### FY 2024 Agency Quarterly Diversity, Equity, Inclusion and EEO Report

### **Part I: Narrative Summary**

Agency Name:	DEPARTMENT OF SMALL BUSINESS SERVICES					
	er (July -September), due November 17, 2023 er (January -March), due April 30, 2024	<ul> <li>2<sup>nd</sup> Quarter (October – December), due January 30, 2024</li> <li>4<sup>th</sup> Quarter (April -June), due July 30, 2024</li> </ul>				
Prepared by:						
Angelita McDonald	EEO Director	amcdonald@sbs.nyc.gov	212-618-8782			
Name	Title	E-mail Address	Telephone No.			
Date Submitted:	February 22, 2024					
FOR DCAS USE ONLY	Y: Date Received:					

### Instructions for Filling out Quarterly Reports FY 2024

[NOTE: These forms are cumulative and designed to retain and preserve information for the entire FY 2024. For Q1 please copy the goals, programs, and initiatives from your draft of the FY 2024 DEI-EEO plan. Insert these statements in the corresponding sections of the Quarterly Report below, particularly sections IV, V, and VI. For Q2, Q3 and Q4, use previous quarter's submission to update their status, retaining all information for the prior quarters. You should also add programs and initiatives begun in these quarters even if they were not mentioned in the Annual Plan]

- 1. Please save this file as "XXXX Quarter X FY 2024 DEI-EEO Quarterly Report.Part I", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Word format. Please do not convert it to PDF.
- 2. Complete the "Diversity, Equity, Inclusion and EEO Training Summary" details in Part II Training Summary [see the attached Excel file].
  - <u>Core EEO Training:</u> Copy the information from the Training Completion Report you receive quarterly from DCAS Learning & Development onto grey-shaded cells in rows 26, 30, 34, and 38. Include any of these trainings that were administrated by your agency in the rows immediately below (27, 35, 39).
  - Other Diversity, Equity, Inclusion and EEO Related Training: Beginning with row 45, include training classes co-organized or cosponsored by your agency EEO and/or HR that are related to the development of the agency staff in the areas of equal employment, diversity, inclusion, civil rights, workplace culture and behavior, interpersonal relations, and community relations.
- 3. Mark progress in check boxes in the column for the current quarter. [Note: **Delayed** = behind schedule; **Deferred** = put off until later when better resources become available.]
- 4. Please save the Excel file as "XXXX Quarter X FY 2024 DEI-EEO Report. Part II Training Summary", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Excel format. Please do not convert it to PDF.

### I. Commitment and Accountability Statement by the Agency Head

Distributed to all agency employees?	⊠Yes, On (Date): □ No
	☐ By e-mail
	☑ Posted on agency intranet and/or website.
	☐ Other
II. Recognition and Accomp	lishments
The agency recognized employees, s inclusion, and equal employment opp	supervisors, managers, and units demonstrating superior accomplishment in diversity, equitorstunity through the following:
☐ Diversity, equity, inclusion and EEO	Awards
☑ Diversity, equity, inclusion and EEO	Appreciation Events
☐ Public Notices	
$\square$ Positive Comments in Performance	Appraisals
☑ Other (please specify):12/1	5/2023

\* Please describe DEI&EEO Awards and/or Appreciation Events below:

• Agency holiday celebration where each division head nominated employees who exhibited

### **III. Workforce Review and Analysis**

I.	Agency Headcount as of the	last day of the quarter was:		
	Q1 (9/30/2023):296_	Q2 (12/31/2023):322_	Q3 (3/31/2024):	Q4 (6/30/2024):
II.	Agency reminded employee	s to update self-ID information r	egarding race/ethnicity, gend	er, and veteran status.
	⊠ Yes On (Date):	Yes again	on (Date):1/2/2024	
	☐ NYCAPS Employee Self	Service (by email; strongly recom	mended every year)/	
	☑ Agency's intranet site			
	$\square$ On-boarding of new em	ployees		
	Newsletters and internal     Newslet	al Agency Publications		
III.	0 ,	workforce composition by job	<del>-</del>	DCAS to the EEO Officer with demograph y and gender; new hires, promotions an
	☐ Yes - on (Dates): Q1 Rev	iew Date: Q2 Review Date	:12/18/2024Q3 Review d	ate: Q4 Review date:
		l with:		
	The review was conducted			
	☐ Agency Head		☐ Agency Head	☐ Agency Head
		<ul><li>☑ Agency Head</li><li>☐ Human Resources</li></ul>	<ul><li>☐ Agency Head</li><li>☐ Human Resources</li></ul>	<ul><li>☐ Agency Head</li><li>☐ Human Resources</li></ul>
	☐ Agency Head	☑ Agency Head	- ·	<u> </u>
	☐ Agency Head ☐ Human Resources	□ Agency Head     □ Human Resources	☐ Human Resources	☐ Human Resources

### IV. EEO, Diversity, Inclusion and Equity Initiatives for FY 2024

Please describe your progress this quarter in implementing the primary goals in Section IV of your Agency Diversity, Equity, Inclusion and EEO Plan for FY 2024.

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<b>—</b> -	vv				

Please list the **Workforce Goal(s)** included in *Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2023,* which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., underutilization, workforce planning, succession planning and diverse applicant pool, among others).

