

Proposed Consolidated Plan

Annual Performance Report 2013
Volume 1



NYCTM PLANNING
DEPARTMENT OF CITY PLANNING CITY OF NEW YORK

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Proposed Consolidated Plan

2013

Annual Performance Report

Volume 1



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Proposed 2013 Consolidated Plan Annual Performance Report (APR)

March 31, 2014

VOLUME 1

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Credits

PREFACE

This 2013 Proposed Consolidated Plan Annual Performance Report (APR) reports on the City of New York's performance in using the funds from the four U.S. Department of Housing and Urban Development's (HUD) Office of Community Planning and Development Entitlement Programs: Community Development Block Grant (CDBG); HOME Investment Partnerships (HOME); Emergency Solutions Grant (ESG); and Housing Opportunities for Persons with AIDS (HOPWA). The performance is based on funds received, committed and accomplishments made as reported in the 2013 Consolidated Plan whose program year was from January 1, 2013 to December 31, 2013.

Federal regulations require the City to submit an APR to HUD no later than 90 days after the end of the program year. Therefore, this document was submitted to HUD on March 31, 2014 as per federal requirements.

This is fifteenth year the City of New York has used HUD's budgeting system called, the Integrated Disbursement and Information System (IDIS). As with the City's 1999 APR, the required elements of the 2013 APR come from the reports generated from IDIS.

The public comment period was from March 12, 2014 to March 26, 2013. A letter announcing this comment period was sent to over 2,000 New York City residents, organizations, and public officials. In addition, the public comment period was announced in three local citywide newspapers, one English-language, one Spanish-language and one Chinese-language.

Any questions on the content or substance of the *Proposed 2013 Consolidated Plan APR* should be directed to:

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Written comments on the *Proposed 2013 Consolidated Plan APR* were to be sent to Mr. Sorrentino at the Department of City Planning, 22 Reade Street 4N, New York, New York 10007. In addition, written comments could also be submitted via email to: 2013ConPlanAPR@planning.nyc.gov.

Comments received by the end of the comment period (close of business) regarding the City's use of these federal funds were to be summarized and Agencies' responses incorporated into this document for submission to HUD. However, no public comments were received during the comment period.

INTRODUCTION

This Proposed 2013 Consolidated Plan Annual Performance Report (APR) reports on the performance of the four Entitlement Programs: Community Development Block Grant (CDBG); HOME Investment Partnerships (HOME); Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA). The activities described occurred from January 1, 2013 to December 31, 2013.

This Report is a five-part document which contains a summary of the programmatic accomplishments for projects and evaluates New York City's performance in meeting its priorities and objectives.

Volume One contains Part I, Annual Performance in its entirety. Part I describes New York City's performance based on the resources available in 2013. The volume also includes the HUD-mandated HOPWA-specific performance report: *Housing Opportunities for Persons with AIDS – Consolidated Annual Performance and Evaluation Report (HOPWA-CAPER)*, and the recently implemented IDIS electronic performance reporting modules for the City's ESG funded programs (*ESG eCAPER*).

Volume Two contains Part II. The Status of Actions Undertaken in Previous Year, which summarizes the actions taken to implement the Plan, including the City's review of its Continuum of Care. Parts III. Evaluation of Annual Performance; Part IV. Affirmatively Furthering Fair Housing (AFFH); and, Part V. Citizen Participation, are also contained within this volume, respectively.

The Evaluation of Annual Performance assesses the City's effectiveness in meeting the one-year action plan for allocating the funds, assisting households and persons with housing, and providing other services. The Affirmatively Furthering Fair Housing provides a one-year update to the City's activities and actions to promote fair housing choice. Citizen Participation includes the census tract maps of each borough which include the areas of directed assistance and other HUD requirements.

There are five volumes of appendices. Volume III., has been divided into four volumes totaling over 1,000 pages. These four volumes include only data on the Community Development Block Grant Program and contain the "offline" information on site-specific expenditures, accomplishments and site lists. This data was too voluminous to enter into the Integrated Disbursement and Information System's (IDIS) limited accomplishments fields. To request a copy of Volumes II., II.A., II.B., and II.C., please call (212) 788-6152.

The last volume, Volume III, includes only the IDIS reports. The IDIS reports included in this Appendix are: seven reports reporting data on each of the Entitlement Programs; two reports on ESG data only; and three reports on HOME data only. Volume III (parts A, and B) is approximately 200 pages, and will be provided upon request. Please call 212-720-3337 for this volume.

PART I -- Annual Performance for the 2013 Consolidated Plan

Part I is divided into three sections: A. Assessment of Entitlement Programs; B. Other Funding Sources; and C. Progress in Providing Affordable Housing. These sections describe the City's allocation of the funds received from HUD, the State, private entities, and City capital and expense budgets to address the goals and objectives outlined in the 2010 five-year Consolidated Plan strategic plan. The programs designed to address the five-year Strategy are described in the 2013 Consolidated Plan Action Plan. There are approximately 70 housing, homeless, supportive housing, and community development programs. The City's intention is to provide decent housing, suitable living environments and expand economic opportunities for its residents. The funds allow for the provision of additional housing, homeless services, and supportive services to the inhabitants of New York and assists in meeting our goals to address the needs of the City's population, especially the low-income population.

A. Assessment of Entitlement Programs

This is the fourteenth year that the City is using HUD's Integrated Disbursement and Information System (IDIS) for reporting its performance for the CDBG, HOME, ESG, and HOPWA Programs. Since several IDIS reports are complicated and difficult for the general public to comprehend, the City has provided the essential information on each HUD Entitlement Program, in DCP Tables 1 - 11. Two tables which include the most essential variables are: 1) the Program Expenditures, and 2) Program Accomplishments Tables (a.k.a., DCP Tables # 1 and 2, respectively). These tables provide the information required by HUD. The IDIS reports required for public review are C04PR06 CP Annual Performance and Evaluation Report and C04PR23 Summary of Accomplishments. The reports are located in Volume III: HUD's Integrated Disbursement and Information System (IDIS) Reports. Volume III is approximately 200 pages, and will be provided upon request. Please call 212-720-3337 for this volume. A third report required for public review is the C04PR03 Summary of Activities. The Summary of Activities may be found in Volume II: CD Addenda. Please call (212) 788-6152 to obtain a copy. Only one copy of the Addenda and Appendices will be provided to each individual or organization.

It should be noted that at the end of 2009 HUD completed an upgrade to the IDIS reporting platform. However, as the result of system modifications, there were certain inconsistencies between the data entered by the formula entitlement grant administering agencies and the data presented on the various reports. Therefore, the reader is advised to interpret the data depicted in Volume III with caution. Furthermore, where necessary, the City of New York relied on its background data and not the data generated by the IDIS report.

This Volume, One, provides complete information on the City's 2013 performance with entitlement funds. This Assessment section defines the Entitlement funds received, allocated, committed and expended/drawn down/distributed and accomplishments for the 2012 Consolidated Plan year, January 1, 2013 to December 31, 2013. It includes: assessment of progress in fulfilling the vision of five-year strategy; adjustments in funding; accomplishments; and nature and reasons for changes to program objectives. The City has reviewed, as it does every year, the progress made with the entitlement funds to address the priorities and objectives stated in the five-year strategy as described in the 2010 Consolidated Plan.

In addition, included is the second requirement which is to describe the adjustments made in each program's funding. Each agency that administers an entitlement grant has described the program differences, if any, between the allocation as indicated in the 2013 Consolidated Plan, and the actual funding amounts committed, and any differences in how the funds were expended/drawn down/distributed. It should be noted the 2013 allocation for the proposed activities is based on the actual monies received by the respective formula grant programs for FFY2013. The actual programmatic allocations were incorporated into the amended 2013 Consolidated Plan. The amended plan was released in August 2013. The Program Expenditures Table (a.k.a., DCP Table # 1) lists all the expenditures by program.

For the Community Development Block Grant, and HOME Investment Partnership, each program's accomplishments is reported and the differences between the proposed, amended and the actual accomplishments were explained if the difference was greater than 25 percent. These proposed accomplishments, listed on Program Accomplishments Table (a.k.a., DCP Table #2), were reported in the Proposed 2013 Consolidated Plan and represent the City's estimation for the year, January 1, 2013 to December 31, 2013. The amended accomplishments reflect accomplishments funded by the actual HUD monies received for the respective formula grant programs for FFY2013. However, the accomplishments may have been completed with prior year funding, program income, accruals and disallowances.

In 2007, HUD released new annual performance reporting requirements for the Housing Opportunities for Persons with AIDS program which differed from the HUD Office of Community Planning and Development (CPD) annual performance reporting guidelines used in previous years to assess the provision of housing and supportive housing services to persons living with HIV/AIDS (PLWAs). The new reporting guidelines superseded DCP Tables 1 and 2 (Expenditures and Accomplishments, respectively) with a new HUD-defined accomplishment and expenditure chart, and required localities to assess their accomplishments based on HUD-defined client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care. In addition, the assessment for the HOPWA program differs from previous APRs in that the accompanying HOPWA narrative and charts aggregates the data for New York City and the HOPWA programs of the upstate EMSA Counties of Putnam, Rockland and Westchester. Therefore, the reader is advised to please interpret the data with caution.

For the 2013 Consolidated Plan Program Year entitlement grantee localities were required to complete and submit the Emergency Solutions Grant (ESG) portion of the annual Consolidated Annual Performance and Evaluation Report (CAPER) electronically through HUD's eCon-Planning/eCAPER Suite in the Integrated Disbursement and Information System (IDIS) regardless if they had used the eCon-Planning Suite to formulate and submit their 2013 Consolidated Plan One-Year Action Plan. Similar the HOPWA performance report the ESG eCAPER supersedes DCP Tables 1 and 2 (Expenditures and Accomplishments, respectively) with new HUD-defined accomplishment and expenditure charts. In addition, HUD ESG regulations require municipalities that receive Emergency Solutions Grant entitlement funds to disburse the funds within two years from the start of the given year's grant agreement. The City of New York expends ESG funds on a 'first-in-first-out' (FIFO) basis. Therefore, the City did not use 2013 ESG monies to fund the eligible activities until the 2012 funds are fully expended. However, the requested 2013 ESG program year funds will be completely expended by the end of 2014. Therefore, the reader is advised to please interpret the expenditure data with caution.

Additional income, race, and ethnicity information on the accomplishments for all four entitlement programs can be found in Section C., Progress in Providing Affordable Housing. Lastly, the agencies described any reasons for substantial changes to the program objectives. The definition of a substantial change can be found in the citizen participation section of the 2013 Consolidated Plan. Such a change is defined by a change in any site or area from one borough to another; the deletion or addition of an activity; and the reduction of greater than 50% of any total activity category of funding.

HUD Performance Outcome Measurement System

For the 2013 Consolidated Plan Program Year the City of New York was required to use the U.S. Department of Housing and Urban Development's Performance Outcome Measurement System. The Performance Outcome Measurement System was developed to enable HUD to collect and aggregate standardized performance data on entitlement-funded activities from all entitlement grantees nationwide for use in reporting to Congress on the effectiveness of its formula entitlement programs in meeting the Department's strategic objectives.

The outcome performance measurement system includes objectives, outcome measures and performance indicators that describe the intended outputs of the various entitlement funded activities. Formula entitlement grantees were required to categorize their respective grant program's activities by three (3) federally-defined

objectives: creating Suitable Living Environment; providing Decent Affordable Housing; and Creating Economic Opportunities which, combined with the three (3) performance outcome categories, Accessibility/Availability; Affordability; and Sustainability, created nine (9) performance measurement statements. In addition to determining their respective entitlement program's performance outcome measurement, localities were required to collect and enter into the HUD Integrated Disbursement and Information System (IDIS) accomplishment data according to eighteen (18) federally-defined Performance Indicator categories. Performance Indicator categories encompass housing construction and rehabilitation, public services and facilities, business/economic development, and homelessness prevention-related activities.

In the fall of 2006 the City of New York implemented HUD's Performance Outcome Measurement System in preparation for its 2007 Consolidated Program Year-funded activities. Beginning January 1, 2007 the City began collecting data on its programs according to the nine (9) federally-defined performance measurement statements and intended to report on Performance Indicator data in its Proposed 2007 Consolidated Plan Annual Performance Report for the 2007 Program Year's activities (the first full year of collecting Performance Indicator data). However, as mentioned previously there are various report regeneration inconsistencies within the IDIS system. Therefore, until the IDIS reports with the necessary Performance Indicators accomplishment data become available, the City of New York has formulated its APR for the entire 2013 Consolidated Plan Program Year using the reporting requirements and format that were used to report on the City's 2006 Program Year.

2013 Formula Entitlement Program Expenditures by HUD Performance Outcome Objective Statement

As mentioned previously, HUD's Performance Outcome Measurement System required formula entitlement grantees to categorize their respective grant program's activities by three (3) federally-defined objectives: creating Suitable Living Environment; providing Decent Affordable Housing; and Creating Economic Opportunities which, combined with the three (3) performance outcome categories, Accessibility/Availability; Affordability; and Sustainability, created nine (9) performance measurement statements. The nine performance outcome measurement statements are:

- Accessibility for the purpose of providing Decent Affordable Housing
- Affordability for the purpose of providing Decent Affordable Housing
- Sustainability for the purpose of providing Decent Affordable Housing
- Accessibility for the purpose of creating Suitable Living Environments
- Affordability for the purpose of creating Suitable Living Environments
- Sustainability for the purpose of creating Suitable Living Environments
- Accessibility for the purpose of creating Economic Opportunities
- Affordability for the purpose of creating Economic Opportunities
- Sustainability for the purpose of creating Economic Opportunities

For New York City's 2010-2014 Consolidated Plan Five-Year Strategy Plan, the City organized its housing, homelessness, supportive housing and community development strategic objectives according the nine performance outcome measurement statements as per HUD requirements. The objectives are articulated in the 2010 Consolidated Plan, Volume 2, Part II., Five-Year Strategic Plan – Priorities and Actions, HUD Table 1C., Summary of Specific Objectives by Performance Outcome Measurement Code.

As mentioned above, the IDIS reports which report on Performance Indicator data have not been modified to display the outcome objectives/indicators mandated as a result of the performance measurement initiative. However, the following Performance Outcome Objective Statements for the respective formula entitlement programs were formulated by aggregating the respective programs' expenditures by their assigned Outcome Objective Statement.

2013 Formula Entitlement Program Expenditures by HUD Performance Outcome Objective Statement

Community Development Block Grant (CDBG)

- Four programs expended an accumulative total of approximately \$947,000 for the purpose of providing increased or new accessibility to decent affordable housing.
- Eight programs expended an accumulative total of approximately \$34,333,200 for the purpose of providing increased affordability for decent housing.
- Three programs funded for the full program year expended an accumulative total of approximately \$37,935,200 for the purpose of providing sustainability of decent affordable housing.
- Eleven programs expended an accumulative total of approximately \$21,596,700 for the purpose of creating/improving accessibility to suitable living environments. In addition, one program funded for half of the program year expended a total of \$374,269 for the purpose of creating/improving accessibility to suitable living environments.
- One program expended a total of approximately \$2,723,200 for the purpose of creating/improving affordability for suitable living environments.
- Six programs expended approximately \$10,323,200 for the purpose of creating/improving sustainability of suitable living environments.
- Three programs funded for the full program year expended approximately \$4,822,900 for the purpose of creating/improving accessibility to economic opportunity.
- Four programs expended an accumulative total of approximately \$49,925,200 to undertake CDBG-eligible housing and community development non-housing activities for which there is no appropriate HUD Performance Indicator or applicable HUD-defined outcome/objective statement.

HOME Investment Partnerships (HOME)

- Four programs expended an accumulative total of approximately \$48,699,600 for the purpose of providing increased or new accessibility to decent affordable housing.
- Four programs expended an accumulative total of approximately \$13,695,200 for the purpose of providing increased affordability of decent housing.
- Two programs expended approximately \$4,077,100 for the purpose of increased sustainability of decent affordable housing.

Emergency Shelter Grant (ESG)

- One program expects to receive a cumulative total of \$1,702,830, for the purpose of providing accessibility to decent affordable housing.
- One program expects to receive a cumulative total of \$1,947,498 for the purpose of providing sustainability for decent affordable housing.
- Two programs expect to receive a cumulative total of \$7,912,206 for the purpose of providing accessibility for suitable living environments.

Housing Opportunities for Persons with AIDS (HOPWA)

For the evaluation of New York City's Housing Opportunities for Persons with AIDS (HOPWA) grant-funded performance in 2013, please refer to Volume 1., Part I.A.4., HOPWA Consolidated Annual Performance and Evaluation Report (CAPER).

1. COMMUNITY DEVELOPMENT BLOCK GRANT (CD or CDBG)

The City's Consolidated Plan 2013 Year (calendar year) is the same as the Thirty-Ninth Community Development Year (CD 39). The City had projected in the 2013 Proposed Consolidated Plan that it would receive \$149,703,245 in FFY '13. To supplement the FFY '13 Entitlement, the City had projected that a total of \$76,593,000 would be available from program income and accruals. Thus, the City projected that a total of

\$226,296,245 would be available for allocation to programs in 2013/CD 39. To satisfy HUD's APR reporting requirements, the City also projected that there would be \$672,252 available under the Neighborhood Housing Services (NHS) Revolving Loan Fund (which did not receive a 2013/CD 39 allocation). Therefore, the total 2013/CD 39 budget was projected to be \$226,968,497.

The actual FFY '13 CDBG Entitlement grant for New York City was \$157,053,419 (line 1, column B of the Summary Table of Funding Resources). A total of \$64,068,903 was actually available from program income (including \$348,688 in NHS funds) and accruals to supplement the '13 Entitlement. Thus, the total funds available in 2013/CD 39 were \$221,122,322.

The programs that are reported on in this document are only those that received an allocation in 2013 and relate to the objectives stated in the 2010 Consolidated Plan. Programs that are still spending their remaining funds from allocations made prior to 2013 can be found in the CD Addenda and Appendices. The CD Addenda also provides more detail on program accomplishments. The Addenda includes the "Activity Summary (GPR) for Grantee Number: 364436/0001", a HUD Integrated Disbursement Information System (IDIS) generated report. The Addenda also includes other "offline" information on site-specific expenditures and accomplishments that were too voluminous to enter into IDIS' limited accomplishments fields.

The Appendices also contain other "offline" HUD-required CD information. Volume II, Appendix A contains site addresses for the Emergency Repair Program; Litigation; Private Buildings Seal-Up; and Targeted Code Enforcement (partial list, continued in Volume II, Appendix B). Volume II, Appendix B contains site addresses for three HPD areas of responsibility: Targeted Code Enforcement (balance of sites); Division of Property Management, and Property Disposition and Finance. Volume II, Appendix C contains site addresses for DSNY's Vacant Lot Clean-Up Program and DPR's Land Restoration Program and GreenThumb, CD eligibility determinations for census tracts linked to the addresses found in the first two Appendices for the Emergency Repair Program, Litigation, Private Buildings Seal-Up, Targeted Code Enforcement, Division of Property Management, Property Disposition and Finance, Neighborhood Vacant Lot Clean-Up, Land Restoration Program, and GreenThumb.

These volumes total to over 1,000 pages and will only be provided upon request. Please call (212) 788-6152 to obtain a copy of the Addenda or the Appendices. Only one copy of the Addenda and Appendices will be provided to each individual or organization.

The overall goal of the CD Program is that the cumulative actions of the various CD-funded activities will improve the overall conditions and quality of life in low- and moderate-income neighborhoods. Through property rehabilitation, historic preservation, vacant lot clean-up, and the provision of a variety of services to the residents of these areas, CD funds assist in the short- and long-term preservation and improvement of low- and moderate-income neighborhoods.

The 2013 Program Accomplishments Table, (see page I-23) includes the proposed, amended, and actual accomplishments for the CD-funded programs. Those programs that exceeded or failed to meet their proposed accomplishments by 25% or more are also discussed below. Additional information on the performance of the CD programs is contained in the CD Addenda and Appendices.

The CD 39/2013 Program Expenditure Table can be found on page I-20.

Summary of Specific Objectives by Performance Outcome Measurement Code

Following are the five-year CD program objectives, which were identified in the 2010 Consolidated Plan in Table 1C: "Summary of Specific Objectives by Performance Outcome Measurement Code". After each is an indication of how CD funds are currently used to meet those objectives and, if applicable, how those objectives have changed. Programs that have been added or deleted during 2013 are also discussed.

DH-1 Availability/Accessibility for the purpose of providing Decent Affordable Housing

Continue to promote long-term, community-based residential options with supporting services for the elderly who need help with daily living activities, housekeeping, self-care, social services and other assistance in order to continue to live independently in the community.

The New York City Housing Authority operates the Elderly Safe-at-Home and Senior Resident Advisor Programs. Elderly Safe-at-Home's trained paraprofessionals provide the elderly at seven NYCHA developments in the Bronx with a variety of services including crime prevention education, crisis intervention, assistance with benefits and entitlements, assistance in daily living, and escort to medical facilities. The program proposed that 2,547 people would be served in 2013. A total of 2,556 people were assisted. There were a total of 13,956 units of service provided in 2013.

The Senior Resident Advisor Program operates in 22 NYCHA developments citywide (12 are CD-funded) that are exclusively for elderly and disabled tenants. Advisors provide 24-hour care at selected live-in sites and 9-5 coverage at non-live-in sites. Advisors intervene in crisis situations and provide case management according to a plan devised by the program's Social Work Supervisor. The program estimated that 2,561 people would benefit. A total of 2,654 people were assisted in 2013. There were 24,660 units of service provided.

Continue to fund the removal of architectural barriers in rental dwellings and owner-occupied residences, thereby helping people with disabilities to remain in their homes and to maintain their independence.

The Mayor's Office for People with Disabilities operates Project Open House, which removes architectural barriers from the homes of New York City residents who have mobility impairments. The program collaborates with the Department of Housing Preservation and Development, using their contractors to complete the modifications. The program estimated that 10 rental units and 10 owner-occupied units would be modified. The actual accomplishments were 0 owner-occupied units and 0 renter-occupied units completed. Work was substantially complete in 4 owner-occupied units and in 4 rental buildings encompassing 69 units. Additionally, work was underway in one owner-occupied unit. Final payment for all projects will be made in 2014.

DH-2 Affordability for the Purpose of Providing Decent Affordable Housing

Continue to educate builders, landlords, architects, and people with disabilities about fair housing issues as they relate to people with disabilities.

The Mayor's Office for People with Disabilities' Housing, Information and Education provides information to the public regarding housing accessibility. Information includes that related to housing discrimination, fair housing laws, barrier removal programs, and technical and legal guidance relating to the design and construction of accessible and affordable housing. The program estimated that 35,500 people would be assisted. There were 210,946 units of service in 2013: 1,168 letters were sent, there were 188,292 website hits, 21,448 instances of phone outreach, and 38 walk-in visits. In addition, MOPD hosted an annual Disability Mentoring Day, which paired over 800 disabled students and job-seekers with employers throughout the City as part of the effort to fight for increased employment opportunities for the disabled community. The agency also held its first ever Housing Symposium, which was designed to educate disabled individuals about available affordable housing options within the City and was attended by over 250 persons. Finally, MOPD hosted the new "Access to Independence" expo, which informed over 200 New Yorkers with disabilities about accessible transportation options.

Preserve and improve the existing supply of both occupied and vacant privately-owned affordable housing. Administer a variety of loan and grant programs through the Department of Housing Preservation and Development (HPD) to enable not-for-profit groups and qualified for-profit owners to rehabilitate and improve

the existing supply of occupied and vacant privately-owned residential properties (including the reduction of potential hazards such as lead-based paint poisoning) for very low-, low- and moderate-income New Yorkers.

Pursue a special intervention strategy of education (including education on the City's Lead Paint law), investment, and enforcement for targeted projects that have been identified as in jeopardy of being abandoned.

As part of HPD's Anti-Abandonment efforts, CD and City Capital Budget funds are used under the 7A Program for renovations and for systems repair/replacement in buildings that have been abandoned by their owners. The goal is to ultimately sell the buildings to responsible owners. In 2013, rehabilitation was completed in one building (six units) and seven projects were underway. HPD had projected 95 units would be renovated with CD funds; however, a number of projects were completed using City Capital funding instead. Program income is generated when loans are repaid by buildings that can support such a repayment.

Maintain the stock of HPD-managed, City-owned buildings until they are ready to be transferred to the Division of Alternative Management Programs (now known as Property Disposition and Finance).

In Rem Property Management

CD funds are used by the Department of Housing Preservation and Development (HPD) to operate and maintain City-owned in rem (tax foreclosed) housing until it can be sold to responsible owners. In 1995, there were over 26,000 units in occupied buildings within HPD's Central Management inventory. The City's disposition efforts have substantially reduced the in rem occupied building inventory. As of 12/31/05, there were 999 units. By 12/31/13 disposition efforts had reduced the inventory to 26 occupied buildings with 114 units (65 occupied and 49 vacant). The CD-funded Superintendent Contract, Material Management and Procurement, Property Management, Building Maintenance and Repair and Project Support Programs assist in providing for the operation and maintenance of the buildings in HPD's Central Management inventory.

Use CDBG funds to maintain and City Capital funds to rehabilitate and return the stock of City-owned buildings to a range of responsible private owners in order to improve living conditions in these buildings while maintaining affordability for very low-, low-, and moderate-income tenants. Continue, through HPD, to accelerate the sale of its in rem buildings to tenants, nonprofit organizations and selected for-profit owners.

To further the goal of selling City-owned residential (in rem) buildings to tenants and nonprofits, CD funds continue to be used by HPD to pay for fuel and utilities and minor repairs in buildings in the Division of Property Disposition and Finance inventory. As of 12/31/13, there were 260 buildings (217 City-owned and 43 privately-owned) with a total of 3,716 housing units in all of the Property Disposition and Finance programs. Of the 3,716 units, 3,266 were City-owned (reflected in the Accomplishments Chart). Of the 3,266 City-owned units, 2,819 were occupied and 447 were vacant. Under the Tenant Interim Lease Program, there were 131 buildings and 2,144 units in the program as of 12/31/13. There were no TIL units in which rehabilitation was completed (with City Capital funds). There were no TIL buildings sold in 2013. CD program income is generated when TIL buildings are sold to tenants.

Prevent displacement and reduce cost burdens for low- and moderate-income New Yorkers by finding ways to enable special needs populations, such as youth aging out of foster care and formerly homeless households, to afford to live in permanent housing.

The Housing Litigation Program was renamed Litigation in 2011. When landlords fail to correct code violations, HPD's Housing Litigation Division represents the City in housing code compliance actions instituted by HPD in the Housing Part of the Civil Court. In 2013, a total of 14,840 cases were litigated, resulting in the elimination of 55,782 code violations. They involved heat and hot water cases, tenant-initiated actions, violations for failing to register multiple-dwelling buildings, obtaining access warrants, and comprehensive cases. These cases impacted a total of 141,960 units, compared to the 124,500 units that were projected. Program income is generated from civil penalties assessed against property owners for violations of the State and City housing codes.

Under the HPD Emergency Shelters program, HPD provides emergency relocation services to tenants displaced as a result of fires or vacate orders issued by the Department of Buildings, Fire Department, or HPD. In 2013, there were 491 families and 749 adults (1,240 households) that received relocation assistance. A total of 225 families and 194 adults (419 households) were later relocated to permanent housing.

DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing

Preserve and improve the existing supply of both occupied and vacant privately-owned affordable housing. Administer a variety of loan and grant programs through the Department of Housing Preservation and Development (HPD) to enable not-for-profit groups and qualified for-profit owners to rehabilitate and improve the existing supply of occupied and vacant privately-owned residential properties (including the reduction of potential hazards such as lead-based paint poisoning) for very low-, low- and moderate-income New Yorkers. Pursue a special intervention strategy of education (including education on the City's lead paint law) investment, and enforcement for targeted projects that have been identified as in jeopardy of being abandoned.

The Emergency Repair Program (ERP) works to ensure that immediately hazardous "Class C" violations are corrected by private landlords. When there is no voluntary compliance, CD-funded repairs are made by the Emergency Repair Bureau. HPD projected that 15,000 units would be repaired by HPD in 2013. The actual number was 8,934. In addition, HPD projected that there would be 2,700 lead jobs. There were 1,222 lead jobs completed in 2013. HPD also sealed 20 privately-owned buildings. The program failed to reach its proposed accomplishments because the agency has no control over the number of complaints made to 311, which ultimately drive the number of repairs completed. Additionally, in 2012, HPD increased the administrative fees that are charged to building owners when ERP jobs are completed. The increase in administrative fees may have led more owners to perform the work themselves at a lower cost. The program generates program income when owners pay for work done by the City.

On 7/1/10, CD began paying for Rehabilitation Services within HPD's Office of Asset & Property Management. HPD works to ensure that the buildings that HPD had previously assisted continue to be operated and maintained in a sound financial and physical condition. HPD uses CD funds to conduct building inspections to determine which buildings require rehabilitation and prevent building distress and deterioration. In 2013, Building Inspectors performed 502 inspections on 417 properties.

The Alternative Enforcement Program is intended to alleviate the serious physical deterioration of the most distressed buildings in New York City by forcing the owner to make effective repairs (or the City will do so). The repairs are done in a more comprehensive fashion so that emergency conditions are alleviated and the underlying physical conditions related to the emergency housing code violations are addressed. Each year, 200 new buildings (containing an estimated total of 1,000 units) come into the program.

Work must be completely paid to be counted as a completion. There are a total of 60 sites (affecting 303 housing units) being counted as completions in 2013. Please note that the actual accomplishments are less than the proposed because 130 buildings (containing 2,216 units) were discharged from the program in 2013 as a result of owner compliance.

