## FY 2024 Agency Quarterly Diversity, Equity, Inclusion and EEO Report

**Part I: Narrative Summary** 

Agency Name: DE	EPARTMENT OF INVESTIG	SATION					
<u> </u>	July -September), due N (January -March), due A		<ul> <li>         ≥ 2<sup>nd</sup> Quarter (October – December), due January 30, 2024     </li> <li>         ⇒ 4<sup>th</sup> Quarter (April -June), due July 30, 2024     </li> </ul>				
Prepared by:							
Philip Hung		EEO Officer	phung@doi.nyc.gov	212-825-2848			
Name		Title	E-mail Address	Telephone No.			
Date Submitted:	2/16/2024						
FOR DCAS USE ONLY:	D	ate Received:					

## Instructions for Filling out Quarterly Reports FY 2024

[NOTE: These forms are cumulative and designed to retain and preserve information for the entire FY 2024. For Q1 please copy the goals, programs, and initiatives from your draft of the FY 2024 DEI-EEO plan. Insert these statements in the corresponding sections of the Quarterly Report below, particularly sections IV, V, and VI. For Q2, Q3 and Q4, use previous quarter's submission to update their status, retaining all information for the prior quarters. You should also add programs and initiatives begun in these quarters even if they were not mentioned in the Annual Plan]

- 1. Please save this file as "XXXX Quarter X FY 2024 DEI-EEO Quarterly Report.Part I", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Word format. Please do not convert it to PDF.
- 2. Complete the "Diversity, Equity, Inclusion and EEO Training Summary" details in Part II Training Summary [see the attached Excel file].
  - <u>Core EEO Training:</u> Copy the information from the Training Completion Report you receive quarterly from DCAS Learning & Development onto grey-shaded cells in rows 26, 30, 34, and 38. Include any of these trainings that were administrated by your agency in the rows immediately below (27, 35, 39).
  - Other Diversity, Equity, Inclusion and EEO Related Training: Beginning with row 45, include training classes co-organized or cosponsored by your agency EEO and/or HR that are related to the development of the agency staff in the areas of equal employment, diversity, inclusion, civil rights, workplace culture and behavior, interpersonal relations, and community relations.
- 3. Mark progress in check boxes in the column for the current quarter. [Note: **Delayed** = behind schedule; **Deferred** = put off until later when better resources become available.]
- 4. Please save the Excel file as "XXXX Quarter X FY 2024 DEI-EEO Report.Part II Training Summary", where 'XXXX' is the commonly used acronym of your agency. You must submit this file in MS Excel format. Please do not convert it to PDF.

i. Commitment and Accoun	tability Statement by the Agency Head
Distributed to all agency employees?	<ul> <li>✓ Yes, On (Date):</li></ul>
The agency recognized employees, su inclusion, and equal employment opportunity	upervisors, managers, and units demonstrating superior accomplishment in diversity, equit
The agency recognized employees, suinclusion, and equal employment opposition.  Diversity, equity, inclusion and EEO A	upervisors, managers, and units demonstrating superior accomplishment in diversity, equitortunity through the following:  Awards
The agency recognized employees, so inclusion, and equal employment opposition. □ Diversity, equity, inclusion and EEO A	upervisors, managers, and units demonstrating superior accomplishment in diversity, equitortunity through the following:  Awards
The agency recognized employees, suinclusion, and equal employment opposition.  Diversity, equity, inclusion and EEO A	upervisors, managers, and units demonstrating superior accomplishment in diversity, equitortunity through the following:  Awards  Appreciation Events

\* Please describe DEI&EEO Awards and/or Appreciation Events below:

# **III. Workforce Review and Analysis**

I.	Agency Headcount as of the la	ast day of the quarter was:		
	Q1 (9/30/2023):277	Q2 (12/31/2023):280	Q3 (3/31/2024):	Q4 (6/30/2024 ):
II.	Agency reminded employees	to update self-ID information re	egarding race/ethnicity, gende	er, and veteran status.
		2023	on (Date):	□ No
		ervice (by email; strongly recom	mended every year)	
	<ul><li>☐ Agency's intranet site</li><li>☐ On-boarding of new emp</li></ul>	Novoos		
	☐ Newsletters and internal	•		
		<b>5</b> ,		
III.			_	DCAS to the EEO Officer with demographic
	data and trends, including w separation data; and utilization		itle, job group, race/ethnicit	y and gender; new hires, promotions and
	separation data, and atmeatic	in analysis.		
	☑ Yes - on (Dates): Q1 Review	ew Date: <u>11/02/2023</u> Q2 Rev	iew Date: <u>2/8/2024</u> Q3 Rev	view date: Q4 Review date:
	The review was conducted	with:		
	□ Agency Head	☑ Agency Head	☐ Agency Head	☐ Agency Head
			☐ Human Resources	☐ Human Resources
	⊠ General Counsel	⊠ General Counsel	☐ General Counsel	☐ General Counsel
	Other - see below*	○ Other - see below*	☐ Other	☐ Other
	$\square$ Not conducted	$\square$ Not conducted	$\square$ Not conducted	☐ Not conducted

<sup>\*</sup>Deputy Commissioner of Operations, Deputy Commissioner of Strategic Initiatives, Deputy Commissioner of Investigations, and/or Associate Commissioner of Training & Investigations

### IV. EEO, Diversity, Inclusion and Equity Initiatives for FY 2024

Please describe your progress this quarter in implementing the primary goals in Section IV of your Agency Diversity, Equity, Inclusion and EEO Plan for FY 2024.