#### 1. Structured Interview questions database

Description:	<b>Description:</b> HR and EEO collaborated to formulate potential hiring questions, hiring managers should use in the hiring process.										
Workforce G	oal #1 Updates:	<u>!</u>									
Q1 Update:	☑ Planned	Not started	□ Ongoing	$\square$ Delayed	□ Deferred						
Q2 Update:	$\square$ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed					
Q3 Update:	□ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed					
Q4 Update:	□ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed					
2. Work study programs for Veteran students Description: SBS is collaborating with the Department of Veterans Service to create a talent pipeline of veteran students and their dependents.											
Workforce Goal #2 Updates:											
Q1 Update:	$\square$ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed					
Q2 Update:	oxtimes Planned	☑ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed					
Q3 Update:	$\square$ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed					

	Q4 Update:	$\square$ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed
3.	Expansion of r	esources to pr	omote diverse hiring u	pon the end o	of hiring freeze		
	enhance the ag 2023, the spec	gency's capacit ialist worked w	y to meet diversity, eq	uity and inclus teams have va	ion recruiting g cancies to stra	oals. During the tegize on recrui	processes and recruitment, and e hiring freeze that began in October tment for diversity strategies to ntial recruits to SBS.
	Workforce Go Q1 Update: Q2 Update: Q3 Update: Q4 Update:	oal #3 Updates  ☑ Planned ☐ Planned ☐ Planned ☐ Planned ☐ Planned	E Not started  Not started  Not started  Not started  Not started	<ul><li>☑ Ongoing</li><li>☑ Ongoing</li><li>☐ Ongoing</li><li>☐ Ongoing</li></ul>	<ul><li>□ Delayed</li><li>□ Delayed</li><li>□ Delayed</li><li>□ Delayed</li></ul>	<ul><li>□ Deferred</li><li>□ Deferred</li><li>□ Deferred</li><li>□ Deferred</li></ul>	<ul> <li>□ Completed</li> <li>□ Completed</li> <li>□ Completed</li> <li>□ Completed</li> </ul>
4.	Senior Stakeh	older Investme	ent in DEI				
	enhance profe ongoing enga • Planne focuse • Listeni	essional develogement ensure ed training and es on profession helding sessions helding sess	pment. An agency's Dethat there is awareness support focused on impal development for ne	El initiatives m ss among senio proving mana wer, less expe r throughout t	ust have leade or stakeholders gement culture rienced manag he agency give	rship buy-in to that DEI must e, and thus, age gers. managers and	to improve agency culture and succeed, so these meetings and be a priority. Other activities include: ncy culture over the next two quarter other staff an opportunity to develop
	Workforce Go Q1 Update: Q2 Update:	oal #4 Updates ☐ Planned ☐ Planned	: ☐ Not started ☐ Not started	□ Ongoing     □ Ongoing     □ Ongoing     □ Ongoing	☐ Delayed ☐ Delayed	<ul><li>□ Deferred</li><li>□ Deferred</li></ul>	<ul><li>□ Completed</li><li>□ Completed</li></ul>

	Q3 Update: Q4 Update:	<ul><li>☐ Planned</li><li>☐ Planned</li></ul>	<ul><li>☐ Not started</li><li>☐ Not started</li></ul>	<ul><li>☐ Ongoing</li><li>☐ Ongoing</li></ul>	<ul><li>□ Delayed</li><li>□ Delayed</li></ul>	<ul><li>□ Deferred</li><li>□ Deferred</li></ul>	<ul><li>☐ Completed</li><li>☐ Completed</li></ul>						
5.	Improve inter	nal communica	ations and build a m	ore cohesive con	nmunity:								
	Description:												
	<ul> <li>SBS continues to maintain weekly surveys and emails from the Commissioner providing an opportunity for feedback to agency leadership and highlighting agency accomplishments.</li> <li>SBS introduced a weekly email from the Communications team focused on press mentions and highlighting agency accomplishments.</li> <li>SBS HR continue to send regular all-staff notifications.</li> </ul>												
	Workforce Go	oal #4 Updates	<u>.</u>										
	Q1 Update:	$\square$ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed						
	Q2 Update:	☐ Planned	☐ Not started	⊠ Ongoing	☐ Delayed	☐ Deferred	☐ Completed						
	Q3 Update:	☐ Planned	☐ Not started	☐ Ongoing	☐ Delayed	☐ Deferred	☐ Completed						
	Q4 Update:	☐ Planned	☐ Not started	☐ Ongoing	☐ Delayed	☐ Deferred	☐ Completed						
6.	Efforts to redu	uce Workforce	underutilization: N/	A - no document	ted underutiliz	ation							
В.	Workplace:												
	set/declared	in your FY 202	• •	•	• •		nitiatives for FY 2024, which and a surveys, exit	ch you					
1.	Performance	Evaluation											

**Description:** SBS is restructuring its employee performance evaluation process to ensure the evaluations are conducted objectively and free of identity bias. Steps taken to accomplish this goal include creating a Tasks and Standards library that provides Managers with language they can use to assess the performance of their reports in a structured way and provide guidance that ensures workplace success. As such, restructuring the performance evaluation process contributes to the agency's employee retention.