At 21 sites, work was completed in 2013 but will be paid for in 2014. Program income is generated when owners pay for the cost of the work done by the City as well as for management fees.

The Neighborhood Housing Services Revolving Loan Fund (\$348,688 available during 2013; \$324,046 spent in 2013 – see chart below) provides owners of one- to four-family homes with low-interest rehabilitation loans. The revolving loan fund was established with prior years' CD allocations. The loan fund only consists of program income in the form of loan re-payments, interest from notes receivable, and interest from the financial institution in which the revolving loans were held. The program did not receive a new allocation in 2013. Work was completed at 13 sites (22 units). The work benefited 22 households; all 22 were low/mod.

Program Income Received in 2013:	\$107,795
<u>NHS Cash Balance on 12/31/12:</u>	<u>+240,893</u>
Total funds available for 2013 =	\$348,688

Admin./Misc.:	\$179,546
Low/Mod Loans Closed:	\$144,500
<u>Slums/Blight (Spot) Loans Closed</u>	<u>\$ 0</u>
Total Funds Spent in 2013 =	\$324,046

Implement an aggressive and targeted anti-drug effort in multi-unit residential buildings.

SL-1 Availability/Accessibility for the Purpose of Creating Sustainable Living Environments

Provide comprehensive community development services to community residents in low- and moderate-income areas through academic enhancement, recreational, cultural and substance abuse prevention programs.

The Department of Youth and Community Development operates 80 Beacon programs located throughout the City. CD funds 14 Beacon Schools serving low- and moderate-income areas year-round. In addition to the recreation activities offered, services include life skills, community building, academic enhancement, career awareness / school-to-work transition, and culture / art. The program projected that 16,800 people would be served in 2013, but the program actually served 21,102 persons. Some of the Beacons sites continued to participate in special pilot programs in 2013. The pilots focused on dance, basketball, civic engagement, or STEM (Science, Technology, Engineering, and Math) and allowed the programs to host special tournaments, which increased community participation.

Improve the quality of life for senior citizens through the rehabilitation of senior centers.

The Department for the Aging's (DFTA) Senior Center Improvements program completed five projects and two were underway in 2013. Included in the completions is one project that involved granting funds to a nonprofit organization for the acquisition of a site that will be operated as a senior center. DFTA had projected that 12 centers would be completed; however, due to the unforeseen eviction of a senior center by its landlord, DFTA used part of its CD allocation to assist the provider organization in purchasing its own space, which will serve a substantially greater number of seniors than several other sites. This reduced the number of small projects that could be funded. The centers at which renovations were completed provided a total of 8,932 units of service. Please note that this figure is significantly higher than the proposed (1,700) because that figure was based on the projected average *daily* attendance at each center. Beginning in 2012, DFTA requested that providers instead report the units of service provided, which includes the number of seniors that use each center on a regular basis and those that only attend special events. Future projections will be based upon the units of service. CD funds also pay for one project manager at DFTA.

Assist mentally ill homeless persons in and around the Staten Island Ferry Terminal to obtain shelter or housing and treat them for psychiatric or substance abuse problems.

Through the Homeless Outreach and Housing Placement Services program, Project Hospitality in Staten Island provides homeless outreach and housing placement services to homeless, mentally ill persons who may also have substance abuse/dependence problems. Services included the provision of food, showers, shelter, and counseling as well as referrals for housing, medical, drug, and psychiatric treatment. A total of 1,399 persons were provided 13,952 units of service, of which 9,894 were for psychiatric services. A total of 4,291 referrals were made for other place settings and/or services. The actual accomplishment figure of 1,399 was significantly higher than the proposed figure of 729 due to the lingering effects of Hurricane Sandy, which increased the population of homeless persons living on Staten Island.

Assist crime victims through counseling, document replacement, and emergency lock repair.

Safe Horizon provides an array of services to crime victims and their families to reduce the psychological, physical, and financial hardships associated with victimization. Services included assistance through the Domestic Violence and Crime Victims Hotline and court-based services for crime victims assisted at Criminal and Family Courts in the Bronx and Brooklyn. In 2013, Safe Horizon provided 125,463 units of service to its clientele, which includes: 79,774 calls answered under the Domestic Violence Hotline; 17,261 calls answered under the Crime Victims Hotline; and 28,428 persons served through Court-Based Services for Crime Victims, which included 15,991 clients in the Bronx Criminal and Family Courts and 12,437 clients in the Brooklyn Criminal and Family Courts.

Help prevent discrimination based on race, creed, color, national origin, sex, marital status, age, disability, lawful occupation, sexual orientation, familial status, alienage, and citizenship status by enforcing the laws which prohibit such discrimination. Accomplish this goal through the investigation and prosecution of individual and systemic complaints.

The Commission on Human Rights' Law Enforcement Program is responsible for the enforcement of the laws prohibiting discrimination in employment, housing, and public accommodations on the basis of race, color, creed, age, national origin, alienage or citizenship status, gender, sexual orientation, disability, marital status, partnership status, status as a victim of domestic violence, whether children are, may be, or would be residing with a person; conviction or arrest record; lawful occupation; relationship or association, and retaliation. In 2013, 1,663 people sought assistance, 564 persons filed formal complaints, 564 investigations were initiated based on filed complaints, and 499 investigations were carried into 2013 from prior years. To reflect the number of persons served in 2013, the accomplishment number for this program (2,162) is the total of the following activities: 1,663 persons seeking to file a complaint plus 499 investigations carried over into 2013. This program generates CD program income by assessing civil fines and penalties for violations of the City's Human Rights Law.

Strengthen neighborhoods by fostering positive inter-group relations among residents of diverse racial, ethnic and religious backgrounds.

The Neighborhood Human Rights Program, operated by the Commission on Human Rights, uses CD funds to conduct bias prevention activities; community education and outreach; and intervention into discriminatory real estate practices to address quality of life issues that transcend racial, ethnic, religious, and social differences. Because it is not possible to track each of the individuals who may obtain services, the program uses the total number of "units of service" as its accomplishment indicator. In 2013, there were a total of 82,569 units of service: 22,427 through community education conferences, workshops, and trainings; 7,217 in school and youth-based trainings; 40,634 instances of technical assistance; 5,591 in workshops and trainings on Fair Housing presentations, including Equal Access and mortgage/predatory lending; and 6,700 in Fair Housing technical assistance for groups and individuals (i.e. Housing Court tables, individual counseling).

Shelter services should be used on an emergency, short-term basis hence, pursue a range of strategies that would reduce the average length of stay.

Between January 1 and June 30, 2013, CD funds were expended to house 44 families (which consisted of 153 individuals) without public assistance cases. Services included the provision of shelter as well as meals, money management, consumer awareness, food management, housekeeping, housing search, transportation, educational planning, job skills, and child care. Beginning on July 1, 2013, CD funds were allocated to the security contract for the 30th Street Men's Shelter, located in Manhattan. Between July 1 and December 31, 2013, 4,222 individuals were served at the shelter. Thus, a total of 4,375 individuals were served in 2013.

Provide recreational services to low- and moderate-income people by funding staff to coordinate and manage programs at parks facilities.

CD funds are used to provide recreational services at several parks as an eligible public service under the CD regulations. The Prospect Park Administrator's Office in Brooklyn conducted a User's Survey in 2009 and estimated that 8,863,109 people visit the park each year. The Office issued 3,976 special event permits and 3,967 ballfield permits in 2013. The Park hosted events such as Celebrate Brooklyn, an arts series featuring music, dance, theater, and film events (5,000 per show); the Prospect Park Fair (2,000 visitors); a New Year's Eve fireworks display (20,000 people); the Halloween Haunted Walk and Carnival (8,000 visitors); the New York Road Runner's Brooklyn Half-Marathon (35,000 participants); performances by the New York Philharmonic (15,000 visitors); and the Major League Baseball All-Star Fun Run (5,000 participants). The various programs offered by the Lefferts Historic House attracted 29,930 visitors. The Audubon Center served 33,104 individuals.

The Van Cortlandt/Pelham Bay Parks Special Administrators' Office coordinates special events, educational and environmental programming; capital projects oversight; natural areas and wildlife management; volunteer activities and community outreach. A User's Survey estimated that approximately 4 million people use the parks each year. In 2013, more than 6,700 volunteers provided nearly 17,000 hours of community service in both parks. Van Cortlandt Park issued 423 special events permits while Pelham Bay Park issued 373 special events permits. The Parks hosted 25 film shoots and many special events including Bronx Harmony Day (over 5,000 attendees) and the Making Strides against Breast Cancer Walk (11,000 people). Approximately 60,000 people swam at the Van Cortlandt Pool while over 1,100,000 visited Orchard Beach within Pelham Bay Park.

The Parks Department estimated that a total of 104,720 children would use the CD-funded Minipools. This was based on a very rough estimate of 140 swimmers per day in a 68-day season at 11 sites. The actual usage was 77,044 based on 103 swimmers per day at 11 CD-funded sites during a 68-day season. However, average attendance was reduced due to inclement weather. CD funds paid for 21 Filter Plant Operators, 17 Parks Enforcement Patrol Officers, 23 Lifeguards, and 6 Supervisors. In accordance with HUD's requirement to identify activities that serve a limited clientele not falling within one of the categories of presumed limited clientele low- and moderate-income benefit, the City determined that all Minipools are eligible for CD funds due to their close proximity to NYCHA Public Housing developments. The Minipools are used primarily by NYCHA residents and the incomes of NYCHA residents are primarily CD-eligible.

Creating and maintaining neighborhood gardens.

GreenThumb licenses City-owned land to community groups for the establishment of community vegetable and flower gardens. These gardens often replace vacant, littered lots that were a blighting influence on the neighborhood. As of 12/31/13, GreenThumb has over 500 community garden projects. During the year, GreenThumb held the GrowTogether Conference, which was attended by over 1,200 people; the Harvest Fair, which was attended by nearly 500 people; and the Summer Solstice, which was attended by over 900 people. GreenThumb conducted 48 public workshops citywide. During the year, the program staff analyzed the workshops and classes it provided during the past five years and revised the ones that are in high demand. As a result, workshop attendance significantly increased to 2,166 people. GreenThumb staff estimates that 87,196 people benefited from the program in 2013.

SL-2 Affordability for the Purpose of Creating Suitable Living Environments

Support housing and economic development efforts by providing day care services so low- and moderate-income parents may secure employment.

CD funds pay for child care slots for children from low- and moderate-income families. In 2013, CD paid for 544 day care slots at 5 sites.

SL-3 Sustainability for the Purpose of Creating Suitable Living Environments

Continue to promote decent housing and suitable living environments for aging in place, and to help elderly retain their homes and maintain them adequately through a variety of programs that provide assistance with home maintenance, home repair and adaptive modification, and technical assistance regarding residents' rights and protections.

The Elderly Minor Home Repair Program provides for minor repairs in the homes of low- and moderate-income elderly persons throughout the City. Although minor in nature, these repairs are beyond the abilities of the elderly to complete themselves. Often the repair will help prevent a major problem from developing, which could be financially difficult for the elderly to carry out. In 2013, the Elderly Minor Home Repair Program completed 44,490 repairs in 2,507 homes.

Assist local arts organizations that primarily serve low- and moderate-income areas by providing targeted technical assistance to build capacity and better serve their respective communities.

The Community Arts Development Program (CADP) historically funded improvements in public facilities occupied by small cultural organizations. In 2008, CADP began a new Capacity Building component, *Building Sustainability*, which uses consultants to directly assist arts organizations that serve low- and moderate-income individuals or areas to operate their organizations more efficiently. In 2013, 12 projects were completed, 4 were underway, and 1 was cancelled under this component. CADP had originally projected that seven projects would be completed in 2013. The increased accomplishments resulted because the program awarded micro-grants to previous grant recipients to further implement their completed capacity building projects. Also during 2013, CADP embarked upon a new strategy, known as *Community Arts Leadership*, to assist small, community-based arts organizations that serve low- and moderate-income persons. Through this initiative, 3 consulting firms are providing 16 organizations with intensive technical assistance focused on the following core areas: governance, strategic planning, and financial management (for a total of 48 units of service). It is expected that these projects will be completed in 2014. Renovation grants are no longer awarded but funding was still in place for two existing electrical upgrade projects (within the same building). Both projects were completed in 2013.

Promote the preservation of historic residential and non-residential buildings through grants for façade renovation.

The Landmarks Historic Preservation Grant Program provides grants for façade restoration of residential buildings and those owned or occupied (through a long-term lease) by nonprofit organizations. The 2013 Proposed Consolidated Plan indicated that two “commercial façade treatments” (nonprofit projects) and six “owner-occupied units rehabilitated or improved” would be completed. No nonprofit projects were completed and six homeowner projects were completed.

Treat blighted open space in low- and moderate-income areas by removing debris and greening.

The goal of the Bronx River Project is to clean the river and develop amenable facilities along its banks. Among its various activities, the program uses CD funds to issue a bilingual calendar, bi-weekly email newsletter and an annual newsletter, *The Current*, which is mailed to over 1,500 people. The Education Program promotes the River as an educational asset and consists of the following: The Bronx River Classroom which reaches schools with training, tools, and other resources; the Bronx River Stewards Volunteer Monitoring Program is a corps of trained, committed volunteers who undertake weekly scientific monitoring of water quality at specific sites on the River; and the Public Education Program reaches people through presentations, canoe trips, slideshows, public events, and informational tables. In 2013, the Education Program worked with 190 educators and 938 students on River-related scientific activities. The newly created Forest Stewardship Program, a collaboration between the Bronx River Alliance, the U.S. Forest Service's “More Kids in the Woods” program, the NYS Department of Environmental Conservation, and Queens College's GLOBE Program, will center on the Bronx River Forest. The Ecological Restoration and Management Program works to

protect, restore, and manage the River's ecology. A Conservation Crew that is recruited from the local community planted 2,353 trees and 937 shrubs and removed 12 blockages to reduce debris and improve habitat. The Bronx River Outreach program drew over 1,000 volunteers to Bronx River events and activities. In 2013, nearly 2,000 people paddled the Bronx River with the Bronx River Alliance. An estimated 170,000 people participated in the Bronx River Project's outreach, education, and restoration activities.

Improve neighborhood quality through the elimination of vacant blighted properties and the promotion of greater community involvement and investment through the provision of new homeownership opportunities and stimulation of concerned local businesses to perform housing management and rehabilitation functions.

Under HPD's Emergency Demolition Program, a total of 15 buildings were demolished with CD funds in 2013. Although HPD projected that 40 slum and blight demolitions would occur, these demolitions are not planned and are conducted upon a determination of an emergency by the Buildings Department. Additionally, due to Hurricane Sandy, a significant number of demolitions were conducted with other funding sources. The program generates program income when owners pay for work done by the City.

Help ensure the safety of school children by preventing or rectifying code violations in New York City public schools.

In 2013, the Department of Education used CD funds to complete work that prevented or corrected 200 violations in 162 City schools. Of those, 176 jobs were performed in 141 schools that serve a low- and moderate-income population and 24 were performed in 21 schools to address slum and blighting conditions. The jobs performed at low- and moderate-income schools served 134,561 youth; an additional 21,358 students were served at schools at which slum and blight projects were completed.

Help prevent hunger by providing food to low- and moderate-income persons.

The Met Council Food Pantry was funded as of 7/1/13. CD funds pay for a food distribution program targeting low- and moderate income residents in New York City. Funds pay for Met Council staff, food, and delivery costs. The program estimated the projected accomplishments as people (169,500). The program provides more than 5.2 million pounds of food to needy family annually. In all, a total of 56,000 unduplicated households benefitted from the program (approximately 168,000 persons). The 168,000 persons has been entered into the accomplishments chart.

EO-1 Accessibility for the Purpose of Creating Economic Opportunities

Improve the employment and economic opportunities for low-skilled, low-income New Yorkers through the provision of literacy, educational or vocational services.

On July 1, 2006, CD funds were allocated to the Department of Youth and Community Development for the Adult Literacy Program. There were two components to this program.

In 2013, CD funds paid for 2,617 individuals to receive services through the Classroom Component of the Program: 6 organizations provided Adult Basic Education services to 691 individuals and 14 organizations provided English for Speakers of Other Languages (ESOL) services to 1,926 individuals.

Between 2009 and 2012, CD funds were used for three pilot programs focused on increasing the skills and job prospects of low-skilled adults, with an emphasis on those who were currently or recently incarcerated. During that time, the programs collectively served 3,489 people. CD funds were also used to fund one position that worked jointly with the CUNY Research Foundation, which oversaw the pilot programs, and the NYC Department of Corrections, which operates the Rikers Island jail. The position helped to strengthen and coordinate linkages between adult education and vocational training programs on Rikers Island and community-based re-entry education programs. During 2013, CD funds were used to conduct the "Pathways of Possibility:

Transforming Education’s Role in Reentry” conference, which studied the lessons learned from the pilot programs and focused on elevating the role of education in reducing recidivism and serving those reentering society. CD funds were also used to publish a companion report discussing key insights and strategies that emerged during the conference. The report highlighted six overarching goals and identified strategies for implementation. The full “Pathways of Possibility” report can be viewed at the following location: http://www.reentryeducationnetwork.org/uploads/1/2/5/3/12534585/pathways_conference_report.pdf.

Facilitate business creation, development, and growth; provide technical assistance; maximize entrepreneurial development services to vendors and other micro-enterprises by providing courses on business basics, creating alternative markets, and developing vacant storefronts.

CD funds pay for three components of the NYC Business Solutions program. First, the Business Outreach Teams provide technical assistance to businesses in business planning, financing, government regulations and licensing, business incentives, marketing, workforce development, government procurement, and real estate. CD funds pay for the assistance to businesses that serve low- and moderate-income areas. There were 0 new businesses and 1,446 existing businesses were provided 1,721 units of service by the Business Outreach Teams in 2013. The goal of the Vendor Market component is to provide spaces to former street vendors in which they can conduct business legitimately and transition into entrepreneurs. The program currently sanctions the operation of the Flatbush-Caton Market in Brooklyn. A total of 45 vendors were assisted in 2013. The accomplishment figure of 1,766 existing businesses assisted that has been entered into the accomplishment chart is a mixture of businesses and units of service: 1,721 units of service provided by the Business Outreach Teams + 45 businesses assisted at the Vendor Market). The Business Basics component provides free courses in business planning, marketing, and computer applications to micro-entrepreneurs and small business owners at the NYC Business Solutions Centers citywide. A total of 5,886 people attended Business Basics training.

Revitalize commercial streets via façade and security improvement of commercial businesses and through use of market studies, marketing assistance, architectural design, and development strategies.

Avenue NYC promotes the economic viability of neighborhood retail areas by funding local development corporations in low- and moderate-income areas who develop market strategies, development strategies, and architectural design. CD funds were budgeted for 47 local development/nonprofit organizations in 2013. Eleven existing businesses received assistance through the Façade Improvement component of the Avenue NYC program (entered into the accomplishment chart). These are businesses that had a CD-funded consultant assist them in designing a new building façade. The actual cost of the façade renovation is not paid for with CD funds. No new businesses were assisted directly.

N/A Not Applicable

Perform citywide comprehensive community development planning to help formulate long-term development and policy objectives for the City.

In 2013, HPD Program Planning’s various divisions continued to support the agency’s policy goals through planning studies and other initiatives. Business Technology Services (BTS) is responsible for identifying actions (such as the establishment and refinement of computerized information systems) to assist HPD in achieving its mission of maintaining affordable housing, preserving the current stock, and developing new housing units. In 2013, BTS worked with the Office of Enforcement and Neighborhood Services (ENS) to design, implement, and construct an online citywide building registration portal and improved the quality of the data captured via the registration process. Additionally, in order to comply with Local Law 44, BTS designed a system that makes information about City-subsidized housing developments available online in “open data” formats. The Division of Strategic Planning (DSP) facilitates HPD’s agency-wide strategic planning process by identifying strategic priorities and change initiatives, creating and reporting milestones associated with those initiatives, and managing and implementing key projects. In 2013, accomplishments included surveying vacant commercial units financed under the New Housing Marketplace Plan in mixed-use developments and proposing

a series of recommendations to improve the agency's approach to such developments; analyzing the agency's affordable housing marketing, lease-up, and re-rental business processes and proposing solutions to create a more efficient and effective housing placement system; and analyzing the various ways the City defines and measures physical distress in residential housing units in order to better align distress indicators with directing financing to places where it will have the biggest impact. The Preservation Planning and Analysis Unit (PPA) performs various strategic management analyses and forecasting, which are utilized to achieve the agency's preservation and enforcement objectives. In 2013, PPA developed and maintained a window guard report to monitor, throughout the entire process, ENS' response to window guard violations and developed and tested new implementations and enhancements to ENS' computer modules.

HPD's Division of Housing Policy Analysis and Statistical Research plans and conducts major housing-related research. The Division provides housing market data and analyses on the housing inventory; rental vacancy rate; housing and neighborhood conditions; rents and affordability; and household characteristics, including incomes. The Division publishes the Housing Vacancy Survey (HVS) tri-annually. While the HVS is accessible to the public online at no charge, CD program income is generated from the sale of the Report when it is purchased in hard copy. Among the 2013 accomplishments were the following:

- The Division completed preparation and distribution of the final comprehensive report on the 2011 NYC Housing Vacancy Survey (HVS), *Housing New York City 2011* ("the Report"). The Report was distributed to almost 600 public officials, planners, decision-makers, and scholars concerned with urban housing issues. The Report includes 300 tables, 86 figures, 24 thematic maps, and 30 tables of detailed data on the City's 55 sub-borough areas. The Report analyzes the City's residential population and households; race/ethnicity; household composition and types; crowding and doubling-up; immigration; incomes and the labor market; education; the housing inventory; homeownership rates; vacancies and vacancy rates; rent levels; affordability; and conditions of housing and neighborhoods, including trends for the City and the five boroughs.
- Division staff prepared a final graphic layout for the entire book. This in-house capability reduced the overall cost of the Report from \$29,764 for the 2008 HVS to \$6,508 for the 2011 Report.
- The contract between the City and the Census Bureau was finalized and submitted for review by NYC Corporation Counsel and Bureau of Census Legal. The contract was executed and registered, triggering the commencement of the 2014 HVS. Work on the 2014 HVS included reviewing the 2011 HVS questionnaire for advisable revisions; recoding rent regulation status and incorporating legislative changes made after the 2011 HVS was conducted; obtaining and preparing the 12 address lists that the Division must provide for the Census Bureau to update the HVS sample and to code the correct rent regulation status of each sample unit; and monitoring the training and planning the fieldwork for the 2014 Survey staff, which will begin interviewing in January 2014.
- The Division also prepared and administered the contract with the Rent Guidelines Board.

HPD's Anti-Abandonment Borough Offices are now called Neighborhood Preservation Offices, which identify and develop interventions for residential buildings at risk of abandonment. In 2013, the Division of Neighborhood Preservation reviewed 27,930 properties for the Tax Lien Sale. Of those, 1,082 were excluded from the Tax Lien Sale. Total revenue generated from the Tax Lien Sale in 2013 was \$75,982,172. In addition, 4,059 assessments were conducted of potentially distressed buildings citywide. Of those, 385 buildings reported an improvement in overall building conditions.

The Landmarks Preservation Commission's (LPC) Planning's activities include environmental reviews and architectural, archaeological, and historical analyses. LPC also researches and plans for potential historic districts. In 2013, the Commission made 20 designations, including 3 historic districts, for a total of 1,387 designated properties. A total of 1,677 building surveys were completed. The Archaeology Department reviewed 294 projects, which included 23 rezoning actions for the Department of City Planning and the Department of Housing Preservation and Development. Ninety-eight percent of these reviews were completed within ten days. The Archaeology Department spent a significant amount of time on the plans for the Rockefeller University extension and the Riverside Center (Parcel 2). The Environmental Review Department

coordinated 927 project reviews, a 55% increase since last year. Architectural and archaeological surveys were performed for 1,705 lots and/or geo-referenced points, a 37% increase since last year.

CD pays for the support staff of the Rent Guidelines Board. This administrative and planning staff prepares research regarding the economic condition of the rent stabilized residential real estate industry, including operating and maintenance costs, the cost of financing, housing supply, and cost of living indices. The research staff produced the 2013 Price Index of Operating Costs (the Price Index of Operating Costs for rent stabilized apartment buildings was 5.9%, for hotels 7.4%, and for lofts 5.8%); the 2013 Mortgage Survey (for 2012 lending the average interest rate for new multi-family mortgages declined .22 percentage points to 4.37%); the 2013 Income and Affordability Study (in 2012 NYC's economy grew by 2.2%, the City gained 79,500 jobs, and the unemployment rate was 9.2%); the 2013 Housing Supply Report (in 2012, the number of new housing units completed decreased 32.4% but 10,334 permits were issued for new dwelling units in NYC, a 15.6% increase from the previous year); and the Changes to the Rent Stabilized Housing Stock in New York City in 2012 Report (there was a net estimated loss of 2,539 rent stabilized units in 2012). While these reports are accessible to the public online at no charge, CD program income is generated from the sale of the reports when they are purchased in hard copy.

The Department of City Planning's Comprehensive Planning covers planning issues such as land use, the economy, housing, infrastructure, waterfront and open space, and community facilities. In 2013, DCP engaged in 97 CD-funded planning studies. Of those, 46 were completed, 48 are active, and 3 were deferred. The Department advanced work on the Open Industrial Uses Study, which will assess cost-effective pollution prevention controls and stronger safeguards for open uses and storage of hazardous and non-hazardous materials at industrial facilities, and referred the East River Ferry Text Amendment to Brooklyn Community Board 1 and the Brooklyn Borough President. The amendment would allow ferries with capacities larger than currently permitted under zoning at certain ferry landing locations along the Brooklyn waterfront. Several rezoning initiatives (Bellerose, Floral Park, Glen Oaks, East Elmhurst, and Ozone Park in Queens; Crown Heights West in Brooklyn; and East Fordham Road in the Bronx) and two agency proposals were adopted by the City Council in 2013. DCP released the 2013 edition of The Newest New Yorkers report, which demonstrates the important social and economic role of New York's immigrants, and launched an interactive online map showing the largest immigrant groups in each of the City's neighborhoods as well as where the City's largest immigrant populations live. The City Council also adopted the Department's Flood Resilience Zoning Text Amendment and recommended revisions to New York City's Waterfront Revitalization Program, both of which seek to increase the City's resiliency to coastal storms. Finally, the Department released two reports to strengthen the City's resiliency to coastal flood risks: *Designing for Flood Risk* identifies key principals to guide the design of new buildings in flood zones, and *Urban Waterfront Adaptive Strategies* provides an assessment of the coastal flood hazards, a survey of coastal protection and adaptation strategies, and a framework for evaluating coastal protection alternatives. Also completed were the "Citywide Statement of Needs for Fiscal Year 2015-2016" the "Community District Needs Statement for Fiscal Year 2014" and the "2013 Consolidated Plan".

DCP Information Technology supports the planning activities of the Department of City Planning and other City agencies. The Geographic Systems Section (GSS) is responsible for developing and maintaining specialized geographic data processing capabilities. In 2013, GSS released the Citywide Street Centerline (CSCL)-produced Geosupport and related files for release 12D, 13A, 13B, and 13C. It released versions 13.1 and 13.2 of the Geosupport System access software, which provided additional processing functionality and introduced APEQUIV, a new Geosupport file that allows DCP to quickly implement changes to the City's administrative districts. The Database and Application Development (DAD) unit collects, processes, and provides land use housing, economic, and demographic data that are used for developing neighborhood and community development plans and major citywide tax revenue and economic studies. The unit launched and enhanced the Interim Management Pre-Application Certification Tracking (imPACT) system, which tracks the agency's work on all land use projects in the pre-certification review process. It produced the CDBG Appendices (text file data) to the 2012 Consolidated Plan Annual Performance Report and the Section 8 Appendices to the Comprehensive Housing Assistance Strategy. It also continued to maintain the Zoning Tax Lot Database and the Primary Land Use TaxLot Output system and developed the Consolidated Plan Mailing Label application to

more efficiently mail materials to interested parties. The City Planning Web team added to the agency's website recent demographic data from the American Community Survey and two interactive GIS features (the Parking Facilities Map and the Newest New Yorkers map). It also provided the public with various descriptions of new and recently adopted zoning proposals and studies. The PC and Network Services unit continued to support all agency computer hardware, software, and network connections. "BYTES of the BIG APPLE" is a line of software, data, and geographic base map files for New York City. Prior to July 1, 2013, CD program income was generated through the sale and license of these products; as of July 1st, this data is offered to the public at no cost. In 2013, CD-ROMS were distributed to 22 City agencies and 54 professional organizations.

On July 1, 2005, CD began paying for the Scorecard Program, which evaluates the cleanliness of the City's streets. In 2013, the Department of Sanitation achieved a citywide percentage of acceptably clean streets of 94.2 and a percentage of acceptably clean sidewalks of 96.0. The 2012 percentages were 95.4 and 96.3, respectively. Scorecard inspectors rated the streets and sidewalks of the City's 59 community boards at least once per month. Whenever possible, a second rating was conducted. Scorecard inspectors rated an average of 4,500 street and sidewalk blockfaces per month, compared to 4,000 in 2012.

Prevent discrimination in housing and promote expanded housing opportunities for racial and ethnic minorities. Provide fair housing counseling services to effectuate compliance in the public and private housing markets, conduct educational workshops about landlord/tenant rights, assist people with disabilities to find accessible housing, identify housing discrimination and provide assistance in determining eligibility or qualifications for tenancy and/or social service programs.

