

Proposed Consolidated Plan

Annual Performance Report 2014
Volume 1



NYCTM PLANNING
DEPARTMENT OF CITY PLANNING CITY OF NEW YORK

Effective as of May 8, 2015

Proposed Consolidated Plan

2014

Annual Performance Report
Volume 1



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DCP# 15-03

Proposed 2014 Consolidated Plan Annual Performance Report (APR)

May 8, 2015

VOLUME 1

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Credits

PREFACE

This 2014 Proposed Consolidated Plan Annual Performance Report (APR) reports on the City of New York's performance in using the funds from the four U.S. Department of Housing and Urban Development's (HUD) Office of Community Planning and Development Entitlement Programs: Community Development Block Grant (CDBG); HOME Investment Partnerships (HOME); Emergency Solutions Grant (ESG); and Housing Opportunities for Persons with AIDS (HOPWA). The performance is based on funds received, committed and accomplishments made as reported in the 2014 Consolidated Plan whose program year was from January 1, 2014 to December 31, 2014.

Federal regulations require the City to submit an APR to HUD no later than 90 days after the end of the program year. This document was scheduled to be submitted to HUD on March 31, 2015 as per federal requirements.

However, as a result of the impacts of new Consolidated Plan formulation and submission guidelines the various New York City agencies responsible for the formulation of the Performance Report had to reallocate staff and resources to the formulation of the City's Proposed 2015 Consolidated Plan Five-Year Strategic Plan and One-Year Action Plan.

The reallocation of resources necessitated the City of New York to request, and subsequently receive, from HUD a waiver to the federally mandated APR public review and submission deadlines. As a result of this sixty (60) day extension, the City's 2014 Proposed Consolidated Plan Annual Performance Report will now be submitted by June 1, 2015.

This is sixteenth year the City of New York has used HUD's budgeting system called, the Integrated Disbursement and Information System (IDIS). As with the City's 1999 APR, the required elements of the 2014 APR come from the reports generated from IDIS.

The public comment period is from May 8, 2015 to May 22, 2015. A letter announcing this comment period was sent to over 2,000 New York City residents, organizations, and public officials. In addition, the public comment period was announced in three local citywide newspapers, one English-language, one Spanish-language and one Chinese-language.

Any questions on the content or substance of the *Proposed 2014 Consolidated Plan APR* should be directed to:

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Written comments on the *Proposed 2014 Consolidated Plan APR* should be sent to Mr. Sorrentino at the Department of City Planning, 22 Reade Street 4N, New York, New York 10007.

Written comments may also be submitted via email to: 2014ConPlanAPR@planning.nyc.gov.

Comments received by the end of the comment period (close of business) regarding the City's use of these federal funds will be summarized and Agencies' responses incorporated into this document for submission to HUD.

INTRODUCTION

This Proposed 2014 Consolidated Plan Annual Performance Report (APR) reports on the performance of the four Entitlement Programs: Community Development Block Grant (CDBG); HOME Investment Partnerships (HOME); Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA). The activities described occurred from January 1, 2014 to December 31, 2014.

This Report is a five-part document which contains a summary of the programmatic accomplishments for projects and evaluates New York City's performance in meeting its priorities and objectives.

Volume One contains Part I., Annual Performance in its entirety. Part I describes New York City's performance based on the resources available in 2014. The volume also includes the HUD-mandated HOPWA-specific performance report: *Housing Opportunities for Persons with AIDS – Consolidated Annual Performance and Evaluation Report (HOPWA-CAPER)*, and the recently implemented IDIS electronic performance reporting modules for the City's ESG funded programs (*ESG eCAPER*).

Volume Two contains Part II. The Status of Actions Undertaken in Previous Year, which summarizes the actions taken to implement the Plan, including the City's review of its Continuum of Care. Parts III. Evaluation of Annual Performance; Part IV. Affirmatively Furthering Fair Housing (AFFH); and, Part V. Citizen Participation, are also contained within this volume, respectively.

The Evaluation of Annual Performance assesses the City's effectiveness in meeting the one-year action plan for allocating the funds, assisting households and persons with housing, and providing other services. The Affirmatively Furthering Fair Housing provides a one-year update to the City's activities and actions to promote fair housing choice. Citizen Participation includes the census tract maps of each borough which include the areas of directed assistance and other HUD requirements.

There are five volumes of appendices. Volume III., has been divided into four volumes totaling over 1,000 pages. These four volumes include only data on the Community Development Block Grant Program and contain the "offline" information on site-specific expenditures, accomplishments and site lists. This data was too voluminous to enter into the Integrated Disbursement and Information System's (IDIS) limited accomplishments fields. To request a copy of Volumes II., II.A., II.B., and II.C., please call (212) 788-6152.

The last volume, Volume III, includes only the IDIS reports. The IDIS reports included in this Appendix are: seven reports reporting data on each of the Entitlement Programs; two reports on ESG data only; and three reports on HOME data only. Volume III (parts A, B and C) is approximately 500 pages, and will be provided upon request. Please call 212-720-3337 for this volume.

PART I -- Annual Performance for the 2014 Consolidated Plan

Part I is divided into three sections: A. Assessment of Entitlement Programs; B. Other Funding Sources; and C. Progress in Providing Affordable Housing. These sections describe the City's allocation of the funds received from HUD, the State, private entities, and City capital and expense budgets to address the goals and objectives outlined in the 2010 five-year Consolidated Plan strategic plan. The programs designed to address the five-year Strategy are described in the 2014 Consolidated Plan Action Plan. There are approximately 70 housing, homeless, supportive housing, and community development programs. The City's intention is to provide decent housing, suitable living environments and expand economic opportunities for its residents. The funds allow for the provision of additional housing, homeless services, and supportive services to the inhabitants of New York and assists in meeting our goals to address the needs of the City's population, especially the low-income population.

A. Assessment of Entitlement Programs

This is the fourteenth year that the City is using HUD's Integrated Disbursement and Information System (IDIS) for reporting its performance for the CDBG, HOME, ESG, and HOPWA Programs. Since several IDIS reports are complicated and difficult for the general public to comprehend, the City has provided the essential information on each HUD Entitlement Program, in DCP Tables 1 - 11. Two tables which include the most essential variables are: 1) the Program Expenditures, and 2) Program Accomplishments Tables (a.k.a., DCP Tables # 1 and 2, respectively). These tables provide the information required by HUD. The IDIS reports required for public review are C04PR06 CP Annual Performance and Evaluation Report and C04PR23 Summary of Accomplishments. The reports are located in Volume III: HUD's Integrated Disbursement and Information System (IDIS) Reports. Volume III is approximately 200 pages, and will be provided upon request. Please call 212-720-3337 for this volume. A third report required for public review is the C04PR03 Summary of Activities. The Summary of Activities may be found in Volume II: CD Addenda. Please call (212) 788-6152 to obtain a copy. Only one copy of the Addenda and Appendices will be provided to each individual or organization.

It should be noted that at the end of 2009 HUD completed an upgrade to the IDIS reporting platform. However, as the result of system modifications, there were certain inconsistencies between the data entered by the formula entitlement grant administering agencies and the data presented on the various reports. Therefore, the reader is advised to interpret the data depicted in Volume III with caution. Furthermore, where necessary, the City of New York relied on its background data and not the data generated by the IDIS report.

This Volume, One, provides complete information on the City's 2014 performance with entitlement funds. This Assessment section defines the Entitlement funds received, allocated, committed and expended/drawn down/disbursed and accomplishments for the 2014 Consolidated Plan year, January 1, 2014 to December 31, 2014. It includes: assessment of progress in fulfilling the vision of five-year strategy; adjustments in funding; accomplishments; and nature and reasons for changes to program objectives. The City has reviewed, as it does every year, the progress made with the entitlement funds to address the priorities and objectives stated in the five-year strategy as described in the 2010 Consolidated Plan.

In addition, included is the second requirement which is to describe the adjustments made in each program's funding. Each agency that administers an entitlement grant has described the program differences, if any, between the allocation as indicated in the 2014 Consolidated Plan, and the actual funding amounts committed, and any differences in how the funds were expended/drawn down/disbursed. It should be noted the 2014 allocation for the proposed activities is based on the actual monies received by the respective formula grant programs for FFY2014. The Program Expenditures Table (a.k.a., DCP Table # 1) lists all the expenditures by program.

For the Community Development Block Grant, and HOME Investment Partnership, each program's accomplishments is reported and the differences between the proposed, amended and the actual accomplishments were explained if the difference was greater than 25 percent. These proposed accomplishments, listed on Program Accomplishments Table (a.k.a., DCP Table #2), were reported in the Proposed 2014 Consolidated Plan and represent the City's estimation for the year, January 1, 2014 to December 31, 2014. However, the accomplishments may have been completed with prior year funding, program income, accruals and disallowances.

In 2007, HUD released new annual performance reporting requirements for the Housing Opportunities for Persons with AIDS program which differed from the HUD Office of Community Planning and Development (CPD) annual performance reporting guidelines used in previous years to assess the provision of housing and supportive housing services to persons living with HIV/AIDS (PLWAs). The new reporting guidelines superseded DCP Tables 1 and 2 (Expenditures and Accomplishments, respectively) with a new HUD-defined accomplishment and expenditure chart, and required localities to assess their accomplishments based on HUD-defined client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care. In addition, the assessment for the HOPWA program differs from previous APRs in that the accompanying HOPWA narrative and charts aggregates the data for New York City and the HOPWA programs of the upstate EMSA Counties of Putnam, Rockland and Westchester. Therefore, the reader is advised to please interpret the data with caution.

For the 2014 Consolidated Plan Program Year entitlement grantee localities were required to complete and submit the Emergency Solutions Grant (ESG) portion of the annual Consolidated Annual Performance and Evaluation Report (CAPER) electronically through HUD's eCon-Planning/eCAPER Suite in the Integrated Disbursement and Information System (IDIS) regardless if they had used the eCon-Planning Suite to formulate and submit their 2013 Consolidated Plan One-Year Action Plan. Similar the HOPWA performance report the ESG eCAPER supersedes DCP Tables 1 and 2 (Expenditures and Accomplishments, respectively) with new HUD-defined accomplishment and expenditure charts. In addition, HUD ESG regulations require municipalities that receive Emergency Solutions Grant entitlement funds to disburse the funds within two years from the start of the given year's grant agreement. The City of New York expends ESG funds on a 'first-in-first-out' (FIFO) basis. Therefore, the City did not use 2014 ESG monies to fund the eligible activities until the 2013 funds are fully expended. However, the requested 2014 ESG program year funds will be completely expended by the end of 2015. Therefore, the reader is advised to please interpret the expenditure data with caution.

Additional income, race, and ethnicity information on the accomplishments for all four entitlement programs can be found in Section C., Progress in Providing Affordable Housing. Lastly, the agencies described any reasons for substantial changes to the program objectives. The definition of a substantial change can be found in the citizen participation section of the 2014 Consolidated Plan. Such a change is defined by a change in any site or area from one borough to another; the deletion or addition of an activity; and the reduction of greater than 50% of any total activity category of funding.

HUD Performance Outcome Measurement System

For the 2014 Consolidated Plan Program Year the City of New York was required to use the U.S. Department of Housing and Urban Development's Performance Outcome Measurement System. The Performance Outcome Measurement System was developed to enable HUD to collect and aggregate standardized performance data on entitlement-funded activities from all entitlement grantees nationwide for use in reporting to Congress on the effectiveness of its formula entitlement programs in meeting the Department's strategic objectives.

The outcome performance measurement system includes objectives, outcome measures and performance indicators that describe the intended outputs of the various entitlement funded activities. Formula entitlement grantees were required to categorize their respective grant program's activities by three (3) federally-defined objectives: creating Suitable Living Environment; providing Decent Affordable Housing; and Creating

Economic Opportunities which, combined with the three (3) performance outcome categories, Accessibility/Availability; Affordability; and Sustainability, created nine (9) performance measurement statements. In addition to determining their respective entitlement program's performance outcome measurement, localities were required to collect and enter into the HUD Integrated Disbursement and Information System (IDIS) accomplishment data according to eighteen (18) federally-defined Performance Indicator categories. Performance Indicator categories encompass housing construction and rehabilitation, public services and facilities, business/economic development, and homelessness prevention-related activities.

In the fall of 2006 the City of New York implemented HUD's Performance Outcome Measurement System in preparation for its 2007 Consolidated Program Year-funded activities. Beginning January 1, 2007 the City began collecting data on its programs according to the nine (9) federally-defined performance measurement statements and intended to report on Performance Indicator data in its Proposed 2007 Consolidated Plan Annual Performance Report for the 2007 Program Year's activities (the first full year of collecting Performance Indicator data). However, as mentioned previously there are various report regeneration inconsistencies within the IDIS system. Therefore, until the IDIS reports with the necessary Performance Indicators accomplishment data become available, the City of New York has formulated its APR for the entire 2014 Consolidated Plan Program Year using the reporting requirements and format that were used to report on the City's 2006 Program Year.

2014 Formula Entitlement Program Expenditures by HUD Performance Outcome Objective Statement

As mentioned previously, HUD's Performance Outcome Measurement System required formula entitlement grantees to categorize their respective grant program's activities by three (3) federally-defined objectives: creating Suitable Living Environment; providing Decent Affordable Housing; and Creating Economic Opportunities which, combined with the three (3) performance outcome categories, Accessibility/Availability; Affordability; and Sustainability, created nine (9) performance measurement statements. The nine performance outcome measurement statements are:

- Accessibility for the purpose of providing Decent Affordable Housing
- Affordability for the purpose of providing Decent Affordable Housing
- Sustainability for the purpose of providing Decent Affordable Housing
- Accessibility for the purpose of creating Suitable Living Environments
- Affordability for the purpose of creating Suitable Living Environments
- Sustainability for the purpose of creating Suitable Living Environments
- Accessibility for the purpose of creating Economic Opportunities
- Affordability for the purpose of creating Economic Opportunities
- Sustainability for the purpose of creating Economic Opportunities

For New York City's 2010-2014 Consolidated Plan Five-Year Strategy Plan, the City organized its housing, homelessness, supportive housing and community development strategic objectives according the nine performance outcome measurement statements as per HUD requirements. The objectives are articulated in the 2010 Consolidated Plan, Volume 2, Part II., Five-Year Strategic Plan – Priorities and Actions, HUD Table 1C., Summary of Specific Objectives by Performance Outcome Measurement Code.

As mentioned above, the IDIS reports which report on Performance Indicator data have not been modified to display the outcome objectives/indicators mandated as a result of the performance measurement initiative. However, the following Performance Outcome Objective Statements for the respective formula entitlement programs were formulated by aggregating the respective programs' expenditures by their assigned Outcome Objective Statement.

2014 Formula Entitlement Program Expenditures by HUD Performance Outcome Objective Statement

Community Development Block Grant (CDBG)

- Four programs expended an accumulative total of approximately \$953,800 for the purpose of providing increased or new accessibility to decent affordable housing.
- Eight programs expended an accumulative total of approximately \$39,380,200 for the purpose of providing increased affordability for decent housing.
- Three programs funded for the full program year expended an accumulative total of approximately \$39,949,200 for the purpose of providing sustainability of decent affordable housing.
- Twelve programs expended an accumulative total of approximately \$21,860,200 for the purpose of creating/improving accessibility to suitable living environments.
- One program expended a total of approximately \$2,941,700 for the purpose of creating/improving affordability for suitable living environments.
- Six programs expended approximately \$6,484,700 for the purpose of creating/improving sustainability of suitable living environments.
- Three programs funded for the full program year expended approximately \$4,229,700 for the purpose of creating/improving accessibility to economic opportunity.
- Five programs expended an accumulative total of approximately \$48,778,100 to undertake CDBG-eligible housing and community development non-housing activities for which there is no appropriate HUD Performance Indicator or applicable HUD-defined outcome/objective statement.

HOME Investment Partnerships (HOME)

- Five programs expended an accumulative total of approximately \$86,600,300 for the purpose of providing increased or new accessibility to decent affordable housing.
- Four programs expended an accumulative total of approximately \$13,616,700 for the purpose of providing increased affordability of decent housing.
- Two programs expended approximately \$2,269,500 for the purpose of increased sustainability of decent affordable housing.

Emergency Shelter Grant (ESG)

- One program expects to receive a cumulative total of \$926,829 for the purpose of providing accessibility to decent affordable housing.
- One program expects to receive a cumulative total of \$1,257,700 for the purpose of providing sustainability for decent affordable housing.
- Two programs expect to receive a cumulative total of \$6,643,100 for the purpose of providing accessibility for suitable living environments.

Housing Opportunities for Persons with AIDS (HOPWA)

For the evaluation of New York City's Housing Opportunities for Persons with AIDS (HOPWA) grant-funded performance in 2014, please refer to Volume 1., Part I.A.4., HOPWA Consolidated Annual Performance and Evaluation Report (CAPER).

1. COMMUNITY DEVELOPMENT BLOCK GRANT (CD or CDBG)

The City's Consolidated Plan 2014 Year (calendar year) is the same as the Fortieth Community Development Year (CD 40). The City had projected in the 2014 Proposed Consolidated Plan that it would receive \$152,575,507 in FFY '14. To supplement the FFY '14 Entitlement, the City had projected that a total of \$67,180,493 would be available from program income and accruals. Thus, the City projected that a total of \$219,756,000 would be available for allocation to programs in 2014/CD 40. To satisfy HUD's APR reporting requirements, the City also projected that there would be \$318,000 available under the Neighborhood Housing

Services (NHS) Revolving Loan Fund (which did not receive a 2014/CD 40 allocation). Therefore, the total 2014/CD 40 budget was projected to be \$220,074,000.

The actual FFY '14 CDBG Entitlement grant for New York City was \$153,554,426 (line 1, column B of the Summary Table of Funding Resources). A total of \$64,929,652 was actually available from program income (including \$99,838 in NHS funds) and accruals to supplement the '14 Entitlement. Thus, the total funds available in 2014/CD 40 were \$218,484,078.

The programs that are reported on in this document are only those that received an allocation in 2014 and relate to the objectives stated in the 2010 Consolidated Plan. Programs that are still spending their remaining funds from allocations made prior to 2014 can be found in the CD Addenda and Appendices. The CD Addenda also provides more detail on program accomplishments. The Addenda includes the "Activity Summary (GPR) for Grantee Number: 364436/0001," a HUD Integrated Disbursement Information System (IDIS)-generated report. The Addenda also includes other "offline" information on site-specific expenditures and accomplishments that were too voluminous to enter into IDIS' limited accomplishments fields.

The Appendices also contain other "offline" HUD-required CD information. Volume II, Appendix A contains site addresses for the Emergency Repair Program; Litigation; Private Buildings Seal-Up; and Targeted Code Enforcement (partial list, continued in Volume II, Appendix B). Volume II, Appendices B and C contain the balance of the Targeted Code Enforcement sites. Volume II, Appendix D contains site addresses for three HPD areas of responsibility (Private Buildings Seal-Up, Division of Property Management, and Property Disposition and Finance) and site addresses for DSNY's Vacant Lot Clean-Up Program and DPR's Land Restoration Program and GreenThumb. Appendix D also contains CD eligibility determinations for census tracts linked to all of the aforementioned programs.

These volumes total to over 1,000 pages and will only be provided upon request. Please call (212) 788-6152 to obtain a copy of the Addenda or the Appendices. Only one copy of the Addenda and Appendices will be provided to each individual or organization.

The overall goal of the CD Program is that the cumulative actions of the various CD-funded activities will improve the overall conditions and quality of life in low- and moderate-income neighborhoods. Through property rehabilitation, historic preservation, vacant lot clean-up, and the provision of a variety of services to the residents of these areas, CD funds assist in the short- and long-term preservation and improvement of low- and moderate-income neighborhoods.

The 2014 Program Accomplishments Table (see page I-23) includes the proposed and actual accomplishments for the CD-funded programs. Variance explanations for those programs that exceeded or failed to meet their proposed accomplishments by 25% or more are also discussed below. Additional information on the performance of the CD programs is contained in the CD Addenda and Appendices.

The CD 40/2014 Program Expenditure Table can be found on page I-20.

Summary of Specific Objectives by Performance Outcome Measurement Code

Following are the five-year CD program objectives, which were identified in the 2010 Consolidated Plan in Table 1C: "Summary of Specific Objectives by Performance Outcome Measurement Code." After each is an indication of how CD funds are currently used to meet those objectives and, if applicable, how those objectives have changed. Programs that have been added or deleted during 2014 are also discussed.

DH-1 Availability/Accessibility for the purpose of providing Decent Affordable Housing

Continue to promote long-term, community-based residential options with supporting services for the elderly who need help with daily living activities, housekeeping, self-care, social services and other assistance in order to continue to live independently in the community.

The New York City Housing Authority operates the Elderly Safe-at-Home and Senior Resident Advisor Programs. Elderly Safe-at-Home's trained paraprofessionals provide the elderly at seven NYCHA developments in the Bronx with a variety of services including crime prevention education, crisis intervention, assistance with benefits and entitlements, assistance in daily living, and escort to medical facilities. In 2014, Elderly Safe-at-Home provided 2,219 people with 17,440 units of service.

The Senior Resident Advisor Program operates in 22 NYCHA developments citywide (12 are CD-funded) that are exclusively for the elderly and tenants with disabilities. Advisors provide 24-hour care at selected live-in sites and 9-5 coverage at non-live-in sites. Advisors intervene in crisis situations and provide case management according to a plan devised by the program's Social Work Supervisor. In 2014, the Senior Resident Advisor Program provided 2,366 people with 21,256 units of service.

Continue to fund the removal of architectural barriers in rental dwellings and owner-occupied residences, thereby helping people with disabilities to remain in their homes and to maintain their independence.

The Mayor's Office for People with Disabilities operates Project Open House, which removes architectural barriers from the homes of New York City residents who have mobility impairments. The program collaborates with the Department of Housing Preservation and Development, using their contractors to complete the modifications. The program estimated that five rental units and five owner-occupied units would be modified. The actual accomplishments were 5 owner-occupied units and 70 renter-occupied units completed. The number of rental units completed was significantly higher than the proposed because a ramp was placed in front of a building with 66 units. Since the ramp can be accessed by all tenants, the 66 units are represented in the accomplishment number.

Continue to educate builders, landlords, architects, and people with disabilities about fair housing issues as they relate to people with disabilities.

The Mayor's Office for People with Disabilities' Housing Information and Education provides information to the public regarding housing accessibility. Information includes that related to housing discrimination, fair housing laws, barrier removal programs, and technical and legal guidance relating to the design and construction of accessible and affordable housing. In 2014, the program provided 288,554 units of service: the website had 266,866 hits and staff sent 1,084 letters, provided 20,572 instances of phone outreach, and responded to 32 walk-in visits. In addition, MOPD hosted its annual Disability Mentoring Day, which paired over 720 disabled students and job-seekers with employers throughout the City as part of the effort to increase employment opportunities for the disabled community. MOPD also hosted the second annual Access to Independence Transportation Expo, which informed an estimated 260 New Yorkers with disabilities about accessible transportation options. Finally, MOPD and the NYC Department of Sanitation cohosted the Winter Weather Access and Mobility Summit, which provided information on how individuals with disabilities can prepare for winter weather.

DH-2 Affordability for the Purpose of Providing Decent Affordable Housing

Preserve and improve the existing supply of both occupied and vacant privately-owned affordable housing. Administer a variety of loan and grant programs through the Department of Housing Preservation and Development (HPD) to enable nonprofit groups and qualified for-profit owners to rehabilitate and improve the existing supply of occupied and vacant privately-owned residential properties (including the reduction of potential hazards such as lead-based paint poisoning) for very low-, low-, and moderate-income New Yorkers.

Pursue a special intervention strategy of education (including education on the City's Lead Paint law), investment, and enforcement for targeted projects that have been identified as in jeopardy of being abandoned.

As part of HPD's anti-abandonment efforts, CD and City capital budget funds are used under the 7A Program for renovations and for systems repair/replacement in buildings that have been abandoned by their owners. The goal is to ultimately sell the buildings to responsible owners. In 2014, rehabilitation was completed in one building with 54 units. Program income is generated when loans are repaid by buildings that can support such a repayment.

Maintain the stock of HPD-managed City-owned buildings until they are ready to be transferred to the Division of Alternative Management Programs (now known as Property Disposition and Finance).

In Rem Property Management

CD funds are used by the Department of Housing Preservation and Development (HPD) to operate and maintain City-owned in rem (tax foreclosed) housing until it can be sold to responsible owners. In 1995, there were over 26,000 units in occupied buildings within HPD's Central Management inventory. The City's disposition efforts have substantially reduced the in rem occupied building inventory. By 12/31/14, disposition efforts had reduced the inventory to 26 occupied buildings with 100 units (60 occupied and 40 vacant). The CD-funded Superintendent Contract, Material Management and Procurement, Property Management, Building Maintenance and Repair, and Project Support programs assist in providing for the operation and maintenance of the buildings in HPD's Central Management inventory. Please note that the Accomplishment Chart reflects 60 units. This is because HUD's Integrated Disbursement and Information System limits the City to reporting on the occupied units for the purpose of documenting the percentage of low- and moderate-income households.

Use CDBG funds to maintain and City Capital funds to rehabilitate and return the stock of City-owned buildings to a range of responsible private owners in order to improve living conditions in these buildings while maintaining affordability for very low-, low-, and moderate-income tenants. Continue, through HPD, to accelerate the sale of its in rem buildings to tenants, nonprofit organizations and selected for-profit owners.

To further the goal of selling City-owned residential (in rem) buildings to tenants and nonprofits, CD funds continue to be used by HPD to pay for fuel and utilities and minor repairs in buildings in the Division of Property Disposition and Finance inventory. As of 12/31/14, there were 234 buildings (197 City-owned and 37 privately-owned) with a total of 3,350 housing units in all of the Property Disposition and Finance programs. Of the 3,350 units, 2,977 were City-owned. Of the 2,977 City-owned units, 1,954 were occupied (reflected in the accomplishments chart) and 1,023 were vacant. Please note that the projected accomplishments were based on all units, occupied and vacant, within the occupied PDF inventory; the actual accomplishment was based solely on the occupied units. Under the Tenant Interim Lease Program, there were 125 buildings with 2,065 units in the program as of 12/31/14. There were no TIL units in which rehabilitation was completed (with City capital funds). There were no TIL buildings sold in 2014. CD program income is generated when TIL buildings are sold to tenants.

Prevent displacement and reduce cost burdens for low- and moderate-income New Yorkers by finding ways to enable special needs populations, such as youth aging out of foster care and formerly homeless households, to afford to live in permanent housing.

The Housing Litigation Program was renamed Litigation in 2011. When landlords fail to correct Housing Code violations, HPD's Housing Litigation Division represents the City in compliance actions instituted by HPD in the Housing part of the Civil Court. In 2014, HPD litigated 16,528 cases, which resulted in the elimination of 52,379 code violations. They involved heat and hot water cases, tenant-initiated actions, violations for failing to register multiple-dwelling buildings, obtaining access warrants, and comprehensive cases. Additionally, the Landlord Tenant Litigation Division continued to handle all tenant-related legal actions in buildings managed by HPD's Division of Property Management (DPM). These cases impacted a total of 171,468 units, compared to

the 118,000 units that were projected. The large difference between the proposed accomplishment figure and the actual is due to the fact that the program's activities are complaint-driven. In 2014, the program experienced a heavier workload than anticipated. Program income is generated from civil penalties assessed against property owners for violations of the State and City Housing Codes.

Under the HPD Emergency Shelters program, HPD provides emergency relocation services to tenants displaced as a result of fires or vacate orders issued by the Department of Buildings, Fire Department, or HPD. In 2014, 481 households with children (consisting of 2,036 persons) and 786 adult households (consisting of 1,130 persons) received relocation assistance, for a total of 1,267 households (3,166 persons) served. A total of 199 families with children and 189 adult households (388 total households) were later relocated to permanent housing. Please note that the original accomplishment projection (1,274) was expressed as number of households assisted. The actual accomplishment of 3,166 reflects the number of persons assisted.

DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing

Preserve and improve the existing supply of both occupied and vacant privately-owned affordable housing. Administer a variety of loan and grant programs through the Department of Housing Preservation and Development (HPD) to enable nonprofit groups and qualified for-profit owners to rehabilitate and improve the existing supply of occupied and vacant privately-owned residential properties (including the reduction of potential hazards such as lead-based paint poisoning) for very low-, low-, and moderate-income New Yorkers. Pursue a special intervention strategy of education (including education on the City's lead paint law) investment, and enforcement for targeted projects that have been identified as in jeopardy of being abandoned.

The Emergency Repair Program (ERP) works to ensure that immediately hazardous "Class C" violations are corrected by private landlords. When landlords do not voluntarily comply, CD-funded repairs are made by the Emergency Repair Bureau. HPD had projected that it would complete non-lead repairs in 14,500 units in 2014. The actual number was 9,181. In addition, HPD projected that it would correct 1,800 lead violations. HPD corrected 1,192 lead violations in 2014. HPD also sealed 53 privately-owned buildings. The program failed to reach its proposed accomplishments because the agency has no control over the number of complaints made to 311, which ultimately drive the number of repairs completed. The program generates program income when owners pay for work done by the City.