#### A. Workforce:

Please list the **Workforce Goal(s)** included in *Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2023,* which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., underutilization, workforce planning, succession planning and diverse applicant pool, among others).

1. DOI will continue to use underutilization data to inform its recruitment, selection, and promotion strategies.

Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity, and inclusion, while reflecting the variety of communities that are served. How do you evaluate the effectiveness of these actions?

DOI is expanding its internal and external applicant pools by contacting affinity groups and other recruitment sites focused on diversity and minority recruitment. These include participating in college and law school job fairs, and connecting with minority bar associations. The EEO Office also regularly reviews promotion information to monitor whether promotions are done with diversity, equity, and inclusion in mind. To evaluate the effectiveness of these actions, we monitor hiring data on a quarterly basis to look for improvements in underutilization. DOI currently has underutilization in one race category in the Technicians job group.

<b>Workforce Go</b>	oal #1 Updates	• •				
Q1 Update:	□ Planned	☐ Not started	□ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed
Q2 Update:	$\square$ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q3 Update:	□ Planned	☐ Not started	□ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q4 Update:	$\square$ Planned	$\square$ Not started	$\square$ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed

#### B. Workplace:

Please list the Workplace Goal(s) included in *Section IV: Diversity, Equity, Inclusion and EEO Initiatives for FY 2024*, which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., job satisfaction/engagement surveys, exit interviews/surveys, and onboarding surveys).

1. DOI will promote employee involvement by launching and supporting Employee Resource Groups (ERGs).

Please describe the steps that your agency has taken to meet this goal. Include actions taken to create equitable work environment which values differences and maintain focus on retaining talent. How do you evaluate the effectiveness of these actions?

The EEO Office and the Chief Diversity and Inclusion Officer have met with senior leadership about the benefits of ERGs and have secured executive support. DOI has conducted an employee survey to gauge interest in ERGs. DOI is currently developing policies and procedures around its pilot ERG program and has requested and received assistance from other agencies (ACS and DOT, specifically) who have established ERG programs. We met with interested staff on November 17, 2023 and are making progress towards launching our first ERGs. As of December 2023, we are developing an internal ERG Handbook for staff who are interested in forming and operating ERGs to use.

#### **Workplace Goal #1 Updates:**

Q1 Update:	$\square$ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	□ Deferred	□ Completed
Q2 Update:	□ Planned	□ Not started	☑ Ongoing	$\square$ Delayed	□ Deferred	□ Completed
Q3 Update:	□ Planned	□ Not started	$\square$ Ongoing	$\square$ Delayed	□ Deferred	□ Completed
Q4 Update:	□ Planned	□ Not started	$\square$ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed

2. DOI will further promote use of its new Learning Management System (LMS) to provide new learning opportunities for all staff.

Please describe the steps that your agency has taken to meet this goal. Include actions taken to create equitable work environment which values differences and maintain focus on retaining talent. How do you evaluate the effectiveness of these actions?

DOI's Training Unit continuously identifies and offers career development training opportunities to all staff and encourage them to participate. These include training on investigative skills, management, DEI/EEO topics, and professional development (such as time management and prioritization). DOI has and will continue to anonymously survey staff to determine effectiveness of these actions.

Workplace G Q1 Update: Q2 Update: Q3 Update: Q4 Update:	oal #2 Updates  Planned Planned Planned Planned Planned	<ul> <li>∴</li> <li>☐ Not started</li> <li>☐ Not started</li> <li>☐ Not started</li> <li>☐ Not started</li> </ul>	<ul><li>☑ Ongoing</li><li>☑ Ongoing</li><li>☐ Ongoing</li><li>☐ Ongoing</li></ul>	<ul><li>□ Delayed</li><li>□ Delayed</li><li>□ Delayed</li><li>□ Delayed</li></ul>	<ul><li>□ Deferred</li><li>□ Deferred</li><li>□ Deferred</li><li>□ Deferred</li></ul>	<ul> <li>□ Completed</li> <li>□ Completed</li> <li>□ Completed</li> <li>□ Completed</li> </ul>	
DOI's EEO Off	ice will train ne	ew EEO Counselors wh	ich will in turn	provide addit	ional touch poi	ints to the EEO Office for all staff.	
	-			_		to create equitable work environment	
which values differences and maintain focus on retaining talent. How do you evaluate the effectiveness of these actions?							
which values	unierences an	a maintain focus on re	etaining talent	. How do you	evaluate the ef	rectiveness of these actions?	
DOI recruited	l two additiona	EEO Counselors in FY2	23 and has reco	eived interest f	rom additional	staff in FY24 to serve as Counselors. space (8 floors) so that they can serve	
DOI recruited The EEO Offic staff who wo	I two additional ce's goal is to ha rk on those floc	EEO Counselors in FY2 ave an EEO Counselor s ors. We have trained th	23 and has reco situated on eac ne Counselors v	eived interest f ch of the floors who joined in F	rom additional in DOI's office Y23. To assess	staff in FY24 to serve as Counselors. space (8 floors) so that they can serve the effectiveness of these actions, the	
DOI recruited The EEO Offic staff who wo	I two additional ce's goal is to ha rk on those floc	EEO Counselors in FY2 ave an EEO Counselor s	23 and has reco situated on eac ne Counselors v	eived interest f ch of the floors who joined in F	rom additional in DOI's office Y23. To assess	staff in FY24 to serve as Counselors. space (8 floors) so that they can serve the effectiveness of these actions, the	
DOI recruited The EEO Office staff who wo EEO Office m	I two additional ce's goal is to ha rk on those floo eets with all Co	EEO Counselors in FY2 ave an EEO Counselor sons. We have trained the unselors on a quarterl	23 and has reco situated on eac ne Counselors v	eived interest f ch of the floors who joined in F	rom additional in DOI's office Y23. To assess	staff in FY24 to serve as Counselors. space (8 floors) so that they can serve the effectiveness of these actions, the	
DOI recruited The EEO Office staff who wo EEO Office m	I two additional ce's goal is to ha rk on those floc	EEO Counselors in FY2 ave an EEO Counselor sons. We have trained the unselors on a quarterl	23 and has reco situated on eac ne Counselors v	eived interest f ch of the floors who joined in F	rom additional in DOI's office Y23. To assess	staff in FY24 to serve as Counselors. space (8 floors) so that they can serve the effectiveness of these actions, the	
DOI recruited The EEO Office staff who wo EEO Office m	I two additional ce's goal is to hark rk on those floo eets with all Co	EEO Counselors in FY2 ave an EEO Counselor sors. We have trained the unselors on a quarterly	23 and has recestuated on each	eived interest f ch of the floors who joined in F iss new events	rom additional in DOI's office Y23. To assess and issues that	staff in FY24 to serve as Counselors. space (8 floors) so that they can serve the effectiveness of these actions, the arise.	
DOI recruited The EEO Office staff who wo EEO Office m  Workplace G Q1 Update:	I two additional tee's goal is to hat rk on those floce the eets with all Cool oal #3 Updates Planned	EEO Counselors in FY2 ave an EEO Counselor sons. We have trained the unselors on a quarterless.	23 and has reconsituated on each one Counselors was basis to discussion March 20 and 12 and 13 and 14 and 15 and 1	eived interest f ch of the floors who joined in F iss new events	rom additional in DOI's office Y23. To assess and issues that	staff in FY24 to serve as Counselors. space (8 floors) so that they can serve the effectiveness of these actions, the arise.	