In 2013, the HPD Fair Housing Services program provided fair housing counseling and educational services through an intergovernmental Memorandum of Understanding between HPD and the New York City Commission on Human Rights (CCHR). In 2013, staff from both HPD and CCHR focused on raising the awareness of building owners and project sponsors who receive financial assistance for HPD of their duty to comply with the Federal Fair Housing Act. HPD and CCHR staff collaborated to conduct one "Fair Housing in Practice" workshop attended by 48 representatives. Additionally, in conjunction with local political and community leaders, the agencies provided 16 "Owners Night" and "Tenant Night" presentations that inform the public of housing opportunities and regulations. These forums collectively served 454 tenants and 1,007 property owners. CCHR staff participated in 54 conferences, providing fair housing information to 781 business representatives.

Provide administrative and support services for planning, management, and citizen participation necessary to formulate, implement, and evaluate the City's Community Development Program.

Under CDBG Administration, people within the Office of Management and Budget, Department of City Planning, Landmarks Preservation Commission, and Mayor's Office for People with Disabilities perform functions related to the preparation of environmental reviews, the Consolidated Plan, monitoring of CDBG-funded programs, and liaison with HUD and other federal departments.

The positions funded under HPD Administration are responsible for the oversight of activities that cross two or more CD eligibility categories. For example, the Timekeeping Unit tracks and inputs timekeeping data for all HPD CD-funded employees. Units within the Division of Accounts Payable are responsible for receiving, reviewing and approving all contractor invoices submitted for payment for programs involving both City-owned (*in rem*) and privately-owned properties. Also under the Administration eligibility category, CD pays for some positions related to oversight of the HOME Program.

Housing preservation functions including educating owners in housing-related matters to maintain or restore buildings to a structurally sound and fiscally sound condition. The role of the consultants is to assess buildings to determine if they are distressed, develop and recommend remedial and intervention strategies to prevent owner abandonment, assist owners in improving their properties, and encourage owners to pay their taxes

As part of the Code Enforcement strategy, the Neighborhood Preservation Consultants provide the City with information on at-risk residential buildings within CD-eligible areas. They assist HPD's Division of Code Enforcement in the implementation of their comprehensive plans to upgrade and preserve existing privately-owned stock, recommend intervention priorities based on community needs assessments, and coordinate service delivery to owners and tenants. There were 19 CD-funded Neighborhood Preservation Consultants that were focused on 18 areas under contract in 2013.

01.1 Rehabilitate and return the stock of City-owned buildings to a range of responsible private owners in order to improve living conditions in these buildings while maintaining affordability for very low-, low- and moderate-income tenants. Continue, through HPD, to accelerate the sale of its in rem buildings to tenants, non-profit organizations and selected for-profit owners. This major initiative focuses on clusters of buildings in selected neighborhoods and packages both vacant and occupied properties.

The In Rem Maintenance and Repair Program's Project Support staff processed 107 Pre-qualification Applications for vendors wishing to be placed on the list of approved contractors for Open Market Orders and recertified 144 contractors; awarded 298 Open Market Orders for maintenance and construction services; conducted 1,019 monitoring inspections and 1,019 inspections for contractor payment requests; monitored fuel usage and completed 148 efficiency tests on heating plants and reviewed 108 invoices.

Other (N/I No Appropriate Indicator)

Treat blighted open space in low- and moderate-income areas by removing debris and greening.

The Land Restoration Program (LRP) treats large tracts of vacant, City-owned land by seeding, fertilizing, and mowing these properties. The program helps to improve the image of the surrounding neighborhoods, reduces mugger cover, and makes the property more presentable to the community and potential developers. In 2013, a total of 257.2 acres (rounded to 257 in the accomplishments chart) were initially cleaned, mowed, seeded, fertilized, and weed pre-treated. A total of 152.9 acres of tough ground received secondary treatment of seed, fertilizer and weed control. A total of 223.9 acres were mowed at least one time. LRP assisted the GreenThumb program by cleaning and baiting 123 lots for rodents and by making 394 deliveries of topsoil, compost, fill, mulch, and lumber (3,667 cubic yards of material). LRP also removed 156,500 square feet of snow and ice from the sidewalks in front of 12 gardens and 6 parks; distributed 50 rodent-proof trash cans that were purchased with non-CD funds to gardens and parks; and delivered trees to 27 school gardens to be planted on Arbor Day. Finally, the Land Restoration Program assisted with the creation of new GreenThumb gardens at 20 public schools throughout the City. Assistance included delivering soil and compost; grading the sites; installing and repairing surrounding fencing; and installing various garden structures. The Program also performed more substantial improvements at 69 other gardens and parks.

Restore sanitary conditions in vacant lots in CD-eligible areas through debris and bulk refuse removal.

The Neighborhood Vacant Lot Clean-Up Program cleans vacant lots to meet the City's Health Code Standards. Cleaning schedules keyed to the community board areas are based on health emergencies, preparatory cleaning for other CD-funded lot-related programs (GreenThumb, Land Restoration Program), and community board requests. The City had estimated that 3,700 lots would be cleaned with CD funds. In 2013, there were a total of 3,407 lots cleaned: 2,769 in CD-eligible areas and 638 in non-CD-eligible areas (paid for with City Tax Levy funds). The original projections were based upon total funding for the program and were not limited to the CD-funded accomplishments. Future projections will be limited to the CD-funded portion of the program.

In addition, 1,973.76 tons of debris were removed from CD-eligible areas. The program also cleaned public areas where illegal dumping had occurred. The program generates program income when private owners pay the City for cleaning their lots.

Protect, preserve and improve the existing sound housing, including City-owned (in rem) residential structures, privately-owned buildings in deteriorating neighborhoods, and conventional public housing, so that this housing can remain or become stable tax revenue-generating residential stock.

In an effort to preserve existing housing, CD pays for code enforcement efforts in deteriorating, low- and moderate-income areas. CD pays for the time 311 operators (at the Department of Information Technology and Telecommunication) spend on emergency housing complaints. A total of 425,504 emergency housing complaint calls were referred to HPD. A total of 2,910,477 minutes (48,508 hours) were spent on emergency housing complaint calls by 311 operators. Upon receiving a complaint, HPD will send out a Code Enforcement Housing Inspector to determine if a violation exists (a portion of whose time is also CD-funded). Unfortunately, there is no HUD Accomplishment Indicator that can accurately reflect the number of code violations that are eventually removed as a result of HPD’s efforts. In 2013, there were 889,835 code inspections performed, a total of 379,860 violations were issued during inspection, and 418,662 code violations were removed by inspection or through administrative removal (this figure, which has been entered into the accomplishment chart, includes the removal of violations issued in prior years). Program income is generated when owners of multiple-unit dwellings pay fees related to registering their buildings with HPD as well as for heat and hot water violations and administrative fees related to the violations. This program income is cost-allocated between CD and Tax Levy to reflect those owners whose properties are within the CD targeted areas and those outside.

Section 3 Requirements: Community Development Block Grant Program

In 2013, a total of \$16,131,188 in Community Development Block Grant (CD)-funded contracts was subject to the Section 3 requirements. No businesses qualified as a Section 3 business. There were 24 new hires, of which 11 persons were Section 3 residents.

<u>New Hires</u>	<u>Category</u>	<u># of Section 3 Residents</u>
5	Professionals	2
1	Technicians	0
7	Office/Clerical	6
4	Laborers (unskilled)	1
7	Other (Inspectors)	2

**CDBG
PROGAM EXPENDITURES**

DCP TABLE #1

Proj_Code	Program Name	HUD Code	Revised 2013 Allocation	Amount City Authorized	Amount City Expended/ Drawdown/ Disbursed
	COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)				
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing					
C-CHA-0039	ELDERLY SAFE-AT-HOME	05A	\$225,000	\$456,362	\$222,147
C-CHA-0041	SENIOR RESIDENT ADVISOR PROGRAM	05A	\$450,000	\$747,525	\$446,723
C-MAY-0047	PROJECT OPEN HOUSE FOR DISABLED PERSONS	14A,14B	\$220,000	\$444,928	\$150,879
C-MAY-0048	HOUSING INFORMATION AND EDUCATION	05B	\$131,000	\$259,752	\$127,388
DH-1 Subtotal			\$1,026,000	\$1,908,567	\$947,137
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing					
C-HPD-0085	7A PROGRAM	14B	\$1,350,000	\$4,536,800	\$716,518
C-HPD-0012	IN REM SUPERINTENDENT CONTRACT	19E	\$132,000	\$1,802,697	\$93,368
C-HPD-0013	IN REM MATERIAL MANAGEMENT AND PROCUREMENT	19E	\$500,000	\$1,645,757	\$129,468
C-HPD-0014	IN REM PROPERTY MANAGEMENT PROGRAM	19E	\$3,714,000	\$8,894,408	\$3,329,639
C-HPD-0090	IN REM BUILDING MAINTENANCE AND REPAIR PROGRAM	19E	\$1,231,000	\$4,275,872	\$837,474
C-HPD-0207	PROPERTY DISPOSITION AND FINANCE (FORMERLY IN REM ALTERNATIVE MANAGEMENT PROGRAM)	19E	\$15,618,000	\$26,672,275	\$15,393,579
C-HPD-0084	LITIGATION (FORMERLY HOUSING LITIGATION DIVISION)	15,19E	\$6,696,000	\$11,457,717	\$6,306,617
C-HPD-0198	HPD EMERGENCY SHELTERS	08	\$10,748,000	\$16,021,896	\$7,526,551
DH-2 Subtotal			\$39,989,000	\$75,307,422	\$34,333,214
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing					
C-HPD-0009	EMERGENCY REPAIR PROGRAM	06,14B,19E	\$41,740,000	\$50,974,533	\$30,248,476
C-HPD-0206	ALTERNATIVE ENFORCEMENT PROGRAM	14B	\$8,660,000	\$18,216,290	\$7,062,639
C-HPD-0000	NEIGHBORHOOD HOUSING SERVICES	14A,14B,21A	\$324,000	\$348,688	\$624,046
DH-3 Subtotal			\$50,724,000	\$69,539,511	\$37,935,161
SL-1 Availability/Accessibility for the Purpose of Creating Sustainable Living Environments					
C-DYCD-0142	BEACON SCHOOL PROGRAM	05	\$5,599,000	\$8,880,991	\$4,502,673
C-DFA-0183	DFTA SENIOR CENTER IMPROVEMENTS	03A	\$1,915,000	\$3,910,528	\$1,382,611
C-DHS-0046	HOMELESS OUTREACH AND HOUSING PLACEMENT SERVICES	05O	\$553,000	\$1,147,404	\$347,874
C-MOCJC-0037	SAFE HORIZON	05	\$3,246,000	\$6,866,060	\$4,869,237
C-CHR-0040	COMMISSION ON HUMAN RIGHTS LAW ENFORCEMENT PROGRAM	05	\$1,525,000	\$2,778,103	\$1,479,868
C-CHR-0051	COMMISSION ON HUMAN RIGHTS NEIGHBORHOOD HUMAN RIGHTS PROGRAM	05,20,21A,21D	\$3,621,000	\$3,495,467	\$3,345,397
C-DHS-0182	DHS HOMELESS SERVICES (FORMERLY DHS HOMELESS FAMILIES SERVICE)	05	\$3,545,000	\$3,545,500	\$3,545,000

**CDBG
PROGAM EXPENDITURES**

DCP TABLE #1

Proj Code	Program Name	HUD Code	Revised 2013 Allocation	Amount City Authorized	Amount City Expended/ Drawdown/ Disbursed
C-DPR-0095	MINIPOOLS	05D	\$577,000	\$698,420	\$595,736
C-DPR-0032	PROSPECT PARK SPECIAL ADMINISTRATOR'S OFFICE	05	\$486,000	\$987,968	\$441,836
C-DPR-0033	VAN CORTLANDT/PELHAM BAY PARKS SPECIAL ADMINISTRATOR'S OFFICE	05	\$445,000	\$957,325	\$444,689
C-DPR-0053	GREENTHUMB	05	\$797,000	\$1,382,479	\$641,761
C-DYCD-0174	MET COUNCIL FOOD PANTRY	05	\$375,000	\$750,002	\$374,269
SL-1 Subtotal			\$22,684,000	\$35,400,247	\$21,970,951
SL-2 Affordability for the Purpose of Creating Suitable Living Environments					
C-ACS-0042	DAY CARE CENTER SERVICES	05L	\$2,963,000	\$4,809,501	\$2,723,169
SL-2 Subtotal			\$2,963,000	\$4,809,501	\$2,723,169
SL-3 Sustainability for the Purpose of Creating Suitable Living Environments					
C-DFA-0049	ELDERLY MINOR HOME REPAIR PROGRAM	05A	\$362,000	\$491,077	\$240,579
C-DCA-0079	COMMUNITY ARTS DEVELOPMENT PROGRAM	19C	\$208,000	\$882,540	\$342,291
C-LPC-0052	LANDMARKS HISTORIC PRESERVATION GRANT PROGRAM	16A,16B	\$114,000	\$555,080	\$69,155
C-DPR-0055	BRONX RIVER PROJECT	05	\$190,000	\$374,407	\$186,626
C-DOEd-0165	CODE VIOLATION REMOVAL IN SCHOOLS	03	\$4,500,000	\$5,980,353	\$5,065,169
C-HPD-0171	EMERGENCY DEMOLITION PROGRAM	04, 06,19E	\$4,606,000	\$10,979,288	\$4,419,398
SL-3 Subtotal			\$9,980,000	\$19,262,745	\$10,323,218
EO-1 Accessibility for the Purpose of Creating Economic Opportunities					
C-VARIOUS-0204	ADULT LITERACY PROGRAM	05, 21B	\$1,561,000	\$3,653,979	\$2,243,543
C-SBS-0200	NYC BUSINESS SOLUTIONS CENTERS	03H,05H,18B,18C	\$723,000	\$2,258,750	\$536,317
C-SBS-0026	AVENUE NYC	18B,19C	\$1,995,000	\$4,372,268	\$2,043,084
EO-1 Subtotal			\$4,279,000	\$10,284,997	\$4,822,944
N/A Not Applicable					
C-DCP-0061	DCP INFORMATION TECHNOLOGY	20	\$3,052,000	\$4,993,424	\$2,725,829
C-DCP-0062	DCP COMPREHENSIVE PLANNING	20	\$12,421,000	\$14,747,325	\$11,401,994
C-HPD-0060	HPD HOUSING POLICY ANALYSIS AND STATISTICAL RESEARCH	20	\$4,427,000	\$9,291,204	\$1,637,377
C-HPD-0166	HPD PROGRAM PLANNING	20	\$2,031,000	\$4,884,950	\$1,927,051
C-HPD-0137	HPD NEIGHBORHOOD PRESERVATION OFFICES	20	\$4,805,000	\$9,673,919	\$4,325,084
C-LPC-0202	LPC PLANNING	20	\$536,000	\$872,924	\$488,410
C-HPD-0199	RENT GUIDELINES BOARD SUPPORT STAFF	20,21A, 21C	\$475,000	\$1,037,159	\$411,740
C-MAY-0203	SCORECARD PROGRAM	20	\$381,000	\$665,996	\$351,398
C-HPD-0024	HPD FAIR HOUSING SERVICES PROGRAM	21D	\$336,000	\$2,344,631	\$216,363
C-VARIOUS-0063	CDBG ADMINISTRATION	21A	\$2,359,000	\$4,104,941	\$2,229,723
C-HPD-0092	HPD ADMINISTRATION	21A	\$4,610,000	\$8,834,678	\$4,571,869

**CDBG
PROGAM EXPENDITURES**

DCP TABLE #1

Proj_Code	Program Name	HUD Code	Revised 2012 Allocation	Amount City Authorized	Amount City Expended/ Drawdown/ Disbursed
C-HPD-0114	NEIGHBORHOOD PRESERVATION CONSULTANTS	18B	\$701,000	\$4,404,935	\$938,426
C-HPD-0015	IN REM BUILDING MAINTENANCE AND REPAIR PROJECT SUPPORT	19E	\$2,309,000	\$4,287,327	\$2,289,084
Subtotal			\$38,443,000	\$70,143,413	\$33,514,348
N/I Not Applicable-No Suitable Performance Indicator					
C-HPD-0209	REHABILITATION SERVICES	14H	\$919,000	\$2,295,193	\$1,237,314
C-DPR-0054	LAND RESTORATION PROGRAM	03E,05,06	\$519,000	\$1,062,122	\$600,899
C-DSNY-0031	NEIGHBORHOOD VACANT LOT CLEAN-UP	06	\$18,734,000	\$31,178,742	\$16,494,826
C-HPD-0010	TARGETED CODE ENFORCEMENT	15	\$33,430,000	\$42,866,985	\$31,592,179
Subtotal			\$53,602,000	\$77,403,042	\$49,925,218

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj_Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Amended Accomp_#	Actual Accomp_#
	COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)					
C-CHA-0039	ELDERLY SAFE-AT-HOME	05A	Total number of persons assisted with new/improved access to a service	2,547	2,328	2,556
C-CHA-0041	SENIOR RESIDENT ADVISOR PROGRAM	05A	Total number of persons assisted with new or continuing access to a service or benefit	2,561	2,402	2,654
C-MAY-0047	PROJECT OPEN HOUSE FOR DISABLED PERSONS	14A, 14B	Total rental units made accessible for persons with disabilities	10	5	0
C-MAY-0047			Total number owner-occupied units rehabilitated or improved	10	4	0
C-MAY-0048	HOUSING INFORMATION AND EDUCATION	05B	Total number of persons assisted with new/improved access to a service	35,500	36,000	210,946
C-HPD-0085	7A PROGRAM	14B	Total number of units brought from substandard to standard condition.	95	9	6
C-HPD-0012	IN REM SUPERINTENDENT CONTRACT	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory; occupied & vacant units)	188	68	114
C-HPD-0013	IN REM MATERIAL MANAGEMENT AND PROCUREMENT	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory; occupied & vacant units)	188	68	114
C-HPD-0014	IN REM PROPERTY MANAGEMENT PROGRAM	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory; occupied & vacant units)	188	68	114
C-HPD-0090	IN REM BUILDING MAINTENANCE AND REPAIR PROGRAM	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory; occupied & vacant units)	188	68	114
C-HPD-0207	PROPERTY DISPOSITION AND FINANCE (FORMERLY IN REM ALTERNATIVE MANAGEMENT PROGRAM)	19E	Estimated PDF occupied inventory 1-1-13	2,617		
C-HPD-0207			Actual PDF occupied inventory 7-1-13		2,757	
			Actual PDF occupied inventory 12-31-13			3,266
C-HPD-0084	LITIGATION (FORMERLY HOUSING LITIGATION DIVISION)	15, 19E	Number of Households that received legal assistance to prevent homelessness (# of cases litigated).	124,500	124,000	141,960
C-HPD-0198	HPD EMERGENCY SHELTERS	08	Total number of persons given overnight shelter (# of households)	1,332	1,295	1,240
C-HPD-0009	EMERGENCY REPAIR PROGRAM	06, 14B, 14I, 19E	Total number of units brought from substandard to standard condition	15,000	14,500	8,934
C-HPD-0009			Total number of units brought into compliance with lead-safe housing rule	2,700	1,800	1,222

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Amended Accomp_#	Actual Accomp_#
C-HPD-0206	ALTERNATIVE ENFORCEMENT PROGRAM	14B	Total number of units brought from substandard to standard condition	2,000	2,200	303
C-HPD-0000	NEIGHBORHOOD HOUSING SERVICES	14A, 14B, 21A	Total number of owner-occupied units brought from substandard to standard condition	30	30	22
C-DYCD-0142	BEACON SCHOOL PROGRAM	05	Total number of persons assisted with new/improved access to a service	16,800	18,500	21,102
C-DFA-0183	DFTA SENIOR CENTER IMPROVEMENTS	03A	Total number of persons assisted with new/improved access to a facility	1,700	14,150	8,932
C-DFA-0183			Public Facilities	12	7	5
C-DHS-0046	HOMELESS OUTREACH AND HOUSING PLACEMENT SERVICES (DROP-IN CENTERS OUTREACH)	05O	Total number of persons assisted with new/improved access to a service	729	1,000	1,399
C-MOCJC-0037	SAFE HORIZON	05, 21B	Total number of persons assisted with new or continuing access to a service or benefit	115,000	118,000	125,463
C-CHR-0040	COMMISSION ON HUMAN RIGHTS LAW ENFORCEMENT PROGRAM	05	Total number of persons assisted with new/improved access to a service (Units of service: # of Investigations anticipated to be initiated in 2013 + # of investigations carried over from 2012)	1,800	2,000	2,162
C-CHR-0051	COMMISSION ON HUMAN RIGHTS NEIGHBORHOOD HUMAN RIGHTS PROGRAM	05, 20, 21D, 21A	Total number of persons assisted with new/improved access to a service (Units of service)	85,000	85,000	82,569
C-DHS-0182	DHS HOMELESS SERVICES (formerly DHS HOMELESS FAMILIES SERVICES)	05	Total number of Homeless persons given overnight shelter	346	317	4,375
C-DPR-0095	MINIPOOLS	05D	Total number of persons assisted with new/improved access to a service	104,720	89,012	77,044
C-DPR-0032	PROSPECT PARK SPECIAL ADMINISTRATOR'S OFFICE	05	Total number of persons assisted with new or continuing access to a service or benefit (Based on a user's survey)	8,863,109	8,863,109	8,863,109
C-DYCD-0174	MET COUNCIL FOOD PROGRAM	05	Total number of persons assisted with new/improved access to a service		169,500	168,000
C-DPR-0033	VAN CORTLANDT/PELHAM BAY PARKS SPECIAL ADMINISTRATORS' OFFICE	05	Total number of persons assisted with new or continuing access to a service or benefit (Based on a user's survey)	4,000,000	400,000	4,000,000

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj_Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Amended Accomp_#	Actual Accomp_#
C-DPR-0053	GREENTHUMB	05	Total number of persons assisted with new/improved access to a service	74,840	83,002	87,196
C-ACS-0042	DAY CARE CENTER SERVICES	05L	Total number of persons assisted with new/improved access to a service	370	297	544
C-DFA-0049	ELDERLY MINOR HOME REPAIR PROGRAM	05A	Total number of persons assisted with new/improved access to a service	2,300	2,328	2,507
C-DCA-0079	COMMUNITY ARTS DEVELOPMENT PROGRAM	19C	Organizations	7	9	12
C-LPC-0052	LANDMARKS HISTORIC PRESERVATION GRANT PROGRAM	16A, 16B	Total number of commercial façade treatments	2	0	0
C-LPC-0052			Total number of owner-occupied units rehabilitated or improved	6	6	6
C-DPR-0055	BRONX RIVER PROJECT	05	Total number of persons assisted with new improved access to a service. (Units of Service)	138,000	169,000	170,000
C-DOEd-0165	CODE VIOLATION REMOVAL IN SCHOOLS	03	Total number of persons assisted with new/improved access to a facility	122,377	96,515	155,919
C-DOEd-0165			Public Facilities	147	113	162
C-DOEd-0165			No Suitable HUD Indicator (Number of Code Violations Removed)	181	130	200
C-HPD-0171	EMERGENCY DEMOLITION PROGRAM	04, 06, 19E	Total # of slum and blight demolitions	40	30	15
C-VARIOUS-0204	ADULT LITERACY PROGRAM	05, 21B	Total number of persons assisted with new/improved access to a service	2,200	2,200	2,617
C-SBS-0200	NYC BUSINESS SOLUTIONS CENTER	05H, 18B, 18C	Total number of persons assisted with new/improved access to a service via Business Basics training	3,500	2,000	5,886
C-SBS-0200			Total existing businesses assisted	3,500	900	1,766
C-SBS-0200			Total new businesses assisted	7,000	7,000	0
C-SBS-0026	AVENUE NYC	18B, 19C	Total existing businesses assisted	5	5	11
C-SBS-0026			Total new businesses assisted	0	0	0
C-DCP-0061	DCP INFORMATION TECHNOLOGY	20	Not Applicable			
C-DCP-0062	DCP COMPREHENSIVE PLANNING	20	Not Applicable			
C-HPD-0060	HPD HOUSING POLICY ANALYSIS AND STATISTICAL RESEARCH	20	Not Applicable			
C-HPD-0166	HPD PROGRAM PLANNING	20	Not Applicable			
C-HPD-0137	HPD NEIGHBORHOOD PRESERVATION OFFICES	20	Not Applicable			

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj_Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Amended Accomp_#	Actual Accomp_#
C-LPC-0202	LPC PLANNING	20	Not Applicable			
C-HPD-0199	RENT GUIDELINES BOARD SUPPORT STAFF	20, 21A, 21C	Not Applicable			
C-MAY-0203	SCORECARD PROGRAM	20	Not Applicable			
C-HPD-0024	HPD FAIR HOUSING SERVICES	21D	Not Applicable			
C-VARIOUS-0063	CDBG ADMINISTRATION	21A	Not Applicable			
C-HPD-0092	HPD ADMINISTRATION	21A	Not Applicable			
C-HPD-0114	NEIGHBORHOOD PRESERVATION CONSULTANTS	18B	Not Applicable			
C-HPD-0015	IN REM BUILDING MAINTENANCE AND REPAIR PROJECT SUPPORT	19E	Not Applicable			
C-HPD-0209	REHABILITATION SERVICES	14H	Number of physical inspections performed	450	450	502
C-DPR-0054	LAND RESTORATION PROGRAM	03E, 05, 06	No appropriate HUD Indicator (Number of Acres Treated)	280	200	257
C-DOS-0031	NEIGHBORHOOD VACANT LOT CLEAN-UP	06	No Suitable HUD Indicator (Number of Vacant Lots Cleaned)	3,700	2,968	2,769
C-HPD-0010	TARGETED CODE ENFORCEMENT	15	No Suitable HUD Indicator (Number of Code Violations Removed)	550,000	450,000	418,662

Financial Summary Chart for the Community Development Block Grant Program

On the pages that follow is the CDBG Financial Summary Chart. This chart reflects available funds and expenditures for the period 01/01/13 - 12/31/13. In addition to the CD entitlement received for 2013, revenues also are generated through program income.

Part III: 70% of CD Program funds must benefit low- and moderate-income (low/mod) persons. For calendar year 2013, the percent benefit to low/mod persons is 90.67.

Part IV: 70% of CD Program funds must benefit low- and moderate-income (low/mod) persons. The City has chosen to be evaluated for a three-year period (2011, 2012, and 2013) and has just completed the third year. The percent benefit to low/mod persons is 90.68.

Part V: The Public Service cap is calculated by dividing the sum of the Public Service expenditures and the Public Service unliquidated obligations by the sum of the current year's grant and the prior year's program income. The Public Service cap is 15%. In calendar year 2013, the Public Service percentage is 13.69%.

Part VI: No more than 20% of the CD Entitlement plus program income received in the current year may be expended for planning and administration activities. In 2013, 15.89% of CD funds was expended for these activities.

**FINANCIAL SUMMARY
ANNUAL PERFORMANCE REPORT
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

Name of Grantee: City of New York
Grant Number: B13MC360104
Reporting Period: 01/01/13 - 12/31/13

NOTE: This Financial Summary Report represents calendar year 2013 fiscal data.

PART I: Summary of CDBG Resources

1. Unexpended CDBG funds at end of previous reporting period		167,499,137.00
2. Entitlement Grant from form HUD-7082		157,053,419.00
3. Surplus Urban Renewal Funds		0.00
4. Section 108 Guaranteed Loan Funds (Principal Amount)		0.00
5. Program Income received by		
	Grantee	Subrecipient
	(column A)	(column B)
a. Revolving Funds - NHS	107,795.00	0.00
b. Other (identify below)	0.00	38,063,215.00
Total Program Income (sum of columns A and B)		38,171,010.00
6. Prior period adjustments (if negative, enclose in brackets)		0.00
7. Total CDBG funds available for use during this reporting period		362,723,566.00

PART II: Summary of CDBG Expenditures

8. Total expenditures reported *		196,195,360.00
9. Total expended for Planning & Administration	30,794,980.00	
10. Amount subject to Low/Mod Benefit calculation	165,400,380.00	
11. CDBG funds used for Section 108 principal & interest payments		0.00
12. Total expenditures (line 8 plus line 11)		196,195,360.00
13. Unexpended balance (line 7 minus line 12)		166,528,206.00

PART III: Low/Mod credit this Reporting Period

14. Total Low/Mod credit for multi-unit housing expenditures	89,834,133.00
15. Total from all other activities qualifying as Low/Mod expenditures	60,137,408.00
16. Total (line 14 plus line 15)	149,971,541.00
17. Percent benefit to Low/Mod persons (line 16 divided by line 10 this reporting period)	90.67%

PART IV: Low/Mod Benefit for Multi-Year Certifications (Complete only if certification period includes prior years)

Program Years (PY) covered in certification PY '11 PY '12 PY '13

18. Cumulative net expenditures subject to program benefit calculation	532,636,717.00
19. Cumulative expenditures benefiting Low/Mod persons	483,000,933.00
20. Percent benefit to Low/Mod persons (line 19 divided by line 18)	90.68%

PART V: For Public Services Activities only: Public Services Cap Calculation

21. Total Public Service expenditures	26,698,171.00
22. Total Public Service unliquidated obligations	5,848,780.00
23. Sum of line 21 and line 22	32,546,951.00
24. Total Public Service unliquidated obligations reported at the end of the previous reporting period	4,639,923.00
25. Net obligations for public services (line 23 minus line 24)	27,907,028.00
26. Amount of Program Income received in the preceding program year	46,768,786.00
27. Entitlement Grant amount (from line 2)	157,053,419.00
28. Sum of lines 26 and 27	203,822,205.00
29. Percent funds obligated for Public Service Activities (line 25 divided by line 28)	13.69%

* Includes Neighborhood Housing Services (NHS) program.