workplace success. As such, restructuring the performance evaluation process contributes to the agency's employee retention.								
Workplace G	oal #1 Updates:							
Q1 Update:	<b>⊠</b> Planned	☑ Not started	☐ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed		
Q2 Update:	$\square$ Planned	☑ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed		
Q3 Update:	$\square$ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed		
Q4 Update:	□ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed		
SBS Inclusion	Diversity Equity	Access (IDEA) Council	1					
	, , ,	, ,						
=			· · · · · · · · · · · · · · · · · · ·			ew members application on December		
	•		• .	• •		reate an inclusive environment that		
			_			Department of Small Business		
	• • •	, ,				the Council strive to build a gand perform their work with pride,		
•		• • • • • • • • • • • • • • • • • • • •	•	•		reating an open forum where		
•		n how to work together	•	pp =	, , , , , , , , , , , , , , , , , , , ,			
J		· ·						
5 new membe	rs joined after th	he December invitatior	٦.					
	oal #2 Updates:   Planned	☐ Not started		□ Deleved	☐ Deferred	Completed		
Q1 Update: Q2 Update:	□ Planned  ☑ Planned	□ Not started	<ul><li>☐ Ongoing</li><li>☑ Ongoing</li></ul>	<ul><li>□ Delayed</li><li>□ Delayed</li></ul>	☐ Deferred	<ul><li>☐ Completed</li><li>☐ Completed</li></ul>		
Q2 Opdate: Q3 Update:	☐ Planned	☐ Not started	□ Ongoing	☐ Delayed	☐ Deferred	☐ Completed		
Q4 Update:	☐ Planned	☐ Not started	□ Ongoing	☐ Delayed	☐ Deferred	☐ Completed		
Q+ Opuate.		_ 1101 31411114	_ Ongoing	_ Delayea	_ Deletted	_ completed		

2.

5. Other Workplace Activi	ities:
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In Q1, SBS launched Kids Day (August 30, 2023) and a Summer Gathering (August 11, 2023). Positive feedback from these events has included requests from employees on when in 2024, SBS will host them again.

#### C. Community:

Please list the Community Goal(s) included in Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2024, which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., community outreach and engagement, M/WBE participation and customer satisfaction surveys).

#### 1. Veteran's Business Services

**Description:** The agency's Division of Business Services spearheaded the Boss-Up Program for Veterans launch in collaboration with the Department of Veterans Services in 2023, which will provide veterans entrepreneurial training and business start-up grant opportunities. HR's Work Student Veterans Internship program will also help provide the additional staffing needed for this program's ongoing operational needs. Three interns are projected to join this initiative in Q3 2024.

#### **Community Goal #1 Updates:**

Q1 Update:	☑ Planned	□ Not started	☑ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q2 Update:	$\square$ Planned	□ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed
Q3 Update:	□ Planned	□ Not started	□ Ongoing	$\square$ Delayed	□ Deferred	□ Completed
Q4 Update:	□ Planned	□ Not started	□ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed

### 2. 15th Annual Procurement Fair at Barclays Center

Description: SBS' 15<sup>th</sup> annual procurement fair aims to provide Minority or Women-owned (MWBE) small business owners with the

information they need to pursue procurement contracts with the City. Hosted on Monday, October 2, 2023, the fair set records with over 1,200 attendees.

Community ( Q1 Update: Q2 Update: Q3 Update: Q4 Update:	Goal #2 Update  Planned Planned Planned Planned Planned	s:  Not started Not started Not started Not started Not started	<ul><li>☐ Ongoing</li><li>☐ Ongoing</li><li>☐ Ongoing</li><li>☐ Ongoing</li></ul>	<ul><li>□ Delayed</li><li>□ Delayed</li><li>□ Delayed</li><li>□ Delayed</li></ul>	<ul><li>□ Deferred</li><li>□ Deferred</li><li>□ Deferred</li><li>□ Deferred</li></ul>	<ul><li>☑ Completed</li><li>☐ Completed</li><li>☐ Completed</li><li>☐ Completed</li></ul>				
Direct outrea	ch to Black-ow	ned businesses to brid	lge gaps in fun	ding during Na	ational Black Bu	usiness Month				
<ul> <li>Direct outreach to Black-owned businesses to bridge gaps in funding during National Black Business Month</li> <li>Description:         <ul> <li>The "The Melanin Summit" Event was hosted in early August 2023, a first-of-its-kind event in partnership with SBS' BE NYC initiative, The Black Institute, and City Council Speaker Adrienne Adams to convene and provide targeted services to M/WBEs that face challenges in City contracting. The event was attended both in person and virtually by more than 200 people, and featured speakers such as Council Member Mercedes Narcisse and experts from 12 Community Development Financial Institutions (CDFIs). The summit was conceived as an innovative way to connect Black small business owners, including certified M/WBEs, with affordable financing via SBS' CDFI partners.</li> <li>On August 23rd and 24th, 2023' SBS hosted outreach and events led by SBS's Black Entrepreneurs NYC (BE NYC) initiative to spotlight and serve Black-owned businesses.</li> <li>In August 2023, an announcement of a significant expansion of the Contract Financing Loan Fund program that will enable the City to provide an additional \$50 million in low-interest funding to Minority and Women-owned Business Enterprises (M/WBEs) providing City goods and services. Local lending partners will leverage the new multi-million City investment into the fund to double the program's impact, helping 350 M/WBE contractors fulfill \$600 million in City contracts by FY 2026.</li> </ul> </li> </ul>										
Community ( Q1 Update: Q2 Update:	Goal #3 Update  ☑ Planned ☐ Planned	s: ☐ Not started ☐ Not started	☑ Ongoing ☐ Ongoing	<ul><li>□ Delayed</li><li>□ Delayed</li></ul>	<ul><li>□ Deferred</li><li>□ Deferred</li></ul>	<ul><li>□ Completed</li><li>□ Completed</li></ul>				

3.

