

# CITY REC

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#### MONDAY, SEPTEMBER 28, 2015

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### THE CITY RECORD

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## PUBLIC HEARINGS AND MEETINGS

See Also: Procurement; Agency Rules

### **BOARD MEETINGS**

■ MEETING

**City Planning Commission** 

Meets in Spector Hall, 22 Reade Street, New York, NY 10007, twice monthly on Wednesday, at 10:00 A.M., unless otherwise ordered by the

#### City Council

Meets by Charter twice a month in Councilman's Chamber, City Hall, Manhattan, NY 10007, at 1:30 P.M.

Contract Awards Public Hearing

Meets in Spector Hall, 22 Reade Street, Main Floor, Manhattan, weekly, on Thursday, commencing 10:00 A.M., and other days, times and location as warranted.

Civilian Complaint Review Board

Generally meets at 10:00 A.M. on the second Wednesday of each month at 40 Rector Street, 2nd Floor, New York, NY 10006. Visit http://www. nyc.gov/html/ccrb/html/meeting.html for additional information and scheduling changes.

Design Commission

Meets at City Hall, Third Floor, New York, NY 10007. For meeting schedule, please visit nyc.gov/designcommission or call (212) 788-3071.

Department of Education

Meets in the Hall of the Board for a monthly business meeting on the Third Wednesday, of each month at 6:00 P.M. The Annual Meeting is held on the first Tuesday of July at 10:00 A.M.

**Board of Elections** 

32 Broadway, 7th Floor, New York, NY 10004, on Tuesday, at 1:30 P.M. and at the call of the Commissioner.

**Environmental Control Board** 

Meets at 100 Church Street, 12th Floor, Training Room #143, New York, NY 10007 at 9:15 A.M. once a month at the call of the Chairman. **Board of Health** 

Meets at Gotham Center, 42-09 28th Street, Long Island City, NY 11101, at 10:00 A.M., quarterly or at the call of the Chairman. **Health Insurance Board** 

Meets in Room 530, Municipal Building, Manhattan, NY 10007, at the call of the Chairman.

Board of Higher Education
Meets at 535 East 80th Street, Manhattan, NY 10021, at 5:30 P.M., on fourth Monday in January, February, March, April, June, September, October, November and December. Annual meeting held on fourth Monday in May.
Citywide Administrative Services

Division of Citywide Personnel Services will hold hearings as needed in Room 2203, 2 Washington Street, New York, NY 10004.

Commission on Human Rights

Meets on 10th Floor in the Commission's Central Office, 40 Rector Street, New York, NY 10006, on the fourth Wednesday of each month,

In Rem Foreclosure Release Board

Meets in Spector Hall, 22 Reade Street, Main Floor, Manhattan, Monthly on Tuesdays, commencing 10:00 A.M., and other days, times and location as warranted.

Franchise and Concession Review Committee

Meets in Spector Hall, 22 Reade Street, Main Floor, and other days, times and location as warranted.

Real Property Acquisition and Disposition
Meets in Spector Hall, 22 Reade Street, Main Floor, Manhattan, bi-weekly, on Wednesdays, commencing 10:00 A.M., and other days, times and location as warranted.

**Landmarks Preservation Commission** 

Meets in the Hearing Room, Municipal Building, 9th Floor North, 1 Centre Street in Manhattan on approximately three Tuesday's each month, commencing at 9:30 A.M. unless otherwise noticed by the Commission. For current meeting dates, times and agendas, please visit our website at www.nyc.gov/landmarks.

Employees' Retirement System

Meets in the Boardroom, 22nd Floor, 335 Adams Street, Brooklyn, NY 11201, at 9:30 A.M., on the third Thursday of each month, at the call of the Chairman.

Housing Authority
Board Meetings of the New York City Housing Authority are scheduled for the last Wednesday of each month (except August) at 10:00 A.M. in the Board Room on the 12th Floor of 250 Broadway, New York, NY 10007 (unless otherwise noted). Any changes to the schedule will be posted here and on NYCHA's website at http://www.nyc.gov/html/ nycha/html/about/boardmeeting\_schedule.shtml to the extent practicable at a reasonable time before the meeting. For additional information, please visit NYCHA's website or contact (212) 306-6088.

**Parole Commission** 

Meets at its office, 100 Centre Street, Manhattan, NY 10013, on Thursday, at 10:30 A.M.

Board of Revision of Awards

Meets in Room 603, Municipal Building, Manhattan, NY 10007, at the call of the Chairman.

**Board of Standards and Appeals** Meets at 40 Rector Street, 6th Floor, Hearing Room "E" on Tuesdays at 10:00 A.M. Review Sessions begin at 9:30 A.M. and are customarily held on Mondays preceding a Tuesday public hearing in the BSA conference room on the 9th Floor of 40 Rector Street. For changes in the schedule, or additional information, please call the Application Desk at (212) 513-4670 or consult the bulletin board at the Board's Offices, at 40 Rector Street, 9th Floor.

Tax Commission

Meets in Room 936, Municipal Building, Manhattan, NY 10007, each month at the call of the President. Manhattan, monthly on Wednesdays, commencing 2:30 P.M.

### **BOROUGH PRESIDENT - QUEENS**

■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN that a Public Hearing will be held by the Borough President of Queens, Melinda Katz, on Thursday, October 1, 2015 at 10:30 Å.M., in the Borough President's Conference Room located at 120-55 Queens Boulevard, Kew Gardens, NY 11424, on the following items:

CD Q05 – BSA #241-47 BZ IN THE MATTER OF an application submitted by Sheldon Lobel, PC on behalf of Naohisa Matsumoto/Yasuko Matsumoto, pursuant to Sections 11-412 and 11-413 of the New York City Zoning Resolution, for an amendment to legalize the change in use on the ground floor from contractor's establishment (UG 16A) to a custom woodworking and furniture shop (UG 16A) and an art studio (UG 9A), an amendment to eliminate the term of the previously granted variance and a waiver of the Board's Rules of Practice and Procedure for a two-story with cellar mixed-use building in an R5B district, located at 16-23/25 Hancock Street, Block 3548 Lot 97, Zoning Map 13d, Ridgewood, Borough of Queens.

CD Q13 - BSA #585-91 BZ

IN THE MATTER OF an application submitted by Paul F. Bonfilio Architect PC on behalf of Luis G. Mejia, pursuant to Section 11-411 of the New York City Zoning Resolution, for an extension of the term for a previously approved variance and for a waiver of the Rules of Practice and Procedure for continued operation of an existing automobile service station in an R4/C1-3 district located at **222-44 Braddock Avenue**, Block 10740 Lot 12, Zoning Map 15a, Queens Village, Borough of Queens.

CD Q11 - BSA #302-14 BZ
IN THE MATTER OF an application submitted by Rothkrug
Rothkrug & Spector LLP on behalf of Stanfordville, LLC, pursuant to Section 73-125 of the New York City Zoning Resolution, for a special permit to allow an ambulatory diagnostic or treatment health care facility in excess of 1,500 sf in an R3X district within a two-story mixed-use building located at 45-04 Francis Lewis Boulevard, Block 5538 Lot 30, Zoning Map 10d, Bayside, Borough of Queens.

NOTE: Individuals requesting Sign Language Interpreters should contact the Borough President's Office, (718) 286-2860, TDD users should call (718) 286-2656, no later than FIVE BUSINESS DAYS PRIOR TO THE PUBLIC HEARING.

s25-o1

#### BUILD NYC RESOURCE CORPORATION

■ PUBLIC HEARINGS

### NOTICE OF PUBLIC HEARING

Build NYC Resource Corporation (the "Corporation") is a not-for-profit local development corporation organized under Sections 402 and 1411 of the Not-for-Profit Corporation Law of the State of New York. In accordance with the aforesaid law, and pursuant to its certificate of incorporation, the Corporation has the power to issue non-recourse revenue bonds and to make the proceeds of those bonds available for projects that promote community and economic development in The City of New York (the "City"), and to thereby create jobs in the non-profit and for-profit sectors of the City's economy. The Corporation has been requested to issue such bonds for the financings listed below in the approximate dollar amounts respectively indicated. As used the approximate unial amounts respectively indicated. In deed, therein, "bonds" are the bonds of the Corporation, the interest on which may be exempt from local and/or state and/or federal income taxes; and, with reference to the bond amounts provided herein below, "approximately" shall be deemed to mean up to such stated bond amount or a greater principal amount not to exceed 10% of such stated bond amount. All square footage amounts and wage information shown below are approximate numbers.

Borrower Name(s): ODA Primary Health Care Network, Inc. (the "Organization"), a New York not-for-profit corporation exempt from federal taxation pursuant to Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, as borrower. Financing Amount: Approximately \$5,000,000 in tax-exempt and taxable revenue bonds. **Project Description:** Proceeds from the bonds, together with other funds of the Organization, will be used to: (1) finance the leasehold renovations, equipping and furnishing of approximately 5,929 square feet of medical office space located on the first floor of 420 Broadway, Brooklyn, NY 11211; (2) refinance taxable debt incurred by the Organization in the approximate amount currently outstanding of \$559,927.62, the proceeds of which were used to finance the acquisition, renovation and equipping and furnishing of two adjacent facilities: (i) an approximately 10,600 square foot facility located on an approximately 4,958 square foot parcel of land at 14 Heyward Street, Brooklyn, NY 11249; and (ii) an approximately 4,485 square foot facility located on an approximately 1,495 square foot parcel of land at 16 Heyward Street, Brooklyn, NY 11249; (3) refinance taxable debt incurred by the Organization in the approximate amount currently outstanding of \$2,391,527.30, the proceeds of which were used to finance leasehold renovations, equipping and furnishing of approximately 7,477 square feet of medical office space located on the first floor of 54 Rutledge Street, Brooklyn, NY 11249; (4) refinance a taxable debt incurred by the Organization in the approximate amount currently outstanding of \$57,207.35, the proceeds of which were used to finance the acquisition and renovation of an approximately 1,415 square feet of medical office space located on an approximately 9,496 square foot parcel of land at 6 Dairyland Road, Woodridge, NY 12789; and (5) pay for certain costs related to the issuance of the bonds. All of the facilities referenced above are owned or leased by the Organization to provide comprehensive primary care services as well as a range of specialty services. **Addresses**: 420 Broadway, Brooklyn, NY 11211, 14 Heyward Street, Brooklyn, NY 11249, 16 Heyward Street, Brooklyn, NY 11249, 54 Rutledge Street, Brooklyn, NY 11249 and 6 Dairyland Road, Woodridge, NY 12789. **Type of Benefits**: Tax-exempt/taxable bond financing and exemption from City and State mortgage recording taxes. **Total Project Cost**: \$5,000,000. **Projected Jobs**: 239 existing full time equivalent jobs, 4 full time equivalent jobs projected within 3 years. **Hourly Wage Average and Range**: \$87.57/hour, estimated range of \$15.01/hour to \$217.03/hour.

Borrower Name: New York Stem Cell Foundation, Inc. (the "Foundation"), a New York not-for-profit corporation exempt from federal taxation pursuant to Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, as borrower. **Financing Amount**: Approximately \$12,000,000 in tax-exempt revenue bonds. **Project Description**: As part of a plan of financing pursuant to Section 147(f) (2)(C) of the Internal Revenue Code, proceeds from the bonds, together with other funds of the Foundation, will be used to: (1) finance leasehold renovations, equipping and furnishing of approximately 40,600 square feet of office and laboratory space located on the second and third floors of 619 West 54th Street, New York, NY 10019 (the "Facility") and (2) pay for certain costs related to issuance of the bonds. The Facility will be leased and operated by the Foundation to find cures for major diseases through stem cell research. Address: 619 West 54th Street, New York, NY 10019. Type of Benefits: Tax-exempt bond financing and exemption from City and State mortgage recording taxes. Total Project Cost: \$19,230,000. Projected Jobs: 62 existing full time equivalent jobs, 18.5 full time equivalent jobs projected within 3 years. Hourly Wage Average and Range: \$51.19/hour, estimated range of \$15.00/hour to \$294.19/hour.

Borrower Name: Manhattan Country School Inc. (the "School"), a New York not-for-profit education corporation exempt from federal taxation pursuant to Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, or its wholly owned subsidiary West 85th Street Owner LLC or another entity owned by the School (collectively, the "Affiliate"), as borrower. Financing Amount: Approximately \$24,300,000 in tax-exempt revenue bonds. **Project Description**: Proceeds from the bonds, together with other funds of the School, will be used to: (1) refinance taxable debt incurred by the School in the approximate amount of \$12,000,000, the proceeds of which were used approximate amount of \$12,000,000, the proceeds of which were used to acquire an approximately 33,566 square foot building located on an approximately 7,663 square foot parcel of land at 150 West 85th Street, New York, NY 10024 (the "Facility"); (2) finance the renovation, furnishing and equipping of the Facility, with the anticipated square footage of the building following the renovation being approximately 25,457, (2) finance of the same statement of the same approximately 35,457; (3) finance a debt service reserve fund and capitalized interest; and (4) pay for certain costs related to issuance of the bonds. The Facility is owned and will be operated by the School (or the Affiliate) as a co-educational day school serving students in pre-kindergarten through eighth grade. Address(es: 150 West 85th Street, New York, NY 10024. Type of Benefits: Tax-exempt bond financing and exemption from City and State mortgage recording taxes. **Total Project Cost**: \$24,640,000. **Projected Jobs:** 59.5 existing full time equivalent jobs, 28.5 full time equivalent jobs projected within 3 years. **Hourly Wage Average and Range:** \$35.83/hour, estimated range of \$17.71/hour to \$104.17/hour.

Borrower Name: Congregation Machna Shalva (the "School"), a New York religious corporation exempt from federal taxation pursuant to Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, or 5815 CMS LLC a real estate holding company (the "Affiliate"), as borrower. Financing Amount: Approximately \$3,300,000 in tax-exempt revenue bonds. Project Description: Proceeds from the bonds, together with other funds of the School, will be used to: (1) finance the acquisition of an existing approximately 17,100 square foot building located on an approximately 10,001 square foot parcel of land at 5813-5815 20th Avenue, Brooklyn, NY 11204 (the "Facility") and to pay certain costs related to issuance of the bonds. The Facility will be owned and operated by the School or the Affiliate and used to provide educational services for boys in pre-kindergarten through Grade 2.

Address(es): 5813-5815 20th Avenue, Brooklyn, NY, 11204. Type of Benefits: Tax-exempt bond financing and exemption from City and State mortgage recording taxes. Total Project Cost: \$6,250,000.

Projected Jobs: 3.5 existing full time equivalent jobs, 0 full time equivalent jobs projected. Hourly Wage Average and Range: \$12.67/hour, estimated range of \$11.00/hour to \$26.00/hour.

For any updates to project information after the date of this notice, please visit the website of New York City Economic Development Corporation at www.nycedc.com/buildnyc-project-info.

Pursuant to Internal Revenue Code Section 147(f), the Corporation will at the offices of the New York City Economic Development Corporation ("NYCEDC"), located at 110 William Street, 4th Floor, New York, NY 10038, commencing at 10:00 A.M. on Thursday, October 8th, 2015. Interested members of the public are invited to attend. The Corporation will invite comments at such hearing on the proposed financings. In addition, at such hearing the Corporation will provide the public with an opportunity to review the financing application and the cost-benefit analysis for each of the proposed financings. For those members of the public desiring to review project applications and cost benefit analyses before the date of the hearing, copies of these materials will be made available, starting on or about fourteen (14) days prior to the hearing. Persons desiring to obtain copies of these materials may visit the website of New York City Economic Development Corporation at www.nycedc.com or may call (212) 312-3598. Persons desiring to make a brief statement regarding the proposed financings and transactions should give prior notice to the Corporation at the address or phone number shown below. Written comments may be submitted to the Corporation to the attention of Ms. Frances Tufano at the address shown below. Comments, whether oral or written, must be submitted no later than the close of the public hearing. Please be advised that certain of the aforementioned proposed financings and transactions may possibly be removed from the hearing agenda prior to the hearing date. Information regarding such removals will be available by contacting ftufano@nycedc.com on or about noon on the Friday preceding the hearing.

Build NYC Resource Corporation Attn: Ms. Frances Tufano 110 William Street, 5th Floor New York, NY 10038 (212) 312-3598

**◆** s28

### CITY PLANNING COMMISSION

■ PUBLIC HEARINGS

CD 4

**NOTICE IS HEREBY GIVEN** that resolutions have been adopted by the City Planning Commission, scheduling public hearings on the following matters to be held at 22 Reade Street, New York, NY, on Wednesday, October 7, 2015 at 10:00 A.M.

#### BOROUGH OF BROOKLYN No. 1 DISPOSITION OF CITY-OWNED PROPERTY C 150339 PPK

**IN THE MATTER OF** an application submitted by the Department of Citywide Administrative Services (DCAS), pursuant to Section 197-c of New York City Charter, for the disposition of two city-owned properties located at Block 3186, Lot 144 and Block 3438, Lot 63, pursuant to zoning.

YVETTE V. GRUEL, Calendar Officer City Planning Commission 22 Reade Street, Room 2E, New York, NY 10007 Telephone (212) 720-3370

s23-o7

### **COMMUNITY BOARDS**

■ PUBLIC HEARINGS

**PUBLIC NOTICE IS HEREBY GIVEN** that the following matters have been scheduled for public hearing by Community Board:

#### BOROUGH OF BROOKLYN

COMMUNITY BOARD NO. 8 - Thursday, October 1, 2015 at 6:30 P.M., The Center for Nursing and Rehabilitation, 727 Classon Avenue, Brooklyn, NY

BSA# 92-15-BZ

170 Buffalo Avenue

The owner wishes to vary the provisions of ZR Section 24-522 concerning setbacks of a currently vacant, seven-story and penthouse hospital located in an R6 zoning district.

s25-o1

# FRANCHISE AND CONCESSION REVIEW COMMITTEE

■ MEETING

### NOTICE OF SPECIAL PUBLIC MEETING

PUBLIC NOTICE IS HEREBY GIVEN THAT the Franchise and Concession Review Committee (FCRC) will hold a Special Public Meeting on Wednesday, September 30, 2015 at 2:00 P.M. at 22 Reade Street, Barrish Conference Room, Borough of Manhattan relating to: 1) a proposed change in control of Cemusa NY, LLC ("Franchisee"), which, pursuant to an assignment from Cemusa Inc. (immediate parent of Franchisee), holds a non-exclusive franchise giving it the right to (a) install, operate and maintain bus shelters, automatic public toilets, and public service structures and install and maintain newsstands on the inalienable property of the City and (b) sell and place advertising as set forth in the franchise agreement and to derive revenue therefrom. In this transaction, all of the shares of Corporación Europea de Mobiliario Urbano, S.A. (immediate parent of Cemusa Inc.) in Cemusa Inc. would be transferred to JC Decaux North America, Inc., thereby resulting in a change in control of Franchisee (hereinafter referred to as the "2015 Change in Control"); and 2) proposed amendments to the franchise agreement, in the form of an amended and restated franchise agreement ("franchise agreement"), that will modify various sections including but not limited to: (a) an increase in overseas markets for NYC & COMPANY advertising; (b) the elimination of the City's option

to return any or all of its share of advertising panels on the Coordinated Franchise Structures (as defined in the franchise agreement) for cash to be paid by the Franchisee to the City; (c) changes to the total number of bus shelters obligated to be installed by the Franchisee, including but not limited to specific bus shelters on 5th Avenue between 34th Street and 59th Street and the right of the City in certain circumstances to reciprocal shelters; (d) the obligation of the City to compensate Franchisee for depreciation of certain bus shelters in the event that an advertising Public Communications Structure or Public Pay Telephone is installed on 5th Avenue between 34th Street and 59th Street; and (e) clarification of the alternative compensation language that confirms the obligation of the Franchisee to exclude the value added tax ("VAT") from computation of the value owed to the City in alternative compensation.

NOTE: Individuals requesting sign language interpreters or any other accommodation of disability at the special public meeting should contact the Mayor's Office of Contract Services, Public Hearing Unit, 253 Broadway, 9th Floor, New York, NY 10007, (212) 788-7490, no later than SEVEN (7) BUSINESS DAYS PRIOR TO THE SPECIAL PUBLIC MEETING. TDD users should call Verizon relay service.

s21-30

#### HOUSING AUTHORITY

#### ■ MEETING

The next Board Meeting of the New York City Housing Authority is scheduled for Wednesday, September 30, 2015 at 10:00 A.M. in the Board Room on the 12th Floor of 250 Broadway, New York, NY (unless otherwise noted). Copies of the calendar are available on NYCHA's website or can be picked up at the Office of the Corporate Secretary at 250 Broadway, 12th Floor, New York, NY, no earlier than 24 hours before the upcoming Board Meeting. Copies of the minutes are also available on NYCHA's website or can be picked up at the Office of the Corporate Secretary no earlier than 3:00 P.M. on the Thursday after the Board Meeting.

Any changes to the schedule will be posted here and on NYCHA's Website at http://www1.nyc.gov/site/nycha/about/board-calendar.page to the extent practicable at a reasonable time before the meeting.

The meeting is open to the public. Pre-registration at least 45 minutes before the scheduled Board Meeting is required by all speakers. Comments are limited to the items on the calendar. Speaking time will be limited to three minutes. The public comment period will conclude upon all speakers being heard or at the expiration of 30 minutes allotted by law for public comment, whichever occurs first.

Any person requiring a reasonable accommodation in order to participate in the Board Meeting, should contact the Office of the Corporate Secretary at (212) 306-6088 no later than five business days before the Board Meeting.

For additional information, please visit NYCHA's Website or contact  $(212)\ 306-6088$ .

s16-30

### INDUSTRIAL DEVELOPMENT AGENCY

#### ■ PUBLIC HEARINGS

### NOTICE OF PUBLIC HEARING

New York City Industrial Development Agency (the "Agency") is empowered under the New York State Industrial Development Agency Act (constituting Title 1 of Article 18-A of the General Municipal Law), and Chapter 1082 of the 1974 Laws of New York, as amended, to enter into industrial and small industry incentive program transactions and other straight-lease transactions for the benefit of qualified projects, and thereby advance the job opportunities, general prosperity and economic welfare of the people of the State of New York (the "State") and to improve their prosperity and standard of living. The Agency has been requested to participate in industrial and small industry incentive program straight-lease transactions and other straight-lease transactions for the purposes and at the addresses also identified below. As used herein, the "City" shall mean The City of New York. All dollar amounts, square footage amounts and wage information shown below are approximate numbers.

Company Name(s): Gotham Seafood Corp. (the "Company"), a New York Corporation that prepares and distributes fresh and frozen seafood, and Sean-Sakie Holdings Ltd., an affiliated real estate holding company. Project Description: The Company seeks financial assistance in connection with (i) the acquisition, renovation, furnishing and/or equipping of an approximately 12,600 square foot building

located on an approximately 13,000 square foot parcel of land. **Address(es):** 1049-1055 Lowell Street, Bronx, NY 10459. **Type of Benefits:** Payments in lieu of City real property taxes, deferral of City and State mortgage recording taxes, and exemption from City and State sales and use taxes. **Total Development Cost:** \$4,225,000. **Projected Jobs:** 42 existing full time equivalent jobs, 3 full time equivalent jobs projected. **Hourly Wage Average and Range:** \$20.00/hour, estimated range of \$10.00/hour to \$80.00/hour.

For any updates to project information after the date of this notice, please visit the website of New York City Economic Development Corporation at www.nycedc.com/nycida-project-info.

Pursuant to Section 859a of the General Municipal Law of the State of New York, the Agency will hold a hearing on the proposed financings and transactions set forth above at the office of New York City Economic Development Corporation ("NYCEDC"), 110 William Street, 4th Floor, New York, NY 10038, commencing at 10:00 A.M. on **Thursday, October 8**th, **2015**. Interested members of the public are invited to attend. The Agency will present information at such hearing on the proposed financings and transactions set forth above. Pursuant to subdivision 3 of the above-referenced Section 859a, the Agency will, in addition, provide an opportunity for the public to review at such hearing the project application and the cost-benefit analysis for each of the proposed financings and transactions. For those members of the public desiring to review project applications and cost benefit analyses before the date of the hearing, copies of these materials will be made available, starting on or about noon fourteen (14) days prior to the hearing. Persons desiring to obtain copies of these materials may visit the website of New York City Economic Development Corporation at www.nycedc.com or may call (212) 312-3598. Persons desiring to make a brief statement regarding the proposed transactions should give prior notice to the Agency at the address or phone number shown below. Written comments may be submitted to the Agency to the attention of Ms. Frances Tufano at the address shown below. Please be advised that certain of the aforementioned proposed transactions may possibly be removed from the hearing agenda prior to the hearing date. Information regarding such removals will be available by contacting ftufano@nycedc.com on or about NOON on the Friday preceding the hearing.

> New York City Industrial Development Agency Attn: Ms. Frances Tufano 110 William Street, 5th Floor New York, NY 10038 (212) 312-3598

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# INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS

■ PUBLIC HEARINGS

NOTICE OF A FRANCHISE AND CONCESSION REVIEW COMMITTEE ("FCRC") PUBLIC HEARING to be held on Tuesday, October 13, 2015, commencing at 2:30 P.M. at 22 Reade Street, Borough of Manhattan, relating to a change of control of mobile telecommunications franchisee ExteNet Systems, Inc. (ExteNet) whereby ExteNet's parent, ExteNet Holdings, Inc. will be acquired by Odyssey Acquisition, LLC (Odyssey). Following the transaction, ExteNet will be indirectly controlled by Odyssey. Odyssey is controlled by a series of holding companies, which are ultimately owned by Stonepeak Communication Holdings LLC, Digital Bridge Small Cell Holdings LLC. and Delta-v Capital MRH LP.

A copy of the ownership organization chart reflecting the proposed changes of control ("proposed organizational chart") may be viewed at DoITT, 2 Metrotech Center, 4th Floor, Brooklyn, NY, 11201, commencing September 21, 2015, through October 13, 2015, between the hours of 9:30 A.M. and 3:30 P.M., excluding Saturdays, Sundays and holidays. Paper copies of the proposed organizational chart may be obtained, by appointment, at a cost of \$.25 per page. All payments shall be made at the time of pickup by check or money order made payable to the New York City Department of Finance. The proposed organizational chart may also be obtained in PDF form at no cost, by email request. Interested parties should contact Roxanne Chambers at 718-403-6730 or by email at RChambers@doitt.nyc.gov.

NOTE: Individuals requesting sign language interpreters or any other accommodation of disability at the Public Hearing should contact the Mayor's Office of Contract Services, Public Hearing Unit, 253 Broadway, 9th Floor, New York, NY 10007, (212) 788-7490, no later than SEVEN (7) BUSINESS DAYS PRIOR TO THE PUBLIC HEARING. TDD users should call Verizon relay service.

The Hearing may be cablecast on NYCMedia channels.

#### LANDMARKS PRESERVATION COMMISSION

■ PUBLIC HEARINGS

#### SPECIAL PUBLIC HEARING FOR THE BACKLOG INITIATIVE THURSDAY, OCTOBER 8, 2015

NOTICE IS HEREBY GIVEN that pursuant to the provisions of Title 25, Chapter 3 of the Administrative Code of the City of New York (Sections 25-303, 25-307, 25-308, 25-309, 25-313, 25-318, 25-320) (formerly Chapter 8-A, Sections 207-6.0, 207-7.0, 207-12.0, 207-17.0, and 207-19.0), on Thursday, October 8, 2015, at 9:00 A.M., a public hearing will be held at 1 Centre Street, 9th Floor, Borough of Manhattan with respect to the following properties and then followed by a public meeting. The order and estimated times for each application will be posted on the Landmarks Preservation Commission website the Friday before the hearing. Any person requiring reasonable accommodation in order to participate in the hearing or attend the meeting should contact the Landmarks Commission no later than five (5) business days before the hearing or meeting.

#### RESEARCH DEPARTMENT SPECIAL PUBLIC HEARING **ITEMS**

This Special Public Hearing will address 29 of the 95 backlog items. These are items that were placed on the Commission's calendar prior to 2010. This hearing is organized by borough. Each speaker will be given three minutes to speak per group of backlog properties, and in that time may address all of the items within the group, particular items, or add comments to support written testimony.

#### ITEM I - BOROUGH OF THE BRONX GROUP

- Immaculate Conception, Church of the Blessed Virgin Mary, Convent and Priests' Residence, 375-395 East 151st Street, Block 2398, Lot 14, CD 1 LP-1192; Hearing Date: 07/08/1980 Landmark Site: Bronx Block 2398, Lot 14 A Romanesque Revival style ecclesiastical structure designed by Henry Bruns in 1887.
- 6 Ploughman's Bush Building (aka Fieldston/Delafield **Estate Building**), 6 Ploughman's Bush, Block 5924, Lot 518, CD 8 LP-2159; Hearing Dates: 06/15/2004; 01/24/2006; 02/14/2006 Landmark Site: Block 5924, Lot 518 A Gothic Revival style building built by an unknown architect in 1849.
- Samuel D. Babcock House, 5525 Independence Avenue, Bronx, LP-0176; Hearing Date: 06/23/1970 Landmark Site: Block 5947, Lot 80 An altered Italianate style villa built by Thomas S. Wall in 1850.
- 65 Schofield Street House, 65 Schofield Street, Bronx, CD 10 LP-2395; Hearing Date: 1/19/2010 Landmark Site: Block 5628, Lot 146 An Italianate style farmhouse built by an unknown architect in
- 5. First Presbyterian Church of Williamsbridge and Rectory, 730-736 East 225th Street, Bronx, CD 12 LP-1191; Calendar/Hearing Dates: 07/08/1980; 09/09/1980; Landmark Site: Block 4838, Lot 66 A mixed style (Romanesque, Revival, Colonial Revival and Oriental) church building by John Davidson in 1902.