**FINANCIAL SUMMARY
ANNUAL PERFORMANCE REPORT
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

Name of Grantee: City of New York
Grant Number: B13MC360104
Reporting Period: 01/01/13 - 12/31/13

PART VI: Planning and Program Administration Cap Calculation

30. Amount subject to Planning and Administration cap (grant amount from line 2 plus line 5).....	195,224,429.00
31. Amount expended for Planning and Administration (from line 9).....	30,794,980.00
32. Total Planning and Administration unliquidated obligations	1,164,041.00
33. Sum of line 31 and line 32	31,959,021.00
34. Total Planning and Admin. unliquidated obligations reported at the end of the previous reporting period	939,417.00
35. Net obligations for Planning and Administration (line 33 minus line 34)	31,019,604.00
36. Percent funds obligated for Planning and Administration Activities (line 35 divided by line 30)	15.89%

PROGRAM INCOME NARRATIVE

Due to limitations inherent in the IDIS software, a listing of Program Income sources generated by prior years' completed CD programs follows the Financial Summary as Chart A.

Line 5b:

Other Program Income			
Project ID #	Description	Grantee	Subrecipient
207	Tenant Interim Lease	968,871.00	0.00
171	Emergency Demolition Program	1,416,159.00	0.00
85	7A Administration	2,582,920.00	0.00
9	Emergency Repair Program	14,399,616.00	0.00
31	Vacant Lot Clean Up	1,227,681.00	0.00
84	Housing Litigation Division	3,980,496.00	0.00
61	Information Technology	63,407.00	0.00
206	Alternative Enforcement: Repairs	4,415,378.00	0.00
206	Alternative Enforcement: Fees	1,066,636.00	0.00
9	Emergency Repair Program II	2,763,712.00	0.00
171	Emergency Demolition Program II	462,405.00	0.00
10	CD Multiple Dwelling & Copy Fees	1,199,642.00	0.00
10	CD Dismissal Request	1,493,840.00	0.00
10	Heat & Hot Water Violations	80,300.00	0.00
40/51	Civil Penalties	55,464.00	0.00
10	Heat & Hot Water Fees	75,862.00	0.00
-	Completed CD programs' revenue streams.	1,810,826.00	0.00
TOTAL:		38,063,215.00	0.00

Line 6: **PRIOR PERIOD ADJUSTMENT NARRATIVE**

RECONCILIATION OF LINE (S) OF CREDIT (LOC) AND CASH BALANCES TO UNEXPENDED BALANCE OF CDBG FUNDS SHOWN ON THE APR:

Complete the following worksheet and submit with the attachment:

UNEXPENDED BALANCE SHOWN ON FINANCIAL SUMMARY (line 13):	166,528,206.00
ADD:	
LOC balance (s) as of APR date	166,513,642.00
Cash on Hand:	
Grantee Program Account	0.00
Subrecipients Program Accounts	0.00
Revolving Fund Cash Balances	14,564.00
Section 108 Accounts (in contract)	0.00
SUBTRACT:	
Grantee CDBG Program Liabilities (include any reimbursements due to the Grantee from program funds)	(0.00) *
Subrecipient CDBG Program Liabilities (Same instructions as above)	(0.00) *
TOTAL RECONCILING BALANCE:	166,528,206.00
UNRECONCILED DIFFERENCE:	0.00

* When grantees or subrecipients operate their programs on a reimbursement basis, any amounts due to the grantees or subrecipients should be included in the Program Liabilities.

**ANNUAL PERFORMANCE REPORT
 CD YEAR 39 REVENUE SUMMARY
 (Reporting Period: 01/01/13 - 12/31/13)
 COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

SOURCE AGENCY	REVENUE SOURCE DESCRIPTION	REVENUE AMOUNT
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CHART A

HPD	Sweat Equity	64,989
HPD	Participation Loan	110,752
HPD	SRO Rehab Loan	121,549
HPD	Federal Urban Renewal Leases and Rents	1,475,475
Various	Program Income Adjustments	23,739
EDC	EDC Community Development Revenue	14,322
PROGRAM INCOME - COMPLETED PROGRAMS TOTAL:		1,810,826

2. HOME INVESTMENT PARTNERSHIP PROGRAM (HOME)

The New York City Department of Housing Preservation and Development has continued to use its limited resources to fulfill the long-range strategies that were laid out in the FFY 2013 Consolidated Plan. Actual accomplishments for some of the individual programs vary from the proposed accomplishments due to use of HOME funds for long-term construction and rehabilitation purposes. Please refer to the note at the end of this article for a complete discussion explaining the basis for substantial variances between proposed and actual accomplishments.

The City's 2013 HOME award was \$57,811,908 for HOME; it was received on August 9, 2013. It was projected that the majority of the 2013 grant would be targeted to substantial and moderate rehabilitation activities designed to benefit a range of small and large households, homeless families and individuals and elderly families. In addition, some of the 2013 HOME grant may be used toward acquisition, new construction, rental assistance, or down payment assistance. The funds must be committed to projects by August 31, 2015. The City's schedule to commit projects with these funds has not been finalized.

During 2013, after allowing for a 10% administrative cost allowance on the HOME grant, the City committed \$ 54,030,650 of HOME funds for a variety of affordable housing projects. These funds include HOME monies granted in prior years but committed in HUD's Cash Management Information System (CMI) and in HUD's Integrated Disbursement and Information System (IDIS) between January 1, 2013 and December 31, 2013. The funds committed during this time were from 2010, 2011 and 2012 HOME awards. Therefore, the funds committed discussed in this section do not correspond directly to the amounts received from HUD as listed in the Program Expenditures Table (DCP Tables # 1.1 through 1.3). The required IDIS HOME tables are provided in Volume III: C04PR27 Status of HOME Grant; C04PR22 Status of HOME Activities; and C04PR25 Status of CHDO funds. Volume III is over 200 pages in length, and therefore will only be provided upon request. To request a copy, please call (212) 720-3337.

In the HOME Grant Allocations Table, the column entitled " Program Dwelling Units Proposed" was taken from the amended 2013 Consolidated Plan, which the City published after the City actually received its 2013 HOME grant. This figure represents the City's estimate as to the total number of households that will be assisted over time using 2013 HOME funds. In the HOME Funds Actually Committed by HPD Table column entitled "Program Dwelling Units Started" indicates the total number of households being assisted in projects that actually committed HOME funds during calendar year 2013. A fuller explanation as to why this methodology was used is at the end of this HOME Section.

The matching requirements for the HOME grant were reduced for many localities in an effort to ease the financial burden on jurisdictions already strapped for funds. As with the City's past HOME allocations, 2013 HOME funds must be matched by non-federal resources (for example, cash, value of waived taxes, value of land, cost of infrastructure improvements) based on the type of activity undertaken. For every federal dollar, 0.125 non-federal dollars must be spent.

It is anticipated that 2013 HOME program dollars will be combined with City capital funds in several HPD programs. These programs are described in detail in the 2013 Consolidated Plan. It is important to note that at least 15% of HOME funds must be reserved for housing to be developed, sponsored, or owned by community housing development organizations (CHDOs). However, given the City's long and extensive history of involvement with not-for-profit organizations in the production, ownership and management for affordable housing, it is again likely that more than the 15% minimum of the HOME awards will be used for projects involving CHDOs. In addition, 10% of HOME funds are set aside for eligible administrative expenses, as is reflected in the HOME/CMI Table and on the HOME Program Expenditure Table.

In the 2010 Consolidated Plan: Five-Year Strategy, the City of New York's agencies that address housing issues identified several housing-related program objectives. Below is an explanation of how HPD has used its resources (federal, state, city, and private funds) to meet those broad objectives.

In all of the City-administered HOME-funded programs that benefited newly assisted households in 2013, the City assisted 2,938 housing units, which is substantially more than its overall goal of benefiting 744 housing units. Nevertheless, variances arise between planned and actual start dates for housing projects. Also, planning of projects is tied to estimations of the dates that projects will be ready for commitment in IDIS, and these estimations are not readily predictable in advance of the program year, when estimations are made. Consequently, on a program-by-program basis, variances between planned and actual goals are explained under the objectives headings in the following article.

Objectives:

DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing

The **Supportive Housing Loan Program** actually committed \$91,795,315 in 2013, far more than the \$31,679,377 planned, for the acquisition and rehabilitation of properties by not-for-profit organizations for the purpose of developing new permanent housing for homeless and low-income single adults. The program will benefit 1,088 units, far more than the 267 units planned in the 2013 Consolidated Plan. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Supportive Housing Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2013. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

In 2013, HPD committed no HOME funds for the **Third Party Transfer Program**, although \$1,820,531 were planned to be committed to assist 14 housing units in the 2013 Consolidated Plan. As a result, no Third Party Transfer households were reported in the IDIS system to be assisted in 2013. The 2013 Consolidated Plan assumed the utilization of HOME funds in the Third Party Transfer Program, but new sites closed within that program year did not utilize HOME. In this program, the number of buildings that actually receive commitments of HOME funds can vary substantially from HPD's projection at the time that the Consolidated Plan is prepared.

In 2013, HPD planned to use \$737,029 in HOME funds for the **Article 8A Loan Program**, which provides loans to finance the replacement of existing systems or removal of substandard conditions which are violations of the multiple dwelling law or local housing code. The loan program is authorized by the New York State Private Housing Law. Some 29 units were planned to benefit from the use of funds. In 2013, HPD actually committed \$2,632,565, far more than the planned amount. Use of these funds resulted in 222 units benefiting, a substantially greater number than was planned. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Article 8A Loan Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2013. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

HPD actually committed \$30,693,349 in HOME funds for the **Low-Income Rental Program**, although \$5,216,869 of HOME funds were planned in the 2013 Consolidated Plan. Nevertheless, 1,054 units will actually be assisted through the program, although 102 units were estimated in the 2013 Consolidated Plan. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Low Income Rental Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2013. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

DH-2 Affordability for the Purpose of Providing Decent Affordable Housing

In 2013, HPD committed no HOME funds to the **Multifamily Preservation Loan Program** (formerly known as the Neighborhood Entrepreneurs Program (NRP) and the Neighborhood Redevelopment Program (NEP)), although the amount of funding planned in the 2013 Consolidated Plan was \$3,487,365. No units will benefit from the program, although 29 units were planned in the 2013 Consolidated Plan. The 2013 Consolidated Plan assumed the utilization of HOME funds in the Multifamily Preservation Loan Program, but new sites closed within that program year did not utilize HOME. In this program, the number of buildings that actually receive commitments of HOME funds can vary substantially from HPD's projection at the time that the Consolidated Plan is prepared.

HPD committed \$10,165,500 of HOME funds for the **Senior Housing Program (formerly HUD Section 202)** in 2013, although it had planned to commit: \$4,301,599 of HOME funds in the 2013 Consolidated Plan. Some 231 units were assisted through the program in 2013, although some 66 units were estimated in the 2013 Consolidated Plan. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Senior Housing Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2013. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

In 2013, HPD actually assisted 284 households under the **HomeFirst Downpayment Assistance Program**, far higher than the 85 households planned in the 2013 Consolidated Plan. While HPD planned to commit \$1,429,736 of HOME funds to the program, the actual amount of HOME funds committed was \$3,390,090. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the HOMEFIRST Downpayment Assistance Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2013. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

HOME Tenant-Based Rental Assistance had become a funding priority for HPD in 2011 when the New York City Housing Authority (NYCHA) found itself unable to support some of its neediest applicants. By early in calendar 2013, HPD's TBRA support for these applicants became less urgent, as NYCHA vouchers became available. The TBRA households were transitioned back to NYCHA Section 8 vouchers, which required comparatively less of the \$884,053 planned funding for TBRA to assist 65 households. The actual HOME funding for TBRA in calendar 2013 was \$327,431 to assist 31 new households, less than the planned 65 households. A great majority of HOME TBRA-funded households assisted in calendar 2013 (74 of the aggregate 119 households assisted) received only one month of TBRA subsidy in 2013, for January 2013. NYCHA resumed responsibility for their subsidies. At the same time, HPD anticipated a faster rate of return to NYCHA of these remaining TBRA households than actually occurred. In addition, HPD offered HOME assistance in 2013 to 39 HPD applicants who had their HPD vouchers frozen because of sequestration of federal HUD funding by Congress. As of December 2013, HPD assists 33 households with HOME TBRA.

DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing

The City of New York has devoted significant resources to the moderate rehabilitation of privately owned housing. Such programs include the **Participation Loan Program (PLP)**, which in 2013 actually committed \$805,923 in HOME funds, in line roughly with the \$825,196 HPD planned in PLP HOME funding in calendar 2013. The funds provide low-interest loans to both for-profit and not-for-profit owners so that occupied and vacant multi-family buildings can undergo rehabilitation, ranging from moderate to substantial. While 16 units were planned to be assisted, the actual number assisted was zero units.

The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Participation Loan Program. The actual accomplishment represents an increase of an earlier project that closed and was set up against HOME grant funds during Program Year 2013. The new sites closed within that program year did not utilize HOME. In this program, the number of buildings that actually receive commitments of HOME funds can vary substantially from HPD's projection at the time that the Consolidated Plan is prepared.

HPD committed no HOME funds for the **HUD Multifamily Program** in 2013, although it had planned to commit \$1,648,962 of HOME funds in the 2013 Consolidated Plan. No units were assisted through the program, although 71 units were estimated in the 2013 Consolidated Plan. The 2013 Consolidated Plan assumed the utilization of HOME funds in the HUD Multifamily Program, but the new sites that closed within that program year did not utilize HOME. In this program, the number of buildings that actually receive commitments of HOME funds can vary substantially from HPD's projection at the time that the Consolidated Plan is prepared.

Since 2003, when the City launched the **New Housing Marketplace Plan (NHMP or the Plan)**, the City has financed nearly 160,000 units of affordable housing throughout the five boroughs and is on track to exceed its ambitious goal of 165,000 units by June 2014. At completion, the NHMP will have provided affordable homes for over half a million New Yorkers, representing the largest municipal housing effort in the nation's history. All together, the NHMP units house a demographically and economically mixed community the size of present day Atlanta. NHMP starts as of December 31, 2013 were 160,477 dwelling units.

Separately, in 2013, HPD continued to provide rental (subsidy) assistance through several subsidy programs: Housing Choice Voucher (HCV); Enhanced Vouchers; Shelter Plus Care (SPC); Project-Based Vouchers (PBV); HOME Tenant Based Rental Assistance; Temporary Disaster Assistance Program (TDAP); and Moderate Rehabilitation (MOD). These programs consist of both tenant-based as well as project-based rental assistance. A snapshot view of these programs shows that as of the close of December, 2013, a total of 37,079 households participated, as follows: HCV with 23,215 households; Enhanced Vouchers with 5,977 households; MOD with 2,249 households; SPC with 2,038 households; HOME with 33 households; TDAP with 22 households; and Project-Based Vouchers with 1132 households. These accomplishments include 978 HCV households that were newly assisted during the calendar year period.

HOME Completions in 2013

The number of HOME-assisted housing units completed in this category during calendar 2013 using current and prior years grant funds, was 3,733. The following information is a breakdown of the number of HOME-assisted housing completions in 2013 by program.

<u>Program Name</u>	<u>Number of Units</u>
Multifamily Preservation Loan Program (NEP and NRP)	102
Participation Loan Program (PLP)	45
Third Party Transfer (TPT)	10
The Supportive Housing Loan Program (formerly known as SRO Loan Program)	374
Multifamily Homeownership (formerly known as the Cornerstone) Program	22
Article 8-A Loan Program	2,433
Multifamily New Construction	143
Multifamily Rental Mixed Income Program (MIRP)	35
Low-Income Rental Program (formerly New MIRP)	259
HUD Senior Housing Program (formerly known as HUD Section 202 Program)	15
Small Buildings Loan Program	11

HomeFirst Down Payment Assistance Program (ADDI)	284
Total	3,733

IMPORTANT NOTE:

The HOME Program is primarily designed to stimulate the development of permanent housing projects, including a variety of substantial (a.k.a., gut) rehabilitation projects as well as new construction projects. By its very nature, the development of permanent housing is an involved process and takes a considerable period of time. In fact, development sites/buildings must be located and acquired, developers selected, market studies performed, architectural drawings and construction scopes of work completed, and financing obtained before most projects can even be committed in HUD's IDIS/CMI system. Once projects are committed, the construction cycle can take as much as another 24 months depending on conditions and the amount of work being performed. In recognition of these facts, the HOME regulations allow grantees up to two years from receipt of the funds to commit them and an additional three years to complete construction and submit the necessary project completion reports. Consequently, it is clearly not possible to produce a complete report on the commitment of 2013 HOME funds, let alone the completion of construction for units assisted with 2013 HOME funds, in the time frame allowed for the 2013 Annual Performance Report, which is required to be completed in early 2013. Instead, under the heading "Actual Accomplishments", HPD has provided information regarding actual HOME commitments made in the CMI/IDIS system during 2013, recognizing that most, if not all, of these commitments were registered using funding from earlier HOME grants. Consequently, the figures provided under this heading will not correspond directly with the figures in the column entitled "Proposed Accomplishments," which represents the Agency's best projections as to how the 2013 HOME grant will be committed over the next two years and how many units will be assisted. Please note also that in compliance with the Annual Performance Report requirements, HPD has provided a full accounting of all units completed in HOME projects during 2013 under the Accomplishments section of this report. Clearly, these figures also represent units that were funded with earlier HOME awards rather than with the 2013 HOME grant. See the notes on the "Table of HOME Program Expenditures," for an explanation on the use of 2013 HOME funds.

HOME Project Report Summaries

On-site Inspections of Assisted Affordable Rental Housing

Please refer to Volume 2, Part II.F., Monitoring, Monitoring of Affordable Housing Units – HPD for the results of HPD's compliance monitoring in 2013 (Page II-69).

Section 3 of the Housing and Urban Act of 1968

The City of New York, to the greatest extent feasible, is committed to directing job training and employment opportunities to low- and very low-income New Yorkers, and its programs have increased opportunities for these groups. The Department of Housing Preservation and Development (HPD) has undertaken various affirmative efforts to realize the benefits of Section 3 for local residents and local businesses:

- HPD includes information on Sec. 3 requirements in the equal opportunity packages provided to HPD developers, contractors and their sub-contractors at weekly Pre-Award Conferences. Representatives of 585 firms attended 51 sessions in calendar year 2013.
- HPD includes the Section 3 clause in its HUD-funded contracts, alerting each entity of the program and its obligations. The clause also requires its placement in every subcontract subject to Section 3 regulations.
- HPD staff offers individual Section 3 training sessions to HOME funded developers and General Contractors to explain the applicability of the Section 3 requirements to their projects and receive instruction on how to properly complete the Section 3 Project Summary, New Hire Reports, and Business Concern Applications. These training sessions are offered throughout the year on an ongoing basis.
- HPD has created and posted a HUD Section 3 webpage at the HPD website. The webpage contains an explanation of the regulations, reporting forms, a Section 3 Business Concern application, a Business

Concerns directory and a listing of employment/training referral sources. The webpage provides firms working with the Agency easy access the information they need to comply. It is available here: http://www.nyc.gov/html/hpd/html/developers/hud_sec3.shtml

- HPD has procured and will be implementing a new web based payroll management system this summer. The LMAC (Labor Management And Compliance) service will collect, store, manipulate, report on and retrieve certified payrolls and related documentation submitted by contractors and their subcontractors as are required by labor laws and socioeconomic mandates, including Section 3. LMAC will replace the paper-based and labor intensive process in place currently, allowing contractors to electronically identify Section 3 firms and workers at the time of initial payroll submission.

Job Category	TOTAL Number of New Hires¹	Number of New Hires That Are Section 3 Residents²	TOTAL Number of Employees and Trainees³	Number of Section 3 Employees and Trainees⁴
Professionals			2	2
Technicians			2	2
Office and Clerical	1	0	4	
Officials and Managers				
Craft Workers (skilled)	37	2	186	62
Operatives (semiskilled)				
Laborers (unskilled)	8	6	63	5
Service Workers				
Total	45	7	263	71
Section 3		15%		27%

HPD tracked 14 HOME funded projects, having a total construction cost of over \$238M in Calendar 2013. Construction employers at work on these development projects filled 45 positions last year, of which 7 (15%) were Section 3 residents. Of the total workforce figure above (263), 27% were Section 3 employees hired within the last three years.

Of the 14 contracts surveyed, 2 contracts, with a total value of \$60M (25% of \$238M), were let to firms that qualified as Section 3 Business Concerns. In addition, the survey captured \$2.3M in subcontract activity by Section 3 Business Concerns.

HPD is committed to implementing the goals of the Section 3 program.

**HOME GRANT ALLOCATIONS
in Calendar 2013**

DCP TABLE #1.1

This chart reflects the final proposed allocation of the federal HOME grant, in this case the calendar 2013 HOME grant, into various HPD programs that will be supported by HOME. The numbers are derived from the final Consolidated Plan, or any substantial amendment to the Consolidated Plan if applicable. In addition to the breakout of funding by HPD programs, this chart also reflects the estimated number of households, or dwelling units, that each program will support with the associated dollar allocation amount.				
Proj Code	Program Name	HUD Code	Amount City Allocated	Program Dwelling Units Proposed
	HOME INVESTMENT PARTNERSHIPS			
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing				
H-HPD-0006	THE SUPPORTIVE HOUSING PROGRAM	12,14B,14G	\$31,679,377	267
H-HPD-0010	THIRD PARTY TRANSFER	01,12,14B	\$1,820,531	14
H-HPD-0012	ARTICLE 8-A LOAN PROGRAM	14B	\$737,029	29
H-HPD-0031	LOW INCOME RENTAL PROGRAM (Formerly NEW MIRP)	01,12	\$5,216,869	102
DH-1 Subtotal			\$39,453,806	
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0033	SENIOR HOUSING PROGRAMS (Formerly HUD SECTION 202)	12,14B,14G	\$4,301,599	66
H-HPD-0035	HOME TENANT-BASED RENTAL ASSISTANCE	21F	\$884,053	65
H-HPD-0038	MULTIFAMILY PRESERVATION LOAN PROGRAM	14B	\$ 3,487,365	29
H-HPD-0201	HOMEFIRST DOWNPAYMENT ASSISTANCE PROGRAM	13	\$1,429,736	85
DH-2 Subtotal			\$10,102,753	
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0004	PARTICIPATION LOAN PROGRAM (GUT/MOD)	12,13,14B,14G	\$825,196	16
H-HPD-0028	HUD MULTIFAMILY PROGRAM	14B,14G	\$1,648,962	71
DH-3 Subtotal			\$2,474,158	
N/A Not Applicable				
H-HPD-0001	HPD ADMINISTRATION	21E,21H	\$5,781,191	
Subtotal			\$5,781,191	
Programs from Prior Consolidated Plan Program Years				
H-HPD-0005	SMALL BUILDINGS LOAN	13, 14A, 14B		
H-HPD-0011	MULTIFAMILY HOMEOWNERSHIP (Cornerstone Program)	01,12		
	MULTIFAMILY NEW CONSTRUCTION	12		
H-HPD-0029	MULTIFAMILY RENTAL MIXED INCOME	01,12		
Subtotal				

**HOME FUNDS ACTUALLY COMMITTED by HPD in Calendar 2013 |
Dwelling Units Actually Started by HPD in Calendar 2013**

DCP TABLE #1.2

This chart reports on the amount of HOME funds that HPD actually committed for each particular HPD program in the 2013 calendar year, as HPD reported in the federal accounting system, IDIS. In addition, this chart reports the number of households, or dwelling units, that each program actually started to support in the calendar year.

It is important to note that HOME funds committed by HPD in calendar 2013 are mostly prior-grant years' awards. HPD always commits its full grant allocation but it can take time to develop and close our construction pipelines, and HOME rules allow for this by permitting localities two years to commit a HOME grant.

Proj_Code	Program Name	HUD Code	Amount City Committed in 2013	Program Dwelling Units Started
	HOME INVESTMENT PARTNERSHIPS			
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing				
H-HPD-0006	THE SUPPORTIVE HOUSING PROGRAM	12,14B,14G	\$91,795,315	1,088
H-HPD-0010	THIRD PARTY TRANSFER	01,12,14B	\$0	0
H-HPD-0012	ARTICLE 8-A LOAN PROGRAM	14B	\$2,632,565	222
H-HPD-0031	LOW INCOME RENTAL PROGRAM (Formerly NEW MIRP)	01,12	\$30,693,349	1,054
DH-1 Subtotal			\$125,121,229	
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0033	SENIOR HOUSING PROGRAMS (Formerly HUD SECTION 202)	12,14B,14G	\$10,165,500	231
H-HPD-0035	HOME TENANT-BASED RENTAL ASSISTANCE	21F	\$327,431	31
H-HPD-0038	MULTIFAMILY PRESERVATION LOAN PROGRAM	14B	\$0	0
H-HPD-0201	HOMEFIRST DOWNPAYMENT ASSISTANCE PROGRAM	13	\$3,390,090	284
DH-2 Subtotal			\$13,883,021	
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0004	PARTICIPATION LOAN PROGRAM (GUT/MOD)	12,13,14B,14G	\$805,923	0
H-HPD-0028	HUD MULTIFAMILY PROGRAM	14B,14G	\$0	0
DH-3 Subtotal			\$805,923	
N/A Not Applicable				
H-HPD-0001	HPD ADMINISTRATION	21E,21H	\$5,781,191	
Subtotal				
Programs from Prior Consolidated Plan Program Years				
H-HPD-0005	SMALL BUILDINGS LOAN	13, 14A, 14B		
H-HPD-0011	MULTIFAMILY HOMEOWNERSHIP (Cornerstone Program)	01,12		
	MULTIFAMILY NEW CONSTRUCTION	12		
H-HPD-0029	MULTIFAMILY RENTAL MIXED INCOME	01,12	\$1,740,000	59
Subtotal			\$1,740,000	

**HOME PROGRAM FUNDS
EXPENDED/SPENT DOWN/DISBURSED
in Calendar 2013**

DCP TABLE #1.3

This chart reports HOME funds, granted in this or any prior year, that are actually drawn from the federal accounting system, IDIS during the 2013 calendar year. It is important to note that the amounts reported in this chart do not correspond to either the 2013 HOME grant allocation (in HOME Table # 1.1) or the 2013 HOME commitments (in HOME Table #1.2), as most expenditures represent HOME funds allocated and committed from prior years' awards.