3.

#### 5. Other Workplace Activities:

Please describe any other EEO-related activities designed to improve/enhance the workplace (e.g., postings, meetings, cultural programs promoting diversity, newsletters/articles, etc.) and describe them, including the dates when the activities occurred.

DOI implemented a comprehensive cultural heritage celebration calendar in FY23 that memorialized the celebrations that DOI would recognize on a monthly basis. DOI is continuing to celebrate these cultural events with staff via email and other communications on each holiday or month, and with postings on DOI's intranet site. With the launch of the ERGs, we expect that ERGs will lead these cultural celebrations and agency events.

	set/declared	Initiatives for FY 2024, which you each and engagement, M/WBE						
	participation	and customer	satisfaction surveys	<b>J.</b>				
1.	DOI will imp	rove access to	DOI's complaint med	chanisms by furt	her improving	; access to lang	uage services in the complaint process	
	Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity, and inclusion, while reflecting the variety of communities that are served How do you evaluate the effectiveness of these actions?							
	In addition to using an internal database of multilingual employees who can assist with providing language services to complainants, DOI procured a language interpretation and translation vendor that provides these language services. DOI monitors use of both internal and external language service usage, and we use this information to assess effectiveness.							
	•		•		•	sess effectivene	ess.	
	internal and e		ge service usage, and		•	sess effectivene	PSS.	
	internal and e	external langua	ge service usage, and		•	sess effectivene	SSS.	
	internal and o	external langua	ge service usage, and	d we use this info	ormation to ass			
	Community Control Q1 Update:	external langua  Goal #1 Update  Planned	ge service usage, and es:  Not started	d we use this info	Delayed	☐ Deferred	⊠ Completed	

Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity, and inclusion, while reflecting the variety of communities that are served How do you evaluate the effectiveness of these actions?

DOI has installed 3 accessible doors on one floor of DOI's office space, and plans to install 21 additional doors on other floors in the coming years. DOI has also installed an assistive listening system in a conference room and plan to install two additional systems in interview rooms. DOI installed braille signage in numerous areas, including restrooms, elevator banks, and all offices, and plan to install additional braille signage in all places where there is directional signage. We also plan to install self-service kiosks in our Complaint Unit. We will evaluate effectiveness of these actions by monitoring usage. As of December 2023, we completed our 5-Year Accessibility Plan and plan to finalize it in Q3.

Community C	Goal #2 Update	<u>es:</u>				
Q1 Update:	□ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q2 Update:	$\square$ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed
Q3 Update:	□ Planned	☐ Not started	☐ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q4 Update:	$\square$ Planned	$\square$ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed

3. DOI will continue to build on its use of social media to communicate DOI's work and recruitment needs to the public.

Please describe the steps that your agency has taken to meet this goal. Include actions taken to establish your agency as a leading service provider to the citizens of New York City focused on diversity, equity, and inclusion, while reflecting the variety of communities that are served. How do you evaluate the effectiveness of these actions?

DOI communicates DOI's work and recruitment needs via its social media accounts, such as Facebook, Instagram, and X (formerly Twitter). We measure effectiveness by looking at responses to social media activity and asking candidates where they heard about the job postings.

Community Goal #3 Updates:									
Q1 Update:	□ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	$\square$ Deferred				
Q2 Update:	$\square$ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	$\square$ Deferred	$\square$ Completed			
Q3 Update:	□ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	□ Deferred	□ Completed			
Q4 Update:	$\square$ Planned	$\square$ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	$\square$ Completed			

4. Other Community programs and activities:

Please describe any other Community-directed programs and activities (e.g., meetings, educational and cultural programs, promotion of agency services, community fairs, etc.) and describe them, including the dates when the activities occurred.