### Item II - BOROUGH OF BROOKLYN GROUP

- **183-195 Broadway Building,** 183-195 Broadway, Brooklyn, CD 1 LP-1223 and LP-1504; Hearing Dates: 11/18/1980; 02/10/1981; 06/12/1984; 07/10/1990; 09/11/1990 Landmark Site: Brooklyn Block 2446, Lot 51 An unusual Italianate style built by William Ditmars in 1882.
- Williamsburg Trust Co. Building (Ukranian Church in Exile Holy Trinity Cathedral), 177 South 5th Street, Brooklyn, CD 1 LP-0163; Hearing Date: 02/08/1966 Landmark Site: Brooklyn Block 2446, Lot 63 Neo-Classical Style building designed by Hemle and Huberty built
- St. Barbara's Roman Catholic Church, 138 Bleecker Street, Brooklyn, CD 4
  LP-1201; Hearing Date: 02/08/1966
  Landmark Site: Brooklyn Block 3306, Lot 6
  A Spanish Mission Revival /Neo-Plateresque style church by Hemle and Huberty built in 1907-1910.

- St. Augustine's Roman Catholic Church and Rectory, 130 Sixth Avenue, Brooklyn, CD 6 LP-0150; Hearing Dates: 02/08/1966; 03/08/1966; 07/08/1980 Landmark Site: Brooklyn Block 944, Lot 41 A Gothic Revival style church built by the Parfitt Brothers in 1888.
- Greenwood Cemetery, Greenwood Cemetery, Brooklyn, CD 7 LP-1233; Hearing Dates: 02/10/1981; 05/19/1981; 08/11/1981 Landmark Site: Brooklyn Block 902, Lot 1 A picturesque style cemetery established in 1838.
- Coney Island Pumping Station, 2301 Neptune Avenue, Brooklyn, CD 13 LP-1130; Hearing Dates: 03/11/1980; 05/13/1980 Landmark Site: Brooklyn Block 6965, Lot 125 An Art Deco structure built by Irwin Chanin in 1937.
- Lady Moody-Van Sicklen House, 27 Gravesend Neck Road, Brooklyn, CD 15 LP-1130; Hearing Dates: 02/08/1966; 05/26/1970; 03/02/2004 Landmark Site: Brooklyn Block 7123, Lot 64 A Dutch-American farmhouse built in the early 18th century, expanded in the mid-18th century and altered in 1905.

#### ITEM III - BOROUGH OF QUEENS GROUP

- Old Calvary Cemetery Gatehouse, Gale Avenue and Greenpoint Avenue, Queens, CD 2
  LP-0840; Hearing Date: 11/27/1973
  Landmark Site: Queens Block 2508, Lot 1 in part
  Queen Anne style structure built by an unknown architect in
- Pepsi Cola Sign, 4600 Fifth Street, Queens, CD 2 LP-1653; Hearing Dates: 04/19/1988; 07/12/1988 Landmark Site: Queens Block 21, Lot 1 in part (now located in Gantry Plaza State Park)
  Neon display sign built in 1936 by an unknown architect who worked with Artkraft Signs.
- Fairway Apartments, 76-09 34th Avenue, Queens, CD 3 LP-2484; Hearing Date: 09/11/1990; 3/22/2011 Landmark Site: Queens Block 1249, Lot 33 Neo-Tudor apartment complex built by Joshua Tabatchnik in 1937.

**Spanish Towers** 

- Spanish Towers

  34-30 75th Street, Queens, Community District 3
  LP-2451; Hearing Dates: 12/04/1990; 10/26/2010
  Landmark Site: Queens Block 1261, Lot 22
  34-32 75th Street, Queens, CD 3
  LP-2452; Calendar/ Hearing Date: 12/04/1990; 10/26/2010
  Landmark Site: Queens Block 1261, Lot 24
  34-34 75th Street, Queens, CD 3
  LP-2453; Calendar/ Hearing Date: 12/04/1990; 10/26/2010
  Landmark Site: Queens Block 1261, Lot 25
  34-36 75th Street, Queens, CD 3
  LP-2454; Calendar/ Hearing Date: 12/04/1990; 10/26/2010
  Landmark Site: Queens Block 1261, Lot 25
- Landmark Site: Queens Block 1261, Lot 26
- 34-38 75th Street, Queens, CD 3 LP-2455; Calendar/ Hearing Date: 12/04/1990; 10/26/2010 Landmark Site: Queens Block 1261, Lot 27
- 34-42 75th Street, Queens, CD 3 LP-2456; Calendar/ Hearing Date: 12/04/1990; 10/26/2010 Landmark Site: Queens Block 1261, Lot 29
- 34-44 75th Street, Queens, CD 3 LP-2457; Calendar/ Hearing Date: 12/04/1990; 10/26/2010
- Landmark Site: Queens Block 1261, Lot 30 34-46 75th Street, Queens, CD 3 LP-2458; Calendar/ Hearing Date: 12/04/1990; 10/26/2010
- LP-2458; Calendary Flearing Date. 12/04/1900, 10/26/2010 Landmark Site: Queens Block 1261, Lot 31 34-48 75th Street, Queens, CD 3 LP-2459; Calendar/ Hearing Date: 12/04/1990; 10/26/2010 Landmark Site: Queens Block 1261, Lot 32
- 34-52 75th Street, Queens, CD 3 LP-2460; Calendar/ Hearing Date: 12/04/1990; 10/26/2010 Landmark Site: Queens Block 1261, Lot 34
- 5. Bowne Street Community Church, 38-01 Bowne Street, Queens, CD 7 LP-2137; Calendar Date: 9/23/2003 Landmark Site: Queens Block 5022, Lot 1 A Romanesque Revival Church built by Edward Richardson in 1891-92
- First Reformed Church and Sunday School of College Point, 118-09 14<sup>th</sup> Avenue, Queens, CD 7 LP-1117; Hearing Dates: 01/08/1980; 03/11/1980 Landmark Site: Queens Block 4039, Lot 69 An Eastlake Gothic Church Structure built by an unknown architect in 1872-74.
- 7. Proposed Douglaston Historic District Extension, Queens, CD LP-2301; Hearing Date: 6/24/2008

<u>Proposed Douglaston Historic District Extension Boundary</u>

The proposed Douglaston Historic District Extension consists of the properties bounded by a line beginning at the northeast corner of properties bounded by a line beginning at the northeast corner of 234th Street and 41st Avenue, extending easterly along the northern curbline of 41st Avenue to a point on a line extending southerly from the eastern property line of 40-20 235th Street, northerly along said line and the eastern property line of 40-20 235th Street, easterly along the southern property line of 40-20 235th Street to the western curbline of 235th Street, northerly along the western curbline of 235th Street and the western curbline of Douglaston Parkway to a point on a line extending westerly from the northeast corner of Douglaston Parkway and Willow Drive, easterly along said line and easterly along the northern curbline of Willow Drive to a point on a line extending southerly from the eastern property line of 236-25 Willow Drive, northerly along said line and northerly along the eastern property line of 236-25 Willow Drive, easterly along the eastern property line of 236-25 Willow Drive, easterny along the eastern property lines of 236-25 Willow Drive and 236-32 Cherry Street (aka 236-32 39th Avenue), westerly along the northern property line of 236-32 Cherry Street (aka 236-32 accepted to the contract of the cont  $39^{\rm th}$  Avenue), northerly along the eastern property line of 236-32 Cherry Street (aka 236-32  $39^{\rm th}$  Avenue) to the northern curbline of Cherry Street (aka 39th Avenue), westerly along the northern curbline of Cherry Street to the western curbline of Douglaston Parkway, northerly along the western curbline of Douglaston Parkway to the southwest corner of Douglaston Parkway and West Drive, north-westerly along the southwestern curbline of West Drive, westerly along the southern curbline of Bay Avenue to a point on a line extending northerly from the western property line of 38-30-38-42 West Drive (aka 38-30-38-42 Douglaston Parkway), southerly along said line and southerly along the western property line of 38-30 – 38-42 West Drive (aka 38-30 – 38-42 Douglaston Parkway) to the northern curbline of 38th Drive, easterly along the northern curbline of 38th Drive to a point extending northerly from the western property line of 234-44 38th Drive (aka 38-60 Douglaston Parkway), southerly along said line and southerly along the western property line of 234-44 38th Drive (aka 38-60 Douglaston Parkway), westerly along the northern property line of 38-70 Douglaston Parkway, southerly along the western property line of 38-70 Douglaston Parkway, westerly along the northern property line of 38-80 Douglaston Parkway to the eastern curbline of 234th Street, southerly along the eastern curbline of 234th Street to a point extending westerly from the southern property line of 38-80 Douglaston Parkway, easterly along said line and easterly along the southern property line of 38-80 Douglaston Parkway, southerly along the western property line of 39-04 Douglaston Parkway, westerly along the northern property line of 39-12 Douglaston Parkway, southerly along the western property lines of 39-12 Douglaston Parkway and 39-18 Douglaston Parkway and 39-18 Douglaston Parkway and 39-18 Douglaston Parkway and 39-18 Douglaston Parkway, westerly along the northern property line of 39-50 Douglaston Parkway (aka 39-28 – 39-32 Douglaston Parkway) to the eastern curbline of 234th Street, and southerly along eastern curbline of 234th Street, to the point of beginning. Proposed district comprised of various styles by various architects

8. Lydia Ann Bell and William Ahles House, 39-26 213th Street, Queens

built in the mid-19th century – 1920s.

LP-2341, CD 11; Hearing Date: 6/23/2009 Landmark Site: Queens Block 6236, Lot 18 Second Empire Style home built by an unknown architect in 1873.

s23-o7

### MAYOR'S OFFICE OF CONTRACT SERVICES

#### ■ MEETING

The Procurement Policy Board will hold a meeting on Monday, September 28th, at 1:00 P.M., at 22 Reade Street, Spector Hall, in the Borough of Manhattan. For more information, please contact the Mayor's Office of Contract Services at (212) 788-0010.

s21-28

### **BOARD OF STANDARDS AND APPEALS**

### ■ PUBLIC HEARINGS

### OCTOBER 16, 2015, 10:00 A.M.

NOTICE IS HEREBY GIVEN of a public hearing, Friday morning, October 16, 2015, 10:00 A.M., in Spector Hall, 22 Reade Street, New York, N.Y. 10007, on the following matters:

#### SPECIAL ORDER CALENDAR

### 699-46-BZ

APPLICANT – Eric Palatnik, P.C., for Gurcharan Singh, owner. SUBJECT – Application May 22, 2015 – Extension of Time to Complete Construction of a previously approved variance permitting the operation of an Automotive Service Station (UG 16B), which expired on May 19, 2015. R3X zoning district.

PREMISES AFFECTED – 224-01 North Conduit Avenue, between 224th Street and 225th Street, Block 13088, Lot 0044, Borough of

#### **COMMUNITY BOARD #13Q**

#### 202-62-BZ

APPLICANT - Warshaw Burstein, LLP, for NY Dealers Stations, LLC,

SUBJECT - Application June 4, 2015 - Extension of Term and Waiver (§11-411) to extend the term and a Waiver of a previously granted variance for an automotive service station, which expired on April 3, 2011; Waiver of the Rules. C2-2/R4-1 zoning district. PREMISES AFFECTED – 950 Allerton Avenue, southeast corner of the

intersection of Allerton Avenue and Williamsbridge Road, Block 04447, Lot 062, Borough of Bronx.

COMMUNITY BOARD #11BX

#### 132-92-BZ

APPLICANT – Willy C. Yuin, RA, for Daniel Casella, owner. SUBJECT – Application November 17, 2014 – Extension of Term of a previously approved variance (§72-21) which permitted day care use in the cellar of the subject premises in conjunction with a banquet hall use, which expired on July 19, 2014. R3X, Cl-1 SRD zoning district. PREMISES AFFECTED – 3948 Amboy Road, between Hillside Terrace and Brown Avenue, Block 05142, Lot 22, Borough of Staten Island. **COMMUNITY BOARD #3SI** 

APPLICANT – Eric Palatnik, P.C., for Linwood holdings, LLC., owner. SUBJECT – Application June 8, 2015 – Extension of Time to Complete Construction ( $\S73-11$ ) to seek an extension of time to complete construction which expired May 10, 2015. C4-2 zoning district. PREMISES AFFECTED –133-47 39th Avenue, between Price Street and College Point Boulevard, Block 04972, Lot 059, Borough of Queens. COMMUNITY BOARD #7Q

#### 97-08-BZ

APPLICANT - Eric Palatnik P.C., for Yismach Moshe of Williamsburgh, Inc., owner.

SUBJECT - Application March 10, 2015 - Extension of Time to obtain a Certificate of Occupancy of a previously approved Special Permit (§73-19) permitting the legalization of an existing school (UG 3), which expired on March 16, 2012; Waiver of the Rules. M1-1 district. PREMISES AFFECTED – 84 Sanford Street, between Park Avenue and Myrtle Avenue, Block 01736, Lot 0014, Borough of Brooklyn. COMMUNITY BOARD #3BK

NOTICE IS HEREBY GIVEN of a public hearing, Friday afternoon October 16, 2015, 1:00 P.M., in <u>Spector Hall, 22 Reade Street</u>, New York, N.Y. 10007, on the following matters:

### ZONING CALENDAR

OCTOBER 16, 2015, 1:00 P.M.

### 330-13-BZ

APPLICANT - Alexander Levkovich, for Dilshoda Nasriddinova,

SUBJECT – Application December 31, 2013 – Special Permit (§73-622) for the legalization of an enlargement to an existing single family home contrary to floor area (ZR 23-141). R4-1 zoning district. PREMISES AFFECTED - 2801 Brown Street, east side of Brown Street, 230' south of intersection with Shore Parkway, Block 08800, Lot 0095, Borough of Brooklyn.

#### COMMUNITY BOARĎ #15BK

APPLICANT – Lewis E. Garafinkel, for Abraham Schreiber, owner. SUBJECT – Application June 25, 2014 – Special Permit (§73-622) for the enlargement of an existing single family residence contrary to floor area and open space (ZR 23-141(a)); side yards (ZR 23-461) and less than the required rear yard (ZR 23-47). R-2 zoning district.
PREMISES AFFECTED – 3173 Bedford Avenue, east side of Bedford Avenue 400' north from Avenue K, Block 07607, Lot 26, Borough of Brooklyn.

### COMMUNITY BOARD #14BK

APPLICANT – Eric Palatnik, P.C., for Avner Levy, owner. SUBJECT – Application December 12, 2014 – Special Permit (§73-622) for the enlargement of an existing single family home contrary to floor area (ZR 23-141(b). R3-1 zoning district.

PREMISES AFFECTED – 282 Corbin Place, adjacent to the Coney Island Beach and Boardwalk, Block 08723, Lot 276, Borough of

Brooklyn

#### COMMUNITY BOARD #3BK

**43-15-BZ**APPLICANT – Eric Palatnik, PC., for Joseph Tolv, owner.
SUBJECT – Application March 6, 2015 – Special Permit (§73-622) to permit an enlargement of one family home, seeking to waive the floor area, lot coverage, rear yard, perimeter wall height and open space requirements. R3-2 zoning district.

PREMISES AFFECTED – 2617 Avenue R, between East 26th and 27th Streets, Block 06809, Lot 0049, Borough of Brooklyn.

#### **COMMUNITY BOARD #15BK**

Margery Perlmutter, Chair/Commissioner

s25-28

#### TRANSPORTATION

#### ■ PUBLIC HEARINGS

NOTICE IS HEREBY GIVEN, pursuant to law, that the following proposed revocable consents, have been scheduled for a public hearing by the New York City Department of Transportation. The hearing will be held at 55 Water Street, 9th Floor, Room 945 commencing at 2:00 P.M. on Wednesday, October 7, 2015. Interested parties can obtain copies of proposed agreements or request sign-language interpreters (with at least seven days prior notice) at 55 Water Street, 9th Floor SW, New York, NY 10041, or by calling (212) 839-6550.

**#1** IN THE MATTER OF a proposed revocable consent authorizing 14 Leroy Street Darling LLC to construct, maintain and use a stoop and a fenced-in area, together with steps, on the south sidewalk of Leroy Street, west of Bleecker Street, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from the date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule:

From the Approval Date to the Expiration Date - \$25/per annum.

the maintenance of a security deposit in the sum of \$5,000 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

IN THE MATTER OF a proposed revocable consent authorizing DGA Security Systems, Inc. to install, maintain and use a conduit under, along and across West 53rd Street, east of Tenth Avenue, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from the date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule:

From the date of Approval by the Mayor to June 30, 2016 – 4,445/annum

For the period July 1, 2016 to June 30, 2017 - \$4,559 For the period July 1, 2017 to June 30, 2018 - \$4,673 For the period July 1, 2018 to June 30, 2019 - \$4,787 For the period July 1, 2019 to June 30, 2020 - \$4,901 For the period July 1, 2020 to June 30, 2021 - \$5,015 For the period July 1, 2021 to June 30, 2022 - \$5,129 For the period July 1, 2021 to June 30, 2023 - \$5,243 For the period July 1, 2022 to June 30, 2023 - \$5,243 For the period July 1, 2023 to June 30, 2024 - \$5,357 For the period July 1, 2024 to June 30, 2025 - \$5,471 For the period July 1, 2025 to June 30, 2026 - \$5,585

the maintenance of a security deposit in the sum of \$5,600 and the insurance shall be in the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate

#3 IN THE MATTER OF a proposed revocable consent authorizing HMC Times Square Hotel LP to continue to maintain and use an advertising sign structure projection over the property line on Broadway, between West 45th and West 46th Streets, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from October 17, 2014 to June 30, 2025 and provides among other terms and conditions for compensation payable to the City according to the following schedule:

For the period October 17, 2014 to June 30, 2015 - \$81,404 For the period July 1, 2015 to June 30, 2016 - \$119,036 For the period July 1, 2016 to June 30, 2017 - \$122,007 For the period July 1, 2017 to June 30, 2018 - \$124,978 For the period July 1, 2018 to June 30, 2019 - \$127,949 For the period July 1, 2019 to June 30, 2020 - \$130,920 For the period July 1, 2020 to June 30, 2021 - \$133,891 For the period July 1, 2021 to June 30, 2022 - \$136,862 For the period July 1, 2021 to June 30, 2022 - \$136,862 For the period July 1, 2022 to June 30, 2023 - \$139,833 For the period July 1, 2023 to June 30, 2024 - \$142,804 For the period July 1, 2024 to June 30, 2025 - \$145,775

the maintenance of a security deposit in the sum of \$100,000 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Five Million Dollars (\$5,000,000) aggregate.

**IN THE MATTER OF** a proposed revocable consent authorizing

Julio Capellan and Maria V. Capellan to construct, maintain and use a sidewalk hatch in the west sidewalk of  $103^{\rm rd}$  Street, between Roosevelt Avenue and 39th Avenue, in the Borough of Queens. The proposed revocable consent is for a term of ten years from the date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City according to the following schedule:

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From the date of Approval by the Mayor to June 30, 2016 - $265/annum For the period July 1, 2016 to June 30, 2017 - $272 For the period July 1, 2017 to June 30, 2018 - $279
                                  For the period July 1, 2018 to June 30, 2019 - $286
For the period July 1, 2019 to June 30, 2020 - $293
For the period July 1, 2020 to June 30, 2021 - $300
For the period July 1, 2021 to June 30, 2022 - $307
                                  For the period July 1, 2022 to June 30, 2023 - $314
For the period July 1, 2023 to June 30, 2024 - $321
For the period July 1, 2024 to June 30, 2025 - $328
For the period July 1, 2025 to June 30, 2026 - $335
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the maintenance of a security deposit in the sum of \$2,500 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

IN THE MATTER OF a proposed revocable consent authorizing The Port Authority of New York and New Jersey to continue to maintain and use pipes and conduits at two locations under and across Western Avenue, south of Richmond Terrace, in the Borough of Staten Island. The proposed revocable consent is for a term of ten years from July 1, 2015 to June 30, 2025 and provides among other terms and conditions for compensation payable to the City according to the following schedule:

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For the period July 1, 2015 to June 30, 2016 - $7,310 For the period July 1, 2016 to June 30, 2017 - $7,497 For the period July 1, 2017 to June 30, 2018 - $7,684 For the period July 1, 2018 to June 30, 2019 - $7,871 For the period July 1, 2019 to June 30, 2020 - $8,058 For the period July 1, 2020 to June 30, 2021 - $8,245
 For the period July 1, 2021 to June 30, 2022 - $8,432
For the period July 1, 2021 to June 30, 2022 - $8,619
For the period July 1, 2022 to June 30, 2023 - $8,619
For the period July 1, 2023 to June 30, 2024 - $8,806
For the period July 1, 2024 to June 30, 2025 - $8,993
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the maintenance of a security deposit in the sum of \$9,000 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

IN THE MATTER OF a proposed revocable consent authorizing The Port Authority of New York and New Jersey to continue to maintain and use a pipe under and across Richmond Terrace, east of Western Avenue, in the Borough of Staten Island. The proposed revocable consent is for a term of ten years from July 1, 2015 to June 30, 2025 and provides among other terms and conditions for compensation payable to the City according to the following schedule:

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For the period July 1, 2015 to June 30, 2016 - $3,593
 For the period July 1, 2016 to June 30, 2017 - $3,685
For the period July 1, 2017 to June 30, 2018 - $3,777
For the period July 1, 2017 to June 30, 2018 - $3,777 For the period July 1, 2018 to June 30, 2019 - $3,869 For the period July 1, 2019 to June 30, 2020 - $3,961 For the period July 1, 2020 to June 30, 2021 - $4,053 For the period July 1, 2021 to June 30, 2022 - $4,145 For the period July 1, 2022 to June 30, 2023 - $4,237 For the period July 1, 2023 to June 30, 2024 - $4,329 For the period July 1, 2024 to June 30, 2025 - $4,421
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the maintenance of a security deposit in the sum of \$9,000 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

IN THE MATTER OF a proposed revocable consent authorizing Sullivan Condo LLC to construct, maintain and use an electrical snow melt system in the west sidewalk of Sullivan Street, north of intersection of Sullivan Street, Avenue of the Americas and Broome Street, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from the date of Approval by the Mayor and provides among other terms and conditions for compensation payable to the City compensation to the full principle of the compensation of the first provides to the full principle of the compensation payable to the City according to the following schedule:

From the date of Approval by the Mayor to June 30, 2016 - \$2,001/annum edate of Approval by the Mayor to June 30, 2016 - \$2,00 For the period July 1, 2016 to June 30, 2017 - \$2,052 For the period July 1, 2017 to June 30, 2018 - \$2,103 For the period July 1, 2018 to June 30, 2019 - \$2,154 For the period July 1, 2019 to June 30, 2020 - \$2,205 For the period July 1, 2020 to June 30, 2021 - \$2,256 For the period July 1, 2021 to June 30, 2022 - \$2,307 For the period July 1, 2022 to June 30, 2023 - \$2,358 For the period July 1, 2023 to June 30, 2024 - \$2,409

For the period July 1, 2023 to June 30, 2024 - \$2,409 For the period July 1, 2024 to June 30, 2024 - \$2,460 For the period July 1, 2024 to June 30, 2025 - \$2,460 For the period July 1, 2025 to June 30, 2026 - \$2,511

the maintenance of a security deposit in the sum of \$3,000 and the insurance shall be the amount of Two Million Dollars (\$2,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

#8 IN THE MATTER OF a proposed revocable consent authorizing Water Street Fee LLC to continue to maintain and use an electrical conduit and lampposts in front of the premises bounded by Water Street, Gouverneur Lane, Front Street and Old Slip, in the Borough of Manhattan. The proposed revocable consent is for a term of ten years from July 1, 2015 to June 30, 2025 and provides among other terms and conditions for compensation payable to the City according to the following schedule:

From July 1, 2015 to June 30, 2025-\$1,350/per annum.

the maintenance of a security deposit in the sum of \$2,000 and the insurance shall be the amount of One Million Dollars (\$1,000,000) per occurrence, and Two Million Dollars (\$2,000,000) aggregate.

s17-o7

## PROPERTY DISPOSITION

#### CITYWIDE ADMINISTRATIVE SERVICES

■ SALE

PROPOSED SALE OF CERTAIN NEW YORK CITY REAL PROPERTY PARCELS BY PUBLIC AUCTION

**PUBLIC NOTICE IS HEREBY GIVEN** that the Department of Citywide Administrative Services proposes to offer the properties listed herein for sale at Public Auction.

In accordance with Section 384 of the New York City Charter, a Public Hearing was held on September 9, 2015 for these properties at 22 Reade Street, 2nd Floor Conference Room, Borough of Manhattan.

These properties will be sold in accordance with the Standard Terms and Conditions of Sale dated June 25, 2015. An asterisk  $(^{\ast})$  appears adjacent to those parcels subject to Special Terms and Conditions.

All properties have been approved for sale by the Mayor of the City of New York, and will be offered at public auction on October 28, 2015.

The brochure for this sale is available on the DCAS website at nyc.gov/auctions. Additionally, brochures are available at 1 Centre Street, 20th Floor North, New York, NY 10007, or by calling (212) 386-0588.

#### 7 Parcels

	<b>Borough of The Bronx</b>	
Block	Lot(s)	Upset Price
*2586 3055	26 8	\$11,000,000 \$285,000
	Borough of Brooklyn	
Block	Lot(s)	<b>Upset Price</b>
7932 7932	998 999	\$577,500 \$240,000
	Borough of Queens	
Block	Lot(s)	<b>Upset Price</b>
$10055 \\ 10156$	28 61	\$195,000 \$97,500
	<b>Borough of Staten Island</b>	
Block	Lot(s)	Upset Price
6253	9	\$247,500
		s21-o28

The City of New York, in partnership with PropertyRoom.com, posts vehicle and heavy machinery auctions online every week at: http://www.propertyroom.com/s/dcas

All auctions are open to the general public, and registration is free.

Vehicles can be viewed in person by appointment at: KenBen Industries, 364 Maspeth Avenue, Brooklyn, NY 11211. Phone: (718) 802-0022

#### OFFICE OF CITYWIDE PROCUREMENT

#### ■ NOTICE

The Department of Citywide Administrative Services, Office of Citywide Procurement is currently selling surplus assets on the internet. Visit http://www.publicsurplus.com/sms/nycdcas.ny/browse/home.

To begin bidding, simply click on 'Register' on the home page.

There are no fees to register. Offerings may include but are not limited to: office supplies/equipment, furniture, building supplies, machine tools, HVAC/plumbing/electrical equipment, lab equipment, marine equipment, and more.

Public access to computer workstations and assistance with placing bids is available at the following locations:

- DCAS Central Storehouse, 66-26 Metropolitan Avenue, Middle Village, NY 11379
- DCAS, Office of Citywide Procurement, 1 Centre Street, 18th Floor, New York, NY 10007

j2-d31

#### POLICE

■ NOTICE

# OWNERS ARE WANTED BY THE PROPERTY CLERK DIVISION OF THE NEW YORK CITY POLICE DEPARTMENT

The following listed property is in the custody, of the Property Clerk Division without claimants. Recovered, lost, abandoned property, obtained from prisoners, emotionally disturbed, intoxicated and deceased persons; and property obtained from persons incapable of caring for themselves.

Motor vehicles, boats, bicycles, business machines, cameras, calculating machines, electrical and optical property, furniture, furs, handbags, hardware, jewelry, photographic equipment, radios, robes, sound systems, surgical and musical instruments, tools, wearing apparel, communications equipment, computers, and other miscellaneous articles.

#### **INQUIRIES**

Inquiries relating to such property should be made in the Borough concerned, at the following office of the Property Clerk.

## FOR MOTOR VEHICLES (All Boroughs):

- Springfield Gardens Auto Pound, 174-20 North Boundary Road, Queens, NY 11430, (718) 553-9555
- Erie Basin Auto Pound, 700 Columbia Street, Brooklyn, NY 11231, (718) 246-2030

### FOR ALL OTHER PROPERTY

- Manhattan 1 Police Plaza, New York, NY 10038, (646) 610-5906
- Brooklyn 84th Precinct, 301 Gold Street, Brooklyn, NY 11201, (718) 875-6675
- Bronx Property Clerk 215 East 161 Street, Bronx, NY 10451, (718) 590-2806
- Queens Property Clerk 47-07 Pearson Place, Long Island City, NY 11101, (718) 433-2678
- Staten Island Property Clerk 1 Edgewater Plaza, Staten Island, NY 10301, (718) 876-8484

j2-d31

## **PROCUREMENT**

"Compete To Win" More Contracts!

Thanks to a new City initiative - "Compete To Win" - the NYC Department of Small Business Services offers a new set of FREE services to help create more opportunities for minority and women-owned businesses to compete, connect and grow their business with the City. With NYC Construction Loan, Technical Assistance, NYC Construction Mentorship, Bond Readiness, and NYC Teaming services, the City will be able to help even more small businesses than before.

• Win More Contracts at nyc.gov/competetowin

"The City of New York is committed to achieving excellence in the design and construction of its capital program, and building on the tradition of innovation in architecture and engineering that has contributed to the City's prestige as a global destination. The contracting opportunities for construction/construction services and construction-related services that appear in the individual agency listings below reflect that commitment to excellence.'