Proj_Code	Program Name	HUD Code	Amount City Expended/Drew down/Disbursed
	HOME INVESTMENT PARTNERSHIPS		
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing			
H-HPD-0006	THE SUPPORTIVE HOUSING PROGRAM	12,14B,14G	\$22,631,270
H-HPD-0010	THIRD PARTY TRANSFER	01,12,14B	\$114,539
H-HPD-0012	ARTICLE 8-A LOAN PROGRAM	14B	\$3,875,143
H-HPD-0031	LOW INCOME RENTAL PROGRAM (Formerly NEW MIRP)	01,12	\$22,078,652
DH-1 Subtotal			\$48,699,604
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing			
H-HPD-0033	SENIOR HOUSING PROGRAMS (Formerly HUD SECTION 202)	12,14B,14G	\$1,831,105
H-HPD-0035	HOME TENANT-BASED RENTAL ASSISTANCE	21F	\$327,431
H-HPD-0038	MULTIFAMILY PRESERVATION LOAN PROGRAM*	14B	\$8,146,619
H-HPD-0201	HOMEFIRST DOWNPAYMENT ASSISTANCE PROGRAM	13	\$3,390,090
DH-2 Subtotal			\$13,695,245
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing			
H-HPD-0004	PARTICIPATION LOAN PROGRAM (GUT/MOD)	12,13,14B,14G	\$4,077,079
H-HPD-0028	HUD MULTIFAMILY PROGRAM	14B,14G	\$1,986,736
DH-3 Subtotal			\$4,077,079
N/A Not Applicable			
H-HPD-0001	HPD ADMINISTRATION	21E,21H	\$164,468
Subtotal			
Programs from Prior Consolidated Plan Program Years			
H-HPD-0005	SMALL BUILDINGS LOAN	13, 14A, 14B	\$3,094
H-HPD-0011	MULTIFAMILY HOMEOWNERSHIP (Cornerstone Program)	01,12	\$2,262,083
	MULTIFAMILY NEW CONSTRUCTION	12	\$114,812
H-HPD-0029	MULTIFAMILY RENTAL MIXED INCOME	01,12	\$2,492,141
Subtotal			\$3,094

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 12/31/2012)

Part I Participant Identification		Match Contributions for	
1. Participant No. (assigned by HUD)	2. Name of the Participating Jurisdiction	Federal Fiscal Year (yyyy)	
MC36204	CITY OF NEW YORK	2013	
5. Street Address of the Participating Jurisdiction		3. Name of Contact (person completing this report)	
100 GOLD STREET		CLINT MEDLEY	
6. City		4. Contact's Phone Number (include area code)	
NEW YORK	7. State	212 863-5088	
	NY		
	8. Zip Code		
	10038		

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$	635,145,337	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$	83,568,277	
3. Total match available for current Federal fiscal year (line 1 + line 2)	\$		718,713,614
4. Match liability for current Federal fiscal year	\$		0
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)	\$		718,713,614

Part III Match Contribution for the Federal Fiscal Year

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
See Attached								See Attached

HOME Match Report 2013

PART III: MATCH CONTRIBUTION FOR THE FEDERAL FISCAL YEAR

Name of Participating Jurisdiction
CITY OF NEW YORK

Federal Fiscal Year (yyyy)
2013

CMI NO.	1. ACTIVITY NO.	2. DATE OF CONTRIBUTION	3. CASH	4. FOREGONE TAXES, FEES CHARGES	5. APPRAISED LAND/REAL PROPERTY	6. REQUIRED INFRASTRUCTURE	7. SITE PREPARATION, CONSTRUCT.MAT DONATED LABOR	8. BOND FINANCING	9. TOTAL MATCH
	1581	12-13	\$0	\$200,703	\$0	\$0	\$0	\$779,854	\$980,557
	1583	12-13	\$0	\$186,117	\$0	\$0	\$0	\$1,029,821	\$1,215,938
	1720	12-13	\$0	\$0	\$0	\$0	\$0	\$134,945	\$134,945
	1738	12-13	\$0	\$646,190	\$0	\$0	\$0	\$258,483	\$904,673
	2892	12-13	\$0	\$3,598,626	\$0	\$0	\$0	\$1,007,697	\$4,606,323
	3115	12-13	\$0	\$88,564	\$0	\$0	\$0	\$884,222	\$972,786
	3118	12-13	\$0	\$431,154	\$0	\$0	\$0	\$2,774,712	\$3,205,866
	3119	12-13	\$0	\$814,202	\$0	\$0	\$0	\$32,887,757	\$33,701,959
	3121	12-13	\$0	\$56,601	\$0	\$0	\$0	\$15,658	\$72,259
	3299	12-13	\$0	\$55,683	\$0	\$0	\$0	\$536,654	\$592,337
	3303	12-13	\$0	\$106,095	\$926,851	\$0	\$0	\$157,062	\$1,190,008
	3304	12-13	\$0	\$106,252	\$701,723	\$0	\$0	\$443,625	\$1,251,600
	3304	12-13	\$0	\$0	\$0	\$0	\$0	\$200	\$200
	3306	12-13	\$0	\$1,611,778	\$0	\$0	\$0	\$619,014	\$2,230,792
	3307	12-13	\$0	\$345,381	\$0	\$0	\$0	\$135,097	\$480,478
	3308	12-13	\$0	\$12,023	\$0	\$0	\$0	\$1,154,146	\$1,166,169
	3566	12-13	\$0	\$171,634	\$0	\$0	\$0	\$440,647	\$612,281
	3567	12-13	\$0	\$187,670	\$0	\$0	\$0	\$709,963	\$897,633
	3568	12-13	\$0	\$875,169	\$0	\$0	\$0	\$818,028	\$1,693,197

CMI/ NO.	1. ACTIVITY NO.	2. DATE OF CONTRIBUTION	3. CASH	4. FOREGONE TAXES, FEES CHARGES	5. APPRAISED LAND/REAL PROPERTY	6. REQUIRED INFRASTRUCTURE	7. SITE PREPARATION, CONSTRUCT, MAT DONATED LABOR	8. BOND FINANCING	9. TOTAL MATCH
	3729	12-13	\$0	\$248,798	\$0	\$0	\$0	\$186,313	\$435,111
	3739	12-13	\$0	\$11,499,868	\$0	\$0	\$0	\$3,126,435	\$14,626,303
	3758	12-13	\$0	\$143,877	\$0	\$0	\$0	\$1,879,338	\$2,023,215
	3760	12-13	\$0	\$322,558	\$0	\$0	\$0	\$0	\$322,558
	3762	12-13	\$0	\$264,420	\$0	\$0	\$0	\$176,352	\$440,772
	3763	12-13	\$0	\$58,265	\$0	\$0	\$0	\$943,110	\$1,001,375
	3764	12-13	\$0	\$24,707	\$0	\$0	\$0	\$527,346	\$552,053
	3765	12-13	\$0	\$492,485	\$5,065,396	\$0	\$0	\$0	\$5,557,881
	3766	12-13	\$0	\$125,089	\$0	\$0	\$0	\$707,314	\$832,403
	4166	12-13	\$0	\$507,040	\$0	\$0	\$0	\$245,381	\$752,421
	4431	12-13	\$0	\$44,532	\$0	\$0	\$0	\$1,069,652	\$1,114,184
		TOTAL	\$0	\$23,225,481	\$6,693,970	\$0	\$0	\$53,648,826	\$83,568,277

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

Instructions for the HOME Match Report

Applicability:

The HOME Match Report is part of the HOME APR and must be filled out by every participating jurisdiction that incurred a match liability. Match liability occurs when FY 1993 funds (or subsequent year funds) are drawn down from the U.S. Treasury for HOME projects. A Participating Jurisdiction (PJ) may start counting match contributions as of the beginning of Federal Fiscal Year 1993 (October 1, 1992). A jurisdiction not required to submit this report, either because it did not incur any match or because it had a full match reduction, may submit a HOME Match Report if it wishes. The match would count as excess match that is carried over to subsequent years. The match reported on this form must have been contributed during the reporting period (between October 1 and September 30).

Timing:

This form is to be submitted as part of the HOME APR on or before December 31. The original is sent to the HUD Field Office. One copy is sent to the

Office of Affordable Housing Programs, CGHF
Room 7176, HUD, 451 7th Street, S.W.
Washington, D.C. 20410.

The participating jurisdiction also keeps a copy.

Instructions for Part II:

1. **Excess match from prior Federal fiscal year:** Excess match carried over from prior Federal fiscal year.
2. **Match contributed during current Federal fiscal year:** The total amount of match contributions for all projects listed under Part III in column 9 for the Federal fiscal year.

3. **Total match available for current Federal fiscal year:** The sum of excess match carried over from the prior Federal fiscal year (Part II, line 1) and the total match contribution for the current Federal fiscal year (Part II, line 2). This sum is the total match available for the Federal fiscal year.

4. **Match liability for current Federal fiscal year:** The amount of match liability is available from HUD and is provided periodically to PJs. The match must be provided in the current year. The amount of match that must be provided is based on the amount of HOME funds drawn from the U.S. Treasury for HOME projects. The amount of match required equals 25% of the amount drawn down for HOME projects during the Federal fiscal year. Excess match may be carried over and used to meet match liability for subsequent years (see Part II line 5). Funds drawn down for administrative costs, CHDO operating expenses, and CHDO capacity building do not have to be matched. Funds drawn down for CHDO seed money and/or technical assistance loans do not have to be matched if the project does not go forward. A jurisdiction is allowed to get a partial reduction (50%) of match if it meets one of two statutory distress criteria, indicating "fiscal distress," or else a full reduction (100%) of match if it meets both criteria, indicating "severe fiscal distress." The two criteria are poverty rate (must be equal to or greater than 125% of the average national family poverty rate to qualify for a reduction) and per capita income (must be less than 75% of the national average per capita income to qualify for a reduction). In addition, a jurisdiction can get a full reduction if it is declared a disaster area under the Robert T. Stafford Disaster Relief and Emergency Act.

5. **Excess match carried over to next Federal fiscal year:** The total match available for the current Federal fiscal year (Part II, line 3) minus the match liability for the current Federal fiscal year (Part II, line 4). Excess match may be carried over and applied to future HOME project match liability.

Instructions for Part III:

1. **Project No. or Other ID:** "Project number" is assigned by the C/MI System when the PJ makes a project setup call. These projects involve at least some Treasury funds. If the HOME project does not involve Treasury funds, it must be identified with "other ID" as follows: the fiscal year (last two digits only), followed by a number (starting from "01" for the first non-Treasury-funded project of the fiscal year), and then at least one of the following abbreviations: "SF" for project using shortfall funds, "PI" for projects using program income, and "NON" for non-HOME-assisted affordable housing. Example: 93.01.SF, 93.02.PI, 93.03.NON, etc.

Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the PJ; the participation threshold requirement applies only in the PJ's first year of eligibility. [§92.102]

Program income (also called "repayment income") is any return on the investment of HOME funds. This income must be deposited in the jurisdiction's HOME account to be used for HOME projects. [§92.503(b)]

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as "affordable housing" under the HOME Program definitions. "NON" funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.

3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ's HOME account to be used for HOME projects. The PI, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ's HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for re-placements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]

4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the

post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]

6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]

7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ's cost estimate procedures. The value of donated or voluntary labor is determined by a single rate ("labor rate") to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]

8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumentality, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ's total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding

bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

Ineligible forms of match include:

1. Contributions made with or derived from Federal sources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs

3. EMERGENCY SOLUTIONS GRANT (ESG)

NOTE: For the 2013 Consolidated Plan Program Year, ESG recipients are required to complete and submit the ESG portion of their annual Consolidated Annual Performance and Evaluation Report (CAPER) electronically through HUD's eCon-Planning/eCAPER Suite in the Integrated Disbursement and Information System (IDIS) regardless if they did not use the eCon-Planning Suite to formulate and submit their 2013 Consolidated Plan One-Year Action Plan. ESG recipients were also instructed to submit a hard copy of their eCAPER along with the rest of the (conventional) CAPER that the jurisdiction is required to complete for HUD's other programs.

The following assessment is based on the ESG eCAPER reporting modules which immediately follow.

In the 2013 Consolidated Plan, the Continuum of Care chapter describes the programs funded through ESG by the Department of Homeless Services. In addition to the Emergency Solutions Grant Program, DHS funds a variety of programs from many sources, including City, State, federal and private funds. The Continuum of Care contains the City's long-term strategy as outlined in the 2013 Consolidated Plan which includes outreach to the chronic unsheltered homeless to encourage them to accept shelter and services; diversion programs to prevent homelessness; assessment centers using varying model approaches that assess client needs and first focus on diversion efforts especially for currently employed clients and for others, to make appropriate referrals for services; emergency shelters with supportive services to stabilize an individual or family so that they are able to live independently; next step shelters to provide a very intensive level of social services to households in need with the ultimate goal of permanent housing; and permanent and long-term housing options, both supported and non-supported. The IDIS Reports required for ESG funds are C04PR19 ESG Program for Grantee Statistics; C04PR20 ESG Activity Summary Report; and C04PR12 ESG Financial Summary. The forms are included in Volume III. However, Volume III is over 200 pages, therefore will only be provided upon request, please call 212-720-3337.

While ESG funds comprise a small percentage of total funding for the continuum of care of homeless services in New York City, in 2013 ESG funds were used to continue existing successful programs that are part of the continuum of care. ESG funds can be used for five categories. The City chooses to use \$7,631,329 in 2013 for two of these categories: street outreach and shelter. All the programs are listed and described in the 2013 Consolidated Plan.

The following is a status update on the objectives listed in the 2010 Consolidated Plan Five-Year Strategic Plan and Continuum of Care. The accomplishments reflect 2013 DHS/ESG activities and reflect DHS' success in meeting its objectives *in creating/improving accessibility to suitable living environments (SL-1)*.

Provide outreach and engagement services, temporary emergency services, and placement services to reduce the number of people living on the streets.

In 2013, DHS continued its objective of reaching out to homeless people living on the streets, and in parks, transportation centers, and other public spaces through its outreach program - working to encourage homeless people to accept placements directly into housing, Safe Havens (a local low-threshold shelter model operated by non-profit organizations under contract with DHS, not to be confused with Safe Havens funded by HUD through the CoC Program), shelter and other services. DHS used ESG funds to support outreach services and drop-in centers for single adults.

On January 28, 2013, DHS conducted its annual citywide Homeless Outreach Population Estimate (HOPE) which resulted in an estimate of 3,180 individuals residing in the streets and public spaces in the five boroughs; a 28 percent decrease since the first citywide survey was conducted in 2005. DHS conducted HOPE again on January 27, 2014. The results of HOPE 2014 are expected to be published in late winter 2014.

Provide employment, mental health, substance abuse, and counseling services in shelters to facilitate a return to independent or supported living in the community.

Many of the City's shelters provide services that fill a vital role in the continuum of care. In many of our single adult and family facilities, employment programs help provide incentives to work and create expectations for the clients to be self sufficient. DHS used ESG funds in 2013 to help fund ten staff at various shelters; a substance-free employment-based shelter; a shelter for individuals dually diagnosed with mental health and substance use disorders, and intake staff at the centralized assessment center for men seeking shelter in New York City. In addition, a variety of social service programs in the adult shelter system received ESG funding. These include services for clients who are mentally ill, as well as substance abuse counselors/services in adult shelters.

Provide housing placement services to assist families and individuals to return to the community and minimize the length of stay in shelter.

In CFY2013, 8,526 single adults were placed into permanent housing. These achievements are attributable to the success of the City's housing placement strategies, a focus on employment as a means to independent living, and provider payment structures that incentive rapid re-housing and a reduced length of stay. ESG funding supported these placement strategies through funding of the single adult system's placement facilitation unit.

Assist homeless persons in shelter with resolving specific issues to facilitate a return to independent or supported living in the community.

Next Step shelters are a more structured and service intensive environment and serve clients who have not been successful in completing the goals of their independent living plan. Highlights of Next Step shelters include the establishment of a detailed independent living plan with clear, concrete deliverables, a rich array of life skills-building workshops and motivational group work, and rewards for compliance and consequences for non-compliance. In 2013, ESG funding supported three Next Step shelters for single adults and placed a total of 265 homeless persons into permanent housing.

Support operations of adult and family shelters.

DHS provides shelter with supportive services to individuals and to those families who have exhausted all other housing options and are therefore experiencing homelessness. ESG funds were used by DHS to help fund the operating costs of several shelters in our system.

Provide recreational services with an integrated educational curriculum to youth in shelters.

Police Athletic League (PAL) Play Streets program provided recreational and educational activities at five shelters serving 211 youth in 2013. Activities included team sports, arts and crafts, counseling and training.

The City was awarded \$10,923,790 in ESG funds for calendar year 2013. No ESG funds were allocated for shelter renovations, as other funding is available for renovations, and renovations are difficult to start and complete within the grant's strict two-year spending period. \$315,000 ESG funds were used for grant administration.

In the Program Accomplishments Table, for ESG the number of persons served is an unduplicated count within each activity. For homelessness prevention, the actual accomplishment is the number of units where a household was assisted in maintaining their current housing unit and thus did not enter the shelter system.

Reasons for changes to program objectives – Activities not specifically related to the five-year objectives

DHS did not change the original program objectives. Therefore, the nature and reasons for changes in program objectives does not apply this year.

Section 3 Requirements: Emergency Solutions Grant Program

The US Congress directed HUD to take the lead in requiring all Continuum of Care jurisdictions nationwide to have unduplicated client-level data, a Homeless Management Information System (HMIS). In addition to being a requirement for HUD funding, the NYC Department of Homeless Services (DHS) and the NYC Coalition on the Continuum of Care (NYC-CCoC) – a large group of homeless services providers, consumers, advocates, community representatives and government agencies- saw value in accessing HMIS data that could describe the extent and nature of homelessness and provide a greater understanding of service usage, effectiveness and gaps in service. This information is used to target limited resources and guide planning and policy decisions. The NYC-CCoC and DHS uses HMIS data to demonstrate the size and characteristics of the NYC homeless population, current patterns of service use by population, including access to mainstream services and the need for additional resources to public and private funding sources. HMIS data is also used to understand how to realign housing resources and service delivery within the NYC-CCoC and how to create the links to mainstream programs that are essential to the prevention of homelessness and to sustaining formerly homeless people in permanent housing. All of the CoC-funded projects, except for those serving survivors of domestic violence, actively participating in the NYC HMIS. The ESG-funded programs also participate in HMIS and the 2013 CAPER reports required for IDIS were generated from the NYC HMIS.

The City of New York does not use its ESG monies to fund the construction or rehabilitation of emergency or transitional homeless shelters. Therefore, the number of homeless individuals benefiting by training, employment, and contracting opportunities generated by the expenditure of this for shelter construction/rehabilitation (Section 3-related beneficiaries) is zero.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	NEW YORK CITY
Organizational DUNS Number	140135505
EIN/TIN Number	136400434
Identify the Field Office	NEW YORK
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	New York City CoC

ESG Contact Name

Prefix	Ms.
First Name	Alyson
Middle Name	
Last Name	Zikmund
Suffix	
Title	Director of Planning, Development and Grants

ESG Contact Address

Street Address 1	33 Beaver Street
Street Address 2	Room 2010
City	New York
State	New York
ZIP Code	10004
Phone Number	212-361-0575
Fax Number	212-232-0599
Email Address	azikmund@dhs.nyc.gov

ESG Secondary Contact

Prefix	Ms.
First Name	Eileen
Last Name	Johns
Suffix	
Title	Assistant Commissioner, Policy & Planning
Phone Number	212 361-7957
Email Address	ejohns@dhs.nyc.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date	01/01/2013
Program Year End Date	12/31/2013

3a. Subrecipient Form – Complete one form for each Subrecipient

NOTE: Due to the high number of ESG Subrecipients within the New York CoC, and to facilitate the review of the City's actions to assist homeless persons and/or their families, the required Subrecipients Information for each of the respective entities has been relocated to the end of this section.

Subrecipient or Contractor Name
City
State
Zip Code
DUNS Number
Is subrecipient a victim services provider
Subrecipient Organization Type
ESG Subgrant or Contract Award Amount

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	12,010
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	12,010

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	1,765
Children	1,981
Don't Know/Refused/Other	0
Missing Information	0
Total	3,746

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	12,271
Children	2,545
Don't Know/Refused/Other	0
Missing Information	0
Total	14,816

Table 3 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	2,270
Children	9
Don't Know/Refused/Other	11
Missing Information	0
Total	2,290

Table 4 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	28,316
Children	4,535
Don't Know/Refused/Other	11
Missing Information	0
Total	32,862

Table 5 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	14,296
Female	18,479
Transgender	43
Don't Know/Refused/Other	44
Missing Information	0
Total	32,862

Table 6 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	4,535
18-24	5,072
25 and over	23,244
Don't Know/Refused/Other	11
Missing Information	0
Total	32,862

Table 7 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
Veterans	76	17	349	442
Victims of Domestic Violence	0	0	0	0
Elderly	441	7	594	1042
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	4,720	4,720
Persons with Disabilities:				
Severely Mentally Ill	0	0	3,891	3,891
Chronic Substance Abuse	0	0	2,010	2,010
Other Disability	0	0	563	563
Total (Unduplicated if possible)	0	0	6,464	6,464

Table 8 – Special Population Served

	EMERGENCY SHELTER		HOMELESS OUTREACH		PREVENTION		RAPID RE-HOUSING		TOTAL BY RACE or ETHNICITY
	Number	Percentage	Number	Percentage	Number	Percentage	Number	Percentage	
American Indian or Alaskan Native	107	.7	19	.8	75	.6	21	.5	222
Asian	175	1.2	21	.9	55	.5	16	.4	267
Black or African American	9162	61.8	1004	43.8	6344	52.8	2381	63.6	18892
Native Hawaiian or Other Pacific Islander	129	.9	10	.4	144	1.2	19	.5	303
White	2118	14.3	650	28.4	978	8.1	401	10.7	4146
2 or more races	3125	21.1	586	25.6	4413	36.7	908	24.3	9033
TOTAL BY ACTIVITY	14816	100	2290	100	12010	100	3746	100	32862
Hispanic / Latino	4005	27.0	529	23.1	5005	41.7	1463	39.1	11002
Non-Hispanic / Non-Latino	9548	64.4	1404	61.3	5918	49.3	2146	57.3	19016
Don't Know	1223	8.3	349	15.3	1002	8.3	136	3.6	2711
Refused	39	.3	8	.4	84	.7	2	.0	133
TOTAL BY ACTIVITY	14816	100	2290	100	12010	100	3746	100	32862

Table 9 - Race and Hispanic/Latino Ethnicity of Individuals Assisted with ESG-Funded Programs, 2013

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	1,131,865
Total Number of bed-nights provided	1,109,228
Capacity Utilization	98.00%

Table 10 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

There is one ESG recipient in the NYC CoC: DHS. DHS is also the CoC’s Collaborative Applicant and Steering Committee member and therefore ensures that the CCoC consults on the ESG funding allocation plan and on ESG program performance evaluation in the following ways: DHS initially presented to the CCoC Steering Committee in 2012 and again in September 2013 returned to provide an update. The funding allocation, program activities, performance standards, and HMIS-related issues were all discussed. DHS plans to use a similar process with the CoC to determine how ESG funds will be used in future years. DHS, who is also the HMIS administrator, will utilize HMIS to evaluate ESG program outcomes and report findings annually to the CoC.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 20102	FY 2013
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	163,194
Expenditures for Housing Relocation & Stabilization Services - Services	0	172,656	1,784,304
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	71,499	225,225	0
Subtotal Homelessness Prevention	71,499	397,881	1,947,498

Table 11 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 20102	FY 2013
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	1,702,830
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	0	1,702,830

Table 12 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 20102	FY 2013
Essential Services	5,964,336	6,738,705	6,749,465
Operations	959,268	443,739	393,755
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	6,923,604	7,182,444	7,143,220

Table 13 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2011	FY 20102	FY 2013
HMIS	0	0	460,658
Administration	0	25,530	175,141
Street Outreach	681,186	759,863	768,986

Table 14 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2011	FY 20102	FY 2013
26,711,491	7,676,289	7,605,855	11,429,347

Table 15 - Total ESG Funds Expended

11f. Match Source

	FY 2011	FY 20102	FY 2013
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	7,676,289	8,365,718	12,198,333
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	7,676,289	8,365,718	12,198,333

Table 16 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2011	FY 20102	FY 2013
54,951,831	15,352,578	15,971,573	23,627,680

Table 17 - Total Amount of Funds Expended on ESG Activities

Subrecipient or Contractor Name	Bronxworks
City	Bronx
State	NY
Zip Code	10453
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	CAMBA, Inc.
City	Brooklyn
State	NY
Zip Code	11226
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	CATHOLIC CHARITIES COMMUNITY SERVICES, ARCHDIOCESE NEW YORK
City	New York
State	NY
Zip Code	10022
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Faith-Based Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	Catholic Charities Neighborhood Services, Inc
City	Brooklyn
State	NY
Zip Code	11201
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Faith-Based Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	Help USA (H.E.L.P. SOCIAL SERVICE)
City	New York
State	NY
Zip Code	10005
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	PALLADIA, INC
City	New York
State	NY
Zip Code	10035
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	RIDGEWOOD BUSHWICK SENIOR CITIZENS COUNCIL
City	Brooklyn
State	NY
Zip Code	11237
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	COMMON GROUND COMMUNITY
City	New York
State	NY
Zip Code	10018
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	GODDARD RIVERSIDE COMMUNITY CENTER
City	New York
State	NY
Zip Code	10024
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	Black Veterans For Social Justice
City	Brooklyn
State	NY
Zip Code	11221
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	BOWERY RESIDENTS' COMMITTEE, INC
City	New York
State	NY
Zip Code	10001
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	THE DOE FUND, INC.
City	New York
State	NY
Zip Code	10028
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	GRAND CENTRAL NEIGHBORHOOD SOCIAL SERVICES CORPORATION
City	New York
State	NY
Zip Code	10016
DUNS Number	
Is subrecipient a victim services provider	
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	SAMARITAN VILLAGE INC
City	New York
State	NY
Zip Code	10036
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	PROJECT RENEWAL INC
City	New York
State	NY
Zip Code	10003
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	VOLUNTEERS OF AMERICA
City	New York
State	NY
Zip Code	10024
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	LENOX HILL NEIGHBORHOOD HOUSE INC
City	New York
State	NY
Zip Code	10021
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	URBAN PATHWAYS INC
City	New York
State	NY
Zip Code	10018
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	WEST SIDE FEDERATION FOR SR & SUPPORTIVE HOUSING INC
City	New York
State	NY
Zip Code	10024
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Other Nonprofit Organization
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	NYC CRIMINAL JUSTICE COORDINATOR (CJC)
City	New York
State	NY
Zip Code	10004
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Unit of Government
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	NYC DEPARTMENT OF HEALTH AND MENTAL HYGIENE (DoHMH)
City	Queens
State	NY
Zip Code	11101
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Unit of Government
ESG Subgrant or Contract Award Amount	

Subrecipient or Contractor Name	NYC DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT (DYCD)
City	New York
State	NY
Zip Code	10038
DUNS Number	
Is subrecipient a victim services provider	no
Subrecipient Organization Type	Unit of Government
ESG Subgrant or Contract Award Amount	

4. HOUSING OPPORTUNITES FOR PERSONS with AIDS (HOPWA)

NOTE: HUD requires an annual performance reporting document specifically for the submission and review of HOPWA-grantee activities which assist persons with HIV/AIDS and their families. For continuity, the *HOPWA Consolidated Annual Performance and Evaluation Report (HOPWA-CAPER)* has been incorporated into Part I., Assessment of Entitlement Programs.

In addition, the *HOPWA-CAPER* incorporates several of the existing Consolidated Plan performance reporting requirements, such data on the number of households assisted with housing by income, and by Race/Hispanic ethnicity, which were previously reported in the respective APR subsections. To eliminate redundancy, all HOPWA-related data is reported in the *HOPWA-CAPER*. The reader will be advised in the respective APR subsections to please refer to this specific section.

The *HOPWA-CAPER* begins on the following page.



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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PART 2: Sources of Leveraging and Program Income

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- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number	Operating Year for this report		
	<i>From (mm/dd/yy)</i>		<i>To (mm/dd/yy)</i>
Grantee Name			
Business Address			
City, County, State, Zip			
Employer Identification Number (EIN) or Tax Identification Number (TIN)			
DUN & Bradstreet Number (DUNs):		Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:	
*Congressional District of Grantee's Business Address			
*Congressional District of Primary Service Area(s)			
*City(ies) and County(ies) of Primary Service Area(s)		Cities:	Counties:
Organization's Website Address		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.	

* Service delivery area information only needed for program activities being directly carried out by the grantee.

**Refer to Project Sponsor Directory for all grantee and project sponsor information

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency				
Email Address				
Business Address				
City, County, State, Zip,				
Phone Number (with area code)				
Employer Identification Number (EIN) or Tax Identification Number (TIN)			Fax Number (with area code)	
DUN & Bradstreet Number (DUNs):				
Congressional District of Project Sponsor's Business Address				
Congressional District(s) of Primary Service Area(s)				
City(ies) and County(ies) of Primary Service Area(s)	Cities:			
Total HOPWA contract amount for this Organization for the operating year			Counties:	
Organization's Website Address	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.			

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name				Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name				Parent Company Name, if applicable
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities:			Counties:
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The NYC Department of Health and Mental Hygiene (DOHMH), specifically the Bureau of HIV/AIDS Prevention & Control (BHAPC) within DOHMH, is the designated grantee of the HOPWA program. In this role, BHAPC serves as the coordinator and administrator for the HOPWA program for the entire New York City Eligible Metropolitan Statistical Area (EMSA). The EMSA is comprised of the five boroughs of the City of New York together with Westchester, Putnam, and Rockland counties in the Lower Hudson Valley. BHAPC works with these three counties and the eligible localities therein to plan and evaluate their use of HOPWA funds and to ensure the consistency of their efforts with those of the rest of the EMSA. In turn, Westchester County acts as the administrator for HOPWA funds received by the cities of Mount Vernon and Yonkers. HOPWA-funded programs are implemented by the New York City Human Resources Administration - HIV/AIDS Services Administration (HASA) and the New York City Department of Health and Mental Hygiene.

Department of Health and Mental Hygiene

Supportive Housing:

Nine community-based organizations administer 14 supportive housing subcontracts. These adult supportive housing programs identify, secure, and provide appropriate, transitional and permanent housing for the following target HIV/AIDS populations: women with mental illness; women with children; persons with AIDS age 55 and over; difficult to serve individuals; parolees and releasees; dually diagnosed individuals and families in need of harm reduction services; and dually and/or triply diagnosed individuals and families with mental illness in need of harm reduction services. Other service elements include on-site case management, harm reduction, care coordination, mental health, substance abuse, and other supportive services as needed.

One contract is dedicated to providing supportive housing for adolescents and young adults. This supportive housing program provides low-threshold short-term housing for HIV-infected homeless street youth. This program links homeless young adults to appropriate case management, medical care, and other supportive services. Other services provided include workshops and training in basic skills, basic literacy, and employment readiness.

These organizations are contracted to provide 615 units of congregate and scattered-site supportive housing.

Housing Placement Assistance:

Five community-based organizations, one based in each of New York City's five boroughs, provide housing placement assistance. The housing placement assistance programs provide housing information services and permanent housing placements to persons living with HIV/AIDS (PLWHA). These programs assist PLWHA locate and secure permanent housing. Transitional housing may be used while permanent housing is being actively sought. Services also include housing-related advocacy to prevent or end homelessness, as needed. In 2013, these organizations provided 626 households with housing information services and provided housing subsidies to help 160 households establish their housing through permanent housing placements.

Short-term and Long-term Rental Assistance:

One community-based organization provides Short-term Rent, Mortgage and Utility (STRMU) assistance, Tenant Based Rental Assistance (TBRA), and Permanent Housing Placements. Short-term rental subsidies are provided as emergency assistance to prevent eviction and homelessness. Thirty-one individuals received rental start-up and short-

term rental assistance (STRMU) and 337 PLWHA received long-term rental subsidies (TBRA). An additional 79 households received housing subsidies to help establish their housing, permanent housing placement assistance.

HIV/AIDS Services Administration (HASA)

The HIV/AIDS Services Administration (HASA) a division of the New York City Human Resources Administration (HRA), provides public assistance, case management, and housing services to persons in New York City living with AIDS or HIV, and their families, who seek its assistance. Services typically include assistance in receiving assessment and determination of eligibility for Public Assistance, Medicaid, and Food Stamps, as well as assistance in accessing other benefits and services as required by the client's individual circumstances. HASA's intensive case management may include initiating evaluation and treatment of substance abuse and mental illness; home care or homemaking services; or housing services, including temporary emergency placement, as well as transitional, supported, and independent housing options. HASA case managers also assist clients in the referral process of applying for Supplemental Security Income (SSI), Social Security Disability Income (SSDI), and other benefits for which they may qualify. Additionally, they may refer clients to community based resources for a variety of additional services including legal advocacy, medical or dental care, or employment assistance.