On 7/1/10, CD began paying for Rehabilitation Services within HPD's Office of Asset & Property Management. HPD works to ensure that the buildings that HPD had previously assisted continue to be operated and maintained in a sound financial and physical condition. HPD used CD funds to conduct building inspections to determine which buildings require rehabilitation and to prevent building distress and deterioration. In 2014, Building Inspectors performed 159 inspections on 137 properties. In 2014, HUD informed the City that, in order to meet the Low- and Moderate-Income Housing national objective, the program would have to certify tenants' incomes every time assistance was provided to a housing unit. Because DBTA operates on a proactive basis and often inspects buildings more than once per year, the City deemed that asking tenants to certify their incomes more than once annually was too intrusive. Accordingly, the City decided to use City tax levy to fund this program. As of July 1, 2014, this program was no longer CD-funded.

The Alternative Enforcement Program is intended to alleviate the serious physical deterioration of the most distressed buildings in New York City by forcing the owner to make effective repairs (or the City will do so). The repairs are done in a more comprehensive fashion so that emergency conditions are alleviated and the underlying physical conditions related to the emergency housing code violations are addressed. Each year, 200 new buildings come into the program.

Work must be completely paid to be counted as a completion. There are a total of 68 sites (affecting 394 housing units) being counted as completions in 2014. Please note that the actual accomplishments are less than the proposed because 139 buildings (containing 2,087 units) were discharged from the program in 2014 as a result of owner compliance.

At 17 sites, work was completed in 2014 but will be paid for in 2015. Program income is generated when owners pay for the cost of the work done by the City as well as for management fees.

The Neighborhood Housing Services Revolving Loan Fund (\$99,838 available during 2014; \$46,827 spent in 2014 – see chart below) provides owners of one- to four-family homes with low-interest rehabilitation loans. The revolving loan fund was established with prior years' CD allocations. The loan fund only consists of program income in the form of loan re-payments, interest from notes receivable, and interest from the financial institution in which the revolving loans were held. The program did not receive a new allocation in 2014. Please note that no low/mod loans were closed using CD funds in 2014. However, CD funds were used to help pay for staff which closed and administered loans that were CD-eligible using other sources of funds.

Program Income Received in 2014:	\$85,274
<u>NHS Cash Balance on 1/1/14:</u>	<u>+14,564</u>
Total funds available for 2014 =	\$99,838

Admin./Misc.:	\$46,827
<u>Low/Mod Loans Closed:</u>	<u>+ 0</u>
Total Funds Spent in 2014 =	\$46,827

SL-1 Availability/Accessibility for the Purpose of Creating Sustainable Living Environments

Provide comprehensive community development services to community residents in low- and moderate-income areas through academic enhancement, recreational, cultural and substance abuse prevention programs.

The Department of Youth and Community Development operates 80 Beacon programs located throughout the City. CD funds 14 Beacon Schools serving low- and moderate-income areas year-round. In addition to the recreation activities offered, services include life skills, community building, academic enhancement, career awareness/school-to-work transition, and culture/art. In 2014, the program served 19,931 persons.

Improve the quality of life for senior citizens through the rehabilitation of senior centers.

Through the Department for the Aging's (DFTA) Senior Center Improvements program, work was completed at five projects and underway at seven in 2014. DFTA had projected that nine centers would be completed; while work was completed at several additional sites, final payment had not been made as of 12/31/14, so the City has not yet counted them as completions. The centers at which renovations were completed provided a total of 18,972 units of service. CD funds also pay for two project managers at DFTA, although only one position was active at the close of the program year.

Assist mentally ill homeless persons in and around the Staten Island Ferry Terminal to obtain shelter or housing and treat them for psychiatric or substance abuse problems.

Through the Homeless Outreach and Housing Placement Services program, Project Hospitality in Staten Island provides homeless outreach and housing placement services to homeless, mentally ill persons who may also have substance abuse/dependence problems. Services included the provision of food, showers, shelter, and counseling as well as referrals for housing, medical, drug, and psychiatric treatment. The program provided 1,215 persons with 17,677 units of service, of which 12,286 were for psychiatric services, and made 4,250 referrals for other place settings and/or services. The actual accomplishment figure of 1,215 was significantly higher than the proposed figure of 780 due to the lingering effects of Hurricane Sandy, which increased the population of homeless persons living on Staten Island and reduced the inventory of subsidized housing.

Assist crime victims through counseling, document replacement, and emergency lock repair.

Safe Horizon provides an array of services to crime victims and their families to reduce the psychological, physical, and financial hardships associated with victimization. Services included assistance through the Domestic Violence and Crime Victims Hotline and court-based services for crime victims assisted at Criminal and Family Courts in the Bronx and Brooklyn. In 2014, Safe Horizon provided 128,650 units of service to its clientele, which includes: 87,374 calls answered by the Domestic Violence Hotline; 10,815 calls answered by the Crime Victims Hotline; and 30,461 units of service provided through Court-Based Services for Crime Victims, which included 17,026 units in the Bronx Criminal and Family Courts and 13,435 units in the Brooklyn Criminal and Family Courts.

Help prevent discrimination based on race, creed, color, national origin, sex, marital status, age, disability, lawful occupation, sexual orientation, familial status, alienage, and citizenship status by enforcing the laws which prohibit such discrimination. Accomplish this goal through the investigation and prosecution of individual and systemic complaints.

The Commission on Human Rights' Law Enforcement Program is responsible for the enforcement of the laws prohibiting discrimination in employment, housing, and public accommodations. In 2014, 1,736 people sought assistance, 633 persons filed formal complaints, 633 investigations were initiated based on filed complaints, and 591 investigations were carried into 2014 from prior years. To reflect the number of persons served in 2014, the accomplishment number for this program (2,327) is the total of the following activities: 1,736 persons seeking to file a complaint plus 591 investigations carried over into 2014. This program generates CD program income by assessing civil fines and penalties for violations of the City's Human Rights Law.

Strengthen neighborhoods by fostering positive inter-group relations among residents of diverse racial, ethnic and religious backgrounds.

The Neighborhood Human Rights Program (NHRP), operated by the Commission on Human Rights, uses CD funds to conduct bias prevention activities; community education and outreach; and intervention into discriminatory real estate practices to address quality of life issues that transcend racial, ethnic, religious, and social differences. Because it is not possible to track each of the individuals who may obtain services, the program uses the total number of "units of service" as its accomplishment indicator. In 2014, NHRP provided 91,614 units of service: 29,927 through community education conferences, workshops, and trainings; 5,382 in school and youth-based trainings; 46,516 instances of technical assistance; 3,553 in workshops and trainings on Fair Housing presentations, including Equal Access and mortgage/predatory lending; and 6,236 in Fair Housing technical assistance for groups and individuals (e.g., Housing Court tables, individual counseling).

Shelter services should be used on an emergency, short-term basis hence, pursue a range of strategies that would reduce the average length of stay.

Through the DHS Homeless Services program, CD funds paid for a portion of the security contract for the 30th Street Men's Shelter, located in Manhattan. In 2014, 7,916 individuals were served at the shelter.

Provide recreational services to low- and moderate-income people by funding staff to coordinate and manage programs at parks facilities.

CD funds are used to provide recreational services at several parks. The Prospect Park Administrator's Office in Brooklyn conducted a User's Survey in 2009 and estimated that 8,863,109 people visit the park each year. The Office issued 3,872 special event permits and 4,130 athletic permits in 2014. The Park hosted events such as Celebrate Brooklyn, an arts series featuring music, dance, theater, and film events (5,000 per show); a summer series of African Drummers & Merchants (350 visitors per event); the Wanderlust Festival, which consisted of a 5k run, inspirational meditation, and an outdoor yoga class (7,500 participants); the Halloween Haunted Walk and Carnival (12,500 visitors); the New York Road Runners' Brooklyn Half-Marathon (15,000 participants);

performances by the New York Philharmonic (15,000 visitors); and the Transportation Alternatives NYC Century Bike Tour (7,000 participants). The various programs offered by the Lefferts Historic House attracted 39,923 visitors. The Audubon Center served 38,976 individuals.

The Van Cortlandt/Pelham Bay Parks Special Administrators' Office coordinates special events, educational and environmental programming; capital projects oversight; natural areas and wildlife management; and volunteer activities and community outreach. In 2014, the parks served an estimated 6.6 million people: 2.3 million at Van Cortlandt Park and 4.3 million at Pelham Bay Park. The original projection of 4 million people was based on a User Survey that is now outdated and does not reflect the tremendous increase in usership over the past several years.

In 2014, Van Cortlandt Park hosted 1,582 volunteers that donated 4,513 hours of service. Van Cortlandt Park issued 327 special event permits and 128 sports permits. Special events held in 2014 included the Bronx Harmony Day (over 5,000 attendees), the Barefoot Dancing series (1,540 people), and the Summer Stage Kids series (1,629 participants). An estimated 53,025 people used the Van Cortlandt pool.

Pelham Bay Park hosted 1,539 volunteers that donated 4,572 hours. The Park issued 513 special events permits and hosted 32 film shoots. Special events included the Making Strides Against Breast Cancer Walk (13,000 people) and State Farm's Neighborhood Session concert (also 13,000 attendees). Approximately 1.9 million people visited Orchard Beach within Pelham Bay Park.

In 2014, the Minipools program, administered by the Department of Parks and Recreation, served 105,468 persons. This accomplishment figure is based on an estimated attendance of 141 swimmers per day at 11 CD-funded sites during a 68-day season. CD funds paid for 19 Filter Plant Operators, 16 Parks Enforcement Patrol Officers, 30 Lifeguards, and 8 Supervisors. The City determined that the CD-funded Minipools are eligible for CD funds due to their close proximity to NYCHA Public Housing developments. The Minipools are used primarily by NYCHA residents and the incomes of NYCHA residents are primarily CD-eligible.

Creating and maintaining neighborhood gardens.

GreenThumb licenses City-owned land to community groups for the establishment of community vegetable and flower gardens. These gardens often replace vacant, littered lots that were a blighting influence on the neighborhood. As of 12/31/14, GreenThumb has 522 active community gardens. During the year, GreenThumb held the GrowTogether Conference, which was attended by over 1,500 people; the Harvest Fair, which was attended by over 400 people; and the Summer Festival, which was attended by over 300 people. GreenThumb staff estimates that 87,197 people benefited from the program in 2014.

Help prevent hunger by providing food to low- and moderate-income persons.

The Met Council Food Pantry was funded as of 7/1/14. CD funds pay for a food distribution program targeting low- and moderate-income City residents. Funds pay for Met Council staff, food, and delivery costs. The program provides more than 5.2 million pounds of food to needy family annually. In all, 56,800 unduplicated households benefitted from the program (approximately 170,400 persons).

SL-2 Affordability for the Purpose of Creating Suitable Living Environments

Support housing and economic development efforts by providing day care services so low- and moderate-income parents may secure employment.

CD funds pay for child care slots for children from low- and moderate-income families. In 2014, CD paid for 252 day care slots at 5 sites.

SL-3 Sustainability for the Purpose of Creating Suitable Living Environments

Continue to promote decent housing and suitable living environments for aging in place, and to help elderly retain their homes and maintain them adequately through a variety of programs that provide assistance with home maintenance, home repair and adaptive modification, and technical assistance regarding residents' rights and protections.

The Elderly Minor Home Repair Program provides for minor repairs in the homes of low- and moderate-income elderly persons throughout the City. Although minor in nature, these repairs are beyond the abilities of the elderly to complete themselves. Often the repair will help prevent a major problem from developing, which could be financially difficult for the elderly to carry out. In 2014, the Elderly Minor Home Repair Program completed 41,851 repairs in 2,038 homes.

Assist local arts organizations that primarily serve low- and moderate-income areas by providing targeted technical assistance to build capacity and better serve their respective communities.

The Community Arts Development Program (CADP) uses consultants to assist arts organizations that serve low- and moderate-income individuals or areas to operate their organizations more efficiently. In 2014, the program completed projects that were initiated through the *Building Sustainability* initiative, which provided one-on-one, direct assistance to organizations. Through this component, five projects were completed and one remains underway. Also during 2014, CADP continued its *Community Arts Leadership* initiative, which uses a cohort format to assist small, community-based arts organizations that serve low- and moderate-income persons. Through this initiative, 3 consulting firms provided the first cohort (containing 16 organizations) with intensive technical assistance focused on the following core areas: governance, strategic planning, and financial management (for a total of 48 units of service). Additionally, CAL began working with a new cohort of 12 organizations (for a total of 36 units of service). It is expected that the second cohort's projects will be completed in 2015. In 2014, CADP completed 53 units of service: 5 through *Building Sustainability* and 48 through *Community Arts Leadership*. CADP had originally projected that nine projects would be completed in 2014. The increased accomplishments resulted because the projection was based on discrete organizations assisted as opposed to units of service.

Promote the preservation of historic residential and non-residential buildings through grants for façade renovation.

The Landmarks Historic Preservation Grant Program provides grants for façade restoration of residential buildings and those owned by nonprofit organizations. The 2014 Proposed Consolidated Plan indicated that two “commercial façade treatments” (nonprofit projects) and six “owner-occupied units rehabilitated or improved” would be completed. LPC only received one application for the nonprofit component of the program, which resulted in only one nonprofit completion. LPC completed four owner-occupied units; however, three additional projects were underway as of 12/31/14 and are expected to be completed in early 2015.

Treat blighted open space in low- and moderate-income areas by removing debris and greening.

The goal of the Bronx River Project is to clean the river and develop amenable facilities along its banks. Among its various activities, the program uses CD funds to issue a bilingual calendar, bi-weekly email newsletter, and an annual newsletter, *The Current*, which is mailed to over 1,500 people. The Education Program promotes the river as an educational asset and consists of the following: The Bronx River Classroom, which reaches schools with training, tools, and other resources; the Bronx River Stewards Volunteer Monitoring Program is a corps of trained, committed volunteers who undertake weekly scientific monitoring of water quality at specific sites on the river; and the Public Education Program reaches people through presentations, canoe trips, slideshows, public events, and informational tables. In 2014, the Education Program worked with 107 educators and 610 students on river-related scientific activities. The Ecological Restoration and Management Program works to protect, restore, and manage the river's ecology. A Conservation Crew that is

recruited from the local community planted 2,573 trees, 936 shrubs, and 152 herbaceous plants and removed 4 blockages to reduce debris and improve habitat. The Bronx River Outreach program drew over 1,000 volunteers to Bronx River events and activities. In 2014, 1,820 people paddled the Bronx River with the Bronx River Alliance. An estimated 194,900 people participated in the Bronx River Project's outreach, education, and restoration activities.

Improve neighborhood quality through the elimination of blighted vacant properties and the promotion of greater community involvement and investment through the provision of new homeownership opportunities and stimulation of concerned local businesses to perform housing management and rehabilitation functions.

HPD's Demolition Program did not use CD funds to perform any demolitions in 2014. HPD originally projected that 30 slum and blight demolitions would occur. However, early in 2014, the City began negotiating with State and Federal agencies to revise the Programmatic Agreement that guides how demolition work must be reviewed in order to comply with Section 106 of the National Historic Preservation Act of 1966. The revision process continued throughout 2014; accordingly, CD funds could not be used to conduct demolition work. However, CD funds paid for the salaries of staff overseeing the program. It is expected that CD funds will resume paying for demolition work in mid-2015.

Help ensure the safety of school children by preventing or rectifying code violations in New York City public schools.

In 2014, the Department of Education used CD funds to complete work that prevented or corrected 166 violations in 138 City schools. Of those, 165 jobs were performed in 138 schools that serve a low- and moderate-income population. Additionally, one job was performed at a school that had been served earlier in the year, but the student population was no longer primarily comprised of youth from low/mod households; accordingly, the work at that school has been categorized as addressing a slum and blighting condition. The jobs performed at low/mod schools served 106,228 youth; an additional 722 students were served at the school at which the slum and blight project was completed.

EO-1 Accessibility for the Purpose of Creating Economic Opportunities

Improve the employment and economic opportunities for low-skilled, low-income New Yorkers through the provision of literacy, educational or vocational services.

In 2014, CD funds paid for 2,838 individuals to receive adult literacy services: 10 organizations provided Adult Basic Education services to 738 individuals and 21 organizations provided English for Speakers of Other Languages (ESOL) services to 2,100 individuals.

Facilitate business creation, development, and growth; provide technical assistance; maximize entrepreneurial development services to vendors and other micro-enterprises by providing courses on business basics, creating alternative markets, and developing vacant storefronts.

CD funds pay for three components of the NYC Business Solutions program. First, NYC Business Acceleration provides technical assistance to businesses in business planning, financing, government regulations and licensing, business incentives, marketing, workforce development, government procurement, and real estate. CD funds pay for the assistance to businesses that serve low- and moderate-income areas. No new businesses and 560 existing businesses were provided 797 units of service by NYC Business Acceleration in 2014. The goal of the Vendor Market component is to provide spaces to former street vendors in which they can conduct business legitimately and transition into entrepreneurs. The program currently supports the operation of the Flatbush-Caton Market in Brooklyn. Forty vendors were assisted in 2014. The accomplishment figure of 837 existing businesses assisted that has been entered into the accomplishment chart is a mixture of businesses and units of service: 797 units of service provided by NYC Business Acceleration + 40 businesses assisted at the Vendor Market). The Business Basics component provides free courses in business planning, marketing, and

computer applications to micro-entrepreneurs and small business owners at the NYC Business Solutions Centers citywide. A total of 4,133 people attended Business Basics training.

Revitalize commercial streets via façade and security improvement of commercial businesses and through use of market studies, marketing assistance, architectural design, and development strategies.

Avenue NYC promotes the economic viability of neighborhood retail areas by funding local development corporations who develop market strategies, development strategies, and architectural design in low- and moderate-income areas. CD funds were budgeted for 45 local development/nonprofit organizations in 2014. The Façade Improvement component of the Avenue NYC program provided façade design services through CD-funded consultants to 26 existing businesses (entered into the accomplishment chart). The actual cost of the façade renovation is not paid for with CD funds. No new businesses were directly assisted through this component.

N/A Not Applicable

Perform citywide comprehensive community development planning to help formulate long-term development and policy objectives for the City.

In 2014, HPD Program Planning's various divisions continued to support the agency's policy goals through planning studies and other initiatives. Business Technology Services (BTS) is responsible for identifying actions (such as the establishment and refinement of computerized information systems) to assist HPD in achieving its mission of maintaining affordable housing, preserving the current stock, and developing new housing units. In 2014, BTS worked with the Office of Enforcement and Neighborhood Services (ENS) to design and implement a new Interactive Voice Response system that will improve the owner/tenant/contractor notification processes that are undertaken through the City's Targeted Code Enforcement program, which is also CD-funded. The system will improve the process of scheduling inspections and maintaining compliance documentation. BTS also further automated the flow of all housing complaints to HPD systems for processing and response, and designed and implemented a module that will facilitate Housing Inspector Supervisors' approvals of housing violations. The Division of Strategic Planning (DSP) facilitates HPD's agency-wide strategic planning process by identifying strategic priorities and change initiatives, creating and reporting milestones associated with those initiatives, and managing and implementing key projects. In 2014, accomplishments included a review of research literature to inform the agency's policies on inclusionary zoning; beginning an in-depth review of HPD's policies regarding affordable housing lotteries and applicant screening; completing an analysis of the various ways the City defines and measures physical distress in residential housing units in order to better align distress indicators with directing financing to places where it will have the biggest impact; and beginning a Retail Development Strategy to promote vibrant, mixed-use communities across the five boroughs. The Preservation Planning and Analysis Unit (PPA) performs various strategic management analyses and forecasting, which are utilized to achieve the agency's preservation and enforcement objectives. In 2014, in addition to maintaining previously-developed reports, PPA developed and maintained a heat report to monitor ENS's response to heat violations and continued to develop and test new implementations and enhancements to ENS's computer modules.

HPD's Division of Housing Policy Analysis and Statistical Research plans and conducts major housing-related research. The Division provides housing market data and analyses on the housing inventory, rental vacancy rate, housing and neighborhood conditions, rents and affordability, and household characteristics. The Division publishes the Housing and Vacancy Survey (HVS) tri-annually. While the HVS is accessible to the public online at no charge, CD program income is generated from the sale of the Report when it is purchased in hard copy. During 2014, the Division performed significant work to prepare the 2014 HVS including assisting the U.S. Census Bureau with field survey operations and preparing draft tables and text for the Report of Initial Findings of the 2014 HVS, which must be submitted in a timely manner to the Mayor and City Council. The Division also prepared and administered the contract with the Rent Guidelines Board.

HPD's Anti-Abandonment Borough Offices are now called Neighborhood Preservation Offices, which identify and develop interventions for residential buildings at risk of abandonment. In 2014, the NPOs conducted 5,945 assessments and 657 follow-up assessments of potentially distressed buildings citywide, which resulted in the removal of 29,546 Housing Code violations. Additionally, NPO referred 684 building owners to HPD's Anti-Abandonment training.

The Landmarks Preservation Commission's (LPC) Planning's activities include environmental reviews and architectural, archaeological, and historical analyses. LPC also researches and plans for potential historic districts. In 2014, the Commission made 11 designations, including 2 historic districts for a total of 324 designated properties, and surveyed 4,275 buildings. The Archaeology Department reviewed 272 projects, which included 48 rezoning actions for the Department of City Planning and the Department of Housing Preservation and Development. Ninety-seven percent of these reviews were completed within ten days. The Archaeology Department spent a significant amount of time on Riverside Center (Parcel 2), Astoria Cove, Seward Park, Bloomfield Development, and New York City Farm Colony-Seaview. The Environmental Review Department coordinated 1,053 project reviews, a 12% increase from last year, and performed architectural and archaeological surveys for 2,831 lots and/or geo-referenced points, a 40% increase from last year. This department also reviewed 147 sites proposed for demolition because they posed a threat to the public's health and safety.

CD pays for the support staff of the Rent Guidelines Board. This administrative and planning staff prepares research regarding the economic condition of the rent stabilized residential real estate industry, including operating and maintenance costs, the cost of financing, housing supply, and cost of living indices. The research staff produced the 2014 Price Index of Operating Costs (the Price Index of Operating Costs for rent stabilized apartment buildings was 5.7%, for hotels 6.4%, and for lofts 5.7%); the 2014 Mortgage Survey (for 2013 lending the average interest rate for new multi-family mortgages rose .53 percentage points to 4.89%); the 2014 Income and Affordability Study (in 2013, NYC's economy grew by 2.7%, the City gained 83,100 jobs, and the unemployment rate was 8.7%); the 2014 Housing Supply Report (in 2013, the citywide vacancy rate was 3.12%, the number of new housing units completed increased 34.1%, 17,995 permits were issued for new dwelling units in NYC, a 74.1% increase from the previous year); and the Changes to the Rent Stabilized Housing Stock in New York City in 2013 report (there was an estimated net gain of 1,087 rent stabilized units in 2013). While these reports are accessible to the public online at no charge, CD program income is generated from the sale of the reports when they are purchased in hard copy.

The Department of City Planning's Comprehensive Planning covers planning issues such as land use, the economy, housing, infrastructure, waterfront and open space, and community facilities. In 2014, DCP engaged in 84 CD-funded planning studies. Of those, 20 were completed, 55 remain active, 7 were deferred, and 2 were terminated. The Department released the *Sustainable Communities: East New York* report, which is the culmination of a two-year, Federally-funded community engagement process that examined the potential for growth in the underserved Brooklyn neighborhood of East New York and provides a vision for a more sustainable and equitable neighborhood. DCP announced the *Cromwell-Jerome Neighborhood Planning Study*, a ground-up neighborhood planning study to strengthen established residential neighborhoods, promote new housing opportunities for residents at all income levels, and increase economic opportunity in Bronx Community Districts 4 and 5. The Department advanced the Special West Chelsea District Expansion in Manhattan, which aims to guide the development of West Chelsea as a dynamic mixed-use neighborhood. In May 2014, the Department released *Sustainable Communities in the Bronx: Leveraging Regional Rail for Access, Growth and Opportunity*, which makes recommendations that will foster sustainable growth in the Bronx by expanding transit-oriented development opportunities to create affordable housing, improve job access, and grow the overall economy of the Bronx. The Department conducted analyses of demographic, housing, community facility, transportation, and open space trends in support of its regulatory and planning functions. These initiatives included the *Hutchinson River Parkway Study* in the Bronx that evaluates the transportation needs along and surrounding the Hutchinson River Parkway corridor. Finally, DCP completed and distributed the *Citywide Statement of Needs for Fiscal Year 2016-2017*, the *Statements of Community District Needs for Fiscal Year 2016*, and the *2014 Consolidated Plan*.

DCP Information Technology supports the planning activities of the Department of City Planning and other City agencies. The Geographic Systems Section (GSS) is responsible for developing and maintaining specialized geographic data processing capabilities. In 2014, GSS released the Citywide Street Centerline (CSCL)-produced Geosupport and related files for Releases 13D.1, 14A, 14B, 14C, and 14D. GSS also released versions 14.1, 14.2, 14.3, and 14.3.1 of the Geosupport System access software, which introduced several new data items including, but not limited to, Hurricane Evacuation Zones, Organics Recycling Areas, United States Postal Service Preferred City Name, and Latitude/Longitude data. The Database and Application Development (DAD) unit collects, processes, and provides land use housing, economic, and demographic data that are used for developing neighborhood and community development plans and major citywide tax revenue and economic studies. The unit maintained its Interim Management Pre-Application Certification Tracking (imPACT) system, which tracks the agency's work on all land use projects in the pre-certification review process. As of 12/31/14, imPACT had 742 active projects. DAD also produced the CDBG Appendices (text file data) to the 2013 Consolidated Plan Annual Performance Report, a data file of City-Owned and -Leased Property, and a data file for the 2014 Housing Pipeline. It also continued to maintain the Zoning Tax Lot Database and the Primary Land Use TaxLot Output (PLUTO) system and continued work on the Long Term IT project, which will support DCP's review of land use and environmental review applications from the pre-certification phase through completion. The City Planning Web team added to the agency's website recent demographic data from the American Community Survey, a new interactive interface for the City of Neighborhoods map, documents related to the City Planning Commission, and an updated version of the Geographic Online Address Translator (GOAT). It also provided the public with various descriptions of new and recently adopted zoning proposals and studies. The PC and Network Services unit continued to support all agency computer hardware, software, and network connections. "BYTES of the BIG APPLE" is a line of software, data, and geographic base map files for New York City that is offered to the public at no cost. In 2014, CD-ROMs were distributed to 40 City agencies. Additionally, users downloaded Geosupport Desktop 836 times during 2014.

CD funds are used for the Scorecard Program, which evaluates the cleanliness of the City's streets. In 2014, the Department of Sanitation achieved a citywide percentage of acceptably clean streets of 92.8 and a percentage of acceptably clean sidewalks of 95.7. The 2013 percentages were 94.2 and 96.0, respectively. Scorecard inspectors rated the streets and sidewalks of the City's 59 community boards at least once per month. Scorecard inspectors rated an average of 2,800 street and sidewalk blockfaces per month in 2014. Additionally, the program worked with the Department of Small Business Services' (SBS) Neighborhood Development Division, which is also partially CD-funded through the Avenue NYC program, on a pilot program to expand Scorecard's rating system for the City's Business Improvement Districts (BIDs). Scorecard previously rated 27 of the City's 69 BIDs on a quarterly basis. Beginning in July, Scorecard began rating 67 BIDs on a monthly basis.

Prevent discrimination in housing and promote expanded housing opportunities for racial and ethnic minorities. Provide fair housing counseling services to effectuate compliance in the public and private housing markets, conduct educational workshops about landlord/tenant rights, assist people with disabilities to find accessible housing, identify housing discrimination and provide assistance in determining eligibility or qualifications for tenancy and/or social service programs.

In 2014, the HPD Fair Housing Services program provided fair housing counseling and educational services through an interagency Memorandum of Understanding between HPD and the New York City Commission on Human Rights (CCHR). In 2014, staff from both HPD and CCHR continued to raise the awareness of building owners and project sponsors who receive financial assistance from HPD of their duty to comply with the Federal Fair Housing Act and the NYC Human Rights Law. HPD and CCHR held 52 Pre-Award Conferences, which informed funding recipients of equal opportunity, business utilization, and workforce participation requirements, that were attended by 543 private and nonprofit entity representatives. In conjunction with local political and community leaders, the agencies provided 18 "Owners Night" and "Tenant Night" presentations that inform the public of housing opportunities and regulations. These forums collectively served 1,525 persons. Additionally, staff collaborated on the second annual Fair Housing Symposium at New York University, which was attended

by 136 representatives of social service agencies and real estate management firms. Finally, the agencies cohosted 4 “Fair Housing in Practice” workshops attended by 57 building owner/sponsor representatives.

Provide administrative and support services for planning, management, and citizen participation necessary to formulate, implement, and evaluate the City’s Community Development Program.

Under CDBG Administration, people within the Office of Management and Budget, Department of City Planning, Landmarks Preservation Commission, and Mayor’s Office for People with Disabilities perform functions related to the preparation of environmental reviews, the Consolidated Plan, monitor CDBG-funded programs, and liaison with HUD and other Federal departments.

The positions funded under HPD Administration are responsible for the oversight of activities that cross two or more CD eligibility categories. For example, the Timekeeping Unit tracks and inputs timekeeping data for all HPD CD-funded employees. Units within the Division of Accounts Payable are responsible for receiving, reviewing, and approving all contractor invoices submitted for payment for programs involving both City-owned (*in rem*) and privately-owned properties. Also under the Administration eligibility category, CD pays for some positions related to oversight of the HOME Program.

Housing preservation functions including educating owners in housing-related matters to maintain or restore buildings to a structurally sound and fiscally sound condition. The role of the consultants is to assess buildings to determine if they are distressed, develop and recommend remedial and intervention strategies to prevent owner abandonment, assist owners in improving their properties, and encourage owners to pay their taxes.