#### **HHS ACCELERATOR**

To respond to human services Requests for Proposals (RFPs), in accordance with Section 3-16 of the Procurement Policy Board Rules of the City of New York ("PPB Rules"), vendors must first complete and submit an electronic prequalification application using the City's Health and Human Services (HHS) Accelerator System. The HHS Accelerator System is a web-based system maintained by the City of New York for use by its human services Agencies to manage procurement. The process removes redundancy by capturing information about boards, filings, policies, and general service experience centrally. As a result, specific proposals for funding are more focused on program design, scope, and budget.

Important information about the new method

- Prequalification applications are required every three years.
- Documents related to annual corporate filings must be submitted on an annual basis to remain eligible to compete.
- Prequalification applications will be reviewed to validate compliance with corporate filings, organizational capacity, and relevant service experience.
- Approved organizations will be eligible to compete and would submit electronic proposals through the system.

The Client and Community Service Catalog, which lists all Prequalification service categories and the NYC Procurement Roadmap, which lists all RFPs to be managed by HHS Accelerator may be viewed at http://www.nyc.gov/html/hhsaccelerator/html/roadmap/roadmap.shtml. All current and prospective yendors should frequently review information listed on roadmap to take full advantage of upcoming opportunities for funding.

#### **Participating NYC Agencies**

HHS Accelerator, led by the Office of the Mayor, is governed by an Executive Steering Committee of Agency Heads who represent the following NYC Agencies:

Administration for Children's Services (ACS)

Department of Consumer Affairs (DCA)
Department of Consumer Affairs (DCA)
Department of Corrections (DOC)
Department of Health and Mental Hygiene (DOHMH)
Department of Homeless Services (DHS)
Department of Small Business Services (SBS)

Department of Small Business Services (SBS)
Department of Youth and Community Development (DYCD)

Housing and Preservation Department (HPD) Human Resources Administration (HRA)

Office of the Criminal Justice Coordinator (CJC)

To sign up for training on the new system, and for additional information about HHS Accelerator, including background materials, user guides and video tutorials, please visit www.nyc.gov/hhsaccelerator

#### CHIEF MEDICAL EXAMINER

■ INTENT TO AWARD

Goods

#### CORRECTION: ARMEDXPERT AND STRMIX SOFTWARE **LICENSES** - Sole Source - Available only from a single source -PIN# 81616ME0035 - Due 10-1-15 at 10:00 A.M.

CORRECTION: New York City Office of Chief Medical Examiner (OCME) intends to enter into a sole source contract with NicheVision 526 South Main Street, Suite 714G Akron, OH 44311, for the provision of ArmedXpert and STRmix Software concurrent licenses which includes installation, project Management and validation support. Any vendor who is capable of providing these goods to OCME may express their interest in doing so in writing.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Chief Medical Examiner, 421 East 26th Street, 10th Floor, New York, NY 10016. John Bernabe (212) 323-1730; Fax: (646) 500-6374; jbernabe@ocme.nyc.gov

s23-29

#### CITYWIDE ADMINISTRATIVE SERVICES

■ AWARD

Goods

GRP: ELECTRO-MOTIVE DIESEL ENGINES, MARINE -Competitive Sealed Bids - PIN# 8571500504 - AMT: \$1,261,000.00 -TO: Engine Systems Inc. DBA Marine Systems Inc., 1401 Precon Drive, Suite 106, Chesapeake, VA 23320-6314.

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#### OFFICE OF CITYWIDE PROCUREMENT

■ VENDOR LIST

Goods

### EQUIPMENT FOR DEPARTMENT OF SANITATION

In accordance with PPB Rules, Section 2.05(c)(3), an acceptable brands list will be established for the following equipment for the Department of Sanitation:

A. Collection Truck Bodies

B. Collection Truck Cab Chassis

C. Major Component Parts (Engine, Transmission, etc.)

Applications for consideration of equipment products for inclusion on the acceptable brands list are available from: Mr. Edward Andersen, Procurement Analyst, Department of Citywide Administrative Services, Office of Citywide Procurement, 1 Centre Street, 18th Floor, New York, NY 10007. (212) 669-8509

j2-d31

### COMPTROLLER

### ASSET MANAGEMENT

■ SOLICITATION

Goods and Services

## PROVISION OF TAX ADVISOR SERVICES IN TAIWAN FOR NEW YORK CITY RETIREMENT SYSTEMS - Small Purchase -PIN# 015-15817200 ZI - Due 10-20-15 at 5:00 P.M.

The Office of the New York City Comptroller ("Comptroller"), acting on behalf of the New York City Retirement Systems, is seeking proposals from qualified firms to provide tax advisory services in Taiwan for the New York City Retirement Systems. Both minimum and preferred requirements are specified in the Solicitation.

The Comptroller serves as investment advisor and custodian for the New York City Retirement Systems ("NYCRS"). The Comptroller's asset management and custodian functions reside in the Bureau of Asset Management ("BAM") under the direction of the Chief Investment Officer. The Chief Investment Officer supervises BAM's development of overall investment policies, standards and guidelines, and oversees BAM's evaluation, selection and oversight of investment advisors, consultants and managers.

Consistent with the laws and policies of the City, proposals from certified M/WBE firms or proposals that include partnering arrangements with certified minority-owned and/or women-owned firms are encouraged. Additionally, proposals from small and New York City-based businesses are also encouraged.

To download the Written Solicitation, which fully describes the scope or work, minimum requirements and proposal submission procedures, select "Asset Management RFPs", then link to "Written Solicitation for The Provision of Tax Advisors Services in Taiwan for New York City Retirement Systems" and click on link provided to "Register for RFP" Questions about the Written Solicitation should be transmitted by e-mail to Evelyn Dresler, Director of Asset Management Contracting at bamcontracts@comptroller.nyc.gov by October 5, 2015. Proposals are due by October 20, 2015 by 5:00 P.M. (ET).

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Comptroller, 1 Centre Street, Room 650, New York, NY 10007. Evelyn Dresler (212) 669-8235; bamcontracts@comptroller.nyc.gov

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#### CORRECTION

#### CENTRAL OFFICE OF PROCUREMENT

#### ■ INTENT TO AWARD

Services (other than human services)

MAINTENANCE SERVICE FOR SOLAR TURBINES IN RIKERS ISLAND COGENERATION PLANT - Sole Source - Available only from a single source - PIN#072201545CPD - Due 10-9-15 at 11:00 A.M.

The Department of Correction intends to enter into negotiations with Solar Turbines Incorporated to obtain continuous maintenance services and extended warranty of the turbines in Rikers Island's Combined Heat and Power (CHP) plant. The CHP plant houses two 7.5 Megawatt Taurus 70 Solar turbines manufactured by Solar Turbines, a Caterpillar company. Services include overhaul, parts replacement, field service, package refurbishment, and asset management. There are no third party service entities who can offer maintenance services and maintenance warranties. Any firm which believes it can provide the required services in the future is invited to express interest via email to: docacco@doc.nyc.gov. The department is utilizing the Sole Source method to obtain the services.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Correction, 75-20 Astoria Boulevard, Suite 160, East Elmhurst, NY 11370. Pratibha Prabhu (718) 546-0692; Fax: (718) 278-6205; pratibha.prabhu@doc.nyc.gov

s25-o1

#### **EDUCATION**

#### ■ SOLICITATION

Goods and Services

 $\bf ASBESTOS \ ABATEMENT$  - Competitive Sealed Bids - PIN# B2757040 - Due 11-12-15 at 4:00 P.M.

To download B2757, Requirement Contract for Asbestos Abatement, please go to http://schools.nyc.gov/Offices/DCP/Vendor/RequestsforBids/Default.htm. If you cannot download, send an e-mail to vendorhotline@schools.nyc.gov with the RFB number and title in the subject line.

For all questions related to this RFB, please e-mail mmikhaeil@schools.nyc.gov with the RFB number and title in the subject line of your e-mail.

Description: The Contractor shall provide all labor, material, supervision and safety procedures necessary for removal of asbestos-containing material (ACM) insulation and replacement with non-ACM insulation on pipe and pipe fittings, boilers, duct work insulation, and other related work in various schools and facilities of the New York City Department of Education.

There will be a Pre-Bid Conference on Wednesday, October 07, 2015 at  $10:00~\rm A.M.$ , at 65 Court Street, 12th Floor, Conference Room 1201, Brooklyn, NY 11201.

BID OPENS on November 13, 2015, 11:00 A.M.

The New York City Department of Education (DOE) strives to give all businesses, including Minority and Women-Owned Business Enterprises (MWBEs), an equal opportunity to compete for DOE procurements. The DOE's mission is to provide equal access to procurement opportunities for all qualified vendors, including MWBEs, from all segments of the community. The DOE works to enhance the ability of MWBEs to compete for contracts. DOE is committed to ensuring that MWBEs fully participate in the procurement process.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

specified above. Education, 65 Court Street, Room 1201, Brooklyn, NY 11201. Vendor Hotline (718) 935-2300; vendorhotline@schools.nyc.gov MEDICAL WASTE REMOVAL SERVICES AND SUPPLIES

- Competitive Sealed Bids - PIN# B2751040 - Due 10-22-15 at 4:00 P.M.

For schools, offices, and locations throughout New York City under the jurisdiction of the Department of Education (DOE) for the Office of Occupational Safety and Health (OOSH.)

One (1) requirements contract will be awarded under this RFB. The proposed contract is for a period of five (5) years commencing on or about February 2016.

To download the RFB, please go to: http://schools.nyc.gov/Offices/DCP/Vendor/RequestsforProposals/Default.htm. If you cannot download this RFB, please send an e-mail to VendorHotline@schools.nyc.gov with the RFB number and title in the subject. For all other questions related to this RFB, please send an e-mail to acampbell18@schools.nyc.gov with the RFB number and title in the subject line of your e-mail.

There will be a Pre-Bid Conference at 65 Court Street, Brooklyn, NY 11201, Conference Room 305 at 10:00 A.M. ET, on October 5, 2015.

The New York City Department of Education (DOE) strives to give all businesses, including Minority and Women-Owned Business Enterprises (MWBEs), an equal opportunity to compete for DOE procurements. The DOE's mission is to provide equal access to procurement opportunities for all qualified vendors, including MWBEs, from all segments of the community. The DOE works to enhance the ability of MWBEs to compete for contracts. DOE is committed to ensuring that MWBEs fully participate in the procurement process.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Education, 65 Court Street, Room 1201, Brooklyn, NY 11201. Vendor Holtine (718) 935-2300; vendorhotline@schools.nyc.gov

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#### ENVIRONMENTAL PROTECTION

#### AGENCY CHIEF CONTRACTING OFFICE

■ INTENT TO AWARD

Services (other than human services)

CHGE: AGREEMENT WITH CENTRAL HUDSON GAS AND ELECTRIC FOR ELECTRIC SERVICE DISTRIBUTION FACILITIES TO SHAFT B - Sole Source - Available only from a single source - PIN# 82615W000143 - Due 10-9-15 at 4:00 P.M.

DEP intends to enter into a sole source agreement with Central Hudson Gas and Electric for CHGE: to provide electric services for the design, construction, operation and maintenance of distribution facilities to the Shaft B Site for power supply for the work to be performed under the BT-1 and BT-2 contracts. The Shaft 5B site is within the CHGE service territory. As a regulated power utility, CHGE is the only entity that is permitted to install, operate and maintain the facilities covered by the Agreement and that are necessary to allow DEP to proceed with the shaft and tunnel construction. Any firm which believes it can also provide the required service IN THE FUTURE is invited to so, indicated by letter.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Énvironmental Protection, 59-17 Junction Boulevard, 17th Floor, Flushing, NY 11373. Glorivee Roman (718) 595-3226; Fax: (718) 595-3208; glroman@dep.nyc.gov

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#### HUMAN RESOURCES ADMINISTRATION

### OFFICE OF CONTRACTS

■ AWARD

Services (other than human services)

IT CONSULTANT SERVICES/FOOD STAMP - POS RESTRUCTURING PROJECT - Intergovernmental Purchase -Judgment required in evaluating proposals - PIN# 09612G0022001R001 - AMT: \$180,075.00 - TO: CGI Federal Inc., P.O. Box 27724, Atlanta, GA 30384. TERM: 4/7/15 - 4/6/16

IT CONSULTANT SERVICES FOR WMS LEGACY SUPPORT/
WMS LEGACY MAPPER - Intergovernmental Purchase - Judgment

required in evaluating proposals - PIN# 09615G0028001 - AMT: \$1,396,617.60 - TO: InfoPeople Corporation, 450 7th Avenue, Suite 1106. New York, NY 10123. TERM: 4/1/15 - 3/31/18

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#### PARKS AND RECREATION

#### ■ VENDOR LIST

Construction / Construction Services

PREQUALIFIED VENDOR LIST: GENERAL CONSTRUCTION - NON-COMPLEX GENERAL CONSTRUCTION SITE WORK ASSOCIATED WITH NEW YORK CITY DEPARTMENT OF PARKS AND RECREATION ("DPR" AND/OR "PARKS") PARKS AND PLAYGROUNDS CONSTRUCTION AND RECONSTRUCTION PROJECTS

DPR is seeking to evaluate and pre-qualify a list of general contractors (a "PQL") exclusively to conduct non-complex general construction site work involving the construction and reconstruction of DPR parks and playgrounds projects not exceeding \$3 million per contract ("General Construction").

By establishing contractor's qualification and experience in advance, DPR will have a pool of competent contractors from which it can draw to promptly and effectively reconstruct and construction its parks, playgrounds, beaches, gardens and green-streets. DPR will select contractors from the General Construction PQL for non-complex general construction site work of up to \$3,000,000.00 per contract, through the use of a Competitive Sealed Bid solicited from the PQL generated from this RFQ.

The vendors selected for inclusion in the General Construction PQL will be invited to participate in the NYC Construction Mentorship. NYC Construction Mentorship focuses on increasing the use of small NYC contracts, and winning larger contracts with larger values. Firms participating in NYC Construction Mentorship will have the opportunity to take management classes and receive on-the-job training provided by a construction management firm.

DPR will only consider applications for this General Construction PQL from contractors who meet any one of the following criteria:

- The submitting entity must be a Certified Minority/Woman Business enterprise (M/WBE)\*;
- 2) The submitting entity must be a registered joint venture or have a valid legal agreement as a joint venture, with at least one of the entities in the joint venture being a certified M/WBE\*;
- The submitting entity must indicate a commitment to sub-contract no less than 50 percent of any awarded job to a certified M/WBE for every work order awarded.

\*Firms that are in the process of becoming a New York City-certified M/WBE may submit a PQL application and submit a M/WBE Acknowledgement Letter, which states the Department of Small Business Services has began the Certification process.

Application documents may also be obtained on-line at: http://a856-internet.nyc.gov/nycvendoronline/home.asap or http://www.nycgovparks.org/opportunities/business

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Parks and Recreation, Olmsted Center, Annex, Flushing Meadows-Corona Park, Flushing, NY 11368. Charlette Hamangian (718) 760-6789; Fax: (718) 760-6781; charlette.hamangian@parks.nyc.gov

j2-d31

#### CONTRACTS

#### ■ SOLICITATION

 $Construction \, / \, Construction \, Services$ 

RECONSTRUCTION OF THE DIVING POOL FOR USE AS AN AMPHITHEATER AND MISCELLANEOUS SITE WORK -

Competitive Sealed Bids - PIN# 84615B0133 - Due 11-4-15 at 10:30 A.M.

At the Bathhouse Located on 19th Street Between 23rd Street and

23rd Drive in Astoria Park, Borough of Queens, Contract #: Q004-113M

This procurement is subject to participation goals for MBEs and/or WBEs as required by Local Law 1 of 2013.

This contract is subject to Apprenticeship program requirements.

There is a Pre-Bid Meeting on Thursday, October 15, 2015 at 11:30 A.M. at the site.

Bid documents are available for a fee of \$25.00 in the Blueprint Room, Room #64, Olmsted Center, from 8:00 A.M. to 3:00 P.M. The fee is payable by company check or money order to the City of New York, Parks and Recreation. A separate check/money order is required for each project. The Company name, address and telephone number as well as the project contract number must appear on the check/money order. Bidders should ensure that the correct company name, address, telephone and fax numbers are submitted by your company/messenger service when picking up bid documents.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Parks and Recreation, Olmsted Center, Room 64, Flushing Meadows Corona Park, Flushing, NY 11368. Michael Shipman (718) 760-6705; michael.shipman@parks.nyc.gov

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#### REVENUE

#### ■ SOLICITATION

Services (other than human services)

RENOVATION, OPERATION AND MAINTENANCE OF SNACK BARS AND MOBILE FOOD UNITS - Competitive Sealed Proposals - Judgment required in evaluating proposals - PIN#X39-C-BW-SB-2015 - Due 11-5-15 at 3:00 P.M.

In accordance with Section 1-13 of the Concession Rules of the City of New York, the New York City Department of Parks and Recreation ("Parks") is issuing, as of the date of this notice, a significant Request for Proposals ("RFP") for the renovation, operation and maintenance of three (3) snack bars and the operation of up to fifteen (15) mobile food units at Orchard Beach, Pelham Bay Park, Bronx.

There will be a recommended meeting and site visit on Friday, October 2, 2015 at 11:00 A.M. We will be meeting at the lower level of the Main Pavilion at Orchard Beach in Pelham Bay Park, Bronx. If you are considering responding to this RFP, please make every effort to attend this recommended meeting and site visit.

Hard copies of the RFP can be obtained, at no cost a the address above. The RFP is also available for download on Parks' website. To download the RFP, visit www.nyc.gov/parks/businessopportunities, click on the link for "Concessions Opportunities at Parks" and, after logging in, click on the "download" link that appears adjacent to the RFP's description.

#### TELECOMMUNICATION DEVICE FOR THE DEAF (TDD) 212-504-4115

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Parks and Recreation, The Arsenal, Central Park, 830 Fifth Avenue, Room 407, New York, NY 10065. Zoe Piccolo (212) 360-3495; Fax: (917) 849-6625; zoe.piccolo@parks.nyc.gov

s17-30

### SCHOOL CONSTRUCTION AUTHORITY

#### ■ SOLICITATION

Construction / Construction Services

**REPAIR WATER PENETRATION** - Competitive Sealed Bids - PIN# SCA16-16015D-1 - Due 10-16-15 at 10:00 A.M.

IS 394 (Brooklyn). Project Range: \$1,320,000 to \$1,396,000 Pre-Bid Meeting Date: October 5, 2015 at 10:00 A.M. at 188 Rochester Avenue, Brooklyn, NY 11213.

Meet at the Custodian's Office. Bidders are strongly urged to attend. Bidders must be Pre-Qualified by the SCA at the time of Bid opening.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

School Construction Authority, 30-30 Thomson Avenue, Long Island City, NY 11101. E. Aguilar (718) 472-8641; Fax: (718) 472-8290; eaguilar@nycsca.org

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#### TRANSPORTATION

#### **BRIDGES**

#### ■ SOLICITATION

Services (other than human services)

RESIDENT ENGINEERING INSPECTION SERVICES FOR REPLACEMENT OF UPPER ROADWAYS ED KOCH QUEENSBORO BRIDGE, BOROUGHS OF MANHATTAN AND QUEENS - Request for Proposals - PIN# 84116MBBR927 - Due 10-26-15 at 2:00 P.M.

A printed copy of the proposal can also be purchased. A deposit of \$50.00 is required for the specification book in the form of a Certified Check or Money Order payable to: New York City Department of Transportation. NO CASH ACCEPTED. Company address, telephone and fax numbers are required when picking up contract documents. Entrance is located on the South Side of the Building facing the Vietnam Veterans Memorial). Proper government issued identification is required for entry to the building (driver's license, passport, etc.). This Procurement is subject to participation goals for Minority-owned Business Enterprises (MBEs) as required by Section 6-129 of the New York Administrative Code. The M/WBE goal for this project is \_\_15\_\_\_ percent. For additional information, please contact Gail Hatchett at (212) 839-9308

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Transportation, Contract Management Unit, 55 Water Street, Ground Floor, New York, NY 10041. Bid Window (212) 839-9435;

• s28

# FERRY FACILTIES ENGINEERING SERVICE AGREEMENT (A AND B) - Request for Proposals - Due 11-4-15 at 2:00 P.M.

PIN# 84115SISI896 - Ferry Facilties Engineering Service Agreement (A and B)

PIN# 84115SISI897 - Ferry Facilties Engineering Service Agreement (A and B)

The Agency (or "DOT" or "NYCDOT") is seeking an appropriately qualified engineering consultant to provide quality design, inspection, resident engineering, and related architectural and engineering and land surveying services for all DOT ferry shore facilities. The services that the Agency seeks are of a certain expertise that is not available in the Agency. These engineering services will be provided on a "per task order" basis, the scope and details of which are described in the Proposed Contractual Agreement included of the RFP.

A printed copy of the proposal can also be purchased. A deposit of \$50.00 is required for the specification book in the form of a Certified Check or Money Order payable to: New York City Department of Transportation. NO CASH ACCEPTED. Company address, telephone and fax numbers are required when picking up contract documents. Entrance is located on the South Side of the Building facing the Vietnam Veterans Memorial). Proper government issued identification is required for entry to the building driver's license, passport, etc. The Pre-Proposal Conference will be held on October 19, 2015 at 10:00 A.M. at 55 Water Street, Ground Floor, Bid Room. For additional information, please contact Andrew Cammock at (212) 839-2675.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Transportation, Contract Management Unit, 55 Water Street, Ground Floor, New York, NY 10041. Bid Window (212) 839-9435;

## AGENCY RULES

#### **BUILDINGS**

#### ■ NOTICE

### Notice of Public Hearing and Opportunity to Comment on Proposed Rules

What are we proposing? The Department of Buildings (DOB) is proposing a rule to adopt current national standards regarding cranes and derricks.

When and where is the hearing? DOB will hold a public hearing on the proposed rule. The public hearing will take place at 2:00 P.M. on 11/9/15. The hearing will be in the  $3^{\rm rd}$  Floor Conference Room at 280 Broadway

How do I comment on the proposed rules? Anyone can comment on the proposed rules by:

- Website. You can submit comments to the DOB through the NYC rules Web site at http://rules.cityofnewyork.us.
- Email. You can email comments to dobrules@buildings.nyc.gov.
- Mail. You can mail comments to the New York City Department of Buildings, Office of the General Counsel, 280 Broadway, 7<sup>th</sup> Floor, New York, NY 10007.
- Fax. You can fax comments to the New York City Department of Buildings, Office of the General Counsel, at 212-566-3843.
- Speaking at the hearing. Anyone who wants to comment on the proposed rule at the public hearing must sign up to speak. You can sign up before the hearing by calling 212-393-2085. You can also sign up in the hearing room before the hearing begins on 11/9/15. You can speak for up to three minutes.

**Is there a deadline to submit comments?** Yes, you must submit comments by 11/9/15.

Do you need assistance to participate in the hearing? You must tell the Office of the General Counsel if you need a reasonable accommodation of a disability at the hearing. You must tell us if you need a sign language interpreter. You can tell us by mail at the addresses given above. You may also tell us by telephone at 212-393-2085. You must tell us by 11/2/15.

Can I review the comments made on the proposed rules? You can review the comments made online on the proposed rules by going to the website at http://rules.cityofnewyork.us/. A few days after the hearing, copies of all comments submitted online, copies of all written comments and a summary of oral comments concerning the proposed rule will be available to the public at the Office of the General Counsel.

What authorizes DOB to make this rule? Sections 643 and 1043(a) of the City Charter, Section 28-103.19 of the City Administrative Code and Section 3319.1 of the New York City Building Code authorize DOB to make this proposed rule. This proposed rule was not included in DOB's regulatory agenda for this Fiscal Year because it was not contemplated when DOB published the agenda.

**Where can I find DOB's rules?** DOB's rules are in Title 1 of the Rules of the City of New York.

What rules govern the rulemaking process? DOB must meet the requirements of Section 1043 of the City Charter when creating or changing rules. This notice is made according to the requirements of Section 1043(b) of the City Charter.

### Statement of Basis and Purpose of Proposed Rule

The Department's current regulations for cranes and derricks are found in Reference Standard RS 19-2 of the 1968 New York City Building Code (RS 19-2). This standard is being repealed and replaced by this rule.

This proposed rule:

- addresses the minimum safety requirements for, and regulates the design, construction, permitting, installation, removal, adjustment, repair, inspection, maintenance, operation, and use of cranes and derricks.
- references, utilizes, and requires compliance with the latest

nationally recognized American Society of Civil Engineers (ASCE), American Society of Mechanical Engineers (ASME), European Committee for Standardization (EN), International Organization for Standardization (ISO), and Society of Automotive Engineers (SAE) standards.

- incorporates several recommendations made by the Department's High Risk Construction Oversight (HRCO) study (issued June 2009) that pertain to cranes and derricks.
- is the first phase of a multi-phase effort to increase public safety by modernizing New York City's crane and derrick regulations.
- is based on input from over three dozen representatives of crane and derrick manufacturers, filing engineers, operating engineers, owners, and construction firms.

Subdivisions (a) through (e) of the proposed rule incorporate and edit provisions of RS 19-2 that pertain to the certificate of approval, as well as the definitions and scope language contained in RS 19-2. The certificate of approval (also known as the "prototyping process" or "prototype") is the first of three certificates that must be obtained before a crane or derrick can operate in New York City. The certificate of approval evaluates the design of the make and model of the crane or derrick, and authorizes the make and model of the crane or derrick to operate in the city. Provisions retaining to the other two certificates (operation and on-site inspection) will be addressed by rule in subsequent phases.

#### Specifically:

- Subdivision (a) of the rule is derived from Section 1.0 of RS 19-2.
  - It is being revised to update cross references from the 1968 New York City Building Code to the New York City Construction Codes, and to utilize terminology contained in the Construction Codes.
  - Language is added to clarify that equipment which meets the
    definition of a crane or derrick is covered by the scope of the
    rule, and follows similar action taken by OSHA to clarify that
    dedicated pile drivers and other machinery are considered to be
    a crane or derrick under the Occupational Safety and Health
    Administration (OSHA) regulations.
- Subdivision (b) of the rule is derived from Section 2.0 of RS 19-2, and contains the definitions utilized in the rule.
  - A number of the definitions are contained in Chapter 33 of the Building Code and are republished here for convenience.
  - Definitions not currently found in RS 19-2 are added
  - The proposed definitions of "attachments" and "component" are derived from HRCO recommendation C-20. HRCO further recommended that the department develop a system to track components and attachments; this will be assessed in a future phase.
  - The remaining definitions are currently found in RS 19-2, with some modifications made for clarity or to reflect current technology.
  - HRCO recommendation C-12 proposed that the city update its crane regulations to reflect a new type of crane, an "articulating boom crane." The definitions for "crane" and "articulating boom crane" are modified in the rule to match earlier changes made to these definitions in the Construction Codes to conform to the HRCO recommendation.
- Subdivision (c) of the rule cross references and consolidates existing provisions for crane certificates and permits.
- Subdivision (d) of the rule contains the requirements for the certificate of approval.
  - This language replaces the provisions in Section 3.0 of RS 19-2, which is deleted.
  - Paragraph (1) of subdivision (d) adopts certain items in HRCO recommendation C-7 concerning standards to be followed by crane and derrick manufacturers, as well as information crane and derrick manufacturers need to supply to the department.
  - Paragraph (1) of subdivision (d) requires technical information related to the crane or derrick's wind design and counterweight configurations to be submitted to the department.
  - Also, paragraph (1) requires an engineer to provide a technical certification as to the design of the crane or derrick.
  - Current requirements from RS 19-2 for a brochure, load rating charts, and listing of components to be submitted by the manufacturer are retained in paragraph (1).
  - Paragraphs (2) and (3) reflect current requirements.
  - Paragraph (4) clarifies the circumstances that trigger an amendment to a certificate of approval, and the information to

- be filed as part of an amendment. It also establishes two new pathways to file an amendment; the first by the manufacturer and the second by an owner of a crane or derrick. These pathways are derived from OSHA, which limits the ability of an owner to modify a crane or derrick. (See 29 CFR §1926.1434).
- Paragraph (5) establishes a new pathway for manufacturers to provide updated information, such as manuals and checklists, to the department without triggering the need to file an amendment.
- Paragraph (6) provides specific criteria for providing the department with safety bulletins and recall notices.
- Paragraph (7) requires notification if the manufacturer loses its ISO accreditation.
- Paragraphs (8) and (9) reflect current requirements.
- Subdivision (e) sets forth acceptable design and testing standards for which cranes or derricks must satisfy in order to be issued a certificate of approval.

Subdivisions (f) through (x) of this proposed rule contain items relating to jobsite specific design and operation of a crane or derrick. These are simply a re-promulgation of Sections of RS 19-2, with minor edits made to renumber and correct cross-references. These sections will be amended by rule in subsequent phases.

The Department of Buildings' authority for these rules is found in Sections 643 and 1043 of the New York City Charter, Section 28-103.19 of the New York City Administrative Code and section 3319.1 of the New York City Building Code.

Material new to Rule 3319-01 that is not contained in RS 19-2 is underlined.

Material new to Rule 3319-01 that is contained in RS 19-2 is italicized.

[Material that is in RS 19-2 but is not being adopted in Rule 3319-01 is italicized and contained within brackets.]

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. Reference Standard RS-19-2 Power Operated Cranes and Derricks of the appendix to Chapter 1 of Title 27 of the administrative code of the city of New York, is hereby REPEALED.