D.	Equity, Inclu	ısion and Rad	ce Relations Initiat	ives:			
Please list the Equity, Inclusion and Race Relations initiatives included in Section IV: Diversity, Equity, Inclusion and EEO Initiati for FY 2024, which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan.							
	workplace en continued fro other suggest	vironment and om previous ye	l enhancing cultural co ar(s), e.g., meetings, e tc., and describe the a	ompetency. Pla educational and	ease specify Eq	uity and Race I	reating equitable and inclusive Relations initiatives embarked on, or ations, discussions, books/articles, rities occurred. How do you evaluate
1.	_	• •	ssibility of launching e eks to formally launch				RGs) to promote diversity and
	Please descril initiatives?	be the activitie	s, including the dates	when the activ	vities occurred.	. How do you e	evaluate the impact of these
The EEO Office and the Chief Diversity and Inclusion Officer have met with senior leadership about the benefits of ERGs and have secured executive support. DOI has conducted an employee survey to gauge interest in ERGs. DOI is currently developing policies procedures around its pilot ERG program and has requested and received assistance from other agencies (ACS and DOT, specifical who have established ERG programs. We met with interested staff on November 17, 2023 and are making progress towards launc our first ERGs. As of December 2023, we are developing an internal ERG Handbook for staff who are interested in forming and operating ERGs to use.							
	Equity, Inclus Q1 Update: Q2 Update:	ion and Race R  Planned  Planned	elations Initiative #1  Not started  Not started	Updates: ☑ Ongoing ☑ Ongoing	<ul><li>□ Delayed</li><li>□ Delayed</li></ul>	<ul><li>□ Deferred</li><li>□ Deferred</li></ul>	<ul><li>□ Completed</li><li>□ Completed</li></ul>

#### □ Planned Q3 Update: ☐ Not started ☐ Ongoing □ Delayed □ Completed □ Deferred ☐ Ongoing Q4 Update: ☐ Planned ☐ Not started □ Delaved ☐ Deferred ☐ Completed 2. DOI created a mentorship program that paired new – and often young – investigators with more experienced investigators and supervisors to promote professional growth and skills development. Please describe the activities, including the dates when the activities occurred. How do you evaluate the impact of these initiatives? DOI's latest class of new investigators completed Investigative Officer Training Academy in July 2023. DOI held its mentor-mentee meeting/breakfast with paired mentors and mentees on November 15, 2023. We will speak to mentors and mentees to evaluate the impact of the initiative. Equity, Inclusion and Race Relations Initiative #2 Updates: □ Planned Q1 Update: ☐ Not started □ Delayed □ Deferred □ Completed □ Ongoing Q2 Update: □ Planned ☐ Not started **☒** Ongoing □ Delayed □ Deferred ☐ Completed Q3 Update: □ Planned ☐ Not started ☐ Ongoing □ Delayed ☐ Deferred ☐ Completed Q4 Update: ☐ Ongoing □ Delayed ☐ Completed ☐ Planned ☐ Not started ☐ Deferred 3. DOI purposefully sought to hire retired City employees from the City's Silver Stars program to bring the experience and perspectives of older individuals to DOI. DOI will continue these efforts in FY 2024.

Please describe the a initiatives?	ctivities, including the da	tes when the activ	vities occurred	l. How do you e	evaluate the impa	ct of these	
DOI has hired multiple Silver Stars employees. We evaluate the impact of these initiatives via employee engagement surveys. This goal is impacted by the hiring freeze; however, we will continue when resources become available.							
Equity, Inclusion and	Race Relations Initiative	#3 Updates:					
Q1 Update: 🗌 Plan	nned   Not started	□ Ongoing	$\square$ Delayed	□ Deferred	$\square$ Completed		
Q2 Update: 🗌 Pla	nned   Not started	□ Ongoing	oxtimes Delayed	□ Deferred	□ Completed		
Q3 Update:   Plan	nned   Not started	□ Ongoing	$\square$ Delayed	□ Deferred	□ Completed		
Q4 Update: 🗌 Pla	nned   Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	$\square$ Completed		

#### V. Recruitment

#### A. Recruitment Efforts

Please list **Recruitment Initiatives and Strategies** which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., targeted outreach and outreach, diversity recruitment, social media presence, where jobs are posted, EEO and APO collaboration, evaluation of best recruitment sources, structured interview training and unconscious bias training).

1. DOI will post jobs on external sites, including City & State and Professional Diversity Network, and continue to identify ways to reach diverse applicants. emailing promotional opportunities internally; and enhancing DOI's internship program.

Please describe the steps that your agency has taken to implement and achieve these initiatives/strategies. How do you evaluate the effectiveness of these actions?

DOI continues to looks for ways to post jobs on a variety of external sites and particularly ones that focus on minority recruitment. We continue to hold job fairs and colleges and universities around the City. These efforts are described in more detail in Subsection B below. We measure the effectiveness of these actions, in part, by continuously reviewing our hiring demographics and assessing whether underutilization is being addressed.

<b>Recruitment</b>	Initiatives/Stra	ategies #1 Updates:				
Q1 Update:	□ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q2 Update:	□ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q3 Update:	□ Planned	☐ Not started	☐ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed
Q4 Update:	$\square$ Planned	□ Not started	☐ Ongoing	$\square$ Delayed	□ Deferred	☐ Completed

2. DOI will engage with affinity groups at CUNY and SUNY schools and minority bar associations.

Please describe the steps that your agency has taken to implement and achieve these initiatives/strategies. How do you evaluate the effectiveness of these actions?