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	Q3 Update: Q4 Update:	☐ Planned ☐ Planned	<ul><li>☐ Not started</li><li>☐ Not started</li></ul>	☐ Ongoing ☐ Ongoing	<ul><li>□ Delayed</li><li>□ Delayed</li></ul>	☐ Deferred☐ Deferred	<ul><li>☐ Completed</li><li>☐ Completed</li></ul>							
1.	Event at Broo	oklyn Commor	ns SBS											
	<ul> <li>Description: SBS hosted a two-day experience at the Brooklyn Commons during Q1:</li> <li>Day 1 focused on vendor services, including MWBE certification, capital access, local CBOs and service providers, and corporate partners.</li> <li>Day 2 was a hybrid marketplace for featuring/highlighting 10-20 Shop Black NYC retail vendors (2-4 per borough). The event was livestreamed and incorporated digital purchase capabilities.</li> </ul>													
	Community 6	ioal #4 Update	s:											
	Q1 Update:		 ☐ Not started	☐ Ongoing	$\square$ Delayed	□ Deferred	□ Completed							
	Q2 Update:	$\square$ Planned	☐ Not started	☐ Ongoing	☐ Delayed	$\square$ Deferred	☐ Completed							
	Q3 Update:	□ Planned	☐ Not started	☐ Ongoing	☐ Delayed	□ Deferred	☐ Completed							
	Q4 Update:	☐ Planned	☐ Not started	☐ Ongoing	$\square$ Delayed	☐ Deferred	☐ Completed							
5.	Other Commu	nity programs	and activities:											
	SBS Commissi leader.	ioner and leade	ership team collabora	ted and coordin	ated "Corridor	Walks" with Ci	ty Council Members and business							

#### D. Equity, Inclusion and Race Relations Initiatives:

Please list the Equity, Inclusion and Race Relations initiatives included in Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2024, which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan.

Please describe the steps that your agency has taken to establish your agency as a leader in creating equitable and inclusive workplace environment and enhancing cultural competency. Please specify Equity and Race Relations initiatives embarked on, or continued from previous year(s), e.g., meetings, educational and cultural programs, presentations, discussions, books/articles, other suggested readings, etc., and describe the activities, including the dates when the activities occurred. How do you evaluate the impact of these initiatives?

#### 1. SBS' IDEA Council Book club reading

**Description:** SBS' ERG, the IDEA Council hosts a series of book clubs where colleagues read and discussed works that analyzed issues related to Diversity, Equity and Inclusion, and also provided best practices.

#### **Equity, Inclusion and Race Relations Initiative #1 Updates:**

Q1 Update:	☑ Planned	□ Not started	☑ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q2 Update:	$\square$ Planned	□ Not started	$\square$ Ongoing	$\square$ Delayed	□ Deferred	□ Completed
Q3 Update:	$\square$ Planned	□ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed
Q4 Update:	$\square$ Planned	☐ Not started	□ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed

#### 2. Hispanic Heritage Month (Sept 15-Oct 15) Event

**Description:** On October 11, 2023, SBS hosted a Fireside Chat in honor of Hispanic Heritage Month (Sept 15-Oct 15). During the event colleagues shared insights on initiatives our agency is leading to support Hispanic business owners, and also provided personal insights on their unique Hispanic heritages inform how and why they do the work they do.

<b>Equity, Inclus</b>	ion and Race R	elations Initiative #2 L	<u>Jpdates:</u>			
Q1 Update:	☑ Planned	☐ Not started	□ Ongoing	$\square$ Delayed	$\square$ Deferred	
Q2 Update:	□ Planned	☐ Not started	□ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q3 Update:	□ Planned	☐ Not started	□ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q4 Update:	$\square$ Planned	☐ Not started	☐ Ongoing	☐ Delayed	□ Deferred	☐ Completed
-				-		
<b>Veterans Day</b>	Event					
•		•				terans Day (observed November 11th
Ū	,	•			•	ork and lives. Employees also had the
opportunity to	ask questions	and learn more about	their colleague	's professional	journeys.	
Facilities Incolored	ion and Dass B	alariana kairiarina #2 t	La daka a			
		elations Initiative #3 L				
Q1 Update:		☐ Not started	☐ Ongoing	□ Delayed	□ Deferred	□ Completed
Q2 Update:	□ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q3 Update:	$\square$ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed
Q4 Update:	□ Planned	☐ Not started	□ Ongoing	□ Delayed	□ Deferred	☐ Completed

3.

#### V. Recruitment

#### A. Recruitment Efforts

Please list **Recruitment Initiatives and Strategies** which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., targeted outreach and outreach, diversity recruitment, social media presence, where jobs are posted, EEO and APO collaboration, evaluation of best recruitment sources, structured interview training and unconscious bias training).

