

New York City Department of Correction Quarterly Emergency Lock-In Report FY21 Quarter 2 (October 1st-December 31st)

This report provides information regarding the rate of emergency lock-ins that the New York City Department of Correction (DOC or Department) is required to report pursuant to New York City Administrative Code §9-155. This reporting time frame is from October 1st – December 31st, 2020.

1. The number of department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

During this timeframe, the Department did not have a department-wide emergency lock-in.

2. The number of facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

Facility	Lock-In Reasons ¹	# Lock-Ins
RNDC	Tactical Search Operation	1

3. The number of lock-in extensions disaggregated by facility and housing area, in total and disaggregated by housing area type and reason for lock-in extension.

During this timeframe, the Department did not have any lock-in extensions.

4. The number of partial facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

Facility	Lock-In Reasons	# Lock-Ins
GRVC	Inmate Fight	1
	Tactical Search Operation	1
NIC	Tactical Search Operation	1

5. The number of housing area emergency lock-ins disaggregated by facility and housing area, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

Facility	HA Type	Lock-In Reasons	# Lock-Ins
AMKC	GP	Death of an Inmate	1
		Inmate Fight	3
		Investigation-other	3
		Search	4

¹ Emergency Lock-Ins are tracked using the following categories: Assault on Staff, Escape, Inmate Fight, Inmate Tension, Search, Red Alert, Slashing/Stabbing, SRG Activity, Tactical Search Operation, and UOF Investigation, Investigation- Other and Other.

Facility	HA Type	Lock-In Reasons	# Lock-Ins
		Slashing/Stabbing	6
		Tactical Search Operation	1
		UOF Investigation	7
EMTC	GP	Investigation-other	2
		Slashing/Stabbing	2
GRVC	GP	Inmate Fight	2
		Investigation-other	12
		Other ²	1
		Slashing/Stabbing	18
		Tactical Search Operation	2
	UOF Investigation	31	
	RESTRICTIVE	UOF Investigation	2
MDC	GP	Death of an Inmate	1
		Inmate Fight	1
		Investigation-other	4
		Other	1
		UOF Investigation	2
NIC	GP	UOF Investigation	1
OBCC	GP	Assault on Staff	1
		Inmate Fight	1
		Investigation-other	4
		Other	1
		Slashing/Stabbing	5
		SRG Activity	1
		UOF Investigation	6
	RESTRICTIVE	Investigation-other	14
		Other	2
		SRG Activity	1
		UOF Investigation	22
RMSC	GP	Investigation-other	2
		Other	1
RNDC	GP	Investigation-other	10

² Events that fall into the "Other" category include occurrences such as exit door being inoperable, inmate disturbance, investigation into act of violence, discovery of a contraband, investigation into use of force, and serious threat to the institution.

Facility	HA Type	Lock-In Reasons	# Lock-Ins
		Slashing/Stabbing	6
		Tactical Search Operation	1
		UOF Investigation	5
VCBC	GP	UOF Investigation	1

6. The mean and median number of incarcerated individuals housed in areas affected by housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the housing area type.

Facility	HA Type	Inmate Count (Mean)	Inmate Count (Median)
AMKC	GP	23.14	22.00
EMTC	GP	19.80	18.00
GRVC	GP	36.92	40.00
	RESTRICTIVE	7.00	7.00
MDC	GP	29.56	28.00
NIC	GP	1.00	1.00
OBCC	GP	33.52	34.00
	RESTRICTIVE	19.60	20.00
RMSC	GP	10.33	9.00
RNDC	GP	14.48	15.00
VCBC	GP	30.00	30.00

7. (a) The mean and median duration of emergency lock-ins disaggregated by department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (b) facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (c) partial facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (d) and housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and housing area type.

(a) During this timeframe, the Department did not have a department-wide emergency lock-in.

(b) Mean and median duration of facility emergency lock-in

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
RNDC	Tactical Search Operation	4.89	4.89

(c) Mean and median duration of partial facility emergency lock-in

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
GRVC	Inmate Fight	1.52	1.52
	Tactical Search Operation	5.00	5.00
NIC	Tactical Search Operation	4.57	4.57

(d) Mean and median duration of housing area (HA) emergency lock-in

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	GP	Death of an Inmate	6.28	6.28
		Inmate Fight	6.33	7.00
		Investigation-other	4.67	5.00
		Search	4.50	4.50
		Slashing/Stabbing	3.40	3.12
		Tactical Search Operation	4.70	4.50
		UOF Investigation	5.14	5.00
EMTC	GP	Investigation-other	4.00	5.00
		Slashing/Stabbing	4.67	4.67
GRVC	GP	Inmate Fight	1.87	1.87
		Investigation-other	4.16	4.92
		Other	2.00	2.00
		Slashing/Stabbing	4.31	5.00
		Tactical Search Operation	5.42	7.00
	UOF Investigation	4.42	5.00	
	RESTRICTIVE	UOF Investigation	5.40	5.40
MDC	GP	Death of an Inmate	2.50	2.50