§2. Chapter 3300 of Title 1 of the Rules of the City of New York is amended by adding a new Section 3319-01, to read as follows:

#### §3319-01 Cranes and derricks

[1.0 Scope.- This standard applies to the] (a) Applicability. The design, construction, permitting, installation, removal, adjustment, repair, inspection, maintenance, operation and use of [power operated] cranes and derricks must conform to the requirements of Section 3319 of the New York City Building Code and this section. This includes, but is not limited to, cranes and derricks used for hoisting and/or rigging purposes; or used for [the] construction, alteration, demolition, excavation and maintenance purposes, including for buildings, highways or sewers; or used for the installation of piles; or used for the hoisting or lowering of any article on the outside of any building or structure. This also includes any equipment that meets the definition of a crane or derrick, including, but not limited to, dedicated pile drivers and manufactured or custom designed hoisting machines.

- [1.1 Exempt from the requirements of this reference standard are the following:]
  - [1.1.1 Cranes or derricks used in industrial or commercial plants or yards and floating cranes, floating derricks and cranes and derricks used on floating equipment. Also augers, churn-drills and other drilling equipment. Operators of such equipment shall be exempt from any licensing requirements.]

#### **Exceptions:**

- (1) Cranes and derricks listed in the exceptions set forth in Section 3319.3 of the New York City Building Code.
- [1.1.2] (2) Operators of cranes described in [Section C26-1909.4(a)(3) and (4) of the administrative code] exceptions 3 and 4 of Section 3319.3 of the New York City Building Code shall be exempt from any licensing requirements where the cranes are used in connection with the installation or maintenance of street lighting or public utility overhead power distribution systems.
- [1.1.3 Derricks having a maximum rated capacity not exceeding one ton.]
- [2.0] (b) Definitions. [-] For the purposes of this section, the following terms have the following meanings:

- [2.1] ACCESSORY. [-]A secondary part [of] or assembly of parts which contributes to the overall function and usefulness of a machine.
- [2.2] **APPOINTED.** [-]Assigned specific responsibilities by the employer or by the employer's representative.
- [2.3] **ANGLE INDICATOR** (boom). [-]An accessory which measures the angle of the boom to the horizontal.

ATTACHMENTS. Elements that can be readily added to or removed from a crane or derrick and which expand the range or function of the crane or derrick. Examples include, but are not limited to: an auger, boom extension, clamshell, drill, jib, jib extension, or pile-driver.

- [2.4 AUXILIARY HOIST. -(See Whipline).]
- [2.5] **AXIS OF ROTATION.** [-]The vertical axis around which the crane superstructure rotates.
- [2.6] **AXLE.** [-]The shaft or spindle with which or about which a wheel rotates. On truck and wheel mounted cranes it refers to an automotive type of axle assembly including housing, gearing, differential, bearings and mounting appurtenances.
- [2.7] AXLE (bogie). [-]Two or more automotive type axles mounted in tandem in a frame so as to divide the load between the axles and permit vertical oscillation of the wheels.
- [2.8] **BASE (mounting).** The base or carrier on which the rotating superstructure is mounted such as a truck, crawler or platform.
- [2.9] **BOOM.** [A timber or metal section or strut. The] A section or strut, of which the heel (lower end) is affixed to a base, carriage or support, and [the] whose upper end supports a cable and sheaves where the load is lifted by means of wire rope and a hook.
- [2.10] **BOOM ANGLE.** The angle between the longitudinal centerline of the boom and the horizontal. The boom longitudinal centerline is a straight line between the boom foot pin (heel pin) centerline, and boom point sheave pin centerline.
- [2.11] **BOOM HARNESS.** The block and sheave arrangement on the boom point to which the topping lift cable is reeved for lowering and raising the boom.
- [2.12] **BOOM HOIST.** [-]A hoist drum and rope reeving system used to raise and lower the boom.
- [2.13] **BOOM POINT.** [-] The outward end of the top section of the boom, containing the hoist sheave assembly.
- [2.14] **BOOM STOP.** [-]A device used to limit the angle of the boom at the highest position.
- [2.15] **BRAKE.** [-]A device used for retarding or stopping motion by friction or power means.
- [2.16] **CAB.** [-]A housing which covers the rotating superstructure machinery and/or operators station.
- [2.16.1] **CABLEWAY.** [-]A power operated system for moving loads in a generally horizontal direction in which the loads are conveyed on an overhead cable, track or carriage

CERTIFICATE OF APPROVAL. A certificate issued by the department upon review and approval of the engineering and testing of a specific make and model of hoisting equipment to ensure compliance with the applicable provisions of this code and its referenced standards.

CERTIFICATE OF OPERATION. A certificate issued by the department annually upon satisfactory inspection of the hoisting equipment holding a certificate of approval to ensure that the equipment continues to be in compliance with this code and its referenced standards.

**CERTIFICATE OF ON-SITE INSPECTION.** A certificate issued by the department based on a site-specific approval of the placement, founding and operation of hoisting equipment.

**CLAMSHELL.** A shovel bucket with two jaws that clamp together by their own weight when it is lifted by a closing line.

CLIMBING/JUMPING. The raising or lowering of a tower or climber crane to different floors or levels of a building or structure.

[2.16.2 CLIMBER CRANE.-A crane erected upon and supported by a building or other structure which may be raised or lowered to different floors or levels of the building or structure.]

[2.17] **CLUTCH.** [-]A friction, electromagnetic, hydraulic, pneumatic or positive mechanical device for engagement of power.

**COMPETENT PERSON.** One who is capable of identifying existing predictable hazards in the surroundings or conditions that are unsanitary, hazardous or dangerous, and who has authorization to take prompt corrective measures to eliminate such hazards.

COMPONENT. A structural part or mechanical system that is integral to the crane or derrick, or an element that supports an integral structural part or mechanical system. Examples include, but are not limited to: a-frame, attachments, boom, boom tip, braking system, climbing frame or section, climbing mechanism, collar, counter jibs, counterweight, counterweight support system, engine, heel boom, hoist drum, hoist motor, machine deck or platform, mast, operator's cabin, pendant bars (excluding wire rope pendants), suspension system, tower base/base frame, tower top, and turntable. For a derrick, a component also includes base plates, junctions, poles and other uprights, seats, and stiff legs.

- [2.18] **COUNTERWEIGHT.** [-] Weight used to supplement the weight of the machine in providing stability for lifting working loads.
- [2.19] CRANE. [-]A power-operated machine for lifting or lowering a load and moving it horizontally which utilizes wire rope and in which the hoisting mechanism is an integral part of the machine. The definition of a crane shall also include articulating boom crane, regardless of whether it has a hoisting mechanism integral to the machine.

ARTICULATING BOOM CRANE. A power-operated machine for lifting or lowering a load and moving it horizontally that utilizes a boom consisting of a series of folding pin connected structural members, typically manipulated to extend or retract by power from hydraulic cylinders, with or without a hoisting mechanism integral to the machine.

MOBILE CRANE. A commercial truck mounted crane, crawler crane, wheel mounted crane (multiple control stations), or wheel mounted crane (single control station).

COMMERCIAL TRUCK MOUNTED CRANE (BOOM TRUCK). A crane consisting of a rotating superstructure (center post or turntable), boom, operating machinery, and one or more operator's stations mounted on a frame attached to a commercial truck chassis, usually retaining a payload hauling capability whose power source usually powers the crane. Its function is to lift, lower, and swing loads at various radii.

[2.20] CRAWLER CRANE. [-]A crane consisting of a rotating superstructure with a power plant, operating machinery, and boom, mounted on a base[.] and equipped with crawler treads for travel. Its function is to lift, lower, and swing loads at various radii.

# WHEEL MOUNTED CRANE (MULTIPLE CONTROL STATIONS).

A crane consisting of a rotating superstructure, operating machinery, and operator's station and boom, mounted on a crane carrier equipped with axles and rubber-tired wheels for travel, a power source(s), and having separate stations for driving and operating. Its function is to lift, lower, and swing loads at various radii.

WHEEL MOUNTED CRANE (SINGLE CONTROL STATION). A crane consisting of a rotating superstructure, operating machinery, and boom, mounted on a crane carrier equipped with axles and rubbertired wheels for travel, a power source, and having a single control station for driving and operating. Its function is to lift, lower, and swing loads at various radii.

TOWER CRANE. A power-operated hoisting machine that utilizes a vertical tower with a rotating superstructure and includes a load boom (jib) in order to lift or lower a load and move it horizontally.

CLIMBER CRANE. A tower crane that can be raised to a new working height, either by adding tower sections to the top of the crane (top climbing),

or by a system in which the entire crane is raised inside the structure (inside climbing).

SELF-ERECTING TOWER CRANE. A tower crane that adjusts its operating radius by means of a trolley traversing a jib and that; (i) possesses vertical or nearly vertical masts that are bottom slewing and mounted on fixed, traveling, or mobile bases; and (ii) is capable of folding and unfolding to facilitate transit from jobsite to jobsite with minimal assembly.

DEDICATED PILE DRIVER. A power-operated machine that is designed exclusively to drive, hammer, press, or vibrate piles into the earth ("pile drive") and which possess the ability to both hoist the material that will be pile driven and to pile drive that material.

- [2.21] **DERRICK.** [-]An apparatus consisting of a mast or equivalent member[s] held at the top by guys or braces, with or without a boom, for use with a hoisting mechanism and operating ropes, for lifting or lowering a load and moving it horizontally. The definition of a derrick includes but is not limited to:

  A-frame derrick, basket derrick, breast derrick, Chicago boom derrick, gin pole derrick, guy derrick, shearleg derrick, and stiffleg derrick.
  - [2.21.1] A-FRAME DERRICK. [-]A derrick in which the boom is hinged from a cross member between the bottom ends of two upright members spread apart at the lower ends and joined at the top; the boom point secured to this junction of the side members, and the side members are braced or guyed from the junction point.
  - [2.21.2] BASKET DERRICK. [-]A derrick without a boom, similar to a gin pole with its base supported by ropes attached to corner posts or other parts of the structure. The base is at a lower elevation than its supports. The location of the base of a basket derrick can be changed by varying the length of the rope supports. The top of the pole is secured with multiple reeved guys to position the top of the pole to the desired location by varying the length of the upper guy lines. The load is raised and lowered by ropes through a sheave or block secured to the top of the pole.
  - [2.21.3] BREAST DERRICK. [-]A derrick without a boom. The mast consists of two side members spread farther apart at the base than at the top and tied together at top and bottom by rigid members. The mast is prevented from tipping forward by guys connected to its top. The load is raised and lowered by ropes through a sheave or block secured to the top crosspiece.
  - [2.21.4] CHICAGO BOOM DERRICK.

    [-]A derrick with a boom which is attached to a structure, an outside upright member of the structure serving as the mast, and the boom being stepped in a fixed socket clamped to the upright. The derrick is complete with load, boom and boom point swing line falls.
  - [2.21.5] GIN POLE [2] DERRICK. [-A derrick without a boom. Its guys are so arranged from its top to permit leaning the mast in any direction. The load is raised and lowered by ropes reeved through sheaves or blocks at the top of the mast.]

    A derrick that consists of a boom without a mast and which has guys arranged from its top to permit leaning the mast in one or more directions. The load is lifted and lowered by ropes reeved through

- sheaves or blocks at the top of the mast and the lower block.
- [2.21.6] GUY DERRICK. [-]A fixed derrick consisting of a mast capable of being rotated, supported in a vertical position by guys, and a boom whose bottom end is hinged or pivoted to move in a vertical plane with a reeved rope between the head of the mast and the boom point for raising and lowering the boom, and a reeved rope from the boom point for raising and lowering the load.
- [2.21.7] SHEARLEG DERRICK. [-] A derrick without a boom. The mast, wide at the bottom and narrow at the top, is hinged at the bottom and has its top secured by a multiple reeved guy to permit handling loads at various radii by means of load tackle suspended from the mast top.
- [2.21.8] STIFFLEG DERRICK. [-]A
  derrick similar to a guy derrick
  except that the mast is supported
  or held in place by two or more stiff
  members, called stifflegs, which are
  capable of resisting either tensile
  or compressive forces. Sills are
  generally provided to connect the
  lower ends of the stifflegs to the foot
  of the mast.

**DISMANTLING.** The final process of taking apart, piece by piece, in a specific sequence, the components of a crane. Dismantling shall include climbing and jumping.

- [2.22] **DRUM.** [-]The cylindrical member[s] around which [ropes are] a rope is wound for raising and lowering the load or boom.
- [2.23] **DYNAMIC** (loading). [-]Loads introduced into the machine or its components by forces in motion.
- [2.23.1] ENGINEER. [-The word engineer as used in these regulations shall mean a licensed professional engineer] A person licensed and registered to practice the profession of engineering under the education law of the state of New York; except that the certifications for matters relating to [crane] the manufacturer's design and applications for prototyping a crane or derrick may be made by an engineer who is (1) employed full time by the crane or derrick manufacturer and (2) who is licensed [by] to practice the profession of engineering under the law of any state or foreign jurisdiction, or [upon] who submits proof, [to the satisfaction of] acceptable to the commissioner, of his or her professional [competence] qualifications.

**ERECTION.** The assembly and placement of crane sections and components into place, including all operations incidental thereto. Erection shall include climbing and jumping.

### EXTENSION. See "Attachment."

- [2.23.2 FOLDING BOOM. -A boom constructed of hinged sections which is articulated in a folding manner and may be folded for storage or transit.]
- [2.24] **GANTRY(A-Frame).** [-]A structural frame, extending above the superstructure of a [mobile] crane, to which the boom support[s] ropes are reeved.
- [2.25] **GUDGEON PIN.** [-]A pin connecting the mast cap to the mast, allowing rotation of the mast.
- [2.26] **GUY.** [-]A rope used to steady or secure the mast or other members in the desired position.
- HOISTING EQUIPMENT. Equipment used to raise and lower personnel and/or material with intermittent motion. Hoisting equipment does not

- include scaffolds, mast climbers, and elevators.
- [2.26.1.] HOISTING MACHINE. [-]A power operated machine used for lifting or lowering a load, utilizing a drum and wire rope, excluding elevators. This shall include but not be limited to a crane, derrick, and cableway and hydraulic lifting system, and articulating booms.
- **HOISTING MECHANISM.** A hoist drum and rope reeving system used for lifting and lowering loads.
- [2.26.2 HYDRAULIC BOOM. -A boom which is operated by means of a hydraulic system.]
- [2.27] **JIB.** [-]An extension attached to the boom point to provide added boom length for lifting specified loads. [This] The jib may be in line with the boom or offset to various angles in the vertical plane of the boom.
- JUMP (jumping). The process of adding or removing mast or tower sections to equipment that has already been erected.
- [2.27.1] **LAY.** [-]That distance measured along a [cable] wire rope in which one strand makes [a] one complete [revolution around the cable axis] helical convolution about the core or center.
- [2.28] **LOAD** (working). [-]The external load, in pounds (kilograms), applied to the crane or derrick, including the weight of auxiliary load attaching equipment such as load blocks, shackles, and slings.
- [2.29] **LOAD BLOCK (upper).** [-]The assembly of hook or shackle, swivel, sheaves, pins, and frame suspended from the boom point.
- [2.30] **LOAD BLOCK (lower).** [-]The assembly of hook or shackle, swivel, sheaves, pins and frame suspended by the hoisting ropes.
- [2.31] **LOAD HOIST.** [-]A hoist drum and rope reeving system used for hoisting and lowering loads.
- [2.31.1] LOAD MOMENT INDICATOR. [-A device that measures the weight of the load.] A system that aids the operator by sensing (directly or indirectly) the overturning moment of the crane or derrick. It compares this lifting condition to the equipment's rated capacity, and indicates to the operator the percentage of capacity at which the equipment is working.
- LOAD MOMENT LIMITER. A system which aids the operator by sensing (directly or indirectly) the overturning moment of the crane or derrick. It compares this lifting condition to the equipment's rated capacity, and when the rated capacity is reached, it shuts off power to those equipment functions which can increase the severity of loading on the equipment.
- [2.32] LOAD RATINGS. [-Maximum loads that may be lifted by a crane or derrick at various angles and positions as approved by the department.] Crane and derrick ratings in pounds (kilograms) established by the manufacturer in accordance with standards set forth in rules promulgated by the commissioner.
- LOAD RATING CHART. A full and complete range of manufacturer's crane load ratings at all stated operating radii, boom angles, work areas, boom lengths and configurations, jib lengths and angles (or offset), as well as alternative ratings for use and nonuse of optional equipment on the crane, such as outriggers and extra counterweights, that affect ratings.
- MANUFACTURER. A person, firm, or corporation that (i) builds or assembles, or has built or assembled, a crane or derrick, (ii) may lawfully sell and/or distribute such crane or derrick under its own name or trademark, and (iii) provides, either by itself or through authorized representatives, replacement parts, service support, technical support, safety bulletins, and recall notices for such crane or derrick.

- [2.33] **MAST** (derrick). [-]The upright member of a derrick.
- MAST (boom). A frame hinged at or near the boom hinge for use in connection with supporting a boom. The head of the mast is usually supported and raised or lowered by the boom hoist ropes.
- MAST (jib). A frame hinged at or near the boom point for use in connection with supporting a jib.
- MAST (tower). See "Tower."
- [2.33.1 MOBILE CRANE.-A crawler crane; a truck crane; or a wheel mounted crane.]
- [2.34] **OUTRIGGERS** (crane). [-]Extendable or fixed [metal arms,] members attached to the mounting base [, which rests] that rest on supports at the outer ends used to support the crane.
- [2.34.1] **QUALIFIED PERSON.** [-] A person who by possession of a recognized degree, certificate or professional standing, or who by knowledge, training and experience, has successfully demonstrated his[/] or her ability to solve or resolve problems related to the subject matter, the work, or the project.
- [2.34.2 RATED CAPACITY INDICATOR. A device that automatically monitors radius, load weight, and load rating and warns the crane operator of an overload condition.]
- [2.34.3 RATED CAPACITY (LOAD) LIMITER. A device that automatically monitors radius, load weight, and load rating and prevents movements of the crane which would result in an overload condition.]
- [2.35] **REEVING.** [-]A rope system in which the rope travels around drums and sheaves.
- [2.36] ROPE. [-Refers to wire rope unless otherwise specified.] A continuous line of material comprised of a number of twisted or braided strands of fiber (natural or synthetic) or metal wire.
- [2.37] **SIDE LOADING.** [-]A load applied at an angle to the vertical plane of the boom.
- [2.38] **SILL.** [-]A member connecting the foot block and stiffleg or a member connecting the lower ends of a double member mast.
- [2.39] **STANDING (GUY) ROPE.** [-]A supporting rope which maintains a constant distance between the points of attachment to the two components connected by the rope.
- [2.40 STRUCTURAL COMPETENCE. -The ability of the machine and its component to withstand the stresses imposed by applied loads.]
- [2.41] **SUPERSTRUCTURE.** The rotating upper frame structure of the machine and the operating machinery mounted thereon.
- [2.42] **SWING.** Rotation of the superstructure for movement of loads in a horizontal direction about the axis of rotation.
- [2.43] **SWING MECHANISM.** The machinery involved in providing rotation of the superstructure.
- [2.44] **TACKLE.** An assembly of ropes and sheaves arranged for hoisting and pulling.
- [2.44.1] **TELESCOPIC BOOM.** A boom constructed of sections of diminishing cross sections in which the sections fit within each other. The boom may be extended in a manner similar to a telescope.
- **TOWER.** A vertical structural frame consisting of columns and bracing that are capable of supporting working and dynamic loads and transmitting them to the support(s).
- [2.44.2 TOWER CRANE.-A crane in which a boom, swinging jib or other structural member is mounted upon a vertical mast or tower.]
- [2.45] **TRANSIT.** [-] The moving or transporting of a crane from one job site to another.

- [2.46]**TRAVEL.** [-] The function of the machine moving from one location to another[,] on a
- TRAVEL MECHANISM. [-] The machinery [2.47]involved in providing travel power.
- TRUCK CRANE.-A crane consisting of a [2.48] rotating superstructure with power plant, operating machinery and boom, mounted on an automotive truck equipped with a power plant for travel.]
- [2.48.1 TRUCK MOUNTED TOWER CRANE.-A tower crane which is mounted on a truck or similar carrier for travel or transit.]
- [2.48.2] TWO-BLOCKING. [-] A condition in which the lower load block or hook assembly comes into contact with the upper load block or boom point sheave assembly.
- [2.49]WHEEL BASE. [-]Distance between centers of front and rear axles. For a multiple axle assembly the axle center wheel base measurement is taken as the midpoint of the assembly.
- [2.50]WHEEL MOUNTED CRANE (wagon crane). -A crane consisting of a rotating superstructure with power plant, operating machinery and boom, mounted on a base or platform equipped with axles and rubber tired wheels for travel. The base is usually propelled by the engine in the superstructure, but it may be equipped with a separate engine controlled from the superstructure. Its function is to hoist and swing loads at various radii.]
- WHIPLINE [(auxiliary hoist). -]A [2.51]separate hoist rope system of lighter load capacity and higher speed than provided by the main hoist.
- WINCH HEAD. [-]A power driven spool [2.52]for handling of loads by means of friction between fiber or wire rope and spool.

[3.0 Filing Applications for Approval and Operation of Cranes and Derricks. -]

- [3.1 Certificate of approval. -The application for a certificate of approval required by Subchapter 19, Article 10 of the New York City Building Code shall be filed by an engineer and shall include the following information:
  - Affidavit of compliance from the manufacturer as detailed in Section 3.2 below,
  - Operator's manual showing all configurations for which the engineer is seeking approval, general equipment specifications and manufacturer's recommended maintenance procedures,
  - An advertising brochure or drawing showing the general configuration and specifications for which the engineer is seeking approval.
  - Load rating chart with chart number and page numbers for identification,
  - Certification from the engineer that he/she has reviewed the manufacturer's design calculations and testing or has prepared sufficient calculations, as prescribed in Section 3.2 below and found that the design of the derrick or crane conforms to the New York City Building Code, and
  - 6. Any supporting data, drawings, or calculations upon request.]
    - [Exception: Third party certification: In lieu of the engineer's certification of the design calculations, the engineer may submit a third-party certification by a competent individual or organization, other than the manufacturer, acceptable to the commissioner stating that the tests specified in Section 4.2 below were monitored and certified.]
- [3.2 Affidavit of compliance .- The affidavit of compliance from the manufacturer shall include the following:
  - List of all components; maximum boom length, maximum jib(s) length, maximum length of all other attachments,
  - List of all counterweight combinations,
  - List of standards used in the design of the boom and/or mast,
  - List of standards used in the design of the jib and/or extensions, List of standards used in the design of the boom support system,

  - List of standards used in the design of the counterweight support system and attachments,
  - List of standards used in the design of the rope,
  - List of standards used in the design of overturning stability, List of standards used in the prototype testing, and

  - 10. List of material(s) and material specifications used in the

components listed in Numbered Items 3-7 above.]

[Exception to required items: Numbered items 2, 6, 8, and 9 above are not required for derricks.]

- (c) Permit requirements for cranes and derricks.
  - Certificates of approval, operation, and on-site inspection. Cranes and derricks must possess a certificate of approval, certificate of operation, and certificate of on-site inspection when required by Section 3319 of the Building Code.
  - Temporary construction equipment permit. A temporary construction equipment permit, such as an Alt II permit or a CD-5 permit, is required for:
    - A mobile crane used in conjunction with the construction, alteration, or demolition of a building, and that does not meet any exception other than numbers 3 or 4 of Section 3319.3 of the New York City Building Code
    - Cranes and derricks with a manufacturer's rated capacity of 1 ton (907 kg) or less and used (ii) in conjunction with the installation, alteration, maintenance, repair, or removal of a building, building systems, or equipment located on a building.
  - (3) Noncompliance. Where a crane or derrick requiring one or more of the certificates or permits is found not to be in compliance with one or more of the required certificates or permits, the use of such crane or derrick must cease. The crane or derrick must not be used until it has been brought into conformance with the certificates or permits, or amended certificates or permits have been issued by the department to reflect the state of the crane or derrick.
- (d) Certificate of approval. Certificates of approval must comply with the requirements of Section 3319.4 of the New York City Building Code and the following.
  - Application for a certificate of approval. To request a Application for a certificate of approval. To request a certificate of approval in accordance with Section 3319.4 of the New York City Building Code, a prototype application must be filed on behalf of the manufacturer or equipment owner by an engineer who meets the requirements of paragraph (2) of subdivision (d) of this section. The application and all associated content must be provided in English, with United States customary units, or where acceptable to the commissioner, metric units. When required by the department, the application must be submitted in by the department, the application must be submitted in an electronic format acceptable to the commissioner. The application must include the following information:
    - Manuals. One or more manufacturer-furnished manuals, as necessary, to supply the information listed below. Required information is generic and does not have to provide specific part or model numbers:
      - All configurations for which approval is sought.
      - Overall dimensions of the crane or derrick, including for the boom, mast, jib, and attachments, or individual sections thereof, for which approval is sought.
      - Where applicable, information on the carrier type, overall carrier length and width, and tailswing, both with outriggers extended and
      - Rope information, including cable sizes and the number of drums, for both the main hoist line and the whipline.
      - A generic listing of all safety devices and operational aids provided with the crane or derrick.
      - Required configurations and procedures to secure the crane or derrick for unattended or out-of-service conditions. For a tower crane, other than a self-arctic reference or the self-arctic reference or th other than a self-erecting tower crane, this must include boom configurations for weathervaning, information or limitations/prohibitions on locking or restricting the boom, and procedures to secure the tower crane in advance of a hurricane type wind event.
      - For a tower crane, other than a self-erecting tower crane, erection, jumping, climbing, and dismantling procedures. Torque values for all bolted connections located on the crane and installed or modified during such work must be included. Collar details and information on how to support install and dismantle the collimation. how to support, install, and dismantle the collar

- must also be provided.
- (H) For all cranes or derricks, other than a tower crane that is not a self-erecting tower crane, assembly, disassembly, and travel procedures, including, as applicable, installation and removal procedures for all attachments for which approval is sought. Torque values for all bolted connections installed or modified during crane set up or the installation of an attachment must be included.
- Operating instructions.
- (J) Inspection criteria, including a schedule of manufacturer-recommended inspections.
- (K) Maintenance criteria, including a schedule of manufacturer-recommended maintenance.
- (ii) Brochure. A manufacturer-furnished advertising brochure or drawing showing the general configuration and specifications for which approval is sought.
- (iii) Load rating charts. Manufacturer-furnished load rating charts showing each configuration for which approval is sought.
- (iv) Inspection checklists. Manufacturer-furnished checklists for the inspection of the crane or derrick. Such checklists must account for all components for which approval is sought and all phases of the manufacturer's recommended schedule of inspections, for example, daily, monthly, and annual.
- (v) Maintenance checklists. Manufacturer-furnished checklists for the maintenance of the crane or derrick. Such checklists must account for all components for which approval is sought and all phases of the manufacturer's recommended schedule of maintenance, for example, daily, monthly, and annual.
- (vi) Listing of components. Manufacturer-furnished list of all components for which approval is sought. The list is generic and does not have to provide specific part or model numbers. The list must also be accompanied by:
  - (A) For a tower crane, other than a self-erecting tower crane, manufacturer-furnished drawings indicating the weight, dimensions, center of gravity, and lifting points for the boom, mast, jib, collar, and all attachments, or individual sections thereof, for which approval is sought.
  - (B) For all cranes or derricks, other than a tower crane that is not a self-erecting tower crane, manufacturer-furnished drawings indicating, as applicable, the weight, dimensions, center of gravity, and lifting points for the lattice boom, jib, and all attachments, or individual sections thereof, for which approval is sought.
  - (C) In addition to the provisions of clauses (A) and (B) above, for a crane or derrick with a telescoping boom, manufacturer-furnished drawings indicating the weight, dimensions, and center of gravity for the entire crane or derrick with the boom fully retracted and fully extended.
- (vii) Counterweight tables. Manufacturer-furnished table of all counterweight combinations and counterweight attachments for each configuration for which approval is sought.
- (viii) Tables of allowable installation and use.
  - (A) Tower cranes, other than self-erecting tower cranes. For tower cranes, other than self-erecting tower cranes, the manufacturer must submit tables that include the following data for the tower crane at its maximum free standing height in each separate standard configuration indicated in the submitted load rating charts:
    - 1. The maximum in-service moment, based on a 45 mph in-service wind.
    - 2. The maximum out-of-service moment, based on a 98 mph out-of-service wind.
    - 3. The slewing moment, based on a 45 mph inservice wind.
    - 4. Corresponding vertical loads at the

foundation.

Such data must be calculated in accordance with ASCE 7-2005, and be provided at Exposures B, C, and D. The maximum free standing height must be clearly indicated, and must be based on a 98 mph out-of-service wind, or a 45 mph in-service wind when such in-service wind produces a lower free standing height.

Special conditions in which the crane may not be used or installed (e.g. crane configuration, height, exposure, etc.) must be clearly indicated.