#### 1. Database for Legal roles with DEI Recruitment Leads

A database was created to support recruitment for legal roles at SBS. The database includes culturally oriented organizations like the Asian American Legal Defense and Education Fund and the LGBT Bar of New York to support the acquisition of candidates in the legal field from diverse backgrounds. 50% of the entries in the database support recruiting equity on the basis of gender, race/ethnicity or sexuality. The agency's recruitment team contacted representatives listed in the database for the advertising of its Agency Attorney role in 2023.

Presently, SBS is evaluating the effectiveness of its DEI Recruitment Database strategy against its goal of having a minimum of 15 external recruitment partners specializing in the following areas: Race/Ethnicity, Gender and Sexuality by the end of FY 2024. To date, SBS has also succeeded in adding Military Status to the EEO categories to the scope of its Recruitment efforts by way of the creation of an SBS Work Study internship created in collaboration with the NYC Department of Veteran's Services.

Recruitment	Initiatives/Stra	tegies #1 Updates:				
Q1 Update:	☑ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed
Q2 Update:	□ Planned	☐ Not started	□ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q3 Update:	$\square$ Planned	☐ Not started	☐ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed
Q4 Update:	$\square$ Planned	$\square$ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed

#### 2. Hiring Hall August 28, 2023

Description: SBS attended the DCAS-sponsored Hiring Hall on August 28, 2023 to hire for 8 entry-level roles including: Program Manager, Regulatory Reform, Contract Manager, Program Manager Capital Access, Program Manager M/WBE, Compliance Advisor and Program Manager. New Yorkers from diverse backgrounds attended to Hiring Hall, and SBS' HR Team reviewed more than 300 resumes.

Recruitment	Initiatives/Stra	tegies #2 Updates:				
Q1 Update:	$\square$ Planned	☐ Not started	□ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q2 Update:	$\square$ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q3 Update:	$\square$ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q4 Update:	$\square$ Planned	☐ Not started	□ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed

#### **B.** Recruitment Efforts for Civil Service Exams

List all recruitment events that were held by the agency to promote open-competitive civil service examinations.

Quarter #	Event Date	Event Name	Borough
N/A			

List actual expenditures related to recruiting candidates for open-competitive and promotion civil service exams.

Borough	Approximate Dollar Amount (\$) in Q1	Approximate Dollar Amount (\$) in Q2	Approximate Dollar Amount (\$) in Q3	Approximate Dollar Amount (\$) in Q4
Bronx				
Brooklyn				
Manhattan				
Queens				
Staten Island				

#### **C.** Recruitment Sources

List recruitment sources used for filling vacancies in the current Quarter (include Q#)

- 1.
- 2.
- 3.
- 4.
- 5.

### D. Internships/Fellowships

The	e agency is providing the following internship opportunities in FY 2024. [Note: Please update this information every quarter.]
Ra	ce/Ethnicity* [#s] * Use self-ID data obtained from NYCAPS; Gender* [#s] [N-B=Non-Binary; O=Other; U=Unknown] * Use self-ID data
1.	Urban Fellows Total:
	Race/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races
	Gender* [#s]: M F N-B O U
2.	Public Service Corps Total:
	Race/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races
	Gender* [#s]: M F N-B O U
3.	Summer College Interns Total:
	Race/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races
	Gender* [#s]: M F N-B O U
4.	Summer Graduate Interns Total:
	Race/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races
	Gender* [#s]: M F N-B O U

5.	Other (specify) Total:
	Race/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races
	Gender* [#s]: M F N-B O U
Ad	ditional comments:

### E. 55-A Program

The agency uses the 55-a Program to hire and retain qualified individuals with disabilities. $\hfill\Box$ Yes	□ No
Currently, the agency employs the following number of 55-a participants:	
Q1 (9/30/2023): Q2 (12/31/2023): Q3 (3/31/2024): Q4 (6/30/202	24):
During the 1st Quarter, a total of _0 new applications for the program were received.  During the 1st Quarter _0_ participants left the program due to	
During the 2nd Quarter, a total of _0_ new applications for the program were received.  During the 2nd Quarter participants left the program due to	
During the 3rd Quarter, a total of [number] new applications for the program were received.  During the 3rd Quarter participants left the program due to [state reasons]	
During the 4th Quarter, a total of [number] new applications for the program were received.  During the 4th Quarter participants left the program due to [state reasons]	
The 55-a Coordinator has achieved the following goals:	
<ul> <li>Disseminated 55-a information –</li> <li>by e-mail:</li></ul>	
2	

### VI. Selection (Hiring and Promotion)

Please review Section VI of your FY 2024 Diversity, Equity, Inclusion and EEO Plan and describe your activities for this quarter below:

Please list additional **Selection Strategies and Initiatives** which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., use of structured interview, EEO or APO representatives observing interviews, review of placements, review of e-hire applicant data). Please describe the steps that your agency has taken to meet these objectives.