As part of the Code Enforcement strategy, the Neighborhood Preservation Consultants provide the City with information on at-risk residential buildings within CD-eligible areas. They assist HPD's Division of Code Enforcement in the implementation of their comprehensive plans to upgrade and preserve existing privately-owned stock, recommend intervention priorities based on community needs assessments, and coordinate service delivery to owners and tenants. There were 19 CD-funded Neighborhood Preservation Consultants that were focused on 18 areas under contract in 2014. The NPCs conducted 6,256 assessments of potentially distressed buildings citywide. Additionally, the NPCs held 130 educational housing workshops for owners and tenants, referred 323 buildings to HPD, and performed 272 interventions to assist building owners.

01.1 Rehabilitate and return the stock of City-owned buildings to a range of responsible private owners in order to improve living conditions in these buildings while maintaining affordability for very low-, low-, and moderate-income tenants. Continue, through HPD, to accelerate the sale of its in rem buildings to tenants, non-profit organizations and selected for-profit owners. This major initiative focuses on clusters of buildings in selected neighborhoods and packages both vacant and occupied properties.

The In Rem Maintenance and Repair Program’s Project Support staff processed 84 Pre-Qualification Applications for vendors wishing to be placed on the list of approved contractors for Open Market Orders and recertified 132 contractors; awarded 266 Open Market Orders for maintenance and construction services; conducted 889 monitoring inspections and 860 inspections for contractor payment requests; and monitored fuel usage and completed 140 efficiency tests for heating plants and reviewed 140 invoices.

Preserve and improve the existing supply of both occupied and vacant privately-owned affordable housing. Administer a variety of loan and grant programs through the Department of Housing Preservation and Development (HPD) to enable nonprofit groups and qualified for-profit owners to rehabilitate and improve the existing supply of occupied and vacant privately-owned residential properties (including the reduction of potential hazards such as lead-based paint poisoning) for very low-, low-, and moderate-income New Yorkers. Pursue a special intervention strategy of education (including education on the City’s lead paint law) investment, and enforcement for targeted projects that have been identified as in jeopardy of being abandoned.

HPD's Primary Prevention Program (PPP) is a low-level interim treatment program funded by the Federal Lead-Based Paint Hazard Control Grant and New York City capital funds. In conjunction with the NYC Department of Health and Mental Hygiene, PPP targets areas with high incidence rates of childhood lead poisoning. PPP requires that at least 80 percent of a building's occupants be either low- or moderate-income in order to qualify for a grant. The goal of the program is the prevention of lead poisoning in high-risk areas. CD funds paid for two staff members to oversee the program, which treated and remediated lead-based paint hazards in 5 buildings with 61 units. All jobs were paid for by the Lead-Based Paint Hazard Control Grant and NYC capital funds. This program was funded as of 7/1/14.

Other (N/I No Appropriate Indicator)

Treat blighted open space in low- and moderate-income areas by removing debris and greening.

The Land Restoration Program (LRP) treats large tracts of vacant City-owned land by seeding, fertilizing, and mowing these properties. The program helps to improve the image of the surrounding neighborhoods, reduces "mugger cover," and makes the properties more presentable to the community and potential developers. In 2014, 88.7 acres (rounded to 89 in the accomplishments chart) were initially cleaned, mowed, seeded, fertilized, and weed pre-treated. Additionally, 52.2 acres of tough ground received secondary treatment of seed, fertilizer, and weed control. A total of 39.8 acres was mowed at least one additional time.

Please note that the significant decline in the number of sites treated was the result of a monitoring that City staff conducted on this program beginning in 2013. The monitoring focused on compliance with the "three-year rule" that was established following a 1984 monitoring by the U.S Department of Housing and Urban Development. The three-year rule allows LRP to treat individual vacant lots for a maximum of three years. Following the three-year timeframe, subsequent treatments are considered maintenance and thus ineligible for CD funding. The 2013 City monitoring found that LRP had already treated a number of lots in its target site lists for three years or more. The CD administrators reminded DPR that such work is ineligible and advised the agency that the sites must be dropped immediately. However, this allowed the program to devote more attention to eligible projects under the Public Service and Public Facilities components of the program.

LRP assisted the GreenThumb program by cleaning and baiting 133 gardens (249 lots) for rodents and by making 598 deliveries of topsoil, compost, fill, mulch, and lumber (5,995 cubic yards of material). LRP also removed 825,000 square feet of snow and ice from the sidewalks in front of 47 gardens and 5 parks and rescued 100 trees/shrubs, 200 perennials, and more than 300 cubic yards of soil for community gardens. Finally, the Land Restoration Program assisted with the creation of new GreenThumb gardens at 23 public schools throughout the City. Assistance included delivering soil and compost; grading the sites; installing and repairing surrounding fencing; and installing various garden structures. The program also performed more substantial improvements at 87 other gardens and parks and delivered trees to 27 school gardens to be planted on Arbor Day.

Restore sanitary conditions in vacant lots in CD-eligible areas through debris and bulk refuse removal.

Through the Neighborhood Vacant Lot Clean-Up Program, the NYC Department of Sanitation (DSNY) cleans vacant lots to meet the City's Health Code standards. Cleaning schedules keyed to the community board areas are based on health emergencies, preparatory cleaning for other CD-funded lot-related programs (e.g., GreenThumb, Land Restoration Program), and community board requests. In 2014, DSNY cleaned 3,080 lots: 2,512 in CD-eligible areas and 568 in non-CD-eligible areas (paid for with City tax levy funds). In addition, DSNY removed 2,574.14 tons of debris from vacant lots in CD-eligible areas.

The program also cleans public areas where illegal dumping had occurred, known as "diversions." In 2014, the program treated 202 diversions in CD-eligible areas, accounting for another 415.59 tons of debris. The program generates program income when private owners pay the City for cleaning their lots.

Protect, preserve and improve the existing sound housing, including City-owned (in rem) residential structures, privately-owned buildings in deteriorating neighborhoods, and conventional public housing, so that this housing can remain or become stable tax revenue-generating residential stock.

In an effort to preserve existing housing, CD pays for code enforcement efforts in deteriorating or deteriorated low- and moderate-income areas. CD pays for the time 311 operators (at the Department of Information Technology and Telecommunication) spend on emergency housing complaints. In 2014, 311 operators spent 3,109,522 minutes (51,825 hours) on emergency housing complaint calls and referred 435,042 calls to HPD. Upon receiving a complaint, HPD will send out a Housing Code Enforcement Inspector to determine if a violation exists (a portion of whose time is also CD-funded). Unfortunately, there is no HUD Accomplishment Indicator that can accurately reflect the number of code violations that are eventually removed as a result of HPD’s efforts. In 2014, HPD performed 811,895 code inspections, issued 397,205 violations during those inspections, and recorded the removal of 440,145 code violations by inspection or through administrative removal (this figure, which has been entered into the accomplishment chart, includes the 2014 removal of violations issued in prior years). Program income is generated when owners of multiple-unit dwellings pay fees related to registering their buildings with HPD as well as for heat and hot water violations and administrative fees related to the violations. This program income is cost-allocated between CD and City tax levy to reflect properties that are within the CD targeted areas and those outside.

Section 3 Requirements: Community Development Block Grant Program

In 2014, a total of \$17,346,197 in Community Development Block Grant (CD)-funded contracts was subject to the Section 3 requirements. No businesses qualified as a Section 3 business. There were 62 new hires, of which 9 persons were Section 3 residents.

<u>New Hires</u>	<u>Category</u>	<u># of Section 3 Residents</u>
17	Professionals	2
25	Technicians	0
14	Office/Clerical	5
0	Laborers (unskilled)	0
2	Craft Workers (Skilled)	2
4	Other (Service Workers)	0
62		9

**CDBG
PROGRAM EXPENDITURES**

DCP TABLE #1

Proj_Code	Program Name	HUD Code	2014 Allocation	Amount City Authorized	Amount City Expended/ Drawdown/ Disbursed
	COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)				
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing					
C-CHA-0039	ELDERLY SAFE-AT-HOME	05A, 05B	\$225,000	\$459,215	\$227,853
C-CHA-0041	SENIOR RESIDENT ADVISOR PROGRAM	05A, 05B	\$450,000	\$950,802	\$450,000
C-MAY-0047	PROJECT OPEN HOUSE	14A,14B	\$217,000	\$511,049	\$153,645
C-MAY-0048	HOUSING INFORMATION AND EDUCATION	05B	\$132,000	\$264,364	\$122,349
DH-1 Subtotal			\$1,024,000	\$2,185,430	\$953,847
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing					
C-HPD-0085	7A PROGRAM	14B	\$1,388,000	\$4,466,324	\$757,476
C-HPD-0012	IN REM SUPERINTENDENT CONTRACT	19E	\$74,000	\$1,783,329	\$41,227
C-HPD-0013	IN REM MATERIAL MANAGEMENT AND PROCUREMENT	19E	\$440,000	\$1,956,289	\$140,972
C-HPD-0014	IN REM PROPERTY MANAGEMENT PROGRAM	19E	\$3,379,000	\$4,387,418	\$1,751,167
C-HPD-0090	IN REM BUILDING MAINTENANCE AND REPAIR PROGRAM	19E	\$1,427,000	\$3,072,116	\$644,169
C-HPD-0207	PROPERTY DISPOSITION AND FINANCE (FORMERLY IN REM ALTERNATIVE MANAGEMENT PROGRAM)	19E	\$14,802,000	\$27,973,540	\$15,495,187
C-HPD-0084	LITIGATION (FORMERLY HOUSING LITIGATION DIVISION)	15,19E	\$6,487,000	\$11,018,778	\$6,426,841
C-HPD-0198	HPD EMERGENCY SHELTERS	08	\$12,653,000	\$21,535,812	\$14,123,137
DH-2 Subtotal			\$40,650,000	\$76,193,606	\$39,380,176
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing					
C-HPD-0009	EMERGENCY REPAIR PROGRAM	19E	\$38,495,000	\$62,224,352	\$32,615,930
C-HPD-0206	ALTERNATIVE ENFORCEMENT PROGRAM	14B	\$8,563,000	\$16,063,220	\$7,286,417
C-HPD-0000	NEIGHBORHOOD HOUSING SERVICES	14A,14B, 14H	\$135,000	\$99,838	\$46,827
DH-3 Subtotal			\$47,193,000	\$78,387,410	\$39,949,174
SL-1 Availability/Accessibility for the Purpose of Creating Sustainable Living Environments					
C-DYCD-0142	BEACON SCHOOL PROGRAM	05	\$5,599,000	\$9,152,895	\$5,484,627
C-DFA-0183	DFTA SENIOR CENTER IMPROVEMENTS	03A	\$1,916,000	\$3,743,917	\$1,316,487
C-DHS-0046	HOMELESS OUTREACH AND HOUSING PLACEMENT SERVICES	05O	\$553,000	\$1,352,530	\$778,419
C-MOCJC-0037	SAFE HORIZON	05	\$3,246,000	\$5,242,823	\$2,974,758
C-CHR-0040	COMMISSION ON HUMAN RIGHTS LAW ENFORCEMENT PROGRAM	05	\$1,674,000	\$2,729,146	\$1,655,844
C-CHR-0051	COMMISSION ON HUMAN RIGHTS NEIGHBORHOOD HUMAN RIGHTS PROGRAM	05,20,21A,21D	\$3,485,000	\$3,578,663	\$3,401,049
C-DHS-0182	DHS HOMELESS SERVICES (FORMERLY DHS HOMELESS FAMILIES SERVICE)	05	\$3,545,000	\$3,545,000	\$3,545,000

**CDBG
PROGAM EXPENDITURES**

DCP TABLE #1

Proj Code	Program Name	HUD Code	2014 Allocation	Amount City Authorized	Amount City Expended/ Drawdown/ Disbursed
C-DPR-0095	MINIPOOLS	05D	\$580,000	\$682,684	\$594,782
C-DPR-0032	PROSPECT PARK SPECIAL ADMINISTRATOR'S OFFICE	05	\$487,000	\$1,033,132	\$479,270
C-DPR-0033	VAN CORTLANDT/PELHAM BAY PARKS SPECIAL ADMINISTRATORS' OFFICES	05	\$446,000	\$958,636	\$466,213
C-DPR-0053	GREENTHUMB	05	\$798,000	\$1,428,028	\$787,990
C-DYCD-0174	MET COUNCIL FOOD PANTRY	05W	\$375,000	\$750,729	\$375,729
SL-1 Subtotal			\$22,704,000	\$34,198,183	\$21,860,168
SL-2 Affordability for the Purpose of Creating Suitable Living Environments					
C-ACS-0042	DAY CARE CENTER SERVICES	05L	\$2,963,000	\$4,763,015	\$2,941,724
SL-2 Subtotal			\$2,963,000	\$4,763,015	\$2,941,724
SL-3 Sustainability for the Purpose of Creating Suitable Living Environments					
C-DFA-0049	ELDERLY MINOR HOME REPAIR PROGRAM	05A	\$362,000	\$540,098	\$371,429
C-DCLA-0079	COMMUNITY ARTS DEVELOPMENT PROGRAM	19C	\$243,000	\$783,249	\$363,832
C-LPC-0052	LANDMARKS HISTORIC PRESERVATION GRANT PROGRAM	16A,16B	\$114,000	\$599,925	\$119,235
C-DPR-0055	BRONX RIVER PROJECT	05	\$190,000	\$377,781	\$215,068
C-DOEd-0165	CODE VIOLATION REMOVAL IN SCHOOLS	03	\$4,500,000	\$5,415,184	\$4,266,332
C-HPD-0171	DEMOLITION PROGRAM	04	\$4,609,000	\$7,806,217	\$1,148,832
SL-3 Subtotal			\$10,018,000	\$15,522,454	\$6,484,728
EO-1 Accessibility for the Purpose of Creating Economic Opportunities					
C-VARIOUS-0204	ADULT LITERACY PROGRAM	05	\$1,561,000	\$2,711,268	\$1,466,768
C-SBS-0200	NYC BUSINESS SOLUTIONS	05H,18B,18C	\$587,000	\$1,809,433	\$447,132
C-SBS-0026	AVENUE NYC	18B,19C	\$2,136,000	\$4,465,184	\$2,315,781
EO-1 Subtotal			\$4,284,000	\$8,985,885	\$4,229,681
N/A Not Applicable					
C-DCP-0061	DCP INFORMATION TECHNOLOGY	20	\$3,071,000	\$5,238,595	\$2,869,089
C-DCP-0062	DCP COMPREHENSIVE PLANNING	20	\$12,020,000	\$15,347,260	\$11,901,929
C-HPD-0060	HPD HOUSING POLICY ANALYSIS AND STATISTICAL RESEARCH	20	\$4,429,000	\$9,082,827	\$5,450,271
C-HPD-0166	HPD PROGRAM PLANNING	20	\$2,162,000	\$4,366,025	\$2,130,727
C-HPD-0137	HPD NEIGHBORHOOD PRESERVATION OFFICES	20	\$4,556,000	\$7,904,835	\$4,182,641
C-LPC-0202	LPC PLANNING	20	\$537,000	\$917,849	\$519,106
C-HPD-0199	RENT GUIDELINES BOARD SUPPORT STAFF	20,21A, 21C	\$470,000	\$1,095,419	\$421,865
C-MAY-0203	SCORECARD PROGRAM	20	\$382,000	\$696,598	\$336,855
C-HPD-0024	HPD FAIR HOUSING SERVICES PROGRAM	05J	\$337,000	\$2,465,268	\$273,219
C-VARIOUS-0063	CDBG ADMINISTRATION	21A	\$2,454,000	\$3,932,883	\$2,180,732
C-HPD-0092	HPD ADMINISTRATION	21A, 21H	\$5,645,000	\$9,884,512	\$5,729,544

**CDBG
PROGRAM EXPENDITURES**

DCP TABLE #1

Proj Code	Program Name	HUD Code	2014 Allocation	Amount City Authorized	Amount City Expended/ Drawdown/ Disbursed
C-HPD-0114	NEIGHBORHOOD PRESERVATION CONSULTANTS	18B	\$702,000	\$3,151,149	\$459,631
C-HPD-0015	IN REM BUILDING MAINTENANCE AND REPAIR PROJECT SUPPORT	19E	\$2,338,000	\$4,379,467	\$2,085,838
Subtotal			\$39,103,000	\$68,462,687	\$38,541,447
N/I Not Applicable-No Suitable Performance Indicator					
C-HPD-0209	REHABILITATION SERVICES	14H	\$460,000	\$9,419	\$9,419
C-DPR-0054	LAND RESTORATION PROGRAM	03E,05,06	\$521,000	\$1,092,913	\$656,132
C-DSNY-0031	NEIGHBORHOOD VACANT LOT CLEAN-UP PROGRAM	04, 06	\$18,781,000	\$26,464,916	\$16,750,217
C-HPD-0010	TARGETED CODE ENFORCEMENT	15	\$32,049,000	\$42,168,295	\$31,256,401
C-HPD-0210	PRIMARY PREVENTION PROGRAM	14L	\$141,000	\$141,000	\$105,919
Subtotal			\$51,952,000	\$69,876,543	\$48,778,088

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Actual Accomp_#
	COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)				
C-CHA-0039	ELDERLY SAFE-AT-HOME	05A, 05B	Total number of persons assisted with new/improved access to a service	2,152	2,219
C-CHA-0041	SENIOR RESIDENT ADVISOR PROGRAM	05A, 05B	Total number of persons assisted with new or continuing access to a service or benefit	2,402	2,366
C-MAY-0047	PROJECT OPEN HOUSE	14A, 14B	Total rental units made accessible for persons with disabilities	5	70
			Total number owner-occupied units rehabilitated or improved	5	5
C-MAY-0048	HOUSING INFORMATION AND EDUCATION	05B	Total number of persons assisted with new/improved access to a service (Units of service)	248,726	288,554
C-HPD-0085	7A PROGRAM	14B	Total number of units brought from substandard to standard condition.	44	54
C-HPD-0012	IN REM SUPERINTENDENT CONTRACT	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory: occupied units)	68	60
C-HPD-0013	IN REM MATERIAL MANAGEMENT AND PROCUREMENT	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory: occupied units)	68	60
C-HPD-0014	IN REM PROPERTY MANAGEMENT PROGRAM	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory: occupied units)	68	60
C-HPD-0090	IN REM BUILDING MAINTENANCE AND REPAIR PROGRAM	19E	Total number of units brought from substandard to standard. (In rem occupied building inventory: occupied units)	68	60
C-HPD-0207	PROPERTY DISPOSITION AND FINANCE (FORMERLY IN REM ALTERNATIVE MANAGEMENT PROGRAM)	19E	Estimated PDF occupied inventory 1-1-14	2,738	
			Actual PDF occupied inventory 12-31-14		1,954
C-HPD-0084	LITIGATION (FORMERLY HOUSING LITIGATION DIVISION)	15, 19E	Number of Households that received legal assistance to prevent homelessness (# of cases litigated).	118,000	171,468
C-HPD-0198	HPD EMERGENCY SHELTERS	08	Total number of persons given overnight shelter (# of households)	1,274	
			Total number of persons given overnight shelter		3,166
C-HPD-0009	EMERGENCY REPAIR PROGRAM	06, 14B, 14I, 19E	Total number of units brought from substandard to standard condition	14,500	9,181
			Total number of units brought into compliance with lead-safe housing rule	1,800	1,192

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj_Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Actual Accomp_#
C-HPD-0206	ALTERNATIVE ENFORCEMENT PROGRAM	14B	Total number of units brought from substandard to standard condition	605	394
C-HPD-0000	NEIGHBORHOOD HOUSING SERVICES	14A, 14B, 21A	Total number of owner-occupied units brought from substandard to standard condition	26	0
C-DYCD-0142	BEACON SCHOOL PROGRAM	05	Total number of persons assisted with new/improved access to a service	16,800	19,931
C-DFA-0183	DFTA SENIOR CENTER IMPROVEMENTS	03A	Total number of persons assisted with new/improved access to a facility	16,500	18,972
			Public Facilities	9	5
C-DHS-0046	HOMELESS OUTREACH AND HOUSING PLACEMENT SERVICES (DROP-IN CENTERS OUTREACH)	05O	Total number of persons assisted with new/improved access to a service	780	1,215
C-MOCJC-0037	SAFE HORIZON	05, 21B	Total number of persons assisted with new or continuing access to a service or benefit	117,000	128,650
C-CHR-0040	COMMISSION ON HUMAN RIGHTS LAW ENFORCEMENT PROGRAM	05	Total number of persons assisted with new/improved access to a service (Units of service: # of Investigations anticipated to be initiated in 2014 + # of investigations carried over from 2013)	2,000	2,327
C-CHR-0051	COMMISSION ON HUMAN RIGHTS NEIGHBORHOOD HUMAN RIGHTS PROGRAM	05, 20, 21D, 21A	Total number of persons assisted with new/improved access to a service (Units of service)	85,000	91,614
C-DHS-0182	DHS HOMELESS SERVICES (formerly DHS HOMELESS FAMILIES SERVICES)	05	Total number of Homeless persons given overnight shelter	8,444	7,916
C-DPR-0095	MINIPOOLS	05D	Total number of persons assisted with new/improved access to a service	89,760 (120 swimmers per day in a 68-day season at 11 CD sites)	105,468 (141 swimmers per day in a 68-day season at 11 CD sites)
C-DPR-0032	PROSPECT PARK SPECIAL ADMINISTRATOR'S OFFICE	05	Total number of persons assisted with new or continuing access to a service or benefit (Based on a user's survey)	8,863,109	8,863,109
C-DYCD-0174	MET COUNCIL FOOD PANTRY PROGRAM	05	Total number of persons assisted with new/improved access to a service	169,500	170,400
C-DPR-0033	VAN CORTLANDT/PELHAM BAY PARKS SPECIAL ADMINISTRATORS' OFFICE	05	Total number of persons assisted with new or continuing access to a service or benefit (Based on a user's survey)	4,000,000	6,600,000

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj_Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Actual Accomp_#
C-DPR-0053	GREENTHUMB	05	Total number of persons assisted with new/improved access to a service	94,132	87,197
C-ACS-0042	DAY CARE CENTER SERVICES	05L	Total number of persons assisted with new/improved access to a service	310	252
C-DFA-0049	ELDERLY MINOR HOME REPAIR PROGRAM	05A	Total number of persons assisted with new/improved access to a service	2,200	2,038
C-DCLA-0079	COMMUNITY ARTS DEVELOPMENT PROGRAM	19C	Organizations	9	53
C-LPC-0052	LANDMARKS HISTORIC PRESERVATION GRANT PROGRAM	16A, 16B	Total number of commercial façade treatments	2	1
			Total number of owner-occupied units rehabilitated or improved	6	4
C-DPR-0055	BRONX RIVER PROJECT	05	Total number of persons assisted with new improved access to a service. (Units of Service)	191,000	194,900
C-DOEd-0165	CODE VIOLATION REMOVAL IN SCHOOLS	03	Total number of persons assisted with new/improved access to a facility	96,515	106,950
			Public Facilities	113	138
			No Suitable HUD Indicator (Number of Code Violations Removed)	130	166
C-HPD-0171	EMERGENCY DEMOLITION PROGRAM	04, 06, 19E	Total # of slum and blight demolitions	30	0
C-VARIOUS-0204	ADULT LITERACY PROGRAM	05, 21B	Total number of persons assisted with new/improved access to a service	2,200	2,838
C-SBS-0200	NYC BUSINESS SOLUTIONS	05H, 18B, 18C	Total number of persons assisted with new/improved access to a service via Business Basics training	5,886	4,133
			Total existing businesses assisted	1,766	837
			Total new businesses assisted	0	0
C-SBS-0026	AVENUE NYC	18B, 19C	Total existing businesses assisted	5	26
			Total new businesses assisted	0	0
C-DCP-0061	DCP INFORMATION TECHNOLOGY	20	Not Applicable		
C-DCP-0062	DCP COMPREHENSIVE PLANNING	20	Not Applicable		
C-HPD-0060	HPD HOUSING POLICY ANALYSIS AND STATISTICAL RESEARCH	20	Not Applicable		
C-HPD-0166	HPD PROGRAM PLANNING	20	Not Applicable		
C-HPD-0137	HPD NEIGHBORHOOD PRESERVATION OFFICES	20	Not Applicable		

**CDBG
PROGRAM ACCOMPLISHMENTS**

DCP TABLE #2

Proj_Code	Program Name	HUD Code	Accomplishment	Proposed Accomp_#	Actual Accomp_#
C-LPC-0202	LPC PLANNING	20	Not Applicable		
C-HPD-0199	RENT GUIDELINES BOARD SUPPORT STAFF	20, 21A, 21C	Not Applicable		
C-MAY-0203	SCORECARD PROGRAM	20	Not Applicable		
C-HPD-0024	HPD FAIR HOUSING SERVICES	21D	Not Applicable		
C-VARIOUS-0063	CDBG ADMINISTRATION	21A	Not Applicable		
C-HPD-0092	HPD ADMINISTRATION	21A	Not Applicable		
C-HPD-0114	NEIGHBORHOOD PRESERVATION CONSULTANTS	18B	Not Applicable		
C-HPD-0015	IN REM BUILDING MAINTENANCE AND REPAIR PROJECT SUPPORT	19E	Not Applicable		
C-HPD-0210	PRIMARY PREVENTION PROGRAM	14I	Not Applicable		
C-HPD-0209	REHABILITATION SERVICES	14H	Number of physical inspections performed	225	159
C-DPR-0054	LAND RESTORATION PROGRAM	03E, 05, 06	No appropriate HUD Indicator (Number of Acres Treated)	180	89
C-DOS-0031	NEIGHBORHOOD VACANT LOT CLEAN-UP	06	No Suitable HUD Indicator (Number of Vacant Lots Cleaned)	2,900	2,512
C-HPD-0010	TARGETED CODE ENFORCEMENT	15	No Suitable HUD Indicator (Number of Code Violations Removed)	450,000	440,145

Financial Summary Chart for the Community Development Block Grant Program

On the pages that follow is the CDBG Financial Summary Chart. This chart reflects available funds and expenditures for the period 01/01/14 - 12/31/14. In addition to the CD entitlement received for 2014, revenues also are generated through program income.

Part III: 70% of CD Program funds must benefit low- and moderate-income (low/mod) persons. For calendar year 2014, the percent benefit to low/mod persons is 92.08.

Part IV: 70% of CD Program funds must benefit low- and moderate-income (low/mod) persons. The City has chosen to be evaluated for a three-year period (2014, 2015, and 2016) and has just completed the first year. Because the certification period does not include any prior years, it is not required that this section be completed this calendar year.

Part V: The Public Service cap is calculated by dividing the sum of the Public Service expenditures and the Public Service unliquidated obligations by the sum of the current year's grant and the prior year's program income. The Public Service cap is 15%. In calendar year 2014, the Public Service percentage is 13.71%.

Part VI: No more than 20% of the CD Entitlement plus program income received in the current year may be expended for planning and administration activities. In 2014, 19.75% of CD funds was expended for these activities.

**FINANCIAL SUMMARY
ANNUAL PERFORMANCE REPORT
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

Name of Grantee: City of New York
Grant Number: B14MC360104
Reporting Period: 01/01/14 - 12/31/14

NOTE: This Financial Summary Report represents calendar year 2014 fiscal data.

PART I: Summary of CDBG Resources

1. Unexpended CDBG funds at end of previous reporting period			166,528,206.00
2. Entitlement Grant from form HUD-7082			153,554,426.00
3. Surplus Urban Renewal Funds			0.00
4. Section 108 Guaranteed Loan Funds (Principal Amount)			0.00
5. Program Income received by	Grantee	Subrecipient	
	(column A)	(column B)	
a. Revolving Funds - NHS	85,274.00	0.00	
b. Other (identify below)	0.00	38,649,814.00	
Total Program Income (sum of columns A and B)			38,735,088.00
6. Prior period adjustments (if negative, enclose in brackets)			0.00
7. Total CDBG funds available for use during this reporting period			358,817,720.00

PART II: Summary of CDBG Expenditures

8. Total expenditures reported *		203,119,033.00
9. Total expended for Planning & Administration	35,949,878.00	
10. Amount subject to Low/Mod Benefit calculation	167,169,155.00	
11. CDBG funds used for Section 108 principal & interest payments		0.00
12. Total expenditures (line 8 plus line 11)		203,119,033.00
13. Unexpended balance (line 7 minus line 12)		155,698,687.00

PART III: Low/Mod credit this Reporting Period

14. Total Low/Mod credit for multi-unit housing expenditures	87,007,112.00
15. Total from all other activities qualifying as Low/Mod expenditures	66,919,338.00
16. Total (line 14 plus line 15)	153,926,450.00
17. Percent benefit to Low/Mod persons (line 16 divided by line 10 this reporting period)	92.08%

PART IV: Low/Mod Benefit for Multi-Year Certifications (Complete only if certification period includes prior years)

Program Years (PY) covered in certification PY '14 PY '15 PY '16

18. Cumulative net expenditures subject to program benefit calculation	0.00
19. Cumulative expenditures benefiting Low/Mod persons	0.00
20. Percent benefit to Low/Mod persons (line 19 divided by line 18)	0.00%

PART V: For Public Services Activities only: Public Services Cap Calculation

21. Total Public Service expenditures	26,642,897.00
22. Total Public Service unliquidated obligations	5,484,160.00
23. Sum of line 21 and line 22	32,127,057.00
24. Total Public Service unliquidated obligations reported at the end of the previous reporting period	5,848,780.00
25. Net obligations for public services (line 23 minus line 24)	26,278,277.00
26. Amount of Program Income received in the preceding program year	38,171,010.00
27. Entitlement Grant amount (from line 2)	153,554,426.00
28. Sum of lines 26 and 27	191,725,436.00
29. Percent funds obligated for Public Service Activities (line 25 divided by line 28)	13.71%

* Includes Neighborhood Housing Services (NHS) program.