HASA periodically reviews and updates clients' plans and service packages. For those who are unable to come to a HASA office for assessment or review, HASA case managers conduct home visits in addition to scheduled, periodic visits. Additionally, all clients in emergency housing are visited in their apartment periodically until a permanent and stable placement is found. HASA's intensive case management for families includes permanency planning to help survivors remain intact should the caregiver die or become unable to provide care. HOPWA funds allowed HASA to provide supportive services to 35,189 individuals in calendar year 2013.

In 2013, the Human Resources Administration's HIV/AIDS Services Administration (HRA/HASA) administered housing contracts with 42 community-based nonprofit organizations to provide 2,738 units of permanent supportive housing for PLWHA in congregate and scattered-site settings. These programs targeted special populations such as persons diagnosed with mental illness or substance abuse. These programs served a total of 3,811 households.

Lower Hudson Valley

The counties of Westchester, Putnam and Rockland receive HOPWA funds to provide supportive housing, rental assistance and supportive services to their clients. In the Lower Hudson Valley, 152 clients received rental assistance. Additionally, 312 clients received supportive services, including legal assistance.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

In calendar year 2013, HOPWA funds were used to support 489 households with TBRA, 4,344 households of permanent facility-based housing and 73 households with transitional facility-based housing. All of these accomplishments exceeded projected goals which were 446 households of TBRA, 3,306 households of permanent facility-based housing and 47 transitional units. In addition, HOPWA STRMU funds supported 31 households STRMU, helping these households avoid homelessness and increase housing stability. This also exceeded the projected goal of 10 STRMU supported households.

HOPWA funds were also used to provide housing information services to 626 households, exceeding the projected 500 household goal. Additional funds were used to assist 239 households in obtaining permanent housing placement services.

HOPWA also funded supportive services that are vital to housing durability for many PLWHA. In calendar year 2013, 35,534 clients received supportive services and another 1,427 clients received supportive services in addition to their housing subsidy assistance, both exceeding projected goals.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Stable housing is the first, and most important, step for PLWHA to achieve and maintain positive health outcomes. Through technical assistance, the importance of linking all HOPWA clients to primary care providers and maintaining that care has been stressed to all HOPWA providers and integrated into housing plans. The result is that over 96% of HOPWA clients receiving housing subsidy assistance had contact with a primary health care provider during the year. Additionally, 69% of all HOPWA clients have reached viral suppression (≥ 1 VL that is ≤ 200) while enrolled in a HOPWA program.

Additionally, the data demonstrate that HOPWA housing subsidies are leading to stable housing outcomes for clients. Of those who exited the HOPWA program, 94% maintained stable housing.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

In calendar year 2013, HOPWA dollars were combined with other federal resources, as well as State and City funding, to support HIV/AIDS housing and other services. HASA used City Tax Levy and matching State and federal dollars to fund case management and support; rental assistance; permanent and transitional congregate housing; and permanent scattered-site housing for individuals and families living with HIV and AIDS. Eligible clients also received medical assistance, homecare, and homemaking services funded with City, State and federal dollars. HOPWA funds distributed to community-based organizations by DOHMH augmented City and State-funded services to persons with mental illness and HIV/AIDS. Almost all of the programs funded through DOHMH – BHAPC received in-kind donations from the community based organizations providing their HOPWA-funded services. In the Lower Hudson Valley portion of the NYC EMSA, State, City and County funds compliment the HOPWA-funded rental assistance and other services provided. Individual-donor and private foundation dollars provide additional support to the community-based organizations funded through HASA and DOHMH.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

The DOHMH provides monitoring to the subcontracted organizations on a monthly basis. Furthermore, the Bureau provides bi-annual on-site monitoring to address any programmatic and/or fiscal issues. The Bureau provides technical assistance through these monitoring visits.

When necessary, DOHMH seeks technical assistance support from The Cloudburst Group. The Cloudburst Group has addressed all needs as they have arisen.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

Finding affordable housing in New York City continues to be a challenge for all HOPWA programs. According to the New York City Department of Housing Preservation and Development, there is only a 3% vacancy rate for all rental apartments in New York City. This low vacancy rate, small stock of affordable apartments and decreased Fair Market Rent rates in 2013, make housing placement and durability a real challenge for providers.

The New York City public assistance program (non-HOPWA program) implemented a policy change in March 2013 that the City would provide rental assistance for single individuals for studio apartments only, and no longer for one-bedroom apartments (exceptions on a case-by-case basis). All HOPWA programs have been affected by the policy change because there are fewer studio apartments available in New York City, thus limiting placements that can be made.

New York City is still recovering from Super Storm Sandy. Several HOPWA funded supportive housing units—both congregate and scattered-site buildings—were damaged during the storm and have taken time to rebuild and repair. The overall housing market in New York City has been affected by Super Storm Sandy, with more people displaced persons looking for housing in an already tight housing market.

Collecting rent from clients has been a challenge for many HOPWA programs this year. With the economy slow to recover, many clients are unemployed. The lack of employment opportunities, coupled with individual poor financial management skills, makes collecting rent from clients and ensuring housing durability a challenge. Programs are working to link clients to employments programs and opportunities, but finding suitable employment is a consistent challenge.

HOPWA programs are also adjusting to policies implemented by the City in 2011 that limited the amount of City funds available to pay broker's fees to 50% of the fee and provides landlords with a security deposit voucher as opposed to cash. It has been difficult for programs to find brokers and landlords willing to accept the new policies, at times causing the program to lose out on desirable apartments and increasing the amount of staff time spent to place clients in permanent housing. Programs are working to establish new relationships with brokers in the community and leveraging community relationships to continue to find affordable and suitable housing for clients.

Clients with dual diagnoses, mental health and substance use issues in addition to their HIV/AIDS diagnosis, pose challenges to providers in not only finding housing, but keeping these clients housed. Furthermore, almost all clients come from a history of, and continue to live in, poverty. The everyday stresses and challenges associated with poverty cause unique challenges in providing services to the individual and the community as a whole.

On a macro level, changes in funding streams have affected programs to provide leveraged services for HOPWA clients. In particular, Medicaid redesign has affected funding for many agencies that administer HOPWA programs. New York City is on the forefront of efforts to invest Medicaid related savings into housing.

Additionally, both the project sponsor and then grantee are constrained by the administrative rate allowable by the grant as much of the oversight and monitoring of HOPWA contracts exceeds 3% for grantee and 7% for the project sponsors.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The NYC Men Who Have Sex with Men (MSM) population displays alarming trends in HIV incidence by age and race/ethnicity. Young MSM (<30 years of age), particularly among those of color, have seen an increase in the number and proportion of new diagnoses of HIV. In 2001, 32% of new HIV (non-AIDS) diagnoses in MSM were among those younger than 30 years old. By 2008, the proportion rose to 44%. White, Hispanic, and Black MSM under 30 years old all experienced growing numbers and proportions of newly diagnosed cases of HIV (non-AIDS), but young Black MSM, who represent 23.8% of all newly diagnosed cases of HIV (non-AIDS) in NYC in 2008, have been particularly affected. In 2008, over half of the 458 newly diagnosed Black MSM were younger than 30 years old, while proportions for Hispanics and Whites were 47% and 29%, respectively.

Through the use of HOPWA funds, DOHMH is working to address these trends. First, almost 9% of all HOPWA index clients are under the age of 30. The vast majority of HOPWA index clients are either Black/African American (61%) or Latino/Hispanic (31%). As indicated, HOPWA funds are being used to help those who are in most need of assistance.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

DOHMH routinely creates status reports on HOPWA clients' medical care status, engagement in care, clinical indicators and housing status/durability.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	4,769
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	4,769
a. Tenant-Based Rental Assistance (TBRA)	
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	
• Assistance with rental costs	
• Assistance with mortgage payments	
• Assistance with utility costs.	
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	4,769

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

<input checked="" type="checkbox"/> = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
<input checked="" type="checkbox"/> = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
<input type="checkbox"/> = Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
<input type="checkbox"/> = Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
<input checked="" type="checkbox"/> = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
<input checked="" type="checkbox"/> = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$10,031,641	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$2,149,907	Support Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care	\$48,000	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: HIV/AIDS Services Administration	\$1,175,309	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: City Tax Levy	\$276,394,000	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: New York State OTDA	\$104,831,000	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Other Federal	\$6,078,000	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: New York State Medicaid Health Homes	\$400,962	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: New York State Medicaid	\$335,414	Support Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Other	\$306,862	Legal Services, Hepatitis B Testing	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Focus	\$85,958	Mental Health	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: OTDA NYSSHP Singles	\$84,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: EBI	\$23,317	Prevention	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: LITES	\$9,028	Life Skills	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: NYC DMH ACT Team	\$10,606	Mental Health	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: New York State AIDS Institute	\$26,285	Support Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support

Other Public: Other	\$15,408	Food Bank	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Rockland County Department of Social Services	\$200,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Rockland County Department of Health	\$25,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Private Funding			
Grants	\$192,187	Food pantry	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: TOUCH	\$50,000	Case management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: ARCS	\$50,000	Case management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$737,210		
TOTAL (Sum of all Rows)	\$403,260,094		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	\$6,467,647
2.	Resident Rent Payments made directly to HOPWA Program	\$6,102,180
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	\$12,569,827

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	\$9,897,082
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	\$2,672,745
3.	Total Program Income Expended (Sum of Rows 1 and 2)	\$12,569,827

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	446	489	26,600	26,967	\$5,253,330	\$4,839,038
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	3,306	4,344	0	1,326	\$40,050,545	\$34,876,896
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)	47	73	0	880	\$907,515	\$463,393
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	\$0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	\$0
4.	Short-Term Rent, Mortgage and Utility Assistance	10	31	0	0	\$82,700	\$74,585
5.	Permanent Housing Placement Services	400	239	0	0	\$697,526	\$378,446
6.	Adjustments for duplication (subtract)	0	54	0	0		
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	4,209	5,122	26,600	29,173	\$46,991,616	\$40,632,358
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	0	0	0	0
9.	Stewardship Units subject to 3 or 10 year use agreements	0	0				
10.	Total Housing Developed (Sum of Rows 8 & 9)	0	0	0	0	0	0
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	285	1,427			\$1,005,572	\$7,113,964
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	32,000	35,534			\$1,000,000	\$1,470,253
12.	Adjustment for duplication (subtract)	0	0			0	\$0
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	32,285	36,961			\$2,005,572	\$8,584,217
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services	500	626			\$1,750,000	\$608,405
15.	Total Housing Information Services	500	626			\$1,750,000	\$608,405

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$1,594,419	\$1,594,419
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$1,191,464	\$1,244,506
20.	Total Grant Administration and Other Activities (Sum of Rows 17 – 20)					\$2,785,883	\$2,838,925
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$53,533,071	\$52,663,905

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	\$0
2.	Alcohol and drug abuse services	484	\$674,713
3.	Case management	36,961	\$5,374,734
4.	Child care and other child services	0	\$0
5.	Education	420	\$602,300
6.	Employment assistance and training	75	\$15,561
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	0	\$0
8.	Legal services	15	\$50,000
9.	Life skills management (outside of case management)	212	\$280,277
10.	Meals/nutritional services	396	\$248,971
11.	Mental health services	464	\$1,027,372
12.	Outreach	22	\$28,653
13.	Transportation	991	\$281,636
14.	Other Activity (if approved in grant agreement). Specify:	0	\$0
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	40,040	
16.	Adjustment for Duplication (subtract)	3,079	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	36,961	\$8,584,217

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	31	\$74,585
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	0	0
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	0
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	31	\$74,585
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	0	0
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	0	0
g.	Direct program delivery costs (e.g., program operations staff time)		\$0

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	489	461	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	6	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	16	
			6 Institution	1	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	0	
			9 Death	5	
Permanent Supportive Housing Facilities/ Units	4,344	3,172	1 Emergency Shelter/Streets	1	<i>Unstable Arrangements</i>
			2 Temporary Housing	6	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	14	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	3	
			5 Other Subsidy	1,119	
			6 Institution	2	
			7 Jail/Prison	3	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	21	
			9 Death	3	

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	73	0	1 Emergency Shelter/Streets	4	<i>Unstable Arrangements</i>
			2 Temporary Housing	28	<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing	6	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	6	
			5 Other Subsidy	20	
			6 Institution	3	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/unknown	6	
			9 Death	0	

B1:Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0
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Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
31	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	0	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	0	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	31	
	Institution <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	0	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	0	
	Disconnected	0	
	Death	0	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			0
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	5,122
b. Case Management	1,427
c. Adjustment for duplication (subtraction)	902
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	5,647
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> service:	
a. HOPWA Case Management	35,534
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	35,534

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	5,645	35,534	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	5,644	35,534	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	5,162	31,645	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	5,646	35,532	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	5,373	35,532	<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	412	16

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households

that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	5,122

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	3,687
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	11
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	317
4.	Transitional housing for homeless persons	383
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	711
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	23
7.	Psychiatric hospital or other psychiatric facility	2
8.	Substance abuse treatment facility or detox center	28
9.	Hospital (non-psychiatric facility)	5
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	1
12.	Rented room, apartment, or house	536
13.	House you own	0
14.	Staying or living in someone else's (family and friends) room, apartment, or house	40
15.	Hotel or motel paid for without emergency shelter voucher	0
16.	Other	89
17.	Don't Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	5,122

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	87	318

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	5,122
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	44
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	646
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	5,812

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	1	0	0	0	1
2.	18 to 30 years	261	173	5	0	439
3.	31 to 50 years	1,437	827	13	2	2,279
4.	51 years and Older	1,668	733	2	0	2,403
5.	Subtotal (Sum of Rows 1-4)	3,367	1,733	20	2	5,122
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	205	190	0	0	395
7.	18 to 30 years	89	88	0	0	177
8.	31 to 50 years	36	36	1	0	73
9.	51 years and Older	27	18	0	0	45
10.	Subtotal (Sum of Rows 6-9)	357	332	1	0	690
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	3,724	2,065	21	2	5,812

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	11	1	0	0
2.	Asian	14	0	0	0
3.	Black/African American	2,895	65	421	56
4.	Native Hawaiian/Other Pacific Islander	3	1	0	0
5.	White	600	160	67	53
6.	American Indian/Alaskan Native & White	3	0	0	0
7.	Asian & White	2	0	0	0
8.	Black/African American & White	32	0	4	0
9.	American Indian/Alaskan Native & Black/African American	7	1	0	0
10.	Other Multi-Racial	1,555	1,376	198	160
11.	Column Totals (Sum of Rows 1-10)	5,122	1,604	690	269
<i>Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.</i>					

**Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)*

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	5,004
2.	31-50% of area median income (very low)	59
3.	51-80% of area median income (low)	59
4.	Total (Sum of Rows 1-3)	5,122

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

--

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:			Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:			Date started: Date Completed:
c.	Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:			HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: 3,353 units by various project sponsors

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling	0					
b.	Community residence	1,217	10	0	0	0	0
c.	Project-based rental assistance units or leased units	486	1,513	93	31	3	0
d.	Other housing facility <u>Specify:</u>	0	0	0	0	0	0

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs	273	\$781,875
b.	Operating Costs	1,728	\$12,576,379
c.	Project-Based Rental Assistance (PBRA) or other leased units	2,859	\$21,982,036
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>	0	\$0
e.	Adjustment to eliminate duplication (subtract)	446	
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	4,414	\$35,340,289

1. Grantee Information

HUD Grant Number NY-H-13-F002	Operating Year for this report January 1, 2013 – December 31, 2013
Grantee Name New York City Department of Health and Mental Hygiene	
Business Address	42-09 28 th Street, 21 st Floor
City, County, State, Zip	Queens, Queens, NY 11101
Employer Identification Number (EIN)	13-6400434
DUN & Bradstreet Number (DUNs) 08-348-9737	Central Contractor Registration Active? Yes
DUN & Bradstreet Number (DUNs)	08-348-9737
Congressional District of Business Address	12
Congressional District of Primary Service Area(s)	6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19
Zip Code of Primary Service Area(s)	N/A
City(ies) and County(ies) of Primary Service Area(s)	City of New York Counties of Bronx, Brooklyn, New York, Queens, Richmond, Putnam, Rockland, Westchester
Organization's Website Address www.nyc.gov	Does your organization maintain a waiting list? No
Have you prepared any evaluation reports? No	Is the sponsor a nonprofit organization? No