- (B) All other cranes and derricks. For all cranes or derricks, other than tower cranes that are not self-erecting tower cranes, the manufacturer must provide tables showing the maximum in-service and out-of-service boom, mast, and attachment lengths for each configuration for which approval is sought.
- (ix) Technical certification. A certification from the engineer who files the prototype application that:
  - (A) The crane or derrick for which approval is sought was designed and constructed in accordance with an applicable standard listed in paragraph (1) of subdivision (e) of this section. The standard and the year of the standard utilized must be noted.
  - (B) The manuals and load rating charts filed as part of the prototype application are in accordance with the requirements of paragraph (2) of subdivision (e) of this section.
  - (C) For a tower crane, other than a selferecting tower crane, the data provided per subparagraph (viii) of paragraph (1) of subdivision (d) of this section conforms to ASCE 7-2005
  - (D) For a crane, the crane, in all configurations for which approval is sought, successfully passed the prototype testing required by paragraph (3) of subdivision (e) of this section.
- (x) Contact information. The manufacturer must supply contact information for the following:
  - (A) Technical questions. For technical questions, an individual, employed full-time by the manufacturer, who is authorized by the manufacturer to provide answers to technical questions related to the crane or derrick.
  - (B) Service questions. For service questions, a 24/7 telephone hotline that is maintained or authorized by the manufacturer.
  - (C) Service support. For jobsite service support, a manufacturer authorized service center, distributor, or authorized service provider located within a four hour travel window of New York City, which must be able to dispatch a factory trained service technician to New York City in the event of an emergency or major malfunction, as determined by the department, with the crane or derrick.
- (xi) Safety bulletins and recall notices. Certification from the manufacturer that safety bulletins and recall notices for the crane or derrick will be provided in accordance with paragraph (6) of subdivision (d) of this section.
- (xii) ISO certification. The manufacturer must certify that it possesses the International Organization for Standardization (ISO), or equivalent, certification required by paragraph (4) of subdivision (e) of this section. A copy of the ISO certification, or equivalent, must be submitted.
- (2) Engineer. The engineer who files the application for the certificate of approval must be either:
  - (i) A full-time employee of the manufacturer who is licensed to practice the profession of engineering under the law of any state or foreign jurisdiction, or who submits proof, acceptable to the commissioner, of his or her professional qualifications; or
  - (ii) A person licensed and registered to practice the profession of engineering under the education law of the state of New York.

- (3) Issuance of the certificate of approval. Upon approval of the prototype application, the department will issue an initial certificate of approval for the crane or derrick. The manuals, load rating charts, and other information submitted with the prototype application and accepted by the department are considered part of the certificate of approval.
- (4) Amendments to the certificate of approval.
  - (i) When required. A certificate of approval must be amended in any of the following circumstances:
    - (A) Information provided in the load rating charts is no longer valid or will be altered.
    - (B) A configuration that was not provided for in the certificate of approval will be utilized.
    - (C) A component that was not provided for in the certificate of approval will be utilized. This includes, but is not limited to, components that have weights, dimensions, centers of gravity, or lifting points that are different from those listed in the certificate of approval.
    - (D) Information supplied per subparagraph (vii) of paragraph (1) of subdivision (d) of this section is no longer valid or will be altered.
    - (E) For a crane or derrick whose initial certificate of approval was issued on or after January 1, 2016, information supplied per subparagraph (viii) of paragraph (1) of subdivision (d) of this section is no longer valid or will be altered.
  - (ii) Amendment request. A request to amend a certificate of approval must be filed:
    - (A) On behalf of the manufacturer. On behalf of the manufacturer by an engineer who meets the requirements of paragraph (2) of subdivision (d) of this section; or
    - (B) On behalf of the owner. On behalf of the equipment owner by an engineer licensed and registered to practice the profession of engineering under the education law of the state of New York, provided:
      - The manufacturer is no longer in business; or
      - 2. The equipment owner has submitted to the manufacturer a detailed description of the proposed amendment, and has asked the manufacturer to request the amendment, but:
        - A. The manufacturer has not rejected the request. A rejection must be in the form of a written explanation from the manufacturer that rejects the request and explains the reasons for the rejection; and
        - B. The manufacturer declines to review the request; or
        - C. The manufacturer, within 30 days of the request, fails to acknowledge the request or initiate a review of the request; or
        - D. The manufacturer acknowledges the request or begins a review of the request, but, within 120 days of the request, fails to submit an amendment to the department.
  - (iii) Content of amendment request. Amendment requests, and all associated content, must be provided in English, with United States customary units, or where acceptable to the commissioner, metric units. When required by the department, the application must be submitted in an electronic format acceptable to the commissioner. The amendment request must include the following information:
    - (A) A listing of revisions to the certificate of approval necessary to accord with the proposed amendment.
    - (B) Where load ratings are altered, revised load rating charts, along with a certification from the engineer who files the amendment request that the revised load rating charts are in accordance with the requirements of paragraph (2) of subdivision (e) of this section.

- (C) Where applicable, revised component information in accordance with subparagraph (vi) of paragraph (1) of subdivision (d) of this section.
- (D) Where applicable, revised counterweight information in accordance with subparagraph (vii) of paragraph (1) of subdivision (d) of this section.
- (E) Where applicable, revised data in accordance with subparagraph (viii) of paragraph (1) of subdivision (d) of this section. For a tower crane, other than a self-erecting tower crane, the engineer who files the amendment request must certify that the revised data conforms to ASCE 7-2005
- (F) Where changes are made that decrease the structural stability or structural strength of a crane, or increase the loads or stresses upon the crane, including but not limited to adding configurations, booms, or jibs, certification from the engineer who files the amendment request that the crane, as proposed to be amended, has successfully passed the prototype testing required by paragraph (3) of subdivision (e) of this section.
- (G) In addition to the requirements of clauses
  (A) through (F) above, where the amendment request is filed on behalf of the manufacturer, any other supplements, as applicable, to the certificate of approval in accordance with paragraph (5) of subdivision (d) of this section.
- (H) In addition to the requirements of clauses
  (A) through (F) above, where the amendment
  request is filed on behalf of the equipment
  owner:
  - 1. A certification from the equipment owner that the manufacturer is no longer in business; or a copy of the request made to the manufacturer, along with any response from the manufacturer, as required by item 2 of clause (B) of subparagraph (ii) of paragraph (4) of subdivision (d) of this section.
  - 2. When any information in the manufacturer's supplied manuals has to be revised, one or more revised manuals, as necessary, along with a certification from the engineer who files the amendment request that the revised manuals are in accordance with the requirements of paragraph (2) of subdivision (e) of this section. Deviations and modifications from the manufacturer's original materials must be clearly indicated.
  - 3. When any of the checklists required by subparagraphs (iv) or (v) of paragraph (1) of subdivision (d) of this section has to be revised, one or more revised checklists, as necessary. Deviations and modifications from the manufacturer's original materials must be clearly indicated.
  - 4. Certification from the engineer who files the amendment request, based upon a review of the design calculations and testing of the crane or derrick manufacturer by the engineer, or by sufficient calculations made by the engineer, or by testing acceptable to the commissioner, that:
    - A. The manufacturer's original safety factor of the equipment is not reduced by the proposed amendment.
    - B. The crane or derrick, as proposed to be modified by the amendment, complies with the applicable design and construction standard for the crane or derrick, as listed in paragraph (1) of subdivision (e) of this section.
  - 5. Plates, tags, and decals as necessary to accord with the proposed amendment, along with instructions for their use. Such items must be developed, signed, and sealed by the engineer who files the request for the amendment.

- 6. Certification from the engineer who files the request for amendment that the engineer approves the modification/addition stipulated in the amendment, and specifies the equipment configuration to which the approval applies.
- 7. Certification from the equipment owner that such owner will comply with the provisions of the amendment, including but not limited to ensuring their cranes or derricks which utilize the amendment are provided with the revised load rating charts and manuals, as well as plates, tags, and decals as necessary to accord with the proposed amendment.
- (iv) Issuance. Upon approval of the amendment by the department, the department will issue an amended certificate of approval for the crane or derrick.
  - (A) Special provisions for an amendment filed by an equipment owner. Where an amendment request is filed by an equipment owner on or after January 1, 2016, only equipment owned by such owner may utilize the amendment. However, nothing in this clause will prohibit a separate equipment owner from filing, and the department approving, a separate amendment covering the same material.
- (5) Manufacturer supplements to the certificate of approval. When required by subparagraphs (i) through (iv) below, the manufacturer must provide supplements to the certificate of approval. Such supplements, and all associated content, must be provided in English, with United States customary units, or where acceptable to the commissioner, metric units. When required by the department, the supplement must be submitted in an electronic format acceptable to the commissioner. Supplements must be filed by an engineer who meets the requirements of paragraph (2) of subdivision (d) of this section. No fee will be charged to file a supplement. When accepted by the department, a supplement will be considered part of the certificate of approval; except that any supplement filed under the provisions of subparagraph (iv) below will be applicable only for the specific job detailed in the certificate of on-site inspection.
  - (i) Manual updates. When the manufacturer revises any of the information required by subparagraph (i) of paragraph (1) of subdivision (d) of this section, the manufacturer must provide to the department one or more revised manuals, as necessary. The engineer who files the revised manual must certify that the manual is in accordance with the requirements of paragraph (2) of subdivision (e) of this section.
  - (ii) Updates to inspection and maintenance checklists. When the manufacturer revises any of the checklists required by subparagraphs (iv) or (v) of paragraph (1) of subdivision (d) of this section, the manufacturer must provide to the department one or more revised checklists, as necessary.

Exception. Cranes or derricks whose initial certificate of approval was issued prior to January 1, 2016.

- (iii) Contact information updates. When any manufacturer contact information required by subparagraph (x) of paragraph (1) of subdivision (d) of this section changes, the manufacturer must provide updated points of contact within five (5) business days of the change.
  - (A) Exception. Cranes or derricks whose initial certificate of approval was issued prior to January 1, 2016.
  - (B) No manufacturer support. Where there is no manufacturer to support a crane or derrick, the certificate of approval will remain valid.
- (iv) Jobsite specific wind analysis for tower cranes.

  For the approval of the installation of a tower crane at a specific job site, other than a self-erecting tower crane, the following documentation must be submitted to the department prior to the approval of drawings for the certificate of on-site inspection.
  - (A) A copy of the jobsite specific information that the filing engineer provided to the manufacturer.

- (B) A copy of the forces provided by the manufacturer to the filing engineer in response to clause (A) above, with an affirmation from the manufacturer that such forces are accurate.
- (6) Safety bulletins and recall notices. The department will provide to each manufacturer a list containing the serial numbers of all cranes and derricks registered in New York City manufactured by such manufacturer. The manufacturer in turn must provide to the department all safety bulletins and recall notices issued by such manufacturer related to cranes and derricks found on the list provided by the Department when the safety bulletin or recall notice is issued. No fee will be charged to file such bulletin or notice with the department.

Safety bulletins and recall notices will not be considered part of a crane or derrick's certificate of approval and will not impact the validity of any such certificate. The department may require proof that a crane or derrick with a certificate of operation or a certificate of on-site inspection, or a crane or derrick for which such certificate(s) are sought complies with the safety bulletin or recall notice.

(7) ISO certification. If the manufacturer loses its
International Organization for Standardization (ISO), or
equivalent, certification for the make and model of the crane
or derrick, this loss must be reported to the department
within ten (10) business days after it occurs.

**Exception.** Cranes or derricks whose initial certificate of approval was issued prior to January 1, 2016.

- (8) Current certificate of approval holders. Any crane or derrick that conforms with a previously issued, currently valid certificate of approval need not apply for a new certificate of approval.
- (9) Previously issued temporary certificates of approval.

  Temporary certificates of approval issued prior to January 1, 2016, are deemed to be approved as an initial certificate of approval, retroactive to the date of issuance of the temporary certificate of approval, provided such temporary certificate of approval was not otherwise revoked prior to January 1, 2016, and provided that the prototype application for such temporary certificate of approval contained a certification from the manufacturer of the crane or derrick, or a person licensed and registered to practice the profession of engineering under the education law of the state of New York, that the crane or derrick was designed and constructed in accordance with one of the standards listed in clauses (A) through (F) of sub paragraph (i) of paragraph (1) of subdivision (e) of this section.
- (10) Suspension or revocation of a certificate of approval.

  The department may suspend or revoke a certificate of approval in accordance with Section 28-105.10 of the New York City Administrative Code.

### (e) Standards.

- (1) Design and construction standards.
  - (i) Design and construction standards for cranes or derricks whose prototype application is submitted on or after January 1, 2016. Cranes and derricks, and their components, whose prototype application is submitted on or after January 1, 2016 must, in their entirety, be designed and constructed in accordance with the following:
    - (A) Mobile cranes, other than an articulating boom crane. For a mobile crane, other than an articulating boom crane, ASME B30.5 (2004, 2007, 2011, or 2014 editions), or EN 13000 (2004, 2010, or 2014 editions).
    - (B) Tower cranes, other than a self-erecting tower crane. For a tower crane, other than a self-erecting tower crane, ASME B30.3 (2004, 2009, or 2012 editions), or EN 14439 (2006 or 2009 editions).
    - (C) Derricks. For a derrick, ASME B30.6 (2003 or 2010 editions).
    - (D) Articulating boom crane. For an articulating boom crane, ASME B30.22 (2005 or 2010 editions)
    - (E) Self-erecting tower crane. For a self-erecting tower crane, ASME B30.29 (2012 edition), or EN 14439 (2009 edition).
    - (F) Dedicated pile drivers. EN 996 (2009 or 2014 editions).

- (G) Equivalent standards. For any type of crane or derrick, such other standard as the commissioner deems equivalent to the ASME or EN standards listed above.
- (ii) Design and construction standards for cranes and derricks whose prototype application was submitted prior to January 1, 2016. Cranes and derricks, and their components, whose prototype application was submitted prior to January 1, 2016 must have been designed and constructed in accordance with the following:
  - [4.0 Design, construction, and testing of mobile cranes. -]
  - [4.1 Design and construction of mobile cranes.-]
  - [4.1.1 Design and construction of mobile]
  - (A) Mobile cranes manufactured [and submitted] prior to October 1, 2006 and whose prototype application was submitted prior to October 1, 2006. Mobile cranes, and their components, manufactured [and submitted] prior to October 1, 2006 and whose prototype application was submitted prior to October 1, 2006 [shall] must, in their entirety, be designed and constructed in accordance with [ANSI] ASME B30.5 1968.
  - [4.1.2 Design and construction of mobile]
  - (B) Mobile cranes manufactured [and submitted] on or after October 1, 2006 and whose prototype application was submitted on or after October 1, 2006 but prior to January 1, 2016. Mobile cranes, and their components, manufactured [and submitted] on or after October 1, 2006 and whose prototype application was submitted on or after October 1, 2006 but prior to January 1, 2016. [shall] must, in their entirety, be designed and constructed in accordance with [one of the following standards: 1.] [ANSI] ASME B30.5 2004 Chapter 5-1 except Section 5-1.9.9. or [2. CEN] EN 13000 (2004) except Section 4.2.6.
  - [4.1.3 Design and construction of mobile]
  - (C) Mobile cranes manufactured prior to October 1, 2006 [but submitted after October 1, 2006] and whose prototype application was submitted on or after October 1, 2006 but prior to January 1, 2016. Mobile cranes, and their components, [submitted on or after October 1, 2006, but] manufactured before October 1, 2006 and whose prototype application was submitted on or after October 1, 2006 but prior to January 1, 2016, [shall] must, in their entirety, be designed and constructed in accordance with one of the following standards:
    - [1.] (i) [ANSI] <u>ASME</u> B30.5 2004 Chapter 5-1 except Section 5-1.9.9
    - 5-1 except Section 5-1.9.9
      [2.] (ii) [ANSI] ASME B30.5 2000 Chapter 5-1 except Section 5-1.9.9
    - [3.] (iii) [ANSI] ASME B30.5 1994 Chapter 5-1 except Section 5-1.9.9
    - [4.] (iv) [ANSI] ASME B30.5 1989 Chapter 5-1 except Section 5-1.9.9
    - [5.] (v) [ANSI] ASME B30.5 1982 Chapter 5-1 except Section 5-1.9.9
    - [6.] (vi) [CEN] EN 13000 (2004) except Section 4.2.6
    - [7.] (vii) Such other standard as the Commissioner deems appropriate.
- (2) Manuals and load rating chart standards. Manuals and load rating charts for cranes and derricks must be in English, with United States customary units, or where acceptable to the commissioner, metric units, and be in accordance with the requirements of the standard utilized for the design and construction of the crane or derrick. Manuals and load rating charts must also include manual or chart numbers, and page numbers.
  - (i) De-rating. Load rating charts, and amendments to load rating charts, submitted on or after January 1, 2016, may not be de-rated to circumvent licensing provisions, or meeting exemptions contained within this section or within the New York City Construction Codes.
  - [4.2 Prototype testing of mobile cranes. -]
- (3) Prototype testing standards.

[4.2.1] (i)

Prototype testing of mobile cranes submitted prior to October 1, 2006. A prototype of each mobile crane, and their components, submitted before October 1, 2006 [shall] must be tested for strength and stability in accordance with [ANSI] ASME B30.5-1968. Lattice boom cranes [shall] must also be tested in accordance with SAE J987 - 1967 and all mobile cranes with lattice or [hydraulic] telescopic booms [shall] must also be tested in accordance with SAE J765 - 1990.

[4.2.2] (ii) Prototype testing of mobile cranes submitted on or after October 1, 2006.

A prototype of each mobile crane, and their components, submitted on or after October 1, 2006 [shall] must meet the prototype testing requirements in Test Option A or Test Option B as outlined below:

# [1.] (A) Test Option A: SAE J1063 or SAE J987,

- [(a)] 1. The following applies to equipment with cantilevered booms (such as [hydraulic] telescopic boom cranes): All tests listed in SAE J1063 1993, Table 1, [shall] must be performed to load all critical structural elements to their respective limits. All the strength margins listed in SAE J1063 1993, Table 2 [shall] must be met.
- must be met.

  [(b)] 2. The following applies to equipment with pendant supported lattice booms:
  All the tests listed in SAE
  J987 2003, Table 1, [shall]

  must be performed to load all critical structural elements to their respective limits. All the strength margins listed in SAE
  J987 2003, Table 2 [shall]

  must be met.
- [(c) Load rating charts shall be established by tests performed in accordance with SAE J765 - 1990, Crane Load Stability Test.]
- [2.] (B) Test Option B: EN 13000. All testing and verification requirements of EN 13000 (2004) must be met. In applying EN 13000 (2004), the following additional requirements must be met:
  - [(a)] 1. The following applies to equipment with cantilevered booms (such as [hydraulic] telescopic boom cranes): The analysis methodology, such as computer modeling, must demonstrate that all load cases listed in SAE J1063 1993 meet the strength margins listed in SAE J1063-1993 Table 2.
  - [(b)] 2. The following applies to equipment with pendant supported lattice booms: The analysis methodology, such as computer modeling, must demonstrate that all load cases listed in SAE J987 2003 meet the strength margins listed in SAE J987- 2003 Table 2.
  - [(c) The analysis methodology, such as computer modeling, must demonstrate that the load chart ratings meet the requirements of SAE J765 -
  - [(d)] 3. Analysis verification. The physical testing requirements under SAE J1063 1993 and SAE J987 2003 must be met unless the reliability of the analysis methodology, such as computer modeling, has been

demonstrated by a documented history of verification through strain gauge measuring or strain gauge measuring or in combination with other physical testing. The physical testing requirements of SAE J765 – 1990 must be met unless the reliability of the analysis methodology, such as computer modeling, has been demonstrated by physical testing.

- (iii) Prototype testing of tower cranes manufactured on or after November 8.
  2010. Tower cranes manufactured on or after November 8, 2010, must meet the prototype testing requirements in EN 14439 (2006).
- (4) Manufacturing standards. Cranes and derricks, and their components, whose application for prototype approval is submitted on or after January 1, 2016 must, in their entirety, be manufactured by an entity that possesses an ISO 9001 certification, or an equivalent international certification acceptable to the commissioner.

#### (f) Certificate of operation. Reserved.

[5.0 Use of Special Steels. -Reserved.]

[6.0 Load Tests for New Mobile Cranes. -Reserved]

[7.0 Load Tests for Other Mobile Cranes. –Reserved]

[8.0](g) Certificate of [On-Site Inspection.-] on-site inspection.

[8.1 Use of cranes and derricks at job sites. -]

- [8.1.1] (1) Certificate of on-site inspection required.

  In order to operate a crane or derrick at a job site, a certificate of on-site inspection is required as stipulated in [C26-1909.4(d)] Section 3319.6 of the New York City Building Code. The owner of the premises, building or structure, or his designated representative, shall file an application in quadruplicate at the department office in the borough where the premises is located. Such application shall be accompanied by plans showing proposed locations of the crane or derrick, pertinent features of the site such as assumed soil bearing values, ground elevations and slopes, vaults or other subsurface structures, supporting platforms or structures, and the swing of the crane or derrick. Also, a document shall be submitted, signed by a licensed engineer or registered architect which shall include the following information where the crane or derrick is to be supported by soil:
  - ([a]i) That he has inspected the soil at the proposed location or locations of the crane or derrick;
  - ([b]<u>ii</u>) His estimate of the soil bearing value;
  - ([c]iii) That he has explored the existence of any sheeting or retaining walls supporting soil adjoining any excavation which may be affected and certifies as to its adequacy;
  - ([d]iv) If the crane or derrick is to be on the street, that he has explored the existence of vaults or other subsurface structures which could impair the bearing value of the street or sidewalk;
  - ([e]y) That the load imposed upon the soil by the crane or derrick including supporting platform, does not exceed such bearing value under any condition of loading.
- [8.1.2] (2) Exemption from certain filings. Where a crane not exceeding 160 feet in height, including jibs and any other extensions to the boom is to be used for a period not exceeding 24 hours, or a crane not exceeding 50 feet in height, including jibs and any other extensions to the boom with a maximum rated capacity of 20 tons, is to be positioned on the roadway or sidewalk, a certificate of on-site inspection shall be required. However, the requirements relating to plans and a document of a licensed professional engineer or registered architect enumerated in

- [8.1.1 of this reference standard] paragraph (1) of subdivision (g) of this section shall not apply nor shall the three regular working day provisions [of section C26-1909.4(d)] be applicable under the following conditions:
- ([1]i) That a prototype approval has been obtained showing the means required to distribute the weight of the crane and the maximum working loads.
- ([2]ii) A statement from the owner of the structure, building or premises or his authorized agent that he visited the site and that there are no excavations or retaining walls and that no vaults or subsurface construction exists at the site.
- [8.1.3] (3) Exemption for cranes of a limited size.

  A certificate of on-site inspection shall not be required under the following conditions:
  - [8.1.3.1] (i) For a crane not exceeding 160 feet in height including jibs and any other extensions to the boom, which is to be used for a period not exceeding 48 hours and operating entirely within the property lines and in such locations which are at least a boom length, including jibs and any extensions thereof, distant from all lot lines.
  - [8.1.3.2] (ii) For service cranes and clamshells operated entirely within the property lines and within such locations which do not involve the moving of any loads over the roadway or sidewalk. This exemption shall apply only to cranes with a boom length, including jibs and any extension thereof, not exceeding 110 feet.
  - [8.1.3.3] (iii) For the use of cranes as provided for in [8.1.3.1 and 8.1.3.2] subparagraphs (i) and (ii) of paragraph (3) of subdivision (g) of this section, notice of the operation of the crane at the job site shall be given to the division of cranes and derricks, department of buildings, by telephone and confirmed in writing. It shall be the responsibility of an appointed person to provide firm and uniform footing and, when necessary, provide substantial timbers, cribbing or other structural members sufficient to distribute the load so as not to exceed the safe bearing capacity of the underlying material.
- [8.2] (4) [Cranes or Derricks Supported by a Building or Structure] Special provisions for cranes or derricks supported by a building or structure.[-]
  - [8.2.1] (i) Statement. Where the crane or derrick is supported by a building or a structure, the statement by the licensed engineer or registered architect referred to in [8.1.1] paragraph (1) of subdivision (g) of this section shall include the means of supporting and bracing the equipment. The swing of the crane or derrick shall be shown on the plans to insure clearance during operation. Computations shall be submitted with the application showing all reactions imposed on the structure by the crane or derrick, including those due to impact and wind. Such computations shall verify that the stability of the building or structure will not be impaired when the crane or derrick is in operation and that no structural members will be overstressed due to forces induced by the crane or derrick.
  - [8.2.2 Concrete Structures.-] (ii) Concrete structures. If the structure is a concrete structure, test reports of the compression strength of the concrete shall be submitted to

insure that the concrete supports of the crane or derrick have developed sufficient strength to support the crane or derrick before it is installed. The means for establishing concrete strength before imposing crane or derrick loads upon the structure shall be indicated on the application.

[8.2.3] (iii) Anchorages. All anchorages for cranes and derricks shall be approved by an appointed person.

[8.3 Use of Pile Drivers and Clamshells.-]

- (5) Exemption for pile drivers and clamshells.
  [8.3.1] A certificate of on-site inspection shall
  not be required for pile drivers or clamshells
  operating entirely within the lot lines under the
  following conditions:
  - [8.3.1.1] (i) Where pile driving equipment is designed or supported on a platform so that the soil bearing pressure does not exceed 500 pounds per square foot, a certificate of on-site inspection shall not be required.
  - [8.3.1.2] (ii) Where clamshells are operating on construction sites and are at least the depth of excavation height from the edge of the excavation and where the soil bearing pressure does not exceed 500 lbs. per square foot, a certificate of on-site inspection shall not be required.
  - [8.3.1.3] (iii) Where the pressure on the soil is in excess of 500 pounds per square foot but does not exceed 2,500 pounds per square foot, a pile driver or clamshell may be operated without a certificate of on-site inspection under the following conditions:
    - [(1)] (A) That borings have been filed with the department in the construction application under which the work is being performed, and
    - [(2)] (B) An amendment is filed to such application by an engineer or architect certifying that on the basis of the borings, the soil is adequate to support the load to be imposed thereon by the subject equipment.
- [8.4] (6) Cableways. The [Requirements] requirements of [8.1.1, 8.2 and Sections C26-1909.4(d) Shall] paragraphs (1) and (4) of subdivision (g) of this section, and Section 3319.6 of the New York City Building Code, also [Apply] apply to [Cableways] cableways.[-]
- [8.5] (7) Special provisions for cranes operating on a sidewalk or roadway. Notwithstanding the provisions of [8.0 through 8.4] paragraphs (1) through (6) of this subdivision, where a crane is operated on the sidewalk or roadway, a permit from the department of [highways] transportation shall be obtained and the pressure on such surface shall not exceed 3500 pounds per square foot. The pressure shall be distributed on the roadway by means of timber platforms extending not less than twelve (12) inches beyond the base of the outriggers on all sides and sufficiently thick to uniformly distribute the pressure as required above of all the loads including the weight of the crane. The timber mats shall have a minimum thickness of two (2) inches. All cranes equipped with steel tracks shall be supported by timber platforms not less than six inches thick and covering the entire base of the crane.

[9.0 Unsafe Hoisting Machines.-]

[9.1] (h) Unsafe hoisting machines. When it is found that equipment is dangerous or unsafe a notice or order to stop work may be issued by the commissioner, or his authorized representative. Such

notice or order may be given to the owner or lessee of the equipment involved, or to the agent of any of them, or to the person or persons executing the work or operating the equipment in writing. If the operation of the hoisting machine is not discontinued, the inspector shall report same to his superior and an engineer shall be sent to reinspect. Upon confirmation of the unsafe condition by the engineer, the hoisting machine shall be red tagged. All persons shall be prohibited from using the said equipment until the danger is removed or the unsafe condition is rectified. An unsafe notice shall not be removed from the equipment, except by an authorized inspector or representative of the department of buildings.

[10.0 Annual Renewal of Certificate of Operation.-] (i) Annual renewal of the certificate of operation. Application for renewal of a certificate of operation, as stipulated in [C26-1909.4 (c)] Section 3319.5 of the New York City Building Code, shall be accompanied by inspection and maintenance records in accordance with [15.1 and 18.1] paragraph (1) of subdivision (k) of this section and paragraph (1) of subdivision (m) of this section. Upon approval of the application, a new certificate of operation shall be issued after a satisfactory inspection by a department inspector.

- [11.0 Welded Construction.- Reserved.]
- [12.0 Load Ratings and Stability of Cranes and Derricks.- Reserved.]
- [13.0 Load Ratings Where Structural Competence Governs Lifting Performance.-]
- [13.1 Load ratings for climber, tower cranes and derricks are governed by structural competence. Therefore, the limitation on crane loading must be such that no structural member is overstressed, and load rating charts shall be subject to this limitation.]
- [13.2 Load Rating Chart.-]
- [13.2.1 Tower and climber cranes.-] (j) Load rating chart for tower and climber cranes. A substantial, durable and clearly legible rating chart shall be provided with each tower and climber crane and securely affixed in the cab. The chart shall include load ratings approved by the department for specific lengths of components, counterweights, swing, and radii.
- [14.0 Backward Stability of Mobile Cranes.- Reserved.]
- [15.0 Inspection Required by Owner for Cranes and Derricks.-]

#### (k) Inspection of cranes and derricks.

- [15.1] (1) Certification and inspections required. [-]
  The owner of a crane or derrick when applying for a certificate of approval in accordance with [3.0]
  subdivision (d) of this section shall certify that all applicable regulations regarding inspection and maintenance will be complied with. All inspections required by the owner shall be performed only by appointed personnel. The inspections shall be performed to provide information requested in a department supplied chart and all deficiencies shall be corrected. No record of information not required by such chart shall be required to be maintained in writing.
- [15.2] (2) Inspection classification. [-] Inspection procedure for cranes and derricks in regular service is divided into two general classifications based upon the intervals at which inspection should be performed. The intervals in turn are dependent upon the nature of the critical components of the crane or derrick and the degrees of their exposure to wear, deterioration or malfunction. The two general classifications are herein designated as "frequent" and "periodic" with respective intervals between inspections as defined below:
  - [15.2.1] (i) Frequent inspection. [-] Daily to monthly intervals.
  - [15.2.2] (ii) Periodic inspection. [-] 1 to 12 month intervals or as specifically recommended by the manufacturer.
- [15.3] (3) Frequent inspection. [-] Items such as the following shall be inspected for defects at intervals as defined in [15.2.1] subparagraph (i) of paragraph (2) of subdivision (k) of this section or as specifically indicated, including observation during operation for any defects which might appear between regular inspections. Any defects revealed by inspection shall be corrected. Where such defects constitute a safety hazard, the crane or derrick shall not be operated until such defects are corrected.
  - [15.3.1] (i) All control mechanisms for maladjustment interfering with proper operation.-Daily.

