

*Ethics lights the way
to good government*

The Ethical Times

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Personal Benefits?

By
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A big difference between public service and work in the private sector sometimes has to do with the kinds of benefits we can take advantage of in those positions. I'm not speaking about pensions or flexible spending accounts or health plans, but the ways in which people may try to use their work positions to get personal benefits for themselves or their families. In the private sector, depending on the company, that may be the norm, but in the public sector, it might very well be a violation of the Conflicts of Interest Law.

Public service is a public trust. That trust relationship isn't just with our employer and our clients, like you might imagine it being in the private sector. In public service, our trust relationship is to all people who hold stakes in government. That's everyone: your agency, the people your agency serves, the people your agency regulates, the vendors competing on City bids, taxpayers, voters, recipients of government services, good government groups, and the press. They deserve the appearance and reality that the choices made by public officials serve the public's interest and are not compromised by the officials' private interests. I'll hit on a few kinds of behavior that, while possibly OK in the private sector, would not be OK for a New York City public servant.

Gifts – In the private sector, accepting or giving gifts to people one does business with might be common practice. However, taking gifts from private sector people you deal with as a part of your City job is usually a bad idea, quite possibly a violation of your agency code of conduct, and also a violation of the Conflicts Law. No one should be made to feel that giving a gift to a public servant is either required or a way to get special treatment. Also, when public servants accept gifts, questions about the integrity of their actions could arise. Many agencies have a zero tolerance rule about accepting gifts from members of the public with whom its employees deal. Even if your agency doesn't have such a rule, the Conflicts Law prevents you from accepting a "valuable" gift from ANYONE doing business or seeking to do business with the City. Valuable is defined as \$50 or more and the rule is aggregate and cumulative (meaning that \$50 can be one gift or the total of gifts given from one source in any consecutive 12-month period.)

Tips - Related to Gifts, but covered by a different section of law, is the concept of gratuities, or tips. Generally a tip is defined as

something given to a person for performing his or her job. As City employees we aren't allowed to accept ANY tip from anyone for doing the job the City pays us to do. (That's different than the \$50 gift rule—here no amount is allowed.) No one should feel like they need to or could pay extra to get government services entitled to them.

Access – In your City position, you probably have access to certain people and certain kinds of privileged information. That access is for getting the public's business done, not your own or an associate's. This is kind of an obvious point, but it's worth mentioning, as there have been a number of enforcement cases involving public servants trying to use access to people, information, or both, to secure a benefit for themselves or an associate. Examples include social services caseworkers using access to confidential City databases to address disputes with private tenants or ex-spouses, or a City employee at the Department of Correction giving confidential information about an assault to someone whose relative was involved in the assault, or a City plan examiner using his connections at Consumer Affairs to try to expedite a complaint against a contractor working on his private residence. None of these turned out well for the City employee involved.

Networking & Job Searches – When thinking about changing jobs, you might naturally consider applying for work with a company that you've dealt with in your City job. Be careful. The Conflicts Law prohibits you from seeking employment with any private entity that you currently deal with. Notice that last sentence says, "currently." So, if you dealt with company six months ago and have finished those dealings completely, you'd be fine. If, however, you've finished one matter with the company this week and expect to start another next week, that's the time to go to your supervisor and see if you can be recused from any dealings with that company for the duration of your seeking employment with the company. If your supervisor agrees, then you may pursue a job with the company. Even discussing the possibility of a job interview with a company that you deal with for the City will create a question of loyalties on the project, so you'd want to discuss recusal options with your boss early, before any discussions with the company regarding the job occur.

The other kind of job search is the one where a relative or associate seeks a job from your agency while you still work there. While that relative is free to apply and be considered like anyone else, you can't be involved in any part of a hiring process that involves the relative. No interviews, no

recommendations, no selection committees, nothing. And if the relative does get hired, neither of you could be in a supervisory role over the other.

Resources - The last thing to consider is City resources: copiers, computers, vehicles, paper, printers, phones, and the like. No City time or resources can be used to benefit your private financial interests or the interests of a political campaign. That's different from some private sector employers who might give you a whole day of the week to work on outside projects - some tech firms reportedly do this. The City doesn't. The taxpayer pays us to do our City jobs and not our own pet projects. Alas, you'll have to use your own time to develop the next Candy Crush.

Questions? Reach out to the Conflicts of Interest Board for free confidential legal advice. Someone is available to speak with you 9-5, Monday through Friday and you even call anonymously. The number is 212-442-1400

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Recent Enforcement Cases

▶ The Queens Republican Commissioner of the New York City Board of Elections ("BOE") was fined \$10,000 for using his position to twice promote his daughter's domestic partner to higher positions in the BOE Queens borough office. Both promotions came with pay increases. The first promotion was approved by vote of the full Board of Commissioners, and the second by the Queens Commissioners. By voting to approve the promotions, the Commissioner's actions amounted to a misuse of his official position to benefit his daughter.

▶ A Child Protective Specialist Supervisor II for the New York City Administration for Children's Services

("ACS") received an eight-day suspension for looking up the status of an ACS investigation involving her brother and nephew in a confidential database of child abuse and maltreatment investigations used by ACS and other child protective services throughout the state. This matter was a joint resolution with ACS and COIB.

▶ The Acting Executive Director for the Case Review and Support Unit at the City's Administration for Children's Services (ACS) was fined \$3,500 for accepting a free meal for herself and her ACS staff from a day care provider as a "thank you" for helping the provider be reinstated at ACS. The City's conflicts of interest law prohibits City employees from accepting a gratuity from a person whose interests may be affected by the City employee's official action. The Acting Executive Director also held a prohibited position at a not-for-profit organization that did business with multiple City agencies and, as part of her job for the organization, she wrote two reports during her ACS work hours and then used an ACS fax machine to send the reports to the organization. This was a joint settlement with ACS and COIB.

▶ An Associate Job Opportunity Specialist for the NYC Human Resources Administration (HRA) agreed to resign her position for misusing HRA's confidential database of public assistance cases to look up her private tenant's case records on 148 dates to determine the status of the tenant's benefits. The employee also used the confidential database to acquire information regarding an acquaintance of her sister and disclosing this confidential information to her sister. The matter was a joint settlement with HRA and COIB.

▶ An HRA Eligibility Specialist II received a ten-day suspension for misusing HRA's confidential database of public assistance cases to determine the status of a relative's benefits case and then misused her HRA position to fill out a form giving the false impression that the relative had called HRA's Infoline to complain that their benefits case was inactive. The City's conflicts of interest

law prohibits City employees from using their positions to benefit, or attempt to benefit, certain relatives, specifically a spouse, domestic partner, child, parent, or sibling. This was a joint settlement with HRA and COIB.

Congratulations! to the winner of the Conflict of Interest Board's September Public Service Puzzler contest:

Felipe Flores, a User Experience Design Lead for the Department of Information Technology & Telecommunications.

You can read Mr. Flores' bio and get the details for the March Public Service Puzzler by clicking [here](#)



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Interested in more information? Get in touch with COIB's Training & Education Unit to arrange a class in Chapter 68 for you and your staff. Contact Alex Kipp, Director of Training, at kipp@coib.nyc.gov

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