2. Project Sponsor Information

Project Sponsor Agency Name African Services Committee		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Mulusew Bekele, Director of Health Programs	
Email Address	mulusewb@africanservices.org	
Business Address	429 West 127th Street	
City, County, State, Zip	New York, New York, NY 10027	
Phone Number 212-222-3882	Fax Number 212-222-7067	
Employer Identification Number (EIN):	13-3749744	
DUN & Bradstreet Number: 79-608-7047	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15	
Congressional District(s) of Primary Service Area(s)	15	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization	\$350,000	
Organization's Website Address	www.africanservices.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name AIDS Center of Queens County		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Harriet Cohen, Director of Housing		
Email Address	hcohen@acqc.org		
Business Address	161-21 Jamaica Avenue, 7th Floor		
City, County, State, Zip	Jamaica, Queens, NY 11432		
Phone Number 718-896-2500	Fax Number 718-472-5486		
Employer Identification Number (EIN):		11-2837894	
DUN & Bradstreet Number: 17-726-7978		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	9		
Congressional District(s) of Primary Service Area(s)	6, 9, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Queens	
Total HOPWA Contract Amount for this Organization	\$2,114,940		
Organization's Website Address	www.acqc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Ali Forney Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Corby Serrano, Director of Emergency Housing		
Email Address	cserrano@aliforneycenter.org		
Business Address	224 West 35th Street		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-222-3427	Fax Number 212-222-5861		
Employer Identification Number (EIN):		30-0104507	
DUN & Bradstreet Number: 14-501-8110		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 10, 11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, Kings, New York, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$432,000		
Organization's Website Address	www.aliforneycenter.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Bridging Access to Care		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Glenda G. Smith, Executive Director	
Email Address		gsmith@bac-ny.org	
Business Address		502 Bergen Street, 2nd Floor	
City, County, State, Zip		Brooklyn, Kings, NY 11217	
Phone Number 347-505-5183		Fax Number 718-623-1158	
Employer Identification Number (EIN):		11-3031208	
DUN & Bradstreet Number: 01-500-8589		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Brooklyn	
Total HOPWA Contract Amount for this Organization	\$350,000		
Organization's Website Address	www.bac-ny.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name CAMBA		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Joanne Oplustil, Executive Director	
Email Address		joanneo@camba.org	
Business Address		1720 Church Avenue	
City, County, State, Zip		Brooklyn, Kings, NY 11226	
Phone Number 718-287-2600		Fax Number 718-287-0857	
Employer Identification Number (EIN):		11-2480339	
DUN & Bradstreet Number: 16-071-5983		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Kings	
Total HOPWA Contract Amount for this Organization	\$4,145,288		
Organization's Website Address	www.camba.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Catholic Charities Neighborhood Services		Parent Company Name, if applicable Catholic Charities, Diocese of Brooklyn	
Name and Title of Contact at Project Sponsor Agency		Jose Morales, Director of Field Operations	
Email Address		jmorales@ccbq.org	
Business Address		267 Hewes Street	
City, County, State, Zip		Brooklyn, Kings, NY 11201	
Phone Number 718-218-7890		Fax Number 718-218-8264	
Employer Identification Number (EIN):		11-2047151	
DUN & Bradstreet Number: 05-692-6215		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		12	
Congressional District(s) of Primary Service Area(s)		6,7,8,9,10,11,12,13,14	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Bronx, New York, Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization		\$1,645,674	
Organization's Website Address		www.ccbq.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		Yes	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Federation, Employment, and Guidance Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Dan Connor, Associate Vice President	
Email Address		dconnor@fegs.org	
Business Address		315 Hudson Street	
City, County, State, Zip		New York, New York, NY 10013	
Phone Number 212-366-0037		Fax Number 212-366-0095	
Employer Identification Number (EIN):		13-1624000	
DUN & Bradstreet Number: 04-007-4502		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		8	
Congressional District(s) of Primary Service Area(s)		5,6,7,9,11,12,14,15	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	New York, Kings, Queens
Total HOPWA Contract Amount for this Organization		\$447,240	
Organization's Website Address		www.fegs.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Gay Men's Health Crisis		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Joe Megie, Director of Finance, Budget and Management	
Email Address		joem@gmhc.org	
Business Address		446 West 33rd Street	
City, County, State, Zip		New York, New York, NY 10001	
Phone Number (212) 367-1566		Fax Number 212-367-1546	
Employer Identification Number (EIN):		13-3130146	
DUN & Bradstreet Number: 11-298-5254		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$4,125,000		
Organization's Website Address	www.gmhc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Harlem United Community AIDS Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Kelsey Louie, Chief Program Officer	
Email Address		klouie@harlemunited.org	
Business Address		306 Lenox Avenue, 3rd Floor	
City, County, State, Zip		New York, New York, NY 10027	
Phone Number 212-803-2857		Fax Number 212-803-2899	
Employer Identification Number (EIN):		13-3461695	
DUN & Bradstreet Number: 61-709-6862		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Bronx	
Total HOPWA Contract Amount for this Organization	\$4,371,857		
Organization's Website Address	www.harlemunited.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Institute for Community Living		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Marlyn Reynolds, Program Director		
Email Address	mreynolds@iclinc.net		
Business Address	2581 Atlantic Avenue		
City, County, State, Zip	Brooklyn, New York, NY 11207		
Phone Number 718-290-8100	Fax Number 718-290-8114		
Employer Identification Number (EIN):	13-3306195		
DUN & Bradstreet Number: 17-330-6457	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	10,11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$706,251		
Organization's Website Address	www.iclinc.net		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Osborne Association		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Jenny Bardales, Coordinator, Contract Management and Quality Assurance		
Email Address	jbardales@osborneny.org		
Business Address	809 Westchester Avenue		
City, County, State, Zip	Bronx, Bronx, NY 10455		
Phone Number 718-707-2600	Fax Number 718-707-3102		
Employer Identification Number (EIN):	13-5563028		
DUN & Bradstreet Number: 08-464-1000	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$350,000		
Organization's Website Address	www.osborneny.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Project Hospitality		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Rev. Terry Troia, Executive Director	
Email Address		tetroia@projecthospitality.org	
Business Address		100 Park Avenue	
City, County, State, Zip		Staten Island, Richmond, NY 10302	
Phone Number 718-448-1544		Fax Number 718-720-5476	
Employer Identification Number (EIN):		13-3234441	
DUN & Bradstreet Number: 60-332-6992		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	13		
Congressional District(s) of Primary Service Area(s)	13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Richmond	
Total HOPWA Contract Amount for this Organization	\$2,083,480		
Organization's Website Address	www.projecthospitality.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	Yes		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Services for the Underserved		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Michael Collins, AIDS Services Division Director	
Email Address		michael.collins@susinc.org	
Business Address		503 Fifth Avenue, 5th Floor	
City, County, State, Zip		Brooklyn, Kings, NY 11215	
Phone Number 718-852-0587		Fax Number 718-852-0631	
Employer Identification Number (EIN):		13-3586129	
DUN & Bradstreet Number: 10-367-5559		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$657,540		
Organization's Website Address	www.susinc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name 163rd Street Improvement Council		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Cassandra Perry, Executive Director	
Email Address		cperry@163council.org	
Business Address		490 East 167th Street	
City, County, State, Zip		Bronx, Bronx, NY 10456	
Phone Number 718-620-6007		Fax Number 718-620-6006	
Employer Identification Number (EIN):		13-2564957	
DUN & Bradstreet Number: 95-860-8171		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$540,420		
Organization's Website Address	www.163council.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Actors' Fund of America		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Joseph Benincasa, Director of Housing Program Services	
Email Address		jbenincasa@actorsfund.org	
Business Address		729 7th Avenue	
City, County, State, Zip		New York, New York, NY 10019	
Phone Number 212-489-2020		Fax Number 212-489-1116	
Employer Identification Number (EIN):		13-1635251	
DUN & Bradstreet Number: 07-324-9484		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	12, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$466,601		
Organization's Website Address	www.actorsfund.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Addicts Rehabilitation Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Reginald Williams, CEO		
Email Address	rwilliams@arcfoundationinc.org		
Business Address	57 East 128th Street		
City, County, State, Zip	New York, New York, NY 10035		
Phone Number 212-427-6960	Fax Number 212-427-1095		
Employer Identification Number (EIN):	13-3729152		
DUN & Bradstreet Number: 08-040-1748	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$629,080		
Organization's Website Address	www.arcfoundationinc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Bailey House, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Regina Quattrochi, CEO		
Email Address	rquattrochi@baileyhouse.org		
Business Address	275 7th Avenue		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-633-2500	Fax Number 212-633-2932		
Employer Identification Number (EIN):	13-3165181		
DUN & Bradstreet Number: 12-250-6736	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$1,331,434		
Organization's Website Address	www.baileyhouse.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Bowery Residents Committee, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Lawrence Rosenblatt, Executive Director		
Email Address	muzzy@brc.org		
Business Address	131 West 25th Street, 12th Floor		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-803-5700	Fax Number 212-533-1893		
Employer Identification Number (EIN):	13-2736659		
DUN & Bradstreet Number: 08-041-3339	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$483,988		
Organization's Website Address	www.brc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Center for Urban Community Services, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Jeffrey Halfpenny, Chief Financial Officer		
Email Address	jeff.halfpenny@cucs.org		
Business Address	198 East 121st Street		
City, County, State, Zip	New York, New York, NY 10035		
Phone Number 212-801-3300	Fax Number 212-801-3325		
Employer Identification Number (EIN):	13-3687891		
DUN & Bradstreet Number: 83-304-2349	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	8, 12, 15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$1,212,646		
Organization's Website Address	www.cucs.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Coalition for the Homeless		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Mary Brosnahan, President & CEO		
Email Address	mbrosnahan@cftohomeless.org		
Business Address	129 Fulton Street		
City, County, State, Zip	New York, New York, NY 10038		
Phone Number 212-776-2163	Fax Number 212-964-0952		
Employer Identification Number (EIN):	13-3072967		
DUN & Bradstreet Number: 17-797-2494	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$636,000		
Organization's Website Address	www.coalitionforthehomeless.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Common Ground Management Corporation		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Timothy Marx, Executive Director		
Email Address	tmarx@commonground.org		
Business Address	505 Eighth Avenue, 15th Floor		
City, County, State, Zip	New York, New York, NY 10018		
Phone Number 212-389-9318	Fax Number 212-389-9311		
Employer Identification Number (EIN):	13-3871134		
DUN & Bradstreet Number: 62-522-1189	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	10,12,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, New York, Bronx	
Total HOPWA Contract Amount for this Organization	\$178,108		
Organization's Website Address	www.commonground.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Community Access		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Steve Coe, Executive Director		
Email Address	steve@cairn.org		
Business Address	2 Washington Street, 9th Floor		
City, County, State, Zip	New York, New York, NY 10004		
Phone Number 212-780-1400	Fax Number 212-780-1412		
Employer Identification Number (EIN):	23-7399839		
DUN & Bradstreet Number: 09-889-7523	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$203,376		
Organization's Website Address	www.communityaccess.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Comunilife		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Rosa Gil, Ph.D, President		
Email Address	rgil@comunilife.org		
Business Address	214 West 29th Street, 8th Floor		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-219-1618	Fax Number 212-643-0634		
Employer Identification Number (EIN):	13-3530299		
DUN & Bradstreet Number: 78-112-3005	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	11, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, Kings, Queens	
Total HOPWA Contract Amount for this Organization	\$3,962,736		
Organization's Website Address	www.comunilife.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Discipleship Outreach Ministries, Inc. dba Turning Point		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Carlton S. Mitchell, Executive Director	
Email Address		cmitchell@tpdomi.org	
Business Address		5220 4th Avenue	
City, County, State, Zip		Brooklyn, Brooklyn, NY 11220	
Phone Number 718-439-0077		Fax Number 718-439-3965	
Employer Identification Number (EIN):		13-3072967	
DUN & Bradstreet Number: 78-1390554		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	12		
Congressional District(s) of Primary Service Area(s)	8, 9, 10, 12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$755,067		
Organization's Website Address	www.tpdomi.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name FACES, NY		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Violet Tabor, Executive Director	
Email Address		vtabor@facesny.org	
Business Address		317 Lenox Avenue, 10th Floor	
City, County, State, Zip		New York, New York, NY 10027	
Phone Number 212-283-9180		Fax Number 212-864-1614	
Employer Identification Number (EIN):		13-3449087	
DUN & Bradstreet Number: 62-321-8856		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$416,416		
Organization's Website Address	www.facesny.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Flemister Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Timothy Mitchell, Executive Director		
Email Address	tmitchell@flemisterhouse.org		
Business Address	527 West 22nd Street		
City, County, State, Zip	New York, New York, NY 10011		
Phone Number 212-604-0124	Fax Number 212-604-0177		
Employer Identification Number (EIN):	13-3725394		
DUN & Bradstreet Number: 83-187-0964	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$303,241		
Organization's Website Address	www.flemisterhouse.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Friends House		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Annie Soriano, Executive Director		
Email Address	asoriano@friendshousenyc.org		
Business Address	130 East 25th Street		
City, County, State, Zip	New York, New York, NY 10010		
Phone Number 212-995-5000	Fax Number 212-995-5317		
Employer Identification Number (EIN):	13-3374465		
DUN & Bradstreet Number: 61-185-2760	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$372,041		
Organization's Website Address	www.friendshousenyc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Harlem Congregations for Community Improvement		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Derek Broomes, President & CEO		
Email Address	dbroomes@hcci.org		
Business Address	2854 Frederick Douglass Boulevard		
City, County, State, Zip	New York, New York, NY 10039		
Phone Number 212-281-4887	Fax Number 212-281-8102		
Employer Identification Number (EIN):		13-3516262	
DUN & Bradstreet Number: 80-453-5433		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$640,469		
Organization's Website Address	www.hcci.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	Yes		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Heartshare Human Services of New York		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	William Guarinello, President/CEO		
Email Address	william.guarinello@heartshare.org		
Business Address	12 Metrotech Center		
City, County, State, Zip	Brooklyn, Kings, NY 11201		
Phone Number 718-422-4200	Fax Number 718-522-4506		
Employer Identification Number (EIN):		11-1633549	
DUN & Bradstreet Number: 80-186-2772		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10, 11, 13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$284,704		
Organization's Website Address	www.heartshare.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Heritage Health and Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Alvaro Simmons, Ph. D, Interim Executive Director		
Email Address	asimmons@heritagenyc.org		
Business Address	416 West 127th Street		
City, County, State, Zip	New York, New York, NY 10027		
Phone Number 212-866-2600	Fax Number 212-864-5549		
Employer Identification Number (EIN):	13-2661509		
DUN & Bradstreet Number: 82-537-3855	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	8, 12, 15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$463,085		
Organization's Website Address	www.heritagehousing.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name HOGAR		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Noris Colon, President		
Email Address	nmColon@hogar-inc.org		
Business Address	751 Dawson Street		
City, County, State, Zip	Bronx, New York, NY 10455		
Phone Number 718-742-7646	Fax Number 718-742-7672		
Employer Identification Number (EIN):	13-3887707		
DUN & Bradstreet Number: 19-8047289	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$117,413		
Organization's Website Address	www.hogar-inc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Housing & Services Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	James Dill, Executive Director		
Email Address	jdill@hsi-ny.org		
Business Address	461 Park Avenue South, 6th Floor		
City, County, State, Zip	New York, New York, NY 10016		
Phone Number 212-252-9377	Fax Number 212-252-9322		
Employer Identification Number (EIN):		51-0201833	
DUN & Bradstreet Number: 18-927-9276		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$743,595		
Organization's Website Address	www.hsi-ny.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Housing Works, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Charles King, Chief Executive Officer		
Email Address	king@housingworks.org		
Business Address	57 Willoughby Street, 2nd Floor		
City, County, State, Zip	Brooklyn, Brooklyn, NY 11201		
Phone Number 347-473-7401	Fax Number 347-476-7464		
Employer Identification Number (EIN):		13-3584089	
DUN & Bradstreet Number: 62-785-3146		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 9, 10, 11, 12, 13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, New York	
Total HOPWA Contract Amount for this Organization	\$272,073		
Organization's Website Address	www.housingworks.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Iris House		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Ingrid Floyd, Executive Director		
Email Address	lcarter@irishouse.org		
Business Address	2348 Adam Clayton Powell Jr. Boulevard		
City, County, State, Zip	New York, New York, NY 10030		
Phone Number 646-548-0100	Fax Number 646-548-0200		
Employer Identification Number (EIN):	13-3699201		
DUN & Bradstreet Number: 94-616-2104	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$798,450		
Organization's Website Address	www.irishouse.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Lantern Community Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Peter Matarazzo, Chief Financial Officer		
Email Address	pmatrazzo@lanterngroup.org		
Business Address	49 West 37th Street, 12th Floor		
City, County, State, Zip	New York, New York, NY 10018		
Phone Number 212-398-3073	Fax Number 212-398-3071		
Employer Identification Number (EIN):	13-3987841		
DUN & Bradstreet Number: 07-137-3224	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	10,15,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Kings, Bronx	
Total HOPWA Contract Amount for this Organization	\$1,754,521		
Organization's Website Address	www.lanterngroup.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Lower East Side Service Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Herbert Barish, Chief Executive Officer		
Email Address	hbarish@lesc.org		
Business Address	80 Maiden Lane, 2nd Floor		
City, County, State, Zip	New York, New York, NY 10038		
Phone Number 212-566-5372	Fax Number 212-732-5224		
Employer Identification Number (EIN):	13-3648597		
DUN & Bradstreet Number: 12-260-8115	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	12		
Congressional District(s) of Primary Service Area(s)	12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$60,217		
Organization's Website Address	www.lesc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Narrangansett		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	James Dill, Executive Director		
Email Address	jdill@hsi-ny.org		
Business Address	461 Park Avenue South, 6th Floor		
City, County, State, Zip	New York, New York, NY 10016		
Phone Number 212-252-9377	Fax Number 212-252-9322		
Employer Identification Number (EIN):	13-3685749		
DUN & Bradstreet Number: 01-967-4271	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$471,411		
Organization's Website Address	www.hsi-y.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Odyssey Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Peter Provet, Chief Executive Officer	
Email Address		pprovet@odysseyhousing.org	
Business Address		95 Pine Street	
City, County, State, Zip		New York, New York, NY 10005	
Phone Number 212-361-1600		Fax Number 212-361-1666	
Employer Identification Number (EIN):		13-4054564	
DUN & Bradstreet Number: 82-694-8700		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$263,133		
Organization's Website Address	www.odysseyhouseinc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Palladia, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Mark Hurwitz, President	
Email Address		mark.hurwitz@palladiainc.org	
Business Address		2006 Madison Avenue	
City, County, State, Zip		New York, New York, NY 10035	
Phone Number 212-979-8800		Fax Number 212-979-0100	
Employer Identification Number (EIN):		23-7089380	
DUN & Bradstreet Number: 07-860-6761		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	7, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$261,044		
Organization's Website Address	www.palladiainc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Pratt Area Community Council, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Deborah Howard, Executive Director		
Email Address	deb_howard@prattarea.org		
Business Address	201 DeKalb Avenue		
City, County, State, Zip	New York, Brooklyn, NY 11205		
Phone Number 718-522-2613	Fax Number 718-222-3292		
Employer Identification Number (EIN):	11-2451752		
DUN & Bradstreet Number: 15-881-7213	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$358,845		
Organization's Website Address	www.prattarea.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name PRAXIS Housing Initiatives		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Svein Jorgensen, Executive Director		
Email Address	sjorgensen@praxishousing.org		
Business Address	17 Battery Place, Suite 307		
City, County, State, Zip	New York, New York, NY 10004		
Phone Number 212-293-8404	Fax Number 212-293-8420		
Employer Identification Number (EIN):	13-3832223		
DUN & Bradstreet Number: 88-398-7752	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	11, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, Bronx	
Total HOPWA Contract Amount for this Organization	\$679,770		
Organization's Website Address	www.praxishousing.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Project Renewal, Inc.		Parent Company Name, if applicable Project Renewal Fund, Inc.	
Name and Title of Contact at Project Sponsor Agency		Mitchell Netburn, President/CEO	
Email Address		mitchell.netburn@projectrenewal.org	
Business Address		200 Varick Street, 9th Floor	
City, County, State, Zip		New York, New York, NY 10014	
Phone Number 212-620-0340		Fax Number 212-633-9044	
Employer Identification Number (EIN):		13-2602882	
DUN & Bradstreet Number: 05-784-1710		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$216,609		
Organization's Website Address	www.projectrenewal.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Promesa		Parent Company Name, if applicable Acacia Network	
Name and Title of Contact at Project Sponsor Agency		Kenya Smith, Director of Supportive Housing	
Email Address		ksmith@basicsinc.org	
Business Address		488 East 164th Street	
City, County, State, Zip		Bronx, Bronx, NY 10456	
Phone Number 646-224-0486		Fax Number 347-963-9957	
Employer Identification Number (EIN):		13-2663328	
DUN & Bradstreet Number: 99-504-284		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$241,680		
Organization's Website Address	www.acacianetwork.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Ryer Avenue		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Sis. Dorothy Sheahan, Executive Director	
Email Address		ryersanmiguel@ryerhdfc.org	
Business Address		2386 Ryer Avenue	
City, County, State, Zip		Bronx, Bronx, NY 10458	
Phone Number 718-329-0600		Fax Number 718-309-0101	
Employer Identification Number (EIN):		13-3604402	
DUN & Bradstreet Number: 80-789-5453		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	7, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$718,151		
Organization's Website Address	www.ryerhdfc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name St. Mary's Supportive Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Marianne DiTommaso, Vice President	
Email Address		mditomaso@svwsjmc.org	
Business Address		1216 Bay Street	
City, County, State, Zip		Staten Island, Richmond, NY 10305	
Phone Number 718-982-4740		Fax Number 718-982-4741	
Employer Identification Number (EIN):		75-3144518	
DUN & Bradstreet Number: 18-327-0193		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$168,802		
Organization's Website Address	N/A		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name St. Nicks Alliance Corp.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Cindy Ross, Director of Finance		
Email Address	cross@stnicksalliance.org		
Business Address	2 Kingsland Avenue, 1st Floor		
City, County, State, Zip	Brooklyn, Kings, NY 11211		
Phone Number 347-856-5520	Fax Number 718-486-5982		
Employer Identification Number (EIN):	51-0192170		
DUN & Bradstreet Number: 09-376-4231	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	10, 11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$2,027,677		
Organization's Website Address	www.stnicksalliance.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name The DOE Fund, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Yarmila Gabron, Director of Supportive Housing Services		
Email Address	ygabron@doe.org		
Business Address	232 East 84th Street		
City, County, State, Zip	New York, New York, NY 10028		
Phone Number 646-672-4311	Fax Number 212-860-8126		
Employer Identification Number (EIN):	13-3412540		
DUN & Bradstreet Number: 60-964-3598	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$310,464		
Organization's Website Address	www.doe.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Unique People Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Yvette Brisset-Andre, Executive Director		
Email Address	yvetteba@uniquepeopleservices.org		
Business Address	4234 Vireo Avenue		
City, County, State, Zip	Bronx, Bronx, NY 10470		
Phone Number 718-231-7711	Fax Number 718-231-7720		
Employer Identification Number (EIN):	13-3636555		
DUN & Bradstreet Number: 84-791-2466	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	6,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$1,876,826		
Organization's Website Address	www.uniquepeopleservices.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name University Consultation Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Marcia Halley, Executive Director		
Email Address	mhalley@ucc-ny.org		
Business Address	1020 Grand Concourse		
City, County, State, Zip	New York, New York, NY 10451		
Phone Number 718-293-8400	Fax Number 718-293-1461		
Employer Identification Number (EIN):	13-1944395		
DUN & Bradstreet Number: 08-305-9865	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	7, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$366,694		
Organization's Website Address	N/A		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Volunteers of America Greater New York		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Avia Rose, Director of Finance		
Email Address	arose@voa-gny.org		
Business Address	340 West 85th Street		
City, County, State, Zip	New York, New York, NY 10024		
Phone Number 212-873-2600	Fax Number 212-874-3441		
Employer Identification Number (EIN):	58-1959781		
DUN & Bradstreet Number: 36-115-7287	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	14, 15, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$1,734,579		
Organization's Website Address	www.voa-gny.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Westside Federation for Senior Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Laura Jervis, Executive Director		
Email Address	ljervis@wsfssh.org		
Business Address	2345 Broadway		
City, County, State, Zip	New York, New York, NY 10024		
Phone Number 212-721-6032	Fax Number 212-721-6043		
Employer Identification Number (EIN):	13-2926433		
DUN & Bradstreet Number: 17-887-7254	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$127,196		
Organization's Website Address	N/A		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Putnam Department of Social Services		Parent Company Name, if applicable Putnam County	
Name and Title of Contact at Project Sponsor Agency		Frank Marocco, Director of Children and Family Services	
Email Address		frank.marocco@dfa.state.ny.us	
Business Address		110 Old Rt. 6, Building 2	
City, County, State, Zip		Carmel, Putnam, NY 10512	
Phone Number 845-808-1500		Fax Number 845-225-8635	
Employer Identification Number (EIN):		14-60002759	
DUN & Bradstreet Number: 07-270-9553		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	19		
Congressional District(s) of Primary Service Area(s)	19		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	N/A	Putnam	
Total HOPWA Contract Amount for this Organization	\$67,211		
Organization's Website Address	www.putnamcountyny.com		
Is the sponsor a nonprofit organization?	No		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Rockland County Office of Community Development		Parent Company Name, if applicable Rockland County	
Name and Title of Contact at Project Sponsor Agency		Karey Lynch, HOME Program Coordinator	
Email Address		lunchk@co.rockland.ny.us	
Business Address		50 Sanatorium Road, Building K	
City, County, State, Zip		Pomona, Rockland, NY 10907	
Phone Number 845-364-3939		Fax Number 845-364-3940	
Employer Identification Number (EIN):		13-6007344	
DUN & Bradstreet Number: 07-543-7848		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	17		
Congressional District(s) of Primary Service Area(s)	17, 18, 19		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	N/A	Rockland	
Total HOPWA Contract Amount for this Organization	\$386,086		
Organization's Website Address	www.co.rockland.ny.us		
Is the sponsor a nonprofit organization?	No		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Grace Church Community Center, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Barbara Bento-Fleming, Program Director, Housing Services	
Email Address		bbento-fleming@gracecommunitycenter.org	
Business Address		35 Orchard Street	
City, County, State, Zip		White Plains, Westchester, NY 10605	
Phone Number 914-949-0925		Fax Number 914-686-3077	
Employer Identification Number (EIN):		13-3121606	
DUN & Bradstreet Number: 62-537-5811		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		0	
Congressional District(s) of Primary Service Area(s)		Westchester County	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		N/A	Westchester County
Total HOPWA Contract Amount for this Organization		\$1,165,135	
Organization's Website Address		www.gracecommunitycenter.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name City of Mount Vernon		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Danielle Scholar, Program Director	
Email Address		dscholar@ci.mount-vernon.ny.us	
Business Address		One Roosevelt Square - 2nd Floor	
City, County, State, Zip		Mount Vernon, Westchester, NY 10552	
Phone Number 914-699-7230		Fax Number 914-665-0823	
Employer Identification Number (EIN):		13-2574843	
DUN & Bradstreet Number: 07-697-0847		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		17	
Congressional District(s) of Primary Service Area(s)		Mount Vernon	
Zip Code(s) of Primary Service Area(s)		10550	
City(ies) and County(ies) of Primary Service Area(s)		Mount Vernon	Westchester County
Total HOPWA Contract Amount for this Organization		\$357,246	
Organization's Website Address		www.cmvny.com	
Is the sponsor a nonprofit organization?		No	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name The Sharing Community, Inc. (Yonkers)		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	David Gonzalez, Coordinator of Shelter & Housing		
Email Address	gonzalez@thesharingcommunity.org		
Business Address	1 Hudson Street		
City, County, State, Zip	Yonkers, Westchester, NY 10701		
Phone Number 914-963-2626	Fax Number 914-969-7877		
Employer Identification Number (EIN):	13-3186666		
DUN & Bradstreet Number: 15-086-5087	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	18		
Congressional District(s) of Primary Service Area(s)	Yonkers		
Zip Code(s) of Primary Service Area(s)	10701		
City(ies) and County(ies) of Primary Service Area(s)	Yonkers	Westchester County	
Total HOPWA Contract Amount for this Organization	\$178,500		
Organization's Website Address	www.thesharingcommunity.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Greyston Health Services (Yonkers)		Parent Company Name, if applicable Greyston Foundation	
Name and Title of Contact at Project Sponsor Agency	Matthew Shelley, Senior Vice-President of Health & Human Services		
Email Address	matthews@greyston.org		
Business Address	21 Park Avenue		
City, County, State, Zip	Yonkers, Westchester, NY 10701		
Phone Number 914-376-3900	Fax Number 914-376-1333		
Employer Identification Number (EIN):	13-3668065		
DUN & Bradstreet Number: 36-150-9743	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	18		
Congressional District(s) of Primary Service Area(s)	Yonkers		
Zip Code(s) of Primary Service Area(s)	10701		
City(ies) and County(ies) of Primary Service Area(s)	Yonkers	Westchester County	
Total HOPWA Contract Amount for this Organization	\$178,500		
Organization's Website Address	www.greyston.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Westchester County Department of Planning		Parent Company Name, if applicable Westchester County	
Name and Title of Contact at Project Sponsor Agency		Dana Sanchez, Program Administrator	
Email Address		das5@westchestergov.com	
Business Address		148 Martine Avenue - Room 414	
City, County, State, Zip		White Plains, Westchester, NY 10601	
Phone Number 914-995-4602		Fax Number 914-995-9093	
Employer Identification Number (EIN):		13-6007353	
DUN & Bradstreet Number: 11-747-7302		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	17		
Congressional District(s) of Primary Service Area(s)	17, 18, 19, 20		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	N/A	Westchester County	
Total HOPWA Contract Amount for this Organization	\$58,125		
Organization's Website Address	www.westchestergov.com		
Is the sponsor a nonprofit organization?	No		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

B. Other Funding Sources

This section, Other Funding Sources, is divided into two parts: a narrative and a table. The narrative describes the funds received from HUD competitive programs, the State, City tax-levy funds, and other federal (non-HUD) funding. A description of the programs listed in the table can be found in the 2013 Consolidated Plan. The funds the City actually received in 2013 for the four formula entitlement grants are outlined on Table 1, in the previous section, I.A.

DCP Form 3, **Summary Table of Other Funding Sources**, has been divided into six parts: **Part i.** New York City Housing Authority Funds, which includes NYCHA's Public Housing Capital Fund, Public Housing Drug Elimination Program, and HOPE VI Program; **Part ii.** HUD Competitive Programs, includes the Section 202 and Section 811 Programs; **Part iii.** State Funds, includes funds that are provided to match federal and city funds, and non-matching funds; **Part iv.** City Funds, includes city funds to match federal funds, plus non-matching funds; and **Part v.** Total Funding Sources is the aggregation of Parts i-iv.

In addition, the allocations are presented in two columns, A. and B., which indicate how funds will be received: if Column A is designated, the funds will come directly through a City agency; if Column B is selected the money will be received by another entity in the City, such as NYCHA or a not-for-profit organization. The figures in both columns refer to funds awarded in calendar year 2013, not to funds which were previously awarded and are still available.

For the most part, the allocations provided here follow the Consolidated Plan year for the four formula programs, CDBG, ESG, HOME and HOPWA. However, it is important to note that not all of the estimates on this table are presented in terms of the Consolidated Plan Year. Since each governmental entity (i.e., federal, state, and city) uses a different 12 month period to define its fiscal year, the various estimates are based on the fiscal year of the relevant level of government. For example, the projections for the City's contributions are based on the City's fiscal year (July 1 to June 30), while the State figures are reported according to the State fiscal year (April 1 to March 31). In the case of Federal funds, the fiscal year is October 1 to September 30.

i. New York City Housing Authority Funds

In addition to the HUD formula/entitlement programs, the New York City Housing Authority (NYCHA) receives HUD funds to operate its facilities. Please refer to the 2013 Consolidated Plan for a description of NYCHA's programs.

Line 1. Public Housing Capital Fund Program NYCHA Updated

In 2013, NYCHA received from HUD, through the Capital Fund Program, approximately **\$258,885,813** for building modernization. These funds will be primarily devoted to moderate rehabilitation activities that benefit low- and very low- income households in Federally subsidized housing projects. NYCHA obligated (awarded contracts) **\$387,594,454** in Capital Fund Program funds during 2013.

Line 2. HOPE VI NYCHA to Update

NYCHA decided not to apply for HOPE VI in 2013. Therefore, **\$0** has been entered on line 2, column B.

i. Subtotal - New York City Housing Authority Funds (lines 1-2) for column B, **\$258,885,813**.

ii. HUD Competitive Programs

HUD releases notices of funding availability (NOFAs) several times a year; the allocation of these funds is made on a competitive basis. In addition to the City of New York, NYCHA and not-for-profit organizations are eligible to apply for funds. In some cases, the City of New York has applied for this money and been awarded funds. Listed below are the funds awarded to the City during 2013. Column A lists the funds that City agencies received directly, and column B lists the funds NYCHA, a non-for-profit organization, or another entity in the City received.

Line 3. Continuum of Care NOFA

The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act) amended the McKinney-Vento Homeless Assistance Act. Among other changes, the HEARTH Act consolidated the three separate McKinney-Vento homeless assistance programs (Supportive Housing program, Shelter Plus Care program, and Section 8 Moderate Rehabilitation SRO program) into a single grant program known as the Continuum of Care (CoC) Program.

The CoC Program is designed to assist individuals (including unaccompanied youth) and families experiencing homelessness and to provide the services needed to help such individuals move into transitional and permanent housing, with the goal of long-term stability. More broadly, the program is designed to promote community-wide planning and strategic use of resources to address homelessness; improve coordination and integration with mainstream resources and other programs targeted to people experiencing homelessness; improve data collection and performance measurement; and allow each community to tailor its program to the particular strengths and challenges within that community.

The 2013 HUD NOFA competition was not completed in the 2013 program year. The FY2012 NOFA awards were announced in 2013 and the NYC CoC was awarded \$113,178,205. DHS and HPD received **\$38,457,462** of that amount (line 3, column A), while not-for-profit providers and NYS agencies received the balance of **\$74,720,743** (line 3, column B).

Line 4. Section 202 Supportive Housing for the Elderly

No funding for Section 202 projects was included in the 2013 NOFA, therefore no new Section 202 funds were awarded in 2013.

Therefore, **\$0** is entered on line 4, column B.

Line 5. Section 811 Supportive Housing for People with Disabilities

*An update to the status of the New York Region's competitive grant applications was unavailable at the time the Proposed 2013 Consolidated Plan Annual Performance Report went to print. Therefore, **TBD** has been entered on line 5, column B.*

Line 6. Mainstream Housing Opportunities for Persons with Disabilities

In 2013, HUD did not issue a new Notice of Funding Availability (NOFA) for the Mainstream Housing Opportunities for Persons with Disabilities Program (Section 8 Mainstream Program).

NYCHA was funded for 120 units. The 60 months of funding for the 20 units awarded in May 2006 was completed in 2011. Therefore, **\$0** has been entered on line 6, column B.

Line 7. Section 8 Family Self-Sufficiency (FSS) Coordinators

HPD applied for this HUD grant in August 2013. In January 2014 HUD awarded HPD a grant of **\$1,350,488** (line 7, column A). The grant will fund the hiring of 20 FSS Coordinators.

Line 8. Family Unification Program

NYCHA did not receive any funding for this program. **\$0** has been entered on line 8, column B.

For a description of NYCHA's existing Family Unification Program, please see Part II. B., Continuum of Care.

Line 9. Housing Opportunities for Persons with AIDS

The competitive grant provides states, localities and nonprofit organizations with resources and incentives to devise strategies for meeting the housing and related supportive service needs of low-income persons with HIV/AIDS and their families. Funds are divided into three categories: (1) grants for Special Projects of National Significance (SPNS); and (2) grants for projects that are part of Long Term Comprehensive Strategies (Long Term); and (3) grants for Renewal of Permanent Supportive Housing.

In 2013, HUD awarded one NYC not-for-profit an SPNS permanent supportive housing renewal grant of **\$1,180,129** (Reported in line 9, column B).

Line 10. Lead Hazard Reduction Demonstration Grant Program

In November, 2011 HUD announced a Notice of Funding Availability (NOFA) for the 2012 Lead Hazard Reduction Demonstration Grant. HPD submitted an application for the grant and in March 2012 was awarded \$3.0 million. The goal is to complete 240 units within targeted areas in the Bronx, Queens and Brooklyn. This 36-month grant started June 1, 2012 and will end on May 31, 2015. HUD did not announce a NOFA in calendar 2013. Therefore, no dollars are listed in Line 10, column A.

ii. Subtotal - Competitive Programs (lines 3 –10) is **\$39,807,950** for column A, and approximately **\$75,900,900** for column B.

Total Federal (HUD) Funds The total for Federal HUD funds (New York City Housing Authority Funds and Competitive Grants) received in 2013 by New York City agencies, and other entities within the City are approximately: **\$316,736,800** (Lines 1–10, column A, and Federal Formula/Entitlement Programs; complete description in Section A), and approximately **\$334,786,700** (lines 1–10, column B), respectively.

iii. State Funds

The State of New York provides funding through City agencies for housing, homeless and supportive housing services. The State funds listed in column A are administered by a New York City agency. Column B lists the programs which are operated by NYCHA and not-for-profit entities. The following programs have received State funds:

Line 11. Affordable Homeownership Development Program

The Affordable Homeownership Development Program is administered by the New York State Affordable Housing Corporation (AHC), a subsidiary of the New York State Housing Finance Agency. In Calendar year 2013 AHC awarded four projects for Acquisition / Rehabilitation. These four awards were for a total of \$5,960,149, to assist 149 units.

In addition, AHC made one New Construction award, for \$2,512,500, to create 81 homeownership units for low- and moderate-income households. Therefore, **\$8,472,649** has been entered on Line 11, column A.

Line 12. Homeless Housing Assistance Program

The Homeless Housing and Assistance program expands the supply of housing for homeless persons through the provision of capital grants and loans for housing development and preservation. Grants are provided to not-for-profit organizations and may be used to develop emergency, transitional and permanent housing for the homeless. Eligible costs include land/building acquisition; capital improvements (rehabilitation and new construction); professional fees (i.e. architectural, legal); and other costs associated with project development. Approved projects must be operated as homeless housing for a period of not less than twenty-five years and rents cannot exceed the public assistance shelter allowance or 30% of income. In SFY '12 – '13, **\$29,717,814** (line 12, column B) was allocated for New York City projects, including \$5,940,595 specifically dedicated for the development of housing for persons living with AIDS. HHAP contributed toward the completion of 439 units in New York City during SFY '12-'13, of which 298 were for the homeless population. There were 22 units of permanent self-contained housing for homeless families, and 282 units of permanent housing for homeless individuals. Of the units completed, 185 of the units were toward the NY/NY III Agreement with New York City.

Line 13. Low Income Housing Tax Credit (LIHTC)

In 2013, HPD awarded a total of **\$13 million** in federal Low Income Housing Tax Credits for 12 developments under the competitive funding round for 2013. HPD's authority is negotiated annually with the State of New York, which in turn receives a fixed amount determined by a per capita formula from the U.S. Department of the Treasury (IRS). These credits will help build or rehabilitate 831 apartments of which 751 will be affordable for low-income families in Manhattan, the Bronx, Queens and Brooklyn.

Line 14. Low Income Housing Trust Fund Program (LIHFT)

This program was created to address the shortage of decent, affordable housing for low-income people. It provides funding to non-profit corporations, municipalities, counties, housing authorities, private developers and partnerships to build or rehabilitate housing or convert non-residential properties to house low-income homesteaders, tenants, tenant cooperators or condominium owners. It provides housing for the homeless and those with special needs, large families, the elderly and disabled, and persons with incomes with income less than 80 percent of median in New York City. Applications for funding are processed through the Division of Housing and Community Renewal's Unified Funding Application Process. The actual amount of funds approved by the HTFC Board for New York City projects in SFY '12 – '13 was **\$0** (line 14, column B). Funding commitments were executed with awardees in NYC in the amount of \$ 3,579,391. There were 41 units completed in one project in New York City in SFY '12 – '13.

Line 15. RESTORE

RESTORE, administered by the New York State Housing Trust Fund Corporation, is targeted to low-income elderly homeowners and provides grants and loans of up to \$5,000 per unit for emergency home repairs. Funds are made available to not-for-profit organizations and municipalities for projects. Contracts were limited to \$50,000 to each entity, with a maximum of \$5,000 per unit. Applications are processed through a NOFA (notice of funds available). For RESTORE 2012-13 contracts in the NYC area, there were 2 awardees that assisted a total of 15 units. Therefore, **\$100,000** has been entered on line 15, column B.

Line 16. State Housing Bonds

The State of New York lent the Authority funds to finance the construction of State-aided developments from proceeds of State Housing Bonds issued. The Debt Service are the periodic repayment on the outstanding bonds. NYCHA received **\$4.3M** (Line 16, column B).

iii. Total State Funds

The total State of New York funds the City of New York allocated for housing, homeless and supportive housing services is **\$21,472,600** (lines 11-16, column A). NYCHA, not-for-profit agencies and other entities expect to allocate approximately **\$34,117,800** (lines 11-16, column B) of state funds within the City of New York.

iv. City Funds

Column A

Line 17. DHS City Funds

- a. DHS Expense with Federal Funds
DHS used approximately \$986.589 million in City Fiscal Year 2013 to administer the Continuum of Care program for the homeless. Not including CDBG and ESG funds used by DHS to provide homeless services, the total is approximately \$956.430 million. Of this, approximately **\$584,753,570** (line 17a, column A) was used in conjunction with Federal funds. This includes approximately \$14 million in City Tax Levy funds used to match ESG funds received from HUD. Excluding this ESG Match, the \$570.607 million comes from three funding sources, approximately 46% City tax levy, 13% State of New York and 40% from Federal funds. The primary Federal funding source is the U.S. Department of Health and Human services (HHS) Temporary Assistance to Needy Families (TANF) program.
- b. DHS Capital without Federal Funds
The City expended approximately **\$14,331,000** in City Capital funds for DHS programs (line 17b, column A).
- c. DHS Expense without Federal Funds
In addition to the approximately \$14 million in City Tax Levy funds used for the ESG match, the City used approximately **\$371.676 million** (line 17c, column A) in CFY 2013 for the provision of homeless services without Federal Funds. This represents, in addition to the ESG match, the City's contribution to the Continuum of Care that does not involve Federal funding. City tax levy funds are matched with two types of funding from New York State, funding to reimburse localities for local adult shelter expenditures and SRO operating subsidies. The \$371.676 million does not include CD or ESG funds used by DHS to administer homeless services.

Line 18. HPD City Funds

- a. HPD's total capital budget actuals for 2013 from all funding sources (including HUD) is approximately 473,715,000. Of that amount \$393,617,000 comes from the City. Of the City funds, **\$185,294,000** (line18a, column A) are scheduled for programs that use City funds in conjunction with Federal funds (CDBG, HOME, etc.). The remaining \$208,323,000 of City funds are used in programs that do not receive Federal funds.