- **1.** Career Counseling: Advising employees of opportunities for promotion and career development; Notification of promotion/transfer opportunities.
- 2. Reviewing the methods by which candidates are selected for appointment, promotion, or to fill vacancies (new hires), especially for mid- and high-level discretionary positions.
- **3.** Describe the role of agency EEO Officer and other EEO staff in the selection of candidates for appointment or promotion (pre- and post-appointment).
- **4.** Analyzing the impact of layoffs or terminations on racial, gender and age groups.
- **5.** Other:

During this Quarter the Agency activities included:	# of Vacancies	# of New Hires	# of New Promotions
	Q1 #	#	#
	Q2 #	#	#
	Q3 #	#	#
	Q4 #	#	#
Training			
Please provide your training information in Part	II of the report "DI	EI-EEO Training Sum	mary" (in MS Excel).
Reasonable Accommodation			
Please report all reasonable accommodation reques Accommodation Tracking System by logging into you	-	•	•
The agency did input full Reasonable Accommodation Database:	on activity on the DC	AS Citywide Complair	it and Reasonable Accommodation (CAD
Q1: ⊠ Yes □ No	o Q3: 🗆 Y	'es □ No Q4	1: □ Yes □ No

VII.

VIII.

IX.	Compliance and Implementation of Requirements Under Executive Orders and Loca
	aws

A. Local Law 92: Annual Sexual Harassment Prevention 1	training
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Please provide Sexual Harassment Prevention Training Information in Part II of the report "DEI-EEO Training Summary" (in MS Excel).

В.	Local Law 97:	<b>Annual Sexual</b>	Harassment	Reporting
----	---------------	----------------------	------------	-----------

<b>o</b> ,	sexual harassment Complaint Data in the DCAS Citywide Complaint Tracking System and updates the					
information as they occur.	Q1 [	⊠ Q2		Q3 🗆	Q4 🗆	
∑ The agency has entered all to occur.	ypes of (	c <b>omplaints</b> in	the DCAS Citywid	e Complaint Tracking S	System and updates the information as they	
oxtimes The agency ensures that com	plaints	are closed wit	thin 90 days.			
Report all complaints and their into your CICS Account at: http			•	•	commodation Tracking System by logging	

### C. Executive Order 16: Training on Transgender Diversity and Inclusion

Please provide E.O. 16 Training Information in Part II of the report "DEI-EEO Training Summary" (in MS Excel).

### I. Audits and Corrective Measures

Please choose the statement that applies to your agency.
☐ The agency is <u>NOT</u> involved in an audit conducted by NYC Equal Employment Practice Commission (EEPC) or another governmental agency specific to our EEO practices.
☐ The agency is involved in an audit; please specify who is conducting the audit:EEPC
☑ Attach the audit recommendations by EEPC or the other auditing agency.
☐ The agency has submitted or will submit to DCAS Citywide Equity and Inclusion an amendment letter, which shall amend the agency plan for FY 2023.
$\Box$ The agency received a Certificate of Compliance from the auditing agency in 2022 or 2023.
Please attach a copy of the Certificate of Compliance from the auditing agency.

### **Appendix A: EEO Personnel Details**

EEO Personnel For \_2ndx\_\_ Quarter, FY 2024

### **Personnel Changes**

Personnel Changes this Quarter	∵□ No Changes	Number of Additions:	Number of Deletions:	
Employee's Name & Title	1.	2.	3.	
Nature of change	☐ Addition ☐ Deletion	☐ Addition ☐ Deletion	☐ Addition ☐ Deletion	
Date of Change in EEO Role	Start Date or Termination Date:	Start Date or Termination Date:	Start Date or Termination Date:	
Employee's Name & Title				
Nature of change	☐ Addition ☐ Deletion	☐ Addition ☐ Deletion	☐ Addition ☐ Deletion	
Date of Change in EEO Role	Start Date or Termination Date:	Start Date or Termination Date:	Start Date or Termination Date:	
For New EEO Professionals:				
Name & Title	4.	5.	6.	
EEO Function	☐ EEO Officer ☐ EEO Counselor ☐ EEO Trainer ☐ EEO Investigator ☐ 55-a Coordinator ☐ Other: (specify)	☐ EEO Officer ☐ EEO Counselor ☐ EEO Trainer ☐ EEO Investigator ☐ 55-a Coordinator ☐ Other: (specify)	☐ EEO Officer ☐ EEO Counselor ☐ EEO Trainer ☐ EEO Investigator ☐ 55-a Coordinator ☐ Other: (specify)	
Percent of Time Devoted to EEO	☐ 100% ☐ Other: (specify %):	☐ 100% ☐ Other: (specify %):	☐ 100% ☐ Other: (specify %):	
Name & Title				
EEO Function	□ X EEO Officer       □ EEO Counselor         □ EEO Trainer       □ EEO Investigator         □ 55-a Coordinator       □ Other: (specify)	☐ EEO Officer ☐ EEO Counselor ☐ EEO Trainer ☐ EEO Investigator ☐ 55-a Coordinator ☐ Other: (specify)	☐ EEO Officer ☐ EEO Counselor ☐ EEO Trainer ☐ EEO Investigator ☐ 55-a Coordinator ☐ Other: (specify)	