**FINANCIAL SUMMARY
ANNUAL PERFORMANCE REPORT
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

Name of Grantee: City of New York
Grant Number: B14MC360104
Reporting Period: 01/01/14 - 12/31/14

PART VI: Planning and Program Administration Cap Calculation

30. Amount subject to Planning and Administration cap (grant amount from line 2 plus line 5).....	192,289,514.00
31. Amount expended for Planning and Administration (from line 9).....	35,949,878.00
32. Total Planning and Administration unliquidated obligations	3,200,251.00
33. Sum of line 31 and line 32	39,150,129.00
34. Total Planning and Admin. unliquidated obligations reported at the end of the previous reporting period	1,164,041.00
35. Net obligations for Planning and Administration (line 33 minus line 34)	37,986,088.00
36. Percent funds obligated for Planning and Administration Activities (line 35 divided by line 30)	19.75%

PROGRAM INCOME NARRATIVE

Due to limitations inherent in the IDIS software, a listing of Program Income sources generated by prior years' completed CD programs follows the Financial Summary as Chart A.

Line 5b:

Other Program Income			
Project ID #	Description	Grantee	Subrecipient
207	Tenant Interim Lease	1,397,741.00	0.00
171	Emergency Demolition Program	1,959,336.00	0.00
85	7A Administration	738,193.00	0.00
9	Emergency Repair Program	15,913,119.00	0.00
31	Vacant Lot Clean Up	1,059,585.00	0.00
84	Housing Litigation Division	4,888,381.00	0.00
61	Information Technology	31,146.00	0.00
26	Avenue NYC	13,747.00	0.00
206	Alternative Enforcement: Repairs	3,658,577.00	0.00
206	Alternative Enforcement: Fees	1,075,442.00	0.00
9	Emergency Repair Program II	1,482,282.00	0.00
171	Emergency Demolition Program II	258,865.00	0.00
10	CD Multiple Dwelling & Copy Fees	1,891,365.00	0.00
10	CD Dismissal Request	1,881,120.00	0.00
10	Heat & Hot Water Violations	86,400.00	0.00
40/51	Civil Penalties	219,020.00	0.00
10	Heat & Hot Water Fees	148,373.00	0.00
199/60	CityStore sales	4,160.00	0.00
-	Completed CD programs' revenue streams.	1,942,962.00	0.00
TOTAL:		38,649,814.00	0.00

Line 6: **PRIOR PERIOD ADJUSTMENT NARRATIVE**

RECONCILIATION OF LINE (S) OF CREDIT (LOC) AND CASH BALANCES TO UNEXPENDED BALANCE OF CDBG FUNDS SHOWN ON THE APR:

Complete the following worksheet and submit with the attachment:

UNEXPENDED BALANCE SHOWN ON FINANCIAL SUMMARY (line 13):	155,698,687.00
ADD:	
LOC balance (s) as of APR date	155,645,676.00
Cash on Hand:	
Grantee Program Account	0.00
Subrecipients Program Accounts	0.00
Revolving Fund Cash Balances	53,011.00
Section 108 Accounts (in contract)	0.00
SUBTRACT:	
Grantee CDBG Program Liabilities (include any reimbursements due to the Grantee from program funds)	(0.00) *
Subrecipient CDBG Program Liabilities (Same instructions as above)	(0.00) *
TOTAL RECONCILING BALANCE:	155,698,687.00
UNRECONCILED DIFFERENCE:	0.00

* When grantees or subrecipients operate their programs on a reimbursement basis, any amounts due to the grantees or subrecipients should be included in the Program Liabilities.

**ANNUAL PERFORMANCE REPORT
 CD YEAR 40 REVENUE SUMMARY
 (Reporting Period: 01/01/14 - 12/31/14)
 COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

SOURCE AGENCY	REVENUE SOURCE DESCRIPTION	REVENUE AMOUNT
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CHART A

HPD	Sweat Equity	42,452
HPD	Participation Loan	84,012
HPD	SRO Rehab Loan	135,875
HPD	Federal Urban Renewal Leases and Rents	1,662,241
Various	Program Income Adjustments	4,060
DSBS	EDC Community Development Revenue	14,322
PROGRAM INCOME - COMPLETED PROGRAMS TOTAL:		1,942,962

2. HOME INVESTMENT PARTNERSHIP PROGRAM (HOME)

The New York City Department of Housing Preservation and Development has continued to use its limited resources to fulfill the long-range strategies that were laid out in the FFY 2014 Consolidated Plan. Actual accomplishments for some of the individual programs vary from the proposed accomplishments due to use of HOME funds for long-term construction and rehabilitation purposes. Please refer to the note at the end of this article for a complete discussion explaining the basis for substantial variances between proposed and actual accomplishments.

The City's 2014 HOME award was \$58,657,553 for HOME; it was received on September 30, 2014. It was projected that the majority of the 2014 grant would be targeted to substantial and moderate rehabilitation activities designed to benefit a range of small and large households, homeless families and individuals and elderly families. In addition, some of the 2014 HOME grant may be used toward acquisition, new construction, rental assistance, or down payment assistance. The funds must be committed to projects by September 30, 2016. The City's schedule to commit projects with these funds has not been finalized.

During 2014, after allowing for a 10% administrative cost allowance on the HOME grant, the City committed \$ 54,030,650 of HOME funds for a variety of affordable housing projects. These funds include HOME monies granted in prior years but committed in HUD's Cash Management Information System (CMI) and in HUD's Integrated Disbursement and Information System (IDIS) between January 1, 2014 and December 31, 2014. The funds committed during this time were from 2011, 2012 and 2013 HOME awards. Therefore, the funds committed discussed in this section do not correspond directly to the amounts received from HUD as listed in the Program Expenditures Table (DCP Tables # 1.1 through 1.3). The required IDIS HOME tables are provided in Volume III: C04PR27 Status of HOME Grant; C04PR22 Status of HOME Activities; and C04PR25 Status of CHDO funds. Volume III is over 200 pages in length, and therefore will only be provided upon request. To request a copy, please call (212) 720-3337.

In the HOME Grant Allocations Table, the column entitled " Program Dwelling Units Proposed" was taken from the amended 2014 Consolidated Plan, which the City published after the City actually received its 2014 HOME grant. This figure represents the City's estimate as to the total number of households that will be assisted over time using 2014 HOME funds. In the HOME Funds Actually Committed by HPD Table column entitled "Program Dwelling Units Started" indicates the total number of households being assisted in projects that actually committed HOME funds during calendar year 2014. A fuller explanation as to why this methodology was used is at the end of this HOME Section.

The matching requirements for the HOME grant were reduced for many localities in an effort to ease the financial burden on jurisdictions already strapped for funds. As with the City's past HOME allocations, 2014 HOME funds must be matched by non-federal resources (for example, cash, value of waived taxes, value of land, cost of infrastructure improvements) based on the type of activity undertaken. For every federal dollar, 0.125 non-federal dollars must be spent.

It is anticipated that 2014 HOME program dollars will be combined with City capital funds in several HPD programs. These programs are described in detail in the 2014 Consolidated Plan. It is important to note that at least 15% of HOME funds must be reserved for housing to be developed, sponsored, or owned by community housing development organizations (CHDOs). However, given the City's long and extensive history of involvement with not-for-profit organizations in the production, ownership and management for affordable housing, it is again likely that more than the 15% minimum of the HOME awards will be used for projects involving CHDOs. In addition, 10% of HOME funds are set aside for eligible administrative expenses, as is reflected in the HOME/CMI Table and on the HOME Program Expenditure Table.

In the 2010 Consolidated Plan: Five-Year Strategy, the City of New York's agencies that address housing issues identified several housing-related program objectives. Below is an explanation of how HPD has used its resources (federal, state, city, and private funds) to meet those broad objectives.

In all of the City-administered HOME-funded programs that benefited newly assisted households in 2013, the City assisted 3,691 housing units, which is substantially more than its overall goal of benefiting 762 housing units. Nevertheless, variances arise between planned and actual start dates for housing projects. Also, planning of projects is tied to estimations of the dates that projects will be ready for commitment in IDIS, and these estimations are not readily predictable in advance of the program year, when estimations are made. Consequently, on a program-by-program basis, variances between planned and actual goals are explained under the objectives headings in the following article.

Objectives:

DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing

The **Supportive Housing Loan Program** actually committed \$61,110,961 in 2014, far more than the \$32,170,609 planned, for the acquisition and rehabilitation of properties by not-for-profit organizations for the purpose of developing new permanent housing for homeless and low-income single adults. The program will benefit 1,146 units, far more than the 339 units planned in the 2014 Consolidated Plan. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Supportive Housing Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2014. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

In 2014, HPD committed \$4,500,000 in HOME funds for the **Third Party Transfer Program**, although \$1,337,837 were planned to be committed to assist 13 housing units in the 2014 Consolidated Plan. As a result, 42 Third Party Transfer households were reported in the IDIS system to be assisted in 2014. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Third Party Transfer Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2014. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

In 2014, HPD planned to use \$243,512 in HOME funds for the **Article 8A Loan Program**, which provides loans to finance the replacement of existing systems or removal of substandard conditions which are violations of the multiple dwelling law or local housing code. The loan program is authorized by the New York State Private Housing Law. Some 7 units were planned to benefit from the use of funds. In 2014, HPD actually committed no HOME funds for the program. As a result, no units were benefited in Calendar 2014. The 2014 Consolidated Plan assumed the utilization of HOME funds in the Article 8A Loan Program, but new sites closed within that program year did not utilize HOME. In this program, the number of buildings that actually receive commitments of HOME funds can vary substantially from HPD's projection at the time that the Consolidated Plan is prepared.

HPD actually committed \$10,271,463 in HOME funds for the **Low-Income Rental Program**, although \$6,938,380 of HOME funds were planned in the 2014 Consolidated Plan. A total of 577 units will actually be assisted through the program, although 136 units were estimated in the 2014 Consolidated Plan. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Low Income Rental Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2014. In this program, the number of buildings that actually receive commitments of HOME funds can vary substantially from HPD's projection at the time that the Consolidated Plan is prepared.

DH-2 Affordability for the Purpose of Providing Decent Affordable Housing

In 2014, HPD committed \$6,696,468 in HOME funds to the **Multifamily Preservation Loan Program** (formerly known as the Neighborhood Entrepreneurs Program (NRP) and the Neighborhood Redevelopment Program (NEP)), although the amount of funding planned in the 2014 Consolidated Plan was \$2,919,640. Some 177 units benefited from the program, although 31 units were planned in the 2014 Consolidated Plan. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Multifamily Preservation Loan Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2014. In this program, the number of buildings that actually receive commitments of HOME funds can vary substantially from HPD's projection at the time that the Consolidated Plan is prepared.

HPD committed \$1,267,131 of HOME funds for the **Senior Housing Program (formerly HUD Section 202)** in 2014, although it had planned to commit \$2,546,983 of HOME funds in the 2014 Consolidated Plan. Some 62 units were assisted through the program in 2014, although some 39 units were estimated in the 2014 Consolidated Plan. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Senior Housing Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2014. While the number of units in HOME funded projects was higher than expected, the amount of HOME funds set up in Program Year 2014 was lower than expected. This is because the average per unit cost for projects committed in Program Year 2014 was lower than assumed at the time of the Consolidated Plan.

In 2014, HPD actually assisted 222 households under the **HomeFirst Downpayment Assistance Program**, far higher than the 95 households planned in the 2014 Consolidated Plan. While HPD planned to commit \$1,591,580 of HOME funds to the program, the actual amount of HOME funds committed was \$2,867,348. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the HOMEFIRST Downpayment Assistance Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2014. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

HOME Tenant-Based Rental Assistance had become a funding priority for HPD in 2011 when the New York City Housing Authority (NYCHA) found itself unable to support some of its neediest applicants. By early in calendar 2013, HPD's TBRA support for these applicants became less urgent, as NYCHA vouchers became available. The TBRA households were transitioned back to NYCHA Section 8 vouchers, which required comparatively less of the \$229,642 planned funding for TBRA to assist 17 households. The actual HOME funding for TBRA in calendar 2014 was \$29,832 to assist 3 new households. Very little leasing activity took place in 2014 as the program was essentially winding down.

Also in 2014, HPD actually committed \$1,062,512 in HOME funds for the Multifamily Rental Mixed Program, although it had planned to commit \$2,728,423 of HOME funds in the 2014 Consolidated Plan. While 39 units were planned to be assisted through the program, the actual number of units assisted with HOME funds was 20 units. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Multifamily Rental Mixed Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2014. In this program, the number of buildings that actually receive commitments of HOME funds can vary substantially from HPD's projection at the time that the Consolidated Plan is prepared.

DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing

The City of New York has devoted significant resources to the moderate rehabilitation of privately owned housing. Such programs include the **Participation Loan Program (PLP)**, which in 2014 actually committed \$1,379,239 in HOME funds, more than the \$787,377 HPD planned in PLP HOME funding in calendar 2014.

The funds provide low-interest loans to both for-profit and not-for-profit owners so that occupied and vacant multi-family buildings can undergo rehabilitation, ranging from moderate to substantial. While only 9 units were planned to be assisted, the actual number assisted was 165 units. The proposed accomplishment was based on the application of a presumed HOME \$ per unit average cost to the projected grant award amount for the Participation Loan Program. The actual accomplishment is the total number of units in projects that were set up against HOME grant funds during Program Year 2014. The volume of HOME-funded activity in the program can vary substantially from year to year, based on how projects move through the development pipeline.

HPD committed no HOME funds for the **HUD Multifamily Program** in 2014, although it had planned to commit \$1,297,820 of HOME funds in the 2014 Consolidated Plan. No units were assisted through the program, although 37 units were estimated in the 2014 Consolidated Plan. The 2014 Consolidated Plan assumed the utilization of HOME funds in the HUD Multifamily Program, but new sites closed within that program year did not utilize HOME. In this program, the number of buildings that actually receive commitments of HOME funds can vary substantially from HPD’s projection at the time that the Consolidated Plan is prepared.

Since 2003, when the City launched the **New Housing Marketplace Plan (NHMP or the Plan)**, the City has financed nearly 160,000 units of affordable housing throughout the five boroughs and is on track to exceed its ambitious goal of 165,000 units by June 2014. At completion, the NHMP will have provided affordable homes for over half a million New Yorkers, representing the largest municipal housing effort in the nation’s history. All together, the NHMP units house a demographically and economically mixed community the size of present day Atlanta. NHMP starts as of December 31, 2013 were 160,477 dwelling units.

In 2003, Mayor Michael Bloomberg’s administration launched the New Housing Marketplace Plan (NHMP) in 2003, and expanded it in 2006, committing to build or preserve 165,000 units of affordable housing between 2003 and 2014. Mayor Bill de Blasio has sought to exceed the great success of the NHMP. The City’s new housing campaign, Housing New York: a Five-Borough, Ten-Year Plan, will produce and preserve affordable housing, creating and preserving 200,000 units of affordable housing over 10 years. The City estimates the total cost, including all possible public and private sources, will be \$41.4 billion. Federal-source funding is critically important toward achieving the City’s goals.

HOME Completions in 2014

The number of HOME-assisted housing units completed in this category during calendar 2014 using current and prior years grant funds, was 3,691. The following information is a breakdown of the number of HOME-assisted housing completions in 2014 by program.

<u>Program Name</u>	<u>Number of Units</u>
Multifamily Preservation Loan Program (NEP and NRP)	146
Participation Loan Program (PLP)	55
Third Party Transfer (TPT)	14
The Supportive Housing Loan Program (formerly known as SRO Loan Program)	342
Multifamily Homeownership (formerly known as the Cornerstone) Program	26
Multifamily Rental-Mixed Program (MIRP)	22
Low-Income Rental Program (formerly New MIRP)	76
Senior Housing Program (formerly known as HUD Section 202 Program)	36
HUD Multifamily	33
HomeFirst Down Payment Assistance Program (ADDI)	200
Tenant-Based Rental Assistance	3
Total	953

IMPORTANT NOTE:

The HOME Program is primarily designed to stimulate the development of permanent housing projects, including a variety of substantial (a.k.a., gut) rehabilitation projects as well as new construction projects. By its very nature, the development of permanent housing is an involved process and takes a considerable period of time. In fact, development sites/buildings must be located and acquired, developers selected, market studies performed, architectural drawings and construction scopes of work completed, and financing obtained before most projects can even be committed in HUD's IDIS/CMI system. Once projects are committed, the construction cycle can take as much as another 24 months depending on conditions and the amount of work being performed. In recognition of these facts, the HOME regulations allow grantees up to two years from receipt of the funds to commit them and an additional three years to complete construction and submit the necessary project completion reports. Consequently, it is clearly not possible to produce a complete report on the commitment of 2014 HOME funds, let alone the completion of construction for units assisted with 2014 HOME funds, in the time frame allowed for the 2014 Annual Performance Report, which is required to be completed in early 2014. Instead, under the heading "Actual Accomplishments", HPD has provided information regarding actual HOME commitments made in the CMI/IDIS system during 2014, recognizing that most, if not all, of these commitments were registered using funding from earlier HOME grants. Consequently, the figures provided under this heading will not correspond directly with the figures in the column entitled "Proposed Accomplishments," which represents the Agency's best projections as to how the 2014 HOME grant will be committed over the next two years and how many units will be assisted. Please note also that in compliance with the Annual Performance Report requirements, HPD has provided a full accounting of all units completed in HOME projects during 2014 under the Accomplishments section of this report. Clearly, these figures also represent units that were funded with earlier HOME awards rather than with the 2014 HOME grant. See the notes on the "Table of HOME Program Expenditures," for an explanation on the use of 2014 HOME funds.

HOME Project Report Summaries

On-site Inspections of Assisted Affordable Rental Housing

Please refer to Volume 2, Part II.F., Monitoring, Monitoring of Affordable Housing Units – HPD for the results of HPD's compliance monitoring in 2014.

Section 3 of the Housing and Urban Act of 1968

The City of New York, to the greatest extent feasible, is committed to directing job training and employment opportunities to low- and very low-income New Yorkers, and its programs have increased opportunities for these groups. The Department of Housing Preservation and Development (HPD) has undertaken various affirmative efforts to realize the benefits of Section 3 for local residents and local businesses:

- HPD includes the Section 3 clause in its HUD funded contracts, alerting each entity of the program and its obligations. The clause also requires its placement in every subcontract subject to Section 3 regulations.
- HPD promotes awareness of Section 3 by summarizing Section 3 requirements during HPD's weekly Pre-Award Conferences, wherein loan recipients, contractors and subcontractors engaged in Agency funded projects and activities are also informed of equal opportunity, business utilization and workforce participation provisions found in Agency contracts. In CY 2014 a total of 543 representatives of private and nonprofit business entities participated in 52 Pre-Award Conferences.
- HPD has created and posted a HUD Section 3 webpage at the HPD website. The webpage contains an explanation of the regulations, reporting forms, a Section 3 Business Concern application, a Business Concerns directory, a link to the City's Workforce One Centers for finding qualified candidates for employment/training opportunities and a link to the HUD Section 3 Business Directory, a nationwide list of firms that have self-certified as Section 3 Business Concerns. The webpage provides firms

working with the Agency easy access the information needed to comply with Section 3 program requirements. It is available here: <http://www1.nyc.gov/site/hpd/developers/compliance-section3.page>

- HPD has participated in the NYC Human Resources Administration/Department of Social Services' Work Experience Program (WEP). The program gives low income Cash Assistance Clients part time jobs at City agencies, where they gain valuable employment experience while looking for full employment.
- HPD has procured and is implementing a new web based payroll management that will improve Section 3 reporting. The LMAC (Labor Management And Compliance) service will collect, store, manipulate, report on and retrieve certified payrolls and related documentation submitted by contractors and their subcontractors as are required by labor laws and socioeconomic mandates, including Section 3. LMAC will replace the paper-based and labor intensive process in place currently, allowing contractors to electronically identify Section 3 firms and workers at the time of initial payroll submission.

The following is a summary of Section 3 participation in 12 HOME funded development projects:

Job Category	TOTAL Number of New Hires¹	Number of New Hires That Are Section 3 Residents²	TOTAL Number of Employees and Trainees³	Number of Section 3 Employees and Trainees⁴
Professional	0	0	8	0
Technicians	1	1	6	4
Office/Clerical	0	0	7	1
Official/Manager	0	0	2	0
Sales	0	0	0	0
Craft Workers	33	2	278	9
Operative	0	0	16	0
Laborer	21	4	105	18
Service Worker	0	0	0	0
Other	0	0	0	0
Totals	55	7	422	32

HPD tracked 12 HOME funded projects, having a total construction cost of over \$177M in Calendar 2014. Construction employers at work on these development projects filled 55 positions last year, of which 7 (13%) were newly hired Section 3 residents. Of the total workforce figure above (422), 8% (32) were classified as Section 3 employees.

Of the 12 contracts surveyed, 2 contracts, with a total value of \$27.8M (16% of \$177M), were let to firms that qualified as Section 3 Business Concerns. In addition, the survey captured 8 subcontracts, with a total value of \$2.5M, by firms that self-certified as Section 3 Business Concerns.

HPD is committed to implementing the goals of the Section 3 program.

**HOME GRANT ALLOCATIONS
in Calendar 2014**

DCP TABLE #1.1

This chart reflects the final proposed allocation of the federal HOME grant, in this case the calendar 2014 HOME grant, into various HPD programs that will be supported by HOME. The numbers are derived from the final Consolidated Plan, or any substantial amendment to the Consolidated Plan if applicable. In addition to the breakout of funding by HPD programs, this chart also reflects the estimated number of households, or dwelling units, that each program will support with the associated dollar allocation amount.				
Proj Code	Program Name	HUD Code	Amount City Allocated	Program Dwelling Units Proposed
	HOME INVESTMENT PARTNERSHIPS			
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing				
H-HPD-0006	THE SUPPORTIVE HOUSING PROGRAM	12,14B,14G	\$32,170,609	339
H-HPD-0010	THIRD PARTY TRANSFER	01,12,14B	\$1,337,837	13
H-HPD-0012	ARTICLE 8-A LOAN PROGRAM	14B	\$243,512	7
H-HPD-0029	MULTIFAMILY RENTAL MIXED INCOME	01,12	\$2,728,423	39
H-HPD-0031	LOW INCOME RENTAL PROGRAM (Formerly NEW MIRP)	01,12	\$6,938,380	136
DH-1 Subtotal			\$43,418,761	
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0033	SENIOR HOUSING PROGRAMS (Formerly HUD SECTION 202)	12,14B,14G	\$2,546,983	83
H-HPD-0035	HOME TENANT-BASED RENTAL ASSISTANCE	21F	\$229,642	17
H-HPD-0038	MULTIFAMILY PRESERVATION LOAN PROGRAM	14B	\$2,919,640	31
H-HPD-0201	HOMEFIRST DOWNPAYMENT ASSISTANCE PROGRAM	13	\$1,591,580	95
DH-2 Subtotal			\$7,287,845	
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0004	PARTICIPATION LOAN PROGRAM (GUT/MOD)	12,13,14B,14G	\$787,377	9
H-HPD-0028	HUD MULTIFAMILY PROGRAM	14B,14G	\$1,297,820	37
DH-3 Subtotal			\$2,085,197	
N/A Not Applicable				
H-HPD-0001	HPD ADMINISTRATION	21E,21H	\$5,865,755	N/A
Subtotal			\$5,865,755	

**HOME FUNDS ACTUALLY COMMITTED by HPD in Calendar 2014 |
Dwelling Units Actually Started by HPD in Calendar 2014**

DCP TABLE #1.2

This chart reports on the amount of HOME funds that HPD actually committed for each particular HPD program in the 2014 calendar year, as HPD reported in the federal accounting system, IDIS. In addition, this chart reports the number of households, or dwelling units, that each program actually started to support in the calendar year.

It is important to note that HOME funds committed by HPD in calendar 2014 are mostly prior-grant years' awards. HPD always commits its full grant allocation but it can take time to develop and close our construction pipelines, and HOME rules allow for this by permitting localities two years to commit a HOME grant.

Proj_Code	Program Name	HUD Code	Amount City Committed in 2014	Program Dwelling Units Started
	HOME INVESTMENT PARTNERSHIPS			
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing				
H-HPD-0006	THE SUPPORTIVE HOUSING PROGRAM	12,14B,14G	\$61,110,961	1,146
H-HPD-0010	THIRD PARTY TRANSFER	01,12,14B	\$4,500,000	42
H-HPD-0012	ARTICLE 8-A LOAN PROGRAM	14B	\$0	0
H-HPD-0029	MULTIFAMILY RENTAL MIXED INCOME	01,12	\$1,062,512	20
H-HPD-0031	LOW INCOME RENTAL PROGRAM (Formerly NEW MIRP)	01,12	\$10,271,463	577
DH-1 Subtotal			\$76,944,936	
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0033	SENIOR HOUSING PROGRAMS (Formerly HUD SECTION 202)	12,14B,14G	\$1,267,131	62
H-HPD-0035	HOME TENANT-BASED RENTAL ASSISTANCE	21F	\$29,832	3
H-HPD-0038	MULTIFAMILY PRESERVATION LOAN PROGRAM	14B	\$6,696,468	177
H-HPD-0201	HOMEFIRST DOWNPAYMENT ASSISTANCE PROGRAM	13	\$2,867,348	222
DH-2 Subtotal			\$10,860,779	
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing				
H-HPD-0004	PARTICIPATION LOAN PROGRAM (GUT/MOD)	12,13,14B,14G	\$1,379,239	165
H-HPD-0028	HUD MULTIFAMILY PROGRAM	14B,14G	\$0	0
DH-3 Subtotal			\$1,379,239	
N/A Not Applicable				
H-HPD-0001	HPD ADMINISTRATION	21E,21H	\$5,865,755	
Subtotal				

**HOME PROGRAM FUNDS
EXPENDED/SPENT DOWN/DISBURSED
in Calendar 2014**

DCP TABLE #1.3

This chart reports HOME funds, granted in this or any prior year, that are actually drawn from the federal accounting system, IDIS during the 2014 calendar year. It is important to note that the amounts reported in this chart do not correspond to either the 2014 HOME grant allocation (in HOME Table # 1.1) or the 2014 HOME commitments (in HOME Table #1.2), as most expenditures represent HOME funds allocated and committed from prior years' awards.

Proj_Code	Program Name	HUD Code	Amount City Expended/Drew down/Disbursed
	HOME INVESTMENT PARTNERSHIPS		
DH-1 Availability/Accessibility for the Purpose of Providing Decent Affordable Housing			
H-HPD-0006	THE SUPPORTIVE HOUSING PROGRAM	12,14B,14G	\$61,996,241
H-HPD-0010	THIRD PARTY TRANSFER	01,12,14B	\$585,999
H-HPD-0012	ARTICLE 8-A LOAN PROGRAM	14B	\$3,345,574
H-HPD-0029	MULTIFAMILY RENTAL MIXED INCOME	01,12	\$288,752
H-HPD-0031	LOW INCOME RENTAL PROGRAM (Formerly NEW MIRP)	01,12	\$20,383,708
DH-1 Subtotal			\$86,600,274
DH-2 Affordability for the Purpose of Providing Decent Affordable Housing			
H-HPD-0033	SENIOR HOUSING PROGRAMS (Formerly HUD SECTION 202)	12,14B,14G	\$4,947,275
H-HPD-0035	HOME TENANT-BASED RENTAL ASSISTANCE	21F	\$29,832
H-HPD-0038	MULTIFAMILY PRESERVATION LOAN PROGRAM*	14B	\$6,063,856
H-HPD-0201	HOMEFIRST DOWNPAYMENT ASSISTANCE PROGRAM	13	\$2,575,781
DH-2 Subtotal			\$13,616,744
DH-3 Sustainability for the Purpose of Providing Decent Affordable Housing			
H-HPD-0004	PARTICIPATION LOAN PROGRAM (GUT/MOD)	12,13,14B,14G	\$1,333,422
H-HPD-0028	HUD MULTIFAMILY PROGRAM	14B,14G	\$936,085
DH-3 Subtotal			\$2,269,507
N/A Not Applicable			
H-HPD-0001	HPD ADMINISTRATION	21E,21H	\$5,865,755
Subtotal			
Programs from Prior Consolidated Plan Program Years			
H-HPD-0011	MULTIFAMILY HOMEOWNERSHIP (Cornerstone Program)	01,12	\$1,496,102
Subtotal			\$1,496,102

HOME Match Report

2014

PART III: MATCH CONTRIBUTION FOR THE FEDERAL FISCAL YEAR

Name of Participating Jurisdiction
CITY OF NEW YORK

Federal Fiscal Year (yyyy)
2014

CM/I NO.	1. ACTIVITY NO.	2. DATE OF CONTRIBUTION	3. CASH	4. FOREGONE TAXES, FEES CHARGES	5. APPRAISED LAND/REAL PROPERTY	6. REQUIRED INFRASTRUCTURE	7. SITE PREPARTION, CONSTRUCT.MAT DONATED LABOR	8. BOND FINANCING	9. TOTAL MATCH
	2340	12-14	\$1,487,596	\$3,549,212	\$0	\$0	\$0	\$0	\$5,036,808
	3305	12-14	\$506,846	\$129,315	\$0	\$0	\$0	\$0	\$636,161
	3416	12-14	\$130,462	\$2,929,505	\$1,835,000	\$0	\$0	\$0	\$4,894,967
	3570	12-14	\$0	\$2,895,515	\$1,020,000	\$0	\$0	\$0	\$3,915,515
	3757	12-14	\$0	\$1,527,812	\$0	\$0	\$0	\$0	\$1,527,812
	4076	12-14	\$474,836	\$361,559	\$0	\$0	\$0	\$0	\$836,395
	4885	12-14	\$399,572	\$3,268,872	\$0	\$0	\$0	\$0	\$3,668,444
	4886	12-14	\$129,804	\$1,467,748	\$0	\$0	\$0	\$0	\$1,597,552
	TOTAL		\$3,129,116	\$16,129,538	\$2,855,000	\$0	\$0	\$0	\$22,113,654

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

Instructions for the HOME Match Report

Applicability:

The HOME Match Report is part of the HOME APR and must be filled out by every participating jurisdiction that incurred a match liability. Match liability occurs when FY 1993 funds (or subsequent year funds) are drawn down from the U.S. Treasury for HOME projects. A Participating Jurisdiction (PJ) may start counting match contributions as of the beginning of Federal Fiscal Year 1993 (October 1, 1992). A jurisdiction not required to submit this report, either because it did not incur any match or because it had a full match reduction, may submit a HOME Match Report if it wishes. The match would count as excess match that is carried over to subsequent years. The match reported on this form must have been contributed during the reporting period (between October 1 and September 30).