DOI began initial reach out to affinity groups within CUNY and SUNY in FY23. This initiative is currently delayed in FY24. DOI is meeting internally to discuss reach out plans. We will evaluate effectiveness by assessing the number of groups contacted and whether they produced applicants for DOI's recruitments.

	Recruitment	Initiatives/Stra	tegies #2 Updates:				
	Q1 Update:	□ Planned	☐ Not started	□ Ongoing	oxtimes Delayed	□ Deferred	□ Completed
	Q2 Update:	$\square$ Planned	☐ Not started	$\square$ Ongoing	☑ Delayed	$\square$ Deferred	☐ Completed
	Q3 Update:	□ Planned	☐ Not started	□ Ongoing	$\square$ Delayed	□ Deferred	□ Completed
	Q4 Update:	$\square$ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed
3	DOI will prom	ote civil service	e list calls				
<b>J</b> .	DOI WIII PIOIII	ote civil service	e not cano.				
		•		iken to implem	ent and achiev	e these initiati	ves/strategies. How do you evaluate
	the effectiver	ness of these a	ctions?				
	While DOI's w	vorkforce is cor	nnrised of non-civil se	arvice nositions	DOI has prome	oted the use of	civil service lists for recruitments for
			•		•		its Information Technology Unit. We
	•		ese actions by looking		• .	•	<u> </u>
			,			0 01	
			tegies #3 Updates:				
	Q1 Update:	☐ Planned	☐ Not started	⊠ Ongoing	☐ Delayed	☐ Deferred	☐ Completed
	Q2 Update:	☐ Planned	☐ Not started	⊠ Ongoing	☐ Delayed	☐ Deferred	☐ Completed
	Q3 Update:	☐ Planned	☐ Not started	☐ Ongoing	☐ Delayed	☐ Deferred	☐ Completed
	Q4 Update:	☐ Planned	☐ Not started	☐ Ongoing	□ Delayed	☐ Deferred	☐ Completed
4.	DOI will emai	l promotional	opportunities interna	lly to staff and	enhance DOI's	internship pro	ogram.
		_		_			
	Diago dossell	h a tha atama th	at value agament kas ta	dran ta inantana	ont and ask:		use /strategies   Herry de verre sustants
	riease descri	be the steps th	at your agency has ta	iken to implem	ent and achiev	e mese midati	ves/strategies. How do you evaluate

### the effectiveness of these actions?

DOI continues to email all promotional opportunities to staff internally. We will evaluate effectiveness by reviewing whether internal candidates are promoted. We will also look for ways to enhance our internship program, such as expanding intern recruitment and training, and encourage managers to continue to give interns meaningful experiences. We evaluate effectiveness by surveying interns during exit interviews.

Recruitment	Initiatives/Stra	ategies #4 Updates:				
Q1 Update:	$\square$ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed
Q2 Update:	□ Planned	☐ Not started	☑ Ongoing	$\square$ Delayed	□ Deferred	□ Completed
Q3 Update:	□ Planned	☐ Not started	$\square$ Ongoing	$\square$ Delayed	$\square$ Deferred	☐ Completed
Q4 Update:	□ Planned	☐ Not started	□ Ongoing	□ Delayed	□ Deferred	□ Completed

5. Please describe any recruitment efforts designed to increase the effectiveness and improve the hiring and selection reach of your agency during the quarter and describe the activities, including the dates when the activities occurred.

#### **B.** Recruitment Efforts for Civil Service Exams

List all recruitment events that were held by the agency to promote open-competitive civil service examinations.

Quarter #	Event Date	Event Name	Borough
1	09/15/2023	NYU Fall 2023 Job & Internship Fair	Manhattan
1	09/19/2023	Rutgers-New Brunswick Fall Career & Internship Mega Fair	New Jersey
1	09/22/2023	Columbia University – Undergraduate Career Fair 2023	Manhattan

1	09/26/2023	Manhattan College Fall Career Fair	Manhattan
1	09/28/2023	John Jay College of Criminal Justice Fall	Manhattan
		Career & Internship Fair	
1	09/28/2023	St. John's University Fall Career & Internship Expo 2023	Queens
2	10/03/2023	IONA University Fall 2023 Career & Internship Fair	Outside NYC
2	10/12/2023	Brooklyn College Fall 2023 Career Fair	Brooklyn
2	10/26/2023	2023 Fordham University Government, Law and Public Service	Bronx

List actual expenditures related to recruiting candidates for open-competitive and promotion civil service exams.

Borough	Approximate Dollar Amount (\$) in Q1	Approximate Dollar Amount (\$) in Q2	Approximate Dollar Amount (\$) in Q3	Approximate Dollar Amount (\$) in Q4
Bronx		75		
Brooklyn		175		
Manhattan	600			
Queens				
Staten Island				
Outside NYC		150		

#### **C.** Recruitment Sources

List recruitment sources used for filling vacancies in the current Quarter (include Q#)

- 1.Q1 External Job Sites LinkedIn, lawjobs.com, Indeed, and Professional Diversity Network. Through external postings, DOI seeks to attract minority applicants and has successfully hired employees through external postings.
- 2.Q1 Job Fairs at SUNY and CUNY schools, as well as private colleges and law schools. DOI's Career Development Unit and Human Resources actively participates in job fairs at local colleges and universities. DOI seeks to attract minority and female applicants and has successfully hired employees through job fairs.