[15.3.2] (ii)	All control mechanisms for excessive wear of components and contamination by lubricants or other foreign matter.
[15.3.3] (iii)	All safety devices for malfunction.
[15.3.4] (iv)	Deterioration or leakage in air or hydraulic systemsDaily
[15.3.5] ( <u>v</u> )	Crane or derrick hooks with deformations or cracksRefer to [17.3.3(c)] clause (C) of subparagraph (iii) of paragraph (3) of subdivision (1) of this section.
[15.3.6] (vi)	Rope reeving for non-compliance with crane or derrick manufacturer's recommendations.
[15.3.7] (vii)	Electrical apparatus for malfunctioning, signs of excessive deterioration, dirt, moisture accumulation, weatherproofing and grounding.
[15.3.8] (viii)	Tension in derrick guysDaily.
[15.3.9] (ix)	Plumb of derrick mast.
[15.3.10] (x)	Hoist brakes, clutches and operating leversCheck daily for proper functioning before beginning operations.
Complete insperence of the subparagraph of this section, of service, and or 15.5.2] subp of subdivision (shall include the subdivision of this section indicated below shall be correct	ections of cranes and derricks. [-] ections of the crane or derrick shall be thervals as generally defined in [15.2.2] (ii) of paragraph (2) of subdivision (k) depending upon its activity, severity environment, or as required by [15.5.1] aragraphs (i) and (ii) of paragraph (2) (k) of this section. These inspections he requirements of [15.0] subdivision on, and in addition, items specifically w. Any defects revealed by inspection ted. Where such defects constitute the crane or derrick shall not be
	such defects are corrected.
[15.4.1] (i)	Deformed, cracked or corroded members in the crane or derrick structure and boom.
[15.4.2] (ii)	Loose bolts or rivets.
[15.4.3] ( <b>iii</b> )	Cracked or worn sheaves and drums.
[15.4.4] (iv)	Worn, cracked or distorted parts such as pins, bearings, shafts, gears, rollers and locking devices.
[15.4.5] (v)	Excessive wear on brake and clutch system parts, linings, pawls and ratchets.
[15.4.6] (vi)	Load, boom angle and other indicators over their full range, for any significant inaccuracies.
[15.4.7] (vii)	Gasoline, diesel, electric or other power plants for improper performance or non-compliance with safety requirements.
[15.4.8] (viii)	Excessive wear of chain drive sprockets and excessive chain stretch.
[15.4.9] ( <u>ix)</u>	Crane or derrick hooks. [-] Magnetic particle or other suitable crack detecting inspection should be performed at least once each year by an inspection agency retained by the owner and approved by the department. Certified inspection reports are to be made available to the department upon request.
[15.4.10] ( <u>x)</u>	Travel steering, braking and locking devices, for malfunction.
[15.4.11] (xi)	Excessively worn or damaged tires.
[15.4.12] (xii)	Derrick gudgeon pin for cracks, wear and distortion each time the derrick is to be erected.
[15.4.13] (xiii)	Foundation or supports shall be inspected for continued ability to

[15.4] (4)

sustain the imposed loads.

### [15.5] (5) Cranes or derricks not in regular use. [-]

[15.5.1] (i) A crane or derrick which has been idle for the period of one month or more, but less than six months, shall be given an inspection by the owner conforming with requirements of [15.3 and 18.1.1] paragraph (3) of subdivision (k) of this section and subparagraph (i) of paragraph (1) of subdivision (m) of this section before an application for a certificate of on-site inspection in accordance with [27-1057(d)] Section 3319.6 of the New York City Building Code is submitted to the department.

[15.5.2] (ii) A crane which has been idle for the period of over six months, shall be given a complete inspection by the owner conforming with requirements of [15.3, 15.4 and 18.1.2] paragraphs (3) and (4) of subdivision (k) of this section and subparagraph (ii) of paragraph (1) of subdivision (m) of this section before an application for a certificate of on-site inspection in accordance with [27-1057(d)] Section 3319.6 of the New York City Building Code is submitted to the department.

[16.0 Testing Required for Cranes and Derricks, Manufactured After April 1, 1970, by Manufacturer or Owner.-]

#### [16.1 Operational test.-]

[16.1.1 In addition to prototype tests and quality control measures, each new production crane and derrick shall be tested by the manufacturer to the extent necessary to insure compliance with the operational requirements of this section, including functions such as the following:

- (a) Load hoisting and lowering mechanisms.
- (b) Boom hoisting and lowering mechanisms.
- (c) Swinging mechanism.
- (d) Traveling mechanism.
- (e) Safety devices.]

[16.1.2 Where the complete production crane or derrick is not supplied by one manufacturer such tests shall be conducted at final assembly.]

[6.1.3 Operational test and production test results certified by the manufacturer or a licensed professional engineer shall be made available to the department with each application for a certificate of approval for a crane or derrick, in accordance with 3.0.]

[16.1.4 For all cranes and derricks, where electrically powered, the trip setting of hoist limit switches shall be determined by tests with an empty hook traveling in increasing speeds to the maximum speed. The activating mechanism of the limit switch shall be located so that it will trip the switch under all conditions in sufficient time to prevent contact of the hook or load block with any part of the derrick or crane.]

#### [17.0] (1) Maintenance of Cranes and Derricks. [-]

#### [17.1] (1) Preventive maintenance. [-]

[17.1.1] (i) A preventive maintenance program based on the crane or derrick manufacturer's recommendations shall be established. Dated and detailed records shall be readily available to the department.

[17.1.2] (ii) It is recommended that replacement parts be obtained from the original equipment manufacturer.

### [17.2] (2) Maintenance procedure. [-]

[17.2.1] (i) Before adjustments and repairs are started on a crane or derrick, the following precautions shall be taken as applicable:

[(a)] (A) Crane or derrick placed or arranged where it will cause the least interference with other equipment or operations in the area.

[(b)] (B) All controls at the "off" positions.

[(c)] (C) Starting means rendered inoperative.

- [(d)] (D) Warning or "out of order" signs placed on the crane or derrick and hoist.
- [(e)] (E) Power plant stopped or disconnected at take-off.
- [(f)] (F) Boom lowered to the ground if possible or otherwise secured against dropping.
- [(g)] (G) Lower load block lowered to the ground or otherwise secured against dropping.
- [17.2.2] (ii) After adjustment and repairs have been made, the crane or derrick shall not be operated until all guards have been reinstalled, safety devices reactivated and maintenance equipment removed.
- [17.3] (3) Adjustments and Repairs. [-]
  - [17.3.1] (i) Any unsafe conditions disclosed by the inspection requirements of [15.0] subdivision (k) of this section shall be corrected before operation of the crane or derrick is resumed. Adjustments and repairs shall be done only by [competent personnel] a competent person.
  - [17.3.2] (ii) Adjustments shall be maintained to assure correct functioning of components. The following are examples:
    - [(a)] (A) All functional operating mechanisms.
    - [(b)] (B) Safety devices.
    - [(c)] (C) Control systems.
    - [(d)] (D) Power plants.
    - [(e)] (E) Tie downs or anchorages.
    - [(f)] (F) Signal system.
    - [(g)] (G) Guys.
  - [17.33] (iii) Repairs or replacements shall be provided promptly as needed for safe operation. The following are examples:
    - [(a)] (A) All critical parts of functional operating mechanisms which are cracked, broken, corroded, bent or excessively worn.
    - [(b)] (B) All critical parts of the crane or derrick structure which are cracked, bent, broken or excessively corroded.
    - [(c)] (C) Crane or derrick hooks showing defects described in 15.3.5 shall be discarded. Repairs by welding or reshaping are not acceptable unless written approval of the department is obtained.
    - [(d)] (D) Pitted or burned electrical contacts should be corrected only by replacement and in sets. Controller parts should be lubricated as recommended by the manufacturer.
  - [17.3.4] (iv) All replacement parts or repairs shall have at least the original safety factor and be in accordance with the specifications of the manufacturer. Approval of the department shall be required for the replacement or repair of main structural members as [enumerated in 1 and 2 of 3.1.1] listed below, for which no fee will be required[.]:
    - (A) Boom or mast;
    - (B) Jib or extensions;
    - (C) Gantries;
    - (D) Counterweight supports and attachments;
    - (E) Rope; and
    - (F) Overturning stability.

**Exception:** Only clauses (A), (B) and (E) above apply to derricks.

### [17.4] (4) Lubrication of Cranes and Derricks. [-]

- [17.4.1] (i) All moving parts of the crane or derrick and hoist for which lubrication is specified, including rope and chain, shall be regularly lubricated. Lubricating systems shall be checked for proper delivery of lubricant. Particular care should be taken to follow manufacturer's recommendations as to point and frequency of lubrication, maintenance of lubricant levels and types of lubricants to be used. Lubrication shall be performed under the supervision of the crane operator, oiler or maintenance engineer.
- [17.4.2] (ii) Machinery shall be stationary while lubricants are being applied and protection provided as called for in [17.2.1(b) through 17.2.1(e)] clauses (B) through (E) of subparagraph (i) of paragraph (2) of subdivision (l) of this section inclusive, unless such machinery is equipped for automatic lubrication.
- [18.0] (m) Rope Inspection, Replacement and Maintenance by Owner for Cranes and Derricks. [-]

### [18.1] (1) Rope Inspection. [-]

#### [18.1.1] (i) Frequent Inspection. [-]

- [18.1.1.1] (A) All ropes in continuous service shall be visually inspected once every working day. A visual inspection shall consist of observation of all rope that can reasonably be expected to be in use during the day's operation. This visual inspection shall be directed towards discovering gross damage that may be an immediate hazard, including the following:
  - [(a)] 1. Distortion of the rope such as kinking, crushing, unstranding, birdcaging, main strand displacement, or core protrusion. Loss of rope diameter in a short rope length or unevenness of outer strands should provide evidence that the rope or ropes must be replaced.
  - [(b)] **2.** General corrosion
  - [(c)] 3. Broken or cut strands
  - [(d)] 4. Number, distribution and type of visible broken wires (See [Section 18.2.2] subparagraph (ii) of paragraph (2) of subdivision (m) of this section for further guidance)
  - [(e)] 5. Core failure in rotation-resistant ropes. When such damage is discovered, the rope shall be either removed from service or given an inspection as detailed in [Section 18.1.2] subparagraph
    (ii) of paragraph (1) of subdivision (m) of this
- [18.1.1.2] (B) Care shall be taken when inspecting sections of rapid deterioration such as flange points, crossover points, and repetitive pickup points.
- [18.1.1.3] (C) Care shall be taken when inspecting the following types of rope:
  - [(a)]  $\underline{\mathbf{1}}$ . Rotation-resistant rope.
  - [(b)] **2.** Boom hoist rope.
- [18.1.2] (ii) Periodic Inspection. [-]

- [18.1.2.1] (A) There shall be periodic inspections performed at least annually. The inspection frequency shall be determined by a qualified person and shall be based on such factors as expected rope life as determined by experience on the particular installation or similar installations, severity of the environment, percentage of capacity lifts, frequency rates of operation, and exposure to shock loads. Inspections need not be at equal calendar intervals and should be more frequent as the rope approaches the end of its useful life.
- [18.1.2.2] (B) In the event a periodic inspection is not feasible within a 12-month period due to existing set-up and configuration of the equipment or due to site conditions, such periodic inspection shall be performed as soon as it becomes feasible but no longer than an additional 6 months for running ropes and, for standing ropes, at the time of disassembly. Written notification and approval of the commissioner must be obtained prior to extending the use of the rope beyond the 12-month inspection period.
- [18.1.2.3] (C) Periodic inspections shall be performed by a qualified person. This inspection shall cover the entire length of rope. Only the surface wires of the rope shall be inspected. Any deterioration resulting in an appreciable loss of original strength shall be noted and determination made as to whether further use of the rope would constitute a hazard. The periodic inspection shall include examination of the following:
  - [(a) points] 1. Points listed in [Section 18.1.1.1] clause
    (A) of subparagraph
    (i) of paragraph (1) of subdivision (m) of this section.
  - [(b) reduction] 2. Reduction of rope diameter below nominal diameter due to loss of core support, internal or external corrosion, or wear of outside wires.
  - [(c) severely] 3. Severely corroded or broken wires at end connections.
  - [(d) severely] <u>4. Severely</u> corroded, cracked bent, worn or improperly applied end connections.
- [18.1.2.4] (D) Care shall be taken when inspecting sections of rapid deterioration, such as the following:
  - [(a) sections] 1. Sections in contact with saddles, equalizer sheaves, or other sheaves where rope travel is limited.
  - [(b) sections] 2. Sections of the rope at or near terminal ends where corroded or broken wires may protrude.
- [18.1.2.5] (E) All rope that has been idle for a period of six months or more shall be given a periodic inspection before it is placed into service.
- [18.2] (2) Rope Replacement. [-]
  - [18.2.1] (i) When a rope reaches any one of the specified

removal criteria, it may be allowed to operate to the end of the work shift, based on the judgement of a qualified person. The rope shall be replaced after that work shift, at the end of the day, or at the latest time prior to the equipment being used by the next work shift.

- [18.2.2] (ii) Removal criteria for rope replacement shall meet manufacturer's specification or as follows:
  - [(a)] (A) Broken Wires:
    - [(1)] 1. [in] In running ropes, six randomly distributed broken wires in one lay or three broken wires in one strand in one lay.
    - [(2)] 2. In rotation-resistant ropes, two randomly distributed broken wires in six diameters or four randomly distributed broken wires in 30 rope diameters.
    - [(3)] 3. One outer wire broken at the point of contact with the core rope that has worked its way out of the rope structure and protrudes or loops out from the rope structure.

      Additional inspection of this section is required.
    - [(4)] 4. Kinking, crushing, birdcaging, or any other damage resulting in distortion of the rope structure.
    - [(5)] <u>5.</u> Evidence of heat damage from any cause.
  - [(6)] <u>6.</u> Reductions from nominal diameter of more than the following:
    - [(a)]  $\underline{\mathbf{A}}$ . 1/64 in. for diameters up to and including 5/16
    - [(b)] **B.** 1/32 in. for diameters up to and including ½ in.
    - [(c)] C. 3/64 in. for diameters up to and including ¾ in.
    - [(d)] **D.** 1/16 in. for diameters up to and including 1 1/8
    - [(e)] **E.** 3/32 in. for diameters up to and including 1 ½ in.
  - [(7)] 7. In standing ropes, more than two broken wires in one lay in sections beyond end connections or more than one broken wire at an end connection.
- [18.2.3] (iii) Replacement rope shall have strength rating at least as great as the original rope furnished or recommended by the crane manufacturer.

  Any deviation from the original size, grade, or construction shall be specified by the rope manufacturer, the crane manufacturer, or a qualified person.
- [18.2.4] (iv) Discarded rope shall not be used for slings.
- [18.3] (3) Rope Maintenance. [-]
  - [18.3.1] (i) Rope shall be stored to prevent damage or deterioration.
  - [18.3.2] (ii) Unreeling or uncoiling of rope shall be done as recommended by the rope manufacturer and with extreme care to avoid kinking or inducing a twist.
  - [18.3.3] (iii) Before cutting a rope, seizings shall be placed on each side of the place where the rope is to be cut to prevent unlaying of the strands. On preformed rope, one seizing on each side of the cut is required. On non-preformed ropes of 718 inch diameter or smaller, two seizings on each side of the cut are required, and for

- non-preformed rope of one inch diameter or larger, three seizings on each side of the cut are required.
- [18.3.4] (iv) During installation care shall be observed to avoid dragging of the rope in dirt or around objects which will scrape, nick, crush, or induce sharp bends in it.
- [18.3.5] (v) Rope should be maintained in a well lubricated condition. It is important that lubricant applied as part of a maintenance program shall be compatible with the original lubricant and to this end the rope manufacturer should be consulted. Those sections of rope which are located over sheaves or otherwise hidden during inspection and maintenance procedures require special attention when lubricating rope. The object of rope lubrication is to reduce internal friction and to prevent corrosion. Periodic field lubrication is particularly important for nonrotating rope.
- [19.0] (n) Safety Devices Required. [-] All cranes and derricks shall be equipped with safety devices as provided herein, except equipment used exclusively for pile driving, clamshell and dragline used for excavation. The commissioner shall approve these safety devices.
  - [19.1] (1) Indicators or Limiters. [-] All mobile cranes with a maximum rated capacity of 3 tons or more shall be equipped with a load moment indicator, [rated capacity indicator,] or a [rated capacity (load) limiter] load moment limiter.
    - [19.1.1] (i) Cranes with a total boom length including jibs and any other extensions not exceeding 150 feet shall be exempt.
    - [19.1.2] (ii) Cranes manufactured before December 30, 1993 shall be exempt. The margin of stability for determination of load ratings of these cranes shall be established at 75 percent of the load, which will produce a condition of tipping or balance with the boom in the least stable direction relative to the mounting where overturning stability governs the lifting performance.
    - [19.1.3] (iii) Cranes shall have a radius or boom angle indicator provided in conjunction with a load\_moment indicator.
  - [19.2] (2) Anti-Two Blocking Features. [-] All mobile cranes with a maximum rated capacity exceeding one ton manufactured after February 28, 1992, shall be equipped with anti-two-blocking features as follows:
    - [(a)] (i) Telescopic Boom Cranes shall have an anti-two-block device for all points of two-blocking that automatically prevents damage from contact between the load block, overhaul ball, or similar component, and the boom and/or jib tip.
    - [(b)] (ii) Lattice Boom Cranes shall have an antitwo-block device for all points of twoblocking that either automatically prevents damage from contact between the load block, overhaul ball, or similar component, and the boom and/or jib tip or warns the operator in time for the operator to prevent two-blocking.
  - [19.3] (3) Additional Safety Devices Required. [-] All mobile cranes with a maximum rated capacity exceeding one ton shall be equipped with the following additional safety devices:
    - [(a)] (i) A deadman control on the control levers in the cab or crane operator's station, where the crane is electrically powered.
    - [(b)] (ii) An effective audible warning and operating signal on the outside of the cab.
    - [(c)] (iii) Boom stops and boom hoist safety shutoffs.

      However, boom stops shall not be required for [telescoping] telescopic booms.
    - [(d)] (iv) An indicator for leveling the crane.
    - [(e)] (v) Hoist drum rotation indicator if the drum is not visible from the operator's station.
  - [19.4] (4) Malfunctioning Safety Devices. [-] The load moment indicator, [rated capacity indicator,] [rated

- capacity (load) limiter] load moment limiter, hoist drum rotation indicator, and the anti-two blocking devices shall also be known as operational aids, which provide information to facilitate the operation of a crane or that take control of particular functions without action of the operator when a limiting condition is sensed. When any of these operational aids are inoperative or malfunctioning, the following alternative measures shall be implemented to allow continued use of the crane:
- [(a)] (i) Load moment indicator, [Rated capacity indicator] or [Rated Capacity (Load) Limiter] load moment limiter: The weight of the load shall be determined from a reliable source (such as the manufacturer's equipment specification), by a reliable calculation method (such as calculating a steel beam from measured dimensions and a known per foot weight) or by other equally reliable means before the load is hoisted. To ensure that the weight of the load does not exceed the crane ratings at the maximum radius at which the load is to be handled, the radius shall be determined through the use of a boom angle indicator, radius indicator or by measurement.
- [(b)] (ii) Hoist drum rotation indicator: Mirrors and/or remote video cameras and displays shall be provided so that the operator can see the drum.
- [(c)] (iii) Anti-two-block device: The cable shall be clearly marked (so that it can easily be seen by the operator) at a point that will give the operator sufficient time to stop the hoist to prevent two-blocking or an additional signal person shall be utilized to monitor the position of the load block or overhaul ball.
- (iv) Recalibration or repair of the safety device shall be accomplished as soon as is reasonably possible, as determined by a qualified person.
- [19.5] (5) Tower Cranes and Climber Cranes. [-] All tower cranes and climber cranes excluding truck-mounted tower cranes shall have the following:
  - [(a)] (i) Warning light activated at 100% allowable overturning moment,
  - [(b)] (ii) Acoustic signal sounding at 105% allowable overturning moment,
  - [(c)] (iii) Automatic stop if 110% allowable overturning moment is reached,
  - [(d)] (iv) Automatic stop if load exceeds maximum rated load in high gear,
  - [(e)] (v) Automatic stop if load exceeds maximum rated load in intermediate gear,
  - [(f)] (vi) Automatic stop if load exceeds maximum rated load in low gear,
  - [(g)] (vii) Predeceleration before top position of the hook,
  - [(h)] (viii) Limit switch for top position of the hook,
  - [(i)] (ix) Predeceleration before low position of the hook,
  - [(j)] (x) Limit switch for the trolley traveling out,
  - [(k)] (xi) Limit switch for the trolley traveling in,
  - [(l)] (xii) Acceleration limit on the hoisting movement.
  - [(m)] (xiii) Acceleration limit on the swing movement,
  - [(n)] (xiv) Acceleration limit on the trolley movement, and
  - [(o)] (xv) Deadman control on both control levers in box.
  - [19.6] (6) Derricks. [-] Safety devices for derricks shall be approved by the commissioner and shall be installed within six months after said devices are accepted. However, where electrically powered, a deadman control on control levers shall be installed prior to applying for a certificate of operation

pursuant to [section 27-1057 of the Administrative Code] Section 3319.5 of the New York City Building Code.

[20.0 Characteristic and Special Requirements for Mobile Cranes.-Reserved.]

# [21.0] (o) Characteristics and Special Requirements for Derricks. [-]

[21.1 Load ratings.-]

#### [21.1.1] (1) Rated load marking. [-]

[(a)] (i) For derricks, a substantial, durable and clearly legible load rating chart shall be provided for each particular installation.

The rating chart shall be securely affixed where it is visible to personnel responsible for the operation of, the equipment. The chart shall include but not be limited to the following data:

[1- manufacturer's] (A) Manufacturer's load ratings as approved by the department at corresponding ranges of boom angle or operating radii.

[2- specific] (B) Specific lengths of components on which the load ratings are based.

[3- required] (C) Required parts for hoist reeving.

[4- size] (D) Size and construction of all ropes shall be shown either on the rating chart or in the operating manual.

[(b)] (ii) For all other derricks, the manufacturer shall provide sufficient information from which capacity charts can be prepared and approved by the department for the particular installation. The capacity charts shall be located either at the derrick or the job site office.

#### [21.2] (2) Construction. [-]

[21.2.1] (i) General. [-] Derricks shall be constructed to adequately meet all stresses imposed on all members and components.

#### [21.2.2] (ii) Guy derricks. [-]

[(a)] (A) The minimum number of guys is six. Preferably, the guys should be equally spaced around the mast.

[(b)] (B) The manufacturer shall furnish complete information recommending:

[1- the] <u>1.</u> The number of guys[.]; [2- the] <u>2.</u> The spacing

[2- the] 2. Ine spacing around the mast;
[3- the] 3 The maximum

[3- the] 3. The maximum vertical slope and initial tension or sag of all guys;

[4-the] 4. The size and construction of rope to be used in each.

[(c)] (C) The mast base shall permit free rotation of the mast with allowance for slight tilting of the mast caused by guy slack.

[(d)]  $(\mathbf{D})$  The mast cap shall:

[1 - permit] <u>1.</u> Permit free rotation of the mast;

[2 - adequately]2. Adequately withstand tilting and cramping action imposed by the guy loads;

[3 - be] <u>3.</u> <u>Be</u> secured to the mast to prevent disengagement during erection; and

[4 - be] <u>4.</u> <u>Be</u> provided with means for attachment of guy

ropes.

### [21.2.3] (iii) Stiff leg derrick. [-]

[(a)] (A) The mast shall be supported in the vertical position by two stiff legs one end of each being connected to the top of the mast and the other end securely anchored. The stiff legs shall be capable of withstanding the loads imposed by the boom at any point within its range of swing.

[(b)] (B) The mast base shall:

[1 - permit] <u>1.</u> Permit free rotation of mast;

[2 - permit] 2. Permit slight inclination of the mast without binding; and

[3 - provide] 3. Provide means to prevent the mast from lifting out of its socket when the mast is in tension.

[(c)] (C) The stiff leg connecting member at the top of the mast shall:

[1 - permit] 1. Permit free rotation of the mast;

[2 - adequately] **2.** Adequately withstand the loads imposed by the action of the stiff legs; and

[3 - be] <u>3.</u> <u>Be</u> so secured as to oppose lift off forces at all times.

#### [21.3] (3) Ropes and reeving accessories. [-]

[21.3.1] (i) Guy ropes. [-]

[(a)] (A) Guy ropes shall be of suitable size, grade and construction to withstand the maximum load imposed.

[(b)] (B) The nominal breaking strength of each rope shall be no less than three times the load applied to the rope.

[(c)] (C) Tie downs or kicker devices which may be easily loosened shall have locknuts or other suitable provision to prevent loosening.

## [21.3.2] (ii) Boom hoist ropes. [-]

[(a)] (A) Boom hoist ropes shall be of suitable size, grade and construction to withstand the maximum load imposed.

[(b)] (B) The live rope reeving system in a boom suspension shall withstand the maximum load imposed and be of sufficient length to permit lowering the boom point to horizontal position with at least three full wraps of rope remaining on the hoist drum.

[(c)] (C) The nominal breaking strength of the most heavily loaded rope in a system shall be no less than three and a half times the loads applied to that rope.

#### [21.3.3] (iii) Main hoist ropes. [-]

[(a)] (A) Main hoist ropes shall be of a suitable size and construction to withstand the maximum load imposed.

[(b)] (B) Ropes in the main hoisting system shall be of sufficient

- length for the entire range of movement specified for the application with at least three full wraps of rope on the hoist drum at all times.
- [(c)] (C) The nominal breaking strength of the most heavily loaded rope in a system shall be no less than three and a half times the load applied to that rope.

#### [21.3.4] (iv) Reeving accessories. [-]

- [(a)] (A) Socketing shall be done in the manner specified by the manufacturer of the assembly.
- [(b)] (B) Rope end shall be anchored securely to the drum.
- [(c)] (C) Eyes shall be made in an approved manner and rope thimbles should be used in the eye.
- [(d)] (D) U-bolt clips shall have the U-bolt on the dead or short end, and the saddle on the live or long end of the rope. Spacing and number of all types of clips shall be in accordance with the clip manufacturer's recommendation and submitted to the department. Clips shall be dropforged steel in all sizes manufactured commercially. When a newly installed rope has been in operation for an hour, all nuts on the clip bolts shall be retightened, and they should be checked for tightness at frequent intervals thereafter.
- [(e)] (E) Swaged, compressed, or wedge-socket fittings shall be applied as recommended by the rope, derrick, or fitting manufacturer.
- [(f)] (F) Where a half wedge socket is used it shall be of a positive locking type.
- [(g)] (G) If a load is supported by more than one rope, the tension in the parts shall be equalized.

### [21.3.5] (v) Sheaves. [-]

- [(a)] (A) Sheave grooves shall be smooth and free from surface defects which could cause rope damage. The cross sectional radius at the bottom of the groove should be such as to form a close fitting saddle for the size rope used and the sides of the groove should be tapered outwardly to facilitate entrance of the rope into the groove. Flange corners should be rounded and the rims should run true about the axis of rotation.
- [(b)] (B) Sheaves carrying ropes which can be momentarily unloaded shall be provided with close fitting guards or other suitable devices to guide the rope back into the groove when the load is applied again.
- [(c)] (C) The sheaves in the lower load block shall be equipped with close-fitting guards that will prevent ropes from becoming fouled when the block is lying on the ground with ropes loose.
- [(d)] (D) Means should be provided, if necessary, to prevent chafing of the ropes.
- [(e)] (E) All running sheaves shall be equipped with means for

- lubrication. Permanently lubricated, sealed and/or shielded bearings shall be acceptable.
- [(f)] **(F)** Boom and hoisting sheaves shall have pitch diameters not less than eighteen times the nominal diameter of the rope used.
- [(g)] (G) Boom point sheaves should be provided with suitable guides to limit the offlead angle of the rope when entering the grooves from either side.

### [21.4] (4) Anchoring and guying. [-]

### [21.4.1] (i) Guy derricks. [-]

- [(a)] (A) The mast base shall be securely anchored. Maximum horizontal and downward vertical thrusts encountered when handling rated loads with the particular guy slope and spacing stipulated for the application are among the design factors for which provision must be made
- [(b)] (B) The guys shall be secured to the ground or other firm anchorage.

  Maximum horizontal and vertical pulls encountered while handling rated loads with the particular guy slope and spacing stipulated for the application are among the factors for which provision must be made.

### [21.4.2] (ii) Stiff leg derricks. [-]

- [(a)] (A) The mast base shall be securely anchored. Maximum horizontal and upward and downward vertical thrusts encountered while handling rated loads stipulated for the application with the particular stiffleg spacing and slope are among the factors for which provision must be made.
- [(b)] (B) The stiff legs shall be securely anchored. Maximum horizontal and vertical upward and downward thrusts encountered while handling rated loads with the particular stiffleg arrangement stipulated for the application are among the factors for which provision must be made.
- [21.5] (5) Hoist. [- 21.5.1] The hoist shall be suitable for the derrick work intended and shall be securely anchored to prevent displacement from the imposed loads.
- [21.6 Cranes manufactured prior to April 1, 1970 shall be modified to conform to the provisions of 20.1.1(d) and (f), 20.1.2(h) and (i), 20.2.3, 20.3.1(b) and (c), 20.3.2(a)(2), 20.4.5, 20.5.1(b) and derricks manufactured prior to April 1, 1970 shall be modified to conform to the provisions of 21.3.5(f), unless it can be shown to the satisfaction of the commissioner that the crane cannot feasibly or economically be altered to comply.]