Timing:

This form is to be submitted as part of the HOME APR on or before December 31. The original is sent to the HUD Field Office. One copy is sent to the

Office of Affordable Housing Programs, CGHF
Room 7176, HUD, 451 7th Street, S.W.
Washington, D.C. 20410.

The participating jurisdiction also keeps a copy.

Instructions for Part II:

1. **Excess match from prior Federal fiscal year:** Excess match carried over from prior Federal fiscal year.
2. **Match contributed during current Federal fiscal year:** The total amount of match contributions for all projects listed under Part III in column 9 for the Federal fiscal year.

3. **Total match available for current Federal fiscal year:** The sum of excess match carried over from the prior Federal fiscal year (Part II, line 1) and the total match contribution for the current Federal fiscal year (Part II, line 2). This sum is the total match available for the Federal fiscal year.
4. **Match liability for current Federal fiscal year:** The amount of match liability is available from HUD and is provided periodically to PJs. The match must be provided in the current year. The amount of match that must be provided is based on the amount of HOME funds drawn from the U.S. Treasury for HOME projects. The amount of match required equals 25% of the amount drawn down for HOME projects during the Federal fiscal year. Excess match may be carried over and used to meet match liability for subsequent years (see Part II line 5). Funds drawn down for administrative costs, CHDO operating expenses, and CHDO capacity building do not have to be matched. Funds drawn down for CHDO seed money and/or technical assistance loans do not have to be matched if the project does not go forward. A jurisdiction is allowed to get a partial reduction (50%) of match if it meets one of two statutory distress criteria, indicating "fiscal distress," or else a full reduction (100%) of match if it meets both criteria, indicating "severe fiscal distress." The two criteria are poverty rate (must be equal to or greater than 125% of the average national family poverty rate to qualify for a reduction) and per capita income (must be less than 75% of the national average per capita income to qualify for a reduction). In addition, a jurisdiction can get a full reduction if it is declared a disaster area under the Robert T. Stafford Disaster Relief and Emergency Act.

5. **Excess match carried over to next Federal fiscal year:** The total match available for the current Federal fiscal year (Part II, line 3) minus the match liability for the current Federal fiscal year (Part II, line 4). Excess match may be carried over and applied to future HOME project match liability.

Instructions for Part III:

1. **Project No. or Other ID:** "Project number" is assigned by the C/MI System when the PJ makes a project setup call. These projects involve at least some Treasury funds. If the HOME project does not involve Treasury funds, it must be identified with "other ID" as follows: the fiscal year (last two digits only), followed by a number (starting from "01" for the first non-Treasury-funded project of the fiscal year), and then at least one of the following abbreviations: "SF" for project using shortfall funds, "PI" for projects using program income, and "NON" for non-HOME-assisted affordable housing. Example: 93.01.SF, 93.02.PI, 93.03.NON, etc.

Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the PJ; the participation threshold requirement applies only in the PJ's first year of eligibility. [§92.102]

Program income (also called "repayment income") is any return on the investment of HOME funds. This income must be deposited in the jurisdiction's HOME account to be used for HOME projects. [§92.503(b)]

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as “affordable housing” under the HOME Program definitions. “NON” funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.
3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ’s HOME account to be used for HOME projects. The PJ, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ’s HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for replacements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]
4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the

post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]
6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]
7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ’s cost estimate procedures. The value of donated or voluntary labor is determined by a single rate (“labor rate”) to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]
8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumentality, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ’s total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding

bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

Ineligible forms of match include:

1. Contributions made with or derived from Federal resources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax-exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs

3. EMERGENCY SOLUTIONS GRANT (ESG)

NOTE: For the 2014 Consolidated Plan Program Year, ESG recipients are required to complete and submit the ESG portion of their annual Consolidated Annual Performance and Evaluation Report (CAPER) electronically through HUD's eCon-Planning/eCAPER Suite in the Integrated Disbursement and Information System (IDIS) regardless if they did not use the eCon-Planning Suite to formulate and submit their 2014 Consolidated Plan One-Year Action Plan. ESG recipients were also instructed to submit a hard copy of their eCAPER along with the rest of the (conventional) CAPER that the jurisdiction is required to complete for HUD's other programs.

The following assessment is based on the ESG eCAPER reporting modules which immediately follow.

In the 2014 Consolidated Plan, the Continuum of Care chapter describes the programs funded through ESG by the Department of Homeless Services. In addition to the Emergency Solutions Grant Program, DHS funds a variety of programs from many sources, including City, State, federal and private funds. The Continuum of Care contains the City's long-term strategy as outlined in the 2014 Consolidated Plan which includes outreach to the chronic unsheltered homeless to encourage them to accept shelter and services; diversion programs to prevent homelessness; assessment centers using varying model approaches that assess client needs and first focus on diversion efforts especially for currently employed clients and for others, to make appropriate referrals for services; emergency shelters with supportive services to stabilize an individual or family so that they are able to live independently; and permanent and long-term housing options, both supported and non-supported. The IDIS Reports required for ESG funds are C04PR19 ESG Program for Grantee Statistics; C04PR20 ESG Activity Summary Report; and C04PR12 ESG Financial Summary. The forms are included in Volume III. However, Volume III is over 200 pages, therefore will only be provided upon request, please call 212-720-3337.

While ESG funds comprise a small percentage of total funding for the continuum of care of homeless services in New York City, in 2014 ESG funds were used to continue existing successful programs that are part of the continuum of care. ESG funds can be used for five categories. The City chooses to use \$6,643,083 in 2014 for two of these categories: street outreach and shelter. The City also used funds for prevention, rapid rehousing, HMIS, and grant administration. All the programs are listed and described in the 2014 Consolidated Plan.

The following is a status update on the objectives listed in the 2010 Consolidated Plan Five-Year Strategic Plan and Continuum of Care. The accomplishments reflect 2014 DHS/ESG activities and reflect DHS' success in meeting its objectives *in creating/improving accessibility to suitable living environments (SL-1)*.

Provide outreach and engagement services, temporary emergency services, and placement services to reduce the number of people living on the streets.

In 2014, DHS continued its objective of reaching out to homeless people living on the streets, and in parks, transportation centers, and other public spaces through its outreach program - working to encourage homeless people to accept placements directly into housing, Safe Havens (a local low-threshold shelter model operated by non-profit organizations under contract with DHS, not to be confused with Safe Havens funded by HUD through the CoC Program), shelter and other services. DHS used ESG funds to support outreach services and drop-in centers for single adults.

On January 27, 2014, DHS conducted its annual citywide Homeless Outreach Population Estimate (HOPE) which resulted in an estimate of 3,357 individuals residing in the streets and public spaces in the five boroughs; a 24 percent decrease since the first citywide survey was conducted in 2005. DHS conducted HOPE again on February 9, 2015 (took place outside of the normal January schedule due to a blizzard). The results of HOPE 2015 are expected to be published in late 2015.

Provide employment, mental health, substance abuse, and counseling services in shelters to facilitate a return to independent or supported living in the community.

Many of the City's shelters provide services that fill a vital role in the continuum of care. In many of our single adult and family facilities, employment programs help provide incentives to work and create expectations for the clients to be self sufficient. DHS used ESG funds in 2014 to help fund staff at various shelters; a substance-free employment-based shelter; a shelter for individuals dually diagnosed with mental health and substance use disorders, and intake staff at the centralized assessment center for men seeking shelter in New York City. In addition, a variety of social service programs in the adult shelter system received ESG funding. These include services for clients who are mentally ill, as well as substance abuse counselors/services in adult shelters.

Provide housing placement services to assist families and individuals to return to the community and minimize the length of stay in shelter.

In CY2014, 10,082 single adults in ESG funded shelters were placed into permanent housing. These achievements are attributable to the success of the City's housing placement strategies, a focus on employment as a means to independent living, and provider payment structures that incentive rapid re-housing and a reduced length of stay. ESG funding supported these placement strategies through funding of the single adult system's placement facilitation unit.

Assist homeless persons in shelter with resolving specific issues to facilitate a return to independent or supported living in the community.

With the assistance of their caseworkers, families and individuals in shelter develop an Independent Living Plan (ILP), a document that outlines relevant goals to exit shelter and return to self-sufficiency. Now, more than ever, employment-focused programs and work supports remain a cornerstone of DHS' efforts to help clients move back to permanency. Through DHS' policy of Client Responsibility, families and individuals in shelter must actively participate in this process and take strides toward independent living.

Support operations of adult and family shelters.

DHS provides shelter with supportive services to individuals and to those families who have exhausted all other housing options and are therefore experiencing homelessness. ESG funds were used by DHS to help fund the operating costs of several shelters in our system.

Provide recreational services with an integrated educational curriculum to youth in shelters.

Police Athletic League (PAL) Play Streets program provided recreational and educational activities at five shelters serving 243 youth in 2014. Activities included team sports, arts and crafts, counseling and training.

The City was awarded \$12,649,583 in ESG funds for calendar year 2014. No ESG funds were allocated for shelter renovations, as other funding is available for renovations, and renovations are difficult to start and complete within the grant's strict two-year spending period. \$112,650 ESG funds were used for grant administration.

In the Program Assisted Table, for ESG the number of persons served is an unduplicated count within each activity. For homelessness prevention, the actual accomplishment is the number of persons who received prevention services and thus did not enter the shelter system.

Reasons for changes to program objectives – Activities not specifically related to the five-year objectives

DHS did not change the original program objectives. Therefore, the nature and reasons for changes in program objectives does not apply this year.

Section 3 Requirements: Emergency Solutions Grant Program

The US Congress directed HUD to take the lead in requiring all Continuum of Care jurisdictions nationwide to have unduplicated client-level data, a Homeless Management Information System (HMIS). In addition to being a requirement for HUD funding, the NYC Department of Homeless Services (DHS) and the NYC Coalition on the Continuum of Care (NYC-CCoC) – a large group of homeless services providers, consumers, advocates, community representatives and government agencies- saw value in accessing HMIS data that could describe the extent and nature of homelessness and provide a greater understanding of service usage, effectiveness and gaps in service. This information is used to target limited resources and guide planning and policy decisions. The NYC-CCoC and DHS uses HMIS data to demonstrate the size and characteristics of the NYC homeless population, current patterns of service use by population, including access to mainstream services and the need for additional resources to public and private funding sources. HMIS data is also used to understand how to realign housing resources and service delivery within the NYC-CCoC and how to create the links to mainstream programs that are essential to the prevention of homelessness and to sustaining formerly homeless people in permanent housing. All of the CoC-funded projects, except for those serving survivors of domestic violence, actively participating in the NYC HMIS. The 2013 CAPER reports were generated from the NYC HMIS. Due to the transition to the 2014 data standards the 2014 CAPER was not generated from HMIS, but all other future reports will utilize HMIS for this reporting.

The City of New York does not use its ESG monies to fund the construction or rehabilitation of emergency or transitional homeless shelters. Therefore, the number of homeless individuals benefiting by training, employment, and contracting opportunities generated by the expenditure of this for shelter construction/rehabilitation (Section 3-related beneficiaries) is zero.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	NEW YORK CITY
Organizational DUNS Number	140135505
EIN/TIN Number	136400434
Identify the Field Office	NEW YORK
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	New York City CoC

ESG Contact Name

Prefix	Ms
First Name	Randi
Middle Name	
Last Name	Rosenblum
Suffix	
Title	Assistant Commissioner of Research and Data Analytics

ESG Contact Address

Street Address 1	33 Beaver St
Street Address 2	Room 1357
City	New York
State	NY
ZIP Code	10004
Phone Number	212- 487-2355
Extension	
Fax Number	212-232-0559
Email Address	rosenblum@dhs.nyc.gov

ESG Secondary Contact

Prefix	Ms
First Name	Merih
Last Name	Anil
Suffix	
Title	Senior Policy Analyst
Phone Number	212-232-0830
Extension	
Email Address:	manil@dhs.nyc.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date 01/01/2014
Program Year End Date 12/31/2014

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: BLACK VET. FOR SOCIAL JUST.
City: Brooklyn
State: NY
Zip Code: 11217, 1609
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: VOLUNTEERS OF AMERICA
City: VOLUNTEERS OF AMERICA
State: NY
Zip Code: 99999,
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: RIDGEWOOD BUSHWICK SENIOR CITIZEN COUNCIL, INC
City: Brooklyn
State: NY
Zip Code: 11237, 5001
DUNS Number: 046254991
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: COMMON GROUND COMMUNITY II HDFC
City: New York
State: NY
Zip Code: 10018, 6505
DUNS Number: 806767419
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: BRONXWORKS INC

City: Bronx

State: NY

Zip Code: 10453, 5842

DUNS Number: 133254484

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: GODDARD RIVERSIDE COMMUNITY CENTER

City: New York

State: NY

Zip Code: 10024, 1904

DUNS Number: 131893908

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: BOWERY RESIDENTS' COMMITTEE, INC

City: New York

State: NY

Zip Code: 10001, 7207

DUNS Number: 132736659

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: CAMBA INC

City: Brooklyn

State: NY

Zip Code: 11226, 2630

DUNS Number: 112480339

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: THE DOE FUND, INC

City: New York

State: NY

Zip Code: 10028, 2902

DUNS Number: 609643598

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: GRAND CENTRAL NEIGHBORHOOD SOCIAL SERVICES CORPORATION
City: New York
State: NY
Zip Code: 10017, 4707
DUNS Number: 133534255
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: LENOX HILL NEIGHBORHOOD HOUSE INC.
City: New York
State: NY
Zip Code: 10021, 8601
DUNS Number: 131628180
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: PALLADIA, INC
City: New York
State: NY
Zip Code: 10035, 1217
DUNS Number: 237089380
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: PROJECT RENEWAL INC
City: New York
State: NY
Zip Code: 10014, 4810
DUNS Number: 057841710
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: SAMARITAN VILLAGE INC
City: Briarwood
State: NY
Zip Code: 11435, 2642
DUNS Number: 072730179
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: URBAN PATHWAYS INC

City: New York

State: NY

Zip Code: 10018, 3011

DUNS Number: 057808784

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: WEST SIDE FEDERATION FOR SR & SUPPORTIVE HOUSING INC

City: New York

State: NY

Zip Code: 10025, 2942

DUNS Number: 132926433

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: NYC DEPARTMENT OF HEALTH AND MENTAL HYGIENE

City: Long Island City

State: NY

Zip Code: 11101, 4130

DUNS Number: 083489737

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY
DEVELOPMENT CDYCD

City: New York

State: NY

Zip Code: 10038, 2609

DUNS Number: 002545379

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: CRIMINAL JUSTICE COORDINATOR

City: New York

State: NY

Zip Code: 10007, 1602

DUNS Number: 136400434

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: CATHOLIC CHARITIES NEIGHBORHOOD SERVICES, INC

City: Brooklyn

State: NY

Zip Code: 11201, 4306

DUNS Number: 056926215

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: CATHOLIC CHARITIES COMMUNITY SERVICES, ARCHDIOCESE NEW YORK

City: New York

State: NY

Zip Code: 10022, 4112

DUNS Number: 173647699

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 0

Subrecipient or Contractor Name: HELP SOCIAL SERVICE CORPORATION

City: New York

State: NY

Zip Code: 10004, 2682

DUNS Number: 827233982

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 0

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	2,613
Children	0
Don't Know/Refused/Other	0
Missing Information	4
Total	2,617

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	654
Children	671
Don't Know/Refused/Other	0
Missing Information	1
Total	1,326

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	22,726
Children	705
Don't Know/Refused/Other	0
Missing Information	805
Total	24,236

Table 3 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	2,502
Children	1
Don't Know/Refused/Other	0
Missing Information	47
Total	2,550

Table 4 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	28,495
Children	1,377
Don't Know/Refused/Other	0
Missing Information	857
Total	30,729

Table 5 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	21,384
Female	5,524
Transgender	41
Don't Know/Refused/Other	41
Missing Information	3,739
Total	30,729

Table 6 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	1,379
18-24	3,279
25 and over	25,214
Don't Know/Refused/Other	0
Missing Information	857
Total	30,729

Table 7 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	1,168	72	8	1,088
Victims of Domestic Violence	0	0	0	0
Elderly	1,956	277	5	1,674
HIV/AIDS	0	0	0	0
Chronically Homeless	748	0	279	469
Persons with Disabilities:				
Severely Mentally Ill	1,443	0	0	1,443
Chronic Substance Abuse	2,165	0	0	2,165
Other Disability	1,082	0	0	1,082
Total (Unduplicated if possible)	4,690	0	0	4,690

Table 8 – Special Population Served

Subpopulation	Total
Veterans	225
Victims of Domestic Violence	N/A
Elderly	427
HIV/AIDS	N/A
Chronically Homeless	1948
Persons with Disability:	
Severely Mentally Ill	N/A
Chronic Substance Abuse	N/A
Other Disability	N/A
Total (Unduplicated if possible)	N/A

Table 7.2 Special Subpopulations Served - Street Outreach _Table

Table 7.3 - Race-Ethnicity Table ESG2014

Race & Ethnicity of Individuals Served with ESG funding, CY2014										
	EMERGENCY SHELTER		HOMELESS OUTREACH		PREVENTION		RAPID RE-HOUSING		TOTAL BY RACE or ETHNICITY	
	Number	Percentage	Number	Percentage	Number	Percentage	Number	Percentage	Number	Percentage
American Indian or Alaskan Native	159	0.7%	21	0.8%	12	0.5%	3	0.2%	195	0.6%
Asian	255	1.1%	36	1.4%	16	0.6%	8	0.6%	315	1.0%
Black or African American	13727	56.6%	1140	44.7%	1617	61.8%	845	63.7%	17329	56.4%
Native Hawaiian or Other Pacific Islander	293	1.2%	14	0.5%	15	0.6%	10	0.8%	332	1.1%
White	4148	17.1%	759	29.8%	220	8.4%	205	15.5%	5332	17.4%
2 or more races	10	0.0%	0	0.0%	0	0.0%	0	0.0%	10	0.0%
Don't Know/Refused/Missing	5644	23.3%	580	22.7%	737	28.2%	255	19.2%	7216	23.5%
TOTAL BY ACTIVITY	24236	100.0%	2550	100.0%	2617	100.0%	1326	100.0%	30729	100.0%
Hispanic / Latino	6338	26.2%	615	24.1%	801	30.6%	516	38.9%	8270	26.9%
Non-Hispanic / Non-Latino	14860	61.3%	1566	61.4%	1608	61.4%	776	58.5%	18810	61.2%
Don't Know/Refused/Missing	3038	12.5%	369	14.5%	208	7.9%	34	2.6%	3649	11.9%
TOTAL BY ACTIVITY	24236	100.0%	2550	100.0%	2617	100.0%	1326	100.0%	30729	100.0%

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	1,236,097
Total Number of bed-nights provided	1,232,947
Capacity Utilization	99.75%

Table 9 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

There is one ESG recipient in the NYC CoC: DHS. DHS is also the CoC’s Collaborative Applicant and Steering Committee member and therefore ensures that the CCoC consults on the ESG funding allocation plan and on ESG program performance evaluation in the following ways: DHS initially presented to the CCoC Steering Committee in 2012 and returned in 2013 and 2014 to provide updates. The funding allocation, program activities, performance standards, and HMIS-related issues were all discussed. DHS plans to use a similar process with the CoC to determine how ESG funds will be used in future years. In 2014, DHS also presented the results of the 2013 CAPER to the CoC’s Data Management Committee. DHS, who is also the HMIS administrator, will utilize HMIS to evaluate ESG program outcomes and report findings annually to the CoC.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	163,194	0
Expenditures for Housing Relocation & Stabilization Services - Services	1,100,007	1,929,800	1,257,720
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	1,100,007	2,092,994	1,257,720

Table 10 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	2,438	33,015
Expenditures for Housing Relocation & Stabilization Services - Services	833,990	1,761,210	893,814
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	833,990	1,763,648	926,829

Table 11 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Essential Services	6,640,113	6,970,874	5,689,556
Operations	0	393,755	409,560
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	6,640,113	7,364,629	6,099,116

Table 12 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
HMIS	296,963	568,323	81,775
Administration	66,263	220,167	112,650
Street Outreach	779,457	722,364	543,967

Table 13 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2012	2013	2014
29,425,187	9,716,793	12,732,125	9,022,057

Table 14 - Total ESG Funds Expended

11f. Match Source

	2012	2013	2014
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	9,716,793	12,732,125	9,022,057
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	9,716,793	12,732,125	9,022,057

Table 15 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2012	2013	2014
60,896,162	19,433,586	25,464,250	18,044,114

Table 16 - Total Amount of Funds Expended on ESG Activities

4. HOUSING OPPORTUNITES FOR PERSONS with AIDS (HOPWA)

NOTE: HUD requires an annual performance reporting document specifically for the submission and review of HOPWA-grantee activities which assist persons with HIV/AIDS and their families. For continuity, the *HOPWA Consolidated Annual Performance and Evaluation Report (HOPWA-CAPER)* has been incorporated into Part I., Assessment of Entitlement Programs.

In addition, the *HOPWA-CAPER* incorporates several of the existing Consolidated Plan performance reporting requirements, such data on the number of households assisted with housing by income, and by Race/Hispanic ethnicity, which were previously reported in the respective APR subsections. To eliminate redundancy, all HOPWA-related data is reported in the *HOPWA-CAPER*. The reader will be advised in the respective APR subsections to please refer to this specific section.

The *HOPWA-CAPER* begins on the following page.



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (**CAPER**) Measuring Performance Outcomes

Revised 10/31/2014

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number	Operating Year for this report		
	<i>From (mm/dd/yy)</i>		<i>To (mm/dd/yy)</i>
Grantee Name			
Business Address			
City, County, State, Zip			
Employer Identification Number (EIN) or Tax Identification Number (TIN)			
DUN & Bradstreet Number (DUNs):		Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:	
Congressional District of Grantee's Business Address			
*Congressional District of Primary Service Area(s)			
*City(ies) and County(ies) of Primary Service Area(s)		Cities:	Counties:
Organization's Website Address		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.	

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency				
Email Address				
Business Address				
City, County, State, Zip,				
Phone Number (with area code)				
Employer Identification Number (EIN) or Tax Identification Number (TIN)		Fax Number (with area code)		
DUN & Bradstreet Number (DUNs):				
Congressional District of Project Sponsor's Business Address				
Congressional District(s) of Primary Service Area(s)				
City(ies) and County(ies) of Primary Service Area(s)		Cities:	Counties:	
Total HOPWA contract amount for this Organization for the operating year				
Organization's Website Address				
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		

* Refer to Project Sponsor Directory for all grantee and project sponsor information

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name				Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name		Parent Company Name, if applicable		
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The NYC Department of Health and Mental Hygiene (DOHMH), specifically the Division of Disease Control within DOHMH, is the designated grantee of the HOPWA program. In this role, the Division of Disease Control serves as the coordinator and administrator for the HOPWA program for the entire New York City Eligible Metropolitan Statistical Area (EMSA). The EMSA is comprised of the five boroughs of the City of New York together with Westchester, Orange, and Rockland counties in the Lower Hudson Valley and Middlesex, Monmouth and Ocean counties in New Jersey. The Division of Disease Control works with these six counties and the eligible localities therein to plan and evaluate their use of HOPWA funds and to ensure the consistency of their efforts with those of the rest of the EMSA. In turn, Westchester County acts as the administrator for HOPWA funds received by the cities of Mount Vernon and Yonkers. HOPWA-funded programs are implemented by the New York City Human Resources Administration's HIV/AIDS Services Administration (HRA/HASA) and the New York City DOHMH.

Department of Health and Mental Hygiene (DOHMH)

Supportive Housing:

Twelve community-based organizations administer 27 supportive housing subcontracts. These adult supportive housing programs identify, secure, and provide appropriate permanent housing for the following target HIV/AIDS populations: homeless single adults and families; adults diagnosed with mental illness; adults diagnosed with a substance abuse disorder; young adults age 18-26; persons age 55 and over; individuals recently released from jail/institution; and homeless/chronically homeless. Other service elements provided include on-site case management, harm reduction, care coordination, mental health, substance abuse, and other supportive services as needed.

These organizations are contracted to provide 652 units of congregate and scattered-site supportive housing.

Housing Placement Assistance:

Five community-based organizations, one based in each of New York City's five boroughs, provide housing placement assistance. The housing placement assistance programs provide housing information services and permanent housing placements to persons living with HIV/AIDS (PLWHA). These programs assist PLWHA locate and secure permanent housing. Transitional housing may be used while permanent housing is being actively sought. Services also include housing-related advocacy to prevent or end homelessness, as needed. In 2014, these organizations provided 339 households with housing information services and provided housing subsidies to help 184 households establish their housing through permanent housing placements.

Short-term and Long-term Rental Assistance:

One community-based organization provides Short-term Rent, Mortgage and Utility (STRMU) assistance, Tenant Based Rental Assistance (TBRA), and Permanent Housing Placements. Short-term rental subsidies are provided as emergency assistance to prevent eviction and homelessness. Sixteen individuals received rental start-up and short-term rental assistance (STRMU) and 564 PLWHA received long-term rental subsidies (TBRA). An additional 36 households received housing subsidies to help establish their housing, permanent housing placement assistance.

HIV/AIDS Services Administration (HASA)

The HIV/AIDS Services Administration (HASA) a division of the New York City Human Resources Administration (HRA), provides public assistance, case management, and housing services to persons in New York City living with AIDS or HIV, and their families, who seek its assistance. Services typically include assistance in receiving assessment and determination of eligibility for Public Assistance, Medicaid, and Food Stamps, as well as assistance in accessing other benefits and services as required by the client's individual circumstances. HASA's intensive case management may include initiating evaluation and treatment of substance abuse and mental illness; home care or homemaking services; or housing services, including temporary emergency placement, as well as transitional, supported, and independent housing options. HASA case managers also assist clients in the referral process of applying for Supplemental Security Income (SSI), Social Security Disability Income (SSDI), and other benefits for which they may qualify. Additionally, they may refer clients to community based resources for a variety of additional services including legal advocacy, medical or dental care, or employment assistance.

HASA periodically reviews and updates clients' plans and service packages. For those who are unable to come to a HASA office for assessment or review, HASA case managers conduct home visits in addition to scheduled, periodic visits. Additionally, all clients in emergency housing are visited in their apartment periodically until a permanent and stable placement is found. HASA's intensive case management for families includes permanency planning to help survivors remain intact should the caregiver die or become unable to provide care. HOPWA funds allowed HASA to provide supportive services to 34,378 individuals in calendar year 2014.

In 2014, HRA/HASA administered housing contracts with 42 community-based nonprofit organizations to provide 2,212 units of permanent supportive housing for PLWHA in congregate and scattered-site settings. These programs targeted special populations such as persons diagnosed with mental illness or substance abuse. These programs served a total of 3,054 households.

Lower Hudson Valley and New Jersey

The counties of Westchester, Orange and Rockland in the Lower Hudson Valley and Monmouth, Middlesex and Ocean counties in New Jersey receive HOPWA funds to provide supportive housing, rental assistance and supportive services to their clients. In these six counties, 143 clients received rental assistance. Additionally, 233 clients received supportive services, including legal assistance.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

In calendar year 2014, HOPWA funds were used to support 707 households with TBRA and 3,773 households of permanent facility-based housing. All of these accomplishments exceeded projected goals which were 515 households of TBRA, 2,864 households of permanent facility-based housing. In addition, HOPWA STRMU funds supported 16 households STRMU, helping these households avoid homelessness and increase housing stability. This also exceeded the projected goal of 10 STRMU supported households.

HOPWA funds were also used to provide housing information services to 348 households and additional funds were used to assist 220 households in obtaining permanent housing placement services.