#### D. Internships/Fellowships

•••	iscernompo, i enomonipo
Th	e agency is providing the following internship opportunities in FY 2024. [Note: Please update this information every quarter.]
Ra	ce/Ethnicity* [#s] * Use self-ID data obtained from NYCAPS; Gender* [#s] [N-B=Non-Binary; O=Other; U=Unknown] * Use self-ID data
1.	Urban Fellows Total:
	Race/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races
	Gender* [#s]: M F N-B O U
2.	Public Service Corps Total:
	Race/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races
	Gender* [#s]: M F N-B O U
3.	Summer College Interns Total:
	Pace/Ethnicity* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Paces

Gender\* [#s]: M F N-B O U 4. Summer Graduate Interns Total: Race/Ethnicity\* [#s]: Black Hispanic Asian/Pacific Islander Native American White Two or more Races Gender\* [#s]: M \_\_\_ F \_\_\_ N-B \_\_\_ O \_\_\_ U \_\_\_ 5. Other (Fall Undergraduate Interns) Total: 8 Race/Ethnicity\* [#s]: Black 2 Hispanic 4 Asian/Pacific Islander 2 Native American 1 White 3 Two or more Races 0 Gender\* [#s]: M 6 F 2 N-B 0 O U 0 6. Other (Fall Graduate Interns) Total: 5 Race/Ethnicity\* [#s]: Black 2 Hispanic 1 Asian/Pacific Islander 1 Native American 0 White 2 Two or more Races 0 Gender\* [#s]: M 4 F 1 N-B 0 O 0 U 0 7. Other (Fall Legal Interns) Total: 6 Race/Ethnicity\* [#s]: Black 0 Hispanic 1 Asian/Pacific Islander 0 Native American 0 White 5 Two or more Races 1

Additional comments: The FY 2024 Internship statistics include agency interns from Fall 2023, Spring 2024, and Summer 2024. Summer 2023 Intern statistics are reflected in the FY 2023, Q4 Quarterly Report.

Gender\* [#s]: M 2 F 4 N-B 0 O 0 U 0

## E. 55-A Program

The agency uses the 55-a Program to hire and retain qualified individuals with disabilities.		□ No
Currently, the agency employs the following number of 55-a participants:		
Q1 (9/30/2023):0 Q2 (12/31/2023):0_ Q3 (3/31/2024):	_ Q4 (6/30/2024	):
During the 1st Quarter, a total of0_ [number] new applications for the program were received buring the 1st Quarter _0_ participants left the program due to [state reasons]	eived.	
During the 2nd Quarter, a total of0_ [number] new applications for the program were rec During the 2nd Quarter _0_ participants left the program due to [state reasons]	eived.	
During the 3rd Quarter, a total of [number] new applications for the program were receipturing the 3rd Quarter participants left the program due to [state reasons]	ved.	
During the 4th Quarter, a total of [number] new applications for the program were recein During the 4th Quarter participants left the program due to [state reasons]	ved.	
The 55-a Coordinator has achieved the following goals:		
1. Disseminated 55-a information — by e-mail: ☐ Yes ☒ No in training sessions: ☐ Yes ☒ No on the agency website: ☒ Yes ☐ No through an agency newsletter: ☐ Yes ☒ No Other:		
2		
3		

### VI. Selection (Hiring and Promotion)

Please review Section VI of your FY 2024 Diversity, Equity, Inclusion and EEO Plan and describe your activities for this quarter below:

Please list additional **Selection Strategies and Initiatives** which you set/declared in your FY 2024 Diversity, Equity, Inclusion and EEO Plan (e.g., use of structured interview, EEO or APO representatives observing interviews, review of placements, review of e-hire applicant data). Please describe the steps that your agency has taken to meet these objectives.

**1.** Career Counseling: Advising employees of opportunities for promotion and career development; Notification of promotion/transfer opportunities.

DOI's Career Counselor promotes employee awareness of opportunities for promotion and transfer within the agency by notifying employees of open positions. Staff receive citywide vacancy announcements, civil service exams notices and other career development information. Staff are also encouraged to use training and development programs to improve skills, performance, and career opportunities. DOI's Career Counselor is also available to staff for consultations regarding career options. To evaluate the effectiveness of these actions, we monitor and review internal promotion data on a periodic basis.

2. Reviewing the methods by which candidates are selected for appointment, promotion, or to fill vacancies (new hires), especially for mid- and high-level discretionary positions.

DOI is mindful of demographics as reflected in the CEEDs data, as well as other DOI records, and takes measures to address and prevent underutilization. Specifically, the agency regularly monitors diversity within senior management and considers diversity when seeking candidates for mid- and high-level positions. The agency's current protocol for title promotions/salary increases includes providing a justification for the promotion/salary increase as part of the Personnel Action Request package. The recommending supervisor must make clear what sets this employee apart from others in the same title so as to justify selecting that employee for the promotion/salary increase. To evaluate the effectiveness of these actions, we monitor and review internal promotion data on a periodic basis.

**3.** Describe the role of agency EEO Officer and other EEO staff in the selection of candidates for appointment or promotion (pre- and post-appointment).

The EEO Officer consults with the Human Resources regarding their listing of sources for diverse applicants, including schools and

professional associations. During a review of our quarterly EEO data, we examine the demographics for newly hired employees and the way in which data impacts the overall agency demographics. If there is any underutilization observed, then the agency makes a concerted effort to reach out to organizations and other partners to increase a diverse applicant pool for future hiring. The EEO Officer and the Agency Personnel Officer meet monthly to discuss recruitment, promotion, and retention strategies using CEEDS data.

