



The City of New York  
**BUSINESS INTEGRITY COMMISSION**  
100 Church Street · 20th Floor  
New York · New York 10007  
Tel. (212) 437-0500

## **BROKER CUSTOMER REGISTER CERTIFICATION**

This certification must be completed by a current principal of the registrant before a notary public.

ANY MATERIAL FALSE STATEMENT OR OMISSION MADE IN CONNECTION WITH THIS BROKER CUSTOMER REGISTER MAY SUBJECT THE PERSON AND/OR ENTITY MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, \_\_\_\_\_, being duly sworn, state:  
(Full Name)

That I am \_\_\_\_\_ of \_\_\_\_\_; and  
(Title/Position) (Registrant Name)

I have read and understood the information provided in the broker customer register for the period \_\_\_\_\_ through \_\_\_\_\_, which consists of \_\_\_\_\_ records (each customer constitutes one record); and that to the best of my knowledge, the information submitted to the Business Integrity Commission is full, complete and truthful.

**OR**

I have read the customer register instruction sheet and hereby request exemption from filing a broker customer register for the above reporting period only because the above registrant did not provide trade waste brokering services to any customers located in New York City for the period \_\_\_\_\_ through \_\_\_\_\_

BY \_\_\_\_\_  
(Signature of Principal)

\_\_\_\_\_  
(If corporation or partnership, state title)

Sworn to before me

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
(Notary Public)