Percent of Time Devoted to EEO ☐ 100% ☐ Other: (specify %):		□100% □ Ot	her: (specify %):	☐ 100% ☐ Other: (specify %):					
EEO Training Completed within the Last two years, including the current quarter (EEO and D&I Officers, Deputies, and all new EEO Professionals):									
Name & EEO Role	1. Angelita McDonald – EEO Director		2. Michelle Barnes-Anderson _ODS Director		3.				
Completed EEO Trainings:									
1. Everybody Matters-EEO and D&I		□ No		□ No	☐ Yes	□ No			
2. Sexual Harassment Prevention	✓ Yes	□ No	✓ Yes	□ No	☐ Yes	□ No			
3. IgbTq: The Power of Inclusion	☐ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No			
4. Disability Awareness & Etiquette		<u>□ No</u>	☐ Yes	□ No	☐ Yes	□ No			
5. Unconscious Bias		<u>□ No</u>	☐ Yes	□ No	☐ Yes	□ No			
6. Microaggressions		<u>□ No</u>	☐ Yes	□ No	☐ Yes	□ No			
7. EEO Officer Essentials: Complaint/Investigative Processes	<u>⊠ Yes</u>	□ No	☐ Yes	□ No	☐ Yes	□ No			
8. EEO Officer Essentials: Reasonable Accommodation		<u>□ No</u>	☐ Yes	□ No	□ Yes	□ No			
9. Essential Overview Training for New EEO Officers	✓ Yes	□ No	□ Yes	□ No	☐ Yes	□ No			
10. Understanding CEEDS Reports	✓ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No			

#### **EEO Personnel Training Continued:**

Name & EEO Role	4.		5.		6.	
Completed EEO Trainings:  1. Everybody Matters-EEO and D&I	☐ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No
2. Sexual Harassment Prevention	□ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No
3. IgbTq: The Power of Inclusion	☐ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No
4. Disability Awareness & Etiquette	□ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No
5. Unconscious Bias	□ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No
6. Microaggressions	□ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No
7. EEO Officer Essentials: Complaint/Investigative Processes	□ Yes	□ No	□ Yes	□ No	☐ Yes	□ No
8. EEO Officer Essentials: Reasonable Accommodation	☐ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No
9. Essential Overview Training for New EEO Officers	□ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No
10. Understanding CEEDS Reports	☐ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No

### **EEO Personnel Contact Information (Please list all current EEO professionals)**

Please provide full mailing address of the principal Agency EEO Office: 1 Liberty Plaza, 11th Floor, New York, NY 10006

### Diversity and EEO Staffing as of \_2nd\_\_Quarter FY 2024\*

EEO\Diversity Role	<u>Name</u>	Civil Service Title	% of Time Devoted to EEO & Diversity Functions	Office E-mail Address	Telephone #
EEO Officer/Director	Angelita McDonald	Administrative Staff Analyst	100	amcdonald@sbs.nyc.gov	212-618-8782
Deputy EEO Officer OR Co-EEO Officer	N/A				
Chief Diversity & Inclusion Officer	N/A				
Diversity & Inclusion Officer	N/A				
Chief Diversity Officer/Chief MWBE Officer per E.O. 59	Dynishal Gross	Administrative Business Promotion Coordinator	100	dgross@sbs.nyc.gov	212-513-6456
ADA Coordinator	Michelle Barnes-Anderson	Administrative Contract Specialist	100	mbarnes@sbs.nyc.gov	212-618-6717

Disability Rights Coordinator	Michelle Barnes-Anderson	Administrative Contract Specialist	100	mbarnes@sbs.nyc.gov	212-618-6717
Disability Services Facilitator	Michelle Barnes-Anderson	Administrative Contract Specialist	100	mbarnes@sbs.nyc.gov	212-618-6717
55-a Coordinator	Michelle Barnes-Anderson	Administrative Contract Specialist	100	mbarnes@sbs.nyc.gov	212-618-6717
Career Counselor	Dianna Man	Administrative Staff Analyst	100	dman@sbs.nyc.gov	212-618-6798
EEO Counselor	Angelita McDonald	Administrative Staff Analyst	100	amcdonald@sbs.nyc.gov	212-618-8782
EEO Investigator	Vacant				
EEO Counselor/ Investigator	Angelita McDonald	Administrative Staff Analyst	100	amcdonald@sbs.nyc.gov	212-618-8782
Investigator/Trainer	Vacant				
EEO Training Liaison	Angelita McDonald	Administrative Staff Analyst	100	amcdonald@sbs.nyc.gov	212-618-8782
Other (specify)					
Other (specify)					

<sup>\*</sup> Please note changes (new personnel filling the specified role). You may insert additional entries as needed. Title refers to the civil service title. If there is an EEO\Diversity role that your staff performs that is not on the list above, you may indicate it on the chart. You may provide full contact information once if several roles are performed by the same person.



#### FY 2024 QUARTERLY REPORT - Part II: DIVERSITY AND EEO TRAINING SUMMARY

AGENCY NAME: Department of Small Business Services Quarter 2 FY 2024

RETAIN ALL PRIOR QUARTERS' DATA IN THE CURRENT QUARTER REPORT

DO NOT ATTEMPT TO MAKE ANY ENTRIES IN PINK-SHADED CELLS

SAVE THIS FILE AS: [AGENCY ACRONYM] Quarter # FY 2024 DEEO TRAINING SUMMARY

SUBMITTED BY (TITLE): Angelita McDonald

DATE SUBMITTED: 2/21/2024 E-MAIL: amcdonald@sbs.nyc TEL #: 212-618-8782

1st Quarter (July-September) <u>DUE October 30, 2023</u>; 2nd Quarter <u>DUE January 30, 2024</u>; 3rd Quarter (January-March) <u>DUE April 30, 2024</u>; 4th Quarter (April-June) <u>DUE July 30, 2024</u>.