### [22.0] (p) Operation of Cranes and Derricks. [-]

### [22.1] (1) **Operators.** [-]

- [22.1.1] (i) Cranes and derricks shall be operated only by the following persons:
  - [(a)] (A) Persons licensed as operators by the department of buildings in accordance with [section B26-5.0] Section 28-405 of the Administrative Code of the City of New York.
  - [(b)] (B) Learners in the presence of and under the direct supervision of a licensed operator.
- [22.1.2] (ii) No person other than those listed under [paragraph 22.1.1 above] subparagraph (i) of paragraph (1) of subdivision (p) of this section and persons such as oilers and supervisors, whose duties require them to do so, shall enter the cab of a crane and then only in the performance of his duties and with knowledge and consent of the operator.

### [22.2] (2) Operating practices. [-]

- [22.2.1] (i) The operator shall not engage in any practice which will divert his attention while actually engaged in operating the crane or derrick hoist.
- [22.2.2] (ii) The operator shall respond to signals only from the appointed signal men.
- [22.2.3] (iii) The operator shall be responsible for the operation of the crane or derrick hoist.
- [22.2.4] (iv) For mobile cranes, the warning signal shall be sounded each time before on-site traveling and intermittently during such travel, particularly when approaching workmen.
- [22.2.5] (v) Before leaving his crane or derrick unattended, the operator shall:
  - [(a)] (A) Land any attached load, bucket, lifting magnet, or other device.
  - [(b)] (B) Disengage clutches.
  - [(c)] (C) Set travel, swing, boom brakes and other locking devices.
  - [(d)] (D) Put controls in the "off" position.
  - [(e)] (E) Stop the engine.
  - [(f)] (F) Secure mobile cranes against accidental travel.
  - [(g)] (G) Lock and secure the equipment against unauthorized operation.
- [22.2.6] (vi) On leaving a mobile crane overnight, ground chocks shall be set and crane booms shall be lowered to ground level or otherwise fastened securely against displacement by wind loads or other external forces.
- [22.2.7] (vii) If there is a warning sign on the switch or engine starting controls, the operator shall not close the switch or start engine until the warning sign has been removed by the person placing it there.
- [22.2.8] (viii) Before closing the switch, or starting the engine, the operator shall see to it that all controls are in the "off" position and all personnel are in the clear.
- [22.2.9] (ix) If power fails during operation, the operator shall:
  - [(a)] (A) Set all brakes and locking devices.
  - [(b)] (B) Move all clutch or other power controls to the "off" position.
  - [(c)] (C) Communicate with the appointed individual in charge of operations.
  - [(d)] (D) If practical, the suspended load should be landed under brake control.
- [22.2.10] (x) The operator shall familiarize himself with the equipment and its proper care. If adjustments or repairs are necessary, or any defects are known he shall report the same promptly to his employer or other person responsible for the equipment and shall also notify the next operator of the defects upon changing shifts.
- [22.2.11] (xi) All controls shall be tested by the operator at the start of a new shift. If any controls do not operate properly, they shall be adjusted or repaired before operations are begun.
- [22.2.12] (xii) Booms of mobile cranes which are being assembled or disassembled on the ground with or without support of the boom harness (equalizing sheaves, bridal and boom pendants) should be securely supported by proper blocking to prevent dropping of the boom sections.
- [23.0] (q) Handling the Load. [-] No crane or derrick shall be loaded beyond the rated load.
  - [23.1] (1) Size of load. [-] On all operations involving cranes or derricks which are not equipped with those safety devices which make use of load measuring systems, there shall be a competent appointed individual

assigned on a full-time basis to be responsible for determining the magnitude of loads to be lifted or lowered. The operator shall not make a lift unless he has first determined the weight of the load or is informed of such weight by the appointed person responsible for the operation.

#### [23.2] (2) Attaching the load. [-]

- [23.2.1] (i) The hoist rope shall not be wrapped around the load.
- [23.2.2] (iii) The load shall be attached to the hook by means of slings or other approved devices.

#### [23.3] (3) Moving the load. [-]

- [23.3.1] (i) The appointed individual directing the lift shall see that:
  - (a) (A) In the case of a mobile crane, the crane is level and where necessary, chocked properly.
  - (b) (B) The load is well secured and properly balanced in the sling or lifting device before it is lifted more than a few inches.
- [23.3.2] (ii) Before starting to hoist, he shall take care that:
  - [(a)] (A) Hoist ropes are not kinked.
  - [(b)] (B) Multiple part lines are not twisted around each other.
  - [(c)] (C) The hook is brought over the load in such a manner as to prevent swinging.
  - [(d)] (D) If there is a slack rope condition, the rope is properly seated on the drum and in the sheaves.
- [23.3.3] (iii) During hoisting, care should be taken that:
  - [(a)] (A) There is no sudden acceleration or deceleration of the moving load.
  - [(b)] (B) The load does not contact any obstructions.
- [23.3.4] (iv) Side loading of booms shall be limited to freely suspended loads. Cranes shall not be used for dragging loads sideways. Derricks shall not be used for side loading.
- [23.3.5] (v) The operator shall not lift, lower, swing or travel while any person is on the load or hook unless notification is filed with the Department pursuant to [Section 23.6 of this title] paragraph (6) of subdivision (q) of this section. The operator shall not carry loads over people or over any occupied building unless the top two floors are vacated or overhead protection with a design live load of 300 psf is provided.
- [23.3.6] (vi) On truck cranes, loads shall be lifted over the front area only as recommended by the manufacturer and submitted to the department of buildings.
- [23.3.7] (vii) The operator shall test the brakes each time a load approaching the rated load is handled by raising it a few inches and applying the brakes.
- [23.3.8] (viii) For mobile cranes, outriggers shall be used when the load to be handled at that particular radius exceeds the rated load without outriggers as given by the manufacturer for that crane and approved by the department of buildings.
- [23.3.9] (ix) Neither the load nor the boom shall be lowered below the point where less than three full wraps of rope remain on their respective drums
- [23.3.10] (x) When two or more cranes are used to lift one load, one appointed person shall be responsible for the operation. He shall analyze the operation and instruct all personnel involved in the proper positioning, rigging of the load, and the movements to be made.
- [23.3.11] (xi) In transit, the following additional

- precautions for mobile cranes shall be exercised:
- [(a)] (A) The boom shall be carried in line with the direction of motion.
- [(b)] (B) The superstructure shall be secured against rotation. When negotiating turns or when the boom is supported on a dolly, the superstructure may be rotated by a licensed crane operator only.
- [(c)] (C) The empty hook shall be lashed or otherwise restrained so that it cannot swing freely.
- [23.3.12] (xii) Before traveling a crane with a load, proposed travel should be shown on a plan of operation and approved by the department. Such data shall be filed with an application for on-site inspection.
- [23.3.13] (xiii) A crane shall not be traveled with the boom so high that it may bounce back over the cab.
- [23.3.14] (xiv) When rotating the crane or derrick, sudden stops shall not be made. Rotational speed shall be such that the load does not swing out beyond the radii at which it can be controlled. A tag or restraint line shall be used when rotation of the load is hazardous.
- [23.3.15] (xv) When a crane is to be operated at a fixed radius, the boom hoist pawl or other positive locking device shall be engaged.
- [23.3.16] (xvi) Use of winch heads:
  - [(a)] (A) Ropes shall not be handled on a winch head without knowledge of the operator.
  - [(b)] (B) While a winch is being used, the operator shall be within convenient reach of the power unit control lever.

#### [23.4] (4) Holding the load. [-]

- [23.4.1] (i) The operator shall not leave his position at the controls while the load is suspended.
- [23.4.2] (ii) People shall not be permitted to stand or pass under a load.
- [23.4.3] (iii) If the load must remain suspended for any considerable length of time, the operator shall hold the drum from rotating in the lowering direction by activating the positive controllable means at the operator's station.
- [23.4.4] (iv) In all cases, when booms are raised or lowered from the horizontal, load blocks including hooks and weight balls shall be left on the ground or deposited to the ground before raising or lowering booms.

### [23.5] (5) Securing derrick booms. [-]

- [23.5.1] (i) Dogs, pawls, or other positive braking mechanism on the hoist shall be engaged. When not in use, the derrick boom shall:
  - [(a)] (A) Be laid down;
  - [(b)] (B) Be secured to a stationary member, as nearly under the head as possible, by attachment of a sling to the load block; or
  - [(c)] (C) Hoisted to a vertical position and secured to the mast.
- [23.6] (6) Hoisting Personnel. [-] Written notification shall be submitted to the commissioner at least three (3) business days prior to the date the hoisting equipment may be used to move personnel. In addition to the requirements of this section, the applicant shall also comply with all applicable OSHA requirements.
  - [23.6.1] (i) The applicant shall be an engineer or a licensed master rigger. However, where the boom length, including jibs and any other extensions, is greater than 250 ft, the applicant shall be an engineer.
  - [23.6.2] (ii) The notification shall include the following:
    [(a)] (A) A description of work,

- [(b)] (B) The start date and duration of the work.
- [(c)] (C) Manufacturer's information on the personnel platform used to perform the work.
- [(d)] (D) The number of people who will be on the platform,
- [(e)] (E) The actual pick load and the maximum radius of the pick,
- [(f)] (F) The allowable pick load for maximum radius from load chart approved by the commissioner,
- [(g)] (G) Description of how the person/people on the platform and the hoisting machine operator will communicate,
- [(h)] (H) Designation of Site Safety coordinator,
- [(i)] (I) Equipment user's company name, and address, and
- [(j)] (J) The name and title of principal from the equipment user company.
- [23.6.2.1] (iii) Where the applicant is an engineer, the request shall also include a copy of the Certificate of On-Site Inspection.
- [23.6.2.2]  $\underline{\text{(iv)}}$  Where the applicant is a master rigger, the request shall also include:
  - [(a)] (A) The make, model number and Certificate of Operation of the Hoisting Machine.
  - [(b)] (B) A sketch or description of the foundation for the hoisting machine.
- [23.6.3] (v) Exception: If the boom length, including jibs and any other extensions, is less than 100 ft and the lift is supervised by a master rigger, written notification is not required.

### [24.0] (r) Signals. [-]

- [24.1] (1) A signalman shall be provided when the point of operation is not in full and direct view of the operator unless an approved mechanical signaling or control device is provided for safe direction of the operator.
- [24.2] (2) Only persons who are dependable and fully qualified by experience with the operation shall be used as signalmen.
- [24.3] (3) A signalman or other appropriate controls shall be provided when operations or equipment on or adjacent to a highway create a traffic hazard.
- [24.4] (4) Signalmen shall wear high visibility gloves.
- [24.5] (5) A uniform hand signal system shall be used on all operations of a similar nature. The system in use by the U.S. Corps of Engineers (EM 385-1-1) may be used as the model.
- [24.6] (6) Manual hand signals may be used when the distance between the operator and the signalman is not more than 60 feet, but manual hand signals shall not be used when atmospheric conditions prevent clear visibility to the operator.
- [24.7] (7) Mechanical signal systems shall be protected against unauthorized use, breakage, weather or obstruction which will interfere with safe operation. In the event of any malfunction, all motion shall be stopped immediately.

#### [25.0] (s) *Miscellaneous*. [-]

- [25.1] (1) Ballast or counterweight. [-] Cranes shall not be operated without the full amount of any ballast or counterweight in place as specified by the maker, and approved by the department.
- [25.2] (2) Wind speed limitations. [-] No crane or derrick operator shall start an operation when the wind speed exceeds 30 m.p.h., or when the wind is predicted to reach 30 m.p.h. before the operation can be completed. The U.S. weather bureau data from the nearest reporting station may be used for the determination of wind speed.
- [25.3] (3) Operating near electric power lines. [-]

- [25.3.1] (i) No crane or derrick shall be operated in such a location that any part of the machine or of its load shall at any time come within 15 feet of an energized power line.
- [25.3.2] (ii) Before the commencement of operations near electrical lines, the appointed person responsible for the operation shall notify the owners of the lines or their authorized representatives providing them with all pertinent information and requesting their cooperation.
- [25.3.3] (iii) Any overhead wire shall be considered to be an energized line unless and until the person owning such line or the electrical utility authorities certify that it is not an energized line.

### [25.4] (4) Electrical equipment. [-]

[25.4.1] (i) General. [-]

- [(a)] (A) Wiring and equipment shall comply with the electrical code of the City of New York.
- [(b)] (B) The voltage used on control circuits shall not exceed 750 volts.

#### [25.4.2] (ii) **Equipment.** [-]

- [(a)] (A) Electric equipment shall be so located or enclosed that live parts will not be exposed to accidental contact.
- [(b)] (B) All motor, controller and switch frames shall be grounded.
- [(c)] (C) Electric equipment shall be thoroughly protected from dirt, grease and oil, and where exposed to the weather, shall be thoroughly protected therefrom.
- [(d)] (D) Guards for live parts shall be substantial and so located that they cannot be deformed so as to make contact with the live parts.
- [(e)] (E) Name plates shall not be removed.

### [25.4.3] (iii) Controllers. [-]

- [(a)] (A) Each cage operated crane and derrick shall be provided with a device which will disconnect all motors from the line on failure of power and will not permit any motor to be restarted until the controller handle is brought to the "off" position, or a reset switch or button is operated.
- [(b)] (B) Lever operated controllers shall be provided with a notch or latch which in the "off" position prevents the handle from being inadvertently moved to the "on" position.
- [(c)] (C) The controller operating handle shall be located within convenient reach of the operator.
- [(d)] (D) As far as practicable, the movement of each controller handle shall be in the same general directions as the resultant movements of the load.
- [(e)] (E) For floor operated cranes and derricks, the controller or controllers, if rope operated, shall automatically return to the "off" position when released by the operator.
- [25.4.4] (iv)

  Grounding. [-] Each crane, which may be operated in the vicinity of a live power line, shall be effectively grounded as hereinafter provided. The crane shall be provided with a permanent clamp or other means for convenient and effective attachment of a grounding conductor. The cable connecting the clamp to the ground shall be equivalent to a No. 2 AWG or larger single conductor, superflexible, rope stranded copper, composed of not less than 1,600 individual wires, with

600 volt covering for mechanical protection and with terminal parts that insure a good connection with hand type screw clamps. An effective ground shall be one having a resistance of 25 ohms or less, which shall be measured, or a connection to a continuous underground metallic water piping system.

#### [25.5] (5) **Demolition.** [-]

[25.5.1] (i) Crane or derrick operation when used for mechanical demolition shall comply with [section C26-1905.4(d) of the building code]
Section 3306 of the New York City Building Code and, in addition, a crane or derrick operating with a demolition ball shall meet the following requirements:

- [(a)] (A) The weight of the demolition ball shall not exceed fifty percent of the rated capacity of the boom length at its maximum radius.
- [(b)] (B) The swing of the boom shall not exceed thirty degrees from the centerline, front to back of the crane mounting.
- [(c)] (C) The load line and attachment of the demolition ball to the load line shall be checked at least twice daily.
- [(d)] (D) Truck cranes without outriggers extended shall not be used to swing a demolition ball.

#### [26.0] (t) Storage. [-]

[26.1] (1) Necessary clothing and personal belongings shall be stored in or about the crane or derrick in such a manner as to not interfere with access or operation.

[26.2] (2) Tools, oil cans, waste, extra fuses, and other necessary articles shall be stored in a tool box and shall not be permitted to lie loose in or about the cab or cage.

### [27.0] (u) Refueling. [-]

[27.1] (1) Refueling shall comply with [section C26-1909.1(c).] Section 3320.3.2 of the New York City Building Code. For the purposes of satisfying this requirement, the term "material handling equipment" in such section shall be read to mean "crane or derrick."

[27.2] (2) Machines shall not be refueled with the engine running.

### [28.0] (v) Fire Extinguishers. [-]

- [28.1] (1) A carbon dioxide, dry chemical or equivalent fire extinguisher shall be kept in the cab or in the vicinity of the crane or derrick.
- [28.2] (2) Operating and maintenance personnel shall be familiar with the use and care of the fire extinguishers provided.
- [29.0] (w) Filing for Prototype Equipment. [-] Where the equipment is a duplicate of equipment previously filed with design information and approved by the department, the previous approval shall be accepted for the design. Evidence shall be submitted that the welding and other manufacturing processes affecting the structural integrity of the crane were performed in accordance with applicable specifications and that required controls were maintained and tests performed.
- [30.0] (x) Waiver of Modification of Rules and Regulations.

  [-] The commissioner may, at his discretion, modify or waive any of the foregoing requirements where practical difficulties in complying with particular sections exist and the public safety is not endangered thereby.
- (y) Referenced standards. The standards referenced in this section are considered part of the requirements of this section to the prescribed extent of each such reference. Where differences occur between provisions of this section and referenced standards, the provisions of this section shall apply.

Standard Name Year									
Standard	<u>Year</u>								
American Society of Civil Engineers (ASCE)									
ASCE 7	2005								
American Society of Mechanical Engineers (ASME)									
ASME B30.3	Tower Cranes	2004, 2009, & 2012							
ASME B30.5	Mobile Cranes	1968, 1982, 1989, 1994, 2000, 2004, 2007, 2011, & 2014							
ASME B30.6	<u>Derricks</u>	2003 & 2010							
ASME B30.22	Articulating Boom Cranes	2005 & 2010							
ASME B30.29	Self-Erecting Tower Cranes	2012							
	European Standards (EN)								
EN 996	Piling Equipment	2009 & 2014							
EN 13000	Mobile Cranes	2004, 2010, & 2014							
EN 14439	Tower Cranes	2006 & 2009							
Internat	tional Organization for Standardi	ization (ISO)							
<u>ISO 9001</u>		2008							
	SAE International (SAE)								
SAE J765	Crane Load Stability Test Code	1990							
SAE J987	Lattice Boom Cranes-Method of Test	1967 & 2003							
SAE J1063	Cantilevered Boom Crane Structures - Method of Test	<u>1993</u>							

§3. This rule shall take effect on January 1, 2016.

### NEW YORK CITY MAYOR'S OFFICE OF OPERATIONS 253 BROADWAY, 10th FLOOR NEW YORK, NY 10007 212-788-1400

#### CERTIFICATION/ANALYSIS **PURSUANT TO CHARTER SECTION 1043(d)**

**RULE TITLE:** Rules relating to cranes and derricks **REFERENCE NUMBER:** DOB-71 RULEMAKING AGENCY: DOB

I certify that this office has analyzed the proposed rule referenced above as required by Section 1043(d) of the New York City Charter, and that the proposed rule referenced above:

- Is understandable and written in plain language for the discrete regulated community or communities;
- (ii) Minimizes compliance costs for the discrete regulated community or communities consistent with achieving the stated purpose of the rule; and
- Does not provide a cure period because it does not establish a violation, modification of a violation, or modification of the penalties associated with a violation.

/s/ Francisco X. Navarro Mayor's Office of Operations <u>August 13, 2015</u> Date

NEW YORK CITY LAW DEPARTMENT DIVISION OF LEGAL COUNSEL 100 CHURCH STREET NEW YORK, NY 10007 212-356-4028

#### CERTIFICATION PURSUANT TO CHARTER §1043(d)

RULE TITLE: Rules relating to cranes and derricks REFERENCE NUMBER: 2015 RG 083 **RULEMAKING AGENCY:** Department of Buildings

I certify that this office has reviewed the above-referenced proposed rule as required by Section 1043(d) of the New York City Charter, and that the above-referenced proposed rule:

- is drafted so as to accomplish the purpose of the authorizing provisions of law;
- is not in conflict with other applicable rules; (ii)
- (iii) to the extent practicable and appropriate, is narrowly drawn to achieve its stated purpose; and
- to the extent practicable and appropriate, contains a statement of basis and purpose that provides a clear explanation of the rule and the requirements imposed by the rule.

/s/ STEVEN GOULDEN Acting Corporation Counsel Date: August 13, 2015

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## SPECIAL MATERIALS

### MAYOR'S OFFICE OF CONTRACT SERVICES

■ NOTICE

Notice of Intent to Issue New Solicitation(s) Not Included in FY 2016 Annual Contracting Plan and Schedule

NOTICE IS HEREBY GIVEN that the Mayor will be issuing the following solicitation(s) not included in the FY 2016 Annual Contracting Plan and Schedule that is published pursuant to New York City Charter § 312(a):

Agency: Department of Citywide Administrative Services Nature of services sought: Bureau of Quality Assurance Inspection Applications.

Start date of the proposed contract: 7/01/2016

End date of the proposed contract: 6/30/2021

Method of solicitation the agency intends to utilize: Request for Proposal. Personnel in substantially similar titles within agency: Computer Associate (Software), Computer Associate (Tech-Support), Computer Operations Manager, Computer Programmer Analyst, Computer Specialist (Operations), Computer Specialist (Software), Computer Systems Manage

Headcount of personnel in substantially similar titles within agency: 64

• s28

Notice of Intent to Issue New Solicitation(s) Not Included in FY 2016 Annual Contracting Plan and Schedule

NOTICE IS HEREBY GIVEN that the Mayor will be issuing the following solicitation(s) not included in the FY 2016 Annual Contracting Plan and Schedule that is published pursuant to New York City Charter § 312(a):

Agency: Administration for Children's Services Description of services sought: General Construction Services.

Start date of the proposed contract: 5/3/2016

End date of the proposed contract: 5/2/2019

Method of solicitation the agency intends to utilize: Competitive Sealed

Personnel in substantially similar titles within agency: None

Headcount of personnel in substantially similar titles within agency: 0

• s28

Notice of Intent to Issue New Solicitation(s) Not Included in FY 2016 Annual Contracting Plan and Schedule

NOTICE IS HEREBY GIVEN that the Mayor will be issuing the following solicitation(s) not included in the FY 2016 Annual Contracting Plan and Schedule that is published pursuant to New York City Charter § 312(a):

Agency: Department of Design and Construction Description of services sought: Design Services Replacement of HVAC Systems and Roof at Brooklyn Districts 17/18 Garage Start date of the proposed contract: 1/1/2016 End date of the proposed contract: 12/31/2016 Method of solicitation the agency intends to utilize: RFP Personnel in substantially similar titles within agency: None Headcount of personnel in substantially similar titles within agency: 0 Agency: Department of Design and Construction

Description of services sought: Construction Management Replacement

of HVAC Systems and Roof at Brooklyn Districts 17/18 Garage

Start date of the proposed contract: 1/1/2016 End date of the proposed contract: 12/31/2016

Method of solicitation the agency intends to utilize: RFP Personnel in substantially similar titles within agency: None

Headcount of personnel in substantially similar titles within agency: 0

Agency: Department of Design and Construction

Description of services sought: Resident Engineering Inspection Services Replacement of HVAC Systems and Roof at Brooklyn Districts

17/18 Garage

Start date of the proposed contract: 1/1/2016 End date of the proposed contract: 12/31/2016

Method of solicitation the agency intends to utilize: RFP

Personnel in substantially similar titles within agency: None

Headcount of personnel in substantially similar titles within agency: 0

Agency: Department of Design and Construction Description of services sought: Consultant Contract Administration: research, training, data analysis, and expert testimony, including services related to damages for delay claims, Replacement of HVAC

Systems and Roof at Brooklyn Districts 17/18 Garage

Start date of the proposed contract: 1/1/2016 End date of the proposed contract: 12/31/2016

Method of solicitation the agency intends to utilize: RFP

Personnel in substantially similar titles within agency: None

Headcount of personnel in substantially similar titles within agency: 0

Agency: Department of Design and Construction

Description of services sought: Construction Support Services: asbestos, boring, testing, monitoring, sampling, site safety, inspections and environmental, Replacement of HVAC Systems and Roof at Brooklyn Districts 17/18 Garage

Start date of the proposed contract: 1/1/2016

End date of the proposed contract: 12/31/2016

Method of solicitation the agency intends to utilize: RFP Personnel in substantially similar titles within agency: None

Headcount of personnel in substantially similar titles within agency: 0

Agency: Department of Design and Construction Description of services sought: Contract Administration: fiscal audit, reconciliation of accounts, preparation of change orders, analyzing and finalizing financial transactions and contract close out, Replacement of

HVAC Systems and Roof at Brooklyn Districts 17/18 Garage Start date of the proposed contract: 1/1/2016

End date of the proposed contract: 12/31/2016

Method of solicitation the agency intends to utilize: RFP

Personnel in substantially similar titles within agency: Administrative Accountant, Management Auditor, Associate Investigator, Investigator, Accountant, Administrative Construction Program Manager, Administrative Project Manager, Administrative Engineer, Associate Project Manager, Assistant Civil Engineer, Construction Project Manager, Mechanical Engineer, Supervisor Mechanics & Maintenance,

Senior Estimating Mechanic, Senior Estimator-General Construction Headcount of personnel in substantially similar titles within agency: 30

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## MAYOR'S FUND TO ADVANCE NEW YORK CITY

#### ■ NOTICE

The Mayor's Fund is seeking appropriately qualified Community Based Organizations (CBOs) to establish and operate the Connections to Care (C2C) program. The C2C program is funded primarily though a Healthy Futures grant from the Social Innovation Fund of the Corporation for National and Community Service. In C2C, CBOs partner with clinical mental health providers (MHPs) to integrate evidence-based mental health services (treatment, promotion, and/or prevention) into existing programs that serve at-risk populations. The program's goal is to improve mental health and program-related outcomes for low-income (1) expectant mothers and parents of children 0-4; (2) out of school, out of work young adults ages 16-24; and/or (3) unemployed or underemployed low-income working-age adults ages 18 and over receiving workforce development services. In addition, C2C aims to increase access to and utilization of quality mental health care services in order improve mental health outcomes and CBO programmatic outcomes. Expecting C2C to be a five-year program, the Mayor's Fund anticipates awarding grants to approximately twelve CBOs in New York City. Grant sizes are expected to range between \$100,000 and \$250,000 per year, which are required to be matched 1:1 by non-federal funds (for total annual subgrantee budgets of \$200,000 to \$500,000). For more information, please check this link: on.nyc.gov/cborfp.