HOPWA also funded supportive services that are vital to housing durability for many PLWHA. In calendar year 2014, 34,493 clients received supportive services and another 1,766 clients received supportive services in addition to their housing subsidy assistance, both exceeding projected goals.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Stable housing is the first, and most important, step for PLWHA to achieve and maintain positive health outcomes. Through technical assistance, the importance of linking all HOPWA clients to primary care providers and maintaining that care has been stressed to all HOPWA providers and integrated into housing plans. The result is that over 96% of HOPWA clients receiving housing subsidy assistance had contact with a primary health care provider during the year. Additionally, 71% of all HOPWA clients have reached viral suppression (≥ 1 VL that is ≤ 200) while enrolled in a HOPWA program.

Additionally, the data demonstrate that HOPWA housing subsidies are leading to stable housing outcomes for clients. Of those who exited the HOPWA program, 99% maintained stable housing.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

In calendar year 2014, HOPWA dollars were combined with other federal resources, as well as State and City funding, to support HIV/AIDS housing and other services. HASA used City Tax Levy and matching State and federal dollars to fund case management and support; rental assistance; permanent and transitional congregate housing; and permanent scattered-site housing for individuals and families living with HIV/AIDS. Eligible clients also received medical assistance, homecare, and homemaking services funded with City, State and federal dollars. HOPWA funds distributed to community-based organizations by DOHMH augmented City and State-funded services to persons with mental illness and HIV/AIDS. Almost all of the programs funded through DOHMH – the Division of Disease Control received in-kind donations from the community based organizations providing their HOPWA-funded services. In the Lower Hudson Valley portion of the NYC EMSA, State, City and County funds complement HOPWA-funded rental assistance and other services provided. Individual-donor and private foundation dollars provided additional support to the community-based organizations funded through HASA and DOHMH. In total, funding leveraged across all HOPWA programs totaled \$413,567,653 in 2014.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

The DOHMH's Division of Disease Control provides monitoring to the subcontracted organizations on a monthly basis. Furthermore, the Division provides bi-annual on-site monitoring and delivers technical assistance to address any programmatic and/or fiscal issues.

When necessary, DOHMH seeks technical assistance support from The Cloudburst Group. The Cloudburst Group has addressed all needs as they have arisen.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

Finding affordable housing continues to be the biggest challenge that HOPWA programs have faced this past year. According to the New York City Department of Housing Preservation and Development, there is only a 3% vacancy rate for all rental apartments in New York City. This low vacancy rate, small stock of affordable apartments and the continued decrease in Fair Market Rent rates in 2014, make housing placement and durability a real challenge for providers. Additionally, many traditionally affordable neighborhoods in New York City are quickly gentrifying, resulting in rising rents and limited housing stock.

The New York City public assistance program (non-HOPWA program) implemented a policy change in March 2013 that the City would provide rental assistance for single individuals for studio apartments only, and no longer for one bedroom apartments (exceptions on a case-by-case basis). This policy was reversed in the latter part of 2014, but programs remained affected for much of the year because there were fewer studio apartments available in New York City, thus limiting placements that could be made.

Collecting rent from clients has been a challenge for many HOPWA programs this year, as in past years. Many clients remained unemployed for a myriad of reasons (disabled for work, unable to find a job, not enough unskilled jobs available). The lack of employment opportunities, coupled with limited financial management skills, makes collecting rent from clients and ensuring housing durability a challenge. Programs are working to link clients to employments programs and opportunities, but finding suitable employment is a consistent challenge. As a result, clients fall into rent and utility arrears. Programs are therefore working closely with clients to avoid rental and utility arrears.

Clients with dual diagnoses, mental health and substance use issues in addition to their HIV/AIDS diagnosis, pose challenges to providers in not only finding housing, but keeping these clients housed. Furthermore, almost all clients come from a history of, and continue to live in, poverty. The everyday stresses and challenges associated with poverty cause unique challenges in providing services to the individual and the community as a whole.

On a macro level, changes in funding streams have affected project sponsor's ability to provide leveraged services for HOPWA clients. For instance, the Medicaid redesign affected funding for many agencies that administer HOPWA programs, despite the fact that New York City is on the forefront of efforts to invest Medicaid related savings into housing. Additionally, both the project sponsors and the grantee are constrained by the administrative rate allowable by the grant, since much of the oversight and monitoring of HOPWA contracts well exceeds 3% for grantee and 7% for the project sponsors.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The NYC Men Who Have Sex with Men (MSM) population displays alarming trends in HIV incidence by age and race/ethnicity. In 2013, MSM accounted for 71% of New York City's new HIV diagnoses—up from 55% in 2012. Young MSM (<30 years of age), particularly among those of color, have seen an increase in the number and proportion of new HIV diagnoses as well. MSM also represented the greatest proportion of acute HIV infection cases in 2013 compared to other transmission risk groups, which underscore the importance of prevention services and access to primary care. Across race and ethnicity, black males continue to be disproportionately affected, representing 38% of new infections among males ages 13-59, followed by Hispanic males at 34%, and white males at 20%. Lastly, in 2013, new infections remained concentrated in high-poverty neighborhoods, with nearly 81% of all newly

diagnosed infections among people who reported medium (10 to 20% < below FPL) to very high poverty levels ($\geq 30\%$ below FPL).

Through the use of HOPWA funds, DOHMH is actively working to address these trends. First, over 8% of all HOPWA index clients are under the age of 30. The vast majority of HOPWA index clients are either Black/African American (56%) or Latino/Hispanic (33%). And 98% of HOPWA index clients served in 2014 reported extremely low household incomes (0-30% of median income levels). As indicated, HOPWA funds are being used to help those who are in most need of assistance.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

DOHMH routinely creates status reports on HOPWA clients' medical care status, engagement in care, clinical indicators and housing status/durability.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	4,541
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	4,541
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	
• Assistance with rental costs	
• Assistance with mortgage payments	
• Assistance with utility costs.	
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	4,541

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
X	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
X	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
X	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$10,313,643	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$2,411,883	Support Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care	\$45,000	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: HIV/AIDS Services Administration	\$725,674		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: City Tax Levy	\$283,795,357	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: New York State OTDA	\$107,551,306	Support Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Other Federal	\$6,186,694	Supportive Housing	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: New York State Medicaid Health Homes	\$558,685	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: New York State Medicaid	\$387,673	Support Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Other	\$293,666	Legal Services and Hepatitis B Testing	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Focus	\$75,364	Mental Health	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: OTDA NYSSHP Singles	\$84,000	Support Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Evidence Based Interventions (EBI)	\$7,200	Prevention	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: New York State AIDS Institute	\$230,000	Support Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Rockland County Department of Social Services	\$200,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support

Other Public: Rockland Country Department of Health	\$25,000	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Sustainable Living Fund	\$29,551	Sustainable Living Fund	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants	\$286,649	Food Pantry and Legal Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
In-kind Resources	\$123,219	HIV Medications, Substance Use Services, and other in-kind resources	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: Private Grants	\$20,047	Private Grants	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$217,042		
TOTAL (Sum of all Rows)	\$413,567,653		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	\$6,174,337
2.	Resident Rent Payments made directly to HOPWA Program	\$5,536,515
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	\$11,710,852

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	\$8,413,244
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	\$3,297,608
3.	Total Program Income Expended (Sum of Rows 1 and 2)	\$11,710,852

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	515	707	26,727	26,748	\$5,923,619	\$4,200,954
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	2,864	3,773	0	1,854	\$37,021,655	\$31,892,966
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served)	0	0	0	822	0	0
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
4.	Short-Term Rent, Mortgage and Utility Assistance	10	16	0	0	\$40,000	\$63,199
5.	Permanent Housing Placement Services	250	220	0	0	0	\$250,419
6.	Adjustments for duplication (subtract)	0	52	0	0		
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	3,639	4,664	26,727	29,424	\$42,985,274	\$36,407,538
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	0	0	0	0
9.	Stewardship Units subject to 3 or 10 year use agreements	0	0				
10.	Total Housing Developed (Sum of Rows 8 & 9)	0	0	0	0	0	0
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	185	1,766			\$424,970	\$5,659,249
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	32,000	34,493			\$1,000,000	\$1,199,241
12.	Adjustment for duplication (subtract)	0	0				
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	32,185	36,259			\$1,424,970	\$6,858,490
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services	500	348			\$1,356,098	\$340,933
15.	Total Housing Information Services	500	348			\$1,356,098	\$340,933

Grant Administration and Other Activities		[1] Output Households		[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources			0	0
17.	Technical Assistance (if approved in grant agreement)			0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)			\$1,495,961	\$1,368,431
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)			\$1,191,470	\$1,063,326
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)			\$2,687,431	\$2,431,757
Total Expended				[2] Outputs: HOPWA Funds Expended	
				Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)			\$48,453,773	\$46,038,718

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	\$0
2.	Alcohol and drug abuse services	489	\$501,537
3.	Case management	36,259	\$4,229,140
4.	Child care and other child services	0	\$0
5.	Education	136	\$107,086
6.	Employment assistance and training	10	\$7,337
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	25	\$17,647
8.	Legal services	12	\$35,000
9.	Life skills management (outside of case management)	833	\$754,579
10.	Meals/nutritional services	210	\$175,472
11.	Mental health services	568	\$724,984
12.	Outreach	95	\$95,742
13.	Transportation	888	\$209,966
14.	Other Activity (if approved in grant agreement). Specify:	0	\$0
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	39,525	
16.	Adjustment for Duplication (subtract)	3,266	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	36,259	\$6,858,490

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	16	\$63,199
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	0	0
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	0
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	16	\$63,199
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	0	0
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	0	0
g.	Direct program delivery costs (e.g., program operations staff time)		0

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	707	631	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	17	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	52	
			6 Institution	1	<i>Unstable Arrangements</i>
			7 Jail/Prison	0	
			8 Disconnected/Unknown	2	
			9 Death	4	<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units	3,773	2,811	1 Emergency Shelter/Streets	3	<i>Unstable Arrangements</i>
			2 Temporary Housing	6	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	20	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	41	
			5 Other Subsidy	867	
			6 Institution	4	<i>Unstable Arrangements</i>
			7 Jail/Prison	2	
			8 Disconnected/Unknown	10	
			9 Death	9	<i>Life Event</i>

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets	0	<i>Unstable Arrangements</i>
			2 Temporary Housing	0	<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	<i>Unstable Arrangements</i>
			7 Jail/Prison	0	
			8 Disconnected/unknown	0	

			9 Death	0	Life Event
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months			0		

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
16	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	0	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	0	
	Other HOPWA Housing Subsidy Assistance	5	
	Other Housing Subsidy (PH)	9	
	Institution <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	2	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	0	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	0	
	Disconnected	0	
	Death	0	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			0
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	4,664
b. Case Management	1,766
c. Adjustment for duplication (subtraction)	1,766
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	4,664
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	34,493
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	34,493

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	4,664	34,493	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	4,664	34,493	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	4,574	32,945	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	4,410	34,440	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	4,379	34,493	<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	801	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes
Stable Permanent Housing/Ongoing Participation

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	4,664

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	2,816
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	7
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	225
4.	Transitional housing for homeless persons	357
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	589
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	301
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	21
9.	Hospital (non-psychiatric facility)	8
10.	Foster care home or foster care group home	1
11.	Jail, prison or juvenile detention facility	0
12.	Rented room, apartment, or house	664
13.	House you own	8
14.	Staying or living in someone else's (family and friends) room, apartment, or house	20
15.	Hotel or motel paid for without emergency shelter voucher	26
16.	Other	210
17.	Don't Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	4,664

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	80	269

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	4,664
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	53
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	623
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	5,340

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	3	0	0	0	3
2.	18 to 30 years	237	132	6	1	376
3.	31 to 50 years	1,382	776	20	1	2,179
4.	51 years and Older	1,450	650	6	0	2,106
5.	Subtotal (Sum of Rows 1-4)	3,072	1,558	32	2	4,664
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	201	196	0	0	397
7.	18 to 30 years	85	85	0	0	170
8.	31 to 50 years	38	35	2	0	75
9.	51 years and Older	23	11	0	0	34
10.	Subtotal (Sum of Rows 6-9)	347	327	2	0	676
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	3,419	1,885	34	2	5,340

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	17	3	1	1
2.	Asian	12	1	0	0
3.	Black/African American	2,607	85	388	54
4.	Native Hawaiian/Other Pacific Islander	15	1	5	2
5.	White	637	275	155	138
6.	American Indian/Alaskan Native & White	2	0	0	0
7.	Asian & White	2	0	0	0
	Black/African American & White	28	0	6	1
9.	American Indian/Alaskan Native & Black/African American	3	0	4	0
10.	Other Multi-Racial	1,341	1,181	117	114
11.	Column Totals (Sum of Rows 1-10)	4,664	1,546	676	310
Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	4,578
2.	31-50% of area median income (very low)	65
3.	51-80% of area median income (low)	21
4.	Total (Sum of Rows 1-3)	4,664

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

--

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:			Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:			Date started: Date Completed:
c.	Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:			HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?			<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: 2,864

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling	0					
b.	Community residence	1,044	0	0	0	0	0
c.	Project-based rental assistance units or leased units	1,332	359	86	43	0	0
d.	Other housing facility <u>Specify:</u>	0	0	0	0	0	0

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs	197	\$671,418
b.	Operating Costs	1,423	\$10,050,680
c.	Project-Based Rental Assistance (PBRA) or other leased units	2,512	\$21,170,867
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>	0	0
e.	Adjustment to eliminate duplication (subtract)	359	
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	3,773	\$31,892,966

1. Grantee Information

HUD Grant Number NY-H-14-F002	Operating Year for this report January 1, 2014 – December 31, 2014
Grantee Name New York City Department of Health and Mental Hygiene	
Business Address	42-09 28 th Street, 21 st Floor
City, County, State, Zip	Queens, Queens, NY 11101
Employer Identification Number (EIN)	13-6400434
DUN & Bradstreet Number (DUNs) 08-348-9737	Central Contractor Registration Active? Yes
DUN & Bradstreet Number (DUNs)	08-348-9737
Congressional District of Business Address	12
Congressional District of Primary Service Area(s)	6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19
Zip Code of Primary Service Area(s)	N/A
City(ies) and County(ies) of Primary Service Area(s)	City of New York Counties of Bronx, Brooklyn, New York, Queens, Richmond, Putnam, Rockland, Westchester
Organization's Website Address www.nyc.gov	Does your organization maintain a waiting list? No
Have you prepared any evaluation reports? No	Is the sponsor a nonprofit organization? No

2. Project Sponsor Information

Project Sponsor Agency Name African Services Committee		Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Mulusew Bekele, Director of Health Programs	
Email Address	mulusewb@africanservices.org	
Business Address	429 West 127th Street	
City, County, State, Zip	New York, New York, NY 10027	
Phone Number 212-222-3882	Fax Number 212-222-7067	
Employer Identification Number (EIN):	13-3749744	
DUN & Bradstreet Number: 79-608-7047	Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15	
Congressional District(s) of Primary Service Area(s)	15	
Zip Code(s) of Primary Service Area(s)	N/A	
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization	\$350,000	
Organization's Website Address	www.africanservices.org	
Is the sponsor a nonprofit organization?	Yes	
Faith-based?	No	
Grassroots?	No	
Does the organization maintain a waiting list?	No	

Project Sponsor Agency Name AIDS Center of Queens County		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Harriet Cohen, Director of Housing		
Email Address	hcohen@acqc.org		
Business Address	161-21 Jamaica Avenue, 7th Floor		
City, County, State, Zip	Jamaica, Queens, NY 11432		
Phone Number 718-896-2500	Fax Number 718-472-5486		
Employer Identification Number (EIN):		11-2837894	
DUN & Bradstreet Number: 17-726-7978		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	9		
Congressional District(s) of Primary Service Area(s)	6, 9, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Queens	
Total HOPWA Contract Amount for this Organization	\$2,150,000		
Organization's Website Address	www.acqc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Bailey House, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Regina Quattrochi, CEO		
Email Address	rquattrochi@baileyhouse.org		
Business Address	1751 Park Avenue		
City, County, State, Zip	New York, New York, NY 10035		
Phone Number 212-633-2500	Fax Number 212-633-2932		
Employer Identification Number (EIN):		13-3165181	
DUN & Bradstreet Number: 12-250-6736		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$1,358,751		
Organization's Website Address	www.baileyhouse.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name CAMBA		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Joanne Oplustil, Executive Director	
Email Address		joanneo@camba.org	
Business Address		1720 Church Avenue	
City, County, State, Zip		Brooklyn, Kings, NY 11226	
Phone Number 718-287-2600		Fax Number 718-287-0857	
Employer Identification Number (EIN):		11-2480339	
DUN & Bradstreet Number: 16-071-5983		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	11		
Congressional District(s) of Primary Service Area(s)	11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Kings	
Total HOPWA Contract Amount for this Organization	\$3,878,715		
Organization's Website Address	www.camba.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Catholic Charities Neighborhood Services		Parent Company Name, if applicable Catholic Charities, Diocese of Brooklyn	
Name and Title of Contact at Project Sponsor Agency		Jose Morales, Director of Field Operations	
Email Address		jmorales@ccbq.org	
Business Address		267 Hewes Street	
City, County, State, Zip		Brooklyn, Kings, NY 11201	
Phone Number 718-218-7890		Fax Number 718-218-8264	
Employer Identification Number (EIN):		11-2047151	
DUN & Bradstreet Number: 05-692-6215		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	12		
Congressional District(s) of Primary Service Area(s)	6,7,8,9,10,11,12,13,14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$1,878,737		
Organization's Website Address	www.ccbq.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	Yes		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Gay Men's Health Crisis		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Joe Megie, Director of Finance, Budget and Management	
Email Address		joem@gmhc.org	
Business Address		446 West 33rd Street	
City, County, State, Zip		New York, New York, NY 10001	
Phone Number 212-367-1566		Fax Number 212-367-1546	
Employer Identification Number (EIN):		13-3130146	
DUN & Bradstreet Number: 11-298-5254		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$2,067,500		
Organization's Website Address	www.gmhc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Hispanic AIDS Forum		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Heriberto Sanchez Soto, Executive Director	
Email Address		hsanchezsoto@hafnyc.org	
Business Address		1767 Park Avenue, 5th Floor	
City, County, State, Zip		New York, New York, NY 10035	
Phone Number 212-563-4500		Fax Number 212-868-6237	
Employer Identification Number (EIN):		13-3422748	
DUN & Bradstreet Number: 60-738-3346		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	13		
Congressional District(s) of Primary Service Area(s)	5,7,8,9,10,11,12,13,14,15,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, New York, Kings, Queens, Richmond	
Total HOPWA Contract Amount for this Organization	\$3,700,000		
Organization's Website Address	www.hafnyc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Institute for Community Living		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Marlyn Reynolds, Program Director		
Email Address	mreynolds@iclinc.net		
Business Address	2581 Atlantic Avenue		
City, County, State, Zip	Brooklyn, Kings, NY 11207		
Phone Number 718-290-8100	Fax Number 718-290-8114		
Employer Identification Number (EIN):	13-3306195		
DUN & Bradstreet Number: 17-330-6457	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	10,11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$450,000		
Organization's Website Address	www.iclinc.net		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Osborne Association		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Jenny Bardales, Coordinator, Contract Management and Quality Assurance		
Email Address	jbardales@osborneny.org		
Business Address	809 Westchester Avenue		
City, County, State, Zip	Bronx, Bronx, NY 10455		
Phone Number 718-707-2600	Fax Number 718-707-3102		
Employer Identification Number (EIN):	13-5563028		
DUN & Bradstreet Number: 08-464-1000	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$350,000		
Organization's Website Address	www.osborneny.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name PRAXIS Housing Initiatives		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Beatrice Praylow, Program Director	
Email Address		bpraylow@praxishousing.org	
Business Address		2598 Third Avenue	
City, County, State, Zip		Bronx, Bronx, NY 10454	
Phone Number 917-522-8452		Fax Number 917-591-7791	
Employer Identification Number (EIN):		13-3832223	
DUN & Bradstreet Number: 88-398-7752		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, Bronx	
Total HOPWA Contract Amount for this Organization	\$1,032,660		
Organization's Website Address	www.praxishousing.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Project Hospitality		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		William Reuter, Area Director of Housing	
Email Address		bireuter@projecthospitality.org	
Business Address		100 Park Avenue	
City, County, State, Zip		Staten Island, Richmond, NY 10302	
Phone Number 718-227-9662		Fax Number 718-227-8418	
Employer Identification Number (EIN):		13-3234441	
DUN & Bradstreet Number: 60-332-6992		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	13		
Congressional District(s) of Primary Service Area(s)	13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York, Richmond	
Total HOPWA Contract Amount for this Organization	\$1,490,000		
Organization's Website Address	www.projecthospitality.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		Yes	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Promesa		Parent Company Name, if applicable Acacia Network	
Name and Title of Contact at Project Sponsor Agency		Lorraine Coleman, Senior Director	
Email Address		lcoleman@basicsinc.org	
Business Address		245 E Mosholu Parkway N	
City, County, State, Zip		Bronx, Bronx, NY 10467	
Phone Number 347-380-0935		Fax Number 347-963-9557	
Employer Identification Number (EIN):		13-2663328	
DUN & Bradstreet Number: 99-504-284		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$635,800		
Organization's Website Address	www.acacianetwork.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Services for the Underserved		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Daphne Parker, Program Director	
Email Address		dparker@sus.org	
Business Address		1329-1331 St. John's Place	
City, County, State, Zip		Brooklyn, Kings, NY 11213	
Phone Number 718-604-2731		Fax Number 855-575-6151	
Employer Identification Number (EIN):		13-3586129	
DUN & Bradstreet Number: 10-367-5559		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	9		
Congressional District(s) of Primary Service Area(s)	9		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$450,000		
Organization's Website Address	www.susinc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Unique People Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Yvette Brisset-Andre, Executive Director	
Email Address		yvetteba@uniquepeopleservices.org	
Business Address		4234 Vireo Avenue	
City, County, State, Zip		Bronx, Bronx, NY 10470	
Phone Number 718-231-7711		Fax Number 718-231-7720	
Employer Identification Number (EIN):		13-3636555	
DUN & Bradstreet Number: 84-791-2466		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	6,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$1,819,461		
Organization's Website Address	www.uniquepeopleservices.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Volunteers of America Greater New York		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Tere Pettitt, President/CEO	
Email Address		tpettitt@voa-gny.org	
Business Address		340 West 85th Street	
City, County, State, Zip		New York, New York, NY 10024	
Phone Number 212-873-2600		Fax Number 212-769-2629	
Employer Identification Number (EIN):		58-1959781	
DUN & Bradstreet Number: 36-115-7287		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	14, 15, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$1,967,928		
Organization's Website Address	www.voa-gny.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name 163rd Street Improvement Council		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Cassandra Perry, Executive Director	
Email Address		cperry@163council.org	
Business Address		490 East 167th Street	
City, County, State, Zip		Bronx, Bronx, NY 10456	
Phone Number 718-620-6007		Fax Number 718-620-6006	
Employer Identification Number (EIN):		13-2564957	
DUN & Bradstreet Number: 95-860-8171		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$478,433		
Organization's Website Address	www.163council.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Actors' Fund of America		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Connie Yoo, Chief Financial Officer	
Email Address		cyoo@actorsfund.org	
Business Address		729 7th Avenue	
City, County, State, Zip		New York, New York, NY 10019	
Phone Number 917-281-5905		Fax Number 212-536-7660	
Employer Identification Number (EIN):		13-1635251	
DUN & Bradstreet Number: 07-324-9484		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	12, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$46,660		
Organization's Website Address	www.actorsfund.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Addicts Rehabilitation Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Reginald Williams, CEO		
Email Address	rwilliams@arcfoundationinc.org		
Business Address	57 East 128th Street		
City, County, State, Zip	New York, New York, NY 10035		
Phone Number 212-427-6960	Fax Number 212-427-1095		
Employer Identification Number (EIN):	13-3729152		
DUN & Bradstreet Number: 08-040-1748	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$389,214		
Organization's Website Address	www.arcfoundationinc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Bowery Residents Committee, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Muzzy Rosenblatt, Executive Director		
Email Address	muzzy@brc.org		
Business Address	131 West 25th Street, 12th Floor		
City, County, State, Zip	New York, New York, NY 10001		
Phone Number 212-803-5700	Fax Number 212-533-1893		
Employer Identification Number (EIN):	13-2736659		
DUN & Bradstreet Number: 08-041-3339	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$308,418		
Organization's Website Address	www.brc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Center for Urban Community Services, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Evelyn Cortes, Accounting Coordintaor		
Email Address	ecortes@cucs.org		
Business Address	198 East 121st Street 6th floor		
City, County, State, Zip	New York, New York, NY 10035		
Phone Number 212-801-3326	Fax Number 212-801-2356		
Employer Identification Number (EIN):		13-3687891	
DUN & Bradstreet Number: 87-766-0589		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	12		
Congressional District(s) of Primary Service Area(s)	12, 7		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$880,684		
Organization's Website Address	www.cucs.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Coalition for the Homeless		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Alpesh Shah, Contract Manager		
Email Address	Ashah@cfthomeless.org		
Business Address	129 Fulton Street		
City, County, State, Zip	New York, New York, NY 10038		
Phone Number 212-776-2084	Fax Number 212-964-1206		
Employer Identification Number (EIN):		13-3072967	
DUN & Bradstreet Number: 17-797-2494		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$528,000		
Organization's Website Address	www.coalitionforthehomeless.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Common Ground Management Corporation		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Brenda Rosen, President & CEO		
Email Address	brosen@commonground.org		
Business Address	505 Eighth Avenue, 15th Floor		
City, County, State, Zip	New York, New York, NY 10018		
Phone Number 212-389-9356	Fax Number 212-389-9310		
Employer Identification Number (EIN):		13-3871134	
DUN & Bradstreet Number: 62-522-1189		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	10, 12, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, New York, Bronx	
Total HOPWA Contract Amount for this Organization	\$178,108		
Organization's Website Address	www.commonground.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Community Access		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Steve Coe, Executive Director		
Email Address	steve@cairn.org		
Business Address	2 Washington Street, 9th Floor		
City, County, State, Zip	New York, New York, NY 10004		
Phone Number 212-780-1400	Fax Number 212-780-1412		
Employer Identification Number (EIN):		23-7399839	
DUN & Bradstreet Number: 09-889-7523		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$169,480		
Organization's Website Address	www.communityaccess.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Comunilife		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Rosa Gil, Ph.D, President	
Email Address		rgil@comunilife.org	
Business Address		214 West 29th Street, 8th Floor	
City, County, State, Zip		New York, New York, NY 10001	
Phone Number 212-219-1618		Fax Number 212-643-0634	
Employer Identification Number (EIN):		13-3530299	
DUN & Bradstreet Number: 78-112-3005		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	11, 16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx, Kings, Queens	
Total HOPWA Contract Amount for this Organization	\$3,393,717		
Organization's Website Address	www.comunilife.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name DOMI-Turning Point		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Tata Traore- Rogers, Executive Director	
Email Address		Tata@tpbk.org	
Business Address		5220 Fourth Avenue	
City, County, State, Zip		Brooklyn, Brooklyn, NY 11220	
Phone Number 718-360-8183		Fax Number 718-228-6304	
Employer Identification Number (EIN):		11-2838138	
DUN & Bradstreet Number: 78-139-0554		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	12		
Congressional District(s) of Primary Service Area(s)	8, 9, 10, 12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$658,397		
Organization's Website Address	www.tpdomi.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name FACES, NY		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Violet Tabor, Executive Director		
Email Address	vtabor@facesny.org		
Business Address	317 Lenox Avenue, 10th Floor		
City, County, State, Zip	New York, New York, NY 10027		
Phone Number 212-283-9180	Fax Number 212-864-1614		
Employer Identification Number (EIN):	13-3449087		
DUN & Bradstreet Number: 62-321-8856	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$350,830		
Organization's Website Address	www.facesny.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Flemister Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Timothy Mitchell, Executive Director		
Email Address	tmitchell@flemisterhouse.org		
Business Address	527 West 22nd Street		
City, County, State, Zip	New York, New York, NY 10011		
Phone Number 212-604-0124	Fax Number 212-604-0177		
Employer Identification Number (EIN):	13-3725394		
DUN & Bradstreet Number: 83-187-0964	Central Contractor Registration Active? Yes		
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$319,300		
Organization's Website Address	www.flemisterhouse.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Friends House		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Annie Soriano, Executive Director	
Email Address		asoriano@friendshousenyc.org	
Business Address		130 East 25th Street	
City, County, State, Zip		New York, New York, NY 10010	
Phone Number 212-995-5000		Fax Number 212-995-5317	
Employer Identification Number (EIN):		13-3374465	
DUN & Bradstreet Number: 61-185-2760		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		New York
Total HOPWA Contract Amount for this Organization	\$392,041		
Organization's Website Address	www.friendshousenyc.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Harlem Congregations for Community Improvement		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Derek Broomes, President & CEO	
Email Address		dbroomes@hcci.org	
Business Address		2854 Frederick Douglass Boulevard	
City, County, State, Zip		New York, New York, NY 10039	
Phone Number 212-281-4887		Fax Number 212-281-8102	
Employer Identification Number (EIN):		13-3516262	
DUN & Bradstreet Number: 80-453-5433		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		New York
Total HOPWA Contract Amount for this Organization	\$426,979		
Organization's Website Address	www.hcci.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		Yes	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Harlem United Community AIDS Center, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Alan Zuckerman, Chief Financial Officer	
Email Address		azuckerman@harlemunited.org	
Business Address		306 Lenox Avenue, 3rd Floor	
City, County, State, Zip		New York, New York, NY 10027	
Phone Number 212-803-2850		Fax Number 212-803-2871	
Employer Identification Number (EIN):		13-3461695	
DUN & Bradstreet Number: 61-709-6862		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		New York, Bronx
Total HOPWA Contract Amount for this Organization	\$4,122,217		
Organization's Website Address	www.harlemunited.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	Yes		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Heartshare Human Services of New York		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		William Guarinello, President/CEO	
Email Address		william.guarinello@heartshare.org	
Business Address		12 Metrotech Center	
City, County, State, Zip		Brooklyn, Kings, NY 11201	
Phone Number 718-422-4200		Fax Number 718-522-4506	
Employer Identification Number (EIN):		11-1633549	
DUN & Bradstreet Number: 80-186-2772		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10,11,13		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		Kings, Queens, Richmond
Total HOPWA Contract Amount for this Organization	\$258,822		
Organization's Website Address	www.heartshare.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Heritage Health and Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Alvaro Simmons, Ph. D, Interim Executive Director	
Email Address		asimmons@heritagenyc.org	
Business Address		416 West 127th Street	
City, County, State, Zip		New York, New York, NY 10027	
Phone Number 212-866-2600		Fax Number 212-864-5044	
Employer Identification Number (EIN):		13-2661509	
DUN & Bradstreet Number: 29-488-4587		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	8,12,15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$463,085		
Organization's Website Address	www.heritagehousing.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name HOGAR		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Noris Colon, President	
Email Address		nmColon@hogar-inc.org	
Business Address		751 Dawson Street	
City, County, State, Zip		Bronx, New York, NY 10455	
Phone Number 718-742-7646		Fax Number 718-742-7672	
Employer Identification Number (EIN):		13-3887707	
DUN & Bradstreet Number: 19-804-7289		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Bronx	
Total HOPWA Contract Amount for this Organization	\$117,413		
Organization's Website Address	www.hogar-inc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Housing & Services Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Mark Shapiro, Chief Financial Officer	
Email Address		mshapiro@hsi-ny.org	
Business Address		243 West 30th Street 2nd floor	
City, County, State, Zip		New York, New York, NY 10001	
Phone Number 212-252-9377		Fax Number 212-252-9322	
Employer Identification Number (EIN):		13-3685749	
DUN & Bradstreet Number: 18-927-9276		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$223,078		
Organization's Website Address	www.hsi-ny.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Housing Works, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Kenneth Robinson, VP of Housing Programs and Property Management	
Email Address		RobinsonK@housingworks.org	
Business Address		594 Broadway Suite 700	
City, County, State, Zip		New York, New York, NY 10012	
Phone Number 212-966-0466		Fax Number 212-966-0869	
Employer Identification Number (EIN):		13-3584089	
DUN & Bradstreet Number: 62-785-3146		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	6, 7, 8, 9, 10, 11		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings, New York	
Total HOPWA Contract Amount for this Organization	\$254,222		
Organization's Website Address	www.housingworks.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Iris House		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Ingrid Floyd, Executive Director	
Email Address		ifloyd@irishouse.org	
Business Address		2348 Adam Clayton Powell Jr. Boulevard	
City, County, State, Zip		New York, New York, NY 10030	
Phone Number 646-548-0100		Fax Number 646-548-0200	
Employer Identification Number (EIN):		13-3699201	
DUN & Bradstreet Number: 94-616-2104		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	15		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		New York
Total HOPWA Contract Amount for this Organization	\$451,445		
Organization's Website Address	www.irishouse.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Lantern Community Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Peter Matarazzo, Chief Financial Officer	
Email Address		pmatatarazzo@lanterngroup.org	
Business Address		49 West 37th Street, 12th Floor	
City, County, State, Zip		New York, New York, NY 10018	
Phone Number 212-398-3073		Fax Number 212-398-3071	
Employer Identification Number (EIN):		13-3987841	
DUN & Bradstreet Number: 07-137-3224		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	10,15,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		New York, Kings, Bronx
Total HOPWA Contract Amount for this Organization	\$1,855,768		
Organization's Website Address	www.lanterngroup.org		
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Lower East Side Service Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Herbert Barish, Chief Executive Officer	
Email Address		hbarish@lesc.org	
Business Address		80 Maiden Lane, 2nd Floor	
City, County, State, Zip		New York, New York, NY 10038	
Phone Number 212-566-5372		Fax Number 212-732-5224	
Employer Identification Number (EIN):		13-3648597	
DUN & Bradstreet Number: 01-967-4271		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	12		
Congressional District(s) of Primary Service Area(s)	12		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		New York
Total HOPWA Contract Amount for this Organization	\$12,043		
Organization's Website Address	www.lesc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Narrangansett		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Mark Shapiro, Chief Financial Officer	
Email Address		mshapiro@hsi-ny.org	
Business Address		243 West 30th Street 2nd floor	
City, County, State, Zip		New York, New York, NY 10001	
Phone Number 212-252-9377		Fax Number 212-252-9322	
Employer Identification Number (EIN):		51-0201833	
DUN & Bradstreet Number: 01-967-4271		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	15		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		New York
Total HOPWA Contract Amount for this Organization	\$471,411		
Organization's Website Address	www.hsi-y.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Odyssey House		Parent Company Name, if applicable House HDFC	
Name and Title of Contact at Project Sponsor Agency		Peter Provet, Chief Executive Officer	
Email Address		pprovet@odysseyhousing.org	
Business Address		95 Pine Street	
City, County, State, Zip		New York, New York, NY 10005	
Phone Number 212-361-1600		Fax Number 212-361-1666	
Employer Identification Number (EIN):		13-4054564	
DUN & Bradstreet Number: 82-694-8700		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8, 12, 14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		New York
Total HOPWA Contract Amount for this Organization	\$240,698		
Organization's Website Address	www.odysseyhouseinc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Palladia, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Paul Lieffrig, V.P. of Finance and Chief Financial Officer	
Email Address		paul.lieffrig@palladiainc.org	
Business Address		2006 Madison Avenue	
City, County, State, Zip		New York, New York, NY 10035	
Phone Number 212-979-8800		Fax Number 212-979-0100	
Employer Identification Number (EIN):		23-7089380	
DUN & Bradstreet Number: 07-860-6761		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	7,16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		Bronx
Total HOPWA Contract Amount for this Organization	\$226,567		
Organization's Website Address	www.palladiainc.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Pratt Area Community Council, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Deborah Howard, Executive Director		
Email Address	deb_howard@prattarea.org		
Business Address	201 DeKalb Avenue		
City, County, State, Zip	New York, Brooklyn, NY 11205		
Phone Number 718-522-2613	Fax Number 718-222-3292		
Employer Identification Number (EIN):		11-2451752	
DUN & Bradstreet Number: 15-881-7213		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	10		
Congressional District(s) of Primary Service Area(s)	10		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	Kings	
Total HOPWA Contract Amount for this Organization	\$299,038		
Organization's Website Address	www.prattarea.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Project Renewal, Inc.		Parent Company Name, if applicable Project Renewal Fund, Inc.	
Name and Title of Contact at Project Sponsor Agency	Mitchell Netburn, President/CEO		
Email Address	mitchell.netburn@projectrenewal.org		
Business Address	200 Varick Street, 9th Floor		
City, County, State, Zip	New York, New York, NY 10014		
Phone Number 212-620-0340	Fax Number 212-633-9044		
Employer Identification Number (EIN):		13-2602882	
DUN & Bradstreet Number: 05-784-1710		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York	New York	
Total HOPWA Contract Amount for this Organization	\$185,665		
Organization's Website Address	www.projectrenewal.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Ryer Avenue H.D.F.C & L.P.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Sis. Dorothy Sheahan, Executive Director	
Email Address		ryersanmiguel@ryerhdfc.org	
Business Address		2386 Ryer Avenue	
City, County, State, Zip		Bronx, Bronx, NY 10458	
Phone Number 718-329-0600		Fax Number 718-309-0101	
Employer Identification Number (EIN):		13-3604402	
DUN & Bradstreet Number: 80-789-5453		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		16	
Congressional District(s) of Primary Service Area(s)		7,16	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Bronx
Total HOPWA Contract Amount for this Organization		\$522,047	
Organization's Website Address		www.ryerhdfc.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name St. Mary's Supportive Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Marianne DiTommaso, Vice President	
Email Address		mditomasso@svwsjmc.org	
Business Address		1216 Bay Street	
City, County, State, Zip		Staten Island, Richmond, NY 10305	
Phone Number 718-982-4740		Fax Number 718-982-4741	
Employer Identification Number (EIN):		75-3144518	
DUN & Bradstreet Number: 18-327-0193		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		11	
Congressional District(s) of Primary Service Area(s)		11	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Kings
Total HOPWA Contract Amount for this Organization		\$168,802	
Organization's Website Address		N/A	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name St. Nicks Alliance Corp.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Cindy Ross, Director of Finance	
Email Address		cross@stnicksalliance.org	
Business Address		2 Kingsland Avenue, 1st Floor	
City, County, State, Zip		Brooklyn, Kings, NY 11211	
Phone Number 347-856-5520		Fax Number 718-486-5982	
Employer Identification Number (EIN):		51-0192170	
DUN & Bradstreet Number: 09-376-4231		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		14	
Congressional District(s) of Primary Service Area(s)		10,11	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Kings
Total HOPWA Contract Amount for this Organization		\$1,830,330	
Organization's Website Address		www.stnicksalliance.org	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name University Consultation Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Marcia Halley, Executive Director	
Email Address		mhalley@ucc-ny.org	
Business Address		1020 Grand Concourse	
City, County, State, Zip		Bronx, Bronx, NY 10451	
Phone Number 718-293-8400		Fax Number 718-293-1461	
Employer Identification Number (EIN):		13-1944395	
DUN & Bradstreet Number: 08-305-9865		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		16	
Congressional District(s) of Primary Service Area(s)		7,16	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		New York	Bronx
Total HOPWA Contract Amount for this Organization		\$350,551	
Organization's Website Address		N/A	
Is the sponsor a nonprofit organization?		Yes	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