ALL EEO-RELATED TRAINING (ALL MODALITIES)	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	FY 2024 YEAR
	(July - Sept. 2023)	(Oct Dec. 2023)	(Jan Mar. 2024)	(April - June 2024)	TO DATE
TOTAL DIVERSITY & EEO TRAINING	42	236	0	0	278

CORE DIVERSITY AND EEO TRAINING (All Modalities)							
TOTAL CORE EEO TRAINING ALL MODALITIES: E-Learning & Instructor-led training	42	5	0	0	47		
Everybody Matters:     EEO and Diversity & Inclusion     for NYC Employees	0	1	0	0	1		
Administered by DCAS  [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]	0	1			1		
Administered by Agency [Enter data from internal training in this row] NOTE: Completions from DCAS-provided training count towards agency compliance for these mandated trainings.	0	0			0		

ALL EEO-RELATED TRAINING (ALL MODALITIES)	1st Qtr (July - Sept. 2023)	2nd Qtr (Oct Dec. 2023)	3rd Qtr (Jan Mar. 2024)	4th Qtr (April - June 2024)	FY 2024 YEAR TO DATE
2. Sexual Harassment Prevention	41	1	0	0	42
Administered by DCAS [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]	41	1			42
Administered by Agency [Data Entry BLOCKED]	NOTE: SHP training t curriculum that is ap provided to DCAS. TI SHP training that is a	0			
3. IgbTq: The Power of Inclusion	1	3	0	0	4
Administered by DCAS [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]	1	3			4
Administered by Agency [Enter data from internal training in this row] NOTE: Completions from DCAS-provided training count towards compliance for these mandated trainings.	0	0			0
4. Disability Awareness & Etiquette	0	0	0	0	0
Administered by DCAS [Copy data from DCAS Learning & Development report in this row. ENTER ALL ZEROS. Do not leave blank.]					0
Administered by Agency [Enter data from internal training in this row]	0	0			0

ALL EEO-RELATED TRAINING (ALL MODALITIES)	1st Qtr (July - Sept. 2023)	2nd Qtr (Oct Dec. 2023)	3rd Qtr (Jan Mar. 2024)	4th Qtr (April - June 2024)	FY 2024 YEAR TO DATE
OTHER DIVE	RSITY AND EE	O RELATED TR	AINING (AII M	odalities)	
ALL OTHER DIVERSITY & EEO RELATED TRAINING	0	231	0	0	231
5. New Employee Orientation (Only if it includes EEO Component)	NOTE: Do not m	nake entries here if new	v employees received C	ORE EEO training as par	rt of their onboarding
TOTAL PARTICIPANTS TRAINED					0
6. Structured Interviewing		FULL TITLE: Stru	ctured Interviewing a	and Unconscious Bias	
and Unconscious Bias TOTAL PARTICIPANTS TRAINED					0
7. Structured Interviewing and Unconscious Bias (Follow up) TOTAL PARTICIPANTS TRAINED	FULL	TITLE: Structured Inte	erviewing: Utilizing Fo	ollow-Up and Probing	Questions 0
8. Building an Inclusive Culture:	FUI	LL TITLE: Building an I	Inclusive Culture: Und	derstanding Unconsci	ous Bias
Understanding Unconscious Bias TOTAL PARTICIPANTS TRAINED				derstanding Onconse.	0
9. From Microaggressions	F	Curatina a Cultum	-flushesian Francisch		
to Microaffirmations TOTAL PARTICIPANTS TRAINED	FOLL IIILE	:: Creating a Culture (	of inclusion, From Mil	croaggressions to Mi	o O
					·
10. Managing the Multi-Generational Workforce	FULL TITLE: Ma	naging the Multi-Gei	nerational Workforce	: Leveraging the Tale	
TOTAL PARTICIPANTS TRAINED					0
11. Bystander Training	FL	JLL TITLE: Moving fro	m Bystander to Upsta	ander, What Would Y	ou Do?
TOTAL PARTICIPANTS TRAINED					0
12. Reasonable Accommodation		FULL TITLE: Reason	nable Accommodatio	n Procedural Guidelir	nes
TOTAL PARTICIPANTS TRAINED					0
13. The Power of Words		FULL TITLE	: The Power of Word	s, Can We Talk?	
TOTAL PARTICIPANTS TRAINED					0
14. Other Diversity/EEO Related	Specify topic >			that new and encumb	ent employees are , Protected categories,
TOTAL PARTICIPANTS TRAINED		231	policy & procedures	s (DEI, Discrimination	231
16. Other Diversity/EEO Related	Specify topic >				
TOTAL PARTICIPANTS TRAINED					0
15. Other Diversity/EEO Related	Specify topic >				
TOTAL PARTICIPANTS TRAINED	ореспу торго		T .		0
	Cuncifytania		l		
16. Other Diversity/EEO Related  TOTAL PARTICIPANTS TRAINED	Specify topic >				0
					U
17. Other Diversity/EEO Related	Specify topic >				_
TOTAL PARTICIPANTS TRAINED					0
18. Other Diversity/EEO Related	Specify topic >				
TOTAL PARTICIPANTS TRAINED					0
ADDITIONAL TRAINING				PACE TO REPORT ADDITION  D RETURN THE REPORT TO	
Other Diversity/EEO Related	Specify topic >				
TOTAL PARTICIPANTS TRAINED					0
Other Diversity/EEO Related	Specify topic >				
TOTAL PARTICIPANTS TRAINED					0