#### CHANGES IN PERSONNEL

#### DISTRICT ATTORNEY-MANHATTAN FOR PERIOD ENDING 08/28/15

			TITLE				
NAME			NUM	SALARY	ACTION	PROV	EFF DATE
KANTOR	TARA	A	10209	\$1.0000	RESIGNED	YES	08/09/15
KATZ	JENNIFER	R	56057	\$36625.0000	APPOINTED	YES	08/09/15
KIM	JESSICA	S	56057	\$36625.0000	RESIGNED	YES	08/11/15
KIM	PATRICK		10209	\$1.0000	RESIGNED	YES	08/09/15
KLEPACH	ARIELLE		10209	\$1.0000	RESIGNED	YES	08/09/15
KOLB	JOSHUA		10209	\$1.0000	RESIGNED	YES	08/09/15
KRAPIVA	NATALIA		56057	\$43858.0000	RESIGNED	YES	08/11/15
KUSSMAN	BENJAMIN		10209	\$1.0000	RESIGNED	YES	08/09/15
LAKHANI	SHEFALI		10209	\$1.0000	RESIGNED	YES	08/09/15
MANJUNATH	YASHWANT		10209	\$1.0000	RESIGNED	YES	08/09/15
MERCIER-BLANCHA	JAIME		30830	\$47944.0000	RESIGNED	YES	08/08/15
MO	JEREMY		10209	\$1.0000	RESIGNED	YES	08/09/15
MUELLER	DANIEL	R	56057	\$42995.0000	RESIGNED	YES	08/12/15
NAHAS	RICHARD		30114	\$132000.0000	RETIRED	YES	08/18/15
NEE	THOMAS	J	56057	\$47198.0000	RESIGNED	YES	08/20/15
O'HARA	TIMOTHY	-	10209	\$1.0000	RESIGNED	YES	08/09/15
O'NEILL	MARGARET		10209	\$1.0000	RESIGNED	YES	08/09/15
PAK	STEPHANI	н	10209	\$1.0000	RESIGNED	YES	08/09/15
PARKER	CORTANAY	**	10209	\$1.0000	RESIGNED	YES	08/09/15
PARKER	JAYCEE	E	10209	\$1.0000	RESIGNED	YES	08/09/15
PENA-GONZALEZ	ISABELA	-	10209	\$1.0000	RESIGNED	YES	08/09/15
PERLMAN	HANNAH	R	10209	\$1.0000	RESIGNED	YES	08/09/15
PETALLIDES	CONSTANT		10209	\$1.0000	RESIGNED	YES	08/09/15
PICARD	MAI-LEE	U	10209	\$1.0000	RESIGNED	YES	08/09/15
PROSTKO	SARAH	J	10209	\$1.0000	RESIGNED	YES	08/09/15
		-	56057	\$36625.0000		YES	08/16/15
REID REID	JUSTIN PARKER	W	10209		APPOINTED RESIGNED	YES	08/16/15
		А		\$1.0000			
RELLA	NICOLE	W	10209	\$1.0000	RESIGNED	YES	08/09/15
RETTLER	JACK	W	10209	\$1.0000	RESIGNED	YES	08/09/15
RIVERA	RODRIGO	_	10209	\$1.0000	RESIGNED	YES	08/09/15
ROMANOVICH	MELISSA	E	10209	\$1.0000	RESIGNED	YES	08/09/15
ROSENBLUM	DANIEL	R	10209	\$1.0000	RESIGNED	YES	08/09/15
SAHLMAN	OLIVIA	_	10209	\$1.0000	RESIGNED	YES	08/09/15
SALTER	WILLIAM	E	10209	\$1.0000	RESIGNED	YES	08/09/15
SAVARESE	MICHAEL	D	10209	\$1.0000	RESIGNED	YES	08/09/15
SCHLES	ERIC	М	56057	\$45000.0000	RESIGNED	YES	08/16/15
SCHLICH	SAMANTHA		10209	\$1.0000	RESIGNED	YES	08/09/15
SCHWAM	KRISTIN	E	56057	\$44296.0000	APPOINTED	YES	08/09/15
SEEBA	JENNIFER	E	10209	\$1.0000	RESIGNED	YES	08/09/15
SINGH	PRIUM		10209	\$1.0000	RESIGNED	YES	08/09/15
SMITH	JARED	A	10209	\$1.0000	RESIGNED	YES	08/09/15
SMITH	STEPHEN	В	56058	\$70000.0000	APPOINTED	YES	08/16/15
SPAETH	KRISTEN	М	56057	\$40288.0000	APPOINTED	YES	08/09/15
STROLE	SARAH	E	56057	\$51520.0000	RESIGNED	YES	08/21/15
SUADES-VALL	ANNA		10209	\$1.0000	RESIGNED	YES	08/09/15
SULLIVAN	SAMUEL	D	56057	\$36625.0000	APPOINTED	YES	08/16/15
THORPE	MALCOLM	Х	10209	\$1.0000	RESIGNED	YES	08/09/15
TIERNAN	JENA	L	30114	\$73000.0000	APPOINTED	YES	08/09/15
TOWNSEND	MCCAHEY	R	56057	\$39236.0000	RESIGNED	YES	08/13/15
WATTS	NICHOLAS	C	10209	\$1.0000	RESIGNED	YES	08/09/15
WILLIAMS	TRACY	М	10209	\$1.0000	RESIGNED	YES	08/09/15

#### DISTRICT ATTORNEY-MANHATTAN FOR PERIOD ENDING 08/28/15

			TITIE				
NAME			NUM	SALARY	ACTION	PROV	EFF DATE
WINOGRAD	AMY	Т	56057	\$36625.0000	APPOINTED	YES	08/16/15
YELLEN	SAMUEL	L	10209	\$1.0000	RESIGNED	YES	08/09/15
ZABEL	JOSEPH	D	10209	\$1.0000	RESIGNED	YES	08/09/15
ZIMMER	HEATHER		10209	\$1.0000	RESIGNED	YES	08/09/15
ZUBAREV	YEGOR	Α	56057	\$36625.0000	APPOINTED	YES	08/09/15

#### BRONX DISTRICT ATTORNEY FOR PERIOD ENDING 08/28/15

NAME			NUM	SALARY	ACTION	PROV	EFF DATE
BRAVO	LUCIA	N	52406	\$25889.0000	APPOINTED	YES	08/16/15
BURGESS	SHAUN	R	52406	\$25889.0000	APPOINTED	YES	08/16/15
DAWKINS	BRITTANY	C	56056	\$28675.0000	RESIGNED	YES	08/09/15
KRATOFILOW	SABRINA	L	52406	\$25889.0000	APPOINTED	YES	08/16/15
TAMAREZ	ANAI	N	56056	\$28675.0000	APPOINTED	YES	08/16/15

#### DISTRICT ATTORNEY KINGS COUNTY FOR PERIOD ENDING 08/28/15

			TITLE				
NAME			NUM	SALARY	ACTION	PROV	EFF DATE
ACOSTA	TIMOTHY	J	30830	\$54110.0000	RESIGNED	YES	08/14/15
BABB	RAQUEL	E	56057	\$38869.0000	RESIGNED	YES	08/22/15
CABRERA	VICTORIA	Ρ	10212	\$52669.0000	INCREASE	NO	03/01/15
CABRERA	XAVIER		10212	\$52669.0000	INCREASE	NO	03/01/15
CARROLL	KRISTEN	Α	30114	\$60000.0000	APPOINTED	YES	08/09/15
CERRUTI	JORDAN		30114	\$65000.0000	APPOINTED	YES	08/09/15
CIORRA	GINA		10212	\$52669.0000	INCREASE	NO	03/01/15
CROOMS	GABRIELL	L	10212	\$52669.0000	INCREASE	NO	03/01/15
DAVIS	SHAQUANA	R	10212	\$52669.0000	INCREASE	NO	03/01/15
EXANTUS	SYDELLE	Т	30114	\$60000.0000	APPOINTED	YES	08/09/15
FAKURY	CAROLYN	М	30114	\$60000.0000	APPOINTED	YES	08/09/15
FIGLIN	DANIEL	Α	56058	\$48000.0000	APPOINTED	YES	08/16/15
GOLKIN	CHRISTIN	М	30114	\$67531.0000	RESIGNED	YES	08/15/15
HO CHOY	DENISE	L	10212	\$52669.0000	INCREASE	NO	03/01/15
LIBOVE	ADAM	S	30114	\$98000.0000	APPOINTED	YES	08/09/15
T.TIT	SONG	.T	30114	\$60000 0000	<b>APPOTNTED</b>	VRS	08/09/15

LOYD	SHANIELL L		\$40987.0000	RESIGNED	YES	08/16/15	1			ARD OF ELECTION			
LYON MANCINI	ADOLPHUS D STEPHANI	56056 10212	\$32976.0000 \$52669.0000	INCREASE INCREASE	YES NO	05/28/15 03/01/15			FOR PER	RIOD ENDING 09/11	L/15		
MEADE	IMANI A		\$38869.0000	APPOINTED	YES	08/16/15	NAME		NUM	SALARY	ACTION	PROV	EFF DATE
MITCHELL	REBECCA	56057	\$46000.0000	RESIGNED	YES	08/07/15	GRAVES	STEPHANI C	94367	\$11.9000	DECREASE	YES	05/07/12
	,	TSTRICT	ATTORNEY KINGS	COUNTY			GROOMS GUGLIELMI	GABRIELL STEVEN	94367 94232	\$11.9000 \$17.5800	DECREASE INCREASE	YES YES	08/16/12 09/28/14
	•		RIOD ENDING 08/2				JACKSON	CHRISTOP L		\$11.9000	APPOINTED	YES	07/03/11
		TITLE					JACOBS KING	DIANE JOCIDY L	94367 94367	\$11.9000 \$12.2600	DECREASE APPOINTED	YES YES	08/08/12 11/02/14
NAME MULDERRIG	JACK H	NUM 56057	\$35555.0000	ACTION RESIGNED	PROV	08/13/15	LESSINGTON	SHAKIA D	94367	\$12.8700	APPOINTED	YES	08/31/14
PEREZ	JUANITA E	12627	\$88649.0000	RESIGNED	NO	05/07/15	LESSINGTON	SHAWNISE J MICHAEL E	94367	\$12.2600	APPOINTED	YES YES	08/31/14
PEREZ	VERONICA	56057	\$38104.0000	RESIGNED	YES	08/16/15	MADISON MENEGALLI	JANET M	94367 94367	\$12.0100 \$12.8700	APPOINTED APPOINTED	YES	03/11/12 07/06/14
POLYZOS POPE	HELEN M MICHELLE A	30114 30114	\$76735.0000 \$60000.0000	RESIGNED APPOINTED	YES YES	08/08/15 08/09/15	MILES	DENISE	94367	\$12.2600	APPOINTED	YES	10/05/14
RABINOWITZ	ANDREA L	10212	\$52669.0000	INCREASE	NO	03/01/15	MILLIGAN MISTRETTA	NILYSHA FRANK	94367 94367	\$13.0000 \$12.2600	APPOINTED APPOINTED	YES YES	08/23/15 07/20/14
RABINOWITZ SANCLEMENTE	ILANA LISA	10212 10212	\$52669.0000 \$28.8300	INCREASE DECREASE	NO NO	03/01/15 03/01/15	MORROF		94367	\$12.2600	APPOINTED	YES	07/27/14
SIGMAN	GARRETT M		\$52669.0000	INCREASE	NO	03/01/15	NEGRON	HUMBERTO	94367	\$12.2600	APPOINTED	YES	08/31/14
SOHN	DAVID S	30114	\$60000.0000	APPOINTED	YES	08/09/15	NELSON NOWLIN	WAYNE A BISHARA	94367 94367	\$12.2600 \$12.8700	APPOINTED APPOINTED	YES YES	08/24/14 08/10/14
SPITALIERI WEBB	VALERIE R JULIA K	10212 56057	\$52669.0000 \$45000.0000	INCREASE APPOINTED	NO YES	03/01/15 08/09/15	RAMIREZ	NICOLE A	94367	\$12.8700	APPOINTED	YES	09/07/14
WOODIN	EVE C	56057	\$38869.0000	RESIGNED	YES	08/20/15	ROSSI SAMMY	PETER J SADICK M	94367 94232	\$12.2600 \$17.5800	APPOINTED INCREASE	YES YES	07/20/14 10/12/14
							SANCHEZ	LORENA	94367	\$12.2600	APPOINTED	YES	08/10/14
			T ATTORNEY QNS CO RIOD ENDING 08/28				SEMIDAY	JONATHAN	94367	\$12.2600	APPOINTED	YES	08/31/14
		TITLE	KIOD ENDING 00/20	5/15			SLATER TAYLOR	ROBERT N MELISSA	94367 94367	\$11.9000 \$12.2600	APPOINTED APPOINTED	YES YES	06/19/11 08/24/14
NAME		NUM	SALARY	ACTION	PROV	EFF DATE	TORRENCE	LATOYA	94367	\$12.2600	APPOINTED	YES	09/07/14
BOLZ BRUNO	CHRISTOP P J	30114 56057	\$60000.0000 \$33799.0000	APPOINTED RESIGNED	YES YES	08/16/15 08/16/15	WEED	DONALD	94367	\$12.2600	APPOINTED	YES	04/13/14
COOPER	NICHOLAS T	30114	\$60000.0000	APPOINTED	YES	08/16/15			CAMPA	AIGN FINANCE BOAR	RD		
DIAZ	DAMARYS	56057	\$38869.0000	RESIGNED	YES	08/09/15				RIOD ENDING 09/11	L/15		
FELIX KENNER	LUIS D	30114 30080	\$60000.0000 \$41793.0000	APPOINTED RESIGNED	YES NO	08/16/15 08/16/15	NAME		TITLE	SALARY	ACTION	PROV	EFF DATE
MOOKLAL	MARISKA	30080	\$43802.0000	RESIGNED	NO	08/13/15	DE ST. HIPPOLYT	JORDAN N	10234	\$10.3600	RESIGNED	YES	08/22/15
SANCHEZ		30114	\$60000.0000	APPOINTED	YES	08/16/15	EDUA MGGA PERMA	CRISMARI	10209	\$7.2500	RESIGNED	YES	08/15/15
SPURLOCK WEBER	AARON M ALANA B	30114 30114	\$60000.0000 \$60000.0000	APPOINTED APPOINTED	YES YES	08/16/15 08/16/15	MCCARTHY PARDO	JULIA FRANCISC A	10234 0660A	\$10.3600 \$63036.0000	RESIGNED RESIGNED	YES YES	08/15/15 08/19/15
WOOD	YANNICK M		\$60500.0000	APPOINTED	YES	08/16/15	TESAREK	AMANDA L	10234	\$10.3600	RESIGNED	YES	08/08/15
	,	TC#DTC#	ATTODNEY DICUMON	ID COII					OFFI	CE OF THE ACTUARY	7		
			ATTORNEY RICHMON RIOD ENDING 08/28						FOR PE	RIOD ENDING 09/11			
		TITLE					NAME		TITLE	SALARY	ACTION	PROV	EFF DATE
NAME ASSENSO	ANTONIA F	NUM 30114	\$67652.0000	ACTION	PROV	08/16/15	SAMET	MICHAEL	82985	\$204500.0000	INCREASE	YES	08/30/15
CONLON	KATHLEEN T		\$62611.0000	APPOINTED	YES	08/09/15							
MOORE JR	KENNETH N	30114	\$76015.0000	RESIGNED	YES	08/16/15				LOYEES RETIREMENT RIOD ENDING 09/11			
ROSENHAUS	JORDAN B	30114	\$62611.0000	APPOINTED	YES	08/09/15			TITLE		-,		
		OF	FICE OF THE MAYOR	2			NAME	TMDT1 G	NUM	SALARY	ACTION	PROV	EFF DATE
			RIOD ENDING 09/1	L/15			BENITO EVERETT	ITRIA C WAYNE D	30087 40510	\$82552.0000 \$44278.0000	INCREASE RESIGNED	NO NO	08/23/15 08/23/15
NAME		TITLE	SALARY	ACTION	PROV	EFF DATE	LENSHIN	VLADIMIR V	40493	\$52034.0000	INCREASE	NO	08/30/15
BAI	JANE S	0668A	\$115000.0000	APPOINTED	YES	08/30/15	OLAVARRIA RIVERA	MARCUS ILEANA	82986 40493	\$83206.0000 \$61290.0000	INCREASE INCREASE	YES NO	08/30/15 08/30/15
CAMERON	TWANNA T	0668A	\$55815.0000	INCREASE	YES	08/23/15	RONEY	SOLANGE	10251	\$37929.0000	RETIRED	NO	08/29/15
CRAVENS DESROCHERS	CURTIS L ALYSSA J	0527A 0527A	\$125000.0000 \$53318.0000	INCREASE INCREASE	YES YES	08/25/15 08/28/15	ZHANG	MING SON	40493	\$61290.0000	INCREASE	NO	08/23/15
FURMAN	AMY L	0668A	\$67974.0000	RESIGNED	YES	08/23/15		P	RESIDENT	BOROUGH OF MANE	HATTAN		
GRASSI	CARRIE DANIEL J	0527A 06508	\$130000.0000	INCREASE INCREASE	YES YES	08/23/15 08/05/15				RIOD ENDING 09/11	L/15		
GROSS HO	DANIEL J HELEN S	0668A	\$125491.0000 \$85000.0000	INCREASE	YES	09/03/15	NAME		TITLE	SALARY	ACTION	PROV	EFF DATE
KADUSHIN	PETER D	0668A	\$125491.0000	INCREASE	YES	08/05/15	COX	PENELOPE J	13210	\$60500.0000	APPOINTED	YES	08/30/15
LEVITON NOVICK	ALANA J JONATHAN M	0527A 06405	\$80000.0000 \$41000.0000	APPOINTED APPOINTED	YES YES	09/01/15 08/23/15	GONZALEZ RECALDE	LUISA P	1002C 13210	\$31.1100	RESIGNED	YES YES	08/28/15
SIDMAN	MICHAEL J	0668A	\$10000.0000	APPOINTED	YES	08/30/15	RECALDE	VICTORIA L	13210	\$50750.0000	RESIGNED	IES	08/20/15
TURKO	ILANA G	0527A	\$92500.0000	APPOINTED	YES	08/23/15				H PRESIDENT-BRON			
VERAS	MARIANA B	0527A	\$60000.0000	APPOINTED	YES	08/23/15			FOR PER	RIOD ENDING 09/11	L/15		
		ВО	ARD OF ELECTION				NAME		NUM	SALARY	ACTION	PROV	EFF DATE
			RIOD ENDING 09/1	L/15			HERNANDEZ	LYDIA E	56057	\$43000.0000	APPOINTED	YES	08/23/15
NAME		TITLE	SALARY	ACTION	PROV	EFF DATE			BOROUGE	H PRESIDENT-BROOM	KLYN		
ANGEL	GEORGE A	94367	\$12.8700	APPOINTED	YES	07/06/14				RIOD ENDING 09/11	L/15		
APONTE ARATO	CARLOS G EMANUELA D	94367 94369	\$12.4900 \$12.2600	APPOINTED APPOINTED	YES YES	07/25/10 07/20/14	NAME		TITLE	SALARY	ACTION	PROV	EFF DATE
ARROYO	CHRISTOP D	94367	\$12.2600	APPOINTED	YES	08/30/15	KALLO	JESSICA	56057	\$33799.0000	APPOINTED	YES	08/16/15
AUGUSTIN	FRESNEL	94367	\$12.2600	APPOINTED	YES	01/12/14			DODO!!	GH PRESIDENT-QUE	and .		
AUSTIN BATTLE	DEVIN FRANK L	94367 94232	\$12.2600 \$17.2400	APPOINTED INCREASE	YES YES	07/20/14 05/20/12				RIOD ENDING 09/11			
BELENKOVA	YEKATERI	94232	\$17.2400	APPOINTED	YES	02/24/13			TITLE				
BOURNE	DIANA	94367	\$12.2600	APPOINTED	YES	07/20/14	NAME COMRIE JR	LEROY G	NUM 12961	\$ALARY \$135000.0000	ACTION RESIGNED	PROV	01/01/15
BRATHWAITE BRUNSON	LINDLEY BARBARA E	94367	\$12.2600 \$12.8700	APPOINTED APPOINTED	YES YES	06/22/14 10/05/14	LAMURA	JERRY	1002A	\$78627.0000	RETIRED	YES	09/01/15
CASSARINO	MADELINE	94367	\$13.5600	APPOINTED	YES	04/06/14	SKOLNIK	TALYA S	56057	\$42000.0000	APPOINTED	YES	08/26/15
CLAYTON	PRISCILL D	94367	\$11.9000	DECREASE	YES	12/19/12	VALENCIA TURREN	NAYELLI	09273	\$90000.0000	INCREASE	YES	08/23/15
COFIELD CORNEGY-WATTS I	GWENDOLY E ROBERT E	94367 94367	\$12.2600 \$12.2600	APPOINTED APPOINTED	YES YES	07/13/14 08/17/14				OF THE COMPTROLI			
CORREA	FRANCESC	94367	\$12.8700	APPOINTED	YES	08/24/14			FOR PER	RIOD ENDING 09/11	1/15		
CUTRONE	MICHAEL A		\$12.8700	APPOINTED	YES	07/20/14	NAME		NUM	SALARY	ACTION	PROV	EFF DATE
DAVILA DAY	SHEILA LAUREN V	94367 94367	\$11.9000 \$12.2600	DECREASE APPOINTED	YES YES	08/08/12 08/24/14	BASU	AMITABHA	1002E	\$119565.0000	RETIRED	NO	09/01/15
DITTMER	KIMBERLY	94367	\$12.2600	APPOINTED	YES	08/17/14	DORSA DUDA	JOHN F JESSICA	10025 10033	\$110000.0000 \$85000.0000	INCREASE RESIGNED	YES YES	08/23/15 07/01/15
FINNEGAN	RAMONA	94367	\$12.8700	APPOINTED	YES	07/20/14	MALLICK	ROHIT K	10044	\$75000.0000	APPOINTED	YES	08/23/15
FUENTES GATTIS	STEVEN J LISA R	94367 94367	\$13.0000 \$12.0100	APPOINTED APPOINTED	YES YES	08/31/15 01/08/12	NAVARRO PIGLER	JONATHAN CARMEN C	10033 10025	\$70000.0000 \$109804.0000	APPOINTED RESIGNED	YES YES	09/01/15 07/12/15
GELLINEAU	ASHA	94367	\$12.2600	APPOINTED	YES	07/13/14	RUBIN	JONATHAN T		\$134779.0000	RETIRED	YES	07/12/15
GIARAMITA	JOSHUA	94367	\$12.1300	APPOINTED	YES	02/17/13	TERAN	ANDRES A	06710	\$50000.0000	INCREASE	YES	08/23/15
GIBSON GOMEZ	KENNETH B MARCIA	94367 94367	\$11.9000 \$12.2600	APPOINTED APPOINTED	YES YES	06/26/11 08/24/14	VERBA VERBA	MARINA MARINA	10001 40510	\$80000.0000 \$56603.0000	INCREASE APPOINTED	YES NO	09/01/15 09/01/15
									-				

		(	OFFICE O	F EMERGENCY MANA	GEMENT		
				RIOD ENDING 09/1	1/15		
			TITLE				
NAME BRANDT	JAY	P	NUM 06765	\$80713.0000	INCREASE	PROV	08/30/15
LAURENCE	CHRISTIA		06766	\$55825.0000	RESIGNED	YES	08/26/15
OVALLES	JUNIOR	М	06766	\$55000.0000	APPOINTED	YES	08/28/15
		(		F MANAGEMENT & BI			
				RIOD ENDING 09/1:	1/15		
NAME			TITLE	SALARY	ACTION	PROV	EFF DATE
HUSSAIN	FIZA	-	10232	\$17.0000	RESIGNED	YES	08/23/15
JOSEPHS	MIRIAM	E	0608A	\$119571.0000	RETIRED	YES	02/01/15
KING	CAROL	С	0608A	\$115340.0000	RETIRED	YES	04/02/15
LEE	CATHERIN		06088	\$69711.0000	RESIGNED	YES	08/23/15
LEVINE	STEVEN	M	40141	\$187959.0000	DECEASED	YES	08/28/15
MAITLAND MESSICK	CHRISTOP	J	06088 10232	\$61693.0000 \$17.0000	RESIGNED RESIGNED	YES YES	08/30/15 08/23/15
OWENS	CYNTHIA	U	05363	\$80037.0000	RETIRED	YES	08/30/15
OWENS	CYNTHIA		10124	\$45978.0000	RETIRED	NO	08/30/15
RAFFAELE	KRISTYN	Α	06088	\$65393.0000	RESIGNED	YES	08/30/15
SHENDE	PARAG		10232	\$17.0000	RESIGNED	YES	08/23/15
SMEATON	MEGAN	Ε	06088	\$61693.0000	RESIGNED	YES	08/23/15
SMITH	ALEXANDE	M	10232	\$17.0000	RESIGNED	YES	08/23/15
WESTMAN WESTMAN	SHARI	C	06088 12627	\$75985.0000 \$68466.0000	RESIGNED RESIGNED	YES NO	08/23/15 08/23/15
WONG	KEITH	٠	10232	\$17.0000	RESIGNED	YES	08/30/15
YANG	YANG		06088	\$55091.0000	APPOINTED	YES	08/23/15
				LAW DEPARTMENT			
				RIOD ENDING 09/1:	1/15		
NAME			TITLE	SALARY	ACTION	PROV	EFF DATE
CINER	ARIEL	G	1002D	\$86061.0000	RESIGNED	YES	08/27/15
COULTER-GUILLOR		L	1022A	\$58396.0000	INCREASE	YES	08/30/15
GARCIA	AIDA		10251	\$44497.0000	RETIRED	NO	09/01/15
GREEN	NEPHRA	_	10209	\$10.5500	RESIGNED	YES	08/23/15
JOY LEKA	JOCELYN	Ь	30112 60816	\$64877.0000	APPOINTED TERMINATED	YES NO	08/23/15
LUNA	ADELITA	ь	10251	\$45675.0000 \$19.9000	RESIGNED	YES	09/04/15 07/27/14
LUNCHEON	TREVAUGH		06517	\$45760.0000	INCREASE	YES	08/28/15
MAIGNAN	MARGUERI		10251	\$37291.0000	RETIRED	NO	09/02/15
MISRA	MANJIT	С	30112	\$98397.0000	APPOINTED	YES	08/30/15
MOSES	NATASHA		10209	\$10.5500	RESIGNED	YES	08/23/15
				LAW DEPARTMENT			
				RIOD ENDING 09/1:	1/15		
			TITLE		-,		
NAME			NUM	SALARY	ACTION	PROV	EFF DATE
NGUYEN	DON	Н	30112	\$89123.0000	RESIGNED	YES	08/23/15
PISUPATI	LAVANYA		3011B	\$149229.0000	INCREASE	YES	08/23/15
REVILLA ROSINUS JR	STEPHANI DAVID	M A	30112 30112	\$64877.0000 \$89123.0000	APPOINTED RESIGNED	YES YES	08/30/15 09/01/15
ROWSER	JANNINE	L	30112	\$76088.0000	APPOINTED	YES	08/23/15
SCHONFELD	SAMANTHA		30112	\$64877.0000	APPOINTED	YES	08/30/15
SUD	SUMIT		30112	\$98397.0000	RESIGNED	YES	09/04/15
SUTER	MARION	P	30112	\$135493.0000	RETIRED	YES	08/26/15
TAIWO	HAFEEZ	A	30112	\$73556.0000	APPOINTED	YES	08/23/15
			שיים גם שח	ENT OF CITY PLAN	NTMC		
				RIOD ENDING 09/1			
			TITLE				
NAME			NUM	SALARY	ACTION	PROV	EFF DATE
AMIN	ANAND		22122		APPOINTED	YES	08/30/15
JONES	MONIQUE	N			APPOINTED	YES	08/30/15
LIN	YA LI		10209	\$13.0000	RESIGNED	YES	06/30/15
			DEPARTM	ENT OF INVESTIGAT	rion		
				RIOD ENDING 09/1:			
			TITLE		•		
NAME			NUM	SALARY	ACTION	PROV	EFF DATE
FLAHERTY JR	JAMES	J		\$147000.0000	APPOINTED	YES	08/30/15
GORDON HARMON	ORANE SHAREKA	Α	40860 56057		APPOINTED APPOINTED	YES YES	08/30/15 08/23/15
1111111011	DIMINENA		30037	\$13000.0000	TILL OTHIRD	155	30/23/13

## LATE NOTICE

#### ECONOMIC DEVELOPMENT CORPORATION

CONTRACTS

■ SOLICITATION

Goods and Services

**LOWER MANHATTAN COASTAL RESILIENCY, CONSULTANT SERVICES** - Request for Proposals - PIN# 62720001 - Due 11-6-15 at 4:00 P.M.

New York City Economic Development Corporation (NYCEDC) in partnership with the Mayor's Office Recovery and Resiliency (ORR) and in coordination with the New York City Department of Environmental Protection (DEP), New York City Department of City Planning (DCP), New York City Department of Transportation (DOT). and New York City Department of Parks and Recreation (DPR) is seeking a consultant or consultant team for engineering, planning, landscape architecture, urban design, environmental planning, cost estimating, economic analysis, and public engagement services to protect Lower Manhattan from storm surge and sea level rise. This study will advance recommendations from comprehensive plans including A Stronger, More Resilient New York; OneNYC: The Plan for a Strong and Just City; the Rebuild By Design winning proposal, "BIG U"; New York City's National Disaster Resiliency Competition (NDRC) application to the United States Department of Housing and Urban Development (HUD); and the Governor's Office of Storm Recovery (GOSR) NY Rising Community Reconstruction Program, in addition to other community plans.

NYCEDC plans to select a consultant on the basis of factors stated in the RFP which include, but are not limited to: the quality of the proposal, experience of key staff identified in the proposal, experience and quality of any subcontractors proposed, demonstrated successful experience in performing services similar to those encompassed in the RFP, and the proposed fee. This project is made possible with New York City and New York State allocations of Community Development Block Grant-Disaster Recovery (CDBG-DR) funds from the U.S. Department of Housing and Urban Development (HUD). Funding through the State is included by a grant from the Housing Trust Fund Corporation, which is funded through Community Development Block Grants from the U.S. Department of Housing and Urban Development. This project is also included in the City's CDBG-DR Action Plan, approved by HUD in May 2013 and subsequently amended.

It is the policy of NYCEDC to comply with all federal, state and City laws and regulations which prohibit unlawful discrimination because of race, creed, color, national origin, sex, age, disability, marital status and other protected category and to take affirmative action in working with contracting parties to ensure certified Minority and Womenowned Business Enterprises (MWBEs) share in the economic opportunities generated by NYCEDC's projects and initiatives. Please refer to the Equal Employment and Affirmative Compliance for Non-Construction/Construction Contracts Addendum in the RFP.

This project has Minority and Women Owned Business Enterprise ("M/WBE") participation goals, and all respondents will be required to submit an M/WBE Participation Proposal with their response. To learn more about NYCEDC's M/WBE program, visit http://www.nycedc.com/opportunitymwdbe. For the list of companies who have been certified with the Empire State Development's Division of Minority and Women Owned Business Development as M/WBE, please go to ny.newnycontracts.com.

NYCEDC established the Kick Start Loan programs for Minority, Women and Disadvantaged Business Enterprise (M/W/DBE) interested in working on public projects. Kick Start Loans facilitates financing for short-term mobilization needs such as insurance, payroll, supplies and equipment. Bidders, sub-contractors and sub-consultants are strongly encouraged to visit the NYCEDC website at www.nycedc.com/opportunitymwdbe to learn more about the program.

An optional informational session will be held on Tuesday, October 6, 2015 at 12:00 P.M. at NYCEDC. Those who wish to attend should RSVP by email to LMCRRFP@edc.nyc on or before October 5, 2015.

Respondents may submit questions and/or request clarifications from NYCEDC no later than 5:00 P.M. on Wednesday, October 14, 2015. Questions regarding the subject matter of this RFP should be directed to LMCRRFP@edc.nyc. Answers to all questions will be posted by Wednesday, October 28, 2015, to www.nycedc.com/RFP. Please submit five (5) copies and one (1) electronic version on either a USB flash drive or on CD in searchable PDF (and excel where applicable) format of your proposal.

Use the following address unless otherwise specified in notice, to secure, examine or submit bid/proposal documents, vendor prequalification and other forms; specifications/blueprints; other information; and for opening and reading of bids at date and time specified above.

Economic Development Corporation, 110 William Street, 4th Floor, New York, NY 10038. Maryann Catalano (212) 312-3969; Fax: (212) 312-3533; lmcrrfp@edc.nyc