Project Sponsor Agency Name Westside Federation for Senior Housing		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Laura Jervis, Executive Director	
Email Address		ljervis@wsfssh.org	
Business Address		2345 Broadway	
City, County, State, Zip		New York, New York, NY 10024	
Phone Number 212-721-6032		Fax Number 212-721-6043	
Employer Identification Number (EIN):		13-2926433	
DUN & Bradstreet Number: 17-887-7254		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	8		
Congressional District(s) of Primary Service Area(s)	8		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		New York
Total HOPWA Contract Amount for this Organization	\$127,196		
Organization's Website Address	N/A		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name The DOE Fund, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Sam Jiang, Contracts Manager	
Email Address		sjiang@doe.org	
Business Address		345 East 102nd Street 3rd floor	
City, County, State, Zip		New York, New York, NY 10029	
Phone Number 646-672-4459		Fax Number 212-831-7963	
Employer Identification Number (EIN):		13-3412540	
DUN & Bradstreet Number: 60-964-3598		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	14		
Congressional District(s) of Primary Service Area(s)	14		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	New York		New York
Total HOPWA Contract Amount for this Organization	\$229,893		
Organization's Website Address	www.doe.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Putnam Department of Social Services		Parent Company Name, if applicable Putnam County	
Name and Title of Contact at Project Sponsor Agency		Frank Marocco, Director of Social Services	
Email Address		frank.marocco@dfa.state.ny.us	
Business Address		110 Old Rt. 6, Building 2	
City, County, State, Zip		Carmel, Putnam, NY 10512	
Phone Number 845-808-1500		Fax Number 845-225-8635	
Employer Identification Number (EIN):		14-60002759	
DUN & Bradstreet Number: 07-270-9553		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	19		
Congressional District(s) of Primary Service Area(s)	19		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	N/A		Putnam
Total HOPWA Contract Amount for this Organization	\$34,799		
Organization's Website Address	www.putnamcountyny.com		
Is the sponsor a nonprofit organization?	No		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Rockland County Office of Community Development		Parent Company Name, if applicable Rockland County	
Name and Title of Contact at Project Sponsor Agency		Karey Lynch, HOME Program Coordinator	
Email Address		lynchk@co.rockland.ny.us	
Business Address		50 Sanatorium Road, Building K	
City, County, State, Zip		Pomona, Rockland, NY 10970	
Phone Number 845-364-3939		Fax Number 845-364-3940	
Employer Identification Number (EIN):		13-6007344	
DUN & Bradstreet Number: 07-543-7848		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	17		
Congressional District(s) of Primary Service Area(s)	17, 18, 19		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	N/A		Rockland
Total HOPWA Contract Amount for this Organization	\$326,917		
Organization's Website Address	www.rocklandgov.com/departments/community-development/		
Is the sponsor a nonprofit organization?	No		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name City of Mount Vernon		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Danielle Scholar, Program Director	
Email Address		dscholar@ci.mount-vernon.ny.us	
Business Address		One Roosevelt Square, 3rd Floor	
City, County, State, Zip		Mount Vernon, Westchester, NY 10550	
Phone Number 914-699-7230		Fax Number 914-665-0823	
Employer Identification Number (EIN):		13-2574843	
DUN & Bradstreet Number: 98-220-981		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	10550		
City(ies) and County(ies) of Primary Service Area(s)	Mount Vernon	Westchester County	
Total HOPWA Contract Amount for this Organization	\$212,973		
Organization's Website Address	www.ci.mount-vernon.ny.us		
Is the sponsor a nonprofit organization?	No		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name City of Yonkers Planning Department		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Wilson Kimball, Commissioner	
Email Address		wilson.kimball@yonkersny.gov	
Business Address		87 Nepperhan Avenue, Room 307	
City, County, State, Zip		Yonkers, Westchester, NY 10701	
Phone Number 917-377-6150		Fax Number 917-377-6003	
Employer Identification Number (EIN):		13-6007340	
DUN & Bradstreet Number: 72-722-903		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	16		
Congressional District(s) of Primary Service Area(s)	16		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	Yonkers	Westchester County	
Total HOPWA Contract Amount for this Organization	\$141,381		
Organization's Website Address	www.yonkersny.gov		
Is the sponsor a nonprofit organization?	No		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Grace Church Community Center, Inc.		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Barbara Bento-Fleming, Program Director, Housing Services		
Email Address	bbento-fleming@gracecommunitycenter.org		
Business Address	35 Orchard Street		
City, County, State, Zip	White Plains, Westchester, NY 10603		
Phone Number 914-949-0925	Fax Number 914-686-3077		
Employer Identification Number (EIN):		13-3121606	
DUN & Bradstreet Number: 62-537-5811		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	0		
Congressional District(s) of Primary Service Area(s)	16, 17, 18		
Zip Code(s) of Primary Service Area(s)	N/A		
City(ies) and County(ies) of Primary Service Area(s)	N/A	Westchester County	
Total HOPWA Contract Amount for this Organization	\$1,198,461		
Organization's Website Address	www.gracecommunitycenter.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Greyston Health Services, Inc. (Yonkers)		Parent Company Name, if applicable Greyston Foundation	
Name and Title of Contact at Project Sponsor Agency	Shelley Weintraub, Director of Real Estate		
Email Address	shelleyw@greystone.org		
Business Address	21 Park Avenue		
City, County, State, Zip	Yonkers, Westchester, NY 10703		
Phone Number 914-376-3900	Fax Number 914-376-1333		
Employer Identification Number (EIN):		13-3668065	
DUN & Bradstreet Number: 36-150-9743		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor	18		
Congressional District(s) of Primary Service Area(s)	Yonkers		
Zip Code(s) of Primary Service Area(s)	10701		
City(ies) and County(ies) of Primary Service Area(s)	Yonkers	Westchester County	
Total HOPWA Contract Amount for this Organization	\$178,500		
Organization's Website Address	www.greystone.org		
Is the sponsor a nonprofit organization?	Yes		
Faith-based?	No		
Grassroots?	No		
Does the organization maintain a waiting list?	No		

Project Sponsor Agency Name Westchester County Department of Planning		Parent Company Name, if applicable Westchester County	
Name and Title of Contact at Project Sponsor Agency		Dana Sanchez, Program Administrator	
Email Address		das5@westchestergov.com	
Business Address		148 Martine Avenue, Room 414	
City, County, State, Zip		White Plains, Westchester, NY 10601	
Phone Number 914-995-4602		Fax Number 914-995-9093	
Employer Identification Number (EIN):		13-6007353	
DUN & Bradstreet Number: 11-747-7302		Central Contractor Registration Active? Yes	
Congressional District of Business Location of Sponsor		0	
Congressional District(s) of Primary Service Area(s)		16, 17, 18	
Zip Code(s) of Primary Service Area(s)		N/A	
City(ies) and County(ies) of Primary Service Area(s)		N/A	
		Westchester County	
Total HOPWA Contract Amount for this Organization		\$48,025	
Organization's Website Address		www.westchestergov.com	
Is the sponsor a nonprofit organization?		No	
Faith-based?		No	
Grassroots?		No	
Does the organization maintain a waiting list?		No	

B. Other Funding Sources

This section, Other Funding Sources, is divided into two parts: a narrative and a table. The narrative describes the funds received from HUD competitive programs, the State, City tax-levy funds, and other federal (non-HUD) funding. A description of the programs listed in the table can be found in the 2014 Consolidated Plan. The funds the City actually received in 2014 for the four formula entitlement grants are outlined on Table 1, in the previous section, I.A.

DCP Form 3, **Summary Table of Other Funding Sources**, has been divided into six parts: **Part i.** New York City Housing Authority Funds, which includes NYCHA's Public Housing Capital Fund, Public Housing Drug Elimination Program, and HOPE VI Program; **Part ii.** HUD Competitive Programs, includes the Section 202 and Section 811 Programs; **Part iii.** State Funds, includes funds that are provided to match federal and city funds, and non-matching funds; **Part iv.** City Funds, includes city funds to match federal funds, plus non-matching funds; and **Part v.** Total Funding Sources is the aggregation of Parts i-iv.

In addition, the allocations are presented in two columns, A. and B., which indicate how funds will be received: if Column A is designated, the funds will come directly through a City agency; if Column B is selected the money will be received by another entity in the City, such as NYCHA or a not-for-profit organization. The figures in both columns refer to funds awarded in calendar year 2014, not to funds which were previously awarded and are still available.

For the most part, the allocations provided here follow the Consolidated Plan year for the four formula programs, CDBG, ESG, HOME and HOPWA. However, it is important to note that not all of the estimates on this table are presented in terms of the Consolidated Plan Year. Since each governmental entity (i.e., federal, state, and city) uses a different 12 month period to define its fiscal year, the various estimates are based on the fiscal year of the relevant level of government. For example, the projections for the City's contributions are based on the City's fiscal year (July 1 to June 30), while the State figures are reported according to the State fiscal year (April 1 to March 31). In the case of Federal funds, the fiscal year is October 1 to September 30.

i. New York City Housing Authority Funds

In addition to the HUD formula/entitlement programs, the New York City Housing Authority (NYCHA) receives HUD funds to operate its facilities. Please refer to the 2014 Consolidated Plan for a description of NYCHA's programs.

Line 1. Public Housing Capital Fund Program

In 2014, NYCHA received from HUD, through the Capital Fund Program, approximately **\$296,237,296** for building modernization. These funds will be primarily devoted to moderate rehabilitation activities that benefit low- and very low- income households in Federally subsidized housing projects. NYCHA obligated (awarded contracts) \$396,516,694 in Capital Fund Program funds during 2014.

Line 2. HOPE VI

NYCHA decided not to apply for HOPE VI in 2014. Therefore, **\$0** has been entered on line 2, column B.

i. Subtotal - New York City Housing Authority Funds (lines 1-2) for column B, **\$296,237,296**.

ii. HUD Competitive Programs

HUD releases notices of funding availability (NOFAs) several times a year; the allocation of these funds is made on a competitive basis. In addition to the City of New York, NYCHA and not-for-profit organizations are eligible to apply for funds. In some cases, the City of New York has applied for this money and been awarded funds. Listed below are the funds awarded to the City during 2013. Column A lists the funds that City agencies received directly, and column B lists the funds NYCHA, a non-for-profit organization, or another entity in the City received.

Line 3. Continuum of Care NOFA

The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act) amended the McKinney-Vento Homeless Assistance Act. Among other changes, the HEARTH Act consolidated the three separate McKinney-Vento homeless assistance programs (Supportive Housing program, Shelter Plus Care program, and Section 8 Moderate Rehabilitation SRO program) into a single grant program known as the Continuum of Care (CoC) Program.

The CoC Program is designed to assist individuals (including unaccompanied youth) and families experiencing homelessness and to provide the services needed to help such individuals move into transitional and permanent housing, with the goal of long-term stability. More broadly, the program is designed to promote community-wide planning and strategic use of resources to address homelessness; improve coordination and integration with mainstream resources and other programs targeted to people experiencing homelessness; improve data collection and performance measurement; and allow each community to tailor its program to the particular strengths and challenges within that community.

The 2014 HUD NOFA competition was not completed in the 2014 program year. The FY2013 NOFA awards were announced in 2014 and the NYC CoC was awarded \$114,136,681. DHS and HPD received **\$51,818,370** of that amount (line 3, column A), while not-for-profit providers and NYS agencies received the balance of **\$62,318,311** (line 3, column B).

Line 4. Section 202 Supportive Housing for the Elderly

No funding for Section 202 projects was included in the 2014 NOFA, therefore no new Section 202 funds were awarded in 2014.

Therefore, **\$0** is entered on line 4, column B.

Line 5. Section 811 Supportive Housing for People with Disabilities

No funding for Section 811 projects was included in the 2014 NOFA, therefore no new Section 811 funds were awarded in 2014.

Therefore, **\$0** is entered on line 5, column B.

Line 6. Section 8 Family Self-Sufficiency (FSS) Coordinators

HPD applied for this HUD grant in August 2013. In January 2014 HUD awarded HPD a grant of **\$1,373,600** (line 6, column A). The grant will fund 20 FSS Coordinators.

Line 7. Family Unification Program

NYCHA did not receive any funding for this program. **\$0** has been entered on line 7, column B.

For a description of NYCHA's existing Family Unification Program, please see Part II. B., Continuum of Care.

HPD did not receive any funding for this program.

Line 8. Housing Opportunities for Persons with AIDS (HOPWA)

The competitive grant provides states, localities and nonprofit organizations with resources and incentives to devise strategies for meeting the housing and related supportive service needs of low-income persons with HIV/AIDS and their families. Funds are divided into three categories: (1) grants for Special Projects of National Significance (SPNS); and (2) grants for projects that are part of Long Term Comprehensive Strategies (Long Term); and (3) grants for Renewal of Permanent Supportive Housing.

In 2014, no SPNS permanent supportive housing renewal grants were awarded. (\$0 is reported in line 8, column B).

Line 9. Office of Healthy Homes and Lead Hazard Control Competitive Grants

2014 HPD submitted a grant application for Office of Healthy Homes and Lead Hazard Control competitive grant funds. However, the City did not receive a grant award. Therefore, no dollars are listed in Line 9, column A.

ii. Subtotal - Competitive Programs (lines 3 –9) is **\$53,191,970** for column A, and approximately **\$62,318,300** for column B.

Total Federal (HUD) Funds The total for Federal HUD funds received in 2014 by New York City agencies and other entities (including New York City Housing Authority Funds) within the City are approximately: **\$326,507,300** (Lines 1–9, column A, and Federal Formula/Entitlement Programs; complete description in Section A), and approximately **\$321,204,100** (lines 1–9, column B), respectively.

iii. State Funds

The State of New York provides funding through City agencies for housing, homeless and supportive housing services. The State funds listed in column A are administered by a New York City agency. Column B lists the programs which are operated by NYCHA and not-for-profit entities. The following programs have received State funds:

Line 10. Affordable Homeownership Development Program

The Affordable Homeownership Development Program is administered by the New York State Affordable Housing Corporation (AHC), a subsidiary of the New York State Housing Finance Agency. In Calendar year 2014 AHC awarded three projects for Acquisition / Rehabilitation. These four awards were for a total of \$1,240,000, to assist 31 units.

In addition, AHC made one Home Improvement award, for \$200,000, to assist 37 units and two New Construction awards, for \$1,965,000, to create 57 homeownership units for low- and moderate-income households. Lastly, AHC made one New Construction award to NYC Housing Partnership for The Sutton project which is benefiting from the 421a tax abatement, but no other assistance is being provided. This award was in the amount of \$575,000 to create 23 homeownership units for low- and moderate-income households. Therefore, **\$3,980,000** has been entered on Line 10, column A.

Line 11. Homeless Housing Assistance Program

The Homeless Housing and Assistance program expands the supply of housing for homeless persons through the provision of capital grants and loans for housing development and preservation. Grants are

provided to not-for-profit organizations and may be used to develop emergency, transitional and permanent housing for the homeless. Eligible costs include land/building acquisition; capital improvements (rehabilitation and new construction); professional fees (i.e. architectural, legal); and other costs associated with project development. Approved projects must be operated as homeless housing for a period of not less than twenty-five years and rents cannot exceed the public assistance shelter allowance or 30% of income. In SFY '13 – '14, **\$18,688,728** (line 11, column B) was allocated for New York City projects. There was no request for the development of housing for persons living with AIDS and Medicaid Redesign Team Capital Funds. There were 35 units of permanent self-contained housing for homeless families, and 146 units of permanent housing for homeless individuals. In addition, 149 units were completed toward the NY/NY III Agreement with New York City.

Line 12. Low Income Housing Tax Credit (LIHTC)

In 2014, HPD awarded a total of **\$15.4 million** in federal Low Income Housing Tax Credits for 14 developments under the competitive funding round for 2014. HPD's authority is negotiated annually with the State of New York, which in turn receives a fixed amount determined by a per capita formula from the U.S. Department of the Treasury (IRS). These credits will help build or rehabilitate 1,006 apartments of which 965 will be affordable for low-income families in Manhattan, the Bronx, Queens and Brooklyn.

Line 13. Low Income Housing Trust Fund Program (LIHFT)

This program was created to address the shortage of decent, affordable housing for low-income people. It provides funding to non-profit corporations, municipalities, counties, housing authorities, private developers and partnerships to build or rehabilitate housing or convert non-residential properties to house low-income homesteaders, tenants, tenant cooperators or condominium owners. It provides housing for the homeless and those with special needs, large families, the elderly and disabled, and persons with incomes with income less than 80 percent of median in New York City. Applications for funding are processed through the Division of Housing and Community Renewal's Unified Funding Application Process. The actual amount of funds approved by the HTFC Board for New York City projects in SFY '13 – '14 was **\$0** (line 13, column B). Funding commitments were executed with awardees in NYC in the amount of \$875,000. There were 315 units completed in six projects in New York City in SFY '13 – '14.

Line 14. RESTORE

RESTORE, administered by the New York State Housing Trust Fund Corporation, is targeted to low-income elderly homeowners and provides grants and loans of up to \$5,000 per unit for emergency home repairs. Funds are made available to not-for-profit organizations and municipalities for projects. The SFY '13 – '14 contracts were limited to \$75,000 to each entity, with a maximum of \$7,500 per unit. Applications are processed through a NOFA (notice of funds available). For RESTORE 2013-14 contracts in the NYC area, there was one awardee who received \$50,000. No units have been assisted to date. Therefore, **\$50,000** has been entered on line 14, column B.

Line 15. State Housing Bonds

The State of New York lent the Authority funds to finance the construction of State-aided developments from proceeds of State Housing Bonds issued. The Debt Service are the periodic repayment on the outstanding bonds. NYCHA received **\$4.3 million** (Line 15, column B).

iii. Total State Funds

The total State of New York funds the City of New York allocated for housing, homeless and supportive housing services is **\$19,380,000** (lines 10-15, column A). NYCHA, not-for-profit agencies and other entities expect to allocate approximately **\$23,038,700** (lines 10-15, column B) of state funds within the City of New York.

iv. City Funds

Column A

Line 16. DHS City Funds

a. DHS Expense with Federal Funds

DHS used approximately \$1.047 billion in City Fiscal Year 2014 to administer the Continuum of Care program for the homeless. Not including CDBG and ESG funds used by DHS to provide homeless services, the total is approximately \$1.022 billion. Of this, approximately **\$115,844,327** (line 16a, column A) was used in conjunction with Federal funds. This includes approximately \$14 million in City Tax Levy funds used to match ESG funds received from HUD. Excluding this ESG Match, the \$108.204 million comes from three funding sources, approximately 39% City tax levy, 16% State of New York and 45% from Federal funds. The primary Federal funding source is the U.S. Department of Health and Human services (HHS) Temporary Assistance to Needy Families (TANF) program.

b. DHS Capital without Federal Funds

The City expended approximately **\$20,798,000** in City Capital funds for DHS programs (line 16b, column A).

c. DHS Expense without Federal Funds

In addition to the approximately \$14 million in City Tax Levy funds used for the ESG match, the City used approximately **\$905.814 million** (line 16c, column A) in CFY 2014 for the provision of homeless services without Federal Funds. This represents, in addition to the ESG match, the City's contribution to the Continuum of Care that does not involve Federal funding. City tax levy funds are matched with two types of funding from New York State, funding to reimburse localities for local adult shelter expenditures and SRO operating subsidies. The \$905.814 million does not include CD or ESG funds used by DHS to administer homeless services.