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
		Inmate Fight	2.75	2.75
		Investigation-other	2.96	2.67
		Other	4.07	4.07
		UOF Investigation	3.67	3.67
NIC	GP	UOF Investigation	2.42	2.42
OBCC	GP	Assault on Staff	7.00	7.00
		Inmate Fight	1.75	1.75
		Investigation-other	4.50	4.50
		Other	0.25	0.25
		Slashing/Stabbing	4.95	5.00
		SRG Activity	2.00	2.00
	RESTRICTIVE	UOF Investigation	4.50	4.50
		Investigation-other	3.39	2.00
		Other	0.83	0.25
		SRG Activity	2.00	2.00
RMSC	GP	Investigation-other	4.50	4.50
		Other	5.17	5.17
RNDC	GP	Investigation-other	3.25	2.00
		Slashing/Stabbing	4.44	3.75
		Tactical Search Operation	7.00	7.00
		UOF Investigation	4.59	5.00
VCBC	GP	UOF Investigation	1.50	1.50

8. The number of times mandated services are affected by an emergency lock-in or lock in extension, disaggregated by service type

MANDATED SERVICE	SERVICE TYPE	MANDATED COUNT
EDUCATIONAL SERVICES	CANCELLED	0
	DELAYED	0
LAW LIBRARY	CANCELLED	6
	DELAYED	0

MANDATED SERVICE	SERVICE TYPE	MANDATED COUNT
PHONE CALLS	CANCELLED	14
	DELAYED	70
RECREATION	CANCELLED	16
	DELAYED	63
RELIGIOUS SERVICES	CANCELLED	0
	DELAYED	0
SICK CALL ³	CANCELLED	0
	DELAYED	0
VISITS	CANCELLED	8
	DELAYED	44

9. The mean and median duration of continuous lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	GP	Inmate Fight	6.33	7.00
		Investigation-other	4.67	5.00
		Search	4.50	4.50
		Slashing/Stabbing	3.40	3.12
		Tactical Search Operation	4.70	4.50
		UOF Investigation	5.14	5.00
EMTC	GP	Investigation-other	4.00	5.00
		Slashing/Stabbing	4.67	4.67
GRVC	GP	Inmate Fight	1.55	1.52
		Investigation-other	4.16	4.92
		Other	2.00	2.00
		Slashing/Stabbing	4.31	5.00
		Tactical Search Operation	5.06	5.00
		UOF Investigation	4.42	5.00
			Inmate Fight	1.52

³ The sick call triage hotline is always available to people during all lock out hours. An emergency lock in would not prevent access to the hotline unless access to phones had been restricted as a part of the emergency lock in measure. That said, emergency lock ins do not prevent medical services and anyone with a medical need can still access the clinic during an emergency lock in.

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
	NON-HOUSING AREA	Tactical Search Operation	5.00	5.00
	RESTRICTIVE	Inmate Fight	1.52	1.52
		Tactical Search Operation	5.00	5.00
		UOF Investigation	5.40	5.40
MDC	GP	Death of an Inmate	2.50	2.50
		Inmate Fight	2.75	2.75
		Investigation-other	2.96	2.67
		Other	4.07	4.07
		UOF Investigation	3.67	3.67
NIC	GP	UOF Investigation	2.42	2.42
OBCC	GP	Assault on Staff	7.00	7.00
		Inmate Fight	1.75	1.75
		Investigation-other	4.50	4.50
		Other	0.25	0.25
		Slashing/Stabbing	4.95	5.00
		SRG Activity	2.00	2.00
		UOF Investigation	4.50	4.50
	RESTRICTIVE	Investigation-other	3.31	2.00
		Other	0.83	0.25
		UOF Investigation	4.71	5.00
RMSC	GP	Investigation-other	4.50	4.50
RNDC	GP	Investigation-other	3.25	2.00
		Slashing/Stabbing	4.44	3.75
		Tactical Search Operation	5.02	5.00
		UOF Investigation	4.59	5.00
	NON-HOUSING AREA	Tactical Search Operation	4.89	4.89
VCBC	GP	UOF Investigation	1.50	1.50

10. The number of times that the duration of a continuous lock-in exceeds 24 hours, disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.⁴

Facility	HA Type	Lock-In Reasons	# Exceeds 24 Hour Lock-In
GRVC	GP	UOF Investigation	1
OBCC	GP	Slashing/Stabbing	1
	RESTRICTIVE	UOF Investigation	2

⁴ Pursuant to local law 164, a continuous lock-in as used in this report refers to any period of time in which incarcerated individuals are confined to their cells or beds due to the combination of an emergency lock-in and either a scheduled lock-in or a lock-in extension, or both. For the purposes of this data metric, these 24-hour lock-in periods are continuous lock-ins, including both scheduled lock-ins and emergency-lock-in periods.