**4.** Analyzing the impact of layoffs or terminations on racial, gender and age groups.

DOI will use the DCAS Layoff Procedure as guidance, should there be any layoffs, terminations, and demotions due to legitimate business/operational reasons in FY 2024. DOI will analyze the impact of layoffs or terminations on racial, gender, age groups, and people with disabilities. Where layoffs or terminations would have a disproportionate impact on any of these groups, DOI will document that the targeted titles or programs were selected based on objective criteria and justified by business necessity. The Agency Personnel Officer, EEO Officer and General Counsel will be involved in making layoff or termination decisions.

**5.** Other:

During this Quarter the Agency activities included:	# of Vacancies	# of New Hires	# of New Promotions
	Q1 #29	#9	#14
	Q2 #16	#11	#7
	Q3 #	#	#
	Q4 #	#	#

### VII. Training

Please provide your training information in Part II of the report "DEI-EEO Training Summary" (in MS Excel).

### VIII. Reasonable Accommodation

Please report all reasonable accommodation requests and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: <a href="https://mspwva-dcslnx01.csc.nycnet/Login.aspx">https://mspwva-dcslnx01.csc.nycnet/Login.aspx</a>

The agency did input full Reasonable Accommodation activity on the DCAS Citywide Complaint and Reasonable Accommodation (CAD) Database:

IX.	<ul> <li>Compliance and Implementation of Requireme</li> </ul>	ents Under	Executive	<b>Orders</b>	and I	Local
	Laws					

#### A. Local Law 92: Annual Sexual Harassment Prevention training

Please provide Sexual Harassment Prevention Training Information in Part II of the report "DEI-EEO Training Summary" (in MS Excel).

#### B. Local Law 97: Annual Sexual Harassment Reporting

∑ The agency has entered the s information as they occur.	sexual harassment Complaint Data in the DCAS Citywide Complaint Tracking System and updates the						
	Q1	$\boxtimes$	Q2		Q3 🗆	Q4 🗆	
☑ The agency has entered <b>all ty</b> occur.	pes o	of complain	<b>ts</b> in	the DCAS Citywid	e Complaint Tracking S	System and updates the information as they	
☑ The agency ensures that com	plaint	ts are close	d wit	hin 90 days.			

Report all complaints and their disposition in the DCAS Citywide Complaint/Reasonable Accommodation Tracking System by logging into your CICS Account at: <a href="https://mspwva-ctwapx02.csc.nycnet/Login.aspx">https://mspwva-ctwapx02.csc.nycnet/Login.aspx</a>

### C. Executive Order 16: Training on Transgender Diversity and Inclusion

Please provide E.O. 16 Training Information in Part II of the report "DEI-EEO Training Summary" (in MS Excel).

## IX. Audits and Corrective Measures

Please choose the statement that applies to your agency.

$\boxtimes$	The agency is <u>NOT</u> involved in an audit conducted by NYC Equal Employment Practice Commission (EEPC) or another governmental agency specific to our EEO practices.
	The agency is involved in an audit; please specify who is conducting the audit:
	☐ Attach the audit recommendations by EEPC or the other auditing agency.
	☐ The agency has submitted or will submit to DCAS Citywide Equity and Inclusion an amendment letter, which shall amend the agency plan for FY 2023.
	The agency received a Certificate of Compliance from the auditing agency in 2022 or 2023.
	Please attach a copy of the Certificate of Compliance from the auditing agency.

# **Appendix A: EEO Personnel Details**

## EEO Personnel For \_2nd\_ Quarter, FY 2024

## **Personnel Changes**

Personnel Changes this Quarter:	☑ No Changes		Number of Additions:		Number of Deletions:	
Employee's Name & Title	1.		2.		3.	
Nature of change	☐ Addition ☐ Deletion		☐ Addition	☐ Deletion	☐ Addition	☐ Deletion
Date of Change in EEO Role	Start Date or Termination Date:		Start Date or Termination Date:		Start Date or Termination Date:	
Employee's Name & Title						
Nature of change	☐ Addition ☐ Deletion		☐ Addition	☐ Deletion	☐ Addition	☐ Deletion
Date of Change in EEO Role	Start Date or Termination Date:		Start Date or Termination Date:		Start Date or Termination Date:	
For New EEO Professionals:						
Name & Title	4.		5.		6.	
EEO Function	☐ EEO Officer ☐ EEO Co ☐ EEO Trainer ☐ EEO In ☐ 55-a Coordinator ☐ Other:	vestigator	☐ EEO Officer ☐ EEO Trainer ☐ 55-a Coordinator	☐ EEO Counselor☐ EEO Investigator☐ Other: (specify)	☐ EEO Officer☐ EEO Trainer☐ 55-a Coordinator	☐ EEO Counselor ☐ EEO Investigator ☐ Other: (specify)
Percent of Time Devoted to EEO	☐ 100% ☐ Other: (specify %):		☐ 100% ☐ Other: (specify %):		☐ 100% ☐ Other: (specify %):	
Name & Title						
EEO Function	☐ 55-a Coordinator ☐ Other:	vestigator (specify)	☐ EEO Officer☐ EEO Trainer☐ 55-a Coordinator	☐ EEO Counselor ☐ EEO Investigator ☐ Other: (specify)	☐ EEO Officer☐ EEO Trainer☐ 55-a Coordinator	☐ EEO Counselor ☐ EEO Investigator ☐ Other: (specify)
Percent of Time Devoted to EEO	☐ 100% ☐ Other: (specify %):		☐ 100% ☐ Other: (specify %):		☐ 100% ☐ Other: (specify %):	