The City uses a portion of this \$185,294,000 figure to meet its 12.5% requirement to match HOME funds, in addition to using the appraised value of tax exemptions. Please refer to the HOME Match Report located in Section A. for a description of the City's matching contribution to federally funded HOME Investment Partnership projects.

In 2013, the HOME Program Income generated was \$6,417,431.

- b. HPD Expense with Federal Funds
 HPD's total expense budget actuals for 2013 from all funding sources (including HUD) was \$709,047,802. Of that amount, \$66,736,928 comes from the City (tax levy, Inter-Fund Agreement (IFA), and Intra-City). Of the City funds, approximately **\$38,174,963** (line 18b, column A) is scheduled for programs that use City funds in conjunction with Federal funds (CDBG, HOME, etc.). The remaining approximately \$28,561,965 of City funds are used in programs that do not receive Federal funds.
- c. HPD Capital without Federal Funds
 In 2013 HPD committed approximately **\$208,323,000** (line 18c, column A) in programs that receive no Federal funds.
- d. HPD Expense without Federal Funds
 In 2013, HPD budgeted approximately **\$28,561,965** (line 18d, column A) in programs that receive no Federal funds

HPD Capital and Expense

As the primary housing agency in the City of New York, HPD has used both City capital and tax levy funds to develop housing programs to address the needs of low and moderate income households.

Although the funds received from the federal government are an essential element in the City's housing policy, HPD has created 20 housing programs with no federal funds for the purposes of increasing housing production and maintaining the existing housing stock through the following activities: new construction, substantial and moderate rehabilitation, code enforcement, operating and maintenance costs, planning and administration, homeless prevention, infrastructure improvements, public service improvements, homeless assistance, rental assistance and other activities.

Arverne Urban Renewal Area (URA)	Senior Citizen Home Assistance Program (SCHAP)
Edgemere URA	Taxable "80/20" Program
Gateway Estates	Tenant Support Services
Home Improvement Program (HIP)	Urban Renewal Associated Costs
Housing Education Program (HEP)	Multifamily Rental – Mod/Mid
Inclusionary Housing Program	Multifamily Homeownership
In-Rem Lead	Year 15 / Low-Income Housing Tax Credit
Melrose Commons URA	Program (LIHTC)
Nehemiah Gateway Estates at Spring Creek	Mortgage Assistance Program
Small Homes Development – Scattered Sites	West Bushwick URA
(formerly New York City Partnership New	Broadway Triangle URA
Homes Program)	

Line 19.HRA City Funds

HRA HASA Services are funded with a combination of the funding sources outlined below. In City Fiscal Year 2014 the total HASA budget was \$227.5 million for case management, housing, support services, and expenses for the design and implementation of HASA's Model offices.

In City fiscal year 2014, projected City tax levy funding for HASA was approximately **\$103.5 million** (line 19, column A).

HASA received the following additional money not listed in the HUD Variables chart. The amounts are approximately \$41.5 million in New York State matching funds; and \$82.5 million in federal funds. The

numbers do not include expenditures for rental assistance and enhanced rental assistance, housing related special grants, or nutrition and transportation benefits paid through public assistance.

Column B

Line 20. NYCHA City Funds

- a. NYCHA Capital without Federal Funds
The City allocates Capital funds for the modernization of NYCHA developments. In CFY 2013 the following funds were allocated for modernization work at NYCHA developments: Mayoral Capital funds in the amount of **\$38.36** million, City Council funds in the amount of \$30.61 million and Borough President Discretionary Capital funds in the amount of \$1.45 million. Therefore, the total of the three sources is entered on line 20a, column B as **\$70.42 million**.
- b. NYCHA Expense without Federal Funds
Through an agreement made by Mayor Bloomberg and the New York City Council, the City allocated \$58 million dollars to the New York City Housing Authority (NYCHA) to cover the cost of 69 NYCHA-operated community centers and 37 senior centers and to maintain our clerical staffing levels. In FY 2013, NYCHA received **\$25M** (line 20b, column B). This funding will help to reduce the impact of the Federal Continuing Resolution and the Budget Control Act of 2011, or “sequestration” and allow NYCHA to continue to serve its’ residents.

v. Total City Funds

The Total Funds the City of New York expects to allocate for housing, homeless and supportive housing services is approximately **\$1,534,614,500** column A, and the amount the City is expected to provide to NYCHA is represented in column B, **\$94.420 million**.

vi. Total Funding Sources

Line 21. Federal Sources

In column A, the City received federal funds from three funding sources:

- a. \$276,928,888 Federal Formula/Entitlement Programs (see Section A for a complete description);
- c. \$ 39,807,950 subtotal HUD Competitive Programs (part ii)
- d. \$ 0 subtotal HUD Fair Housing and Housing Counseling (see AFFH Statement)
- e. **\$316,736,838 Total Federal Sources Received by the City**

In column B, NYCHA and other entities received federal funds from three funding sources:

- b. \$ 258,885,813 subtotal New York City Housing Authority Funds (Part i)
- c. \$ 75,900,872 subtotal HUD Competitive Programs (Part ii)
- d. \$ 4,433,731 subtotal HUD Fair Housing and Housing Counseling (see AFFH Statement)
- e. **\$ 339,220,416 Total Federal Sources Received by Other Entities**

Line 22. Total State Sources

In column A, approximately **\$21,472,600** in State funds was provided directly to City agencies for housing, homeless, and supportive housing services. In column B, approximately **\$34,117,800** in state funds was provided to other entities, such as NYCHA or not-for-profit organizations.

Line 23. Total City Sources

In column A, approximately **\$1,534,614,500** of City tax-levy dollars was used in the 2013 Consolidated Plan. In column B, **\$94.420 million** was used by NYCHA.

Line 24.Total Private Sources

In column B, approximately **\$561,139,400** in private funds were received in 2013. This figure only includes private funds to be used in conjunction with federal HOME funds. It includes private bank loans and tax credit equity.

Total All Sources

In column A, approximately **\$1,872,824,050** in Federal, State, and City funds (lines 21, 22, and 23, respectively) was administered by City agencies for housing, homeless, supportive housing services and community development needs.

In column B, approximately **\$1,029,897,600** in Federal, State, City and Private funds (lines 21, 22, 23 and 24, respectively) were received by NYCHA and not-for-profit organizations.

In the 2013 Consolidated Plan over **\$2,902,721,600** (Total All Sources, column A plus column B) was used by City agencies, NYCHA, and not-for-profit organizations to meet the housing, homeless, supportive housing services and community development needs within the City of New York.

Line	Funding Source	Amount City Received in 2013 (A)	Amount Received by Other Entities in 2013 (B)
i.	New York City Housing Authority Funds		
1	Public Housing Capital Fund Program		\$258,885,813
2	HOPE VI		\$0
i.	Subtotal-New York City Housing Authority Funds		\$258,885,813
ii.	HUD Competitive Funds		
3	Homeless Continuum of Care NOFA	38,457,462	\$74,720,743
	Section 8 Moderate Rehab SRO		
	Shelter Plus Care		
	Supportive Housing		
4	Section 202 Supportive Housing for the Elderly		\$0
5	Section 811 Supportive Housing for Persons with Disabilities		TBD
6	Mainstream Housing Opportunities for Persons with Disabilities		\$0
7	Section 8 Family Self-Sufficiency (FSS) Coordinators	1,350,488	
8	Family Unification Program		\$0
9	Housing Opportunities for Persons with AIDS (SPNS)		\$1,180,129
10	Lead Hazard Reduction Demonstration Grant Program	\$0	
ii.	Subtotal-HUD Competitive Program	\$39,807,950	\$75,900,872
iii.	State Funds		
11	Affordable Homeownership Development Program	\$8,472,649	
12	Homeless Housing and Assistance Program		\$29,717,814
13	Low Income Housing Tax Credit	\$13,000,000	
14	Low Income Housing Trust Fund Program		\$0
15	RESTORE Program		\$100,000
16	State Housing Bonds		\$4,300,000
iii.	Subtotal-State Funds	\$21,472,649	\$34,117,814

Line	Funding Source	Amount City Received in 2013 (A)	Amount Received by Other Entities in 2013 (B)
iv.	City Funds		
17	DHS City Funds		
	a. DHS City Expenses with Federal Funds	\$584,753,570	
	b. DHS City Capital in Programs that receive no Federal Funds	\$14,331,000	
	c. DHS City Expense in Programs that receive no Federal Funds	\$371,676,051	
18	HPD City Funds		
	a. HPD City Capital with Federal Funds	185,294,000	
	b. HPD City Expense with Federal Funds	\$38,174,963	
	c. HPD City Capital in programs that receive no Federal Funds	\$208,323,000	
	d. HPD City Expense in programs that receive no Federal Funds	\$28,561,965	
19	HRA City Funds		
	HRA City Expense with no Federal Funds	\$103,500,000	
20	New York City Housing Authority Funds		
	a. NYCHA City Capital without Federal Funds		\$70,420,000
	b. NYCHA City Expense without Federal Funds		\$25,000,000
iv.	Subtotal-City Funds	\$1,534,614,549	\$95,420,000
v.	TOTALS		
21	Total Federal Sources		
	a. Formula Entitlement Programs (See Section A)		
	CDBG	\$157,053,419	
	HOME	\$57,811,908	
	ESG	\$10,921,293	
	HOPWA	\$51,142,268	
	b. New York City Housing Authority		\$258,885,813
	c. HUD Competitive Programs	\$39,807,950	\$75,900,872
	d. HUD Fair Housing and Housing Counseling	\$0	\$4,433,731
	e. Total	\$316,736,838	\$339,220,416
22	Total State Sources	\$21,472,649	\$34,117,814
23	Total City Sources	\$1,534,614,549	\$95,420,000
24	Total Private Sources		\$561,139,374
v.	TOTAL ALL SOURCES	\$1,872,824,036	\$1,029,897,604

C. Progress in Providing Affordable Housing

The section has been divided into two parts: 1. Households and Persons Assisted with Housing by Income; and 2. Households and Persons Assisted with Housing by Race and Hispanic Ethnicity. The first section will report on the total number of extremely low-, low-, and moderate-income renter and owner households, homeless families and persons, and persons and non-homeless persons with special needs who were assisted with housing during 2013. The second section provides the race and ethnicity for those programs where such data was collected. In addition, the section reports on the race and ethnicity for persons receiving rental assistance through the Section 8 Rental Certificate and Voucher programs.

1. Households and Persons Assisted with Housing by Income Categories

Introduction

This Section describes **Table 4, Households and Persons Assisted with Housing**, which estimates the number of extremely low-, low-, and moderate-income renter and owner households, homeless families and persons, and non-homeless persons with special needs (including persons with HIV/AIDS and their families) who were assisted with housing during 2013. In reviewing the information provided for **Table 4** several important caveats must be considered.

Methodology

First, the total number of households and persons to be assisted is based upon a distinct category of funding. In accordance with the Performance Report instructions, the tables provide data **only** for households and persons who have been assisted with Federal funds (whether wholly or in part), and in no way comprises the total universe of households and persons that have been assisted by the City in 2013. The specific Federal programs used to compile these estimates are cited below. It is important to note that, while Federal funds are critical to the City's housing goals and have an increasingly significant impact on the types of activities that can be done and the range of households and persons to be assisted, these funds account for a limited amount of the City's overall housing assistance budget. As mentioned earlier in this report a substantial amount of housing production and assistance activities are undertaken solely with local financial resources, or a combination of local and state resources. This assistance is not reflected in this table.

Second, the estimates found in Table 4 are based on 2013 accomplishments irrespective of when these funds used to support the accomplishments were made available. For example, even if all or part of the funds were expended in the fiscal year(s) prior to calendar year 2013, if the household moved into a dwelling unit in calendar year 2013, the household will be counted as "assisted in 2013" for the purposes of this report. For renter households, a renter is considered to have benefited if the household or individual takes occupancy of affordable housing that is newly acquired, newly rehabilitated, or newly constructed, and/or receives rental assistance. For Homeowners, an existing homeowner is benefited during the year if the home's rehabilitation is completed. A homebuyer is benefited if a home is purchased during the reporting year. For the homeless, the accomplishments count homeless families and persons as having been assisted with housing if they became the occupant of transitional or permanent housing in 2013. The numbers reported are based upon information provided by HUD regional staff, as well as information and input provided by the program staff at HPD, NYCHA, DHS, HRA and other city agencies. It is important to note, however, that while all of the households and persons listed here are receiving some federal assistance, the majority also receive assistance from the City.

Third, in formulating its estimate of those households and persons "to be assisted" the City used the definition outlined in the HUD instructions which specified the conditions under which a household or person is benefited through the investment of Federal funds and specific categories found in Table 4. These categories are as follows: 1) Total Renters; 2) Total Homeowners; 3) Homeless, Individuals and Families; and 4) Non-Homeless persons with Special Needs. These activities and initiatives are targeted to increase the number of renter and ownership units available, and make those units accessible to the greatest number of residents, while serving the full range of household types and persons, and ensuring that economic and racial integration is maintained. The

category "Support Services" are defined and included as, "Federal funds, or activities assisting homeless and non-homeless persons with special needs not linked to the provision of supportive, transitional or permanent housing, may be reported separately, but shall not be included in the estimate of households and persons assisted with housing." The programs specified in each of these categories which received Federal funds have been defined in the 2013 Consolidated Plan.

Due to the above limitations only a few of the City's CDBG-funded programs could be included in the charts which identify the total numbers of households and persons assisted. For instance, all of the ongoing repair work in the in-rem units goes to benefit the many thousands of people residing in this housing. However, since each individual repair does not constitute a completed rehabilitation it is not proper to count it here. Consequently, the numbers of the households and persons positively impacted by the City's efforts are actually much higher than can be identified under the Performance Report criteria.

In addition, the instructions for completing the 2013 Consolidated Plan required that the City report on assistance for three categories of income: extremely low-income (0-30 percent of the area median family income (MFI)); low-income (31-50 percent of MFI); and moderate (51-80 percent of MFI). While attempting to comply with these instructions when providing performance projections in the 2013 Consolidated Plan, the City also noted that, since many of the Federal programs do not distinguish between extremely low-income households with incomes below 30 percent of the MFI and those with income above 30 percent of MFI, this information is only provided if the information exists. It is significantly easier to provide information concerning the split between households earning below 50 percent of MFI and those earning above 50 percent of MFI, because many Federal programs use this point as an eligibility cut-off. However, even in this case, it is not always possible to accurately apportion beneficiaries. In fact, in some Federal programs like the Low Income Housing Tax Credit, families with incomes between 50 percent and 60 percent of MFI are often treated in the identical manner as families with incomes below 50 percent of MFI. As a result, while the 2013 Consolidated Plan estimates for total households assisted are relatively accurate, the specific projections for each of the income categories are not completely reliable and should be used with caution.

With these caveats in mind, the City has attempted to present the most complete and accurate information possible concerning the use of Federal funds to assist households and individuals in 2013. The program description for each program is detailed in the 2013 Consolidated Plan.

Data regarding the number of homeless individuals and homeless families assisted with housing through New York City's Emergency Solutions Grant (ESG) is now located in Part I.A.4 Emergency Solutions Grant – eCAPER module, CR-65., ESG Persons Assisted.

Data on non-homeless special needs households assisted with housing through New York City's Housing Opportunities for Persons with AIDS (HOPWA) grant-funded activities is now located in Part I.A.4., HOPWA Consolidated Annual Performance and Evaluation Report (CAPER).

Therefore, the reader is advised to interpret the following data with caution.

Table 4 - HOUSEHOLDS AND PERSONS ASSISTED WITH HOUSING BY INCOME AND TENURE

RENTERS

Column E: Renters

Line 1(E): Extremely-Low Income and Low-Income (0 to 50% of MFI) Households: On line 1, column E, a total of **4,494** households were assisted in the following programs: Federal Public Housing Development program (**2,763**); NYCHA Section 8 Rental Housing Choice Vouchers (**900**); HPD Rental Vouchers (**212**); HOME-assisted Supportive Housing Program – New Construction (**40**); HOME-assisted Supportive Housing

Program – Rehab (1); HOME-assisted Article 8A Loan Program (167); HOME-assisted HUD Multifamily Rental Program (3); HOME-assisted Low Income Rental (252); HOME-assisted Senior Housing (formerly Section 202 Program) (138); and, HOME-assisted Tenant-Based Rental Assistance (TBRA) (18).

Line 3 (E): Moderate-Income (51 to 80% of MFI) Households: On line 3, column E, a total of **1,695** households have been assisted with funds from the following programs: Federal Public Housing Development Program (488); HPD Rental Vouchers (8); HOME-assisted Supportive Housing – New Construction (235); HOME-assisted Supportive Housing – Rehab (49); HOME-assisted Article 8A Loan Program (48); HOME-assisted HUD Multifamily Rental Program (44); HOME-assisted Low Income Rental (722); HOME-assisted Senior Housing (formerly Section 202 Program) (93); and, HOME-assisted Tenant-Based Rental Assistance (TBRA) (8).

Line 4 (E): Total Low/Moderate-Income Households: This line is a total of the above defined categories of assisted households which equals **6,189** households.

OWNERS

Column I: Homeowners:

Line 1 (I): Extremely-Low Income and Low-Income Households (0 to 50% of MFI): On line 1, column I, **24** extremely low-income and low-income households have been assisted with funds from the HOME-assisted Article 8A Loan Program (6); and, HOME-assisted Homefirst Downpayment Assistance Program (18).

Line 3 (I): Moderate-Income (51 to 80% of MFI): On line 3, column I, **267** other low-income households have been assisted with funds from the following programs: HOME-assisted Article 8A Loan Program (1); and HOME-assisted Homefirst Downpayment Assistance Program (266).

Line 4 (I): Total Low/Moderate-Income: Lines 1 and 3 of Column I, equal **291** homeowners.

HOMELESS

Column J: Homeless Individuals:

Line 1 (J): Extremely Low-Income and Low-Income Households (0 to 50% of MFI): On Line 1, column J, is a total of extremely low-income and low-income individuals (0 to 50% of MFI): This column is a total of **1,641** individuals which includes the Federal Public Housing Development Program (175); HPD Rental Vouchers (1,173); HOME-assisted Supportive Housing – New Construction (285); and, HOME-assisted Tenant-Based Rental Assistance (TBRA) (8).

Line 3 (J): Moderate Income Households (51% to 80% of MFI): On line 3, column J, a total of **325** individuals were assisted through the Federal Public Housing Development Program (9); HPD Rental Vouchers (8); HOME-assisted Supportive Housing – New Construction (233); and, HOME-assisted Supportive Housing – Rehab (74); HOME-assisted Tenant-Based Rental Assistance (TBRA) (1).

Total of column J, on line 4: A total of **1,966** Homeless Individuals were assisted with housing in 2013.

Column K: Homeless Families:

Line 1 (K): Extremely Low-Income and Low-Income Households (0 to 50% of MFI): On line 1, column K, a total of **1,098** families which includes the Federal Public Housing Development Program (669); HPD Rental Vouchers (285); HOME-assisted Supportive Housing – New Construction (85); and, HOME-assisted Low Income Rental Program (59).

Line 3 (K): Moderate Income Households (51% to 80% of MFI): On line 1, column K, a total of **154** families were assisted through the Federal Public Housing Development Program (26); HPD Rental Vouchers (9);

HOME-assisted Supportive Housing – New Construction (**86**); HOME-assisted HUD Multifamily Rental Program (**12**); and, HOME-assisted Low Income Rental Program (**21**).

Total of column K, on line 4: A total of **1,252** Homeless families were assisted with housing in 2013.

NON-HOMELESS PERSONS WITH SPECIAL NEEDS

Column L: Non-Homeless Special Needs:

Line 1 (L): Extremely Low-Income and Low-Income Households (0 to 50% of MFI): On line 1, column L, is a total of **1,126** households which includes Federal Public Housing Development Program (**660**); NYCHA Section 8 Rental Housing Choice Vouchers (**281**); and, Section 202 Supportive Housing for the Elderly (**185**).

Column L: Non-Homeless Special Needs:

Line 3 (L): Moderate Households (51% to 80% of MFI): On line 3, column L, is a total of **60** households assisted through the Federal Public Housing Development Program.

Total of Column L on line 4: A total of **1,186** households with Special Needs were assisted

TOTALS

Column M: Total Goals: The numbers in this column represent the sum of the numbers from columns A through L. The number of extremely low-income households and persons assisted were **8,383**. The number of moderate-income households assisted was **2,501**. The total of all households and persons assisted in 2013 is **10,884**.

Column N: Total Section 215 Goals: **1,239** of the **10,884** households assisted met the Section 215 Goals as outlined in the National Affordable Housing Act of 1990.

TABLE 4

Consolidated Plan Households and Persons Assisted with Housing		U.S. Department of Housing and Urban Development Office of Community Planning and Development							Annual Performance Report (APR)					
Name of Jurisdiction: NEW YORK, NEW YORK		Fiscal Year: 2013												
Household Income (All Households)	Renters					Owners				Homeless Persons		Non-Homeless Persons with Special Needs	Totals	
	Elderly 1 & 2 Member Households (A)	Small Related Households (2 to 4) (B)	Large Related Households (5 or more) (C)	All Other Households (D)	Total Renters (E)	Existing Homeowners (F)	1st-Time with Children (G) All Others (H)		Total Homeowners (I)	Indvls. (J)	Families (K)		All Households Assisted (M)	Section 215 Households (N)
1. Extremely Low 0 to 30% MFI*					4,494				24	1,641	1,098	1,126	8,383	1,239
2. Low 31 to 50% MFI*														
3. Moderate 51 to 80% MFI*					1,695				267	325	154	60	2,501	
4. Total Low/Moderate Income					6,189				291	1,966	1,252	1,186	10,884	1,239

* Or, based upon HUD adjusted income limits, if applicable.

2. Households and Persons Assisted with Housing by Race and Hispanic Ethnicity

This section documents the race and ethnicity of all households or persons who were assisted with housing during 2013. In the previous chapter, the same households or persons assisted with housing were reported by income, see Table 4 Households and Persons Assisted with Housing by Income.

In late September 2002, HUD release guidance on the collection, analysis, and reporting using new federally-defined race and ethnicity categories. Race data will be described using five federally-defined single race categories (American Indian or Alaska Native; Asian; Black or African-American; Native Hawaiian or Other Pacific Islander; and White) and six additional multiple race categories (American Indian or Alaska Native *and* White; Asian *and* White; Black or African American *and* White; American Indian or Alaska Native *and* Black or African American; Any other (multiple) race combination that comprises more than 1 percent of the population; and Balance of individuals reporting more than one race, respectively). In addition, persons of Hispanic or Latino ethnicity shall be reported as a subcategory within each of the respective race categories.

Please note: if one of the aforementioned categories is not listed in a table, no persons of that race/ethnic group were registered in that category and the table has been collapsed for the sake of reporting brevity. In addition, the Race and Ethnicity data are not collected for every program that the City of New York administers. Such data has been summarized for the programs where data is available. HUD does not make race and ethnicity data available, therefore, such data for Section 202 and Section 811 are not provided.

HUD's implementation date was January 1, 2003. Therefore, the City collected race and ethnicity data for Consolidated Plan program year 2013 activities using the new categories. The data has been reported in the Proposed 2013 Consolidated Plan Annual Performance Report.

Department of Housing Preservation and Development Programs

The following information represents the race/ethnicity of households and persons assisted with housing by HPD programs (CDBG, HOME, and other federal funds).

The renter programs include: HOME-assisted Supportive Housing Program – New Construction; HOME-assisted Supportive Housing Program – Rehab; HOME-assisted Article 8A Program; HOME-assisted Multifamily Rental Program; HOME-assisted Senior Housing Program; Low-Income Rental Program; and HOME-assisted Tenant-Based Rental Assistance.

Homeownership programs include: HOME-assisted Article 8A Program; and, HOME-assisted Homefirst Downpayment Assistance Program.

Lastly counted in this table are homeless households and persons assisted through the following programs: HOME-assisted Supportive Housing Program – New Construction; HOME-assisted Supportive Housing Program – Rehab; HOME-assisted Multifamily Rental Program; HOME-assisted Low-Income Rental Program; and, HOME-assisted Tenant-Based Rental Assistance.

TABLE 5: The Race and Hispanic Ethnicity of Households and Persons Assisted (Completions (Set ups)) with Department of Housing Preservation and Development-Administered Housing Programs Using HOME Program Funds. ⁽¹⁾

RACE	TOTAL RENTERS (A)	TOTAL HOME-OWNERS (B)	HOMELESS INDIVIDUALS (C)	HOMELESS FAMILIES (D)	TOTAL ASSISTED (A+B+C+D)
American Indian or Alaska Native	34	0	12	6	52
Asian	127	67	27	9	230
Native Hawaiian or Other Pacific Islander	42	0	15	7	64
Black or African American	916	118	286	141	1,461
White	620	52	229	85	986
Other (Multi-)racial	79	54	32	15	180
TOTAL	1,818	291	601	263	2,973
HISPANIC ETHNICITY	TOTAL RENTERS (A)	TOTAL HOME-OWNERS (B)	HOMELESS INDIVIDUALS (C)	HOMELESS FAMILIES (D)	TOTAL ASSISTED (A+B+C+D)
Hispanic Households Assisted	708	76	286	137	1,207

Notes:

- 1 The distributions shown reflect both survey-based information from occupants and estimates. Caution is recommended in interpreting this data.
- 2 "Other" includes unknown or not available.
3. Some of the above data includes middle-income households assisted by the respective programs described above.

In addition, HPD is responsible for maintaining and preserving the City-owned residential housing stock (a.k.a., *in-rem* housing). An assessment of the racial/ethnic composition of the tenants living in *in-rem* housing was undertaken based on the 2011 New York City Housing and Vacancy Survey. The survey found that the racial and ethnic composition of the *in-rem* tenants was as follows:

TABLE 6: Race and Ethnicity of the Head of Household of Community Development-funded, Department of Housing Preservation and Development-administered, City-owned Housing Stock, New York City 2011

RACE/HISPANIC ETHNICITY	PERCENTAGE
Black, non-Hispanic	41.3%
White, non-Hispanic	9.5%*
Asian, non-Hispanic	**
Other, non-Hispanic	**
All Hispanic	48.6%
TOTAL	100%

* Due to survey and estimation errors, percentage should be used with caution.

** Too few individuals to report.

Emergency Solutions Grant (ESG) Funded Programs

Data regarding the number of homeless individuals and homeless families assisted with housing through New York City's Emergency Solutions Grant (ESG) is now located in Part I.A.3 Emergency Solutions Grant – eCAPER module, CR-65., ESG Persons Assisted.

HOPWA Funded Programs

Data regarding the number of non-homeless special needs households assisted with housing by race and Hispanic ethnicity through New York City's Housing Opportunities for Persons with AIDS (HOPWA) grant-funded activities is now located in Volume 1., Part I.A.4., HOPWA Consolidated Annual Performance and Evaluation Report (CAPER).

New York City Housing Authority - Federal Public Housing Funded Program

In 2013, NYCHA provided 4,850 newly assisted persons with housing through the federal public housing funds that are used to operate the authority. The Federal Public Housing Development Program is designed to assist in the production of affordable housing for lower-income tenants. The tenants assisted were taken from the normal waiting list turnover of units (for example, units vacated through transfer or households who have permanently left the Program). It should be noted tenants taken from the list may include applicants granted a higher priority due to federal preferences (eg., victims of domestic violence, homelessness or other emergencies, such as fires). The following is a breakdown of persons assisted by race and ethnicity in 2013.

TABLE 7: Race and Hispanic Ethnicity of the Persons Assisted with Federal Public Housing Units

RACE/ETHNICITY	TOTAL ASSISTED	# OF HISPANIC ASSISTED
American Indian or Alaska Native	24	13
Asian	378	1
Native Hawaiian or Other Pacific Islander	54	37
Black or African American	2,495	550
White	1,899	1,623
TOTAL	4,850	2,224

Section 8 Tenant-based - Rental Housing Choice Vouchers Program

The City of New York has two Public Housing Authorities (PHA's) which receive Section 8 Rental Housing Choice Vouchers: the New York City Housing Authority (NYCHA); and the Department of Housing Preservation and Development (HPD). Both agencies provide tenant-based assistance to very low-income households. Tenant assistance is in the form of vouchers or certificates. The following is a breakdown of persons assisted by race and ethnicity in 2013, for Housing Choice Vouchers issued by NYCHA followed by a second table of those issued by HPD.

TABLE 8: Race and Hispanic Ethnicity of the Population Receiving NYCHA Rental Housing Choice Vouchers

RACE/HISPANIC ETHNICITY	TOTAL ASSISTED	# OF HISPANIC ASSISTED
American Indian or Alaska Native	12	6
Asian	42	4
Native Hawaiian or Other Pacific Islander	17	9
Black or African American	699	123
White	407	302
TOTAL	1,181	448

TABLE 9: Race and Hispanic Ethnicity of the Head of Household Receiving HPD Rental Assistance Vouchers and Certificates

NOTE: Hispanic Renters, Homeless Individuals, and Homeless Families are subcounts of their respective Total Households by Tenure Categories

Category	RENTERS		HOMELESS INDIVIDUAL		HOMELESS FAMILY		TOTAL	
	TOTAL (A)	HISPANIC (a)	TOTAL (B)	HISPANIC (b)	TOTAL (C)	HISPANIC (c)	ASSISTED (A+B+C)	HISPANIC ASSISTED (a+b+c)
American Indian or Alaska Native	0	0	1	0	2	0	3	0
Asian	1	0	10	0	2	0	13	0
Black or African-American	121	2	776	83	199	13	1,096	98
Native Hawaiian or Other Pacific Islander	2	0	4	2	3	0	6	2
White	96	87	390	222	91	68	577	377
TOTAL	220	89	1,181	307	294	81	1,695	477