350
TOTAL OUTFLOWS	\$6,085	\$5,812	\$5,406	\$5,844	\$5,832	\$5,834	\$5,986	\$6,263	\$5,919	\$6,175	\$6,248	\$9,273	\$74,677	\$4,868	\$79,545
NET CASH FLOW	\$1,459	(\$2,747)	\$2,299	(\$1,789)	(\$1,902)	\$1,523	\$1,667	(\$2,795)	\$2,825	(\$526)	(\$2,091)	\$754	(\$1,323)	\$488	(\$835)
BEGINNING BALANCE	\$5,359	\$6,818	\$4,071	\$6,370	\$4,581	\$2,679	\$4,202	\$5,869	\$3,074	\$5,899	\$5,373	\$3,282	\$5,359		
ENDING BALANCE	\$6,818	\$4,071	\$6,370	\$4,581	\$2,679	\$4,202	\$5,869	\$3,074	\$5,899	\$5,373	\$3,282	\$4,036	\$4,036		

**NEW YORK CITY
MONTH-BY-MONTH CASH FLOW FORECAST
SELECTED DETAIL
REPORT NO. 6A**

(MILLIONS OF DOLLARS)

**MONTH: NOVEMBER
FISCAL YEAR 2009**

	JUL	AUG	ACTUAL SEP	OCT	NOV	DEC	JAN	FEB	FORECAST MAR	APR	MAY	JUN	12 Months	ADJUST- MENTS	TOTAL
SENIOR COLLEGES															
SENIOR COLLEGES COST (OUTFLOW)	(103)	(164)	(80)	(256)	(107)	(113)	(130)	(130)	(130)	(129)	(195)	(130)	(1,667)	-	(1,667)
SENIOR COLLEGES INFLOW - CURRENT	1	-	-	-	-	-	118	252	252	316	1	429	1,369	298	1,667
SENIOR COLLEGES INFLOW - PRIOR	418	1	-	1	290	1	5	-	-	-	-	-	716	(716)	-
NET SENIOR COLLEGES	316	(163)	(80)	(255)	183	(112)	(7)	122	122	187	(194)	299	418	(418)	-
CAPITAL															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	631	1,051	700	87	523	400	625	300	450	462	300	5,529	1,192	6,721
(INC)/DEC RESTRICTED CASH	39	(708)	(77)	(97)	94	(154)	2	100	559	284	6	426	474	(1,869)	(1,395)
SUBTOTAL	39	(77)	974	603	181	369	402	725	859	734	468	726	6,003	(677)	5,326
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	334	389	350	338	-	-	-	-	-	-	-	1,411	-	1,411
(INC)/DEC RESTRICTED CASH	475	(147)	(169)	(150)	112	270	121	-	-	-	-	-	512	-	512
SUBTOTAL	475	187	220	200	450	270	121	-	-	-	-	-	1,923	-	1,923
TOTAL CITY CAPITAL TRANSFERS	514	110	1,194	803	631	639	523	725	859	734	468	726	7,926	(677)	7,249
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	23	86	91	359	332	64	80	413	425	391	133	492	2,889	226	3,115
PRIOR	250	141	-	-	-	-	-	-	-	-	-	-	391	(391)	-
TOTAL FEDERAL AND STATE INFLOWS	273	227	91	359	332	64	80	413	425	391	133	492	3,280	(165)	3,115
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(490)	(495)	(485)	(531)	(492)	(615)	(671)	(772)	(512)	(743)	(606)	(837)	(7,249)	-	(7,249)
FEDERAL AND STATE	(445)	(22)	(403)	(27)	(396)	(438)	(70)	(443)	(65)	(442)	(110)	(254)	(3,115)	-	(3,115)
TOTAL OUTFLOWS	(935)	(517)	(888)	(558)	(888)	(1,053)	(741)	(1,215)	(577)	(1,185)	(716)	(1,091)	(10,364)	-	(10,364)
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	24	(385)	709	272	139	24	(148)	(47)	347	(9)	(138)	(111)	677	(677)	-
NET NON-CITY CAPITAL	(172)	205	(312)	332	(64)	(374)	10	(30)	360	(51)	23	238	165	(165)	-
NET TOTAL CAPITAL	(148)	(180)	397	604	75	(350)	(138)	(77)	707	(60)	(115)	127	842	(842)	-

NOTES TO REPORT #6/6A

1. **Beginning Balance**

The July 2008 beginning balance is consistent with the FY 2008 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2009 ending balance includes deferred revenue from FY 2010 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.