Line 17. HPD City Funds

a. HPD's total capital budget actuals for 2014 from all funding sources (including HUD) is approximately 355,242,000. Of that amount \$265,764,000 comes from the City. Of the City funds, **\$223,422,000** (line17a, column A) are scheduled for programs that use City funds in conjunction with Federal funds (CDBG, HOME, etc.). The remaining \$42,342,000 of City funds are used in programs that do not receive Federal funds.

The City uses a portion of this \$223,422,000 figure to meet its 12.5% requirement to match HOME funds, in addition to using the appraised value of tax exemptions. Please refer to the HOME Match Report located in Section A. for a description of the City's matching contribution to federally funded HOME Investment Partnership projects.

In 2014, the HOME Program Income generated was \$4,345,321.

b. HPD Expense with Federal Funds

HPD's total expense budget actuals for 2014 from all funding sources (including HUD) was \$723,848,585. Of that amount, \$70,048,337 comes from the City (tax levy, Inter-Fund Agreement (IFA), and Intra-City). Of the City funds, approximately **\$42,893,152** (line 18b, column A) is scheduled for programs that use City funds in conjunction with Federal funds (CDBG, HOME, etc.). The remaining approximately \$27,155,185 of City funds are used in programs that do not receive Federal funds.

- c. HPD Capital without Federal Funds
In 2014 HPD committed approximately **\$42,342,000** (line 17c, column A) in programs that receive no Federal funds.
- d. HPD Expense without Federal Funds
In 2014, HPD budgeted approximately **\$27,155,185** (line 17d, column A) in programs that receive no Federal funds.

HPD Capital and Expense

As the primary housing agency in the City of New York, HPD has used both City capital and tax levy funds to develop housing programs to address the needs of low and moderate income households.

Although the funds received from the federal government are an essential element in the City's housing policy, HPD has created *over* 20 housing programs with no federal funds for the purposes of increasing housing production and maintaining the existing housing stock through the following activities: new construction, substantial and moderate rehabilitation, code enforcement, operating and maintenance costs, planning and administration, homeless prevention, infrastructure improvements, public service improvements, homeless assistance, rental assistance and other activities.

Arverne Urban Renewal Area (URA)	Taxable "80/20" Program
Edgemere URA	Tenant Support Services
Gateway Estates	Urban Renewal Associated Costs
Home Improvement Program (HIP)	Multifamily Rental – Mod/Mid
Housing Education Program (HEP)	Multifamily Homeownership
Inclusionary Housing Program	Year 15 / Low-Income Housing Tax Credit
In-Rem Lead	Program (LIHTC)
Melrose Commons URA	Mortgage Assistance Program
Nehemiah Gateway Estates at Spring Creek	West Bushwick URA
Small Homes Development – Scattered Sites	Broadway Triangle URA
(formerly	Small Homes Reconstruction (NYCHA) Program
New York City Partnership New Homes Program)	
Senior Citizen Home Assistance Program (SCHAP)	

Line 18.HRA City Funds

HRA HASA Services are funded with a combination of the funding sources outlined below. In City Fiscal Year 2015 the total HASA budget was \$238.6 million for case management, housing, support services, and expenses for the design and implementation of HASA's Model offices.

In City fiscal year 2015, projected City tax levy funding for HASA was approximately **\$112.9 million** (line 18, column A).

HASA received the following additional money not listed in the HUD Variables chart. The amounts are approximately \$48.1 million in New York State matching funds; and \$77.6 million in federal funds. The numbers do not include expenditures for rental assistance and enhanced rental assistance, housing related special grants, or nutrition and transportation benefits paid through public assistance.

Column B

Line 19. NYCHA City Funds

a. NYCHA Capital without Federal Funds
The City allocates Capital funds for the modernization of NYCHA developments. In CFY 2014 the following funds were allocated for modernization work at NYCHA developments: Mayoral Capital funds in the amount of \$10.26 million, City Council funds in the amount of \$27.65 million and Borough President Discretionary Capital funds in the amount of \$3.61 million. Therefore, the total of the three sources is entered on line 19a, column B as **\$41.52 million**.

b. NYCHA Expense without Federal Funds
Through an agreement made by Mayor Bloomberg and the New York City Council, the City allocated \$58 million dollars to the New York City Housing Authority (NYCHA) to cover the cost of 69 NYCHA-operated community centers and 37 senior centers and to maintain our clerical staffing levels. This funding allowed NYCHA to continue to serve its' residents.

In 2014, other commitments were made by the City for \$17.1 million for the ongoing costs for 24 community centers and 33 senior centers and additional funding for the homeless initiative, lights and extended hours for community centers.

In January 2015, NYCHA received \$1.4 million for extended hours, \$1.5 million for lights and \$10 million for homeless initiative. Therefore, **\$88 million** has been entered on line 19b, column B.

v. Total City Funds

The Total Funds the City of New York expects to allocate for housing, homeless and supportive housing services is approximately **\$1,491,168,700** column A, and the amount the City is expected to provide to NYCHA is represented in column B, **\$129.520 million**.

vi. Total Funding Sources

Line 20. Federal Sources

In column A, the City received federal funds from three funding sources:

- a. \$273,315,336 Federal Formula/Entitlement Programs (see Section A for a complete description);
- c. \$ 53,191,970 subtotal HUD Competitive Programs (part ii)
- d. **\$326,507,306 Total Federal Sources Received by the City**

In column B, NYCHA and other entities received federal funds from three funding sources:

- b. \$ 296,237,296 subtotal New York City Housing Authority Funds (Part i)
- c. \$ 62,318,311 subtotal HUD Competitive Programs (Part ii)
- d. **\$358,555,607 Total Federal Sources Received by Other Entities**

Line 21. Total State Sources

In column A, approximately **\$19,380,000** in State funds was provided directly to City agencies for housing, homeless, and supportive housing services. In column B, approximately **\$23,038,700** in state funds was provided to other entities, such as NYCHA or not-for-profit organizations.

Line 22.Total City Sources

In column A, approximately **\$1,491,168,700** of City tax-levy dollars was used in the 2014 Consolidated Plan. In column B, **\$129.520 million** was used by NYCHA.

Line 23.Total Private Sources

In column B, approximately **\$549,404,600** in private funds were received in 2014. This figure only includes private funds to be used in conjunction with federal HOME funds. It includes private bank loans and tax credit equity.

Total All Sources

In column A, approximately **\$1,837,056,000** in Federal, State, and City funds (lines 20, 21, and 22, respectively) was administered by City agencies for housing, homeless, supportive housing services and community development needs.

In column B, approximately **\$1,060,518,900** in Federal, State, City and Private funds (lines 20, 21, 22 and 23, respectively) were received by NYCHA and not-for-profit organizations.

In the 2014 Consolidated Plan over **\$2,897,574,900** (Total All Sources, column A plus column B) was used by City agencies, NYCHA, and not-for-profit organizations to meet the housing, homeless, supportive housing services and community development needs within the City of New York.

Line	Funding Source	Amount City Received in 2014 (A)	Amount Received by Other Entities in 2014 (B)
i.	New York City Housing Authority Funds		
1	Public Housing Capital Fund Program		\$296,237,296
2	HOPE VI		\$0
i.	Subtotal-New York City Housing Authority Funds		\$296,237,296
ii.	HUD Competitive Funds		
3	Homeless Continuum of Care NOFA	\$51,818,370	\$62,318,311
	Section 8 Moderate Rehab SRO		
	Shelter Plus Care		
	Supportive Housing		
4	Section 202 Supportive Housing for the Elderly		\$0
5	Section 811 Supportive Housing for Persons with Disabilities		\$0
6	Section 8 Family Self-Sufficiency (FSS) Coordinators	1,373,600	
7	Family Unification Program	\$0	\$0
8	Housing Opportunities for Persons with AIDS (HOPWA)		\$0
9	Office of Healthy Homes and Lead Hazard Control Competitive Grants	\$0	
ii.	Subtotal-HUD Competitive Program	\$53,191,970	\$62,318,311
iii.	State Funds		
10	Affordable Homeownership Development Program	\$3,980,000	
11	Homeless Housing and Assistance Program		\$18,688,728
12	Low Income Housing Tax Credit	\$15,400,000	
13	Low Income Housing Trust Fund Program		\$0
14	RESTORE Program		\$50,000
15	State Housing Bonds		\$4,300,000
iii.	Subtotal-State Funds	\$19,380,000	\$23,038,728

Line	Funding Source	Amount City Received in 2014 (A)	Amount Received by Other Entities in 2014 (B)
iv.	City Funds		
16	DHS City Funds		
	a. DHS City Expenses with Federal Funds	\$115,844,327	
	b. DHS City Capital in Programs that receive no Federal Funds	\$20,798,000	
	c. DHS City Expense in Programs that receive no Federal Funds	\$905,814,000	
17	HPD City Funds		
	a. HPD City Capital with Federal Funds	\$223,422,000	
	b. HPD City Expense with Federal Funds	\$42,893,152	
	c. HPD City Capital in programs that receive no Federal Funds	\$42,342,000	
	d. HPD City Expense in programs that receive no Federal Funds	\$27,155,185	
18	HRA City Funds		
	HRA City Expense with no Federal Funds	\$112,900,000	
19	New York City Housing Authority Funds		
	a. NYCHA City Capital without Federal Funds		\$41,520,000
	b. NYCHA City Expense without Federal Funds		\$88,000,000
iv.	Subtotal-City Funds	\$1,491,168,664	\$129,520,000
v.	TOTALS		
20	Total Federal Sources		
	a. Formula Entitlement Programs (See Section A)		
	CDBG	\$153,554,426	
	HOME	\$58,657,553	
	ESG	\$12,649,583	
	HOPWA	\$48,453,774	
	b. New York City Housing Authority		\$296,237,296
	c. HUD Competitive Programs	\$53,191,970	\$62,318,311
	d. Total	\$326,507,306	\$358,555,607
21	Total State Sources	\$19,380,000	\$23,038,728
22	Total City Sources	\$1,491,168,664	\$129,520,000
23	Total Private Sources		\$549,404,598
v.	TOTAL ALL SOURCES	\$1,837,055,970	\$1,060,518,933

C. Progress in Providing Affordable Housing

The section has been divided into two parts: 1. Households and Persons Assisted with Housing by Income; and 2. Households and Persons Assisted with Housing by Race and Hispanic Ethnicity. The first section will report on the total number of extremely low-, low-, and moderate-income renter and owner households, homeless families and persons, and persons and non-homeless persons with special needs who were assisted with housing during 2014. The second section provides the race and ethnicity for those programs where such data was collected. In addition, the section reports on the race and ethnicity for persons receiving rental assistance through the Section 8 Rental Certificate and Voucher programs.

1. Households and Persons Assisted with Housing by Income Categories

Introduction

This Section describes **Table 4, Households and Persons Assisted with Housing**, which estimates the number of extremely low-, low-, and moderate-income renter and owner households, homeless families and persons, and non-homeless persons with special needs (including persons with HIV/AIDS and their families) who were assisted with housing during 2014. In reviewing the information provided for **Table 4** several important caveats must be considered.

Methodology

First, the total number of households and persons to be assisted is based upon a distinct category of funding. In accordance with the Performance Report instructions, the tables provide data **only** for households and persons who have been assisted with Federal funds (whether wholly or in part), and in no way comprises the total universe of households and persons that have been assisted by the City in 2014. The specific Federal programs used to compile these estimates are cited below. It is important to note that, while Federal funds are critical to the City's housing goals and have an increasingly significant impact on the types of activities that can be done and the range of households and persons to be assisted, these funds account for a limited amount of the City's overall housing assistance budget. As mentioned earlier in this report a substantial amount of housing production and assistance activities are undertaken solely with local financial resources, or a combination of local and state resources. This assistance is not reflected in this table.

Second, the estimates found in Table 4 are based on 2014 accomplishments irrespective of when these funds used to support the accomplishments were made available. For example, even if all or part of the funds were expended in the fiscal year(s) prior to calendar year 2014, if the household moved into a dwelling unit in calendar year 2014, the household will be counted as "assisted in 2014 for the purposes of this report. For renter households, a renter is considered to have benefited if the household or individual takes occupancy of affordable housing that is newly acquired, newly rehabilitated, or newly constructed, and/or receives rental assistance. For Homeowners, an existing homeowner is benefited during the year if the home's rehabilitation is completed. A homebuyer is benefited if a home is purchased during the reporting year. For the homeless, the accomplishments count homeless families and persons as having been assisted with housing if they became the occupant of transitional or permanent housing in 2014. The numbers reported are based upon information provided by HUD regional staff, as well as information and input provided by the program staff at HPD, NYCHA, DHS, HRA and other city agencies. It is important to note, however, that while all of the households and persons listed here are receiving some federal assistance, the majority also receive assistance from the City.

Third, in formulating its estimate of those households and persons "to be assisted" the City used the definition outlined in the HUD instructions which specified the conditions under which a household or person is benefited through the investment of Federal funds and specific categories found in Table 4. These categories are as follows: 1) Total Renters; 2) Total Homeowners; 3) Homeless, Individuals and Families; and 4) Non-Homeless persons with Special Needs. These activities and initiatives are targeted to increase the number of renter and ownership units available, and make those units accessible to the greatest number of residents, while serving the full range of household types and persons, and ensuring that economic and racial integration is maintained. The

category "Support Services" are defined and included as, "Federal funds, or activities assisting homeless and non-homeless persons with special needs not linked to the provision of supportive, transitional or permanent housing, may be reported separately, but shall not be included in the estimate of households and persons assisted with housing." The programs specified in each of these categories which received Federal funds have been defined in the 2014 Consolidated Plan.

Due to the above limitations only a few of the City's CDBG-funded programs could be included in the charts which identify the total numbers of households and persons assisted. For instance, all of the ongoing repair work in the in-rem units goes to benefit the many thousands of people residing in this housing. However, since each individual repair does not constitute a completed rehabilitation it is not proper to count it here. Consequently, the numbers of the households and persons positively impacted by the City's efforts are actually much higher than can be identified under the Performance Report criteria.

In addition, the instructions for completing the 2014 Consolidated Plan required that the City report on assistance for three categories of income: extremely low-income (0-30 percent of the area median family income (MFI)); low-income (31-50 percent of MFI); and moderate (51-80 percent of MFI). While attempting to comply with these instructions when providing performance projections in the 2014 Consolidated Plan, the City also noted that, since many of the Federal programs do not distinguish between extremely low-income households with incomes below 30 percent of the MFI and those with income above 30 percent of MFI, this information is only provided if the information exists. It is significantly easier to provide information concerning the split between households earning below 50 percent of MFI and those earning above 50 percent of MFI, because many Federal programs use this point as an eligibility cut-off. However, even in this case, it is not always possible to accurately apportion beneficiaries. In fact, in some Federal programs like the Low Income Housing Tax Credit, families with incomes between 50 percent and 60 percent of MFI are often treated in the identical manner as families with incomes below 50 percent of MFI. As a result, while the 2014 Consolidated Plan estimates for total households assisted are relatively accurate, the specific projections for each of the income categories are not completely reliable and should be used with caution.

With these caveats in mind, the City has attempted to present the most complete and accurate information possible concerning the use of Federal funds to assist households and individuals in 2014. The program description for each program is detailed in the 2014 Consolidated Plan.

Data regarding the number of homeless individuals and homeless families assisted with housing through New York City's Emergency Solutions Grant (ESG) is now located in Part I.A.4 Emergency Solutions Grant – eCAPER module, CR-65., ESG Persons Assisted.

Data on non-homeless special needs households assisted with housing through New York City's Housing Opportunities for Persons with AIDS (HOPWA) grant-funded activities is now located in Part I.A.4., HOPWA Consolidated Annual Performance and Evaluation Report (CAPER).

Therefore, the reader is advised to interpret the following data with caution.

Table 4 - HOUSEHOLDS AND PERSONS ASSISTED WITH HOUSING BY INCOME AND TENURE

RENTERS

Column E: Renters

Line 1(E): Extremely-Low Income and Low-Income (0 to 50% of MFI) Households: On line 1, column E, a total of **4,425** households were assisted in the following programs: Federal Public Housing Development program (**2,809**); NYCHA Section 8 Rental Housing Choice Vouchers (**716**); HPD Rental Vouchers (**406**); HOME-assisted Supportive Housing Program – New Construction (**62**); HOME-assisted Third Party Transfer

(16) HOME-assisted Participation Loan Program (16); HOME-assisted HUD Multifamily Rental Program (9); HOME-assisted Low Income Rental (242); HOME-assisted Senior Housing (formerly Section 202 Program) (62); HOME-assisted HUD Multifamily Preservation Loan Program (84); and, HOME-assisted Tenant-Based Rental Assistance (TBRA) (3).

Line 3 (E): Moderate-Income (51 to 80% of MFI) Households: On line 3, column E, a total of **1,753** households have been assisted with funds from the following programs: Federal Public Housing Development Program (589); HPD Rental Vouchers (219); HOME-assisted Supportive Housing – New Construction (266); HOME-assisted Third Party Transfer (26); HOME-assisted Supportive Housing – Rehab (73); HOME-assisted Participation Loan Program (149); HOME-assisted HUD Multifamily Rental Program (11); HOME-assisted Low Income Rental (327); and, HOME-assisted HUD Multifamily Preservation Loan Program (93).

Line 4 (E): Total Low/Moderate-Income Households: This line is a total of the above defined categories of assisted households which equals **6,178** households.

OWNERS

Column I: Homeowners:

Line 1 (I): Extremely-Low Income and Low-Income Households (0 to 50% of MFI): On line 1, column I, **22** extremely low-income and low-income households have been assisted with HOME-assisted Homefirst Downpayment Assistance Program funds (22).

Line 3 (I): Moderate-Income (51 to 80% of MFI): On line 3, column I, **200** other low-income households have been assisted with funds from the HOME-assisted Homefirst Downpayment Assistance Program (200).

Line 4 (I): Total Low/Moderate-Income: Lines 1 and 3 of Column I, equal **222** homeowners.

HOMELESS

Column J: Homeless Individuals:

Line 1 (J): Extremely Low-Income and Low-Income Households (0 to 50% of MFI): On Line 1, column J, is a total of extremely low-income and low-income individuals (0 to 50% of MFI): This column is a total of **1,684** individuals which includes the Federal Public Housing Development Program (174); HPD Rental Vouchers (1,269); and, HOME-assisted Supportive Housing – New Construction (241).

Line 3 (J): Moderate Income Households (51% to 80% of MFI): On line 3, column J, a total of **223** individuals were assisted through the Federal Public Housing Development Program (6); HPD Rental Vouchers (2); and, HOME-assisted Supportive Housing – New Construction (215).

Total of column J, on line 4: A total of **1,907** Homeless Individuals were assisted with housing in 2014.

Column K: Homeless Families:

Line 1 (K): Extremely Low-Income and Low-Income Households (0 to 50% of MFI): On line 1, column K, a total of **1,923** families which includes the Federal Public Housing Development Program (1,651); HPD Rental Vouchers (269); and, HOME-assisted Low Income Rental Program (3).

Line 3 (K): Moderate Income Households (51% to 80% of MFI): On line 1, column K, a total of **348** families were assisted through the Federal Public Housing Development Program (38); HPD Rental Vouchers (4); HOME-assisted Supportive Housing – New Construction (250); HOME-assisted Supportive Housing Program – Rehab (39); HOME-assisted HUD Multifamily Rental Program (12); and, HOME-assisted Low Income Rental Program (5).

Total of column K, on line 4: A total of **2,271** Homeless families were assisted with housing in 2014.

NON-HOMELESS PERSONS WITH SPECIAL NEEDS

Column L: Non-Homeless Special Needs:

Line 1 (L): Extremely Low-Income and Low-Income Households (0 to 50% of MFI): On line 1, column L, is a total of 814 households which includes Federal Public Housing Development Program (**581**); and, NYCHA Section 8 Rental Housing Choice Vouchers (**233**).

Column L: Non-Homeless Special Needs:

Line 3 (L): Moderate Households (51% to 80% of MFI): On line 3, column L, is a total of **65** households assisted through the Federal Public Housing Development Program.

Total of Column L on line 4: A total of **879** households with Special Needs were assisted

TOTALS

Column M: Total Goals: The numbers in this column represent the sum of the numbers from columns A through L. The number of extremely low-income households and persons assisted were **8,868**. The number of moderate-income households assisted was **2,589**. The total of all households and persons assisted in 2014 is **11,457**.

Column N: Total Section 215 Goals: **11,457** of the **807** households assisted met the Section 215 Goals as outlined in the National Affordable Housing Act of 1990.

TABLE 4

Consolidated Plan Households and Persons Assisted with Housing		U.S. Department of Housing and Urban Development Office of Community Planning and Development							Annual Performance Report (APR)					
Name of Jurisdiction: NEW YORK, NEW YORK		Fiscal Year: 2014												
Household Income (All Households)	Renters					Owners			Homeless Persons		Non-Homeless Persons with Special Needs	Totals		
	Elderly 1 & 2 Member Households (A)	Small Related Households (2 to 4) (B)	Large Related Households (5 or more) (C)	All Other Households (D)	Total Renters (E)	Existing Homeowners (F)	1st-Time with Children All Others (G) (H)		Total Homeowners (I)	Indvcls. (J)		Families (K)	All Households Assisted (M)	Section 215 Households (N)
1. Extremely Low 0 to 30% MFI*					4,425				22	1,684	1,923	814	8,868	807
2. Low 31 to 50% MFI*														
3. Moderate 51 to 80% MFI*					1,753				200	223	348	65	2,589	
4. Total Low/Moderate Income					6,178				222	1,907	2,271	879	11,457	807

* Or, based upon HUD adjusted income limits, if applicable.

2. Households and Persons Assisted with Housing by Race and Hispanic Ethnicity

This section documents the race and ethnicity of all households or persons who were assisted with housing during 2014. In the previous chapter, the same households or persons assisted with housing were reported by income, see Table 4 Households and Persons Assisted with Housing by Income.

In late September 2002, HUD release guidance on the collection, analysis, and reporting using new federally-defined race and ethnicity categories. Race data will be described using five federally-defined single race categories (American Indian or Alaska Native; Asian; Black or African-American; Native Hawaiian or Other Pacific Islander; and White) and six additional multiple race categories (American Indian or Alaska Native *and* White; Asian *and* White; Black or African American *and* White; American Indian or Alaska Native *and* Black or African American; Any other (multiple) race combination that comprises more than 1 percent of the population; and Balance of individuals reporting more than one race, respectively). In addition, persons of Hispanic or Latino ethnicity shall be reported as a subcategory within each of the respective race categories.

Please note: if one of the aforementioned categories is not listed in a table, no persons of that race/ethnic group were registered in that category and the table has been collapsed for the sake of reporting brevity. In addition, the Race and Ethnicity data are not collected for every program that the City of New York administers. Such data has been summarized for the programs where data is available. HUD does not make race and ethnicity data available, therefore, such data for Section 202 and Section 811 are not provided.

HUD's implementation date was January 1, 2003. Therefore, the City collected race and ethnicity data for Consolidated Plan program year 2014 activities using the new categories. The data has been reported in the Proposed 2014 Consolidated Plan Annual Performance Report.

Emergency Solutions Grant (ESG) Funded Programs

Data regarding the number of homeless individuals and homeless families assisted with housing through New York City's Emergency Solutions Grant (ESG) is now located in Part I.A.3 Emergency Solutions Grant – eCAPER module, CR-65., ESG Persons Assisted.

HOPWA Funded Programs

Data regarding the number of non-homeless special needs households assisted with housing by race and Hispanic ethnicity through New York City's Housing Opportunities for Persons with AIDS (HOPWA) grant-funded activities is now located in Volume 1., Part I.A.4., HOPWA Consolidated Annual Performance and Evaluation Report (CAPER).

Department of Housing Preservation and Development Programs

The following information represents the race/ethnicity of households and persons assisted with housing by HPD programs (CDBG, HOME, and other federal funds).

The renter programs include: HOME-assisted Participation Loan Program; HOME-assisted Supportive Housing Program – New Construction; HOME-assisted Supportive Housing Program – Rehab; HOME-assisted Third Party Transfer; HOME-assisted Multifamily Rental Program; HOME-assisted Senior Housing Program; HOME-assisted Low-Income Rental Program; HOME-assisted Multifamily Preservation Loan Program; and HOME-assisted Tenant-Based Rental Assistance.

Homeownership programs include: HOME-assisted Homefirst Downpayment Assistance Program.

Lastly counted in this table are homeless households and persons assisted through the following programs: HOME-assisted Supportive Housing Program – New Construction; HOME-assisted Supportive Housing Program – Rehab; and, HOME-assisted Low-Income Rental Program.

TABLE 5: The Race and Hispanic Ethnicity of Households and Persons Assisted (Completions (Set ups)) with Department of Housing Preservation and Development-Administered Housing Programs Using HOME Program Funds. ⁽¹⁾

RACE	TOTAL RENTERS (A)	TOTAL HOME-OWNERS (B)	HOMELESS INDIVIDUALS (C)	HOMELESS FAMILIES (D)	TOTAL ASSISTED (A+B+C+D)
American Indian or Alaska Native	24	0	21	5	50
Asian	48	46	35	13	142
Native Hawaiian or Other Pacific Islander	26	0	19	1	46
Black or African American	1,349	98	1,030	354	2,831
White	565	49	602	190	1,406
Other (Multi-)racial	52	29	20	7	108
TOTAL	2,064	222	1,727	570	4,583
HISPANIC ETHNICITY	TOTAL RENTERS (A)	TOTAL HOME-OWNERS (B)	HOMELESS INDIVIDUALS (C)	HOMELESS FAMILIES (D)	TOTAL ASSISTED (A+B+C+D)
Hispanic Households Assisted	633	53	512	144	1,342

Notes:

- 1 The distributions shown reflect both survey-based information from occupants and estimates. Caution is recommended in interpreting this data.
- 2 “Other” includes unknown or not available.
3. Some of the above data includes middle-income households assisted by the respective programs described above.

In addition, HPD is responsible for maintaining and preserving the City-owned residential housing stock (a.k.a., *in-rem* housing). An assessment of the racial/ethnic composition of the tenants living in *in-rem* housing was undertaken based on the 2011 New York City Housing and Vacancy Survey. The survey found that the racial and ethnic composition of the *in-rem* tenants was as follows:

TABLE 6: Race and Ethnicity of the Head of Household of Community Development-funded, Department of Housing Preservation and Development-administered, City-owned Housing Stock, New York City 2011

RACE/HISPANIC ETHNICITY	PERCENTAGE
Black, non-Hispanic	41.3%
White, non-Hispanic	9.5%*
Asian, non-Hispanic	**
Other, non-Hispanic	**
All Hispanic	48.6%
TOTAL	100%

* Due to survey and estimation errors, percentage should be used with caution.

** Too few individuals to report.

New York City Housing Authority - Federal Public Housing Funded Program

In 2014, NYCHA provided 5,913 newly assisted persons with housing through the federal public housing funds that are used to operate the authority. The Federal Public Housing Development Program is designed to assist in the production of affordable housing for lower-income tenants. The tenants assisted were taken from the normal waiting list turnover of units (for example, units vacated through transfer or households who have permanently left the Program). It should be noted tenants taken from the list may include applicants granted a higher priority due to federal preferences (eg., victims of domestic violence, homelessness or other emergencies, such as fires). The following is a breakdown of persons assisted by race and ethnicity in 2014.

TABLE 7: Race and Hispanic Ethnicity of the Persons Assisted with Federal Public Housing Units

RACE/ETHNICITY	TOTAL ASSISTED	# OF HISPANIC ASSISTED
American Indian or Alaska Native	23	11
Asian	501	8
Native Hawaiian or Other Pacific Islander	60	46
Black or African American	3,179	673
White	2,150	1,886
TOTAL	5,913	2,624

Section 8 Tenant-based - Rental Housing Choice Vouchers Program

The City of New York has two Public Housing Authorities (PHA's) which receive Section 8 Rental Housing Choice Vouchers: the New York City Housing Authority (NYCHA); and the Department of Housing Preservation and Development (HPD). Both agencies provide tenant-based assistance to very low-income households. Tenant assistance is in the form of vouchers or certificates. The following is a breakdown of persons assisted by race and ethnicity in 2014, for Housing Choice Vouchers issued by NYCHA followed by a second table of those issued by HPD.

TABLE 8: Race and Hispanic Ethnicity of the Population Receiving NYCHA Rental Housing Choice Vouchers

RACE/HISPANIC ETHNICITY	TOTAL ASSISTED	# OF HISPANIC ASSISTED
American Indian or Alaska Native	9	3
Asian	14	0
Native Hawaiian or Other Pacific Islander	4	1
Black or African American	580	81
White	332	245
Other	10	10
TOTAL	949	340

TABLE 9: Race and Hispanic Ethnicity of the Head of Household Receiving HPD Rental Assistance Vouchers and Certificates

NOTE: Hispanic Renters, Homeless Individuals, and Homeless Families are subcounts of their respective Total Households by Tenure Categories

Category	RENTERS		HOMELESS INDIVIDUAL		HOMELESS FAMILY		TOTAL	
	TOTAL (A)	HISPANIC (a)	TOTAL (B)	HISPANIC (b)	TOTAL (C)	HISPANIC (c)	ASSISTED (A+B+C)	HISPANIC ASSISTED (a+b+c)
American Indian or Alaska Native	0	0	7	1	1	0	8	1
Asian	1	0	14	0	4	0	19	0
Black or African-American	505	13	809	48	183	8	1,497	69
Native Hawaiian or Other Pacific Islander	4	0	6	0	0	0	10	0
White	115	93	435	263	85	70	635	426
TOTAL	625	106	1,271	312	273	78	2,169	496