Name & EEO Role	1. Philip Hung, EEO Officer	3.	
Completed EEO Trainings:  1. Everybody Matters-EEO and D&I	⊠ Yes □ No		☐ Yes ☐ No
2. Sexual Harassment Prevention	⊠ Yes □ No	⊠ Yes □ No	☐ Yes ☐ No
3. IgbTq: The Power of Inclusion			☐ Yes ☐ No
4. Disability Awareness & Etiquette			☐ Yes ☐ No
5. Unconscious Bias			☐ Yes ☐ No
6. Microaggressions	☐ Yes	☐ Yes	☐ Yes ☐ No
7. EEO Officer Essentials: Complaint/Investigative Processes	⊠ Yes □ No	☐ Yes	☐ Yes ☐ No
8. EEO Officer Essentials: Reasonable Accommodation	☐ Yes	⊠ Yes □ No	☐ Yes ☐ No
9. Essential Overview Training for New EEO Officers	☐ Yes		☐ Yes ☐ No
10. Understanding CEEDS Reports	☐ Yes	☐ Yes	☐ Yes ☐ No

#### **EEO Personnel Training Continued:**

EEO Training completed within the last two years, including the current quarter (EEO and D&I Officers, Deputies, and all new EEO Professionals):							
Name & EEO Role	4.			5.		6.	
Completed EEO Trainings:  1. Everybody Matters-EEO and D&I		' <b>e</b> s	□ No	☐ Yes	□ No	□ Yes	□ No
2. Sexual Harassment Prevention		<b>'e</b> s	□ No	☐ Yes	□ No	☐ Yes	□ No
3. IgbTq: The Power of Inclusion		es es	□ No	☐ Yes	□ No	☐ Yes	□ No
4. Disability Awareness & Etiquette		es es	□ No	☐ Yes	□ No	☐ Yes	□ No
5. Unconscious Bias		<b>'e</b> s	□ No	☐ Yes	□ No	☐ Yes	□ No
6. Microaggressions		es es	□ No	☐ Yes	□ No	☐ Yes	□ No
7. EEO Officer Essentials: Complaint/Investigative Processes		<b>'e</b> s	□ No	☐ Yes	□ No	☐ Yes	□ No
8. EEO Officer Essentials: Reasonable Accommodation	□ Y	es	□ No	☐ Yes	□ No	☐ Yes	□ No
9. Essential Overview Training for New EEO Officers		<b>'e</b> s	□ No	☐ Yes	□ No	☐ Yes	□ No
10. Understanding CEEDS Reports	□ Y	es	□ No	☐ Yes	□ No	☐ Yes	□ No

### **EEO Personnel Contact Information (Please list all current EEO professionals)**

Please provide full mailing address of the principal Agency EEO Office:

**MAILING ADDRESS:** New York City Department of Investigation

**EEO Office** 

180 Maiden Lane, 24th Floor

New York, NY 10038

Diversity and EEO Staffing as of \_2nd\_Quarter FY 2024\*

EEO\Diversity Role	<u>Name</u>	<u>Civil Service Title</u>	% of Time Devoted to EEO & Diversity Functions	Office E-mail Address	Telephone #
EEO Officer/Director	Philip Hung	Inspector General	50%	phung@doi.nyc.gov	212-825-2848
Deputy EEO Officer OR Co-EEO Officer	Patrick McGrath	Confidential Investigator	85%	pmcgrath@doi.nyc.gov	212-825-3722
Chief Diversity & Inclusion Officer	Kaytlin Simmons	Deputy Commissioner	5%	ksimmons@doi.nyc.gov	212-825-2407
Diversity & Inclusion Officer	None Designated	-	-	-	-

Chief Diversity Officer/Chief MWBE Officer per E.O. 59	Kaytlin Simmons	Deputy Commissioner	5%	ksimmons@doi.nyc.gov	212-825-2407
ADA Coordinator	Shayvonne Nathaniel	Administrative Manager	10%	snathaniel@doi.nyc.gov	212-825-5939
Disability Rights Coordinator	Shayvonne Nathaniel	Administrative Manager	10%	snathaniel@doi.nyc.gov	212-825-5939
Disability Services Facilitator	Shayvonne Nathaniel*	Administrative Manager	10%	snathaniel@doi.nyc.gov	212-825-5939
55-a Coordinator	Shayvonne Nathaniel	Administrative Manager	10%	snathaniel@doi.nyc.gov	212-825-5939
Career Counselor	Shayvonne Nathaniel	Administrative Manager	10%	snathaniel@doi.nyc.gov	212-825-5939
EEO Counselors	Celeste Sharpe Gladys Cambi Gabriel Lipker Laura McCalla Shameika Nixon Katherine O'Toole	Deputy Counsel Deputy Inspector General Special Investigator Confidential Investigator Confidential Investigator Special Investigator	5%	csharpe@doi.nyc.gov gcambi@doi.nyc.gov glipker@doi.nyc.gov Imccalla@doi.nyc.gov snixon@doi.nyc.gov kotoole@doi.nyc.gov	718-901-6675 212-825-3240 212-825-2802 212-825-2892 212-825-0812 212-825-3711
EEO Investigator	None Designated	-	-	-	-
EEO Counselor\ Investigator	None Designated	-	-	-	-
Investigator/Trainer	None Designated	-	-	-	-
EEO Training Liaison	Laura Bowman	Inspector General	-	lbowman@doi.nyc.gov	212-825-2469

Other (specify)	-	-	-	-	-
Other (specify)	-	-		-	-

<sup>\*</sup> Please note changes (new personnel filling the specified role). You may insert additional entries as needed. Title refers to the civil service title. If there is an EEO\Diversity role that your staff performs that is not on the list above, you may indicate it on the chart. You may provide full contact information once if several roles are performed by the same person.